## Unit 4

# Carboxylic Acids and Derivatives

### 4.23 Carboxylic Acids Intro

10/30:

- Lecture 22 recap.
  - A. Amine synthesis by direct  $S_N2$  (of, for example,  $NH_3$ ) leads to mixtures unless you use a very large excess of ammonia (Figure 3.13).
    - Alternative: Gabriel synthesis (Figure 3.14).
    - Alternative: Conversion of a primary or secondary alkyl halide to an azide and subsequent reduction (Figure 3.15).
  - B. Reductive amination is an incredibly powerful technique (Figures 3.16, 3.17, & 3.18).
    - It can build primary, secondary, and tertiary amines.
    - Be intimately familiar with this process for Exam 3!!
  - C. Acylation/reduction is also a great method (Figure 3.19).
    - Acylate the amine to give an amide intermediate, reduce with LAH, and quench with water.
  - D. Primary and secondary alkyl bromides, iodides, and tosylates can be substituted to the nitrile and reduced to an amine (Figure 3.21).
    - This is a 1-carbon homologation.
  - E. HONO (generated from NaNO<sub>2</sub> + HCl) converts aniline to an aryl diazonium salt (Figure 3.24).
- Announcement: The notes taken by the TFs are posted on Canvas (that's these!).
  - Consider referring to these even over the ones that Prof. Buchwald provides.
- Lecture 22 continued.
- Using the sequence of reaction in Figure 3.25, you can form an aryl diazonium salt.
  - Treating it with KI yields an aryl iodide.
  - Treating it with H<sub>2</sub>O yields a phenol.
  - Treating it with hypophosphorus acid (H<sub>3</sub>PO<sub>2</sub>) yields benzene again.
    - Once again, you are not responsible for the name "hypophosphorus acid."
  - Treating it with CuX (where X = Cl, Br, CN) yields PhX.
- This is a great example of what we do with synthesis!
  - Synthesis is all about connecting compounds with transformations.
  - Breaking down the example in such a way is called **retrosynthetic analysis**.

• Recall from last time that azides are reduced to amines by LiAlH<sub>4</sub> and a subsequent water workup (Figure 3.15). Here's a further note on this.

Figure 4.1: Aminoalcohol synthesis from epoxides.

- Recall from 5.12 that **epoxides** are essentially just reactive ethers, due to their ring strain.
- Therefore, if we treat an epoxide with NaN<sub>3</sub>, we'll get a backside attack that yields a certain intermediate.
- Then upon reduction, we get a *trans*-1,2-aminoalcohol.
  - This is an important functional group for  $\beta$ -blockers in biology!
- Alternatively, we can treat epoxides with CN<sup>-</sup>, yielding the cyanoalcohol.
  - We can then reduce this to the 1,3-aminoalcohol.
- This concludes our discussion of amines.
- Today: Introduction to carboxylic acids and their derivatives.
  - Reading: Chapter 10 of Clayden et al. (2012).
- Lecture outline.
  - 1. Introduction.
  - 2. Synthesis of carboxylic acids.
    - a. Oxidation of alcohols and aldehydes.
    - b. Carboxylation of Grignard reagents.
    - c. Hydrolysis of nitriles.
    - d. Types of carboxylic acid derivatives.
  - 3. Acyl transfer reactions.
    - a. Background.
- We'll begin with Topic 1: Introduction.
- Carboxylic acid derivative: A compound of the following form, where  $X \neq H, R$ . Structure



Figure 4.2: Carboxylic acid derivative.

- Since X is not equal to H or R, we're not considering aldehydes or ketones.

• Carboxylic acid: A carboxylic acid derivative for which X = OH. Structure

$$\begin{array}{c}
O \\
R
\end{array}$$

$$\begin{array}{c}
O \\
H^{+}
\end{array}$$

$$\begin{array}{c}
O \\
R
\end{array}$$

Figure 4.3: Carboxylic acid.

- $pK_a \approx 5.$ 
  - By comparison,  $pK_a \approx 16$  for an alcohol.
  - Therefore, carboylic acids are *eleven orders of magnitude* more acidic than alcohols.
- Deprotonation gives us a resonance-stabilized **carboxylate**, which can be drawn either as resonance forms or as a delocalized anion.
- One of the simplest carboxylic acids is **acetic acid**.
- Acetic acid: The carboxylic acid for which R = Me. Structure

$$H_{3}C$$
 OH

Figure 4.4: Acetic acid.

- Acetic acid is in vinegar! In fact, vinegar is about 4-5% acetic acid in water.
- Acetic acid is also used as an industrial solvent (in the 100% pure form, which is quite caustic).
- How is acetic acid made?

MeOH 
$$\xrightarrow{\text{CO}}$$
 CH<sub>3</sub>COOH

- Acetic acid is produced industrially via the Monsanto acetic acid process, which carries out the carbonylation of methanol using a rhodium catalyst.
- The first several biscarboxylic acids.

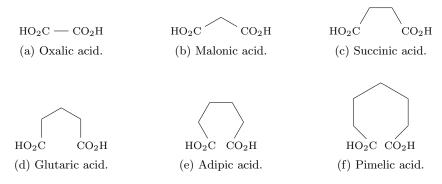


Figure 4.5: Biscarboxylic acids.

- Oxalic, malonic, succinic, glutaric, adipic, and pimelic acids.
- Aside: Adipic acid is really important because it's involved in the manufacture of nylon.
- How do you remember all these names? There's a neumonic: OMSGAP or "Oh My, Such Good Apple Pie."

- We now move onto Topic 2: Synthesis of carboxylic acids.
- Aside: A new definition of oxidation and reduction.
  - Notice that in a carboxylic acid (e.g., see Figure 4.4), the central carbon has 3 bonds to oxygen.
  - In contrast, a primary alcohol's central carbon has 1 bond to oxygen.
    - Thus, we need to do a 4-electron oxidation to turn an alcohol into a carboxylic acid.
  - An aldehyde's central carbon has 2 bonds to oxygen.
    - Thus, we need to do a 2-electron oxidation to turn an aldehyde into a carboxylic acid.
  - CO<sub>2</sub>'s central carbon has 4 bonds to oxygen.
    - $\blacksquare$  Thus, we need to do a 2-electron reduction to turn  $CO_2$  into a carboxylic acid.
  - This array of related compounds motivates the following two definitions.
- Oxidation: A chemical reaction that increases the number of carbon-oxygen bonds.
- Reduction: A chemical reaction that decreases the number of carbon-oxygen bonds.
- We now discuss Subtopic 2.a: Oxidation of alcohols and aldehydes.

R OH 
$$\frac{H_2SO_4}{CrO_3}$$
  $\left[\begin{array}{c} O \\ R \end{array}\right]$   $\left[\begin{array}{c} O \\ R \end{array}\right]$   $\left[\begin{array}{c} O \\ R \end{array}\right]$  OH  $\left[\begin{array}{c} O \\ R \end{array}\right]$   $\left[$ 

Figure 4.6: Oxidation of alcohols and aldehydes.

- Suppose you have a primary alcohol.
  - To convert it into a carboxylic acid, treat it with **Jones reagent**.
    - ➤ The mechanism proceeds through the aldehyde.
    - ➤ However, it can't stop, so it goes all the way to carboylic acid.
  - To stop the oxidation at the aldehyde, use PCC!
- Now suppose you're starting at the aldehyde.
  - To convert it to the carboxylic acid, just subject it to Jones reagent conditions! This is like picking up in the middle of the Figure 4.6a mechanism.
- Relevant reading: Clayden et al. (2012, pp. 194–196).
- Jones reagent: The combination of excess H<sub>2</sub>SO<sub>4</sub> and CrO<sub>3</sub>.
- We now discuss Subtopic 2.b: Carboxylation of Grignard<sup>[1]</sup> reagents.

$$R \longrightarrow Br \xrightarrow{Mg} R \longrightarrow MgBr \xrightarrow{CO_2} R \xrightarrow{O} \xrightarrow{H^+} R \xrightarrow{O} OH$$

Figure 4.7: Carboxylation of Grignard reagents.

 $<sup>^1</sup>$  "GRIN-yurd"

- To make a Grignard reagent, react an alkyl bromide with magnesium.
  - Aside (chemis-tea): Victor Grignard won the Nobel Prize for Grignard reagents, even though his mentor invented them!
  - Note that Grignard reagents are very reactive! They are strong bases and strong nucleophiles, so if there's an acidic hydrogen in solution, it will get deprotonated.
    - > Essentially, we have to consider the functional group tolerance of a method.
  - These reactions are fun to do in the lab!
- Once you make the Grignard reagent, just throw dry ice (a source of CO<sub>2</sub>) into the flask. There will be a bunch of bubbling, and we'll get our carboxylic acid.
- We now discuss Subtopic 2.c: Hydrolysis of nitriles.

$$R - CN \xrightarrow{[O]} R$$

Figure 4.8: Nitrile hydrolysis.

- Two ways to do this.
  - Acid  $(H_3O^+)$  and heat  $(\Delta)$ .
  - Base (HO<sup>-</sup>), water (H<sub>2</sub>O), and heat ( $\Delta$ ) followed by subsequent quenching with acid and heat.
- Nitriles are really, really, really good intermediates (hint for Exam 3!!).
- We'll now look at how nitriles may come up in a typical test question.
- Typical test question (TTQ): Provide two ways to convert benzyl bromide into phenylacetic acid.

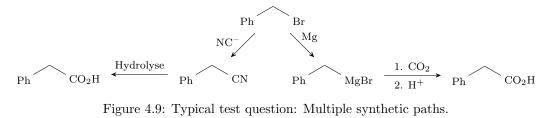


Figure 4.9: Typical test question: Multiple synthetic paths.

- First way: Make the Grignard and add CO<sub>2</sub>.
- Second way: Do an S<sub>N</sub>2 with CN<sup>-</sup>, and then hydrolyze the nitrile.
- Note that Prof. Buchwald uses checkmarks to denote the product on the board.
- If we're answering a test question like this, will you want two separate arrows, or is one arrow with "1. reagent" above and "2. reagent" below?
  - Either is good.
- We now discuss Subtopic 2.d: Types of carboxylic acid derivatives.
- Acid chloride: A carboxylic acid derivative for which X = Cl. Structure



Figure 4.10: Acid chloride.

- These are far more common than acid bromides or acid iodides.<sup>[2]</sup>
- To convert a carboxylic acid into an acid chloride, use SOCl<sub>2</sub> and pyridine.<sup>[3]</sup>
- Mechanism: Clayden et al. (2012, pp. 214-215).
- Acid anhydride: A carboxylic acid derivative for which  $X = RCO_2$ . Structure

$$\begin{array}{c|c}
O & O \\
\downarrow & \downarrow \\
R & O \\
\end{array}$$

Figure 4.11: Acid anhydride.

- Synthesize these from two carboylic acids that combine and release water.
- Example of an acid anhydride: Phthalic anhydride.

Figure 4.12: Phthalic anhydride.

• Ester: A carboxylic acid derivative for which X = OR'. Structure

Figure 4.13: Ester.

- Esters are common in scents and smells.
- Example of an ester: Isoamyl acetate.

Figure 4.14: Isoamyl acetate.

- This is the odor of banana oil! The infinite corridor smells like this because of the Banana Lounge.
- There are easy ways to make this chemical that can legally be described as natural, even if it did not come from a banana.

<sup>&</sup>lt;sup>2</sup>Coincidentally, acid iodides are used in the Monsanto acetic acid process!

 $<sup>^3\</sup>mathrm{See}$  the 5.12 equation review sheet!!

• Lactone: A cyclic ester. Example

Figure 4.15:  $\gamma$ -butyrolactone.

• Amide: A carboxylic acid derivative for which X = NR'R''. Structure

Figure 4.16: Amide.

• Example of a (poly)amide: Nylon.

Figure 4.17: Nylon.

• Lactam: A cyclic amide. Example

Figure 4.18: 2-Pyrrolidone.

- Lactams are incredibly imporant; many of us are only alive because of lactams.
- Examples of lactams: The penicillins, a class of molecules that changed the world.

Figure 4.19: Penicillin core structure.

- Varying R yields different penicillins; all penicillins share the core motif above, though.
- Penicillins were discovered by Alexander Flemming and changed the course of the world wars.
- Penicillin and amoxycillin are both  $\beta$ -lactam antibiotics.

- We now move onto Topic 3: Acyl transfer reactions.
- Subtopic 3.a: Background.
- For each X group in a carboxylic acid derivatives, let's see how good of a leaving group it is.

$$\mathbf{X} \mid \text{Cl} \quad \text{RCO}_2 \quad \text{OR} \quad \text{NR}_2 \quad \text{O}^-$$
  
 $\mathbf{p}K_{\mathbf{a}} \ (\mathbf{H}\mathbf{X}) \mid -7 \quad 5 \quad 16 \quad \approx 35 \quad \text{VERY HIGH}$ 

Table 4.1: Leaving groups in carboxylic acid derivatives.

- To be clear, we're measuring the  $pK_a$ 's of the following reactions.

$$HX + H_2O \Longrightarrow X^- + H_3O^+$$
  $K_a = ?$ 

- Example:  $HCl + H_2O \rightleftharpoons Cl^- + H_3O^+$ .
- Example:  $HO^- + H_2O \rightleftharpoons O^{2-} + H_3O^+$ .
- $-pK_a$  a theromodynamic parameter is a good measure of how good of a leaving group something is.
  - Important because acyl transfer reactions involve an X group from Table 4.1 departing.
  - Thus, knowing how stable the X group is after leaving as a conjugate base in an acid reaction can help us predict how stable it will be as a departed nucleophile in an acyl transfer reaction, and hence how likely a proposed acyl transfer reaction is to proceed.
- Let's now investigate the resonance stabilization of each of our carboxylic acid derivatives.

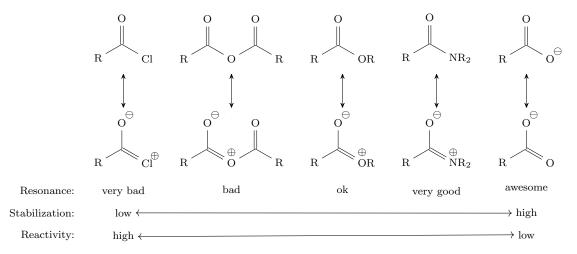


Figure 4.20: Resonance stabilization of carboxylic acid derivatives.

- The lone pairs on chlorine are high energy, so we can get some degree of resonance, but the resonance structure is very bad.<sup>[4]</sup>
- Keep in mind that we have "awesome" resonance *only* for the deprotonated, carboxylate form of a carboxylic acid; carboxylic acids, themselves, aren't nearly as stabilized.
- Stability and reactivity are clearly inversely related; it should make sense that the less stable something is, the more reactive it is!
- From Table 4.1 and Figure 4.20, we can see that the better leaving groups tend to form more reactive carboxylic acid derivatives, and vice versa!

<sup>&</sup>lt;sup>4</sup>Think about MOs! Big energy difference means bad mixing and hence poor conjugation

### 4.24 Acyl Transfer Reactions - 1

#### 11/1: • Lecture 23 recap.

- 1. Carboxyic acid derivatives.
  - Substances of the form in Figure 4.2, where  $X \neq H, R$ .
- 2. Synthesis of RCO<sub>2</sub>H.
  - Carboxylic acids (Figure 4.3):  $pK_a \approx 5$ .
  - Oxidation of (primary) alcohols and aldehydes (Figure 4.6).
  - Carboxylation of Grignard reagents (Figure 4.7).
  - Hydrolysis of nitriles (Figure 4.8).
- 3. Acyl transfer reaction.
  - $\ \ {\rm Reactivity \ decreases \ from \ acid \ chlorides} > {\rm acid \ anhydrides} > {\rm esters} > {\rm amides} > {\rm carboxylates}.$ 
    - Remember that carboxylates are anions.
    - $\blacksquare$  See Table 4.1 and Figure 4.20.
- Before we begin in earnest, let's build a bit more off of this idea of reactivity differences in carboxylic acid derivatives.

(a) Reactivity of an acid chloride.

(b) Reactivity of a carboxylate.

Figure 4.21: Reactivity of carboxylic acid derivatives toward esterification.

- Measures of reactivity tell us if a given acyl transfer reactions will be thermodynamically favorable, thermodynamically unfavorable, or thermoneutral.
  - Like any thermodynamically favorable reaction, thermodynamically favorable acyl transfer reactions are characterized by high energy reactants becoming low energy products and vice versa for a thermodynamically unfavorable reaction.
  - In a thermoneutral reaction  $(K_{eq} \approx 1)$ , the reactants and products have similar energies.
- Examples.
  - Figure 4.21a: Very favorable because acid chlorides are much more reactive than esters.
  - Figure 4.21b: Very unfavorable because carboxylates are much more stable.
  - Figure 4.21c: Thermoneutral because carboxylic acids and esters have similar reactivity.
- Today: Types of acyl transfer reactions.

- Lecture outline.
  - 3. Acyl transfer reactions.
    - a. Background.
    - b. Reactions of acid chlorides.
    - c. Reactions of esters.
      - i. Hydrolysis.
      - ii. Transesterification.
      - iii. Amide formation.
    - d. Reactions of carboxylic acids.
      - i. Fischer esterification.
      - ii. Basic esterification (not possible).
      - iii. Formation of acid chlorides.
    - e. Reactions of amides.
      - i. Acid-catalyzed hydrolysis.
      - ii. Base-catalyzed hydrolysis.
- We begin by resuming Subtopic 3.a: Background.
- The mechanism of an acyl transfer reaction.

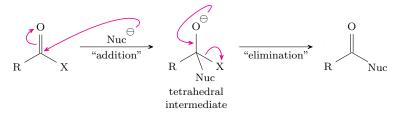


Figure 4.22: Mechanism of a (neutral) acyl transfer reaction.

- Almost always addition-elimination, not direct displacement.<sup>[5]</sup>
- First step: Addition.
  - The nucleophile adds in to the electrophylic site.
  - This gives us a **tetrahedral intermediate**, so named because of its tetrahedral carbon.
- Second step: Elimination.
  - The best leaving group leaves.
  - There can be equilibriums between which group leaves, but we won't consider those details right now.
- We now move onto subtopic 3.b: Reactions of acid chlorides.

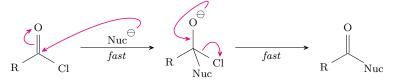


Figure 4.23: Mechanism of an acyl transfer reaction with an acid chloride.

<sup>&</sup>lt;sup>5</sup>Think about the molecular orbital reasons for why! Nucleophile donation into the C=O  $\pi^*$ -orbital (at the Bürgi-Dunitz angle) forces the C=O  $\pi$ -bond to break as the new C-Nuc  $\sigma$ -bond is formed, with the former C=O  $\pi$ -electrons migrating to become a lone pair on the more electronegative atom (oxygen).

- The addition step is fast in this case because the acid chloride is the least resonance stabilized of the carboxylic acid derivatives we've considered.
  - This is because the chlorine atom is a really bad  $\pi$ -donor; there is a large energy mismatch between the  $n_{\text{Cl}}$  and  $\pi_{\text{CO}}^*$  MOs.
- The elimination step is also fast because Cl<sup>-</sup> is a great leaving group.
  - We know that  $Cl^-$  is a great leaving group because  $pK_a(HCl) = -7$  (see Table 4.1), meaning that the conjugate base  $(Cl^-)$  is weak.
  - When the conjugate base is weaker, it's a better leaving group.
- Thus, overall, acid chlorides are very reactive and no catalyst is needed for their acyl transfer reactions.
- Aside: Like acid chlorides, acid anhydrides are very reactive and also don't need a catalyst to participate in an acyl transfer reaction.
- Example acyl transfer reaction of an acid chloride: Forming an ester.

Figure 4.24: Acyl transfer: Acid chloride to ester.

- This is a very vigorous reaction: Lots of bubbling, flask gets really hot, releases a white cloud of caustic gas (HCl).
- As such, you usually add a base to solution.
  - The base is not necessary for the reaction to work, but rather for us to be alive.
  - Indeed, the base neutralizes the acid as it's formed, making a salt:  $B + HCl \longrightarrow HB^+ Cl^-$ .
- Typical bases: Et<sub>3</sub>N or pyridine.
- Example acyl transfer reaction of an acid chloride: Forming an amide.

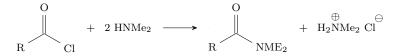


Figure 4.25: Acyl transfer: Acid chloride to amide.

- This reaction forms an amide.
  - Recall from Figure 4.20 that amides are very stable.
- We do not need an additional base this time because the amine already acts as one!
  - Indeed, a *second* equivalent of the amine forms a salt at the end of the reaction, again preventing us from dying.
- Do we need two equivalents of HNMe<sub>2</sub>?
  - If you have a valuable amine, maybe add in  ${\rm Et_3N}$  as a second base because it will do basically the same thing.
- We now move onto Subtopic 3.c: Reactions of esters.
  - Three ester reactions to consider: Hydrolysis, transesterification, and amide formation.

- We now discuss Subtopic 3.c.i: Hydrolysis of esters.
- Let's first consider the energetics of the overall reaction.

$$R$$
  $OR'$   $R$   $OH$   $OH$ 

Figure 4.26: Acyl transfer: Ester hydrolysis.

- Esters are not great electrophiles, and water is not a great nucleophile.
  - Thus, the general addition-elimination mechanism (Figure 4.22) will proceed very slowly here.
- Additionally, the reaction is thermoneutral overall ( $K_{\rm eq} \approx 1$ ), so we'll get a 50 : 50 mixture of reactants and products under many experimental setups.
- So how do we get the reaction to proceed? Two ways:
  - Use an acid to make the ester a better electrophile.
  - Use a base to make water a better nucleophile.
- Acid-catalyzed mechanism.

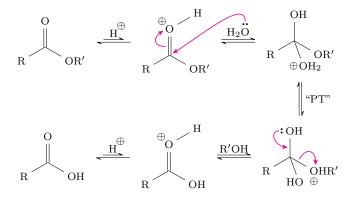


Figure 4.27: Ester hydrolysis mechanism (acid-catalyzed).

- First step: We get a small quantity of protonated, activated ester that is a much better electrophile.
- Second step: Now that we have a much better electrophile, water can add in.
- Third step: Proton transfer (PT), likely intermolecular and possibly stepwise.
- Fourth step: Elimination.
- Fifth step: Deprotonation.
- Observe that we have only drawn positively charged intermediates.
  - $\blacksquare$  If we're in a cidic solution, we should not draw any anionic intermediates!
  - This is because anions will immediately be protonated, stopping the reaction there.
- Since acid adds in at the beginning and leaves at the end, this mechanism is *catalytic* in acid.
- Basic mechanism.

Figure 4.28: Ester hydrolysis mechanism (basic).

- This is much more similar to the general mechanism (Figure 4.22): The starting material undergoes addition by hydroxide, followed by subsequent elimination.<sup>[6]</sup>
  - $\blacksquare$  However, a final deprotonation step will make the *carboxylate* the major product, not the carboxylic acid.
  - If we want the carboxylic acid, we can recover that with a water workup.
- Problem: RO<sup>-</sup> is a bad leaving group (see Table 4.1).
  - Solution: In aqueous media, RO<sup>−</sup> will be a slightly better leaving group due to hydrogen bonding with water.
  - This spreads out and stabilizes its negative charge, and also provides a nearby proton donor.
- Since carboxylates are the most stable carboxylic acid derivative we've considered (see Figure 4.20), this is a thermodynamically favorable pathway.
- Observe that analogously to Figure 4.27, we have only drawn negatively charged intermediates.
  - This is again because cations should not be formed in basic solution.
- Since one equivalent of base is used in this mechanism, it is *not* catalytic in base.
  - We may think of this pathway as base-accelerated if we prefer.
- We now discuss Subtopic 3.c.ii: Transesterification.
- Let's first consider the energetics of the overall reaction.

Figure 4.29: Acyl transfer: Transesterification.

- This reaction involves taking one ester and going to another ester.
- Usually,  $K_{\rm eq} \approx 1$  and the reaction is not very fast, so we use catalysis again.
- Acid-catalyzed mechanism.

Figure 4.30: Transesterification mechanism (acid-catalyzed).

- Mostly the same as Figure 4.27.
- Proton transfer is thermoneutral, so we'll get a mixture of the final product and the pre-PT intermediate.

<sup>&</sup>lt;sup>6</sup>A good way of introducting hydroxide base is with NaOH.

• Two methods to drive the acid-catalyzed mechanism in the forward direction.

O H+ O OMe

R OEt 
$$R$$
 OMe

(a) Excess nucleophile.

(b) Ground state destabilization and product stabilization.

Figure 4.31: Driving the transesterification equilibrium.

- Use R"OH as the solvent.
  - Example: If we want to change an ethyl ester into a methyl ester, use methanol (MeOH) as the solvent instead of just as the nucleophile (Figure 4.31a).
- Destabilize the reactants and stabilize the products.
  - Example: Use a phenyl ester (Figure 4.31b).
    - $\succ$  The phenyl ester is more electrophilic than, for example, a methyl ester. This is because the  $n_{\rm O}$  lone pair can now donate into the aromatic ring as well, lowering its electron density near the carbonyl carbon.
    - ➤ Additionally, phenol is a very stable byproduct (again, due to resonance delocalization of its lone pair).
  - Phenol was the horrible smell of paste used in nursery schools.
- Base-accelerated conditions.

Figure 4.32: Transesterification (basic).

- The mechanism is analogous to Figure 4.28.<sup>[7]</sup>
- We now discuss Subtopic 3.c.iii: Amide formation from esters.

$$\begin{array}{c|c}
O & & & O \\
R & & & & O \\
OR' & & & & R
\end{array}$$

Figure 4.33: Acyl transfer: Ester to amide.

- The mechanism is also analogous to Figure 4.28, and we don't need base because HNR<sub>2</sub> is one!
- This reaction is driven forward by the greater resonance stabilization of amides relative to esters (see Figure 4.20).

<sup>&</sup>lt;sup>7</sup>A good way of introducing alkoxide base is with NaOR.

- We now move onto Subtopic 3.d: Reactions of carboxylic acids.
- We'll begin with Subtopic 3.d.i: The Fischer esterification.

$$R$$
  $OH$   $H^+$   $OR'$   $OR'$ 

Figure 4.34: Fischer esterification.

- Combine a carboxylic acid and an alcohol under acidic conditions.
- Again,  $K_{\rm eq} \approx 1$ .
- However, we can drive the reaction forward by removal of water (either by distillation or drying agents).
- We now discuss Subtopic 3.d.ii: Why basic esterification isn't possible.
- Under basic conditions, the first thing that happens will be an acid-base reaction between the carboxylic acid and whatever base we've added to solution.

Figure 4.35: Side reaction under "basic esterification" conditions.

- This will produce a carboxylate, which (recall from Figure 4.20) is a *terrible* electrophile with a *terrible* leaving group.
- As such, we cannot do basic esterification of carboxlic acids!
- So what do we do if we want to convert a carboxylic acid into an ester but can't use acidic conditions, perhaps because there are other functional groups in our molecule that would react with acid?
- The answer lies in Subtopic 3.d.iii: Formation of acid chlorides.

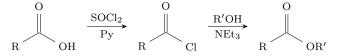


Figure 4.36: Acyl transfer: Carboxylic acid to ester.

- Essentially, we back off and run the reaction in two steps: A review reaction from 5.12 followed by Figure 4.24.
- Note that Py stands for pyridine.
- We now move onto Subtopic 3.e: Reactions of amides.
- Recall that amide-bond formation is an incredibly useful driving force in other reactions (e.g., see Figures 4.25 & 4.33).
  - As such, amides are very stable, and we might not expect them to do much.
  - Regardless, however, they hydrolyse to the carboxylic acid under acidic conditions.

• Let's first consider the energetics of the overall reaction.

$$\begin{array}{c} O \\ \downarrow \\ R \end{array} \begin{array}{c} + H_2O \end{array} \begin{array}{c} \longrightarrow \\ R \end{array} \begin{array}{c} O \\ + HNR'_2 \end{array} \begin{array}{c} \oplus \\ H_2NR'_2 \end{array} \begin{array}{c} O \\ \oplus \\ O \end{array} \begin{array}{c} O \\ R \end{array}$$

Figure 4.37: Acyl transfer: Amide hydrolysis.

- As stated above, it seems unlikely that a stable SM would become a less stable product.
  - Indeed, the first step has  $K_{\rm eq} < 1$ .
- However, we get a subsequent acid-base reaction between the carboxylic acid and amine base.
  - This forms H<sub>2</sub>NR<sub>2</sub><sup>+</sup> RCOO<sup>-</sup> (a salt), taking the reaction to near completion.
- This process is called **linking** steps!
- Linked (steps): A phenomena in which a disfavored reaction step is coupled to an irreversible reaction step to drive product formation.
- We now discuss Subtopic 3.e.i: Acid-catalyzed hydrolysis.

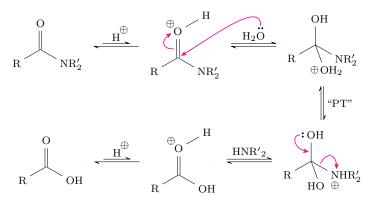


Figure 4.38: Amide hydrolysis mechanism (acid-catalyzed).

- Acid catalysis is needed because, per Figure 4.20, amides are very poor electrophiles.
  - Indeed, there is excellent  $n_{\rm N} \to \pi_{\rm CO}^*$  resonance.
- We protonate the carbonyl instead of the amide because the carbonyl has lone pairs not currently in resonance; if we protonate the amide nitrogen, the result no longer has resonance stabilization.
- Once we protonate/activate the carbonyl, the rest of the mechanism is analogous to Figure 4.27.
- We now discuss Subtopic 3.e.ii: Basic hydrolysis.

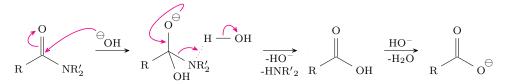


Figure 4.39: Amide hydrolysis mechanism (basic).

- Conundrum: Like with basic ester hydrolysis (see Figure 4.28), NR<sub>2</sub><sup>-</sup> is a poor leaving group.
  - However, we can once again solve this issue with a hydrogen bond to water
- Under basic conditions, we can't form the salt in Figure 4.37, but we are still thermodynamically driven toward the more stable carboxylate (see Figure 4.20).

- Application to real-world cheimstry: Wine.
- Carboxylic acids in wine.

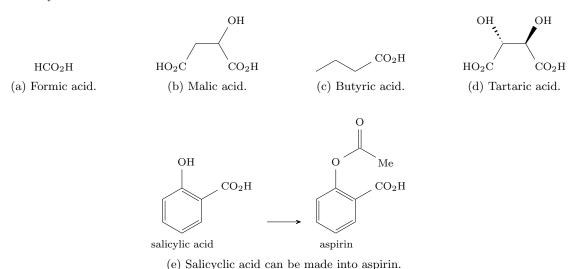


Figure 4.40: Wine contains carboxylic acids.

- Formic acid (Figure 4.40a): Used in the leather tanning industry.
- Malic acid (Figure 4.40b): An ingredient in dermatology products; a skin exfoliating agent.
- Butyric acid (Figure 4.40c): The smell in dirty gym socks.
- Salicylic acid (Figure 4.40e): No real connection to taste or smell, but it's a precursor in the synthesis of the pain medication, aspirin.
- You ever notice the crystalline material at the bottom of a wine glass?
  - It's just (2R,3R)-(+)-tartaric acid (Figure 4.40d)!
  - The potassium salt of tartaric acid (which contains the carboxylate, tartarate!) is more commonly known as cream of tartar and used in many baking recipes.
- Bonus: What does it mean to say that a bad-tasting wine is "corked?"

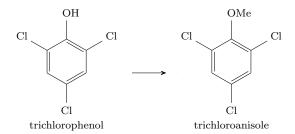


Figure 4.41: Wine can be "corked."

- It means that the wine has too much trichloroanisole, a compound that smells and tastes bad.
- Trichloroanisole can be transferred to the wine from the cork.
  - Cork comes from a cork tree.
  - Humans spraying synthetic trichlorophenol insecticides onto trees led funghi to evolve and detoxify it by adding a methyl group.
  - Trichloroanisole is then good for the funghi, but tastes bad to us.

#### 4.25 Acyl Transfer Reactions - 2

- 11/4: Lecture 24 recap.
  - 1. Mechanism of acyl transfer (Figure 4.22).
    - Proceeds via a two-step addition-elimination process and a tetrahedral intermediate.
  - 2. Acid chlorides (Figure 4.10) and acid anhydrides (Figure 4.11) are very reactive, so no catalyst is needed for their acyl transfer reactions.
  - 3. Esters have three important reactions: Hydrolysis (Figure 4.26), transesterification (Figure 4.29), and amide formation (Figure 4.33).
    - Esters are not great electrophiles, so we need an acid or base catalyst to promote their reactions.
    - We can make an amide from an ester by heating the amine and ester. The amine acts as both
      the nucleophile and the base in this case.
  - 4. Acid catalyzed esterification: Fischer esterification (Figure 4.34).
    - Driven by excess alcohol or removal of water.
    - Under basic conditions, we form an unreactive carboxylate (Figure 4.35).
  - 5. Amide hydrolysis (Figure 4.37).
    - Driving force under acidic conditions: The formation of a (very stable) salt.
    - Driving force under basic conditions (Figure 4.39): The formation of a (very stable) carboxy-late.
  - Feedback: Prof. Buchwald has heard that there's a lot of anxiety about synthesis questions, so he'll go over one example problem today, another on Wednesday, and many on Friday!
    - Source of anxiety around synthesis: There's no one right answer.
    - Positive outlook: There is more than one thing you can write down for 100% credit!
  - Example: How can we make n-butyl amine ( ${}^{n}BuNH_{2}$ ) from n-propyl bromide ( ${}^{n}PrBr$ ) and any 1-carbon compound?

$$\begin{array}{c} \underbrace{\begin{array}{c} 1. \text{ LiAlH}_4 \\ 2. \text{ H}_2\text{O} \end{array}}_{\text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_2\text{NH}_2} \xrightarrow{\text{CH}_3\text{CH}_2\text{CH}_2\text{CN}} \\ \text{(a) Pathway through the nitrile.} \end{array}$$

(b) Pathway through the amide.

Figure 4.42: TTQ: Synthesis of n-butyl amine from n-propyl bromide.

- This is a medium-difficulty question.
- We'll start with a retrosynthetic analysis.<sup>[8]</sup>
  - You may want to start by identifying the number of carbons in the starting material and product.
  - This tells us that we need to attach a CH<sub>2</sub>NH<sub>2</sub> to the starting material. How can we do this?
- We don't know too many reactions yet, but here are two possibilities.
  - Transform  $^n$ BuNH<sub>2</sub> to butyronitrile ( $^n$ PrCN). [9]
    - $\succ$  In the forward direction, we'd use LiAlH<sub>4</sub> and then H<sub>2</sub>O (a water workup).
  - Transform  $^n$ BuNH<sub>2</sub> to butyramide ( $^n$ PrCONH<sub>2</sub>).
    - ➤ In the forward direction, we'd use LiAlH<sub>4</sub> and then H<sub>2</sub>O, as well.
    - > Next step: Transform butyramide to the acid chloride via excess (XS) ammonia.
    - ➤ Next step: Transform the acid chloride to the carboxylic acid via SOCl<sub>2</sub> / Py.
    - $\triangleright$  Next step: The carboxylic acid could have come from the primary alcohol via Jones reagent. However, this route would require a 4-carbon primary alcohol starting material, which would be difficult to access from n-propyl bromide. More simply, transform the carboxylic acid to a Grignard reagent via carboxylation with  $\mathrm{CO}_2$ .
    - ➤ Final step: Transform the Grignard reagent to the original *n*-propyl bromide via magnesium metal.
- Aside (connection to real-world chemistry): In real-life synthesis problems, chemists work to make compounds as inexpensively as possible.
  - However, cost is not a consideration in 5.13.
- Prof. Buchwald's advice on 5.13-level synthesis problems: The more practice problems you do, the more you'll see how things work retrosynthetically.
- This concludes today's synthesis example; we now return to acyl transfer reactions.
- Lecture outline.
  - 4. Evidence for a tetrahedral intermediate.
    - a. Ester hydrolysis.
    - b. Amide hydrolysis (basic).
    - c. Amide hydrolysis (acidic) deferred to recitation.
  - 5. Reactions with NaBH<sub>4</sub>, LiAlH<sub>4</sub>, RMgBr, and RLi.
- We'll begin with Topic 4: Evidence for a tetrahedral intermediate.
- According to Prof. Buchwald, every acyl transfer reaction goes through a tetrahedral intermediate.
  - But Prof. Buchwald just told us this; why should we believe it's true?
  - Here's some evidence that this happens.
- Recall the general addition-elimination mechanism from last lecture (Figure 4.22).
  - Why couldn't we have the S<sub>N</sub>2-like mechanism instead?

<sup>&</sup>lt;sup>8</sup>Note that the double-lined arrows are called "retrosynthetic arrows." It is common nomenclature to see retrosynthetic arrows in the reverse direction, overset by forward arrows and conditions.

<sup>&</sup>lt;sup>9</sup>Although it was not covered in class, we could then transform butyronitrile to n-propyl bromide with CN<sup>-</sup> (see Figure 3.21). This would be a highly efficient synthesis!

- We can differentiate these two mechanisms via an isotopic labeling study.
  - Most naturally occurring oxygen is <sup>16</sup>O.<sup>[10]</sup> However, we can also use molecules containing heavy oxygen, which is interchangeably denoted as <sup>18</sup>O, <sup>18</sup>●, or just ●.<sup>[11]</sup>
  - In particular, we could run an ester hydrolysis reaction using H●<sup>−</sup> as the nucleophile and H<sub>2</sub><sup>18</sup>● as the solvent!
    - Such a reaction would yield RCOOH as the product instead of RCOOH.
    - We can then use mass spec to measure how much  $^{18}O$  has been incorporated, for example by looking at the ratio of the heights of the parent peak (RCOOH) and the  $[M+2]^{+}$  peak (RCOOH).
  - In this particular experimental setup, we will stop the ester hydrolysis process at partial conversion for reasons that will become clear shortly.
    - We can then look for  $^{18}$  in the acid and in the starting material.
- We now discuss Subtopic 4.a: Evidence for a tetrahedral intermediate in the ester hydrolysis reaction.

(a) The kinetic network for the addition-elimination mechanism.

$$\bigcap_{R \to OR} (A + e^{-1}) = \bigcap_{R \to OR} (A +$$

(b) The kinetic network for the S<sub>N</sub>2 mechanism.

Figure 4.43: Isotopic labeling to prove a tetrahedral intermediate: Ester hydrolysis.

- Figure 4.43a displays the full kinetic network of the addition-elimination mechanism.
  - $\blacksquare$  All of the little k's indicate kinetic rate constants.
  - This is the ugliness of reality: It's a very complicated kinetic network.
- Here's a rough explanation of the network.
  - We begin in the upper-left corner, with our ester and isotopically labeled H● nucleophile.
  - H● can add into the ester, yielding the tetrahedral intermediate.
  - Now we have three options: Go backwards and eliminate H•, go down and eliminate RO, go right and do proton transfer followed by eliminating HO.
    - $\succ$  Going backwards occurs with rate constant  $k_1$  from the tetrahedral intermediate.
    - $\triangleright$  Going down occurs with rate constant  $k_2$  from the tetrahedral intermediate.
    - $\succ$  Going right occurs with rate constant  $k_4$  from the tetrahedral intermediate.

<sup>&</sup>lt;sup>10</sup> "oh sixteen."

<sup>&</sup>lt;sup>11</sup>All pronounced "oh eighteen;" these notes will use these symbols interchangeably, as well, so that you get practice looking at all of the forms.

- The last option is that we could do proton transfer, and then eliminate RO $^-$ . This process occurs with rate constant  $k_3$ .
- Note that any time we eliminate  $RO^-$  ( $k_2$  or  $k_3$ ), the resultant carboxylic acid will be irreversibly deprotonated under the present basic conditions.
- HO<sup>-</sup> and RO<sup>-</sup> are comparable leaving groups (i.e., comparably good at leaving).
  - Thus, we should have  $k_1 \approx k_2 \approx k_3 \approx k_4$ .
  - So if this scheme is correct, we expect to get some  $^{18}$ O in the recovered ester, via the  $k_4$  pathway!
- Now let's consider the other possibility: Figure 4.43b displays the full kinetic network for the  $\rm S_{N}2$  mechanism.
- If we do an  $S_{\rm N}2$  reaction, we should get a stable carboxylate that does not participate in a back reaction.
  - Therefore, we should see no <sup>18</sup>O in the recovered ester SM at 50% conversion.
- Experimentally, what we find is that there is <sup>18</sup>O in the recovered ester.
  - Therefore, the tetrahedral intermediate does exist!
- If this experimental setup isn't making sense right now, go home, meditate, relax, and then look at this again under calmer circumstances.
- $\bullet$  This concludes our discussion of how an isotopic labeling study provides evidence for the existence of the tetrahedral intermediate over an  $S_N2$  pathway.
- We now move onto an isotopic labeling study of amide hydrolysis, with the goal of showing how a mechanism that proceeds through a tetrahedral intermediate can explain the following two experimental results.
  - Under basic amide hydrolysis conditions (which we stop at 50% conversion), we get lots of  $^{18}{\rm O}$  in the recovered amide.
  - Under acidic amide hydrolysis conditions (which we stop at 50% conversion), we get much less  $^{18}{\rm O}$  in the recovered amide.
- We now dive more deeply into the mechanism under basic conditions, which is Subtopic 4.b.

(a) The kinetic network for the addition-elimination mechanism.

Figure 4.44: Isotopic labeling to prove a tetrahedral intermediate: Amide hydrolysis.

- The overall scheme (Figure 4.44a) bears a great resemblence to Figure 4.43a. However, there is one key difference.
  - $H_2O$  has a much lower  $pK_a$  than  $HNR_2$  (see Table 4.1), which means that  $HO^-$  (the conjugate base of  $H_2O$ ) is a *much* better leaving group than  $R_2N^-$  (the conjugate base of  $HNR_2$ ).
  - This means that while  $k_1 \approx k_4$  and  $k_2 \approx k_3$ , we have that  $k_1 \gg k_2$ .
- This implies that under basic conditions, the initial amide equilibrates fast with the isotopically labeled amide (Figure 4.44b).
  - It follows that we'll often observe a carboxylate product with two <sup>18</sup>O's!
  - To reiterate, this is because the first gets incorporated fast, and the second happens more slowly. So by the time we do amide hydrolysis, some <sup>18</sup>O will have already been incorporated!
- A deep dive into the mechanism under acidic conditions will be covered in recitation by the TFs.
- We now move onto Topic 5: Reactions with NaBH<sub>4</sub>, LiAlH<sub>4</sub>, RMgBr, and RLi.
- Per a conversation this morning between Prof. Buchwald and Dr. Wendlandt the chemistry professor currently teaching 5.12 this should be review.
- Let's consider how our carboxylic acid derivatives react with the above four reagents.

	R Cl	$\underset{R}{\overset{O}{\swarrow}}\underset{O}{\overset{O}{\swarrow}}_{R}$	$\underset{R}{\overset{O}{\swarrow}}_{OR}$	$\overset{O}{\underset{R}{\swarrow}}_{NR_{2}}$	$\underset{R}{\overset{O}{\longleftarrow}}_{O}\ominus$
$\mathrm{NaBH_4}$	R^OH	ROH	NR	NR	NR
${ m LiAlH_4}$	R^OH	ROH	ROH	$R^{\frown}NR_2$	ROH
m R'MgBr	R'R' R OH	R'R' R OH	R'R' R OH	$\underset{R}{\overset{O}{\coprod}}_{R'}$	NR
$\mathrm{R'Li}$	R'R' R OH	R'R' R OH	R'R' R OH	$\underset{R}{\overset{O}{\bigsqcup}}_{R'}$	$\overset{\mathrm{O}}{\underset{\mathrm{R}^{\prime}}{\coprod}}_{\mathrm{R}^{\prime}}$

Table 4.2: Reactions of carboxylic acid derivatives with NaBH<sub>4</sub>, LiAlH<sub>4</sub>, RMgBr, RLi.

- Recall from Figure 4.20 that our carboxylic acid derivatives can be partitioned into...
  - More reactive compounds (acid chlorides and acid anhydrides);
  - Mid-range compounds (esters);
  - More stable compounds (amides);
  - By the far least reactive compounds (carboxylates).
- Our reagents also vary in strength.
  - NaBH<sub>4</sub> is weaker. This can be good because it's more selective!
  - LiAlH<sub>4</sub>, in contrast, is stronger and less selective.
- It follows that NaBH<sub>4</sub> will reduce acid chlorides, acid anhydrides, and ketones to primary alcohols, but it will not reduce esters, amides, or carboxylates.
  - Aside: This fact is useful in **chemoselective** syntheses!
  - For example, you could put an ester and acid anhydride in the same molecule and know that only the acid anhydride will react with NaBH<sub>4</sub>!
  - Chemoselectivity is one of the big trends in modern synthesis.
- LiAlH<sub>4</sub> reduces everything to alcohols.

- The Grignard reagent adds twice to carboxylic acid derivatives, yielding a tertiary alcohol.
  - This happens to acid chlorides, acid anhydrides, and esters.
  - Amides turn into the ketone (this is a special case!).
  - Carboxylates do not react.
- Organolithium reagents (more potent than Grignards) react exactly the same as Grignards, except that they will *also* turn carboxylates into ketones!
  - This is a very surprising result, since we've talked about how unreactive carboxylates are.
- Where do ketones and aldehydes fit into the picture?
  - Ketones and aldehydes are between anhyrides and esters, and aldehydes are more reactive than ketones.
  - NaBH<sub>4</sub> will reduce ketones and aldehydes to the primary alcohol.
  - We'll talk about this more later.
- Next time: A mechanistic explanation for Table 4.2.