

## Week 11

# Kinetics

### 11.1 Experimental Determination of Kinetic Isotope Effects

11/12:

- Lecture 18 recap.
  - The physical basis and mechanistic interpretation of kinetic isotope effects.
  - We also began discussing independent absolute rate measurement.
    - Alex reviews the discussion associated with Figure 10.18.
- Today: Experimental determination of KIEs.
  - All of these examples are pulled from Simmons and Hartwig (2012).
- Topic 2: Competition experiments.
  - Can be run a couple of different ways.
  - Most simple/natural progression from independent absolute rate measurement: Intermolecular competition.
  - Then there is intramolecular competition.
- Subtopic 2.1: Intermolecular competition.

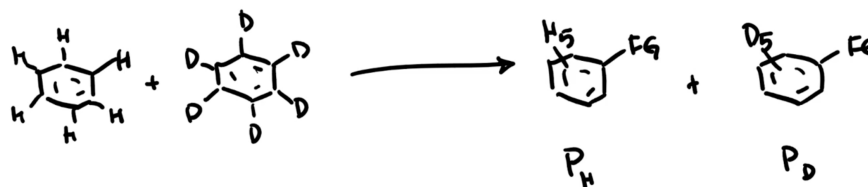


Figure 11.1: Competition experiment (intermolecular).

- Instead of running the protonated and deuterated substrates independently, throw them into the same pot at the same time.
- Take half an equivalent of the normal substrate and half an equivalent of the perdeuterated substrate.
  - It doesn't have to be half an equivalent, but this makes the analysis easier.
  - We also don't have to use the perdeuterated substrate, but it's often the easiest to make.
- We then measure the ratio of undeuterated functionalized product vs. the deuterated functionalized product.

- We can then extract our KIE from the  $[P_H]/[P_D]$  ratio.
- Caveat (this reaction is frequently run incorrectly in the literature!): We have to account for the fact that the concentrations of the starting materials are changing throughout.
  - Indeed, the product and starting material are highly dependent on the conversion.
  - The ratio of the products is equal to the ratio of the starting materials at high conversion.
  - However, if we only run the reaction to low conversion, we can assume that the concentration of the starting material hasn't changed too much! Thus, the product ratio will reflect the actual KIE.
- We can quantify products by NMR, LC-MS, GC-MS, etc.!
- So this reaction is experimentally simple to do because products are easy to quantify.
- We can measure extremely small KIEs because our product-detection methods are so good!
- There is a contrasting paradigm in which we run to large conversions and characterize the remaining starting material ratio at the end.
  - We'll get there later in the lecture.
- We have to apply a correction for conversion to extract the KIE at any conversion.
- Define

$$C := \frac{[P_H]}{[SM_H]_0} \quad R := \left( \frac{[SM_D]}{[SM_H]} \right)_t \quad R_0 := \left( \frac{[SM_D]}{[SM_H]} \right)_0$$

- $C$  is the conversion.
  - From the definition, we can tell that it is a number between 0 and 1.
- $R$  gives the isotopic enrichment at any moment  $t$ .
- $R$  is the initial isotopic enrichment.
- Thus, we can do some algebra to get a correction term that allows us to calculate the KIE from any time point.

$$\frac{R}{R_0} = (1 - C)^{k_D/k_H - 1}$$

$$\text{KIE} = \frac{k_H}{k_D} = \frac{\ln(1 - C)}{\ln \left[ (1 - C) \cdot \frac{R}{R_0} \right]}$$

- Takeaways.
  - If we can extract both the conversion  $C$  and isotopic composition  $R/R_0$ , we can extract the KIE accurately.
  - If we run these reactions in replicates, we can get *very* accurate KIEs!
- Note that at high conversions, the ratio of the deuterated to protonated starting materials goes to infinity. Symbolically,

$$\frac{[SM_D]}{[SM_H]} \rightarrow \infty$$

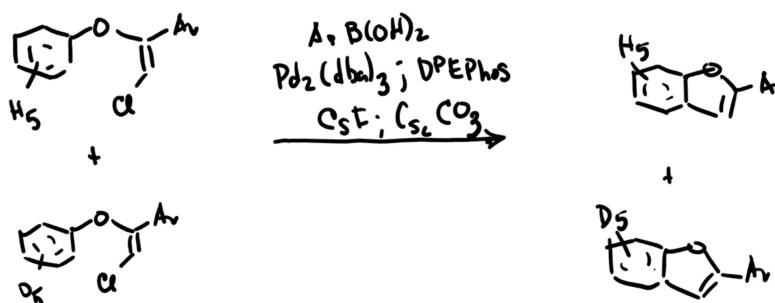
- Implication: As we get higher and higher conversions, we'll eventually reach a point where we only have a few molecules of starting material left, and almost all of them are the deuterated ones.
- This high-conversion exaggeration makes measurement easier.
- Indeed, at ultra-high conversions, we can get extremely accurate measurements for even very small KIEs!

- Example: Kinetic isotope effects can narrow down which steps are or are not rate-determining.



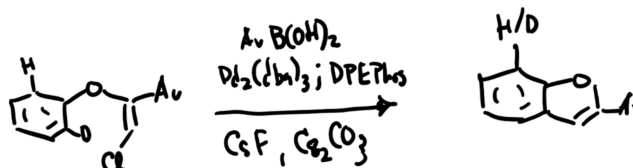
Figure 11.2: Assigning peaks on a potential energy surface by using kinetic isotope effects.

- Consider the reaction of H<sub>5</sub>- and D<sub>5</sub>-isotopologues run both under a palladium-catalyzed arylation.



- Meant to be a Suzuki coupling (there's a boronic acid in there), but that ended up being irrelevant to the chemistry.
- In reality, the observed products are ring-closed.
- We have *no* intermolecular KIE (that is,  $k_H/k_D = 1.0$ ).
  - This means that the rate of reaction is *not* determined by the presence or absence of heavy isotopes.
  - It follows that C–H/D cleavage is *not* the rate-determining step!
- What does this mean in terms of the potential energy surface?
  - It means that the largest peak (the RDS) does *not* involve C–H/D cleavage, but one of the other peaks could.
- Reference: Simmons and Hartwig (2012).
- Subtopic 2.2: Intramolecular competition.
- Example: Kinetic isotope effects can probe post-rate determining steps!

- Consider the same palladium-catalyzed arylation, but our substrate has one H and one D that can be cleaved.



- Then you can quantify the amount of H vs. D at the *ortho*-position in the product and extract an intramolecular KIE of 4.

- Thus, we have probed a post-rate determining step!
- In this case, oxidative addition to the  $sp^2$ -Cl is believed to be rate-determining; but we can still use this intramolecular KIE to learn something useful for mechanistic analysis or further reaction development.
- Reference: Simmons and Hartwig (2012).
- General structure of intramolecular KIEs.

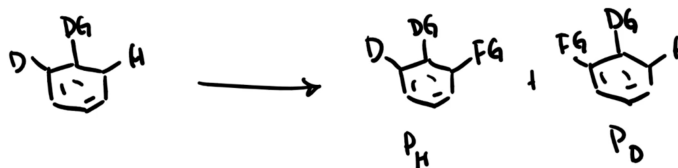


Figure 11.3: Competition experiment (intramolecular).

- We design a symmetric reactant with a donating group, an H on one side, and a D on the other side.
- Then the KIE can be rigorously extracted from

$$\text{KIE} = \frac{[P_H]}{[P_D]}$$

at *any* conversion.

- We get to use any conversion because the reaction does *not* enrich the isotopic composition.
  - The (local) concentrations of H and D are fixed by the synthesis of the molecule!
- This method gets us an “intrinsic” KIE, even for post-rate limiting steps.
- To recap.
  - Independent, intermolecular, and intramolecular.
  - The results depend heavily on the conditions we use!
- Topic 3: Heavy atom KIEs.
  - We’ll talk a bit more about the measurement of extremely small KIEs here.
  - The most common heavy atoms to investigate are  $^{12}\text{C}/^{13}\text{C}$ .
    - However, it can also be N, O, P, Cl, etc.
  - The magnitude tends to be small because of the smaller change in reduced mass (see Table 10.2).
  - Example:  $^{12}\text{C}/^{13}\text{C}$  KIEs tend to be 1.0-1.05.
    - 1.05 is large, even — by  $^{12}\text{C}/^{13}\text{C}$  standards, that is!
  - Because we have a small enrichment that is difficult to measure, it is very important to use sensitive methods.
  - This also means that we can pretty much only measure *primary* heavy atom KIEs; secondary heavy atom KIEs are usually too small to measure.
  - Reference: Dale et al. (2021).
    - Alex highly recommends to learn more about all aspects of heavy atom KIEs.

- We experimentally measure heavy atom KIEs using a series of experiments developed in the '90s.
- The most common is the Singleton Method for KIE determination.
  - This is a determination done at the natural abundance of the various isotopologues.
- Singleton's key insight #1:  $^{13}\text{C}$  is a naturally occurring (typically 1.1% abundance) heavier isotope of  $^{12}\text{C}$ .
  - It follows that every molecule is already labeled with this heavy isotopologue, and already labeled at every position.
- Singleton's key insight #2:  $^{13}\text{C}$  can be measured via  $^{13}\text{C}$  NMR for quantitation.
- Both of these insights are important because  $^{13}\text{C}$  precursors are few and far between, and they're expensive! Labeling a certain position can be very difficult (and expensive).
- Singleton's key insight #3: Recall that  $R/R_0 = (1 - C)^{1/\text{KIE} - 1}$ . As  $C \rightarrow 1$ ,  $R/R_0$  becomes very sensitive to the KIE.

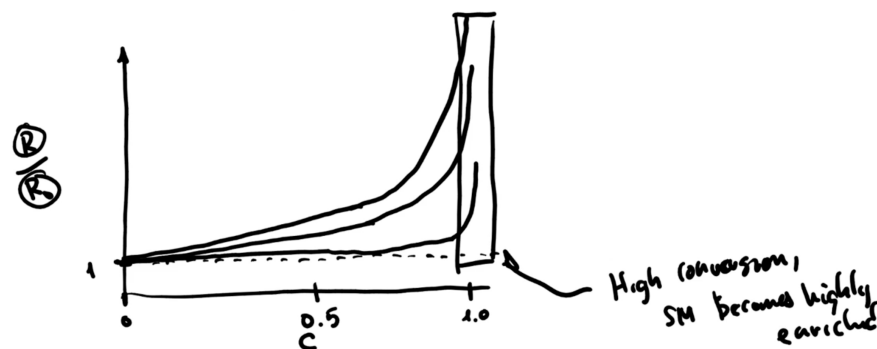


Figure 11.4: Isotopic enrichment at high conversions.

- We can visualize this relationship through a series of plots of  $R/R_0$  vs.  $C$ .
  - If we run a reaction with a KIE of 1.0, we'll have  $R/R_0 = 1$  at any  $C \in [0, 1)$ .
  - If we run a reaction with even a KIE of 1.1, we'll get enrichment in the slower-reacting isotope later on that leads to larger KIEs!
- Takeaway: At sufficiently high conversions, the starting material becomes highly enriched in the slow-reacting isotopologue.
- Numerical data in support of Figure 11.4.

$C$	$R/R_0$
0.5	1.03
0.75	1.07
0.9	1.12
0.99	1.25

Table 11.1: Isotopic enrichment at high conversions.

- Suppose the light over heavy rate constant ratio ( $k_L/k_H$ ) is fixed equal to 1.05.
- We can get extremely accurate KIE measurements for even very such a small intrinsic KIEs, provided again that we run to sufficient conversions.

- Example: Measuring heavy atom kinetic isotope effects for an intermolecular reaction.

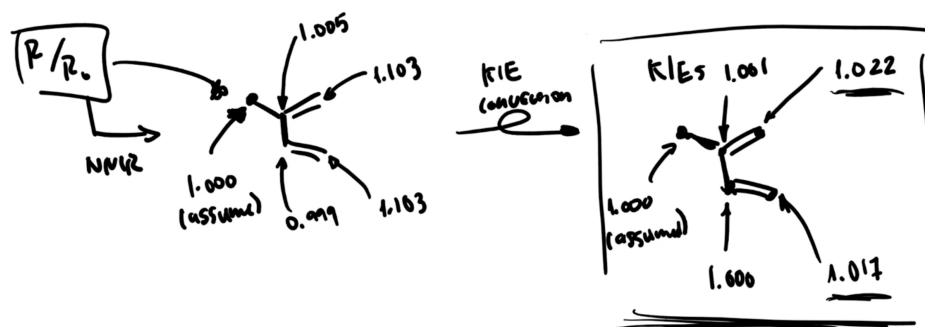
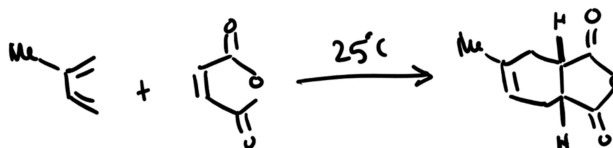


Figure 11.5: Singleton method for intermolecular heavy atom kinetic isotope effects.

- Consider the following Diels-Alder reaction.



- It was run to a conversion of 98.9%.
  - We then looked at the  $R/R_0$  ratio in the diene starting material.
    - We do this with NMR measurements.
    - Assume that there is a position in the molecule (e.g., the remote methyl) that is not isotopically sensitive, and hence has  $KIE = 1.000$ .
      - If we pick our site well, this is a reasonable assumption.
    - We then measure the raw integrals at the other sites.
    - Take these integrals, put them back into the equation we derived previously to obtain the KIE ratio.
      - Example:
- $$KIE = \frac{k_H}{k_D} = \frac{\ln(1 - 0.989)}{\ln \left[ (1 - 0.989) \cdot \frac{1.103}{1.000} \right]} = 1.022$$
- Conclusion: The biggest KIEs are at the terminal methyl groups (as expected from the Woodward-Hoffmann rules; this is another confirmation!), and we get a slight improvement in rate on the side near the methyl group.
    - It would probably be prohibitive to label each position in the diene, but just a good mathematical knowledge of conversions gets us everything we need.
  - Reference: Singleton and Thomas (1995).

- Limitations of the Singleton method.

- We need a large amount of sample.
  - This is because we're running the reaction to high conversion, but need to isolate the starting material.
  - So in order to get accurate NMRs, we need sufficiently high concentrations of the sample.
  - We can run Diels-Alders on nearly mole scales, and potentially isolate grams; that's why the previous example worked.
- The reaction must be irreversible.
  - If it isn't, we're going to get equilibrium isotope effects mixed in.

- The results can be difficult to interpret.
  - Any individual number might not be too helpful, but with modern quantum mechanical calculations, we can match our results to a DFT-computed potential energy surface!
  - This will show that one pathway has a better experimental match with KIEs.
  - This is good evidence for a mechanistic course!!
- Natural abundance experiments can be run in both inter- and intramolecular modes.
- Example: Measuring heavy atom kinetic isotope effects (aka “natural abundance experiments”) in an intramolecular mode.

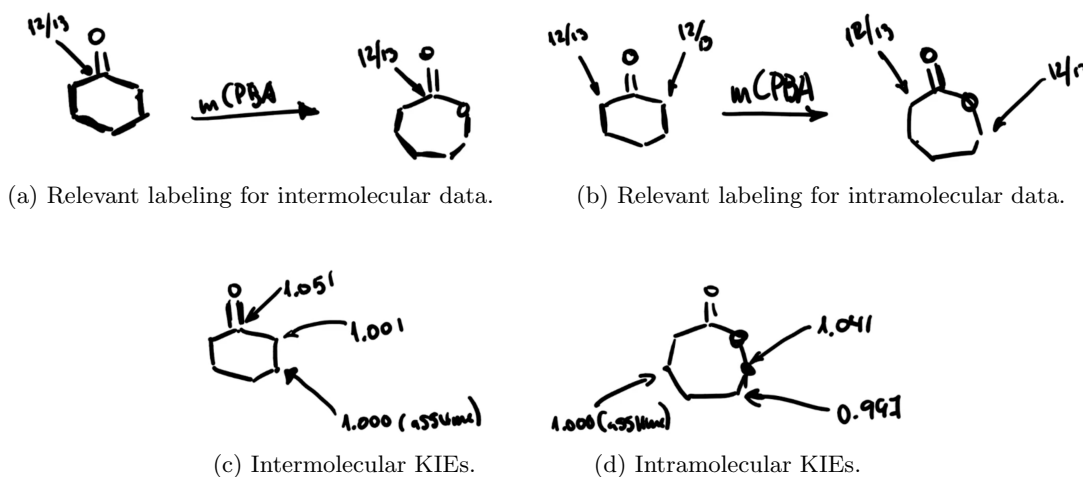
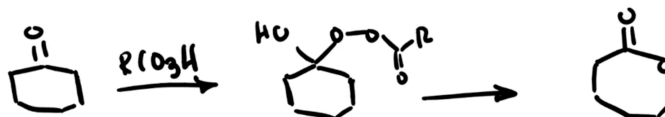


Figure 11.6: Singleton method for intramolecular heavy atom kinetic isotope effects.

- Consider the Baeyer-Villiger reaction.



- A ketone reacts with a peracid.
- The mechanism is believed to proceed through a hemiacetal, followed by ring expansion to the lactone.
- So we have a two-step mechanism.
  - We can probe the first step with natural abundance KIE to determine whether or not hemiacetal formation is rate-determining.
  - Simultaneously (in the same pot/set of experiments), we can probe the second step with an intramolecular heavy atom KIE.
- Intermolecular variant: Consider the labeling at the *ipso*-position.
  - Run this reaction to a known conversion, quantitate that conversion well, isolate the starting material, and quantitate its  $^{12}\text{C}/^{13}\text{C}$  well (using, e.g., mass spec).
  - Isotopic enrichment of the starting material, here, is conversion-dependent (because it's affiliated with the RDS).
  - We assume that the  $\beta$ -position has an isotopic enrichment of 1.000.
  - The resultant significant isotopic fractionation of the starting material ( $\text{KIE} = 1.051$ ) implies that the initial conversion of the ketone to the acetal is rate-determining.

- Intramolecular variant: Consider the labeling at the  $\alpha$ -positions.
  - Isotopic enrichment of the product, here, is conversion-independent (because it's post-RDS).
  - We assume that the  $\beta$ -position has an isotopic enrichment of 1.000.
  - The resultant significant isotopic fractionation of the product ( $\text{KIE} = 1.041$ ) implies that the migration step occurs after the RDS, and involves the  $\alpha$ -position.
- It is somewhat counterintuitive that hemiacetal formation (typically fast) would be rate-limiting!
- Reference: Singleton and Szymanski (1999).
- Takeaways from today.
  - We get deep and important information about reaction courses, rate-determining steps, etc. from isotope effects.
- Next time: Kinetics and kinetic rate laws.