

## Chapter 17

# Carboxylic Acids and Derivatives

### 17.1 Carboxylic Acids and Derivatives 1

- 4/7:
- We now consider compounds that have heteroatoms where the  $\alpha$  carbon of the carbonyl used to be.
    - The heteroatoms can be oxygen (esters), nitrogen, etc.
  - Today, we will do oxygen and nitrogen nucleophiles but in this context.
    - Next Tuesday, we will do carbon and hydrogen nucleophiles in this context.
  - Carboxylic acid derivatives.

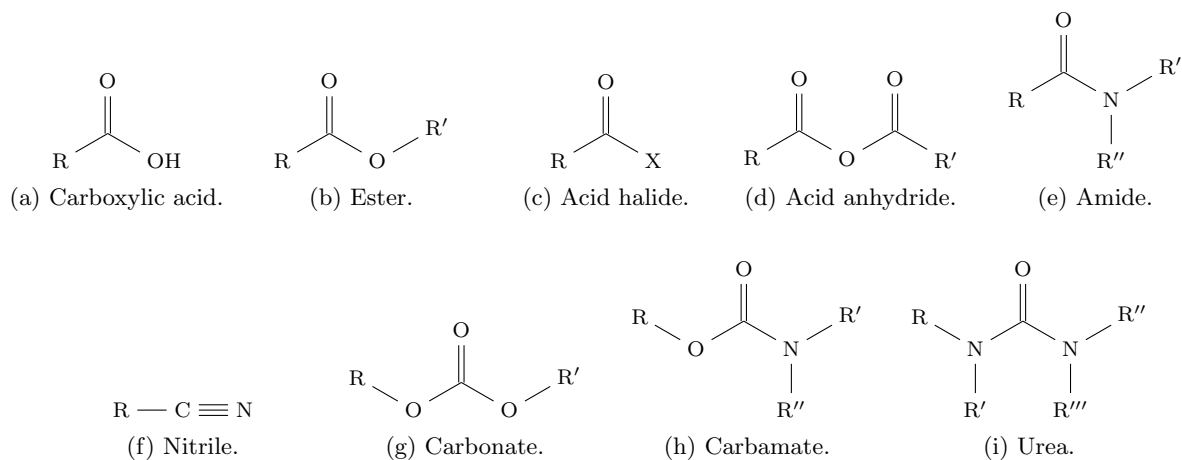


Figure 17.1: Carboxylic acid derivatives.

- Once again, we will not be tested on nomenclature, but it's good to know.
- Acid anhydrides are so named because it is two carboxylic acids, minus a water molecule.
- Nitriles are still a carbon bonded to three heteroatoms; it's just the same heteroatom.
- A key property of carboxylic acids is that they're... acidic.
- Acidity.
  - Gives the  $\text{p}K_{\text{a}}$ 's of benzoic acid, benzyl alcohol, and phenol to demonstrate that resonance is king in determining acidity.
    - Benzoic acid is more acidic than phenol, which is more acidic than benzyl alcohol.

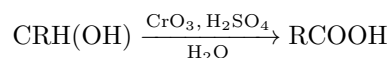
- Inductive effects (changes to the  $\alpha$  carbon) play a smaller role.
- EWGs on arene rings when present play an even smaller role.
- These latter two effects allow us to fine-tune acidity.

- Methods of carboxylic acid synthesis.

1. Overoxidation.
2. Carboxylation of Grignards or lithiates.
3. Nitrile hydrolysis.

- Overoxidation.

- General form.



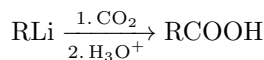
- Note that the reagents constitute Jones reagent.

- Mechanism.

- Virtually identical to that from Labalme (2022).

- Carboxylation of Grignards and lithiates.

- General form.



- Note that we may use either lithiates (RLi) or Grignards (RMgBr), even though only an organo-lithium compound is shown above.

- Mechanism.

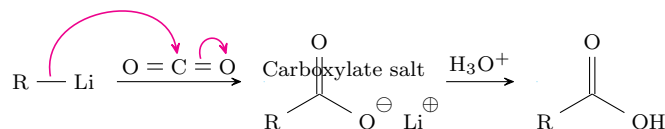


Figure 17.2: Carboxylation of lithiates mechanism.

- Mechanistic interlude: Nucleophilic acyl substitution.

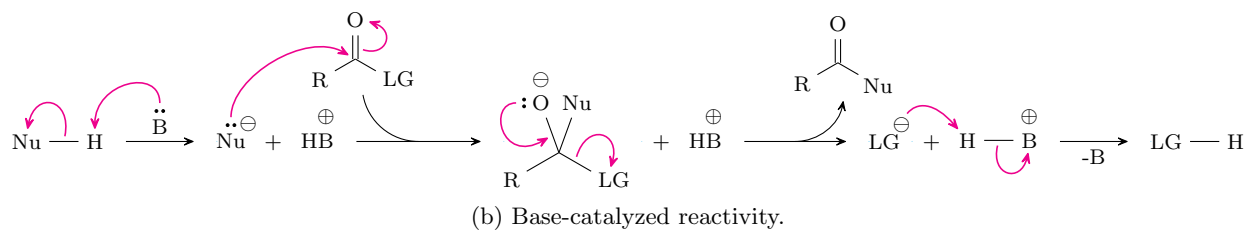
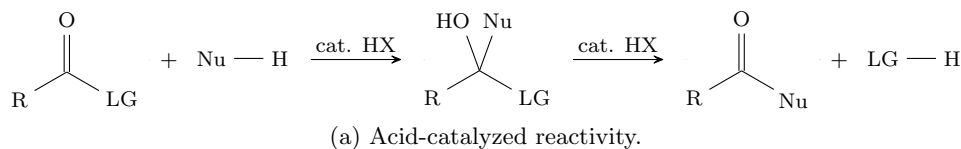


Figure 17.3: The typical reactivity of carboxylic acid derivatives.

- This mode of reactivity is the one that is most typical of carboxylic acid derivatives.

- It is so-named because the portion of a carboxylic acid derivative that is not the leaving group is called an acyl group, and we are substituting one group on the acyl for another.
- Think of all of the carboxylic acid derivatives (see Figure 17.1) as containing a leaving group on one of their sides.
  - When these compounds react nucleophiles, the nucleophile replaces the leaving group.
- These reactions are either acid- or base-catalyzed.
  - In the acid-catalyzed version (Figure 17.3a), the first step proceeds exactly as in Figure 16.8a, except that  $R' = LG$ . The second step proceeds exactly as in Figure 16.8b, except that it is the leaving group that is protonated and kicked out instead of the nucleophile we just added in.
  - The basic mechanism is related to Figure 16.9, but rather than being a straight replication, the alkoxide species produced in Figure 16.9a proceeds straight to the reactivity of the alkoxide in Figure 16.9b (see Figure 17.3b).
- **Tetrahedral intermediates:** The nucleophilic acyl substitution intermediates (of both the acidic and basic pathways) that have four groups attached to the central carbon.

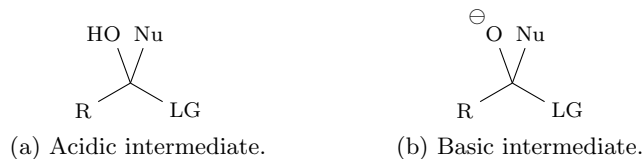


Figure 17.4: The tetrahedral intermediates.

- Historically, the name arose when scientists were arguing about whether or not an  $sp^3$  carbon could be in this reaction. Some scientists supported the theory that these tetrahedral intermediates existed, while others disagreed.
- Nitrile hydrolysis.
- General form.
 
$$RCN + H_3O^+ \longrightarrow RCOOH + NH_4^+$$
  - Note that here we're using a stoichiometric full equivalent of acid, not just catalytic acid, because we are liberating ammonia which mops up our acid, forming  $NH_4^+$  as a byproduct.
  - The existence of this reaction is the reason we consider nitriles to be carboxylic acid derivatives (i.e., because we can interconvert them with carboxylic acids).
- Mechanism.
  - Note that the fourth intermediate is one deprotonation away from being an amide.
    - However, the reaction conditions do not produce an amide but continue as drawn to a carboxylic acid.
    - This is because in general, the amide oxygen is more basic than the nitrile nitrogen, so if the conditions are such that the nitrile will begin the reaction, the amide will certainly finish it.
  - Note that there are some enzymes that can stop at the amide through various mechanisms that recognize one species as substrate but not another.
  - Every once in a while, people will claim that they've isolated the amide in this mechanism, but these results are hard to reproduce because of the above facts.
  - If we do add up all of the equivalents of water and acid added, we can see that only one equivalent of acid is added, overall (and two equivalents of water).
- Dehydration of amides.

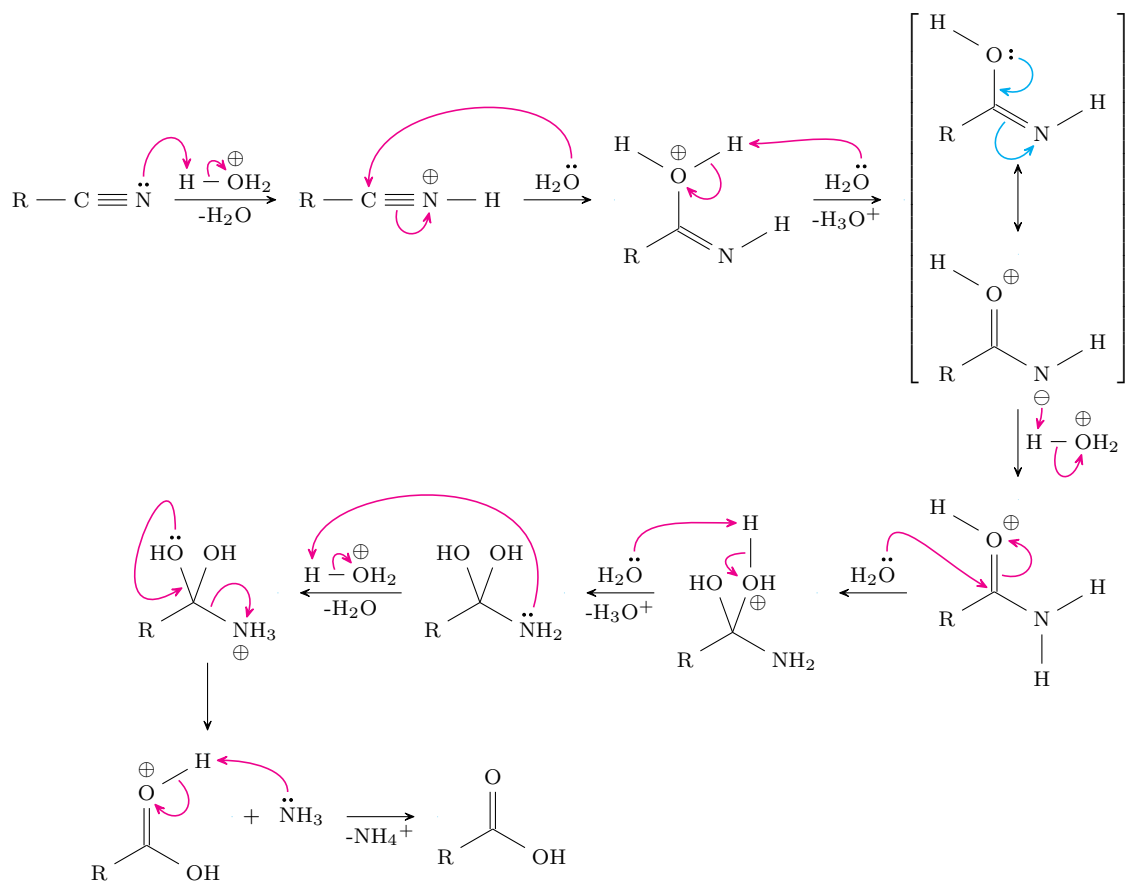
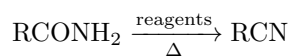


Figure 17.5: Nitrile hydrolysis mechanism.

- General form.



- This is the reverse reaction to nitrile hydrolysis.
- Reagents is either  $\text{SOCl}_2$  or  $\text{POCl}_3$ .
- $\text{SOCl}_2$  and  $\text{POCl}_3$  are **dehydrating agents**.

- **Dehydrating agent:** A chemical that drives conversions in which water is lost from a molecule.

- Notice how the amide overall loses two hydrogens and an oxygen (i.e., a water molecule overall) in Figure 17.6.

- Mechanism.

- Part of the reason the amide oxygen is such a good nucleophile is because the nitrogen can participate, as in step 1 above.
- Driving force: Kicking out a gas ( $\text{SO}_2$ ) and chloride.
- Note that the mechanism implies that we must have an amide with two H's (esp., we cannot have one or two R groups in their place).
- Although only the mechanism for  $\text{SOCl}_2$  is illustrated, the mechanism is virtually identical for  $\text{POCl}_3$ .

- Comparing methods 2 and 3 of synthesizing carboxylic acids.

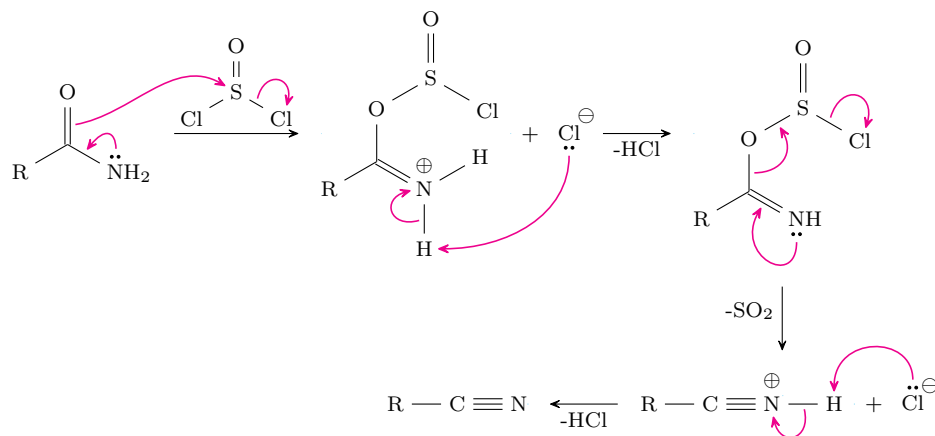


Figure 17.6: Dehydration of amides mechanism.

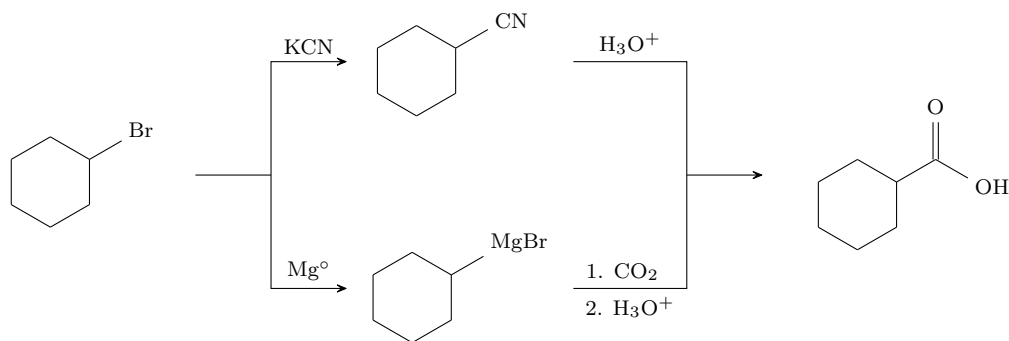


Figure 17.7: Two ways to synthesize a carboxylic acid from an alkyl halide.

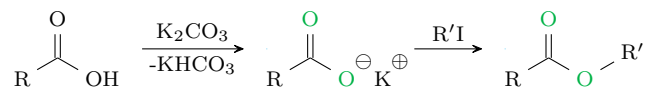
- Both carboxylation and nitrile hydrolysis achieve the same end result from the same starting material, begging the question of why both are necessary.
- The answer lies in the fact that both suit different types of reaction conditions.
- Carboxylation is strongly basic, so we can't use molecules with free H's.
- Nitrile hydrolysis proceeds through  $S_N2$  to start, so we can't use tertiary bromides.

■ This is important on part of PSet 1!

- Methods of ester synthesis.

1. Nucleophilic.
2. Fischer esterification.

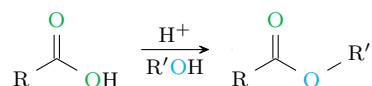
- Nucleophilic.
- General form.



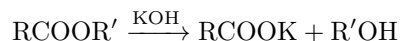
- We deprotonate the carboxylic acid using a relatively weak base.

■  $\text{K}_2\text{CO}_3$  is often the weak base of choice because it's insoluble in most solvents but will react in a biphasic mixture.

- Additionally, since  $\text{KHCO}_3$  is usually insoluble and the carboxylate is typically soluble in the organic solvent in which the reaction is being carried out, it's really easy to separate the two.
  - The second step proceeds via an  $\text{S}_{\text{N}}2$  mechanism, so methyl or primary alkyl halides are best.
  - Note that the two initial oxygens (green) proceed through the whole of the process and end up in the product.
- Fischer esterification.
- General form.



- The acid is a catalyst, and we need an excess of the alcohol, which we typically just use as our solvent.
  - Reasons we need an excess of the alcohol.
    - This is essentially a thermoneutral reaction; there's not a great thermodynamic driving force between the carboxylic acid and ester.
    - Thus, the only way to get the reaction to go forward is to overwhelm it with an excess of the alcohol so that Le Châtelier's principle comes into play.
  - Removing water can also help drive the reaction.
  - $\text{H}_3\text{O}^+$  (i.e., excess water) reverses the reaction.
  - Note that the mechanism here is a nucleophilic attack, and it is the *methanol* oxygen (blue) that gets incorporated into the final ester (whose initial oxygens are colored green).
- **Saponification:** Subjecting an ester to a single equivalent of  $\text{KOH}$  (or any other hydroxide base) to form the carboxylate and the alcohol.
  - This is very old chemistry.
  - Sapon- is the Latin prefix for soap.
  - Ancient peoples discovered that combining and heating animal fat, wood ash, and a bit of water creates soap.
  - Combining triglycerides with pot ash yields glycerol soap and long-chain fatty acid carboxylates.
    - Pot ash is where we get the name for potassium, because the ashes from a wood stove are rich in potassium hydroxide.
    - Fatty acid carboxylates serve to solublize grease in water because the lipid end interacts with the grease and the carboxylate end interacts with the water. This is how all soaps work!
- General form.



- The carboxylate is an end-stage product. Resonance delocalizes the negative charge over the carbon atom, significantly decreasing its electrophilicity and hence its capacity to participate in future reactions.
  - The presence of basic conditions make it so that this reaction is not reversible. Indeed, if we mix a base with  $\text{RCOOH}$ , we will just deprotonate the acid and return to the carboxylate form.
- Mechanism.
  - Hydroxide attacks the ester as a nucleophile, and  $\text{OR}^-$  leaves to form a carboxylic acid. But  $\text{OR}^-$  (a strong base) will then deprotonate  $\text{RCOOH}$  (a strong acid) to form the carboxylate and alcohol.

- Acid chloride synthesis.
- General form.



- Pyridine is not strictly necessary, but it greatly increases the reaction rate.
- Driven in a similar way to the dehydration of amides; we release  $\text{SO}_2$  gas, expel a water molecule, and mop up the extra  $\text{Cl}^-$  with pyridine.

- Mechanism.

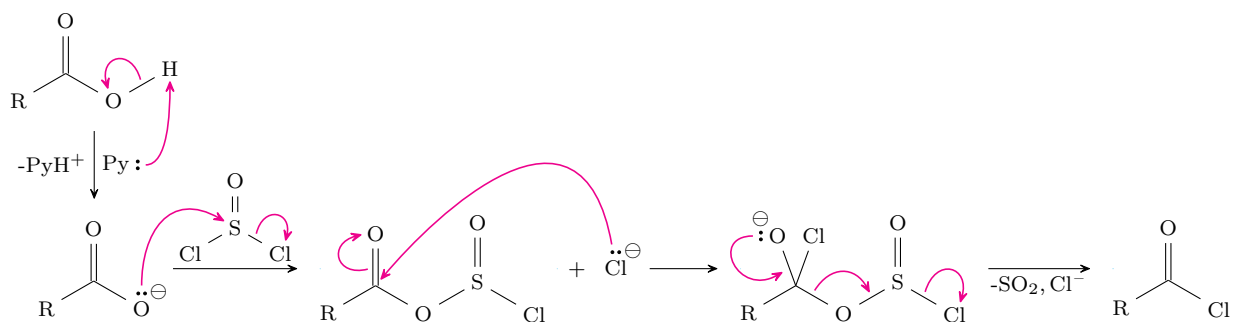
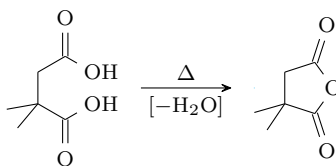


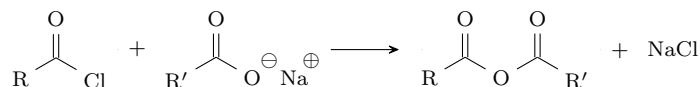
Figure 17.8: Acid chloride synthesis mechanism.

- Since chloride is a fairly weak nucleophile, its addition in step 3 takes a while and is reversible.
    - However, this step is driven in the forward direction by releasing  $\text{SO}_2$  gas from the resulting tetrahedral intermediate (Le Châtelier's principle).
  - Anhydride synthesis.
  - General form (standard).
- $$2 \text{RCOOH} \xrightarrow[\text{[-H}_2\text{O]}]{\Delta} \text{RCOOCOR}$$
- High heat is required.
  - If you use two different carboxylic acids, you will get a statistical mixture (no real selectivity).
  - You can selectively create 5-6 membered rings containing anhydrides because this reaction proceeds intramolecularly as well as intermolecularly.
  - General form (intramolecular).



- In particular, if you have a single molecule with two different carboxylic acid groups 2-3 carbons apart, then heating a sample of said molecule while removing water will result in a ring-closing anhydridization.
- If we want to make a ring with another number of carbons, we should go through acid chlorides (see below).
- A way to selectively create anhydrides is via acid chlorides and sodium carboxylates.
- Mixed anhydride synthesis.

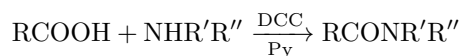
- General form.



– This reaction proceeds via nucleophilic substitution.

- Amide synthesis.

- General form.



- Mechanism.

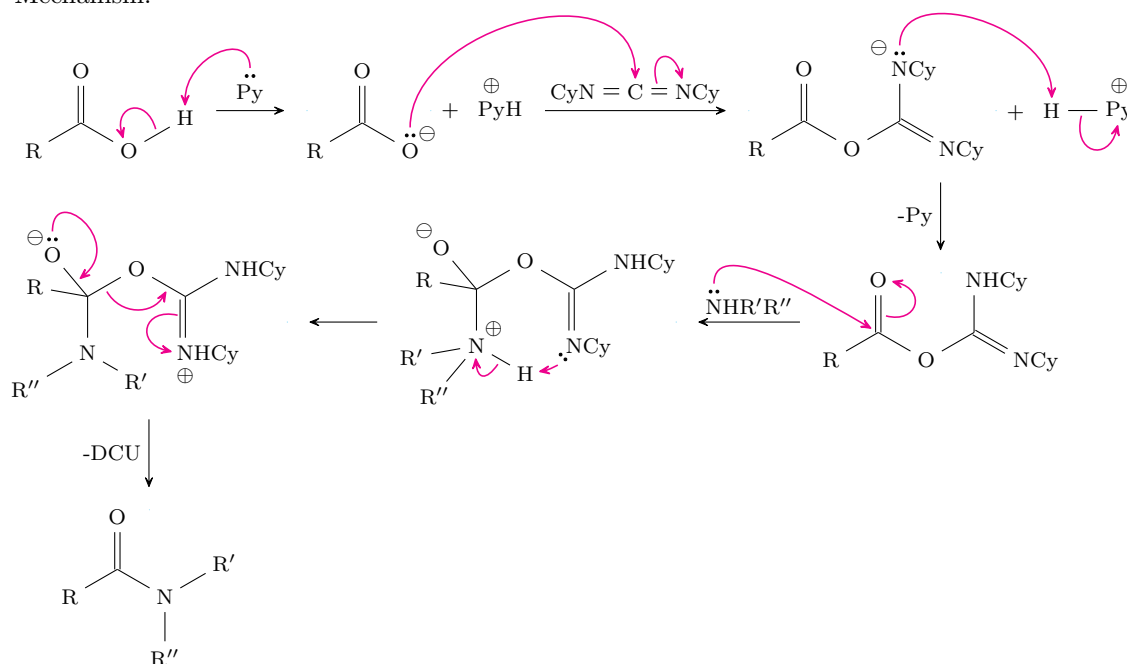


Figure 17.9: Amide synthesis mechanism.

- Note that as in other mechanisms, DCC eventually transforms into a type of leaving group.
- Normally, we use external reagents for proton transfers because doing an internal one would in most cases involve a transition state with a 4-membered ring, which is highly strained.
  - However, in step 5 here, we can do an internal proton transfer because the transition state's conformation is that of a 6-membered ring.

- **DCC:** Dicyclohexylcarbodiimide, a dehydrating reagent key to amide synthesis. *Structure*

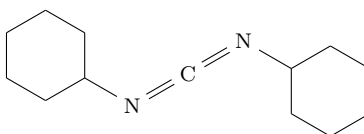


Figure 17.10: Dicyclohexylcarbodiimide (DCC).



- DCC reacts with water as follows.

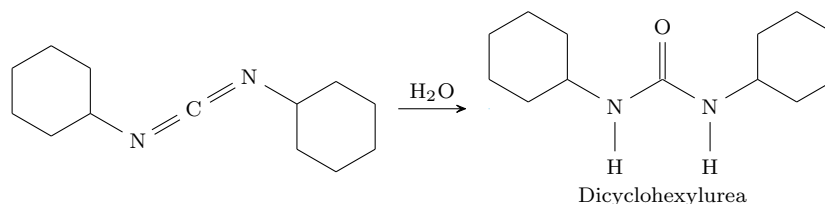


Figure 17.11: DCC and water.

- **DCU**: Dicyclohexylurea, the product of the reaction of DCC and water.
- Reactivity scale.
  - acid chloride > anhydride > ester > amide > carboxylate
  - It should make intuitive sense that acid chlorides are the most reactive carboxylic acid derivatives and carboxylates are the least.
    - Acid chlorides have an electronegative group on the already electrophilic carbon, exacerbating the molecular dipole.
    - Carboxylates delocalize their negative charge over the carbon (as discussed earlier), greatly reducing or eliminating the molecular dipole.
    - A good rule of thumb is that the compound with the best leaving group and worst nucleophile (an acid chloride) is the most reactive, and vice versa in that the compound with the worst leaving group and the best nucleophile (a carboxylate) is the most reactive.
  - What we mean by “reactivity” is that compounds higher on the reactive scale can react with an appropriate nucleophile to become compounds lower on the scale.
    - For instance, we can take an acid chloride to an anhydride, ester, amide, or carboxylate (and we have reactions to do that), but we cannot take all (or any) of these molecules back to an acid chloride without forcing conditions.
    - Some things that qualify as forcing conditions are the use of acidic conditions and dehydrating reagents.
    - In other words, this reactivity scale is for the compounds in basic media with no dehydrating reagents present.
- MCAT comments.
- Trialkyl amines and pyridines.
  - According to our reactivity scale, we should be able to react  $\text{NEt}_3$  with  $\text{RCOCl}$  to yield an amine, for example.
    - However, this leads to a positively charged nitrogen in the amine that cannot be quenched (e.g., by deprotonation). Thus, this is a highly reversible reaction that favors the reactants.
  - Similarly, we should be able to react an anhydride with pyridine.
    - But since pyridine cannot be deprotonated either, the reactants are favored in this reversible reaction once again.
- However, this implies that pyridines can be used to catalyze nucleophilic acyl substitutions.
- **DMAP**: Dimethylaminopyridine, which is one of the best catalysts for nucleophilic acyl substitutions.
 

*Structure*

  - Levin gives an example synthesis using DMAP, namely nucleophilic addition to an anhydride.

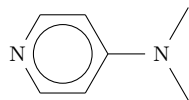


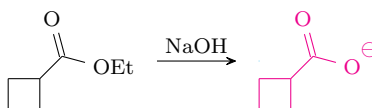
Figure 17.12: Dimethylaminopyridine (DMAP).

- In essence, DMAP adds to the carbonyl, kicks out the leaving group, and then the nucleophile adds to the carbonyl and kicks out DMAP.
- Adding DMAP can accelerate a reaction that would take overnight to taking only a few minutes.
- Acid chlorides, anhydrides, and esters all create the same product (an amide) when reacting with an amine.
  - But, you need only one equivalent of the amine for esters while you need two equivalents for the first two.
  - This is because of the  $pK_a$ 's.
    - In order of increasing  $pK_a$ , we have  $HCl < RCOOH < NR_2H_2^+ < ROH$ .
    - Thus, the first two byproducts ( $HCl$  and  $RCOOH$ ) protonate amines in solution, whereas  $ROH$  does not.

## 17.2 Discussion Section

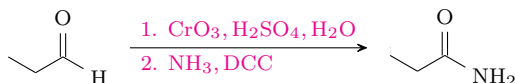
- 4/8:
- We will be working with hot sand baths in the next lab, so just leave them to cool and do not dispose of the contents unless you're sure they're cool.
  - Practice problems.

1.



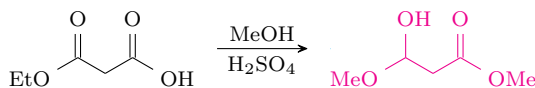
- We form a  $COO^-$  ion instead of the carboxylic acid because we are in basic solution.
- The mechanism is a nucleophilic attack on the carbonyl, the oxygen electrons swinging back down and kicking out  $EtO^-$ , and then deprotonation of the acid.

2.



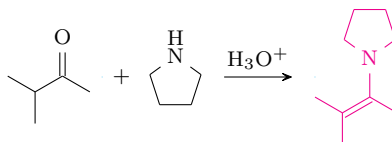
- The intermediate after step 1 is the carboxylic acid, as we have used aqueous Jones reagent.

3.



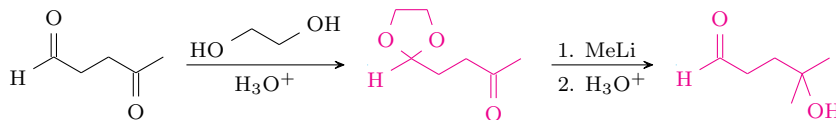
- The reaction of the ester (left) is called **transesterification**; the reaction of the carboxylic acid (right) is called ether formation.
- It's important to know that you can get ester formation in both of these cases.
- This is a common problematic side reaction in synthetic chemistry.
- Mechanism: Methanol attacks each carbonyl, the other group leaves, and then deprotonation.

4.

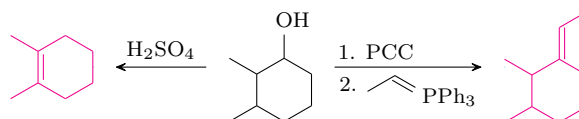


– We choose this enamine as the major product by Zaitsev's rule.

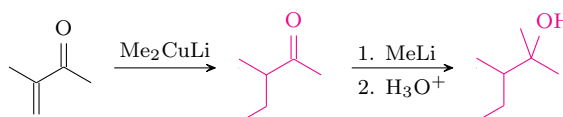
5.



6.



7.



### 17.3 Office Hours (Levin)

4/11: •  $\alpha, \beta$ -unsaturated carbonyls?

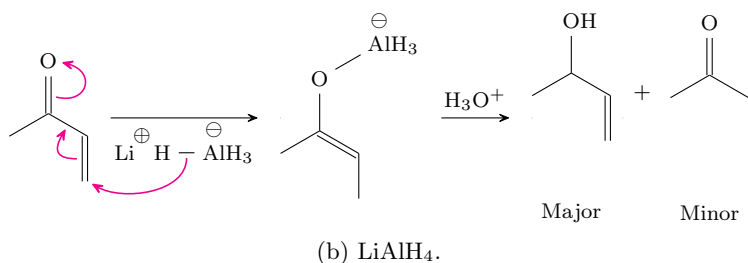
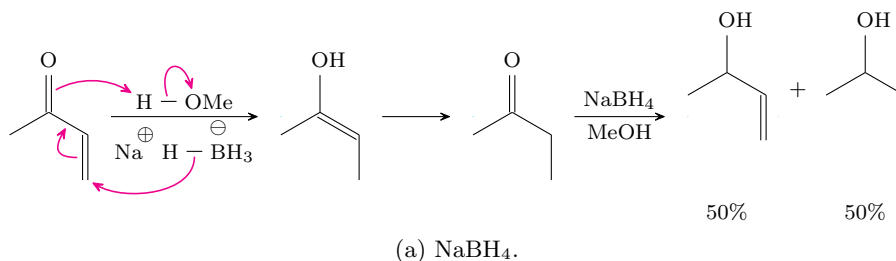


Figure 17.13: Reduction of  $\alpha, \beta$  unsaturated compounds.

- Levin's predictions basically line up with those from Labalme (2022), although he has a different way of deriving them.
- The 1,2-reduction product is the same in both. But for the  $\text{NaBH}_4$ , you get full reduction as the other major byproduct.
- We will never be asked to use this reaction synthetically because it is not selective.

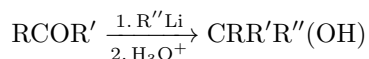
- We're most likely to encounter alkyllithiums or cuprates. The thing to keep in mind with the messy ones is that they're messy. We're more just interested in introducing enolate chemistry with these.
- Problem Set 1, Question 3a: We form one bond with the best stereochemistry and then do an  $S_N2$  to simultaneously form the epoxide and kick out  $SPh_2$ .
- Problem Set 1, Question 2f: Cyclic systems are one of the only places you see hemi-acetals.
- Problem Set 1, Question 3b: The transition state has too much ring strain, so show proton transfers as being mediated by solvent molecules.
- n-butyl lithium stands for "normal"-butyl lithium; s-butyl lithium is sec-butyl lithium.

## 17.4 Carboxylic Acids and Derivatives 2

4/12:

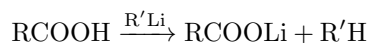
- Last time:
  - We discussed the reactivity of compounds of the form  $RCOOR'$  where  $X$  is a heteroatom.
  - We looked at nucleophilic addition to such compounds under acidic and basic conditions, which more often than not proceeds through a nucleophilic acyl substitution mechanism.
  - Certain classes can be taken to others by the addition of a nucleophile.
  - Reviews adding amines to acid chlorides, anhydrides, and esters, and the amount of amine needed for each.
- Today: How carboxylic acid derivatives interact with hydrides and carbides.
  - Most of the early lecture content is straight outta CHEM 221. Highlights will follow.
- Carbide addition to...
  1. Ketones and aldehydes.
  2. Carboxylic acids.
  3. Esters.

- Ketones and aldehydes.



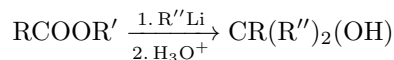
- We can use lithiates or Grignards.

- Carboxylic acids.



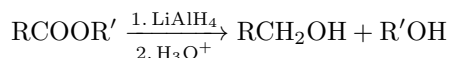
- We protonate the lithiate, yielding a carboxylate with a lithium countercation and an aliphatic species.

- Esters.



- Two equivalents of the lithiate add in, the  $OR'$  group leaves, and the alcohol is reduced.
- See Figure 9.2 of Labalme (2022) for the mechanism.
- The fact that we observe double addition means that the **overaddition product** is the major product.
- If you only add one equivalent of lithiate, the major products will be the overaddition product and unreacted ester; the ketone will only be a very minor product.

- This is because esters are less electrophilic due to donation from the ether oxygen, so the lithiate will selectively go for the ketone as soon as it becomes available.
  - Ester resonance essentially partially protects it from nucleophilic addition.
- **Overaddition product:** A nucleophilic addition product in which the nucleophile adds more than once.
  - So named because we typically only want monoaddition.
- Hydride addition to...
  1. Esters ( $\text{NaBH}_4$ ,  $\text{LiAlH}_4$ , and DIBAL-H).
  2. Amides ( $\text{LiAlH}_4$  and DIBAL-H).
- Esters ( $\text{NaBH}_4$ ).
  - $\text{NaBH}_4 + \text{MeOH}$  does not react with esters (for the purposes of this class).
- Esters ( $\text{LiAlH}_4$ ).



- See Figure 9.2 of Labalme (2022) for the mechanism.
  - Mechanistically, the aldehyde intermediate is much more reactive than the ester, once again.
  - Is it the lithium cation that bonds to the alkoxide or the  $\text{AlH}_3$  species?
- Selecting for addition to the ester instead of addition to the aldehyde intermediate.
  - We are going to change the structure of our reducing agent.
  - We want to continue using aluminum since  $\text{NaBH}_4$  is not strong enough, but we can play with the ligands.
  - Thus, we change from the tetracoordinate  $\text{AlH}_4^-$  to **DIBAL-H**.
- **DIBAL-H:** Diisobutylaluminum hydride, a neutral, tricoordinate aluminum species with an empty  $p$  orbital that is useful for selecting the mono-hydride addition product in cases where overaddition is common. *Also known as DIBAL. Structure*

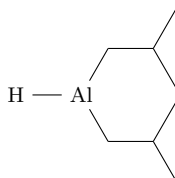
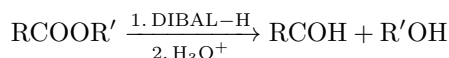


Figure 17.14: Diisobutylaluminum hydride (DIBAL-H).

- Esters (DIBAL-H).
- General form.



- Mechanism.
  - We might commonly expect to see the second intermediate (the zwitterion) decompose back into the initial reactants. However, it reacts to form a charge-neutral species that will not dissociate, as doing so would create an aluminum cation (highly unstable) in addition to the alkoxide.

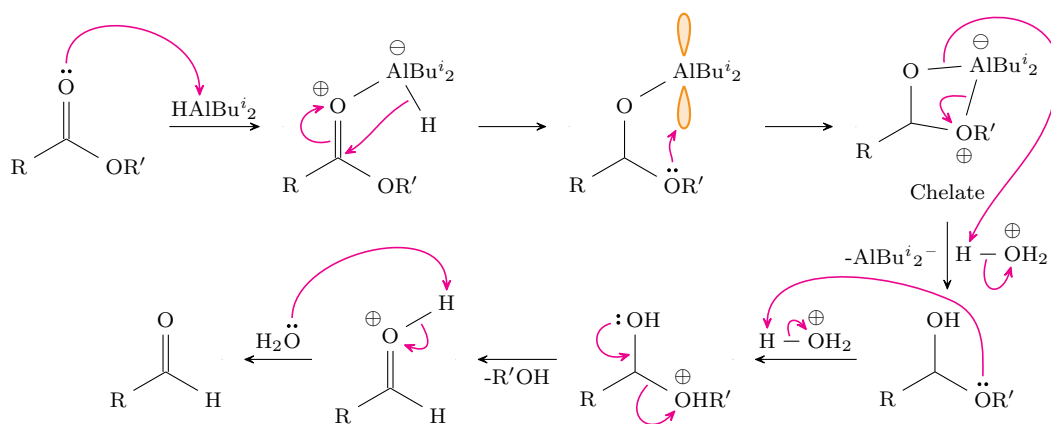


Figure 17.15: Monoreduction of esters mechanism.

- Aluminum's empty  $p$  orbital plays a key role in the third step as a Lewis acid/electron acceptor for the electrons of the ether oxygen.
- The chelate is extra stable.
  - Even though there are only four atoms in its ring (as opposed to five or six), aluminum is a *third*-row main group element, meaning that it forms longer, more flexible bonds. Thus, aluminum-containing rings can tolerate smaller number of atoms than normal organic ring systems.
  - The implication is that it will not break down to kick out the alkoxide  $\text{OR}'^-$ . This stability is what most directly favors the monoaddition product.
- The last several steps (after the addition of the acid) constitute the decomposition of a hemiacetal under acidic conditions.
- In practice, this reaction is really difficult to pull off.
  - The chelate is only stable at  $-78^\circ\text{C}$ . If it warms up much beyond that, it will decompose into the aldehyde.
  - The reaction of DIBAL-H with the ester is exothermic, so you have to keep it really cold and do the addition really slowly. Otherwise, the internal exotherm will raise the temperature and ruin the reaction.
  - Thus, you will often see in the literature chemists circumventing this reaction via a reduction ( $\text{LiAlH}_4 + \text{H}_3\text{O}^+$ ) followed by PCC/Swern.
  - However, for the purposes of this class, we can treat the DIBAL-H method as if it works perfectly in every case, i.e., as if we're just laying out a synthetic plan and the person performing the reactions will do everything perfectly. In other words, we should definitely feel free to use this method (as written from a naïve perspective) in any synthesis questions we encounter.

- Amides ( $\text{LiAlH}_4$ ).
- General form.



- We don't *need* an aqueous workup, but it's often performed anyway to remove excess alumina.

- Mechanism.

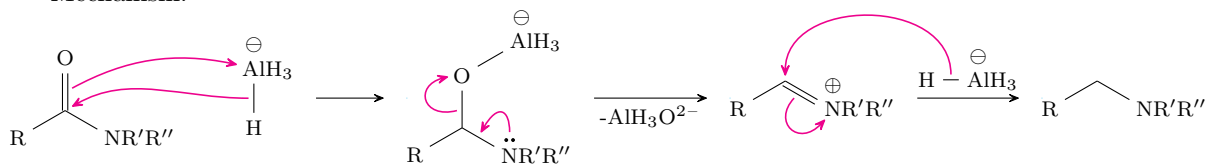
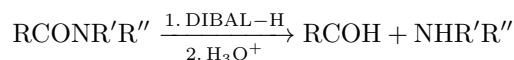


Figure 17.16: Reduction of amides mechanism.

- Unlike with esters, nitrogen is a stronger donor than the oxygen atom, so it will kick it out in the second step.

- Amides (DIBAL-H).
- General form.



- Mechanism.

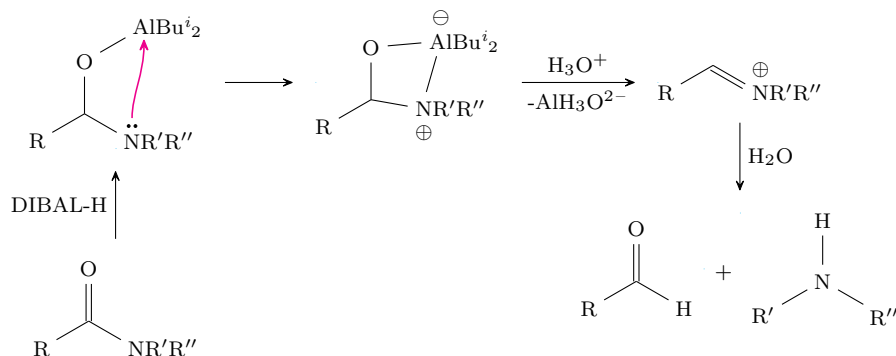
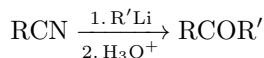


Figure 17.17: Monoreduction of amides mechanism.

- Amides coordinate with DIBAL much more easily than esters.
- Note that in the last step, the acid destroys any remaining DIBAL-H and then reduces the final species.

■ This likely proceeds analogously to the steps in the latter parts of Figure 17.5.

- Note that the role, stability, and structure of the tetrahedral intermediates are what determines the reactivity of amines with both sets of reagents.
- Reactions of nitriles.
- Nitriles ( $\text{R}'\text{Li}$ ).
- General form.



- Useful for generating a ketone from a carboxylic acid derivative.
- No overaddition.

- Mechanism.

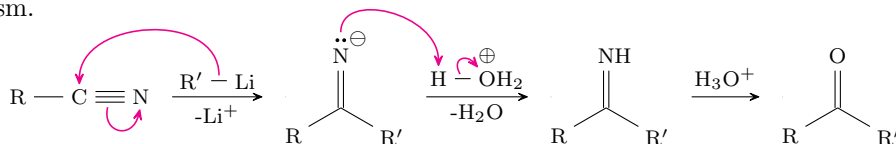


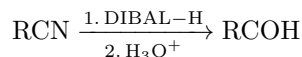
Figure 17.18: Nitrile alkylation mechanism.

- Explaining the lack of overaddition.
  - Unlike with esters, there is no good leaving group in the first intermediate.
  - Indeed, adding another lithiate would kick out an  $\text{N}^{2-}$  species (highly unstable), but this would never happen.

- Additionally, since the acid destroys the  $\text{LiAlH}_4$ , even though we end up producing a ketone (an electrophilic carbonyl), there is no further reactivity.
- The last step is imine hydrolysis, which Levin mentioned in Aldehydes and Ketones 1 is reactivity to which imines are prone.

- Nitriles (DIBAL-H).

- General form.

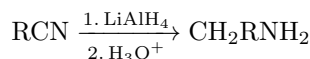


- Mechanism.

- As in Figure 17.15, the heteroatom (nitrogen) attacks the aluminum of DIBAL-H to start. We then undergo the same proton rearrangement to get to a stable species. However, instead of forming a chelate, the acid takes us to the same imine as in Figure 17.18, and then further to the aldehyde (also as in Figure 17.18).

- Nitriles ( $\text{LiAlH}_4$ ).

- General form.



- Mechanism.

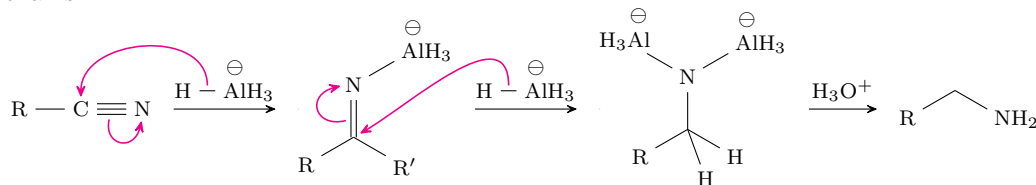
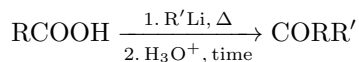


Figure 17.19: Nitrile reduction mechanism.

- Why does this work here but not with  $\text{R}'\text{Li}$ ?
- This nitrile reactivity allows two important types of transformations.
  - From an alkyl halide precursor, use  $\text{KCN}$  to take it to a nitrile, and then transform it to your carboxylic acid derivative of choice.
  - From a ketone, use  $\text{HCN}$  to take it to a cyanohydrin, and then move to a carboxylic acid derivative.
    - Watch out for acidic protons on the alcohol here, though!
    - Because of it, we can reduce to an amine with  $\text{LiAlH}_4$  with ease, but we have to play with the concentrations to get the others to work (for example, by using a huge excess of the reagent in comparison to a lithiate).
- Transforming carboxylates to ketones.
- General form.



- Grignards won't work here; we do need the stronger lithiates.
- We need an excess of  $\text{R}'\text{Li}$  and high heat ( $\sim 100^\circ\text{C}$ ).



- Mechanism.

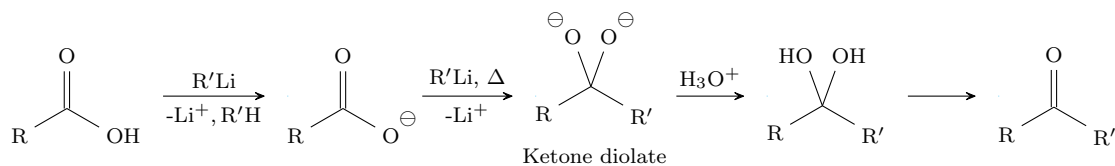


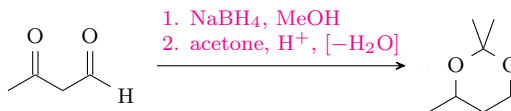
Figure 17.20: Carboxylic acid to ketone mechanism.

- The excess lithiate is used to both deprotonate the carboxylic acid and alkylate the carboxylate that gets formed.
- The heat is used to overcome the low electrophilicity of the carboxylate.

## 17.5 Problem Session

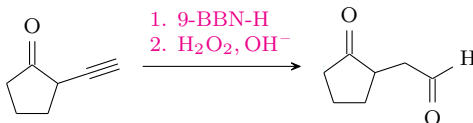
- Practice problems.

1.

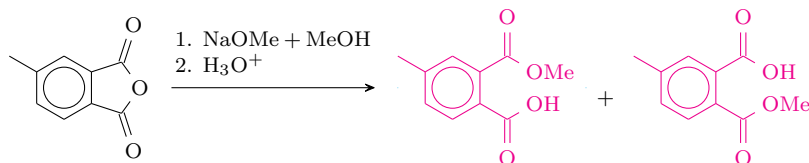


- Sulfuric acid is a dehydrating acid.

2.

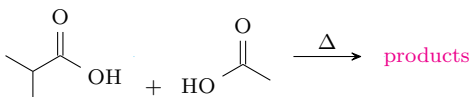


3.



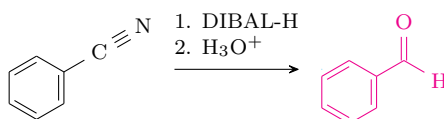
- Notice that this is an asymmetric anhydride, so there are multiple possible products.
- Regioselectivity goes out the window a bit due to the high temperatures, so don't worry about major and minor products

4.



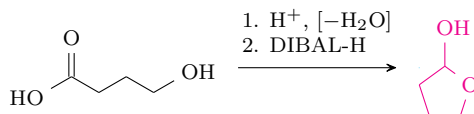
- The products are a whole variety of coupled anhydrides.
- We can do this selectively by transforming one of the carboxylic acids into an acid chloride with  $\text{SOCl}_2$ .
  - Note that we don't *have* to turn the other carboxylic acid into a carboxylate, but we can catalyze/accelerate the reaction by doing so with the addition of catalytic pyridine.

5.



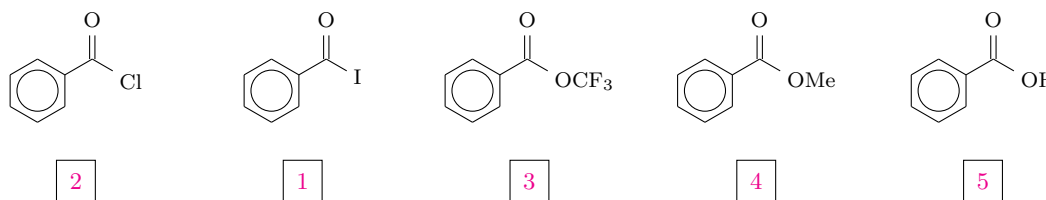
- We can also buy DIBAL-D.
- We're assuming that we're running this for only 15 mins, and thus stopping at the aldehyde. Running for longer will eventually take us down to the alcohol.
- To protonate a nitrile, we need a very strong acid (e.g., concentrated sulfuric acid).
- Goes over the mechanism, but in less depth than lecture.

6.



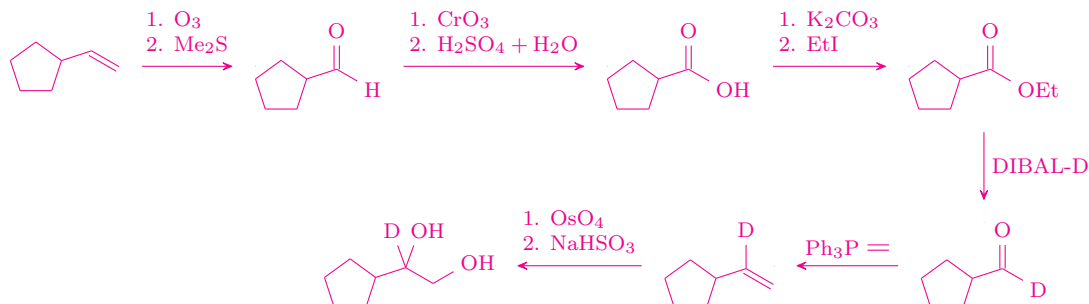
- We first protonate the carboxylic acid oxygen, and then the alcohol at the end attacks the carbonyl.
- Water leaves, yielding a 5-membered cyclic lactone.
  - Cyclic lactones are more stable as 5-membered rings than 6-membered rings.
- DIBAL-H reduces the carbonyl to an alcohol.

7. Rank the following in order of rate of nucleophilic acyl substitution with an alkoxide nucleophile.



- The determining factor is the stability of the leaving group.

8. A long “propose a synthesis” question.



- First thought: Dihydroxylation. But this doesn't provide a good way to incorporate deuterium. So we want our next-to-last intermediate to be like our reactant except with a deuterium in the right place.
- Knowing that DIBAL-D is a good way to incorporate a single equivalent of deuterium, we can backtrack through a Wittig to a deuterated aldehyde.
- If we want to follow the DIBAL-D route, we backtrack even further to an ester.
- Then to a carboxylic acid, which we can create from the initial alkene via ozonolysis.
  - Note that we can get directly from an alkene to a carboxylic acid with 1.  $\text{O}_3$ , 2.  $\text{Me}_2\text{S}$ ,  $\text{H}_2\text{O}_2$ , where the peroxide attacks either the molozonide or the ozonide.
- This is a greater than exam strength question.

- We will not get “no reaction” questions on the exam.
- For any mechanism questions, we will get a complete acid (i.e., one with a defined conjugate base and not just  $\text{H}^+$ ).

## 17.6 Carboxylic Acids and Derivatives 3

4/14:

- Announcements:
  - Lecture 5 has now been posted on Canvas > Panopto.
  - CHEM 23500, Fridays at 12:30 PM, Kent 107.
    - A new pilot course consisting of chem professors giving a single lecture on their research.
    - Levin goes tomorrow.
  - PSet 2 due Tuesday.
  - Midterm next Thursday.
    - Both PSet 2 and the midterm only cover through today's lecture.
    - How to study for the exam: For each reaction we've learned, we need to know the products, conditions, and mechanism.
    - The best way to master the information is to take the above information and connect it from one reaction to the next.
    - Start from a generic carbonyl compound and make a web of everywhere you can convert and what gets you where.
    - Still make a study sheet even if you don't use it because it's great preparation.
- Last time: Levin introduced a number of reactions to convert from carboxylic acid derivatives to aldehydes/ketones.
- Today: Reactions that convert from aldehydes/ketones to carboxylic acid derivatives.
  - Currently, we only know how to get a carboxylic acid, and the only way we know how to do that is using Jones reagent.
  - What we want to develop are insertion reactions, i.e., reactions that can stick a heteroatom into a C–H or C–R' bond.
  - This is Levin's favorite lecture of the course because it's very similar to what he works on; the reactions we talk about are what inspired his research.
- Four insertion reactions.
  1. Baeyer-Villiger oxidation.
  2. Schmidt reaction.
  3. Curtius rearrangement.
  4. Beckmann rearrangement.
- The Baeyer-Villiger oxidation.
- General form.



- Transforms a ketone into an ester; the general form above transforms a ketone into a **lactone**.
- This is one of the most intuitive reactions to reverse engineer in a synthesis problem.
- Important acidity properties of mCPBA.
  - The  $pK_a$  of benzoic acid is -4; benzyl alcohol is 15; mCPBA is 8. mCPBA is of intermediate acidity because there's no conjugation but the ketone is a strong EWG.
  - It's acidity means we don't need to add an external acid catalyst.

– Other reasons to use mCPBA.

- In layman's terms, the active part of the molecule is the peracid functional group, but we use a chlorinated benzene ring to make the molecule both more reactive and less explosive.
- More specifically, peracids are explosive. However, chlorine burns endothermically, by which we mean that making HCl from water requires heat. Thus, if the peracid were to begin combusting, a lot of the energy would go toward making HCl and not toward the explosive chain reaction. Additionally, chlorine is electron withdrawing from the meta position, meaning that the initial deprotonation is favored by having a more stable conjugate base.
- Note that adding chlorine atoms to compounds is actually an oft-used trick to reduce their explosivity.

– In sum, other peracids can work, but mCPBA is the most practical.

• **Lactone:** A cyclic ester.

• Mechanism.

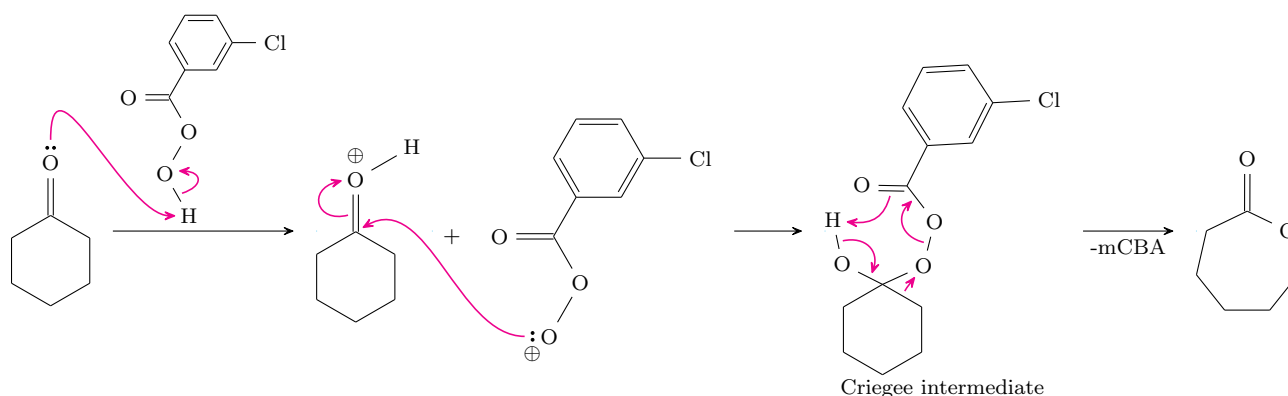


Figure 17.21: Baeyer-Villiger mechanism.

– Criegee made his fame for studying this reaction. He was the one who actually first proposed the existence of the intermediate that now bears his name.

– In the Criegee intermediate, one of the neighboring C–C bonds can slide over in a **migration**.

- Think about the parallel to hydroboration/oxidation (Figure 16.6) and the formation of the enol boronate.

– Additionally, the O–O bond is pretty weak and can be displaced.

- However, because this is mCPBA (with its electron withdrawing carbonyl), the O–O electrons can swing around and facilitate the attack of the carbonyl electrons on the substrate's acidic proton.

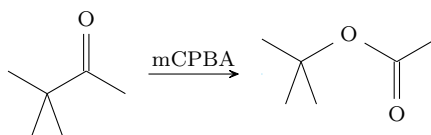
– Last step arrow pushing chronology: The O–H electrons swinging down. Reforming the carbonyl provides the oomph that breaks the C–C bond. The C–C electrons migrate. This makes everything else just swing around.

- Note that this chronology is not technically accurate; curved arrows are a human invention we assert overtop a concerted step. However, this is a good trick to think of for memorization purposes.

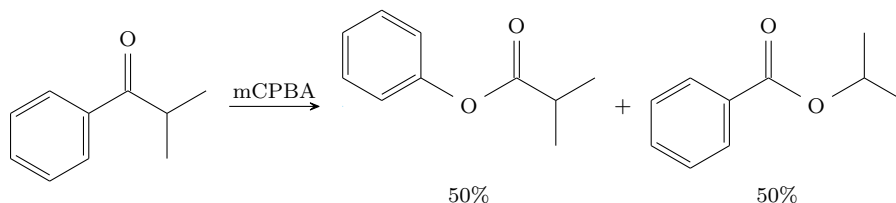
• **Migratory aptitude:** How likely a group is to shift, or migrate.

– Discussing the migratory aptitude of different R groups we might see on either side of the ketone (in a Baeyer-Villiger, for instance) allows us to predict the products of the reaction in ambiguous cases, such as with asymmetric ketones.

- Asymmetric ketones in the Baeyer-Villiger.



(a) A selective case.



(b) An unselective case.

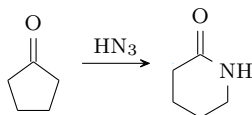
Figure 17.22: Asymmetric ketones in the Baeyer-Villiger.

- How likely a C–C bond is to move depends on what's attached to the  $\alpha$ -carbon.
- Selectivity for this reaction (not the same for all reactions):



where the  $\alpha$ -carbon being  $3^\circ$  promotes the reaction the most and it being a methyl group promotes it the least.

- This does work with aldehydes; hydrogen will migrate faster than anything else (i.e., forming carboxylic acids).
- Jones is a cheat to do the same thing, though.
- Because of differing migratory aptitudes, the Baeyer-Villiger is not always useful synthetically.
- Always think about a precursor being asymmetric when doing a retrosynthetic analysis!
- Note that epoxidation is usually faster than the Baeyer-Villiger. Thus, compounds with both an alkene and a ketone that react with mCPBA will form epoxides and the carbonyls will be untouched.
- Schmidt reaction.
- General form.



- You can use catalytic acid, but you don't need it.

- **Hydrazoic acid:** A toxic, volatile, and explosive substance. *Structure*  $\text{HN}=\text{N}^+=\text{N}^-$ 
  - This is useful industrially, but less useful in the lab (because of all the associated hazards).
- Mechanism.
  - Getting rid of nitrogen is a massive thermodynamic sink/driving force.
  - One of the molecules of hydrazoic acid is being incorporated, and the other is a catalyst (which we can supplement with external acid catalyst). It will go faster with an acid catalyst if the acid used is stronger than hydrazoic acid, but the acid is not necessary.

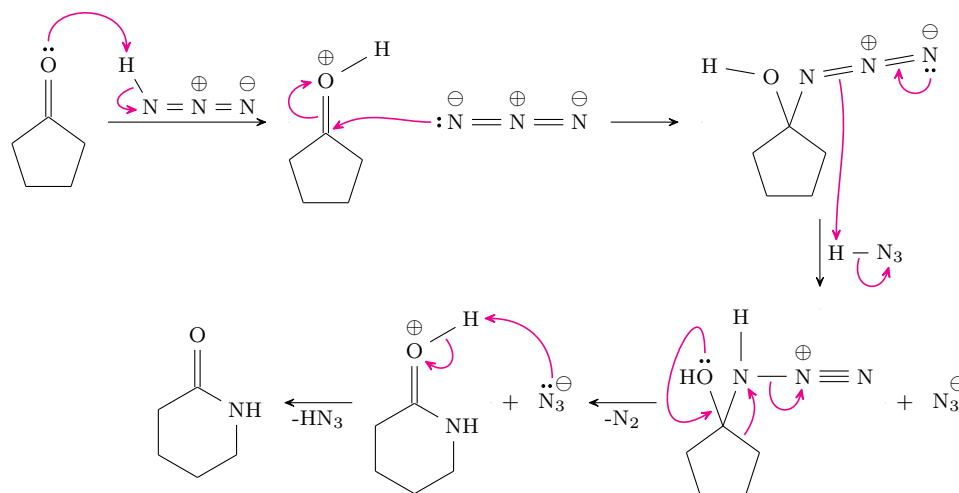


Figure 17.23: Schmidt reaction mechanism.

- Again, the arrow pushing chronology starts at the alcohol oxygen for the final step.
- Migratory aptitude is the same for Schmidt as for the Baeyer-Villiger.
- The Schmidt does work with aldehydes; hydrogen will migrate faster than anything else.
  - You would form an amide in this case.
  - It's rare to see this in the literature, though.
- Using an alkyl group in place of the hydrogen on the hydrazoic acid *requires* catalytic acid (the new acid isn't strong enough to catalyze its own chemistry). The alkyl group just gets added to the nitrogen in the product.
- The Schmidt reaction also works intramolecularly.

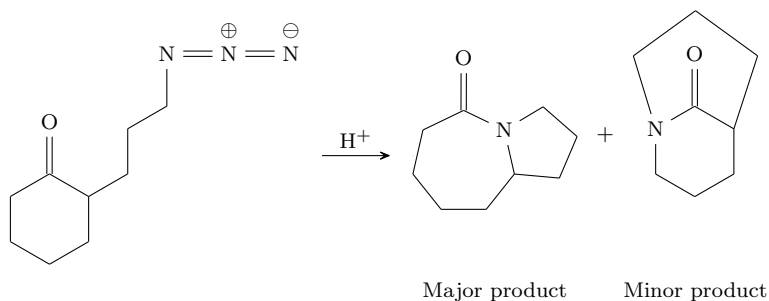


Figure 17.24: Intramolecular Schmidt reaction.

- The intramolecular Schmidt builds complexity really quickly.
- When you're building natural molecules, it allows you to get up from simple cheap starting materials to complex polycycles quite quickly, which you want.
- The Curtius rearrangement.
- General form.



- The product of the first step is an **isocyanate**.

- Mechanism.

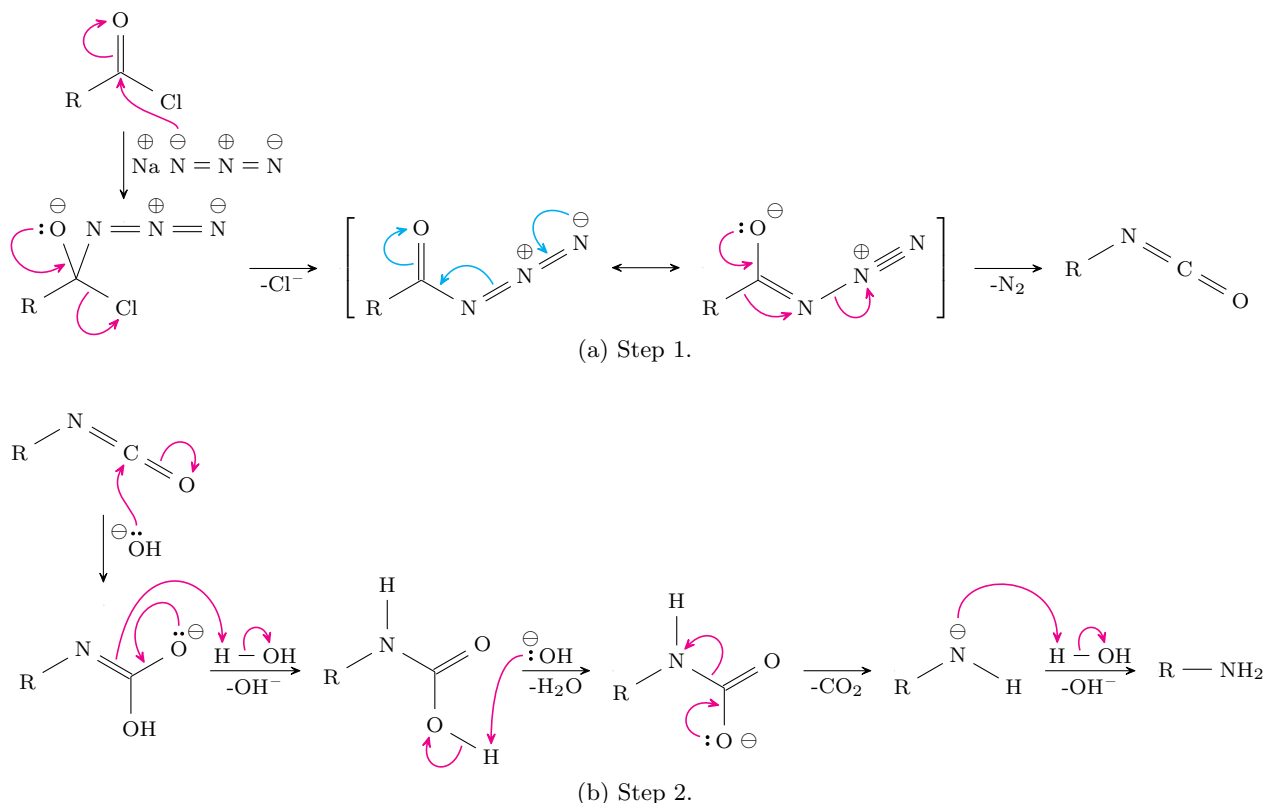


Figure 17.25: Curtius rearrangement mechanism.

- Acyl azides are sometimes isolable. Heating one up will always cause it to convert, though.

- Isocyanates can also be trapped to form carbamates.

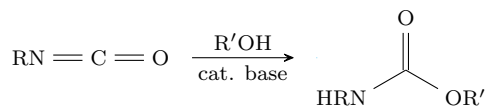


Figure 17.26: Carbamate formation.

- Use an alcohol and catalytic base.
- This is the reaction behind guys on YouTube spraying insulation/fire retardant foam and it expanding on the wall behind them.
  - You have one diisocyanate and add ethylene glycol at the last second; the foaming up is the polymerization resulting in polyurethane.
  - We will not be asked about the foam thing specifically, but we may be asked to draw the product of a compound with two isocyanates at each end.
  - Levin disses Snyder lol – “not gonna ask you what color tie I’m wearing either.”
- Converting from a carboxylic acid to an isocyanate without going through an acid chloride intermediate.



- **DPPA:** Diphenylphosphoryl azide. *Structure*

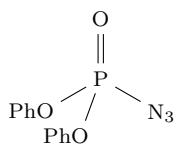
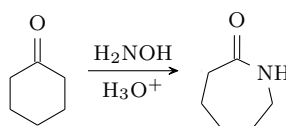


Figure 17.27: Diphenylphosphoryl azide (DPPA).

- Just like  $\text{SOCl}_2$  and  $\text{POCl}_3$  work as dehydrating agents (with chloride), DPPA works as a dehydrating agent (with azide).
- Beckmann rearrangement.
- General form.



- You can do this all in one go, or you can isolate the oximes from the first reagent and removing water, and then add in acid to finish it off.
- Quite similar to the Schmidt, but hydroxyl amine is not as toxic, volatile, or explosive, so this is the preferred one.
- Mechanism.

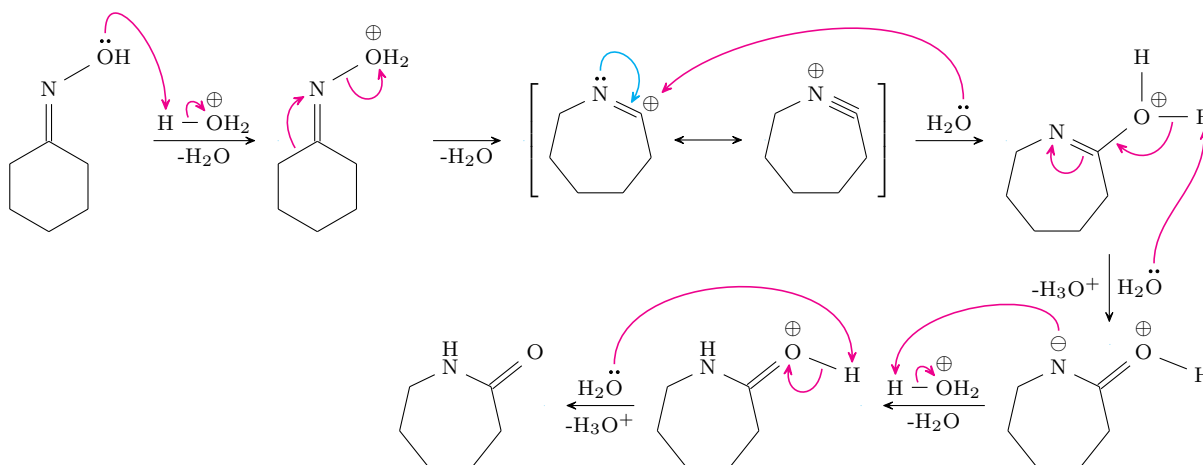


Figure 17.28: Beckmann rearrangement mechanism.

- The first part of the mechanism proceeds just like oxime formation (see Aldehydes and Ketones 1). This is why we show the mechanism beginning from an oxime.
- There is debate over the mechanism. We are only responsible for the one above, though.
- The triple-bonded nitrogen resonance form is quite strained, and thus the carbocation species is the major contributor.
- Caprolactam (the end product in Figure 17.28) is made from cyclohexanone in quantities of millions of tons per year because it is a precursor to nylon, which is just caprolactam following a ring opening.

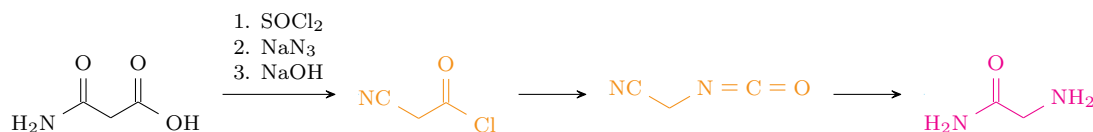


- Migratory aptitude (same as for Baeyer-Villiger and Schmidt).
- An orbital explanation of the migratory aptitude in this case.
  - The step 2 migration is an  $S_N2$  process.
  - As such, we want to see donation into the antibonding  $\sigma$  orbital of the N–O bond to make this proceed. This is why the carbon “behind” the oxime selectively migrates.
  - However, in acidic solution, oximes exist in equilibrium with their *cis/trans* counterpart.
  - As such, since sterics disfavor the OH being on the same side as a bulky group, we will more commonly observe the oxime in solution where the OH points away from the bulky group, thus forming more of this product.
- The Beckmann rearrangement also helps create azithromycin, the active ingredient in the common Z-pak antibiotics.
  - Erythromycin is produced by some bacteria to defend against other bacteria.
  - You need a big dose of it because it’s half-life in your body is 1.5 hours. It also is really tough on your body because it kills all your gut bacteria.
  - A couple of chemical steps including the Beckmann rearrangement takes it to azithromycin, which has a half-life of 68 hours.

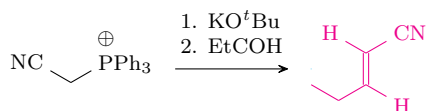
## 17.7 Problem Session

- 4/19: • Practice problems.

1.



2.



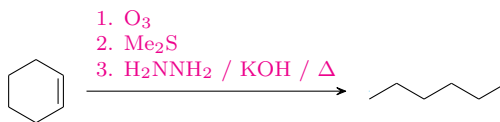
- This reaction involves a stabilized ylide, hence the formation of the *trans* product.

3.



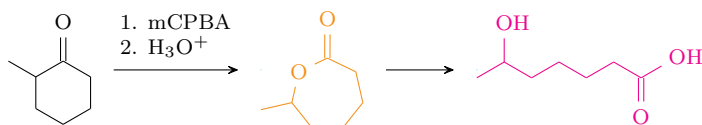
- You could add catalytic amounts of pyridine, DMAP, or any other nonnucleophilic source of nitrogen to speed up this reaction.

4.



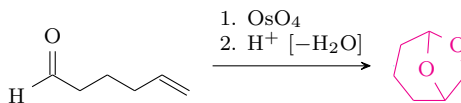
- In an exam setting, we won’t be charged with knowing that we need heat.

5.



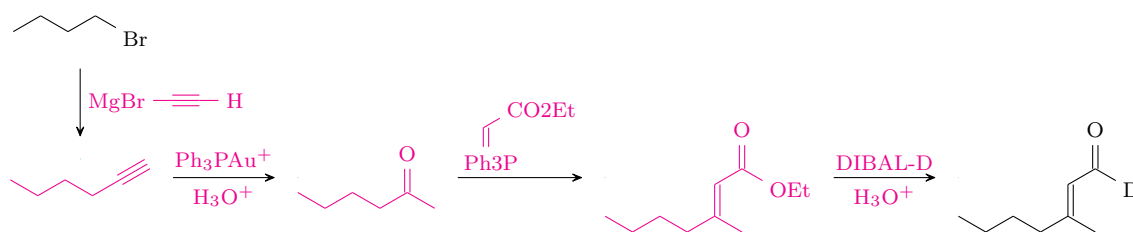
- The second step proceeds as a consequence of the acid  $\text{H}-\text{OH}_2$  to a carboxylic acid derivative, as per Figure 17.3a.

6.



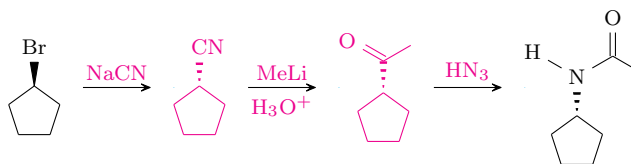
7. Mechanism: Goes over the Curtius rearrangement.

8. Retrosynthesis.

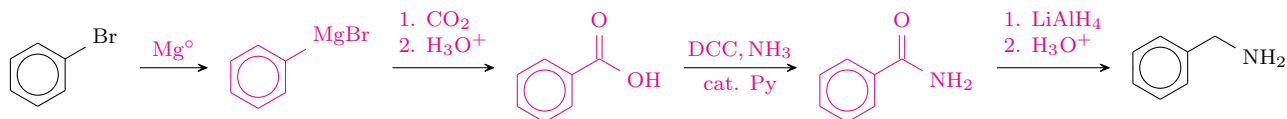


- That deuterated aldehyde should indicate DIBAL-D.
- $\text{COOEt}$  is an EWG, and we will get the desired trans product in a Wittig with it.

9. Retrosynthesis.



10. Retrosynthesis.



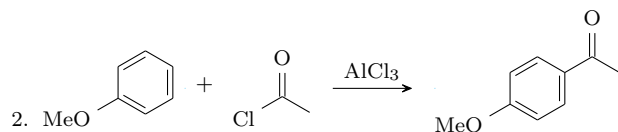
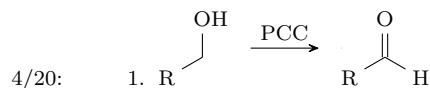
- We will get credit if our synthesis is right even if it is not the most efficient.

- For mechanism questions, if we're struggling, think back to the sentence trick from the very beginning of the course.
- For synthesis questions, just throw as many reactions out there as we can think of.

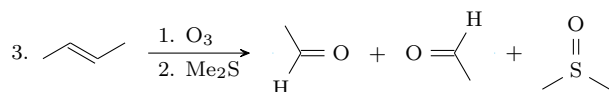
## 17.8 Midterm 1 Review Sheet

### Reactions

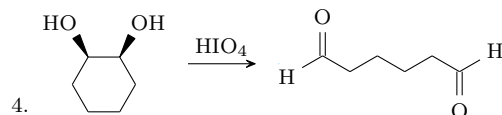
#### Carbonyl Synthesis



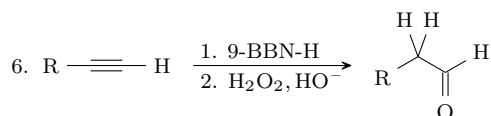
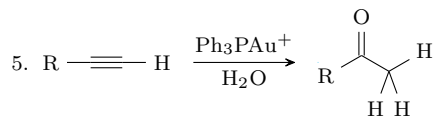
– Recall ortho/para selectivity.



– Not adding  $\text{Me}_2\text{S}$  traps the ozonide intermediate.

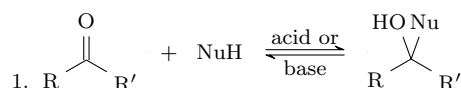


– *cis*-diols react faster, but are not required.

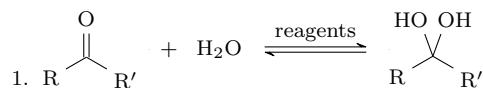


#### Nucleophilic Addition to Carbonyls

##### General

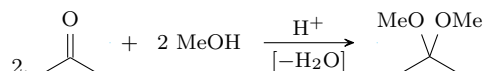


##### Oxygen Nucleophiles



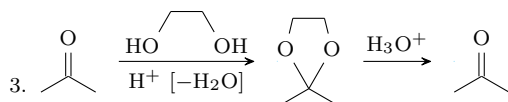
– Reagents is either  $\text{H}_3\text{O}^+$  or  $\text{OH}^-$ .

– Proceeds faster for carbonyls with less bulky, more electron withdrawing substituents.



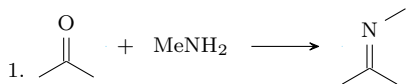
– Remove water with a Dean-Stark apparatus (and toluene) or 3 Å aluminosilicates.

– Will not work in basic conditions (will get stuck at the hemiketal).  $\text{OH}^-$  is not a good enough leaving group and needs an acid to protonate it.

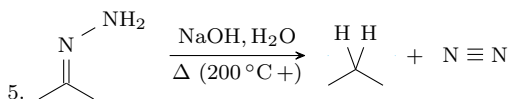
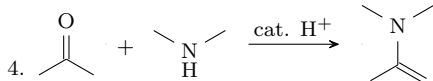
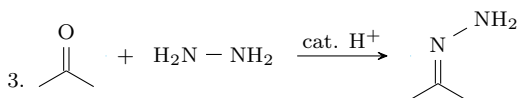
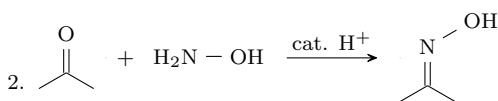


- We use ketals as protecting groups when we want our ketone to be able to withstand basic conditions (remember, ketals need acid to form and be unformed).
- We can also protect 1,2- and 1,3-diols from basic conditions with acetone.

### Nitrogen Nucleophiles



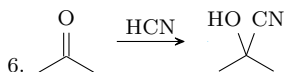
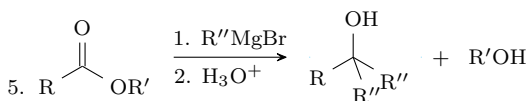
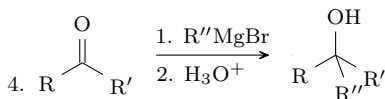
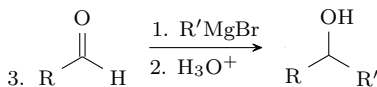
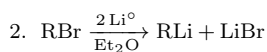
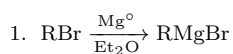
- Acidic, basic, or neutral conditions.
- We have only been taught the acidic mechanism, though, which is analogous to the other nucleophilic addition to carbonyl mechanisms we've been working with.



### Hydride Nucleophiles

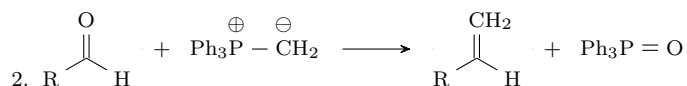
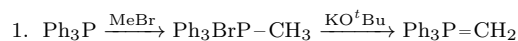
1.  $\text{NaBH}_4 + \text{MeOH}$  reduces aldehydes and ketones to alcohols.
2.  $\text{LiAlH}_4$  followed by  $\text{H}_3\text{O}^+$  reduces an ester to its two component alcohols.

### Carbide Nucleophiles

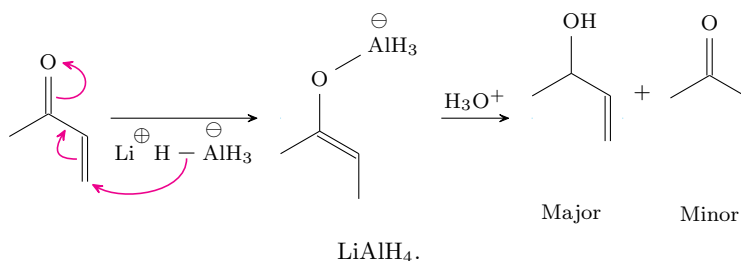
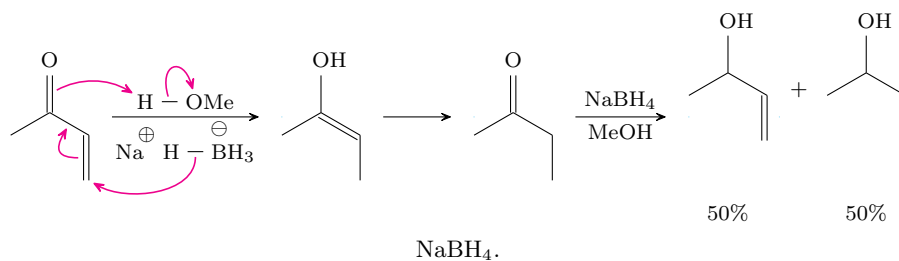


- Can be accelerated by an acid/base catalyst, but no catalyst is necessary. Acid catalysts are more common.

## Ylide Nucleophiles

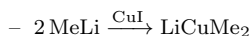


- Presence or lack thereof of a betaine in the mechanism.
- We need at least one hydrogen on the carbon portion of the ylide.
- Stereoselective for the *cis* product.
  - Except if there's an EWG on the ylide; then we form the *trans* product.
- Ketone Wittigs still proceed, but slower. Biggest groups end up *cis* (except, once again, in the case of ylide EWGs).

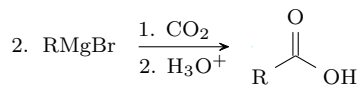
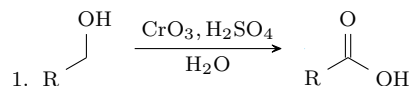
 $\alpha,\beta$ -Unsaturated Carbonyls

Reduction of  $\alpha,\beta$  unsaturated compounds.

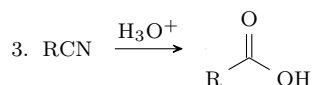
1. Organolithiums select for 1,2-addition.
2. Grignards are intermediate.
3. Cuprates select for 1,4-addition.



## Carboxylic Acid Synthesis

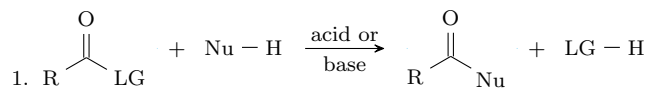


- Either lithiates or Grignards will suffice.

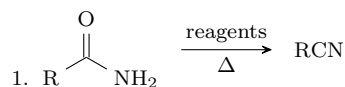


## Nucleophilic Acyl Substitution

### General



### Dehydration of Amides

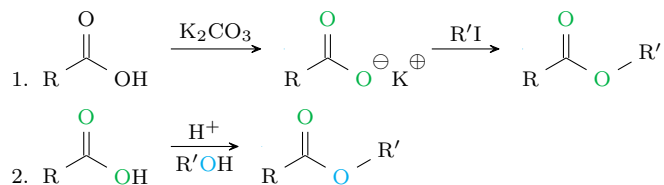


- Reagents are either  $\text{SOCl}_2$  or  $\text{POCl}_3$ .
- We need an amide with two hydrogens to run this.

### $\text{S}_{\text{N}}2$ Nitrile Formation

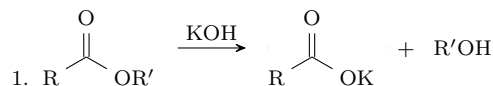


### Ester Synthesis

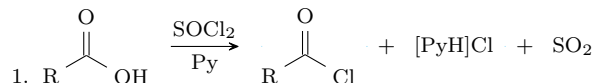


- The acid is a catalyst.
- The alcohol usually doubles as the solvent, esp. since we need it in excess.
- Removing water can drive the forward reaction; excess  $\text{H}_3\text{O}^+$  reverses it.

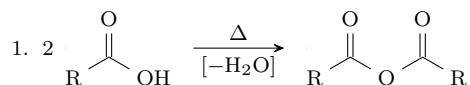
### Ester Reactions



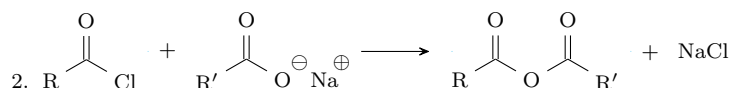
### Acid Chloride Synthesis



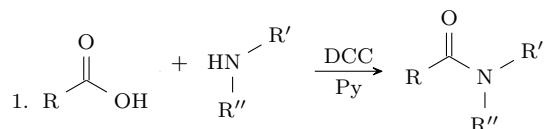
### Anhydride Synthesis

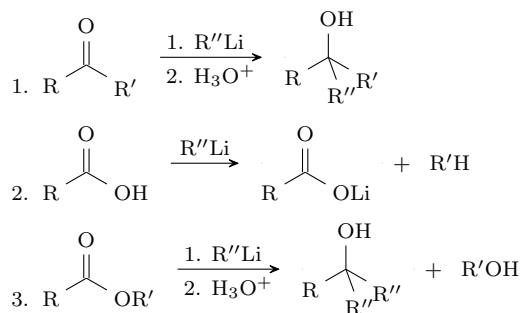


- Also works intramolecularly.
- Combining different carboxylic acids leads to a statistical mixture of products.

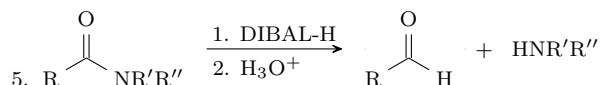
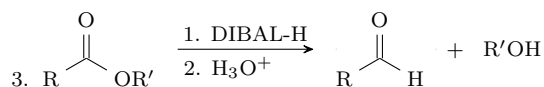
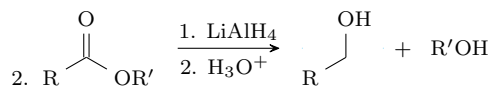
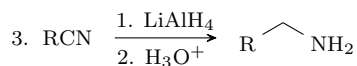
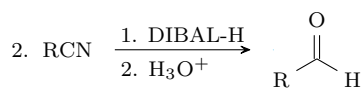
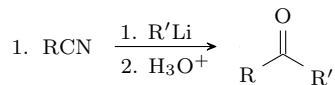
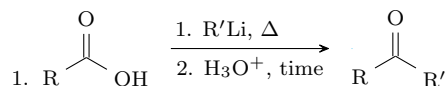


### Amide Synthesis

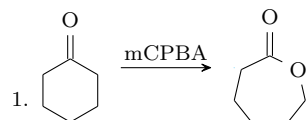


**Carbide Nucleophiles****Hydride Nucleophiles**

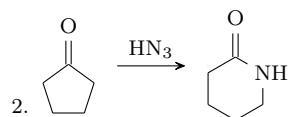
1. Esters and  $\text{NaBH}_4$  do not react.

**Nitrile Reactions****Acid to Ketone**

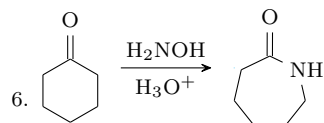
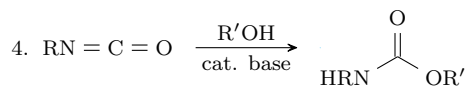
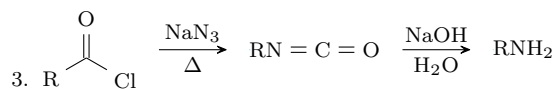
- We need excess lithiate here.
- Grignards won't work.

**Insertion Reactions**

- Migratory aptitude favors bulkier groups.



- Can be supplemented by external acid catalyst (some acid stronger than hydrazoic acid).



## Reminders

- Carbonyl electrophilicity has to do with sterics (the primary factor) and electronics.
- Reactivity scale.  
acid chloride > anhydride > ester > amide > carboxylate
- DMAP is one of the best catalysts for nucleophilic acyl substitutions.



## 17.9 Chapter 17: Carboxylic Acids and Their Derivatives

From Solomons et al. (2016).

- Naming carboxylic acids.
  - Drop the final -e of the name of the alkane corresponding to the longest chain in the acid and add -oic acid.
    - Common names include formic acid (methanoic acid), acetic acid (ethanoic acid), butyric acid (butanoic acid), valeric acid (pentanoic acid), caproic acid (hexanoic acid), stearic acid (octadecanoic acid)<sup>[1]</sup>.
  - The carboxyl carbon is numbered 1.
- Naming esters.

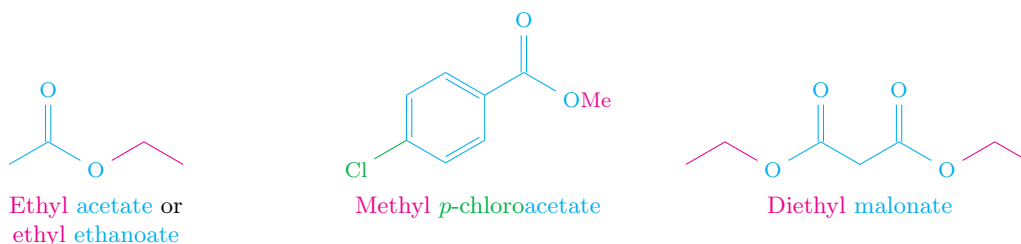


Figure 17.30: Ester nomenclature.

- Take the name of the alcohol (ending with -yl) and the name of the carboxylic acid (ending with -ate or -oate).
- Naming anhydrides.

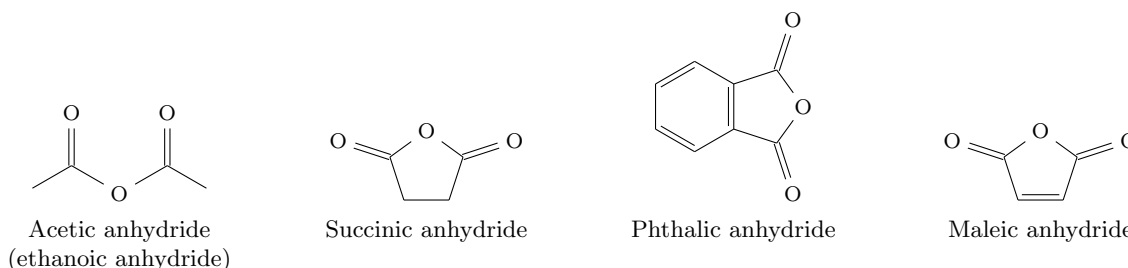


Figure 17.31: Special anhydrides.

- “Most anhydrides are named by dropping the word acid from the name of the carboxylic acid and adding the word anhydride” (Solomons et al., 2016, p. 766).
- Naming acyl chlorides.
  - Drop -ic acid from the name of the acid and then add -yl chloride.
    - Examples include acetyl chloride (ethanoyl chloride), propanoyl chloride, and benzoyl chloride.

<sup>1</sup>Solomons et al. (2016) discusses the origins of these names, too.

- Naming amides.

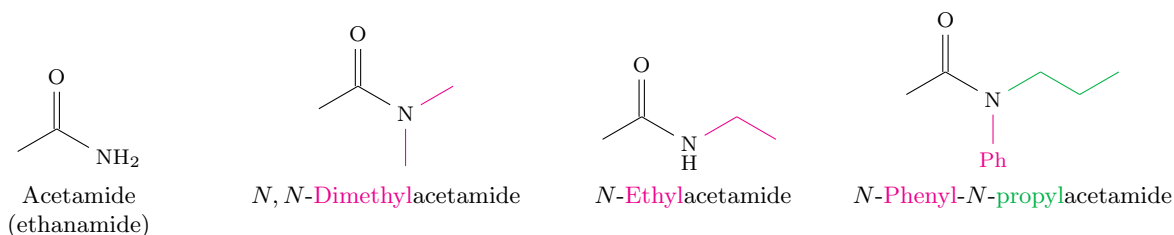
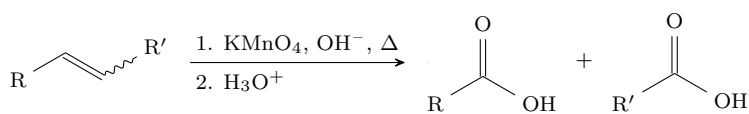
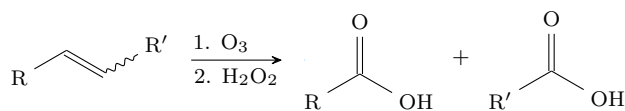


Figure 17.32: Amide nomenclature.

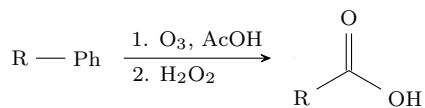
- Drop -ic acid from the name of the acid and then add -amide.
- “Alkyl groups on the nitrogen atom of amides are named as substituents, and the named substituent is prefaced by *N*- or *N,N*-” (Solomons et al., 2016, p. 767).
- Amides with nitrogen atoms bearing one or two hydrogen atoms are able to form strong intermolecular bonds.
- Nitrile nomenclature.
  - Add the suffix -nitrile to the name of the corresponding hydrocarbon.
    - Examples include ethanenitrile (acetonitrile [abbrev. ACN]) and propenenitrile (acrylonitrile).
- New methods of carboxylic acid synthesis.



(a) Oxidation of alkenes 1.



(b) Oxidation of alkenes 2.



(c) Oxidation of benzene.

Figure 17.33: More methods of carboxylic acid synthesis.

- The ordering of carbons away from a carbon of interest is  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ , and continuing on in Greek alphabetic order.
- We can open a lactone with base and water, followed by an acidic workup.
  - We can close a  $\gamma$ - or  $\delta$ -lactone from a  $\gamma$ - or  $\delta$ -alcohol carboxylic acid and acid.
- Solomons et al. (2016) discusses lactams and alkyl chloroformates.

- Carbamates are also known as urethanes.
- Solomons et al. (2016) discusses decarboxylation, polyesters, polyamides.