

## Week 5

# Applications and Generalizations

### 5.1 Special Normal Subgroups

- 10/24:
- Last time: If  $H \triangleleft S_n$ ,  $n \neq 4$ , then  $H = \{e\}, S_n, A_n$ . If  $n = 4$ ,  $H$  can also equal  $\{e\} \cup \{(xx)(xx)\}$ .
  - Theorem: Let  $n \neq 4$ . Then the only normal subgroups of  $A_n$  are the identity and  $A_n$ .
    - Let  $H \triangleleft A_n \triangleleft S_n$ . From this, you could propose concluding that since we know all normal subgroups of  $S_n$ , and  $H$  is less than or equal to  $A_n$ , we know that  $H = \{e\}, A_n$ .
    - Issue:  $\triangleleft$  is not transitive. Conjugacy classes change depending on where you're sitting.
    - Consider  $A, B, C$ : If  $A \triangleleft B \triangleleft C$ , then is  $A \triangleleft C$ ?
    - This theorem is on HW5.
    - Counterexample:

$$A = \langle (1,2)(3,4) \rangle \qquad B = \{e\} \cup \{(xx)(xx)\} \qquad C = S_4$$

- This is not so far from the simplest example.
- Calegari reemphasizes that, “if you understand everything about  $S_4$ , then you understand everything in this class.”
- We know that if  $H \leq A_4$ , then  $|H| \mid 12$  by Lagrange's theorem.
- Claim:  $A_4$  has no subgroups of order 6.
  - If  $H$  has index 2, then  $H \triangleleft A_4$ . This was a HW problem.
  - Thus, we can try to understand conjugacy classes in  $A_n$ . Whereas in  $S_n$ , we have a beautifully simple way to characterize all conjugacy classes, we do not have that in  $A_n$ . For example,  $(1, 2, 3)$  and  $(1, 3, 2)$  are not conjugate.  $(2, 3)(1, 2, 3)(2, 3) = (1, 3, 2)$ .  $(1, 2)(1, 2, 3)(1, 2) = (2, 1, 3)$ .  $(1, 3)(1, 2, 3)(1, 3) = (3, 2, 1)$ . But none of these transpositions are in  $A_n$ .
  - There are four conjugacy classes in  $A_4$ .

$$\{e\} \quad \{(12)(34), (13)(24), (14)(23)\} \quad \{(123), (243), (134), (142)\} \quad \{(132), (234), (143), (124)\}$$

- Note that if  $x, y \in A_4$  are of order 3, either  $x \sim y$  or  $x \sim y^{-1}$ .
- In  $A_5$ , all 3-cycles are conjugate; in  $A_4$ , they're not.
- The sizes of the conjugacy classes in  $A_4$  are  $1 + 4 + 4 + 3$ . That's enough to prove that there is no subgroup of order 6.
- Alternate proof.

*Proof.* Suppose for the sake of contradiction that  $A_4$  has a normal subgroup  $H$  of index 2. Then by the proposition from Lecture 4.1, there exists a surjective homomorphism from  $A_4 \rightarrow A_4/H$ . Additionally, since  $|A_4/H| = 2$  and there is only one group of order 2,  $A_4/H \cong \mathbb{Z}/2\mathbb{Z}$ . Thus, there exists a surjective homomorphism  $\phi : A_4 \rightarrow \mathbb{Z}/2\mathbb{Z}$ .

We know that every alternating group (including  $A_4$ ) is generated by 3-cycles. Let  $\sigma$  be an arbitrary 3-cycle generator of  $A_4$ . We know that  $\phi(\sigma) = 0$  or  $\phi(\sigma) = 1$ . If  $\phi(\sigma) = 1$ , then

$$0 = \phi(e) = \phi(\sigma^3) = 3\phi(\sigma) = 1 +_2 1 +_2 1 = 1$$

which clearly cannot happen. Thus,  $\phi(\sigma) = 0$ . Consequently, the image of all of the generators of  $A_4$  under  $\phi$  is 0. But this implies that  $\phi(A_4) = \{0\} \subsetneq \mathbb{Z}/2\mathbb{Z}$ , contradicting our hypothesis that  $\phi$  is surjective.  $\square$

- Here ends the material that will be covered on the midterm.
- We now move on to something we will come back to later.
- $A_n$  in nature for  $n = 4, 5$ .

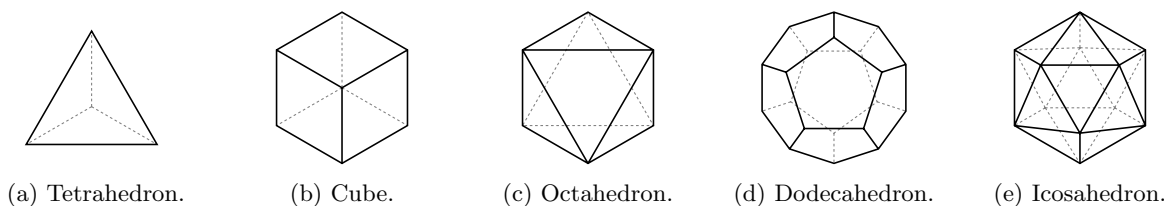


Figure 5.1: The platonic solids.

- Recall the cube group  $Cu$ .
- The cube is an example of a **platonic solid**.
- Other examples: Tetrahedron, octahedron, icosahedron, and dodecahedron. We define corresponding symmetry groups  $Te$ ,  $Oc$ ,  $Do$ , and  $Ic$ .
- Consider the tetrahedral group to start.
  - Since any rigid motion permutes the vertices, we have a map  $Te \hookrightarrow S_4$ . Moving 2 vertices fixes the rest. Thus,  $Te \leq S_4$ . Therefore,  $|Te| = 12$  so  $Te \cong A_4$ .
- We determined in HW2 that...
  - $Do \hookrightarrow S_5$  and  $|Do| = 60$ . Thus,  $Do \cong A_5$ .
- Consider the octahedron.

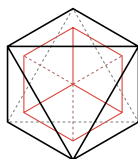


Figure 5.2: Inscribing a cube in an octahedron.

- $|Oc| = 6 \cdot 4 = 24$ . Rationale: Fix one vertex anywhere and then fix another (the other one can only take on the four adjacent positions, though); the positions of the rest are determined from these two.
- Let's look at fixing opposite faces. This does give an injective map to  $S_4$ , and it follows that  $Oc \cong S_4$ .

- Relation between Oc and Cu. We can inscribe a cube in the octahedron by connecting each vertex of the cube to the midpoint of one of the faces of the octahedron and vice versa. Thus, we get maps  $\text{Oc} \rightarrow \text{Cu}$ , leading to  $\text{Oc} \cong \text{Cu}$ .
  - We can similarly inscribe a dodecahedron in an icosahedron.
  - Thus, the cube and the octahedron have the same symmetry, and the dodecahedron and icosahedron have the same symmetry.
- **Platonic solid:** A solid geometric shape in three dimensions for which the faces, edges, and vertices are all indistinguishable.
  - We will study the platonic solids in more depth later.
- Problem: What symmetries can objects in  $\mathbb{R}^3$  have?
  - Rephrase: What are the finite subgroups of  $\text{SO}(3)$ ?
  - An octagon is Calegari's favorite polygon.
  - An octagonal prism has much the same symmetry in  $\mathbb{R}^3$  as an octagon does in  $\mathbb{R}^2$ . This leads to  $D_{2n} \leq \text{SO}(3)$ .
    - Recall the map from the blog post.
    - We also have  $\mathbb{Z}/n\mathbb{Z} \leq D_{2n}$ .
- It follows that the groups  $\mathbb{Z}/n\mathbb{Z}$ ,  $D_{2n}$ ,  $A_4$ ,  $S_4$ , and  $S_5$  occur as finite subgroups of  $\text{SO}(3)$ .
- Theorem: All finite subgroups of  $\text{SO}(3)$  are on this list. Moreover, all related versions are conjugate.
  - This is a companion theorem to the theorem that there are only five platonic solids.
  - Neither theorem implies the other, but they are related.
- Infinite subgroups of  $\text{SO}(3)$ :  $\text{O}(2)$ ,  $\text{SO}(3)$ ,  $\text{SO}(2)$ .
- This theorem will be completely evident by the end of the course.
- You can either use this theorem to understand  $A_4$ ,  $A_5$ , or use an understanding of  $A_4$ ,  $A_5$  to rationalize this theorem.
- Points to the main focus of the class: Understanding groups not just based on writing down elements but by their action on a certain set. This is the focus of the second half of the course.
- Midterm: 50 mins, closed book, Wednesday. Final exam must be in-person by department rules, but Calegari is fighting for us. Calegari is hoping that the midterm should not be a speed test.
  - Trying to test our skills, not our ability to memorize stuff.
  - How to do well: Learn group theory.
- The quaternion group.
  - A 4D vector space where you define a noncommutative product. If you just take 8 specific quaternions, the group of order 8 is distinct from  $D_8$  but related.

## 5.2 Group Actions

10/28:

- Let  $G$  be a group and  $X$  be a set.
- **Group action** (of  $G$  on  $X$ ): A map  $\cdot : G \times X \rightarrow X$  satisfying the following. Denoted by  $G \curvearrowright X$ .
  1. For all  $g, h \in G$  and  $x \in X$ ,  $g \cdot (h \cdot x) = gh \cdot x$ .
  2. For all  $x \in X$ ,  $e \cdot x = x$ .

- Note that condition 2 does not follow from condition 1, and an “inverse condition” follows from both.
  - In particular, condition 1 relates certain elements of the domain of the group action but does not relate any elements of the domain to elements of  $X$  (as condition 2 does).
  - The inverse condition  $g^{-1} \cdot (g \cdot x) = g \cdot (g^{-1} \cdot x) = x$  follows from conditions 1-2 via

$$g^{-1} \cdot (g \cdot x) = g^{-1} g \cdot x = e \cdot x = x = e \cdot x = gg^{-1} \cdot x = g \cdot (g^{-1} \cdot x)$$

- Example: If  $G$  is any group and  $X$  is any set, we may define a group action by  $g \cdot x = x$  for all  $g \in G$  and  $x \in X$ .
- Lemma: Let  $G \curvearrowright X$  and  $g \in G$ . Define  $\psi_g : X \rightarrow X$  by  $x \mapsto g \cdot x$ . Then  $\psi_g$  is a bijection.

*Proof.* Injectivity:

$$\begin{aligned}\psi_g(x) &= \psi_g(y) \\ g \cdot x &= g \cdot y \\ g^{-1} \cdot (g \cdot x) &= g^{-1} \cdot (g \cdot y) \\ e \cdot x &= e \cdot y \\ x &= y\end{aligned}$$

Surjectivity: Given  $x \in X$ , we want  $y$  such that  $\psi_g(y) = x$ . Choose  $y = g^{-1} \cdot x$ . □

- This allows us to recast group actions into the following equivalent form.
- Let  $X$  be a set and  $S_X$  be the set of all bijections from  $X \rightarrow X$  under composition. Note that if  $|X| = n$ , then  $S_X \cong S_n$ .
- Proposition: An action  $G$  on the set  $X$  is equivalent to a homomorphism from  $G$  to  $S_X$  defined by  $g \mapsto \psi_g$ .

*Proof.* A statement of the proposition that makes it more clear what exactly it is we want to prove is, “there exists an action  $\cdot : G \times X \rightarrow X$  iff there exists a homomorphism  $\phi : G \rightarrow S_X$  defined by  $g \mapsto \psi_g$ .” Let’s begin.

Suppose first that  $\cdot : G \times X \rightarrow X$  is group action of  $G$  on  $X$ . Define  $\phi : G \rightarrow S_X$  by  $g \mapsto \psi_g$ . To prove that  $\phi$  is a homomorphism, it will suffice to show that  $\phi(gh) = \phi(g) \circ \phi(h)$  for all  $g, h \in G$ . Let  $g, h \in G$  be arbitrary. Then by condition 1, we have for any and all  $x \in X$  that

$$\begin{aligned}g \cdot (h \cdot x) &= gh \cdot x \\ \psi_g(\psi_h(x)) &= \psi_{gh}(x) \\ [\psi_g \circ \psi_h](x) &= \psi_{gh}(x) \\ [\phi(g) \circ \phi(h)](x) &= [\phi(gh)](x)\end{aligned}$$

Therefore,  $\phi(gh) = \phi(g) \circ \phi(h)$ , as desired.

Now suppose that  $\phi : G \rightarrow S_X$  is a homomorphism defined by  $g \mapsto \psi_g$ . Define  $\cdot : G \times X \rightarrow X$  by  $g \cdot x = [\phi(g)](x)$ . To prove that  $\cdot$  is a group action, it will suffice to show that for all  $g, h \in G$  and  $x \in X$ ,  $g \cdot (h \cdot x) = gh \cdot x$  and  $e \cdot x = x$ . Let  $g, h \in G$  and  $x \in X$  be arbitrary. Then

$$g \cdot (h \cdot x) = g \cdot \psi_h(x) = [\psi_g \circ \psi_h](x) = [\phi(g) \circ \phi(h)](x) = [\phi(gh)](x) = \psi_{gh}(x) = gh \cdot x$$

and

$$e \cdot x = \psi_e(x) = x$$

as desired. □

- You need to be careful with what the set is and what the group is;  $x \cdot y$  probably doesn't make any sense (unless you start to get into cases where  $X$  is a group, too).
- **Kernel** (of a group action): The set of all  $g \in G$  such that  $g \cdot x = x$  for all  $x \in X$ .
  - The kernel is a (normal) subgroup of  $G$ .
  - We know this since it is equivalent to the kernel of the homomorphism described by the above proposition.
- **Faithful** (group action): A group action for which the kernel is trivial, i.e.,  $\ker = \{e\}$ .
  - Such a group action is “faithful” because it is telling the whole story, i.e., not leaving out any information, i.e., mapping everything to everything.
  - The trivial group action is an example of a group action that isn't faithful.
- **Orbit** (of  $x \in X$ ): The set of  $g \cdot x$  for all  $g \in G$ . Denoted by **Orb**( $x$ ).
  - A subset of  $X$ .
  - Everywhere you can get to from your starting point  $x$ .
- **Transitive** (group action): A group action for which  $\text{Orb}(x) = X$  for some (any)  $x \in X$ .
  - In what way is a transitive group action *transitive*??
- **Stabilizer** (of  $x \in X$ ): The set of all  $g \in G$  for which  $g \cdot x = x$ . Denoted by **Stab**( $x$ ).
  - A subgroup of  $G$ .
- The kernel is a subgroup of the stabilizer. More specifically,

$$\ker = \bigcap_{x \in X} \text{Stab}(x)$$

- This is because the elements of the stabilizer fix *some*  $x \in X$ , whereas the elements of the kernel fix *all*  $x \in X$ .
- Orbits are equivalence relations, i.e.,  $x \in \text{Orb}(x)$  and  $x \in \text{Orb}(y)$  imply that  $\text{Orb}(x) = \text{Orb}(y)$ .
  - In particular,

$$X = \bigsqcup \text{Orbits}$$

- Let  $G = S_n$  and  $X = [n]$ .
- Let  $G = \text{Cu}$ .
- Examples.

$G$	$X$	$ X $	Transitive	Faithful	Kernel	$\text{Stab}(x)$	$ \text{Stab}(x) $
Cu	Faces	6	✓	✓	$\{e\}$	$\mathbb{Z}/4\mathbb{Z}$ (rotations by $90^\circ$ )	4
	Vertices	8	✓	✓	$\{e\}$	$\mathbb{Z}/3\mathbb{Z}$	3
	Edges	12	✓	✓	$\{e\}$	$\mathbb{Z}/2\mathbb{Z}$	2
	Diagonals	4	✓	✓	$\{e\}$	$S_3 \cong D_6$	6
	Pairs of opposite faces	3	✓	X	Rotations by $180^\circ$ , in particular, $ K  = 4$	$D_8$	8
	Inscribed tetrahedra	2	✓	X	$A_4$	$A_4$	12
	$\text{Ed} \cup \text{Fac}$	18	X	✓	$\{e\}$	$\mathbb{Z}/4\mathbb{Z}$ or $\mathbb{Z}/2\mathbb{Z}$	4 or 2

Table 5.1: Examples of group actions.

- The last two rows we filled out by first asserting transitivity,  $A_4$ , and 12; and edges union faces, not transitive.
- On Monday, we will look into group actions where the geometry is not so convenient.