## Chapter 2

# Finite-Dimensional Vector Spaces

### 2.A Span and Linear Independence

- 9/3: Linear combination (of a list  $v_1, \ldots, v_m$  of vectors in V): A vector of the form  $a_1v_1 + \cdots + a_mv_m$ , where  $a_1, \ldots, a_m \in \mathbb{F}$ .
  - **Span** (of  $v_1, \ldots, v_m$ ): The set of all linear combinations of a list of vectors  $v_1, \ldots, v_m$  in V. Also known as **linear span**. Denoted by  $\operatorname{span}(v_1, \ldots, v_m)$ . Given by

$$\mathrm{span}(v_1, \dots, v_m) = \{a_1v_1 + \dots + a_mv_m : a_1, \dots, a_m \in \mathbb{F}\}\$$

- We define span() =  $\{0\}$ .
- Span as a subspace.

**Theorem 2.1.** The span of a list of vectors in V is the smallest subspace of V containing all the vectors in the list.

*Proof.* Let  $v_1, \ldots, v_m \in V$  be a list of vectors. We will first prove that  $\operatorname{span}(v_1, \ldots, v_m)$  is a subspace of V. We will then prove that it contains all of the vectors in the list. Lastly, we will prove that any proper subset of  $\operatorname{span}(v_1, \ldots, v_m)$  either doesn't contain all the vectors in the list or is not a subspace of V. Let's begin.

To prove that  $\operatorname{span}(v_1,\ldots,v_m)$  is a subspace of V, it will suffice to show that  $\operatorname{span}(v_1,\ldots,v_m)$  contains the additive identity,  $\operatorname{span}(v_1,\ldots,v_m)$  is closed under addition, and  $\operatorname{span}(v_1,\ldots,v_m)$  is closed under scalar multiplication. By the definition of  $\operatorname{span}(v_1,\ldots,v_m)$ , we know that  $0v_1+\cdots+0v_m=0\in \operatorname{span}(v_1,\ldots,v_m)$ . If  $a_1v_1+\cdots+a_mv_m\in \operatorname{span}(v_1,\ldots,v_m)$  and  $b_1v_1+\cdots+b_mv_m\in \operatorname{span}(v_1,\ldots,v_m)$ , then naturally  $(a_1v_1+\cdots+a_mv_m)+(b_1v_1+\cdots+b_mv_m)=(a_1+b_1)v_1+\cdots+(a_m+b_m)v_m\in \operatorname{span}(v_1,\ldots,v_m)$ . Lastly, if  $a_1v_1+\cdots+a_mv_m\in \operatorname{span}(v_1,\ldots,v_m)$  and  $\lambda\in\mathbb{F}$ , then naturally  $\lambda(a_1v_1+\cdots+a_mv_m)=(\lambda a_1)v_1+\cdots+(\lambda a_m)v_m\in \operatorname{span}(v_1,\ldots,v_m)$ .

By setting every  $a_i = 0$  except  $a_j = 1$ , we can guarantee that  $v_j \in \text{span}(v_1, \dots, v_m)$  for all  $j \in [m]$ .

Suppose for the sake of contradiction that there exists a smaller subspace U of V that contains  $v_1, \ldots, v_m$ . It follows that there exists a vector  $u \in \text{span}(v_1, \ldots, v_m)$  such that  $u \notin U$ . Since  $u \in \text{span}(v_1, \ldots, v_m)$ ,  $u = a_1v_1 + \cdots + a_mv_m$  for some  $a_1, \ldots, a_m \in \mathbb{F}$ . However, by definition,  $v_1, \ldots, v_m \in U$ , so since U is closed under addition and scalar multiplication, their linear combination  $a_1v_1 + \cdots + a_mv_m = u \in U$ , a contradiction.

- If span $(v_1, \ldots, v_m) = V$ , we say that  $v_1, \ldots, v_m$  spans V.
- Finite-dimensional vector space: A vector space such that some list of vectors in it spans the space.

- Recall that by definition, every list has finite length.
- Polynomial (with coefficients in  $\mathbb{F}$ ): A function  $p: \mathbb{F} \to \mathbb{F}$  such that there exist  $a_0, \ldots, a_m \in \mathbb{F}$  such that

$$p(z) = a_0 + a_1 z + a_2 z^2 + \dots + a_m z^m$$

for all  $z \in \mathbb{F}$ .

- $\mathcal{P}(\mathbb{F})$ : The set of all polynomials with coefficients in  $\mathbb{F}$ .
  - $-\mathcal{P}(\mathbb{F})$ , under the usual addition and scalar multiplication, is a vector space over  $\mathbb{F}$ .
  - Thus,  $\mathcal{P}(\mathbb{F})$  is a subspace of  $\mathbb{F}^{\mathbb{F}}$ .
- We will later prove that the coefficients of a polynomial uniquely determine it.
- **Degree** (of a polynomial p): The number m, where  $p = a_0 + a_1 z + \cdots + a_m z^m$  and  $a_m \neq 0$ . Denoted by deg p = m.
  - The polynomial p(z) = 0 is said to have degree  $-\infty$ .
- $\mathcal{P}_m(\mathbb{F})$ : The set of all polynomials with coefficients in  $\mathbb{F}$  and degree at most m, where m is a nonnegative integer.
  - $-\mathcal{P}_m(\mathbb{F}) = \operatorname{span}(1, z, \dots, z^m)$  is a finite-dimensional vector space for all nonnegative integers m.
- Infinite-dimensional vector space: A vector space that is not finite dimensional.
  - $-\mathcal{P}(\mathbb{F})$  is infinite-dimensional.
- Linearly independent (list  $v_1, \ldots, v_m$ ): A list  $v_1, \ldots, v_m$  of vectors in V such that the only choice of  $a_1, \ldots, a_m \in \mathbb{F}$  that makes  $a_1v_1 + \cdots + a_mv_m = 0$  is  $a_1 = \cdots = a_m = 0$ .
  - We also let the empty list be linearly independent.
- $v_1, \ldots, v_m$  is linearly independent if and only if each vector in  $\mathrm{span}(v_1, \ldots, v_m)$  has only one representation as a linear combination of  $v_1, \ldots, v_m$ .
- If some vectors are removed from a linearly independent list, the remaining list is also linearly independent.
  - Suppose  $v_1, \ldots, v_m$  is linearly independent. Suppose  $v_1, \ldots, v_n$  is not linearly independent, with n < m. Then  $a_1v_1 + \cdots + a_nv_n = 0$  for some  $a_1, \ldots, a_n \in \mathbb{F}$  such that  $a_i \neq 0$  for all  $i \in [n]$ . But then  $a_1v_1 + \cdots + a_nv_n + 0v_{n+1} + \cdots + 0v_m = 0$ , a contradiction.
- Linearly dependent (list  $v_1, \ldots, v_m$ ): A list  $v_1, \ldots, v_m$  of vectors in V that is not linearly independent.
  - In other words,  $v_1, \ldots, v_m$  are linearly dependent if there exist  $a_1, \ldots, a_m \in \mathbb{F}$ , not all 0, such that  $a_1v_1 + \cdots + a_mv_m = 0$ .
- The following is an important and oft-used lemma.

**Lemma 2.2** (Linear Dependence Lemma). Suppose  $v_1, \ldots, v_m$  is a linearly dependent list in V. Then there exists  $j \in \{1, \ldots, m\}$  such that the following hold:

- (a)  $v_j \in \text{span}(v_1, \dots, v_{j-1});$
- (b) if the  $j^{th}$  term is removed from  $v_1, \ldots, v_m$ , the span of the remaining list equals  $\operatorname{span}(v_1, \ldots, v_m)$ .

*Proof.* We divide into two cases (the list is  $v_1 = 0$ , and the list is  $v_1, \ldots, v_m$ ).

If the list is  $v_1 = 0$ , then the list is linearly dependent. Choose j = 1. Clearly,  $v_1 \in \text{span}() = \{0\}$  by definition. Additionally,  $\text{span}() = \{0\} = \{a_10 : a_1 \in \mathbb{F}\} = \text{span}(v_1)$ , as desired.

Since  $v_1, \ldots, v_m$  is linearly dependent, there exist  $a_1, \ldots, a_m \in \mathbb{F}$ , not all 0, such that  $a_1v_1 + \cdots + a_mv_m = 0$ . Let j be the largest element of  $\{1, \ldots, m\}$  such that  $a_j \neq 0$ . Then

$$0 = a_1 v_1 + \dots + a_j v_j + 0 v_{j+1} + \dots + 0 v_m$$
$$-a_j v_j = a_1 v_1 + \dots + a_{j-1} v_{j-1}$$
$$v_j = -\frac{a_1}{a_j} v_1 - \dots - \frac{a_{j-1}}{a_j} v_{j-1}$$

It follows that  $v_j \in \text{span}(v_1, \dots, v_{j-1})$ , as desired.

as desired.

Now clearly span $(v_1, \ldots, v_{j-1}, v_{j+1}, \ldots, v_m) \subset \text{span}(v_1, \ldots, v_m)$ . In the other direction, suppose  $u = c_1v_1 + \cdots + c_mv_m \in \text{span}(v_1, \ldots, v_m)$ . Then

$$u = c_1 v_1 + \dots + c_{j-1} v_{j-1} + c_j \left( -\frac{a_1}{a_j} v_1 - \dots - \frac{a_{j-1}}{a_j} v_{j-1} \right) + c_{j+1} v_{j+1} + \dots + c_m v_m \in \operatorname{span}(v_1, \dots, v_{j-1}, v_{j+1}, \dots, v_m)$$

• We next prove an immediate consequence of the Linear Dependence Lemma.

**Theorem 2.3.** In a finite-dimensional vector space, the length of every linearly independent list of vectors is less than or equal to the length of every spanning list of vectors.

*Proof.* Suppose that  $u_1, \ldots, u_m$  is linearly independent in V, and that  $w_1, \ldots, w_n$  spans V. We must prove that  $m \leq n$ . To do so, it will suffice to use the following m-step process.

Step 1: Let  $B = w_1, \ldots, w_n$ . Adding any  $v \in V$  to this list produces a linearly dependent list (because the newly adjoined vector can be written as a linear combination of the other vectors). In particular, the list  $u_1, w_1, \ldots, w_n$  is linearly dependent. Thus, since  $u_1 \neq 0$  (it's part of a linearly independent list, and thus cannot be written as  $0u_i$  for any  $u_i$ ), the Linear Dependence Lemma asserts that we can remove one of the  $w_i$ 's such that the new list B consisting of  $u_1$  and the remaining  $w_i$ 's spans V.

Step j: The list B from step j-1 spans V. Thus, as before, adjoin vector  $u_j$  to B, placing it just after  $u_1, \ldots, u_{j-1}$ . It follows by the Linear Dependence Lemma that one of the following vectors (i.e., one of the  $w_i$ 's) is in span $(u_1, \ldots, u_j)$ , so we can remove it and know that the list comprised of  $u_1, \ldots, u_j$  followed by the remaining  $w_i$ 's spans V.

After step m, we have added all of the u's and the process stops. At each step, as we add a u to B, the Linear Dependence Lemma implies that there is some w to remove. Thus, there are at least as many w's as u's.<sup>[1]</sup>

- This theorem allows us to prove results such as no list of 4 or more vectors is linearly independent in  $\mathbb{R}^3$  (since (1,0,0), (0,1,0), (0,0,1) spans  $\mathbb{R}^3$ ), and no list of fewer than 4 vectors spans  $\mathbb{R}^4$  (since (1,0,0,0), (0,1,0,0), (0,0,1,0), (0,0,0,1) is linearly independent in  $\mathbb{R}^4$ ).
- We now can rigorously prove that subspaces of finite-dimensional vector spaces are also finite-dimensional.

**Theorem 2.4.** Every subspace of a finite-dimensional vector space is finite-dimensional.

*Proof.* Let V be finite-dimensional, and suppose for the sake of contradiction that U is infinite-dimensional subspace of V. Since V is finite-dimensional, there exists a list of vectors  $v_1, \ldots, v_m$  such that  $\operatorname{span}(v_1, \ldots, v_m) = V$ . To arrive at a contradiction, we will construct a linearly independent list of vectors in U of length m+1, contradicting Theorem 2.3.

 $<sup>^{1}</sup>$ We should be able to do this more rigorously via induction on m.

Since U is infinite-dimensional, there is no list of vectors in U spans it. Thus, if we choose  $u_1 \in U$ , we know that  $\operatorname{span}(u_1) \neq U$ . It follows since  $\operatorname{span}(u_1) \subset U$  (as we know from the closure of U) that there exists  $u_2 \in U$  such that  $u_2 \notin \operatorname{span}(u_1)$ . However, we will still have that  $\operatorname{span}(u_1, u_2) \neq U$ . More importantly, though, since  $u_2 \notin \operatorname{span}(u_1)$  and  $u_1 \notin \operatorname{span}()$ , the Linear Dependence Lemma implies that  $u_1, u_2$  is linearly independent. We can clearly continue in this fashion up to  $u_1, \ldots, u_{m+1}$ , as desired.

#### 2.B Bases

- Basis (of V): A list of vectors in V that is linearly independent and spans V.
- Standard basis (of  $\mathbb{F}^n$ ): The list  $(1,0,\ldots,0),(0,1,0,\ldots,0),\ldots,(0,\ldots,0,1)$ .
- Determining whether a list of vectors is a basis:

**Theorem 2.5.** A list  $v_1, \ldots, v_n$  of vectors in V is a basis of V if and only if every  $v \in V$  can be written uniquely in the form

$$v = a_1 v_1 + \dots + a_n v_n$$

where  $a_1, \ldots, a_n \in \mathbb{F}$ .

*Proof.* Suppose first that  $v_1, \ldots, v_n$  is a basis of V. Let  $v \in V$  be arbitrary. We will first show that v can be written in the desired form, and then we will show that this writing is unique. Let's begin. By the definition of a basis,  $v_1, \ldots, v_n$  spans V. Thus,  $\operatorname{span}(v_1, \ldots, v_n) = V$ . It follows that  $v \in \operatorname{span}(v_1, \ldots, v_n)$ , which implies by the definition of span that  $v = a_1v_1 + \cdots + a_nv_n$  where  $a_1, \ldots, a_n \in \mathbb{F}$ , as desired. Now suppose for the sake of contradiction  $v = c_1v_1 + \cdots + c_nv_n$  as well, where  $c_1, \ldots, c_n \in \mathbb{F}$  and  $c_j \neq a_j$  for some  $i \in [n]$ . Then

$$0 = v - v$$
  
=  $(a_1v_1 + \dots + a_nv_n) - (c_1v_1 + \dots + c_nv_n)$   
=  $(a_1 - c_1)v_1 + \dots + (a_n - c_n)v_n$ 

Since at least  $a_j - c_j \neq 0$  but the above sum still does equal 0, we have that  $v_1, \ldots, v_n$  are not linearly independent, a contradiction.

Now suppose that every  $v \in V$  can be written uniquely in the form  $v = a_1v_1 + \cdots + a_nv_n$ . To prove that  $v_1, \ldots, v_n$  is a basis of V, it will suffice to show that  $v_1, \ldots, v_n$  spans V and is linearly independent. Let's start with the first claim. Clearly,  $\operatorname{span}(v_1, \ldots, v_n) \subset V$ , and since every  $v \in V$  may be written as a linear combination of  $v_1, \ldots, v_n$ , we know that every  $v \in V$  is an element of  $\operatorname{span}(v_1, \ldots, v_n)$ , as desired. On the other hand, we know that  $0 = 0v_1 + \cdots + 0v_n$  and 0 can only be written in this unique form. Thus, the only choice of  $a_1, \ldots, a_m \in \mathbb{F}$  that makes  $a_1v_1 + \cdots + a_nv_n = 0$  is  $a_1 = \cdots = a_n = 0$ , proving that  $v_1, \ldots, v_n$  is linearly independent.

• Finding the basis in a spanning list.

**Theorem 2.6.** Every spanning list in a vector space can be reduced to a basis of the vector space.

*Proof.* Let  $v_1, \ldots, v_n$  span V. We induct on n. For the base case n = 0, if () spans V, then since () is linearly independent by definition, we are done. Now suppose inductively that we have proven that every spanning list in V of length n can be reduced to a basis of V; we wish to prove that every spanning list in V of length n + 1 can be reduced to a basis of V. Let  $v_1, \ldots, v_{n+1}$  span V. If  $v_1, \ldots, v_{n+1}$  is linearly independent, we are done. If  $v_1, \ldots, v_{n+1}$  is linearly dependent, then by the Linear Dependence Lemma, we can remove a vector from the list without changing its span. Our new list only has length n, so by the inductive hypothesis, it will reduce to a basis of V.

• Proving the existence of a basis in a finite-dimensional vector space.

**Theorem 2.7.** Every finite-dimensional vector space has a basis.

*Proof.* Let V be finite-dimensional. As such, there exists a list  $v_1, \ldots, v_n$  of vectors in V that spans V. It follows by Theorem 2.6 that some sublist of  $v_1, \ldots, v_n$  is a basis of V, as desired.

• Extending a linearly independent list into a basis (basically the dual of Theorem 2.6).

**Theorem 2.8.** Every linearly independent list of vectors in a finite-dimensional vector space can be extended to a basis of the vector space.

*Proof.* Let  $u_1, \ldots, u_m$  be a linearly independent list of vectors in V. By Theorem 2.7, V has a basis  $w_1, \ldots, w_n$ . It follows that  $u_1, \ldots, u_m, w_1, \ldots, w_n$  spans V. Thus, by Theorem 2.6, which removes the first linearly dependent vector in  $u_1, \ldots, u_m, w_1, \ldots, w_n$  (necessarily one of the  $w_i$ 's since  $u_1, \ldots, u_m$  are linearly independent) via the Linear Dependence Lemma, there exists a sublist of  $u_1, \ldots, u_m, w_1, \ldots, w_n$  containing  $u_1, \ldots, u_m$  that is a basis of V.

• Finding orthogonal complements.

**Theorem 2.9.** Suppose V is finite-dimensional and U is a subspace of V. Then there is a subspace W of V such that  $V = U \oplus W$ .

*Proof.* Since V is finite-dimensional, Theorem 2.4 asserts that U is finite-dimensional. Thus, by Theorem 2.7, U has a basis  $u_1, \ldots, u_m$ . It follows by Theorem 2.8 that there exist  $w_1, \ldots, w_n \in V$  such that  $u_1, \ldots, u_m, w_1, \ldots, w_n$  is a basis of V. Let  $W = \text{span}(w_1, \ldots, w_n)$ .

To prove that  $U \oplus W = V$ , it will suffice to show that

$$U + W = V \qquad \qquad U \cap W = \{0\}$$

To prove the first equation, it will suffice to show that for any vector  $v \in V$ , v = u + w for  $u \in U$  and  $w \in W$ . But since  $u_1, \ldots, u_m, w_1, \ldots, w_n$  is a basis of V, we have by the definition of span that

$$v = \underbrace{a_1 u_1 + \dots + a_m u_m}_{u} + \underbrace{b_1 w_1 + \dots + b_n w_n}_{w}$$

as desired.

To prove the second equation, let  $v \in U \cap W$  be arbitrary. Then since  $v \in U$  and  $u_1, \ldots, u_m$  is a basis of U, we have that  $v = a_1u_1 + \cdots + a_mu_m$  where  $a_1, \ldots, a_m \in \mathbb{F}$ . Similarly, we have that  $v = b_1w_1 + \cdots + b_mw_n$  where  $b_1, \ldots, b_n \in \mathbb{F}$ . It follows that

$$0 = v - v$$
  
=  $a_1 u_1 + \dots + a_m u_m - b_1 w_1 - \dots - b_n w_n$ 

But since  $u_1, \ldots, u_m, w_1, \ldots, w_n$  is a basis of  $V, u_1, \ldots, u_m, w_1, \ldots, w_n$  is linearly independent. It follows that  $a_1 = \cdots = a_m = b_1 = \cdots = b_n = 0$ . Therefore,  $v = a_1u_1 + \cdots + a_mu_m = 0$ , as desired.

 Note that this same basic idea extends to the infinite-dimensional case, but that proof requires considerably more advanced tools.

#### 2.C Dimension

• It would be nice to define the dimension of a vector space as the length of a basis, but in order to do this we must first show that all bases have the same length.

**Theorem 2.10.** Any two bases of a finite-dimensional vector space have the same length.

*Proof.* Let  $B_1, B_2$  be two arbitrary bases of V. Since  $B_1$  is linearly independent in V and  $B_2$  spans V, Theorem 2.3 asserts that len  $B_1 \leq \text{len } B_2$ . Similarly, since  $B_2$  is linearly independent in V and  $B_1$  spans V, Theorem 2.3 asserts that len  $B_2 \leq \text{len } B_1$ . Therefore, len  $B_1 = \text{len } B_2$ , as desired.

- Dimension (of V finite-dimensional): The length of any basis of V. Denoted by  $\dim V$ .
- We can now give the expected inequality regarding the dimension of subspaces with respect to the dimension of the vector space.

**Theorem 2.11.** If V is finite-dimensional, and U is a subspace of V, then  $\dim U \leq \dim V$ .

*Proof.* Since V is finite dimensional, Theorem 2.4 asserts that U is finite-dimensional. Thus, Theorem 2.7 implies that they have bases  $B_U = u_1, \ldots, u_m$  and  $B_V = v_1, \ldots, v_n$ . Therefore, since  $B_U$  is linearly independent in V and  $B_V$  spans V, Theorem 2.3 asserts that  $\dim U = \operatorname{len} B_U \leq \operatorname{len} B_V = \dim V$ , as desired.

- Note that while there exists an order-preserving bijection over addition and scalar multiplication by elements of  $\mathbb{R}$  between  $\mathbb{R}^2$  and  $\mathbb{C}$ , dim  $\mathbb{R}^2 = 2 \neq 1 = \dim \mathbb{C}$ . Thus, when we talk about the dimension of a vector space, the role played by the choice of  $\mathbb{F}$  cannot be neglected.
- Consider a list of vectors in a vector space that has the same length as a basis of the vector space. To check that this list is a basis, we can show that it suffices to show that the list is linearly independent or spans the vector space, as we will now prove.

**Theorem 2.12.** Suppose V is finite-dimensional. Then every linearly independent list of vectors in V with length dim V is a basis of V.

*Proof.* Let dim V = n, and let  $v_1, \ldots, v_n$  be linearly independent. By Theorem 2.8, we can extend  $v_1, \ldots, v_n$  to a basis of V. However, since every basis of V has length n by Theorem 2.10, we need not add any vectors to  $v_1, \ldots, v_n$  to make it a basis; in other words,  $v_1, \ldots, v_n$  already is a basis.

**Theorem 2.13.** Suppose V is finite-dimensional. Then every spanning list of vectors in V with length  $\dim V$  is a basis of V.

*Proof.* The proof is symmetric to the proof of Theorem 2.12.

 Lastly, we tackle the dimension of a sum of subspaces, which bears a resemblance to the principle of inclusion-exclusion.

**Theorem 2.14.** If  $U_1$  and  $U_2$  are subspaces of a finite-dimensional vector space, then

$$\dim(U_1 + U_2) = \dim U_1 + \dim U_2 - \dim(U_1 \cap U_2)$$

*Proof.* By Theorem 2.7,  $U_1 \cap U_2$  (which we can prove is a subspace in its own right) has a basis, which we may denote  $u_1, \ldots, u_m$ . Since  $u_1, \ldots, u_m$  is linearly independent in  $U_1$ , Theorem 2.8 asserts that it can be extended to a basis  $u_1, \ldots, u_m, v_1, \ldots, v_j$  of  $U_1$ . Similarly, it can be extended to a basis  $u_1, \ldots, u_m, w_1, \ldots, w_k$  of  $U_2$ .

To prove that  $u_1, \ldots, u_m, v_1, \ldots, v_j, w_1, \ldots, w_k$  is a basis of  $U_1 + U_2$ , it will suffice to show that it is linearly independent and spans  $U_1 + U_2$ .

To show that  $u_1, \ldots, u_m, v_1, \ldots, v_j, w_1, \ldots, w_k$  is linearly independent, it will suffice to verify that

$$a_1u_1 + \dots + a_mu_m + b_1v_1 + \dots + b_jv_j + c_1w_1 + \dots + c_kw_k = 0$$

$$c_1w_1 + \dots + c_kw_k = -a_1u_1 - \dots - a_mu_m - b_1v_1 - \dots - b_jv_j$$

implies that all of the coefficients equal 0. Suppose that the above equation holds. Then since  $c_1w_1 + \cdots + c_kw_k$  can be written as a linear combination of the basis vectors of  $U_1$ ,  $c_1w_1 + \cdots + c_kw_k \in U_1$ .

Additionally, since  $c_1w_1 + \cdots + c_kw_k$  is a linear combination of vectors in  $U_2$ ,  $c_1w_1 + \cdots + c_kw_k \in U_2$ . Thus,  $c_1w_1 + \cdots + c_kw_k \in U_1 \cap U_2$ . It follows that  $c_1w_1 + \cdots + c_kw_k$  can be written as a linear combination of  $u_1, \ldots, u_m$ , i.e.,

$$c_1 w_1 + \dots + c_k w_k = d_1 u_1 + \dots + d_m u_m$$
  
 $0 = d_1 u_1 + \dots + d_m u_m - c_1 w_1 - \dots - c_k w_k$ 

for some  $d_1, \ldots, d_m \in \mathbb{F}$ . But since  $u_1, \ldots, u_m, w_1, \ldots, w_k$  is linearly independent as the basis of  $U_2$ , the above equation implies that  $c_1 = \cdots = c_k = 0$ . This implies that

$$0 = -a_1 u_1 - \dots - a_m u_m - b_1 v_1 - \dots - b_j v_j$$

meaning since  $u_1, \ldots, u_m, v_1, \ldots, v_j$  is linearly independent as the basis of  $U_1$ , the above equation implies that  $a_1 = \cdots = a_m = b_1 = \cdots = b_j = 0$ , as desired.

To show that  $u_1,\ldots,u_m,v_1,\ldots,v_j,w_1,\ldots,w_k$  spans  $U_1+U_2$ , it will suffice to show that all vectors in the list are elements of  $U_1+U_2$  (i.e., span $(u_1,\ldots,u_m,v_1,\ldots,v_j,w_1,\ldots,w_k)\subset U_1+U_2$ ), and that every vector in  $U_1+U_2$  can be written as a linear combination of  $u_1,\ldots,u_m,v_1,\ldots,v_j,w_1,\ldots,w_k$  (i.e., that  $U_1+U_2\subset \operatorname{span}(u_1,\ldots,u_m,v_1,\ldots,v_j,w_1,\ldots,w_k)$ ). Since every vector in the list is an element of  $U_1$  or  $U_2$ , we can show that it is an element of  $U_1+U_2$  by adding it to the additive identity of the other space. On the other hand, let  $x\in U_1+U_2$ . Then  $x=x_1+x_2$ , where  $x_1\in U_1$  and  $x_2\in U_2$ . It follows that  $x_1=a_1u_1+\cdots+a_mu_m+b_1v_1+\cdots+b_jv_j$  and  $x_2=a'_1u_1+\cdots+a'_mu_m+c_1w_1+\cdots+c_kw_k$ . Therefore,  $x=(a_1+a'_1)u_1+\cdots+(a_m+a'_m)u_m+b_1v_1+\cdots+b_jv_j+c_1w_1+\cdots+c_kw_k$ , as desired.

Having established that  $u_1, \ldots, u_m, v_1, \ldots, v_j, w_1, \ldots, w_k$  is a basis of  $U_1 + U_2$ , we have that

$$\dim(U_1 + U_2) = m + j + k$$

$$= (m + j) + (m + k) - m$$

$$= \dim U_1 + \dim U_2 - \dim(U_1 \cap U_2)$$

as desired.

#### Exercises

1 Suppose V is finite-dimensional and U is a subspace of V such that  $\dim U = \dim V$ . Prove that U = V.

*Proof.* Since V is finite dimensional and U is a subspace of V, we have by Theorem 2.9 that there is a subspace W of V such that  $V = U \oplus W$ . It follows by Theorem 2.14 that

$$\dim W = \dim V - \dim U + \dim\{0\} = 0$$

Thus,  $W = \{0\}$ . Therefore,

$$V = U \oplus W$$
=  $\{u + w : u \in U, w \in W\}$ 
=  $\{u + 0 : u \in U\}$ 
=  $U$ 

as desired.