

# MATH 16110 (Honors Calculus I IBL) Notes

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## Introduction to Proofs

- 9/27:
- Note: These answers address the exercises on the following document.
  - We will prove Lemma 4 (i) by contrapositive.

**Lemma 4.** *Let  $x, y$  be positive integers. Then  $xy$  is odd if and only if  $x$  and  $y$  are both odd.*

*Proof.* We wish to prove that if  $x$  and  $y$  are not both odd, then  $xy$  is not odd. In other words, we wish to prove that if at least one of  $x$  or  $y$  is even, then  $xy$  is even. Let's begin. WLOG, let  $x$  be even. Then  $x = 2k$  for some  $k \in \mathbb{N}$ . Thus,  $xy = 2(ky)$ , proving that  $xy$  is even since  $ky \in \mathbb{N}$ . The proof is symmetric for  $y$ .  $\square$

- We now prove Corollary 5.

**Corollary 5.** *Let  $x, y$  be positive integers. Then  $xy$  is even if and only if at least one of  $x$  and  $y$  is even.*

*Proof.* We wish to prove that  $xy$  is even if and only if at least one of  $x$  and  $y$  is even. Consequently, we must prove the dual implications “if  $xy$  is even, then at least one of  $x$  and  $y$  is even” and “if at least one of  $x$  and  $y$  is even, then  $xy$  is even.” Let's begin. For the first statement, let  $xy$  be even and suppose for the sake of contradiction that both  $x$  and  $y$  are not even, i.e., are odd. But by Lemma 4, it follows from the assumption that  $x$  and  $y$  are both odd that  $xy$  is odd, which contradicts the fact that  $xy$  is even. Therefore, at least one of  $x$  or  $y$  must be even. As to the second statement, suppose that at least one of  $x$  or  $y$  is even. In this case,  $x$  and  $y$  are not both odd. Thus, by Lemma 4,  $xy$  is not odd, or, equivalently,  $xy$  is even.  $\square$

- Are there positive integers  $m, n$  such that  $m$  and  $n$  have no common factors (other than 1) and  $m^2 = 3n^2$ ? Either give an example or prove that no example is possible.

*Proof.* Let  $m, n$  be relatively prime positive integers and suppose for the sake of contradiction that  $m^2 = 3n^2$ . We divide into two cases (the case where  $n$  is even, and the case where  $n$  is odd); we seek contradictions in both cases. First off, if  $n$  is even, then  $n = 2k$  for some  $k \in \mathbb{N}$ . Thus,  $3n^2 = 3(2k)^2 = 12k^2 = 2(6k^2) = m^2$ , proving that  $m^2$  is even since  $6k^2 \in \mathbb{N}$ . By Corollary 5, this implies that  $m$  is even. Therefore, since  $m$  and  $n$  are both even, they have a common factor, a contradiction. On the other hand, if  $n$  is odd, then  $n = 2k+1$  for some  $k \in \mathbb{N}$ . Thus,  $3n^2 = 3(2k+1)^2 = 12k^2 + 12k + 3 = 2(6k^2 + 6k + 1) + 1 = m^2$ , proving that  $m^2$  is odd since  $6k^2 + 6k + 1 \in \mathbb{N}$ . Thus, by Lemma 4,  $m$  is odd. Consequently,  $m = 2l+1$  for some  $l \in \mathbb{N}$ , so  $m^2 = (2l+1)^2 = 4l^2 + 4l + 1 = 12k^2 + 12k + 3$ , the last equality holding because we also have  $m^2 = 3n^2 = 12k^2 + 12k + 3$ . This implies the following.

$$4l^2 + 4l + 1 = 12k^2 + 12k + 3$$

$$4l^2 + 4l = 12k^2 + 12k + 2$$

$$2l^2 + 2l = 6k^2 + 6k + 1$$

$$2(l^2 + l) = 2(3k^2 + 3k) + 1$$

Since  $l^2 + l$  and  $3k^2 + 3k$  are both natural numbers, the above asserts that an odd number equals an even number, a contradiction. Hence, in both cases, we must have that  $m^2 \neq 3n^2$ .  $\square$

- Are there positive integers  $m, n$  such that  $m$  and  $n$  have no common factors (other than 1) and  $m^2 = 6n^2$ ? Either give an example or prove that no example is possible.

*Proof.* Let  $m, n \in \mathbb{N}$  have no common factors (other than 1), and suppose for the sake of contradiction that  $m^2 = 6n^2$ . Since  $m^2 = 6n^2 = 2(3n^2)$ ,  $m^2$  is even. It follows by Corollary 5 that  $m$  is even, implying that  $m = 2k$  for some  $k \in \mathbb{N}$ . Thus,  $6n^2 = m^2 = (2k)^2 = 4k^2$ , so  $3n^2 = 2k^2$ . Since  $k^2 \in \mathbb{N}$ ,  $3n^2$  is even. Consequently, we have that  $n^2$  is even by Corollary 5 (since at least one of 3 or  $n^2$  is even and  $3 = 2(1) + 1$  is odd). By Corollary 5 again,  $n$  is even. Thus,  $m$  and  $n$  are both even, contradicting the assumption that they have no common factors other than 1.  $\square$

- Are there positive integers  $m, n$  such that  $m$  and  $n$  have no common factors (other than 1) and  $m^2 = 4n^2$ ? Either give an example or prove that no example is possible.

*Proof.* Let  $m = 2$  and  $n = 1$ . Then  $m^2 = 2^2 = 4 = 4 \cdot 1^2 = 4n^2$ .

□

# Notes on Proofs

**Mathematical symbols used in proofs:** In the following  $p$  and  $q$  represent statements that must be either true or false.

1.  $p \implies q$  means ' $p$  implies  $q$ ' or 'if  $p$ , then  $q$ .'
2.  $p \impliedby q$  means ' $p$  is implied by  $q$ ' or ' $p$  only if  $q$ ' or 'if  $q$  then  $p$ .'
3.  $p \iff q$  means ' $p$  if and only if  $q$ ,' i.e. 'if  $p$  then  $q$ ' and 'if  $q$  then  $p$ .'
4.  $\exists$  means 'there exists' or 'there is a.'
5.  $\forall$  means 'for all' or 'for every.'
6.  $\in$  means 'is a member of'
7.  $\subset$  means 'is a subset of' (*See Definition 1.3*)
8.  $\supset$  means 'is a superset of.' ( $A$  is a superset of  $B \iff B$  is a subset of  $A$ .)

**Remark 1.** *These symbols are appropriate for use on the board but should be used sparingly and very carefully in formal write ups. More explanation of this follows in the discussion below.*

## Key Points

1. You will rarely be able to write down a completely correct proof, with good mathematical style, on your first attempt. Usually you need to work out how the proof will go and then work out the best way to write it down. Proofs by induction (Sheet 0) are unusual in that there is a basic format that you follow.
2. Proofs must be **written entirely in complete sentences** and should not just consist of calculations (see Example 3). Sentences should not start with symbols.
3. Another student should be able to read your proof and understand it easily. This should be kept in mind especially when writing your journal. You should think of your journal as a textbook that you are writing.
4. You have to be very careful about your use of logical connectives.

For example, if you want to prove

$$x^2 + 2xy + y^2 \geq 0, \tag{1}$$

it is not correct to write

$$\begin{aligned}
 x^2 + 2xy + y^2 &\geq 0 \\
 (x + y)^2 &\geq 0 \\
 \text{True} &\quad .
 \end{aligned}$$

It is clear from this kind of work that you know what you are doing, however it is not a *proof*. You can easily turn it into a proof however. One temptation is to add  $\iff$  symbols where appropriate. ( $\iff$  means ‘if and only if,’ so can be used to join two equivalent statements.) For example,

$$\begin{aligned}
 x^2 + 2xy + y^2 &\geq 0 \\
 \iff (x + y)^2 &\geq 0,
 \end{aligned}$$

which is true.

*However*, although this is correct, it is not good mathematical style. It is much preferable to write something like:

Since the square of any real number is non-negative,  $(x+y)^2 \geq 0$ , and so  $x^2 + 2xy + y^2 = (x + y)^2 \geq 0$ .

In general, it is much better to use ‘so’ or ‘therefore’ than the implication symbol  $\implies$ , which can be ambiguous. Does “so  $a = 0 \implies b = 0$ ” mean “so  $a = 0$  and therefore  $b = 0$ ,” or “so if  $a = 0$  then  $b = 0$ ”? It is much better to avoid the ambiguity by using the words rather than the symbol.

5. “If  $p$  then  $q$ ” is not the same as “if  $q$  then  $p$ ,” so you must be sure that you are writing your proof in the correct direction.

For example

$$\begin{aligned}
 x^2 + 2xy + y^2 &\geq 0 \\
 \implies (x + y)^2 &\geq 0
 \end{aligned}$$

is true but will not help for the proof of (1) as the implication is in the wrong direction. The fact that (1) implies something true does not mean that (1) must itself be true. It is easy to come up with examples of false statements that imply true ones. For example:

$$\begin{aligned}
 0 &= 1 \\
 \implies 0 \cdot 1 &= 1 \cdot 0 \\
 \implies 0 &= 0.
 \end{aligned}$$

6. The equals sign,  $=$ , should only be used when 2 quantities are equal and not as a logical connective. For example you should not write

$$\begin{aligned}x^2 + 2xy + y^2 &\geq 0 \\ &= (x + y)^2 \geq 0;\end{aligned}$$

this makes no sense grammatically. (Try reading it aloud.)

7. Make sure that every variable you use has been introduced with a quantifier such as “for every”, “there exists”, or “for some”. You should generally state what set the variables lie in, unless this is completely obvious from the context. It is usually better to write out quantifiers in words although on the board you may use the symbols above (4 and 5) for brevity.
8. Placement of quantifiers is crucial. Consider the following examples:

*There is a student in this class who is sick every day.*

or

*Every day there is a student in this class who is sick.*

A more mathematical example:

*For every natural number  $n$  there is natural number  $m$  such that  $n < m$ .*

or

*There is a natural number  $m$  such that  $n < m$  for every natural number  $n$ .*

Do you see the difference?

### A couple of examples of proofs

For the sake of the following discussion we will assume that we know the following definition.

**Definition 2.** a) A positive integer  $n$  is even if it can be written as  $n = 2k$  for some positive integer  $k$ .

b) A positive integer  $n$  is odd if it can be written as  $n = 2k + 1$  for some positive integer  $k$ .

**Example 3.** If  $n \in \mathbb{N}$  and  $n$  is odd then  $n^2$  is also odd.

*Proof.* (first ‘proof’)

$$n = 2k + 1, n^2 = (2k + 1)^2 = 4k^2 + 4k + 1 = 2(2k^2 + 2k) + 1. \quad \square$$

*Proof.* (second proof) Since  $n$  is odd, we can write  $n$  as  $n = 2k + 1$  for some  $k \in \mathbb{N}$ . Then  $n^2 = (2k + 1)^2 = 4k^2 + 4k + 1 = 2(2k^2 + 2k) + 1$ . Since  $n^2 = 2m + 1$  for some  $m \in \mathbb{N}$ ,  $n^2$  is odd.  $\square$

The first ‘proof’ is not really a proof. It is simply the calculation that is needed for the proof. In your journals, you need to be writing your proofs more like the second proof. You needn’t write as much when presenting at the board, but you do need to write enough that your audience is able to follow your argument. **It usually will not be sufficient to copy down what is written on the board.**

## Types of Proof

To *disprove* a statement we need only come up with a *counterexample*, i.e. an example that shows that the statement cannot hold. To *prove* a statement, there are three possible strategies, besides mathematical induction. We suppose that we want to prove a statement of the form ‘**if  $p$  then  $q$** ’ (i.e.  $p$  is the assumption,  $q$  is the conclusion):

1. Direct Proof - we start by assuming that  $p$  is true and argue directly, using the assumption,  $p$ , and any other statement that has been previously established, until we arrive at statement  $q$ .
2. Proof by Contradiction - we start by assuming that the implication is false and argue until we arrive at a false statement, at which point we have a contradiction to the assumption that our implication was false, which proves that the implication is in fact true. For ‘if  $p$  then  $q$ ’ to be false it must be that  $p$  holds but  $q$  does not, so a proof by contradiction always starts by assuming that  $p$  holds and  $q$  does not.
3. Proof by Contraposition - ‘if  $p$  then  $q$ ’ is logically equivalent to ‘if not  $q$  then not  $p$ .’  
A proof by contraposition is a direct proof of the implication ‘if not  $q$  then not  $p$ .’

Some results can be proved by any one of these methods while others may only readily be proven by one of them. Figuring out which proof technique is the best for the problem at hand takes practice. As an example we consider the following lemma.

**Lemma 4.** *Let  $x, y$  be positive integers. Then  $xy$  is odd if, and only if,  $x$  and  $y$  are both odd.*

*Proof.* Note that since this is an ‘if and only if’ statement we must prove two implications, namely

- (i) If  $xy$  is odd, then  $x$  and  $y$  are both odd, and
- (ii) If  $x$  and  $y$  are both odd, then  $xy$  is odd.

*(For (i) it is hard to see how to proceed with a direct proof since we need to somehow separate out the  $x$  and  $y$ , so it is more natural to try one of the other approaches. In this case, the argument is similar whether we use proof by contradiction or contrapositive. We will give one here - you should try the other.)*

We will prove (i) by contradiction. So we suppose that  $xy$  is odd but (at least) one of  $x$  or  $y$  is not odd. It follows that (at least) one of  $x$  and  $y$  must be even. If  $x$  is even then



$x = 2k$  for some positive integer  $k$  and then  $xy = 2(ky)$  which is even also. Similarly, if  $y$  is even then  $xy$  is even. This contradicts our assumption that  $xy$  is odd. Hence  $x$  and  $y$  must both be odd.

(For (ii) a direct proof is straightforward.)

To prove (ii) we suppose that  $x$  and  $y$  are both odd. So, by the definition above, there are positive integers  $k$  and  $l$  with  $x = 2k + 1$  and  $y = 2l + 1$ . Then  $xy = (2k + 1)(2l + 1) = 4kl + 2k + 2l + 1 = 2(2kl + k + l) + 1$ , which is of the form  $2m + 1$  for  $m = 2kl + k + l$ , hence is odd.

□

**Corollary 5.** *Let  $x, y$  be positive integers. Then  $xy$  is even if, and only if, at least one of  $x$  and  $y$  is even.*

**Exercise 6.** Prove Corollary 5.

**Theorem 7.** *There are no positive integers  $m, n$  such that  $m$  and  $n$  have no common factors (other than 1) and  $m^2 = 2n^2$ .*

*Proof.* We first want to rephrase the theorem as an ‘if  $p$  then  $q$ ’ implication. So we want to prove that ‘if  $m, n \in \mathbb{N}$  have no common factors (other than 1), then  $m^2 \neq 2n^2$ . (It seems very hard to know how to approach this either directly or by the contrapositive but proof by contradiction looks more approachable).

We assume, for the sake of contradiction, that  $m, n \in \mathbb{N}$  have no common factors (other than 1) and  $m^2 = 2n^2$ . Since  $m^2$  is even, we know from Corollary 5 that  $m$  must be even. Then  $m = 2k$ , for some  $k \in \mathbb{N}$  and  $m^2 = 4k^2 = 2n^2$ , so  $n^2 = 2k^2$ . But then  $n^2$  is even, and from Corollary 5 it follows that  $n$  is even. But if  $m$  and  $n$  are both even then we have a contradiction since we assumed that they have no common factors (other than 1).

□

**Exercise 8.** a) Are there positive integers  $m, n$  such that  $m$  and  $n$  have no common factors (other than 1) and  $m^2 = 3n^2$ ? Either give an example or prove that no example is possible.

b) Are there positive integers  $m, n$  such that  $m$  and  $n$  have no common factors (other than 1) and  $m^2 = 6n^2$ ? Either give an example or prove that no example is possible.

c) Are there positive integers  $m, n$  such that  $m$  and  $n$  have no common factors (other than 1) and  $m^2 = 4n^2$ ? Either give an example or prove that no example is possible.

**Remark 9.** *Note that Theorem 7 tells us that there is no rational whose square is equal to 2, i.e. that  $\sqrt{2}$  is irrational. However at this point in the course we have yet to meet rationals and irrationals.*

We finish with some examples of proofs that involve sets. This section should be read *after you have seen Script 1, Theorem 1.7*.

**Example 10.** Let  $A$  and  $B$  be two sets. If  $A \cup B = A \cap B$  then  $A = B$ .

*Proof.* When giving a direct proof that 2 sets are equal Theorem 1.7a) is usually invoked. We suppose that  $A \cup B = A \cap B$ . We first note that  $A$  and  $B$  can be interchanged in Theorem 1.7. Suppose that  $x \in A$ . Then, by Theorem 1.7b) ,  $x \in A \cup B$  and so, since  $A \cup B = A \cap B$ , by Theorem 1.7c),  $x \in B$ . Hence every element in  $A$  is also in  $B$  and so  $A \subset B$ . A similar argument shows that  $B \subset A$  and so  $A = B$  (by Theorem 1.7a)).  $\square$

This result could also be proved by the contrapositive or by contradiction. We give an alternative proof by contrapositive.

*Proof.* We want to show that if  $A \neq B$ , then  $A \cup B \neq A \cap B$ . Well, if  $A \neq B$ , then by Definition 1.2, either there is some  $a \in A$  such that  $a \notin B$ , or there is some  $b \in B$  such that  $b \notin A$ . We suppose that  $a \in A, a \notin B$ . (The other case is similar.) Then  $a \in A \cup B$ , by Theorem 1.7b) but  $a \notin A \cap B$ , by definition of intersection. So  $A \cup B \neq A \cap B$ .  $\square$

**Exercise 11.** Use Theorem 1.7 to prove that  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$  and  $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ .

**Exercise 12.** (See Definition 1.11) Let  $A, B$  be subsets of a set  $X$ . Show that

- a) if  $A \subset B$  then  $X \setminus B \subset X \setminus A$ .
- b)  $X \setminus (X \setminus A) = A$ .

## Introduction to IBL

9/29:

- ZZ or zih-HWAY, Dixon Instructor in Department of Mathematics.
- Judson (super reader) is an advanced undergraduate who has taken this class before.
- Honors Calculus uses Spivak — we do not have a textbook, just scripts!
  - Few lectures in the traditional sense.
  - Majority of material is presented and developed by the students.
  - Several scripts will be covered throughout the quarter.
    - In scripts: It is our job to complete the exercises, prove the theorems/lemmas/propositions, etc.
  - Be on the look-out for “no proof required” theorems.
  - 3 chances to learn/review scripts material:
    1. Before class, you prepare your own proof.
    2. During class, we discuss.
    3. After class and before the journal is due, we type up our own record of the proof in  $\text{\LaTeX}$ .
- Before each class, she will tell us which theorems/exercises we need to work through.
- Your proofs do not have to be perfect in the beginning! Judson and ZZ will help us. Expect to present every other week.
  - For the first two scripts, you have the ability to rewrite your journal after Judson reviews it to recover up to half of the lost credit.
  - You only recover credit if your new solution is perfect.
  - Return your changes one week after Judson grades it.
    - Mark what parts/problems you have rewritten, and turn in the original as well.
- Later this afternoon, ZZ will share which Script 0 problems we should do before Thursday. Sign up for problems on a Google Sheet before 7:00 PM on Wednesday.
- She chooses a presenter based on our 0-3 rankings.
  - A 0 means you don’t know stuff or don’t want to present.
  - A 3 means you really want to present stuff.
  - Other numbers are in between.
- Class participation: When and how often and the quality of our presentations, and also how good are our questions that help presenters fill in the gaps.
- For hard proofs she may designate a backup presenter.
- We can use Overleaf for collaborative  $\text{\LaTeX}$  projects.
- We can check in with ZZ on our progress whenever throughout the quarter.
- She won’t assign homework for the first week so that we can familiarize ourselves with  $\text{\LaTeX}$ .
  - First HW assignment is due Thursday next week (10/8/2020)?
- Judson’s office hours: We get to talk to him one-on-one with questions.
  - Problem session: we’re all working collaboratively to figure something out.
- You have one chance to ask for a 24-hour extension on HW (like if you’re sick).

- In the case of a switch to virtual class:
  - We can present by turning our phone into a document camera or using a white board behind us or typing up in L<sup>A</sup>T<sub>E</sub>X (in real time?).
- Get good at writing — you cannot type up your solutions during exams!
- We submit HW assignments through Canvas if we type it up in L<sup>A</sup>T<sub>E</sub>X, or in class by hand. It's nice if we can type it up.

# 1 Problem Set

## 1.1 Questions

**Exercise 0.2** (PMI Exercise 2). Prove that if  $x > -1$ , then  $(1+x)^n \geq 1+nx$  for any natural number  $n$ . (Note that although this script is focused on the natural numbers, your argument should hold for any real number  $x > -1$ .)

*Proof.* We induct on  $n$ . For the base case  $k = 1$ , we have  $(1+x)^1 = 1+x \geq 1+(1)x$ , where the greater than or equal to relation could be strengthened to equality but will be left as such for the sake of the argument. Now suppose inductively that we have proven the claim for some natural number  $k$ , i.e., we know that  $(1+x)^k \geq 1+kx$  if  $x > -1$ . We now seek to prove it for  $k+1$ . To begin, we have

$$(1+x)^{k+1} = (1+x)^k(1+x)$$

by the laws of exponents. By the inductive hypothesis and the fact that  $ac \geq bc$  if and only if  $a, b, c$  are positive numbers and  $a \geq b$  (note that  $x > -1$  implies  $1+x > 0$  along with  $(1+x)^k > 0$ ), we have that the above is

$$\geq (1+kx)(1+x)$$

Now expand and simplify.

$$\begin{aligned} &= 1 + kx + x + kx^2 \\ &= 1 + (k+1)x + kx^2 \end{aligned}$$

Since  $x^2$  must be positive or zero and  $k \in \mathbb{N}$  is clearly positive, we have that  $kx^2 \geq 0$  so that the above is

$$\geq 1 + (k+1)x$$

thus closing the induction. □

**Theorem 1.12.** Let  $X$  be a set, and let  $A, B \subset X$ . Then

$$a) X \setminus (A \cup B) = (X \setminus A) \cap (X \setminus B)$$

$$b) X \setminus (A \cap B) = (X \setminus A) \cup (X \setminus B)$$

*Proof of a.* To prove that  $X \setminus (A \cup B) = (X \setminus A) \cap (X \setminus B)$ , Definition 1.2 tells us that it will suffice to prove that  $x \in X \setminus (A \cup B)$  if and only if  $x \in (X \setminus A) \cap (X \setminus B)$ , i.e., that if  $x \in X \setminus (A \cup B)$ , then  $x \in (X \setminus A) \cap (X \setminus B)$  and if  $x \in (X \setminus A) \cap (X \setminus B)$ , then  $x \in X \setminus (A \cup B)$ . To begin, let  $x \in X \setminus (A \cup B)$ . By Definition 1.11,  $x \in X$  and  $x \notin A \cup B$ . By Definition 1.5, it follows that  $x \notin A$  and  $x \notin B$ . Since we know that  $x \in X$  and  $x \notin A$ , Definition 1.11 tells us that  $x \in X \setminus A$ . Similarly,  $x \in X \setminus B$ . Since  $x \in X \setminus A$  and  $x \in X \setminus B$ , we have by Definition 1.6 that  $x \in (X \setminus A) \cap (X \setminus B)$ , as desired. The proof of the other implication is the preceding proof “in reverse.” For clarity, let  $x \in (X \setminus A) \cap (X \setminus B)$ . By Definition 1.6,  $x \in X \setminus A$  and  $x \in X \setminus B$ . By consecutive applications of Definition 1.11,  $x \in X$ ,  $x \notin A$ , and  $x \notin B$ . Since  $x \notin A$  and  $x \notin B$ , Definition 1.5 reveals that  $x \notin A \cup B$ . But as previously established,  $x \in X$ , so Definition 1.11 tells us that  $x \in X \setminus (A \cup B)$ . □

*Proof of b.* To prove that  $X \setminus (A \cap B) = (X \setminus A) \cup (X \setminus B)$ , Definition 1.2 tells us that it will suffice to prove that  $x \in X \setminus (A \cap B)$  if and only if  $x \in (X \setminus A) \cup (X \setminus B)$ . To begin, let  $x \in X \setminus (A \cap B)$ . By Definition 1.11,  $x \in X$  and  $x \notin A \cap B$ . By Definition 1.6, it follows that  $x \notin A$  or  $x \notin B$ . We divide into two cases. If  $x \notin A$ , then since we know that  $x \in X$ , Definition 1.11 tells us that  $x \in X \setminus A$ . It naturally follows that  $x \in (X \setminus A) \cup (X \setminus B)$ , since  $x$  need only be an element of one of the two unionized sets (see Definition 1.5). The proof is symmetric if  $x \notin B$ . Now let  $x \in (X \setminus A) \cup (X \setminus B)$ . By Definition 1.5,  $x \in X \setminus A$  or  $x \in X \setminus B$ . Once again, we divide into two cases. If  $x \in X \setminus A$ , then  $x \in X$  and  $x \notin A$  by Definition 1.11. Consequently, by Definition 1.6,  $x \notin A \cap B$ . Therefore,  $x \in X \setminus (A \cap B)$  by Definition 1.11. The proof is symmetric if  $x \in X \setminus B$ .  $\square$

**Exercise 1.19.** Must  $f(f^{-1}(Y)) = Y$  and  $f^{-1}(f(X)) = X$ ? For each, either prove that it always holds or give a counterexample.

*Proof.* We will address each statement in turn.

Consider the sets  $\{1\}$  and  $\{3, 4\}$ , and let  $f : \{1\} \rightarrow \{3, 4\}$  be a function defined by  $f(1) = 3$ . Let  $Y = \{4\}$  (we clearly have  $Y \subset \{3, 4\}$  since 4 is the only element of  $Y$  and  $4 \in \{3, 4\}$  [see Definition 1.3]). Then  $f^{-1}(Y) = \{a \in \{1\} \mid f(a) \in \{4\}\} = \emptyset$  and  $f(f^{-1}(Y)) = \{f(x) \in \{3, 4\} \mid x \in \emptyset\} = \emptyset$  by consecutive applications of Definition 1.18. Therefore,  $f(f^{-1}(Y)) \neq Y$  since  $4 \in Y$  but  $4 \notin f(f^{-1}(Y))$  (see Definition 1.2)<sup>[1]</sup>.

Similarly, consider the sets  $\{1, 2\}$  and  $\{3\}$ , and let  $f : \{1, 2\} \rightarrow \{3\}$  be a function defined by  $f(1) = 3$  and  $f(2) = 3$ . Let  $X = \{1\}$  (we clearly have  $X \subset \{1, 2\}$  since 1 is the only element of  $X$  and  $1 \in \{1, 2\}$  [see Definition 1.3]). Then  $f(X) = \{f(x) \in \{3\} \mid x \in \{1\}\} = \{f(1)\} = \{3\}$  and  $f^{-1}(f(X)) = \{a \in \{1, 2\} \mid f(a) \in \{3\}\} = \{1, 2\}$  by consecutive applications of Definition 1.18. Therefore,  $f^{-1}(f(X)) \neq X$  since  $2 \in f^{-1}(f(X))$  but  $2 \notin X$  (see Definition 1.2)<sup>[2]</sup>.  $\square$

**Proposition 1.26.** Let  $A$ ,  $B$ , and  $C$  be sets and suppose that  $f : A \rightarrow B$  and  $g : B \rightarrow C$ . Then  $g \circ f : A \rightarrow C$  and

- a) if  $f$  and  $g$  are both injections, so is  $g \circ f$ .
- b) if  $f$  and  $g$  are both surjections, so is  $g \circ f$ .
- c) if  $f$  and  $g$  are both bijections, so is  $g \circ f$ .

*Proof of a.* Suppose that  $(g \circ f)(a) = (g \circ f)(a')$ . By Definition 1.25, this implies that  $g(f(a)) = g(f(a'))$ . Since  $g$  is injective, Definition 1.20 tells us that  $f(a) = f(a')$ . Similarly, the fact that  $f$  is injective tells us that  $a = a'$ . Since we have shown that  $(g \circ f)(a) = (g \circ f)(a')$  implies that  $a = a'$  under the given conditions, we know by Definition 1.20 that  $g \circ f$  is injective.  $\square$

*Proof of b.* Let  $c$  be an arbitrary element of  $C$ . We wish to prove that there exists some  $a \in A$  such that  $(g \circ f)(a) = c$  (Definition 1.20). By Definition 1.25, it will suffice to show that there exists some  $a \in A$  such that  $g(f(a)) = c$ . Let's begin. By the surjectivity of  $g$ , there exists some  $b \in B$  such that  $g(b) = c$  (see Definition 1.20). If we now consider this  $b$ , we have by the surjectivity of  $f$  that there exists some  $a \in A$  such that  $f(a) = b$  (see Definition 1.20). But this  $a$  is an element of  $A$  such that  $g(f(a)) = g(b) = c$ , as desired.  $\square$

*Proof of c.* Suppose that  $f$  and  $g$  are two bijective functions. By Definition 1.20, this implies that  $f$  and  $g$  are both injections and are both surjections. Thus, by part (a),  $g \circ f$  is an injection, and by part (b),  $g \circ f$  is a surjection. Therefore, by Definition 1.20,  $g \circ f$  is a bijection.  $\square$

<sup>1</sup>Note that the reason  $f(f^{-1}(Y)) \neq Y$  in this case is because  $f$  is not surjective.

<sup>2</sup>Note that the reason  $f^{-1}(f(X)) \neq X$  in this case is because  $f$  is not injective.

## 1.2 Discussion

10/1:

- See above for edits to my attempts for the proofs.
- Always make sure you use all given assumptions.
- $X \setminus A$  is the **complement** of  $A$  (relative to  $X$ ).
- We are allowed to assume that  $x \in \{A : Q\}$  tells us that  $x \in A$  and  $Q$  is true? — yes.
- We can let  $x$  be an arbitrary element of a set and deduce stuff like in Tao.
- When we're writing proofs (consider Theorem 1.12), do we do not have to show the definition of  $A \cap B$ ; we can just say “by Definition 1.6,  $y \notin A \cap B$  implies that  $y \notin A$  or  $y \notin B$ .”

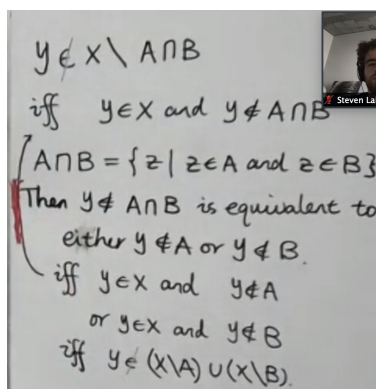


Figure 1: Sample exam-ready proof of Theorem 1.12.

- What she wrote for the beginning of the proof of Theorem 1.12 (see Figure 1) is acceptable on an exam; in our exams, it will be the same as when presenting to class (we do not need complete sentences).
- Can we say “A similar argument works in reverse?”

## 2 Problem Set

### 2.1 Questions

**Exercise 1.4.** Let  $A = \{1, \{2\}\}$ . Is  $1 \in A$ ? Is  $2 \in A$ ? Is  $\{1\} \subset A$ ? Is  $\{2\} \subset A$ ? Is  $1 \subset A$ ? Is  $\{1\} \in A$ ? Is  $\{2\} \in A$ ? Is  $\{\{2\}\} \subset A$ ? Explain.

*Proof.* We list affirmative or negative answers and short explanations.

Yes,  $1 \in A$ .

No,  $2 \notin A$ , but  $\{2\} \in A$ .

Yes,  $\{1\} \subset A$  since 1 is the only element of  $\{1\}$  and  $1 \in A$  (as previously established).

No,  $\{2\} \not\subset A$  since  $2 \in \{2\}$  but  $2 \notin A$  (as previously established).

No,  $1 \not\subset A$  since 1 is not a set.

No,  $\{1\} \notin A$ , but  $1 \in A$  and  $\{1\} \subset A$  as previously established.

Yes,  $\{2\} \in A$ .

Yes,  $\{\{2\}\} \subset A$  since  $\{2\}$  is the only element of  $\{\{2\}\}$  and  $\{2\} \in A$  (as previously established).  $\square$

**Exercise 1.10.** Show that if  $A$  is any set, then  $\emptyset \subset A$ .

*Proof.* Suppose for the sake of contradiction that there exists a set  $A$  such that  $\emptyset \not\subset A$ . Then by Definition 1.3, not every element of  $\emptyset$  is also an element of  $A$ , i.e., there exists an element  $x \in \emptyset$  such that  $x \notin A$ . But by Definition 1.8,  $x$  (like all other objects) cannot be an element of  $\emptyset$ , a contradiction. Therefore,  $\emptyset \subset A$  for all sets  $A$ .  $\square$

**Exercise 1.21.** Let  $f : \mathbb{N} \rightarrow \mathbb{N}$  be defined by  $f(n) = n^2$ . Is  $f$  injective? Is  $f$  surjective?

*Proof.*  $f$  is injective: Let  $f(n) = f(n')$ . Then  $n^2 = (n')^2$ , implying that  $n = n'$  (note that this last step is not permissible in all number systems, but it is within the naturals).

$f$  is not surjective: For example,  $2 \in \mathbb{N}$  but there exists no natural number  $n$  such that  $f(n) = n^2 = 2$  (suppose for the sake of contradiction that there exists a natural number  $n$  such that  $n^2 = 2$ . Since  $n^2 = 2 > 1$ , we know that  $n < 2$  (a number is less than its square if its square is greater than 1). But the only natural number less than 2 is 1, and  $1^2 = 1 \neq 2$ , a contradiction).  $\square$

**Exercise 1.22.** Let  $f : \mathbb{N} \rightarrow \mathbb{N}$  be defined by  $f(n) = n + 2$ . Is  $f$  injective? Is  $f$  surjective?

*Proof.*  $f$  is injective: Let  $f(n) = f(n')$ . Then  $n + 2 = n' + 2$ , implying by the cancellation law for addition that  $n = n'$ .

$f$  is not surjective: For example,  $1 \in \mathbb{N}$  but there exists no natural number  $n$  such that  $n + 2 = 1$  (suppose for the sake of contradiction that there exists a natural number  $n$  such that  $n + 2 = 1$ . Because  $1 = n + 2$ , we know that  $1 > n$ . But we also know that  $1 \leq n$  for all  $n \in \mathbb{N}$  (as can be proven by induction), which contradicts the trichotomy).  $\square$

**Exercise 1.23.** Let  $f : \mathbb{Z} \rightarrow \mathbb{Z}$  be defined by  $f(x) = x^2$ . Is  $f$  injective? Is  $f$  surjective?

*Proof.*  $f$  is not injective: For example,  $f(2) = 4 = f(-2)$ , but  $2 \neq -2$ .

$f$  is not surjective: For example,  $2 \in \mathbb{Z}$  but there exists no integer  $x$  such that  $f(x) = x^2 = 2$  (suppose for the sake of contradiction that there exists an integer  $x$  such that  $x^2 = 2$ . Since  $x^2 = 2$ ,  $|x| < 2$  for similar reasons to those discussed in Exercise 1.21. Thus,  $x = -1$ ,  $x = 0$ , or  $x = 1$ . But  $(-1)^2 = 1 \neq 2$ ,  $0^2 = 0 \neq 2$ , and  $1^2 = 1 \neq 2$ , a contradiction).  $\square$

**Proposition 1.27.** Suppose that  $f : A \rightarrow B$  is bijective. Then there exists a bijection  $g : B \rightarrow A$  that satisfies  $(g \circ f)(a) = a$  for all  $a \in A$ , and  $(f \circ g)(b) = b$  for all  $b \in B$ .

*Proof.* Let  $g : B \rightarrow A$  be defined by the rule, “ $g(b) = a$  if and only if  $f(a) = b$ .” For  $g$  to be a function as defined, Definition 1.16 tells us that we must show that for every  $b \in B$ , there exists a unique  $a \in A$  such that  $g(b) = a$ . By the surjectivity of  $f$ , we know that for all  $b \in B$ , there exists an  $a \in A$  such that  $f(a) = b$ . On the uniqueness of this  $a$ , let  $a \neq a'$  and suppose for the sake of contradiction that  $g(b) = a$  and  $g(b) = a'$ . By the definition of  $g$ , we have that  $f(a) = b$  and  $f(a') = b$ , so  $f(a) = f(a')$ . But by the injectivity of  $f$ , this means that  $a = a'$ , a contradiction. Therefore,  $g$  indeed maps every  $b \in B$  to a unique  $a \in A$ . To demonstrate that  $g$  satisfies the remainder of the necessary constraints, we will work through them one by one.

To prove that  $g$  is injective, Definition 1.20 tells us that we must verify that if  $g(b) = g(b')$ , then  $b = b'$ . Let  $g(b) = g(b')$ . Since  $g$  is a function,  $g(b) = g(b') = a$ , where  $a \in A$ . This implies by the definition of  $g$  that  $f(a) = b$  and  $f(a) = b'$ . But this means that  $b = f(a) = b'$ , as desired. To prove that  $g$  is surjective, Definition 1.20 tells us that we must verify that for all  $a \in A$ , there exists a  $b \in B$  such that  $g(b) = a$ . Let  $a$  be an arbitrary element of  $A$ . By Definition 1.16 and the status of  $f$  as a function, there exists an element  $b \in B$  such that  $f(a) = b$ . But by the definition of  $g$ ,  $f(a) = b$  implies that  $g(b) = a$ , meaning that this  $b$  satisfies the desired constraint. On the basis of this and the previous argument, Definition 1.20 allows us to conclude that  $g$  is bijective.

We now prove that  $(g \circ f)(a) = a$  for all  $a \in A$ . Let  $a$  be an arbitrary element of  $A$ . Then by Definition 1.16 and the status of  $f$  as a function,  $f(a) = b$  where  $b \in B$ . Thus, by the definition of  $g$ ,  $g(b) = a$ , implying that  $g(b) = g(f(a)) = a$ . But by Definition 1.25,  $g(f(a)) = (g \circ f)(a) = a$ , as desired.

A symmetric argument can demonstrate that  $(f \circ g)(b) = b$  for all  $b \in B$ .  $\square$

**Theorem 1.32.** Let  $A$  be a finite set. Suppose that  $A$  is in bijective correspondence both with  $[m]$  and with  $[n]$ . Then  $m = n$ .

*Proof.* If  $A$  is in bijective correspondence with both  $[m]$  and with  $[n]$ , then Definition 1.28 tells us that there exist bijections  $f : [m] \rightarrow A$  and  $g : A \rightarrow [n]$ . Thus, by Proposition 1.26,  $g \circ f : [m] \rightarrow [n]$  is bijective. Now suppose for the sake of contradiction that  $m \neq n$ . Then by the trichotomy, either  $m > n$  or  $m < n$ . We divide into two cases. If  $m > n$ , then Theorem 1.31 tells us that no injective function  $h : [m] \rightarrow [n]$  exists.

But  $f : [m] \rightarrow [n]$  is bijective, hence injective by Definition 1.20, a contradiction. On the other hand, if  $m < n$ , then Theorem 1.31 tells us that no injective function  $h : [n] \rightarrow [m]$  exists. But by Proposition 1.27, the existence of the bijection  $f : [m] \rightarrow [n]$  implies the existence of a bijection  $f^{-1} : [n] \rightarrow [m]$ . As before, the bijectivity of  $f^{-1}$  implies that it is also injective by Definition 1.20, a contradiction. Therefore, we must have  $m = n$  based on the given conditions.  $\square$

### Additional Exercises

1. In each of the following, write out the elements of the sets.

a)  $(\{n \in \mathbb{Z} \mid n \text{ is divisible by } 2\} \cap \mathbb{N}) \cup \{-5\}$

*Proof.* The elements are  $-5$  as well as  $2, 4, 6$ , and every other even natural number.  $\square$

c)  $\{[n] \mid n \in \mathbb{N}, 1 \leq n \leq 3\}$

*Proof.* The elements are the three sets  $\{1\}$ ,  $\{1, 2\}$ , and  $\{1, 2, 3\}$ .  $\square$

k)  $\{\{a\} \cup \{b\} \mid a \in \mathbb{N}, b \in \mathbb{N}, 1 \leq a \leq 4, 3 \leq b \leq 5\}$

*Proof.* The elements are the 11 sets  $\{1, 3\}$ ,  $\{1, 4\}$ ,  $\{1, 5\}$ ,  $\{2, 3\}$ ,  $\{2, 4\}$ ,  $\{2, 5\}$ ,  $\{3\}$ ,  $\{3, 4\}$ ,  $\{3, 5\}$ ,  $\{4\}$ , and  $\{4, 5\}$ .  $\square$

## 2.2 Discussion

10/6:

- ZZ introduced vacuous truths.
- If you had to prove your answers to Additional Exercise 1, you would write out the elements of the first set, and rewrite the elements with each additional constraint.
  - For example,  $(\{n \in \mathbb{Z} \mid n \text{ is divisible by } 2\} \cap \mathbb{N}) \cup \{-5\} = (\{\cdots, -4, -2, 0, 2, 4, \cdots\} \cap \{1, 2, 3, \cdots\}) \cup \{-5\} = \{2, 4, 6, \cdots\} \cup \{-5\}$ .
- In this class,  $0 \notin \mathbb{N}$ , but  $0 \in \mathbb{N}_0$ .
- For Exercise 1.21, we can refer to Theorem 7 in “What is a mathematical proof?” to demonstrate that  $\sqrt{2} \notin \mathbb{N}$ .
- When presenting, write on the board more like I would in a journal.
- Ask ZZ about my contradiction proofs for 1.21-1.23!

10/8:

- ! means “unique.”

## 3 Problem Set

### 3.1 Questions

**Exercise 1.34.** Let  $A$  and  $B$  be finite sets.

- a) If  $A \subset B$ , then  $|A| \leq |B|$ .

*Proof.* Let  $|A| = m$  and  $|B| = n$ . Using these variables, Definitions 1.33 and 1.28 tell us that there exist bijections  $f : [m] \rightarrow A$  and  $g : B \rightarrow [n]$ . Now let  $h : A \rightarrow B$  be defined by  $h(a) = a$  for each  $a \in A$ . By Definition 1.16, to verify that  $h$  is a function, we must show that for every  $a \in A$ , there exists a unique  $b \in B$  such that  $h(a) = b$ . Let  $a$  be an arbitrary element of  $A$ . Since  $A \subset B$ , Definition 1.3 implies that  $a \in B$ . Thus, since  $h(a) = a$ ,  $h(a) \in B$ . Now suppose for the sake of contradiction that  $h(a) = b$  and  $h(a) = b'$  for two elements  $b, b' \in B$  such that  $b \neq b'$ . By the definition of  $h$ ,  $h(a) = a$ , so  $a = b$  and  $a = b'$ , implying by transitivity that  $b = b'$ , a contradiction. Thus,  $h$  is a well-defined function.



We now demonstrate that  $h$  is injective. By Definition 1.20, it will suffice to show that  $h(a) = h(a')$  implies that  $a = a'$  (where  $a, a' \in A$ ). So suppose that  $h(a) = h(a')$ . By the definition of  $h$ ,  $h(a) = a$  and  $h(a') = a'$ , so by assumption,  $a = h(a) = h(a') = a'$ , as desired. Therefore,  $h$  is injective.

To recap, at this point we have injective functions  $f : [m] \rightarrow A$ ,  $h : A \rightarrow B$ , and  $g : B \rightarrow [n]$ , where the injectivity of  $f$  and  $g$  follows from their bijectivity (see Definition 1.20). It follows by consecutive applications of Proposition 1.26 that  $h \circ f$  is injective, and that  $g \circ (h \circ f)$  is injective. Thus, there exists an injective function  $g \circ (h \circ f) : [m] \rightarrow [n]$ , so the contrapositive of Theorem 1.31 implies that it is false that  $n < m$ . Equivalently, it is true that  $n \geq m$ , or, to return substitutions, that  $|A| \leq |B|$ .  $\square$

b) Let  $A \cap B = \emptyset$ . Then  $|A \cup B| = |A| + |B|$ .

*Proof.* Let  $|A| = m$  and  $|B| = n$ . Thus,  $|A| + |B| = m + n$ , so to prove that  $|A \cup B| = |A| + |B|$ , Definition 1.33 and 1.28 tell us that that we must find a bijection  $f : A \cup B \rightarrow [m + n]$ . Let's begin.

Since  $|A| = m$  and  $|B| = n$ , by Definition 1.33 and 1.28, there exist bijections  $g_1 : A \rightarrow [m]$  and  $g_2 : B \rightarrow [n]$ . As such, let  $f : A \cup B \rightarrow [m + n]$  be defined as follows:

$$f(x) = \begin{cases} g_1(x) & x \in A \\ g_2(x) + m & x \in B \end{cases}$$

Since the two cases defining  $f$  are both functions, the only possible barrier to  $f$  itself being a function is if there exists some  $x \in A \cup B$  such that  $x \in A$  and  $x \in B$ . To address this, suppose for the sake of contradiction that this is the case. Fortunately, such a hypothesis implies by Definition 1.6 that  $x \in A \cap B$ , contradicting the fact that  $A \cap B = \emptyset$ .

To prove that  $f$  is injective, the contrapositive of Definition 1.20 tells us that we must verify that if  $x \neq x'$ , then  $f(x) \neq f(x')$ . We divide into three cases ( $x, x' \in A$ ,  $x, x' \in B$ , and WLOG  $x \in A$  and  $x' \in B$ <sup>3</sup>). First, suppose that  $x, x' \in A$ . Then  $f(x) = g_1(x)$  and  $f(x') = g_1(x')$ . By the injectivity of  $g_1$  (which follows from its bijectivity by Definition 1.20), we have that  $g_1(x) \neq g_1(x')$ , which means that  $f(x) = g_1(x) \neq g_1(x') = f(x')$ , as desired. Second, suppose that  $x, x' \in B$ . Then  $f(x) = g_2(x) + m$  and  $f(x') = g_2(x') + m$ . By the injectivity of  $g_2$ , we have that  $g_2(x) \neq g_2(x')$ , which implies by the inverse of the cancellation law for addition that  $g_2(x) + m \neq g_2(x') + m$ . Thus,  $f(x) = g_2(x) + m \neq g_2(x') + m = f(x')$ , as desired. Third, suppose that  $x \in A$  and  $x' \in B$ . Then  $f(x) = g_1(x)$  is an element of  $[m]$  while  $f(x') = g_2(x')$  is an element of  $[m + 1 : m + n]$ , two sets that are clearly disjoint (see Axioms III and IV of the Peano Postulates). Thus, we cannot have  $f(x) = f(x')$ , as desired.

To prove that  $f$  is surjective, Definition 1.20 tells us that we must verify that for every  $i \in [m + n]$ , there exists an  $x \in A \cup B$  such that  $f(x) = i$ . We divide into two cases ( $i \leq m$  and  $i \geq m + 1$ ). If  $i \leq m$ , then  $i \in [m]$ . It follows by the surjectivity of  $g_1$  (which follows from its bijectivity by Definition 1.20) that there exists an  $x \in A$  such that  $g_1(x) = i$ . Now by Definition 1.5, this  $x$  is also an element of  $A \cup B$ , so  $g_1(x) = f(x) = i$ , as desired. On the other hand, if  $i \geq m + 1$ , then  $i = m + u$  for some  $u \in [n]$ . It follows by the surjectivity of  $g_2$  that there exists an  $x \in B$  such that  $g_2(x) = u$ . Thus,  $i = m + u = m + g_2(x) = f(x)$ , as desired.

At this point, Definition 1.20 implies that  $f$  is bijective, meaning by Definition 1.28 and 1.33 that  $|A \cup B| = m + n = |A| + |B|$ , as desired.  $\square$

c)  $|A \cup B| + |A \cap B| = |A| + |B|$ .

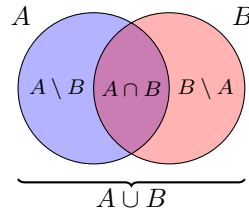
*Proof.* We begin with a lemma.

**Lemma.** Let  $A$  and  $B$  be sets. Then

$$a) A \cup B = (B \setminus A) \cup A;$$

<sup>3</sup>Note that we do not have to treat the case that  $x \in B$  and  $x' \in A$  since in this case, we just call the object represented by  $x$ , " $x'$ ," and vice versa — this reversal of names is what is implied by "Without the Loss Of Generality," or WLOG.

- b)  $(B \setminus A) \cap A = \emptyset$ .
- c)  $B = (B \setminus A) \cup (A \cap B)$ .
- d)  $(B \setminus A) \cap (A \cap B) = \emptyset$ .



*Proof.* All of these claims can be read directly from the above diagram — for the sake of space and because proving these claims is not the main point of this exercise, a rigorous proof of this lemma will be omitted.  $\square$

Back to the main claim, we want to show that  $|A \cup B| + |A \cap B| = |A| + |B|$ , which we can do by using the above lemma to justify various manipulations inspired by part (b). To begin, use Lemma (a) as follows.

$$|A \cup B| + |A \cap B| = |(B \setminus A) \cup A| + |A \cap B|$$

Since  $(B \setminus A) \cup A$  is a union of two disjoint sets (see Lemma (b)), it follows by part (b) that the above

$$\begin{aligned} &= |B \setminus A| + |A| + |A \cap B| \\ &= |A| + |B \setminus A| + |A \cap B| \end{aligned}$$

Since  $B \setminus A$  and  $A \cap B$  are disjoint (see Lemma (d)), we know that the above

$$= |A| + |(B \setminus A) \cup (A \cap B)|$$

Lastly, apply Lemma (c):

$$= |A| + |B|$$

$\square$

- d)  $|A \times B| = |A| \cdot |B|$ .

**Exercise 1.36.** Prove that  $\mathbb{Z}$  is a countable set.

*Proof.* To prove that  $\mathbb{Z}$  is countable, Definition 1.35 and, subsequently, Definition 1.28 tell us that we must find a bijection  $f : \mathbb{Z} \rightarrow \mathbb{N}$ . To do so, we will define a matching and then prove that the guiding rule generates a (1) function that is (2) injective and (3) surjective (demonstrating injectivity and surjectivity verifies bijectivity by Definition 1.20).

Let  $f : \mathbb{Z} \rightarrow \mathbb{N}$  be defined as follows:

$$f(z) = \begin{cases} -2z + 1 & z \in -\mathbb{N} \\ 1 & z \in \{0\} \\ 2z & z \in \mathbb{N} \end{cases}$$

Since  $\mathbb{Z} = (-\mathbb{N}) \cup \{0\} \cup (\mathbb{N})$ , it is clear that the above mapping sends every element of  $\mathbb{Z}$  to an element of  $\mathbb{N}$ . Additionally, since  $-\mathbb{N}$ ,  $\{0\}$ , and  $\mathbb{N}$  are all disjoint from one another, it follows that each element of  $\mathbb{Z}$  is only mapped once. Thus, by Definition 1.16,  $f$  is a function as defined.

Let  $f(z) = f(z')$ . Since the outputs of the first case in the definition of  $f$  are the odd natural numbers except 1, the one of the second case is 1, and those of the third case are the even natural numbers, the outputs form three disjoint sets, so  $f(z)$  and  $f(z')$  as equal quantities are elements of only one category. We now divide into three cases by category. First, suppose  $f(z) = f(z')$  is an odd natural number not equal to 1. Then we have by the definition of  $f$  that  $-2z + 1 = -2z' + 1$ , implying by the cancellation laws of addition and multiplication, respectively, that  $z = z'$ . Second, suppose that  $f(z) = f(z') = 1$ . Then  $z = z' = 0$  by the definition of  $f$ . Lastly, suppose  $f(z) = f(z')$  is an even natural number. Then we have by the definition of  $f$  that  $2z = 2z'$ , implying by the cancellation law of multiplication that  $z = z'$ . Therefore, in any case,  $f(z) = f(z')$  implies that  $z = z'$ , meaning by Definition 1.20 that  $f$  is injective.

Let  $n$  be an arbitrary element of  $\mathbb{N}$ . As noted in “What is a mathematical proof?”,  $n$  must be either odd or even. Now if  $n$  is odd,  $n$  is either equal to 1 or not equal to 1. Thus, we can break the natural numbers  $\mathbb{N}$  into three disjoint sets:  $\mathbb{N} = (\{n \in \mathbb{N} : n \text{ is odd}\} \setminus \{1\}) \cup \{1\} \cup \{n \in \mathbb{N} : n \text{ is even}\}$ . We now divide into three cases, each pertaining to one of the disjoint subsets of  $\mathbb{N}$  defined above. First, suppose  $n$  is odd and not equal to 1. Then  $n = 2z + 1$  for some  $z \in \mathbb{N}$  (see “What is a mathematical proof?”), or  $-2z + 1$  for some  $z \in -\mathbb{N}$  (the negative signs cancel). By the definition of  $f$ , this  $z \in -\mathbb{N}$  is the element of  $\mathbb{Z}$  that  $f$  sends to  $n$ . Second, suppose that  $n = 1$ . Then since  $f(0) = 1$  by the definition of  $f$ , 0 is the element of  $\mathbb{Z}$  from which  $f$  generates 1. Lastly, suppose that  $n$  is even. Then  $n = 2z$  for some  $z \in \mathbb{N}$  (see “What is a mathematical proof?”). By the definition of  $f$ , this  $z$  is the element of  $\mathbb{Z}$  that  $f$  sends to  $n$ . Therefore, for every element  $n \in \mathbb{N}$ , there exists a  $z \in \mathbb{Z}$  satisfying  $f(z) = n$ , meaning by Definition 1.20 that  $f$  is surjective. As mentioned at the top, the last two results (injectivity and surjectivity) together imply that  $f$  is bijective by Definition 1.20.  $\square$

**Exercise 1.37.** Prove that every infinite subset of a countable set is also countable.

**Exercise 1.38.** Prove that if there is an injection  $f : A \rightarrow B$  where  $B$  is countable and  $A$  is infinite, then  $A$  is countable.

# Homework 1

Due on Thursday, October 8 at 2:20 PM CT. Completed by Steven Labalme, student in MATH 16110/50.

1. Let  $n$  be a natural number and  $k \leq n$  also be a natural number. Define

$$\binom{n}{k} = \frac{n!}{k!(n-k)!}$$

where  $k! = 1 \times 2 \times \cdots \times k$ . Show that

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

for all  $n \in \mathbb{N}$ .

*Proof.* We begin with a lemma proving some basic properties of combinations.

**Lemma 1.** Let  $n$  be a natural number and  $k \leq n$  also be a natural number. Define

$$\binom{n}{k} = \frac{n!}{k!(n-k)!}$$

where  $k! = 1 \times 2 \times \cdots \times k$ . Then

- a)  $\binom{n}{0} = 1$  for all  $n \in \mathbb{N}$ ;
- b)  $\binom{n}{n} = 1$  for all  $n \in \mathbb{N}$ ;
- c)  $\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$  for all natural numbers  $n$  and  $1 \leq k \leq n$ .

*Proof of Lemma 1.* We will address each of the three parts of the lemma in turn.

For part (a), we know by the definition of  $\binom{n}{k}$  that  $\binom{n}{0} = \frac{n!}{0!(n-0)!}$  and by the definition of a factorial that  $\frac{n!}{0!(n-0)!} = \frac{1 \cdot n!}{n!} = 1$ . Thus,  $\binom{n}{0} = 1$ , as desired.

For part (b), we proceed in a similar manner to the above:  $\binom{n}{n} = \frac{n!}{n!(n-n)!} = \frac{n!}{n! \cdot 1} = 1$ .

For part (c), we repeatedly apply the definition of  $\binom{n}{k}$  and of a factorial in the following algebra. Note that we proceed from the right side of the equality we seek to prove since it makes the algebra flow more logically (via simplification rather than expansion).

$$\begin{aligned} \binom{n}{k-1} + \binom{n}{k} &= \frac{n!}{(k-1)!(n-(k-1))!} + \frac{n!}{k!(n-k)!} \\ &= \frac{n!}{(k-1)!(n-k+1)!} + \frac{n!}{k(k-1)!(n-k)!} \\ &= \frac{n!}{(k-1)!(n-k+1)(n-k)!} + \frac{n!}{k(k-1)!(n-k)!} \\ &= \frac{k \cdot n!}{k(k-1)!(n-k+1)(n-k)!} + \frac{(n-k+1)n!}{k(k-1)!(n-k+1)(n-k)!} \\ &= \frac{k \cdot n! + (n-k+1)n!}{k(k-1)!(n-k+1)(n-k)!} \\ &= \frac{k \cdot n! + (n+1)n! - k \cdot n!}{k!(n-k+1)!} \\ &= \frac{(n+1)n!}{k!(n+1-k)!} \\ &= \frac{(n+1)!}{k!((n+1)-k)!} \\ &= \binom{n+1}{k} \end{aligned}$$

□

Now we begin to address the question in earnest by inducting on  $n$ . For the base case  $n = 1$ , begin with the left side of the equality we wish to verify and employ the definition of exponents.

$$(x + y)^1 = x + y$$

Now use a couple of “clever forms of 1,” which we can, of course, multiply to the terms in the above equation and still preserve equality.

$$= \frac{1!}{0!(1-0)!} x^1 y^0 + \frac{1!}{1!(1-1)!} x^0 y^1$$

Now just employ the definition of  $\binom{n}{k}$  and use summation notation to simplify the expression.

$$\begin{aligned} &= \binom{1}{0} x^{1-0} y^0 + \binom{1}{1} x^{1-1} y^1 \\ &= \sum_{k=0}^1 \binom{1}{k} x^{1-k} y^k \end{aligned}$$

This proves the base case. Now suppose inductively that we have proven the claim for some natural number  $n$ , i.e., we know given the definitions in the question that  $(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$ . We wish to prove the claim for  $n + 1$ , which can be done as follows. Once again, begin with the left side of the equality we wish to prove and employ a rule of exponents.

$$(x + y)^{n+1} = (x + y)^1 (x + y)^n$$

Now substitute using the induction hypothesis.

$$= (x + y) \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

Distribute the summation to each term in  $x + y$ , and then “distribute”  $x$  and  $y$  into the general term of the summation.

$$\begin{aligned} &= x \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k + y \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k \\ &= \sum_{k=0}^n \binom{n}{k} x^{(n+1)-k} y^k + \sum_{k=0}^n \binom{n}{k} x^{n-k} y^{k+1} \end{aligned}$$

Reindex the second summation (instead of iterating from 0 to  $n$ , iterate from 1 to  $n + 1$  [the same number of terms] and subtract 1 from each instance of the index variable  $k$ ). Note that this does not change the sum at all; it just changes how the sum is written. After the reindexing, algebraically manipulate the exponents into an equivalent form that matches the exponents in the other summation.

$$\begin{aligned} &= \sum_{k=0}^n \binom{n}{k} x^{(n+1)-k} y^k + \sum_{k=1}^{n+1} \binom{n}{k-1} x^{n-(k-1)} y^{(k-1)+1} \\ &= \sum_{k=0}^n \binom{n}{k} x^{(n+1)-k} y^k + \sum_{k=1}^{n+1} \binom{n}{k-1} x^{(n+1)-k} y^k \end{aligned}$$

Separate the first term of the left summation and the last term of the right summation from the summation notation.

$$= \binom{n}{0} x^{n+1} y^0 + \sum_{k=1}^n \binom{n}{k} x^{(n+1)-k} y^k + \sum_{k=1}^n \binom{n}{k-1} x^{(n+1)-k} y^k + \binom{n}{n} x^0 y^{n+1}$$

Now that the sums are once again indexed alike, combine them and do some algebraic manipulations to set up a substitution.

$$\begin{aligned} &= \binom{n}{0} x^{n+1} y^0 + \sum_{k=1}^n \left( \binom{n}{k} x^{(n+1)-k} y^k + \binom{n}{k-1} x^{(n+1)-k} y^k \right) + \binom{n}{n} x^0 y^{n+1} \\ &= \binom{n}{0} x^{n+1} y^0 + \sum_{k=1}^n \left( \binom{n}{k} + \binom{n}{k-1} \right) x^{(n+1)-k} y^k + \binom{n}{n} x^0 y^{n+1} \end{aligned}$$

In the first term, use Lemma 1a to make the substitution  $\binom{n}{0} = 1 = \binom{n+1}{0}$ . In the last term, use Lemma 1b to make the substitution  $\binom{n}{n} = 1 = \binom{n+1}{n+1}$ . In the summation, use Lemma 1c to make the substitution  $\binom{n}{k} + \binom{n}{k-1} = \binom{n+1}{k}$  (notice how  $k$  varies between 1 and  $n$  in the summation, just like it is allowed to in the statement of Lemma 1c).

$$= \binom{n+1}{0} x^{n+1} y^0 + \sum_{k=1}^n \binom{n+1}{k} x^{(n+1)-k} y^k + \binom{n+1}{n+1} x^0 y^{n+1}$$

Expand the limits of the summation to encompass the first and last terms.

$$= \sum_{k=0}^{n+1} \binom{n+1}{k} x^{(n+1)-k} y^k$$

This closes the induction. □

2. From Peano's Postulates (below), prove the following claims.

**Axioms** (Peano's Postulates). *The natural numbers are defined as a set  $\mathbb{N}$  together with a unary "successor" function  $S : \mathbb{N} \rightarrow \mathbb{N}$  and a special element  $1 \in \mathbb{N}$  satisfying the following postulates.*

- I.  $1 \in \mathbb{N}$ .
- II. If  $n \in \mathbb{N}$ , then  $S(n) \in \mathbb{N}$ .
- III. There is no  $n \in \mathbb{N}$  such that  $S(n) = 1$ .
- IV. If  $n, m \in \mathbb{N}$  and  $S(n) = S(m)$ , then  $n = m$ .
- V. If  $A \subset \mathbb{N}$  is a subset satisfying the two properties:
  - $1 \in A$ ;
  - if  $n \in A$ , then  $S(n) \in A$ ;
 then  $A = \mathbb{N}$ .

(a) **Bonus exercise.** Show that

$$\mathbb{N} = \{1, S(1), S(S(1)), S(S(S(1))), \dots\}$$

*Proof.* We wish to eventually use Axiom V to show that the set on the right side of the above equality (which we shall call  $A$ ) is equal to  $\mathbb{N}$ . Thus, we begin by demonstrating that  $A$  is a subset of  $\mathbb{N}$ . To do so, Definition 1.3 tells us that we must verify that every element of  $A$  is an element of  $\mathbb{N}$ . Now  $A$  consists of 1 and elements in the codomain of  $S$ , so since  $1 \in \mathbb{N}$  (Axiom I) and any element of the codomain of  $S$  is clearly an element of  $\mathbb{N}$  (because the codomain of  $S$  is  $\mathbb{N}$ ),  $A \subset \mathbb{N}$ . Moving on, as previously referenced,  $1 \in A$ , so the first property of Axiom V holds. Additionally, the pattern defining  $A$  clearly indicates that for any  $a \in A$ ,  $S(a) \in A$ , so the second property of Axiom V holds. Therefore, by Axiom V,  $A = \mathbb{N}$ . □

(b) Prove that the Principle of Mathematical Induction follows from Peano's Postulates.

*Proof.* We wish to prove, using only Axioms I-V above and set theoretic results, that if  $P(n)$  is a proposition pertaining to each natural number  $n$ ,  $P(1)$  is true, and the truth of  $P(k)$  implies that  $P(S(n))$ <sup>4</sup> is also true, then  $P(n)$  is true for all natural numbers  $n$ . We will do this by defining a set  $A$  such that “ $P(n)$  is true” is logically equivalent to  $n \in A$ . Then if we can show that  $n \in A$  for all  $n \in \mathbb{N}$  (i.e., that  $A = \mathbb{N}$ ), we will have verified that  $P(n)$  is true for all  $n \in \mathbb{N}$  as desired. Lastly, note that we will show that  $A = \mathbb{N}$  by demonstrating that  $A$  satisfies the stipulations of Axiom V. Let’s begin.

Let  $A = \{n \in \mathbb{N} \mid P(n) \text{ is true}\}$ . Since every element of  $A$  is an element of  $\mathbb{N}$  by the definition of  $A$ , Definition 1.3 tells us that  $A \subset \mathbb{N}$ . Additionally, since  $P(1)$  is true by hypothesis and  $1 \in \mathbb{N}$  by Axiom I, we know by the definition of  $A$  that  $1 \in A$ . Now suppose  $n \in A$ . It follows that  $n \in \mathbb{N}$  and  $P(n)$  is true. But by hypothesis, the truth of  $P(n)$  implies that  $P(S(n))$  is true. This, combined with the fact that  $S(n) \in \mathbb{N}$  by Axiom II, shows that  $S(n) \in A$ . Having now proven that  $A \subset \mathbb{N}$ ,  $1 \in A$ , and  $n \in A$  implies  $S(n) \in A$ , Axiom V tells us that  $A = \mathbb{N}$ , as desired.  $\square$

- (c) Define a special element  $0 \notin \mathbb{N}$  and define  $\mathbb{N}_0 = \mathbb{N} \cup \{0\}$ . Let  $s : \mathbb{N}_0 \rightarrow \mathbb{N}$  be defined by

$$\begin{aligned} s(0) &= 1 \\ s(n) &= S(n), \text{ for } n \in \mathbb{N} \end{aligned}$$

where  $S$  is the successor function defined in Peano’s Postulates.

**Definition.** We define addition  $x + y$  for  $x, y \in \mathbb{N}_0$  inductively on  $y$  by

$$\begin{aligned} x + 0 &= x \\ x + s(y) &= s(x + y) \end{aligned}$$

**Theorem.** The following facts all hold.

- i. If  $x, y \in \mathbb{N}_0$ , then  $x + y \in \mathbb{N}_0$ .
- ii.  $0 + x = x$ , for all  $x \in \mathbb{N}_0$ .
- iii. (Commutative Law)  $x + y = y + x$  for all  $x, y \in \mathbb{N}_0$ .
- iv. (Associative Law)  $x + (y + z) = (x + y) + z$  for all  $x, y, z \in \mathbb{N}_0$ .
- v. Given  $x, y, z \in \mathbb{N}_0$ , if  $x + y = x + z$ , then  $y = z$ .

Prove that  $x + 1 = s(x)$  for all  $x \in \mathbb{N}_0$  and then prove items (i), (ii), and (iv) in the above theorem.

*Proof of first claim.* Since  $1 = s(0)$  by definition, we know by repeated applications of the various parts of the definition of addition that  $x + 1 = x + s(0) = s(x + 0) = s(x)$ , as desired.  $\square$

*Proof of i.* We keep  $x$  fixed and induct on  $y$ . For the base case  $y = 0$ , we have by the definition of addition that  $x + 0 = x$ . Since  $x \in \mathbb{N}_0$  by assumption, it clearly follows that  $x + 0 \in \mathbb{N}_0$ , thus proving the base case. Now suppose inductively that we have proven that  $x + y \in \mathbb{N}_0$  for some  $y \in \mathbb{N}_0$ ; we now seek to prove that  $x + (y + 1) \in \mathbb{N}_0$ . By the above argument,  $y + 1 = s(y)$ , so

$$x + (y + 1) = x + s(y)$$

It follows by the definition of addition that the above

$$= s(x + y)$$

Since  $s : \mathbb{N}_0 \rightarrow \mathbb{N}$  and  $x + y \in \mathbb{N}_0$  by hypothesis, Definition 1.16 from Script 1 implies that  $s(x + y) \in \mathbb{N}$ . Thus,  $x + (y + 1) \in \mathbb{N}$ . Consequently, by Definition 1.5 from Script 1,  $x + (y + 1) \in \mathbb{N} \cup \{0\}$ , implying by the definition of  $\mathbb{N}_0$  that  $x + (y + 1) \in \mathbb{N}_0$ . This closes the induction.  $\square$

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<sup>4</sup>Addition has not yet been defined. Although we do not yet “know” that  $n + 1 = S(n)$  we must assume it for the sake of this proof.

*Proof of ii.* We induct on  $x$ . For the base case  $x = 0$ , we have by the definition of addition that  $0 + 0 = 0$ , thus proving the base case. Now suppose inductively that we have proven that  $0 + x = x$  for some  $x \in \mathbb{N}_0$ ; we now seek to prove that  $0 + (x + 1) = x + 1$ . As before, we can write that

$$\begin{aligned} 0 + (x + 1) &= 0 + s(x) \\ &= s(0 + x) \end{aligned}$$

But by the inductive hypothesis and the first claim proven herein, it follows that the above

$$\begin{aligned} &= s(x) \\ &= x + 1 \end{aligned}$$

This closes the induction. □

*Proof of iv.* We induct on  $x$  (keeping  $y, z$  fixed). For the base case  $x = 0$ , we must consider  $0 + (y + z)$ . By part (i),  $y + z \in \mathbb{N}_0$ . Thus, part (ii) applies, and implies that

$$0 + (y + z) = y + z$$

Since  $y \in \mathbb{N}_0$  by assumption, we can apply part (ii) again in reverse to demonstrate that  $y = 0 + y$ . Thus, the above is

$$= (0 + y) + z$$

This proves the base case. Now suppose inductively that we have proven that  $x + (y + z) = (x + y) + z$  for some  $x \in \mathbb{N}_0$ ; we now seek to prove that  $(x + 1) + (y + z) = ((x + 1) + y) + z$ . As before,

$$(x + 1) + (y + z) = s(x) + (y + z)$$

By part (iii) (which implies that  $s(y) + x = s(y + x)$  is also true), the fact that  $y + z \in \mathbb{N}_0$  by part (i), and the definition of addition, we thus have that the above

$$= s(x + (y + z))$$

We now apply the inductive hypothesis.

$$= s((x + y) + z)$$

By the fact that  $x + y \in \mathbb{N}_0$  (part i) and consecutive applications of the definition of addition, we find that the above

$$\begin{aligned} &= s(x + y) + z \\ &= (s(x) + y) + z \end{aligned}$$

To finish it off, we once again use the first claim proved herein:

$$= ((x + 1) + y) + z$$

This closes the induction. □

(d) **Definition.** We define multiplication  $x \cdot y$  for  $x, y \in \mathbb{N}_0$  inductively on  $\mathbb{N}_0$  by

$$\begin{aligned} x \cdot 0 &= 0 \\ x \cdot s(y) &= x \cdot y + x \end{aligned}$$

Prove that  $x \cdot 1 = x$  for all  $x \in \mathbb{N}_0$ .



*Proof.* Since  $s(0) = 1$ ,

$$x \cdot 1 = x \cdot s(0)$$

By the definition of multiplication, the above is

$$= x \cdot 0 + x$$

From the above, we can use the definition of multiplication to substitute  $x \cdot 0 = 0$ .

$$= 0 + x$$

Now just apply part (ii) of the Theorem in part (c).

$$= x$$

□

(e) **Definition.** We define  $<$  on  $\mathbb{N}_0$  by

$$x < y \text{ if and only if } y = x + u \text{ for some } u \in \mathbb{N}.$$

- i. Prove that  $1 < n$  for all  $n \in (\mathbb{N} \setminus \{1\})$ .
- ii. Prove that if  $a, x, y \in \mathbb{N}$  with  $x < y$ , then  $a \cdot x < a \cdot y$ .

*Proof of i.* We induct on  $n$ . For the base case  $n = 2$ , we have  $2 = s(1 + 0) = 1 + s(0) = 1 + 1$ , so  $1 < 2$ . Now suppose inductively that  $1 < n$  for some  $n \in \mathbb{N}$ ; we wish to prove that  $1 < n + 1$ . By the induction hypothesis and the definition of  $<$ ,  $n = 1 + u$ . Thus,  $n + 1 = 1 + u + 1$  by the inverse of the cancellation law for addition. Since  $u + 1 \in \mathbb{N}$  by part (c) Theorem part (i), we have that  $n + 1 = 1 + (u + 1)$ , implying that  $1 < n + 1$ . This closes the induction. □

*Proof of ii.* We induct on  $a$  (keeping  $x, y$  fixed). For the base case  $a = 1$ , we have by part (d) that  $x < y$  is equivalent to  $1 \cdot x < 1 \cdot y$  since  $x = 1 \cdot x$  for all  $x \in \mathbb{N}$ . Now suppose inductively that we have proven that  $a \cdot x < a \cdot y$ ; we wish to prove that  $(a + 1) \cdot x < (a + 1) \cdot y$ . Let's start with

$$a \cdot x < a \cdot y$$

By the definition of  $<$ , we know that this implies

$$a \cdot y = a \cdot x + u$$

By the inverse of the cancellation law for addition, we can add a quantity to both sides, say  $y$ .

$$a \cdot y + y = a \cdot x + y + u$$

Since  $x < y$  by assumption,  $y = x + u'$  for some  $u' \in \mathbb{N}$ .

$$a \cdot y + y = a \cdot x + x + u' + u$$

Use the definition of multiplication and addition.

$$\begin{aligned} s(a) \cdot y &= s(a) \cdot x + u' + u \\ (a + 1) \cdot y &= (a + 1) \cdot x + u' + u \end{aligned}$$

If we treat  $u' + u$  as a single natural number, which we can do because of part (c) Theorem part i, we can employ the definition of  $<$  one more time.

$$(a + 1) \cdot x < (a + 1) \cdot y$$

□