# Script 5

# Connectedness and Boundedness

## 5.1 Journal

11/19: **Axiom 4.** A continuum is connected.

**Theorem 5.1.** The only subsets of a continuum C that are both open and closed are  $\emptyset$  and C.

*Proof.* To prove that the only subsets of C that are both open and closed are  $\emptyset$  and C, it will suffice to show that if  $A \subset C$  is both open and closed, then  $A = \emptyset$  or A = C. Let  $A \subset C$  be both open and closed. We divide into two cases  $(A = \emptyset)$  and  $A \neq \emptyset$ . If  $A = \emptyset$ , then we are done. On the other hand, if  $A \neq \emptyset$ , we have a bit more work to do. Basically, we will end up proving that the facts that A is open, A is closed, and  $A \neq \emptyset$  imply that A = C. Let's begin.

First off, the fact that A is closed implies by Definition 4.8 that  $C \setminus A$  is open. Additionally, we have by Script 1 that  $A \cap (C \setminus A) = \emptyset$  and  $A \cup (C \setminus A) = C$ . Now suppose for the sake of contradiction that  $A \neq C$ . It follows since  $A \subset C$  that we must have  $C \not\subset A$ , i.e., there is some object in C that is not an element of A. This object would clearly be an element of  $C \setminus A$  in this case, meaning that  $C \setminus A$  is nonempty. Thus, we have that A and  $C \setminus A$  are disjoint, open, nonempty sets such that  $A \cup (C \setminus A) = C$ . Consequently, by consecutive applications of Definition 4.22, we know that C is disconnected, i.e., C is not connected. But this contradicts Axiom 4, which asserts that C is connected. Therefore, we must have that A = C, as desired.

**Theorem 5.2.** For all  $x, y \in C$ , if x < y, then there exists a point  $z \in C$  such that z is between x and y.

*Proof.* Suppose for the sake of contradiction that no point  $z \in C$  exists such that z is between x and y. To find a contradiction, we will let  $A = \{c \in C \mid c < y\}$  and  $B = \{c \in C \mid x < c\}$  and prove that  $A \cup B = C$ , and that A and B are disjoint, nonempty, open sets. This will imply that C is disconnected, contradicting Axiom 4. Let's begin.

Suppose for the sake of contradiction that  $C \neq A \cup B$ . Then by Theorem 1.7,  $C \not\subset A \cup B$  or  $A \cup B \not\subset C$ . Since  $A \subset C$  and  $B \subset C$  by their definitions, we have  $A \cup B \subset C$ , so it must be that  $C \not\subset A \cup B$ . Thus, by Definition 1.3, there exists a point  $p \in C$  such that  $p \notin A \cup B$ . From the latter condition, we have by Definition 1.5 that  $p \notin A$  and  $p \notin B$ . It follows from the definitions of A and B that  $p \notin C$ , or  $p \not< y$  and  $x \not< p$ . But we know that  $p \in C$ , so it must be that  $p \not< y$  and  $x \not< p$ . Equivalently,  $p \ge y$  and  $x \ge p$ . But this implies that  $x \ge y$ , which contradicts the fact that x < y by hypothesis. Therefore, we must have  $C = A \cup B$ , as desired.

Suppose for the sake of contradiction that A and B are not disjoint. Then by Definition 1.9,  $A \cap B \neq \emptyset$ . Thus, Definition 1.8 tells us that there exists some object  $p \in A \cap B$ . By Definition 1.6, this implies that  $p \in A$  and  $p \in B$ . It follows by the definitions of A and B that  $p \in C$ , p < y, and x < p. Since x , Definition 3.6 tells us that <math>p is between x and y, contradicting the supposition that no such point exists. Therefore, A and B are disjoint, as desired.

To prove that A and B are nonempty, Definition 1.8 tells us that it will suffice to show that there exists an object in each set. Since  $x \in C$  and x < y,  $x \in A$ . Similarly, since  $y \in C$  and x < y,  $y \in B$ . Therefore, A and B are nonempty, as desired.

By Corollary 4.13, A and B are open, as desired.

Since C can be written as  $A \cup B$  where A and B are disjoint, nonempty, open sets, we have by Definition 4.22 that C is disconnected. But this contradicts Axiom 4, which asserts that C is connected. Therefore, there must exist a point  $z \in C$  such that z is between x and y, as desired.

#### Corollary 5.3. Every region is infinite.

Proof. Let  $\underline{ab}$  be a region, and suppose for the sake of contradiction that  $\underline{ab}$  is finite. Then by Definitions 1.30 and 1.33,  $\underline{ab} = \emptyset$ , or  $\underline{ab}$  has cardinality n. We divide into two cases. Suppose first that  $\underline{ab} = \emptyset$ . Then by Definitions 3.10 and 3.6, no point p exists such that a . Thus, by the contrapositive of Theorem 5.2, <math>a = b. But this implies by Definition 3.10 that  $\underline{ab}$  is not a region (since a < b), a contradiction. Now suppose that  $\underline{ab}$  has cardinality n. Then by Theorem 3.5, the symbols  $a_1, \ldots, a_n$  may be assigned to each point of  $\underline{ab}$  so that  $a_1 < a_2 < \cdots < a_n$ . But by Theorem 5.2, there exists a point  $z \in C$  such that z is between a and  $a_1$ . Since  $a < z < a_1 < b$ , we clearly have that  $z \in \underline{ab}$ , yet it was not assigned a symbol  $a_k$ , a contradiction. Therefore, a is infinite, as desired.

## 12/1: Corollary 5.4. Every point of C is a limit point of C.

Proof. Let p be an arbitrary element of C. To prove that p is a limit point of C, Definition 3.13 tells us that it will suffice to show that for all regions R with  $p \in R$ ,  $R \cap (C \setminus \{p\}) \neq \emptyset$ . Let R be an arbitrary region with  $p \in R$ . By Corollary 5.3, R is infinite, so there exists a point  $q \in R$  such that  $q \neq p$ . Additionally, since  $q \in R$ , we have  $q \in C$ . Thus, since  $q \in C$  and  $q \neq p$  (i.e.,  $q \notin \{p\}$ ), we have by Definition 1.11 that  $q \in C \setminus \{p\}$ . This combined with the fact that  $q \in R$  implies by Definition 1.6 that  $q \in R \cap (C \setminus \{p\})$ , so  $R \cap (C \setminus \{p\}) \neq \emptyset$ , as desired.

### Corollary 5.5. Every point of the region $\underline{ab}$ is a limit point of $\underline{ab}$ .

Proof. Let p be an arbitrary element of the region  $\underline{ab}$ . Since  $p \in C$ , by Corollary 5.4,  $p \in LP(C)$ . Thus, by Script 1,  $p \in LP(\underline{ab} \cup (C \setminus \underline{ab}))$ . It follows by Theorem 3.20 that  $p \in LP(\underline{ab})$  or  $p \in LP(C \setminus \underline{ab})$ . Now suppose  $p \in LP(C \setminus \underline{ab})$ . Then by Definition 3.13, for all regions R with  $p \in R$ ,  $R \cap ((C \setminus \underline{ab}) \setminus \{p\}) \neq \emptyset$ . However, by Script 1,  $\underline{ab}$  is a region with  $p \in \underline{ab}$  such that  $\emptyset = \underline{ab} \cap (C \setminus \underline{ab}) = \underline{ab} \cap ((C \setminus \underline{ab}) \setminus \{p\})$ . Therefore, it cannot be that  $p \in LP(C \setminus \underline{ab})$ , so it must be that  $p \in LP(\underline{ab})$ .

**Definition 5.6.** Let X be a subset of C. A point u is called an **upper bound** of X if for all  $x \in X$ ,  $x \le u$ . A point l is called a **lower bound** of X if for all  $x \in X$ ,  $l \le x$ . If there exists an upper bound of X, then we say that X is **bounded above**. If there exists a lower bound of X, then we say that X is **bounded below**. If X is bounded above and below, then we simply say that X is **bounded**.

**Definition 5.7.** Let X be a subset of C. We say that u is a **least upper bound** of X and write  $u = \sup X$  if:

- 1. u is an upper bound of X;
- 2. if u' is an upper bound of X, then  $u \leq u'$ .

We say that l is a **greatest lower bound** and write  $l = \inf X$  if:

- 1. l is a lower bound of X;
- 2. if l' is a lower bound of X, then  $l' \leq l$ .

The notation sup comes from the word **supremum**, which is another name for least upper bound. The notation inf comes from the word **infimum**, which is another name for greatest lower bound.

**Exercise 5.8.** If  $\sup X$  exists, then it is unique, and similarly for  $\inf X$ .

*Proof.* Let X be a subset of a continuum C such that  $\sup X$  exists, and suppose that both u and u' are least upper bounds of X. It follows from the supposition and Definition 5.7 that u, u' are both upper bounds of X. Thus, since u is a least upper bound of X and u' is an upper bound of X, we have by Definition 5.7 again that  $u \le u'$ . By a symmetric argument, we also have that  $u' \le u$ . But since  $u \le u'$  and  $u' \le u$ , u = u', proving the uniqueness of  $\sup X$ .

The proof is symmetric for  $\inf X$ .

**Exercise 5.9.** If X has a first point L, then  $\inf X$  exists and equals L. Similarly, if X has a last point U, then  $\sup X$  exists and equals U.

*Proof.* Let L be the first point of X. Then by Definition 3.3, for all  $x \in X$ ,  $L \le x$ . Thus, by Definition 5.6, L is a lower bound of X. Now suppose for the sake of contradiction that there exists a lower bound L' of X such that L' > L. Since L' is a lower bound, Definition 5.6 implies that for all  $x \in X$ ,  $L' \le x$ . But L is an element of X and L < L', a contradiction. Therefore, if L' is a lower bound of X, then  $L' \le L$ . This result coupled with the fact that L is a lower bound of X implies by Definition 5.7 that  $L = \inf X$ .

The proof is symmetric in the other case.

**Exercise 5.10.** For this exercise, we assume that  $C = \mathbb{R}$ . Find  $\sup X$  and  $\inf X$  for each of the following subsets of  $\mathbb{R}$ , or state that they do not exist. You need not give proofs.

1.  $X = \mathbb{N}$ .

Answer.  $\sup X$  does not exist because the natural numbers continue on forever to positive infinity. However,  $\inf X = 1$  since we know that  $1 \le n$  for all  $n \in \mathbb{N}$ .

 $2. X = \mathbb{Q}.$ 

Answer. Neither  $\sup X$  nor  $\inf X$  exists because the rational numbers continue on forever to both positive and negative infinity.

3.  $X = \{ \frac{1}{n} \mid n \in \mathbb{N} \}.$ 

Answer. For  $n=1, \frac{1}{n}=1$ . From here, as n increases,  $\frac{1}{n}$  decreases asymptotically toward zero but always remains a positive nonzero rational number. Thus, sup X=1 and inf X=0.

4.  $X = \{x \in \mathbb{R} \mid 0 < x < 1\}.$ 

Answer.  $\sup X = 1$  and  $\inf X = 0$ . In the case of  $\sup X$ , any number slightly less than 1 would be included in X and have a number in X between it and 1 by Theorem 5.2, i.e., greater than it. A symmetric argument can treat the other case.

5.  $X = \{3\} \cup \{x \in \mathbb{R} \mid -7 \le x \le -5\}.$ 

Answer.  $\sup X = 3$  (3 is the greatest element of the set) and  $\inf X = -7$  (for a similar reason to part 4, above).

**Lemma 5.11.** Suppose that  $X \subset C$  and  $s = \sup X$ . If p < s, then there exists an  $x \in X$  such that  $p < x \le s$ . Similarly, suppose that  $X \subset C$  and  $l = \inf X$ . If l < p, then there exists an  $x \in X$  such that  $l \le x < p$ .

*Proof.* Suppose for the sake of contradiction that for some p < s, no  $x \in X$  exists such that  $p < x \le s$ . Since s is a least upper bound of X, Definitions 5.7 and 5.6 imply<sup>[1]</sup> that for all  $x \in X$ ,  $x \le s$ . Consequently, by the supposition, it is true that for all  $x \in X$ ,  $x \le p$  (if there existed an x > p, then this point would satisfy  $p < x \le s$ , contradicting the supposition). Thus, by Definition 5.6, p is a upper bound of X. But since

<sup>&</sup>lt;sup>1</sup>Technically, Definition 5.7 implies that s is an upper bound of X and Definition 5.6 implies based off of this result that for all  $x \in X$ , x < s. However, to avoid having to write this every time, I will shorthand this concept in this fashion.

p < s, it is not true that  $s \le s'$  for all upper bounds s' of X, meaning by Definition 5.7 that s is not a least upper bound of X, a contradiction. Therefore, if p < s, then there exists an  $x \in X$  such that  $p < x \le s$ , as desired.

The proof is symmetric in the other case.  $\Box$ 

**Theorem 5.12.** Let a < b. The least upper bound and greatest lower bound of the region  $\underline{ab}$  are  $\sup \underline{ab} = b$  and  $\inf ab = a$ .

The proof is symmetric in the other case.  $\Box$ 

12/3: **Lemma 5.13.** Let X be a subset of C. Suppose that  $\sup X$  exists and  $\sup X \notin X$ . Then  $\sup X$  is a limit point of X. The same holds for  $\inf X$ .

*Proof.* To prove that  $\sup X$  is a limit point of X, Definition 3.13 tells us that it will suffice to verify that for all regions  $\underline{ab}$  with  $\sup X \in \underline{ab}$ , we have  $\underline{ab} \cap (X \setminus \{\sup X\}) \neq \emptyset$ . Let  $\underline{ab}$  be an arbitrary region with  $\sup X \in \underline{ab}$ . Then by Definitions 3.10 and 3.6,  $a < \sup X < b$ . It follows by Lemma 5.11 that there exists an  $x \in X$  such that  $a < x \leq \sup X$ . Additionally, since  $x \in X$  and  $\sup X \notin X$ , we cannot have  $\sup X = x$ , meaning that  $a < x < \sup X$ . Combining the last few results, we have  $a < x < \sup X < b$ . Thus, by Definitions 3.6 and 3.10,  $x \in \underline{ab}$ . Consequently, since  $x \in X$  and  $x \neq \sup X$  implies  $x \notin \{\sup X\}$ , Definition 1.11 asserts that  $x \in X \setminus \{\sup X\}$ . Therefore, since we also know that  $x \in \underline{ab}$ , we have by Definition 1.6 that  $x \in \underline{ab} \cap (X \setminus \{\sup X\})$ , meaning by Definition 1.8 that  $\underline{ab} \cap (X \setminus \{\sup X\}) \neq \emptyset$ , as desired.

The proof is symmetric in the other case.  $\Box$ 

**Corollary 5.14.** Both a and b are limit points of the region ab.

*Proof.* Clearly,  $\underline{ab} \subset C$ . Additionally, by Theorem 5.12,  $\sup \underline{ab}$  and  $\inf \underline{ab}$  exist and are equal to b and a, respectively. Furthermore, it follows from Definition 3.10 that neither b nor a (i.e., neither  $\sup \underline{ab}$  nor  $\inf \underline{ab}$ ) are elements of  $\underline{ab}$ . Therefore, by Lemma 5.13,  $\inf \underline{ab} = a$  and  $\sup \underline{ab} = b$  are limit points of the region  $\underline{ab}$ .

**Corollary 5.15.** Let [a,b] denote the closure  $\overline{ab}$  of the region  $\underline{ab}$ . Then  $[a,b] = \{x \in C \mid a \leq x \leq b\}$ .

*Proof.* To prove that  $[a,b] = \{x \in C \mid a \leq x \leq b\}$ , Definition 4.4 tells us that it will suffice to show that  $\underline{ab} \cup LP(\underline{ab}) = \{x \in C \mid a \leq x \leq b\}$ . To show this, we will verify that  $\underline{ab} \cup LP(\underline{ab}) = \{a\} \cup \underline{ab} \cup \{b\}$  and that  $\{x \in C \mid a \leq x \leq b\} = \{a\} \cup \underline{ab} \cup \{b\}$  and use transitivity. Let's begin.

By Corollaries 5.5 and 5.14, every point in  $\underline{ab}$  as well as a and b are limit points of  $\underline{ab}$ . Thus,  $\{a\} \cup \underline{ab} \cup \{b\} \subset LP(\underline{ab}) \subset \underline{ab} \cup LP(\underline{ab})$ . On the other hand, by Lemma 3.17 and Definition 3.15, no element of  $C \setminus (\{a\} \cup \underline{ab} \cup \{b\})$  is a limit point of  $\underline{ab}$ . Additionally, it is clear that no element of  $C \setminus (\{a\} \cup \underline{ab} \cup \{b\})$  is an element of  $\underline{ab}$ . Thus,  $\underline{ab} \cup LP(\underline{ab}) \subset \{a\} \cup \underline{ab} \cup \{b\}$ . Therefore, by Theorem 1.7a,  $\underline{ab} \cup LP(\underline{ab}) = \{a\} \cup \underline{ab} \cup \{b\}$ , as desired.

By Lemma 3.16 and Definition 3.15,  $C \setminus (\{a\} \cup \underline{ab} \cup \{b\}) = \{x \in C \mid x < a \text{ or } x > b\}$ . It follows that

$$\begin{split} C \setminus (C \setminus (\{a\} \cup \underline{ab} \cup \{b\})) &= C \setminus \{x \in C \mid x < a \text{ or } x > b\} \\ \{a\} \cup \underline{ab} \cup \{b\} &= \{x \in C \mid x \not< a \text{ and } x \not> b\} \\ &= \{x \in C \mid a \le x \le b\} \end{split}$$

as desired.  $\Box$ 

**Lemma 5.16.** Let  $X \subset C$  and define:

 $\Psi(X) = \{x \in C \mid x \text{ is not an upper bound of } X\} \qquad \Omega(X) = \{x \in C \mid x \text{ is not a lower bound of } X\}$ 

Then both  $\Psi(X)$  and  $\Omega(X)$  are open.

*Proof.* We will take this one set at a time.

To prove that  $\Psi(X)$  is open, Theorem 4.10 tells us that it will suffice to confirm that for all  $y \in \Psi(X)$ , there exists a region containing y that is a subset of  $\Psi(X)$ . Let y be an arbitrary element of  $\Psi(X)$ . Then by the definition of  $\Psi(X)$ , y is not an upper bound of X. Thus, by Definition 5.6, there exists some  $x \in X$  such that x > y. Now let  $a \in C$  be a point such that a < y (Axiom 3 and Definition 3.3 imply the existence of such a point) and consider the region  $\underline{ax}$ . We will demonstrate that  $\underline{ax}$  is the desired region, i.e., that  $y \in \underline{ax}$  and  $\underline{ax} \subset \Psi(X)$ . For the first condition, since a < y < x, it immediately follows from Definitions 3.6 and 3.10 that  $y \in \underline{ax}$ , as desired. As to the second condition, Definition 1.3 tells us that it will suffice to show that every element  $z \in \underline{ax}$  is an element of  $\Psi(X)$ . Let z be an arbitrary element of  $\underline{ax}$ . Then by Definitions 3.10 and 3.6, z < x. Since z is less than an element of X, Definition 5.6 asserts that z is not an upper bound of X. Thus, by the definition of  $\Psi(X)$ ,  $z \in \Psi(X)$ , as desired. Therefore, for all  $y \in \Psi(X)$ , there exists a region containing y that is a subset of  $\Psi(X)$ .

The proof is symmetric in the other case.

**Theorem 5.17.** Suppose that X is nonempty and bounded above. Then  $\sup X$  exists. Similarly, if X is nonempty and bounded below, then  $\inf X$  exists.

*Proof.* We begin by proving that  $\Psi(X)$  is not closed. This can be accomplished by using the two constraints on X (that it is nonempty and bounded) to demonstrate that it is neither equal to C nor  $\emptyset$ , respectively; it follows from the facts that  $\Psi(X)$  is open,  $\Psi(X) \neq C$ , and  $\Psi(X) \neq \emptyset$  that  $\Psi(X)$  is not closed. Once we've established that  $\Psi(X)$  is not closed, we have that there exists a limit point of  $\Psi(X)$  that is not an element of  $\Psi(X)$ . This limit point will be sup X. Let's begin.

To prove that  $\Psi(X) \neq C$ , Definition 1.2 tells us that it will suffice to show that there exists some point in C that is not an element of  $\Psi(X)$ . By definition, X is bounded above. Thus, by Definition 5.6, there exists an upper bound u of X, where  $u \in C$  by definition. Since u is an upper bound of X, we have by the definition of  $\Psi(X)$  that  $u \notin \Psi(X)$ . Since  $u \in C$  and  $u \notin \Psi(X)$ ,  $\Psi(X) \neq C$ , as desired.

To prove that  $\Psi(X) \neq \emptyset$ , Definition 1.8 tells us that it will suffice to show that there exists some point  $y \in \Psi(X)$ . By Definition, X is nonempty. Thus, there exists some  $x \in X$ . It follows by Axiom 3 and Definition 3.3 that there exists some  $y \in C$  such that y < x. Since there is an element of X that is greater than y, we have by Definition 5.6 that y is not an upper bound of X. Thus, by the definition or  $\Psi(X)$ ,  $y \in \Psi(X)$ . Therefore,  $\Psi(X) \neq \emptyset$ , as desired.

Now the last two main results state that  $\Psi(X) \neq C$  and  $\Psi(X) \neq \emptyset$ , respectively. Thus, by Theorem 5.1,  $\Psi(X)$  cannot be both open and closed. Consequently, since  $\Psi(X)$  is open by Lemma 5.16, we have that  $\Psi(X)$  is not closed.

Since  $\Psi(X)$  is not closed, we have by Definition 4.1 that  $\Psi(X)$  does not contain all of its limit points, i.e., there exists some  $u \in LP(\Psi(X))$  such that  $u \notin \Psi(X)$ . It follows from the latter condition and the definition of  $\Psi(X)$  that u is an upper bound of X. Now suppose for the sake of contradiction that there exists an upper bound u' of X such that u' < u. First off, we have by Axiom 3 and Definition 3.3 that there exists some point  $b \in C$  such that u < b. Now consider the region  $\underline{u'b}$ . Since u' < u < b, we have by Definitions 3.6 and 3.10 that  $u \in \underline{u'b}$ . Additionally, we can verify that  $\underline{u'b} \cap \Psi(X) = \emptyset$  (if  $z \in \underline{u'b} \cap \Psi(X)$ , then  $z \in \underline{u'b}$  and  $z \in \Psi(X)$ ; it follows from the first result that z > u', i.e., z > x for all  $x \in X$ , but it follows from the second that z is not an upper bound of X, i.e., z < x for some  $x \in X$ , a contradiction). Consequently,  $\underline{u'b} \cap (\Psi(X) \setminus \{u\}) = \emptyset$ , implying by Definition 3.13 that  $u \notin LP(\Psi(X))$ , a contradiction. Therefore, if u' is an upper bound of X, then  $u \le u'$ . This combined with the fact that u is an upper bound of X implies by Definition 5.7 that  $u = \sup X$ , meaning that  $\sup X$  exists, as desired.

The proof is symmetric in the other case.

Corollary 5.18. Every nonempty, closed, and bounded set has a first and a last point.

*Proof.* Let X be an arbitrary nonempty, closed, and bounded set. We will start by proving that X has a first point, and then we will prove that it has a last point. Let's begin.

Since X is bounded, Definition 5.7 implies that X is bounded below. This result combined with the fact that X is nonempty proves by Theorem 5.17 that inf X exists. Now suppose for the sake of contradiction that inf  $X \notin X$ . Then by Lemma 5.13, inf  $X \in LP(X)$ . But since X is closed, this result implies by Definition 4.1 that inf  $X \in X$ , a contradiction. Thus, inf  $X \in X$ . To summarize, at this point we know that inf X is a point of X such that (by Definitions 5.7 and 5.6) for every element  $x \in X$ , inf  $X \subseteq X$ . Therefore, by Definition 3.3, inf X is the first point of X.

The proof is symmetric in the other case.

### **Exercise 5.19.** Is this true for $\mathbb{Q}$ ?

However, A has no first or last point — for any value in A, no matter how large, we can apply the technique of Exercise 4.24 to find a larger value. Similarly, for any value in A, no matter how small, we can find a smaller value with the same procedure.