

# MATH 16210 (Honors Calculus II IBL) Notes

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February 4, 2021

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## Script 6

# Construction of the Real Numbers

### 6.1 Journal

1/12: **Definition 6.1.** A subset  $A$  of  $\mathbb{Q}$  is said to be a **cut** (or **Dedekind cut**) if it satisfies the following:

- (a)  $A \neq \emptyset$  and  $A \neq \mathbb{Q}$ .
- (b) If  $r \in A$  and  $s \in \mathbb{Q}$  satisfy  $s < r$ , then  $s \in A$ .
- (c)  $A$  does not have a last point; i.e., if  $r \in A$ , then there is some  $s \in A$  with  $s > r$ .

We denote the collection of all cuts by  $\mathbb{R}$ .

**Lemma 6.2.** Let  $A$  be a Dedekind cut and  $x \in \mathbb{Q}$ . Then  $x \notin A$  if and only if  $x$  is an upper bound for  $A$ .

*Proof.* Suppose first that  $x$  is an element of  $\mathbb{Q}$  such that  $x \notin A$ . To prove that  $x$  is an upper bound for  $A$ , Definition 5.6 tells us that it will suffice to show that for all  $r \in A$ ,  $r \leq x$ . Let  $r$  be an arbitrary element of  $A$ . Then since  $r \in A$ ,  $x \in \mathbb{Q}$ , and  $x \notin A$ , the contrapositive of Definition 6.1b asserts that  $x \not< r$ . Therefore,  $r \leq x$ , as desired.

Now suppose that  $x$  is an upper bound for  $A$ . By Definition 5.6, this implies that for all  $r \in A$ ,  $r \leq x$ . Therefore, since there is no  $r \in A$  with  $r > x$ , by the contrapositive of Definition 6.1c,  $x \notin A$ , as desired.  $\square$

#### Exercise 6.3.

- (a) Prove that for any  $q \in \mathbb{Q}$ ,  $\{x \in \mathbb{Q} \mid x < q\}$  is a Dedekind cut. We then define  $\mathbf{0} = \{x \in \mathbb{Q} \mid x < 0\}$ .
- (b) Prove that  $\{x \in \mathbb{Q} \mid x \leq 0\}$  is not a Dedekind cut.
- (c) Prove that  $\{x \in \mathbb{Q} \mid x < 0\} \cup \{x \in \mathbb{Q} \mid x^2 < 2\}$  is a Dedekind cut.

*Proof of a.* Let  $q$  be an arbitrary element of  $\mathbb{Q}$ . To prove that  $A = \{x \in \mathbb{Q} \mid x < q\}$  is a Dedekind cut, Definition 6.1 tells us that it will suffice to show that  $A \neq \emptyset$ ;  $A \neq \mathbb{Q}$ ; if  $r \in A$  and  $s \in \mathbb{Q}$  satisfy  $s < r$ , then  $s \in A$ ; and if  $r \in A$ , then there is some  $s \in A$  with  $s > r$ . We will take this one claim at a time.

To show that  $A \neq \emptyset$ , Definition 1.8 tells us that it will suffice to find an element of  $A$ . By Exercise 3.9d,  $q$  is not the first point of  $\mathbb{Q}$ . Thus, by Definition 3.3, there exists an object  $x \in \mathbb{Q}$  such that  $x < q$ . By the definition of  $A$ , this implies that  $x \in A$ , as desired.

To show that  $A \neq \mathbb{Q}$ , Definition 1.2 tells us that it will suffice to find an element of  $\mathbb{Q}$  that is not an element of  $A$ . By hypothesis,  $q \in \mathbb{Q}$ . By Exercise 3.9d,  $q \not< q$ . Therefore,  $q \in \mathbb{Q}$  but  $q \notin A$ , as desired.

To show that if  $r \in A$  and  $s \in \mathbb{Q}$  satisfy  $s < r$ , then  $s \in A$ , we let  $r \in A$  and  $s \in \mathbb{Q}$  be arbitrary elements of their respective sets that satisfy  $s < r$  and seek to verify that  $s \in A$ . Since  $r \in A$ ,  $r < q$ . This combined with the fact that  $s < r$  implies by transitivity that  $s < q$ . Therefore, since  $s \in \mathbb{Q}$  and  $s < q$ ,  $s \in A$ , as desired.

To show that if  $r \in A$ , then there is some  $s \in A$  with  $s > r$ , we let  $r \in A$  and seek to find such an  $s$ . By the definition of  $A$ ,  $r < q$ . Thus, by Additional Exercise 3.1, there exists a point  $s \in \mathbb{Q}$  such that  $r < s < q$ . Since  $s \in \mathbb{Q}$  and  $s < q$ ,  $s \in A$ . It follows that  $s$  is the desired element of  $A$  which satisfies  $s > r$ .  $\square$

*Proof of b.* To prove that  $A = \{x \in \mathbb{Q} \mid x \leq 0\}$  is not a Dedekind cut, Definition 6.1 tells us that it will suffice to show that  $A$  *does* have a last point. To show this, we will demonstrate that 0 is the last point of  $A$ . To demonstrate this, Definition 3.1 tells us that it will suffice to confirm that  $0 \in A$  and for all  $x \in A$ ,  $x \leq 0$ . Since  $0 \leq 0$  and  $0 \in \mathbb{Q}$ ,  $0 \in A$ . Additionally, by the definition of  $A$ , it is true that for all  $x \in A$ ,  $x \leq 0$ .  $\square$

*Proof of c.* Let  $B = \{x \in \mathbb{Q} \mid x < 0\}$  and let  $C = \{x \in \mathbb{Q} \mid x^2 < 2\}$ . To prove that  $A = B \cup C$  is a Dedekind cut, Definition 6.1 tells us that it will suffice to show that  $A \neq \emptyset$ ;  $A \neq \mathbb{Q}$ ; if  $r \in A$  and  $s \in \mathbb{Q}$  satisfy  $s < r$ , then  $s \in A$ ; and if  $r \in A$ , then there is some  $s \in A$  with  $s > r$ . We will take this one claim at a time.

To show that  $A \neq \emptyset$ , Definition 1.8 tells us that it will suffice to find an element of  $A$ . Since  $-1 \in \mathbb{Q}$  and  $-1 < 0$ ,  $-1 \in B$ . Therefore, by Definition 1.5,  $-1 \in A$ , as desired.

To show that  $A \neq \mathbb{Q}$ , Definition 1.2 tells us that it will suffice to find an element of  $\mathbb{Q}$  that is not an element of  $A$ . Since  $2 \geq 0$ ,  $2 \notin B$ . Additionally, since  $2^2 \geq 2$ ,  $2 \notin C$ . Therefore, by Definition 1.5,  $2 \notin A$ , as desired.

To show that if  $r \in A$  and  $s \in \mathbb{Q}$  satisfy  $s < r$ , then  $s \in A$ , we let  $r \in A$  and  $s \in \mathbb{Q}$  be arbitrary elements of their respective sets that satisfy  $s < r$  and seek to verify that  $s \in A$ . We divide into two cases ( $s < 0$  and  $s \geq 0$ ). Suppose first that  $s < 0$ . Then  $s \in B$ , meaning that  $s \in A$ . Now suppose that  $s \geq 0$ . Then by Script 0, we have  $0 \leq s^2 < r^2 < 2$ . Thus, by the definition of  $C$ ,  $s \in C$ , implying that  $s \in A$ .

To show that  $A$  does not have a last point, suppose for the sake of contradiction that  $A$  has a last point  $p$ . We now divide into two cases ( $p \leq 0$  and  $p > 0$ ). Suppose first that  $p \leq 0$ . Since  $p$  is the last point of  $A$ , Definition 3.3 tells us that  $x \leq p$  for all  $x \in A$ . But  $1 \in A$  (since  $1 \in \mathbb{Q}$  and  $1^2 = 1 < 2$  implies  $1 \in B$ , implies  $1 \in A$ ) and  $1 > 0 \geq p$ , a contradiction. Now suppose that  $p > 0$ . Definition 3.3 tells us that  $p \in A$ , but the condition that  $p > 0$  means  $p \notin B$ , so we must have  $p \in C$ . However, by the proof of Exercise 4.24,  $\frac{2(p+1)}{p+2}$  will be an element of  $B$  (and therefore  $A$ ) that is greater than  $p$  no matter how large  $p$  is, a contradiction.  $\square$

**Definition 6.4.** If  $A, B \in \mathbb{R}$ , we say that  $A < B$  if  $A$  is a proper subset of  $B$ .

**Exercise 6.5.** Show that  $\mathbb{R}$  satisfies Axioms 1, 2, and 3.

*Proof.* By Exercise 6.3a,  $\{x \in \mathbb{Q} \mid x < 0\} \in \mathbb{R}$  since  $0 \in \mathbb{Q}$ . Therefore, Axiom 1 is immediately satisfied.

Axiom 2 asserts that  $\mathbb{R}$  must have an ordering  $<$ . As such, it will suffice to verify that the ordering given by Definition 6.4 satisfies the stipulations of Definition 3.1. To prove that  $<$  satisfies the trichotomy, it will suffice to show that for all  $A, B \in \mathbb{R}$ , exactly one of the following holds:  $A < B$ ,  $B < A$ , or  $A = B$ .

We first show that *no more than one* of the three statements can simultaneously be true. Let  $A, B$  be arbitrary elements of  $\mathbb{R}$ . We divide into three cases. First, suppose for the sake of contradiction that  $A < B$  and  $B < A$ . By Definition 6.4, this implies that  $A \subsetneq B$  and  $B \subsetneq A$ . Thus, by Definition 1.3,  $A \subset B$ ,  $B \subset A$ , and  $A \neq B$ . But by Theorem 1.7,  $A \subset B$  and  $B \subset A$  implies that  $A = B$ , a contradiction. Second, suppose for the sake of contradiction that  $A < B$  and  $A = B$ . By substitution, we have that  $A < A$ . But by Definitions 6.4 and 1.3, it follows that  $A \neq A$ . The proof of the third case ( $B < A$  and  $A = B$ ) is symmetric to that of the second case.

We now show that *at least one* of the three statements is always true. Let  $A, B$  be arbitrary elements of  $\mathbb{R}$ , and suppose for the sake of contradiction that  $A \not< B$ ,  $B \not< A$ , and  $A \neq B$ . Since  $A \not< B$  and  $B \not< A$ , we have by Definition 6.4 that  $A \not\subsetneq B$  and  $B \not\subsetneq A$ . Thus, by Definition 1.3,  $A \not\subset B$  or  $A = B$ , and  $B \not\subset A$  or  $A = B$ . But  $A \neq B$  by hypothesis, so it must be that  $A \not\subset B$  and  $B \not\subset A$ . It follows from the first statement by Definition 1.3 that there exists an object  $x \in A$  such that  $x \notin B$ , and there exists an object  $y \in B$  such that  $y \notin A$ . Since  $x \notin B$ , Lemma 6.2 implies that  $x$  is an upper bound of  $B$ . Consequently, by Definition 5.6,  $p \leq x$  for all  $p \in B$ , including  $y$ . Similarly,  $p \leq y$  for all  $p \in A$ , including  $x$ . Thus, we have  $y \leq x$  and  $x \leq y$ , implying that  $x = y$ . But since  $y \in B$ , this implies that  $x \in B$ , a contradiction.

To prove that  $<$  is transitive, it will suffice to show that for all  $A, B, C \in \mathbb{R}$ , if  $A < B$  and  $B < C$ , then  $A < C$ . Let  $A, B, C$  be arbitrary elements of  $\mathbb{R}$  for which it is true that  $A < B$  and  $B < C$ . By Definition 6.4, we have  $A \subsetneq B$  and  $B \subsetneq C$ . Thus, by Script 1,  $A \subsetneq C$ . Therefore, by Definition 6.4,  $A < C$ .

Axiom 3 asserts that  $\mathbb{R}$  must have no first or last point. We will take this one argument at a time

Suppose for the sake of contradiction that  $\mathbb{R}$  has some first point  $A$ . Then by Definition 3.3,  $A \leq X$  for every  $X \in \mathbb{R}$ . Now since  $A$  is a Dedekind cut, Definition 6.1 tells us that  $A \neq \emptyset$ . Thus, by Definition 1.8, there exists some  $q \in A$ . Additionally,  $A \subset \mathbb{Q}$  by Definition 6.1, so  $q \in A$  implies that  $q \in \mathbb{Q}$ . It follows by Exercise 6.3a that  $B = \{x \in \mathbb{Q} \mid x < q\}$  is a Dedekind cut. We now seek to prove that  $B \subsetneq A$ . To do this, Definition 1.3 tells us that it will suffice to show that  $B \neq A$  and  $B \subset A$ . To show that  $B \neq A$ , Definition 1.2 tells us that it will suffice to find an element of  $A$  that is not an element of  $B$ . Conveniently,  $q$  is clearly such an object. To show that  $B \subset A$ , Definition 1.3 tells us that we must confirm that every element of  $B$  is an element of  $A$ . Let  $p$  be an arbitrary element of  $B$ . Then by the definition of  $B$ ,  $p \in \mathbb{Q}$  and  $p < q$ . It follows by Definition 6.1b (which clearly applies to  $A$ ) that  $p \in A$ , as desired. Having proven that  $B \subsetneq A$ , Definition 6.4 tells us that  $B < A$ . But this contradicts the previously demonstrated fact that  $A \leq X$  for every  $X \in \mathbb{R}$ , including  $B$ .

Suppose for the sake of contradiction that  $\mathbb{R}$  has some last point  $A$ . Then by Definition 3.3,  $X \leq A$  for every  $X \in \mathbb{R}$ . Now since  $A$  is a Dedekind cut, Definition 6.1 tells us that  $A \neq \mathbb{Q}$ . Thus, by Definition 1.2, there exists some  $q \in \mathbb{Q}$  such that  $q \notin A$ . It follows by Lemma 6.2 that  $q$  is an upper bound of  $A$ . Consequently, by Definition 5.6,  $x \leq q$  for all  $x \in A$ . Additionally, by Exercise 6.3a,  $B = \{x \in \mathbb{Q} \mid x < q + 1\}$ <sup>[1]</sup> is a Dedekind cut. We now seek to prove that  $A \subsetneq B$ . As before, this means we must show that  $A \neq B$  and  $A \subset B$ . To show that  $A \neq B$ , Definition 1.2 tells us that it will suffice to find an element of  $B$  that is not an element of  $A$ . Since  $x \leq q$  for all  $x \in A$  and  $q < q + 0.5 < q + 1$ ,  $q + 0.5 \notin A$  and  $q + 0.5 \in B$  is one such desired object. To show that  $A \subset B$ , Definition 1.3 tells us that we must confirm that every element of  $A$  is an element of  $B$ . Let  $p$  be an arbitrary element of  $A$ . As an element of  $A$ , we know that  $p \leq q$ . Thus,  $p < q + 1$ , so  $p \in B$ , as desired. Having proven that  $A \subsetneq B$ , Definition 6.4 tells us that  $A < B$ . But this contradicts the previously demonstrated fact that  $X \leq A$  for every  $X \in \mathbb{R}$ , including  $B$ .  $\square$

1/14: **Lemma 6.6.** *A nonempty subset of  $\mathbb{R}$  that is bounded above has a supremum.*

*Proof.* Let  $X$  be an arbitrary nonempty subset of  $\mathbb{R}$  that is bounded above. To prove that  $\sup X$  exists, we will show that  $\sup X = U = \bigcup\{Y \mid Y \in X\}$ . To show this, Definition 5.7 tells us that it will suffice to demonstrate that  $U \in \mathbb{R}$ ,  $U$  is an upper bound of  $X$ , and if  $U'$  is an upper bound of  $X$ , then  $U \leq U'$ . Let's begin.

To demonstrate that  $U \in \mathbb{R}$ , Definition 6.1 tells us that it will suffice to confirm that  $U \neq \emptyset$ ;  $U \neq \mathbb{Q}$ ; if  $r \in U$  and  $s \in \mathbb{Q}$  satisfy  $s < r$ , then  $s \in U$ ; and if  $r \in U$ , then there is some  $s \in U$  with  $s > r$ .

As the union of a nonempty set of nonempty sets, Script 1 implies that  $U \neq \emptyset$ .

To demonstrate that  $U \neq \mathbb{Q}$ , Definition 1.2 tells us that it will suffice to find a point  $p \in \mathbb{Q}$  such that  $p \notin U$ . Since  $X$  is bounded above, we have by Definition 5.6 that there exists a Dedekind cut  $V \in \mathbb{R}$  such that  $A \leq V$  for all  $A \in X$ . It follows by Definition 6.4 that  $A \subset V$  for all  $A \in X$ . Thus, by Script 1,  $U \subset V$ . Now since  $V$  is a Dedekind cut, we know by Definition 6.1 that  $V \subset \mathbb{Q}$  and  $V \neq \mathbb{Q}$ , meaning that there exists a point  $p \in \mathbb{Q}$  such that  $p \notin V$ . Consequently, since  $U \subset V$ ,  $p \notin U$ , as desired.

To demonstrate that if  $r \in U$  and  $s \in \mathbb{Q}$  satisfy  $s < r$ , then  $s \in U$ , we let  $r \in U$  and  $s \in \mathbb{Q}$  be arbitrary elements of their respective sets that satisfy  $s < r$  and seek to verify that  $s \in U$ . Since  $r \in U$ , Definition 1.13 tells us that  $r \in A$  for some  $A \in X$ . Thus, since  $A$  is a Dedekind cut,  $s \in \mathbb{Q}$  and  $s < r$  implies that  $s \in A$ . Therefore,  $s \in U$ .

To demonstrate that if  $r \in U$ , then there is some  $s \in U$  with  $s > r$ , we let  $r \in U$  and seek to find such an  $s$ . Since  $r \in U$ , Definition 1.13 tells us that  $r \in A$  for some  $A \in X$ . Thus, since  $A$  is a Dedekind cut, there exists a point  $s \in A$  with  $s > r$ . Therefore,  $s \in U$ .

To demonstrate that  $U$  is an upper bound of  $X$ , Definition 5.6 tells us that it will suffice to confirm that  $A \leq U$  for all  $A \in X$ . To confirm this, Definition 6.4 tells us that it will suffice to verify that  $A \subset U$  for all  $A \in X$ . But by an extension of Theorem 1.7b, this is true.

Now suppose for the sake of contradiction that there exists an upper bound  $U'$  of  $X$  such that  $U' < U$ . It follows by Definitions 6.4 and 1.3 that there exists a point  $p \in U$  such that  $p \notin U'$ . Thus, by the former statement and Definition 1.13,  $p \in A$  for some  $A \in X$ . Additionally, since  $U'$  is an upper bound of  $X$ , we have by Definitions 5.6 and 6.4 that  $A \subset U'$  for all  $A \in X$ . But this implies by Definition 1.3 that  $p \in U'$ , a contradiction.  $\square$

<sup>1</sup>Note that we add 1 to  $q$  to treat the case that  $q = \sup A$ , a case in which we would have  $B = A$  if  $B$  were defined as  $\{x \in \mathbb{Q} \mid x < q\}$ .

1/19: **Exercise 6.7.** Show that  $\mathbb{R}$  satisfies Axiom 4.

*Proof.* Suppose for the sake of contradiction that  $\mathbb{R}$  does not satisfy Axiom 4. It follows that  $\mathbb{R}$  is not connected, implying by Definition 4.22 that  $\mathbb{R} = A \cup B$  where  $A, B$  are disjoint, nonempty, open sets. Since  $A, B$  are disjoint and nonempty, we know that there exist distinct objects  $a \in A$  and  $b \in B$ . WLOG, let  $a < b$ .

We now seek to prove that the set  $A \cap \underline{ab}$  is nonempty and bounded above. To prove that  $A \cap \underline{ab}$  is nonempty, Definition 1.8 tells us that it will suffice to find an element of  $A \cap \underline{ab}$ . Since  $a \in A$  and  $A$  is open, we have by Theorem 4.10 that there exists a region  $\underline{cd}$  such that  $a \in \underline{cd}$  and  $\underline{cd} \subset A$ . It follows by Definitions 3.10 and 3.6 that  $a < d$ , implying by Lemma 6.10<sup>[2]</sup> that there exists some point  $x \in \mathbb{R}$  such that  $c < a < x < d < b$  (note that  $d < b$  since if  $b < d$ , then  $b \in \underline{cd}$  would contradict the fact that  $\underline{cd} \subset A$ ). Consequently,  $x \in \underline{cd}$ , meaning that  $x \in A$ , and  $x \in \underline{ab}$ . Therefore,  $x \in A \cap \underline{ab}$ , as desired. To prove that  $A \cap \underline{ab}$  is bounded above, Definition 5.6 tells us that it will suffice to show that  $b$  is an upper bound of  $A \cap \underline{ab}$ . To show this, Definition 5.6 tells us that it will suffice to confirm that  $y \leq b$  for all  $y \in A \cap \underline{ab}$ . Let  $y$  be an arbitrary element of  $A \cap \underline{ab}$ . Then by Definition 1.6,  $y \in A$  and  $y \in \underline{ab}$ . It follows from the latter statement by Definitions 3.10 and 3.6 that  $y < b$ , i.e.,  $y \leq b$ , as desired.

Having established that  $A \cap \underline{ab} \subset \mathbb{R}$  is nonempty and bounded above, we can invoke Lemma 6.6 to learn that  $A \cap \underline{ab}$  has a supremum  $\sup(A \cap \underline{ab})$ . We now divide into two cases ( $\sup(A \cap \underline{ab}) \in A$  and  $\sup(A \cap \underline{ab}) \in B$ ; it follows from the definitions of  $A$  and  $B$  that exactly one of these cases is true). Suppose first that  $\sup(A \cap \underline{ab}) \in A$ . Then since  $A$  is open, we have by Theorem 4.10 that there exists a region  $\underline{ef}$  such that  $\sup(A \cap \underline{ab}) \in \underline{ef}$  and  $\underline{ef} \subset A$ . It follows from the former condition that  $\sup(A \cap \underline{ab}) < f$ . Thus, by Lemma 6.10, there exists an object  $z \in \mathbb{R}$  such that  $e < \sup(A \cap \underline{ab}) < z < f < b$  (note that  $f < b$  for the same reason that  $d < b$ ). Consequently,  $z \in \underline{ef}$ , implying that  $z \in A$ , and  $z \in \underline{ab}$ . Thus, we have found an element of  $A \cap \underline{ab}$  that is greater than  $\sup(A \cap \underline{ab})$ , contradicting Definitions 5.7 and 5.6. The proof is symmetric in the other case (except that we find an element of  $B$  less than  $\sup(A \cap \underline{ab})$ ).  $\square$

1/14: **Definition 6.8.** Let  $C$  be a continuum satisfying Axioms 1-4. Consider a subset  $X \subset C$ . We say that  $X$  is **dense** in  $C$  if every  $p \in C$  is a limit point of  $X$ .

**Lemma 6.9.** A subset  $X \subset C$  is dense in  $C$  if and only if  $\overline{X} = C$ .

*Proof.* Suppose first that  $X \subset C$  is dense in  $C$ . To prove that  $\overline{X} = C$ , Definition 1.2 tells us that it will suffice to show that every point  $p \in \overline{X}$  is an element of  $C$  and vice versa. Clearly, every element of  $\overline{X}$  is an element of  $C$ . On the other hand, let  $p$  be an arbitrary element of  $C$ . Since  $X$  is dense in  $C$ , Definition 6.8 tells us that  $p \in LP(X)$ . Therefore, by Definitions 1.5 and 4.4,  $p \in \overline{X}$ .

Now suppose that  $\overline{X} = C$ . To prove that  $X$  is dense in  $C$ , Definition 6.8 tells us that it will suffice to show that every  $p \in C$  is a limit point of  $X$ . Let  $p$  be an arbitrary element of  $C$ . By Corollary 5.4, this implies that  $p \in LP(C)$ . It follows that  $p \in LP(\overline{X})$ . Thus, by Definition 4.4,  $p \in LP(X \cup LP(X))$ . Consequently, by Theorem 3.20,  $p \in LP(X)$  or  $p \in LP(LP(X))$ . We now divide into two cases. If  $p \in LP(X)$ , then we are done. On the other hand, if  $p \in LP(LP(X))$ , the lemma from Theorem 4.6 asserts that  $p \in LP(X)$ , and we are done again.  $\square$

Our next goal is to prove that  $\mathbb{Q}$  is dense in  $\mathbb{R}$ . Just to make sense of that statement, we need to decide how to think of  $\mathbb{Q}$  as a subset of  $\mathbb{R}$ . For every rational number  $q \in \mathbb{Q}$ , define the corresponding real number as the Dedekind cut

$$i(q) = \{x \in \mathbb{Q} \mid x < q\}$$

For example,  $\mathbf{0} = i(0)$ . It can be verified that this gives a well-defined injective function  $i : \mathbb{Q} \rightarrow \mathbb{R}$ . We identify  $\mathbb{Q}$  with its image  $i(\mathbb{Q}) \subset \mathbb{R}$  so that the rational numbers  $\mathbb{Q}$  are a subset of the real numbers  $\mathbb{R}$ . (Similarly,  $\mathbb{N}$  and  $\mathbb{Z}$  can be understood as subsets of  $\mathbb{R}$ .)

<sup>2</sup>We may use this lemma since it does not depend on this result, Definition 6.8, or Lemma 6.9.

**Lemma 6.10.** *Given  $A, B \in \mathbb{R}$  with  $A < B$ , there exists  $p \in \mathbb{Q}$  such that  $A < i(p) < B$ .*

*Proof.* Since  $A < B$ , Definition 6.4 tells us that  $A \subsetneq B$ . Thus, by Definition 1.3, there exists a point  $q$  such that  $q \in B$  and  $q \notin A$ . Since  $q \in B$  where  $B$  is a Dedekind cut, we have by Definition 6.1 that there exists a point  $p \in B$  with  $p > q$ . Additionally, since  $q \notin A$  implies that  $q$  is an upper bound of  $A$  by Lemma 6.2, we know by Definition 5.6 that  $x \leq q$  for all  $x \in A$ . It follows since  $q < p$  that  $x \leq p$  for all  $x \in A$ , meaning by Definition 5.6 and Lemma 6.2 that  $p \notin A$ . Having established that  $p, q \in B$ ,  $p, q \notin A$ , and  $q < p$ , we are now ready to prove that  $A < i(p) < B$ . Definition 6.4 tells us that we may do so by showing that  $A \subsetneq i(p)$  and  $i(p) \subsetneq B$ . We will take this one argument at a time.

To show that  $A \subsetneq i(p)$ , Definition 1.3 tells us that it will suffice to verify that every element of  $A$  is an element of  $i(p)$  and that there exists an element of  $i(p)$  that is not an element of  $A$ . We treat the former statement first. As previously mentioned,  $x \leq p$  for all  $x \in A$ . This combined with the fact that  $p \notin A$  implies that  $x < p$  for all  $x \in A$ . Thus, by the definition of  $i(p)$ ,  $x \in i(p)$  for all  $x \in A$ , as desired. As to the latter statement, since  $q < p$ , we have by the definition of  $i(p)$  that  $q \in i(p)$ . However, we also know that  $q \notin A$ , as desired.

To show that  $i(p) \subsetneq B$ , we must verify symmetric arguments to before. For the former statement, let  $r$  be an arbitrary element of  $i(p)$ . Then by the definition of  $i(p)$ ,  $r < p$ . Since  $p \in B$  and  $r \in \mathbb{Q}$  satisfy  $r < p$ , we have by Definition 6.1 that  $r \in B$ , as desired. As to the latter statement,  $p$  is clearly an element of  $B$  that is not an element of  $i(p)$ , as desired.  $\square$

1/19: **Theorem 6.11.**  *$i(\mathbb{Q})$  is dense in  $\mathbb{R}$ .*

*Proof.* To prove that  $i(\mathbb{Q})$  is dense in  $\mathbb{R}$ , Definition 6.8 tells us that it will suffice to show the every point  $X \in \mathbb{R}$  is a limit point of  $i(\mathbb{Q})$ . Let  $X$  be an arbitrary element of  $\mathbb{R}$ . To show that  $X \in LP(i(\mathbb{Q}))$ , Definition 3.13 tells us that it will suffice to verify that for every region  $\underline{AB}$  with  $X \in \underline{AB}$ , we have  $\underline{AB} \cap (i(\mathbb{Q}) \setminus \{X\}) \neq \emptyset$ . Let  $\underline{AB}$  be an arbitrary region with  $X \in \underline{AB}$ . It follows by Definitions 3.10 and 3.6 that  $A < X < B$ . Thus, by Lemma 6.10, there exists  $p \in \mathbb{Q}$  such that  $A < i(p) < X < B$ . By Definitions 3.6 and 3.10,  $i(p) \in \underline{AB}$ . By Definition 1.18,  $i(p) \in i(\mathbb{Q})$ . By Exercise 6.5,  $i(p) < X$  implies that  $i(p) \neq X$ . Combining the last three results with Definitions 1.11 and 1.6, we have that  $i(p) \in \underline{AB} \cap (i(\mathbb{Q}) \setminus \{X\})$ , as desired.  $\square$

**Corollary 6.12** (The Archimedean Property). *Let  $A \in \mathbb{R}$  be a positive real number. Then there exist nonzero natural numbers  $n, m \in \mathbb{N}$  such that  $i(\frac{1}{n}) < A < i(m)$ .*

*Proof.* We will first prove that there exists a nonzero natural number  $n$  such that  $i(\frac{1}{n}) < A$ . We will then prove that there exists a nonzero natural number  $m$  such that  $A < i(m)$ . Let's begin.

Since  $A \in \mathbb{R}$  is positive, we know that  $0 < A$ . Thus, by Lemma 6.10, there exists  $\frac{p}{n} \in \mathbb{Q}$  such that  $0 < i(\frac{p}{n}) < A$ . As permitted by Exercise 3.9b, we choose  $\frac{p}{n} \in [\frac{p}{n}]$  to be an object such that  $0 < n$  (this also means that  $n \in \mathbb{N}$ ). Consequently, by Scripts 2 and 3, we know that  $0 < \frac{1}{n} \leq \frac{p}{n}$ . It follows that  $i(\frac{1}{n}) \leq i(\frac{p}{n})$  since  $x \in i(\frac{1}{n})$  implies  $x < \frac{1}{n} \leq \frac{p}{n}$  implies  $x \in i(\frac{p}{n})$ , implies  $i(\frac{1}{n}) \subset i(\frac{p}{n})$ . Therefore,  $i(\frac{1}{n}) \leq i(\frac{p}{n}) < A$ , as desired.

By Exercise 6.5, Axiom 3, and Definition 3.3, there exists a point  $B \in \mathbb{R}$  such that  $A < B$ . It follows by Lemma 6.10 that there exists  $\frac{m}{q} \in \mathbb{Q}$  such that  $A < i(\frac{m}{q}) < B$ . As before, let  $\frac{m}{q}$  be an object such that  $0 < q$ . Consequently, by Scripts 2 and 3, we know that  $0 < \frac{m}{q} \leq m$ . Once again, for the same reasons as before,  $i(\frac{m}{q}) \leq i(m)$ . Therefore,  $A < i(\frac{m}{q}) \leq i(m)$ , as desired.  $\square$

**Corollary 6.13.**  *$i(\mathbb{N})$  is an unbounded subset of  $\mathbb{R}$ .*

*Proof.* Suppose for the sake of contradiction that  $i(\mathbb{N})$  is bounded above. Then by Definition 5.6, there exists a point  $A \in \mathbb{R}$  such that  $i(n) \leq A$  for all  $n \in \mathbb{N}$ . Note that  $A$  is a positive real number since  $i(0) < i(0) \leq A$ . But by Corollary 6.12,  $A < i(n)$  for some  $n \in \mathbb{N}$ , a contradiction.  $\square$

1/21: **Corollary 6.14.** *If  $A \in \mathbb{R}$  is a real number, then there is an integer  $n$  such that  $i(n-1) \leq A < i(n)$ .*

*Proof.* Let  $X$  be the set of all integers  $z$  such that  $i(z) \leq A$ . Symbolically,

$$X = \{z \mid z \in \mathbb{Z} \text{ and } i(z) \leq A\}$$

Since  $A \neq \emptyset$  by Definition 6.1, there exists a point  $\frac{p}{q} \in \mathbb{Q}$  such that  $\frac{p}{q} \in A$ . As in Corollary 6.12, we let  $q > 0$ . It follows by Scripts 2 and 3 that if  $p \geq 0$ , then  $0 \leq \frac{p}{q}$ , i.e.<sup>[3]</sup>,  $i(0) \leq A$  and if  $p < 0$ , then  $p \leq \frac{p}{q}$ , i.e.,  $i(p) \leq A$ . Thus, in either case,  $X$  is nonempty.

Now there exists a nonzero natural number  $m$  such that  $A < i(m)$  (if  $A \leq i(0)$ , then  $A < i(1)$ ; if  $A > 0$ , then apply Corollary 6.12). Let  $f : X \rightarrow \mathbb{N}$  be defined by the rule

$$f(x) = m - x$$

By Script 1,  $f$  is an injective function,  $f(X) \subset \mathbb{N}$ , and  $f(X)$  is nonempty (since  $X$  is nonempty). Thus, by the well-ordering principle (Additional Exercise 0.1), there is a least element, which we shall call  $y$ , in  $f(X)$ . Since  $f$  is injective, there exists exactly one object  $n-1 \in X$  such that  $f(n-1) = y$ .

By the definition of  $X$ ,  $i(n-1) \leq A$ . To prove that  $A < i(n)$ , suppose for the sake of contradiction that  $i(n) \leq A$ . This coupled with the fact that  $n \in \mathbb{Z}$  implies that  $n \in X$ . Thus,  $f(n) \in f(X)$ . But  $f(n) = m - n < m - n + 1 = m - (n-1) = f(n-1)$ , contradicting the fact that  $f(n-1)$  is the least element of  $f(X)$ .  $\square$

1/26: **Axiom 1.** *The continuum contains a countable dense subset.*

**Definition 6.15.** Let  $X$  and  $Y$  be sets with orderings  $<_X$  and  $<_Y$ , respectively. A function  $f : X \rightarrow Y$  is **order-preserving** if for all  $r, s \in X$ ,

$$r <_X s \implies f(r) <_Y f(s)$$

Note that the function  $i : \mathbb{Q} \rightarrow \mathbb{R}$  discussed above is order-preserving.

**Exercise 6.16.** Let  $C$  satisfy Axioms 1-5. Let  $K \subset C$  be a countable dense subset of  $C$ . Construct an order-preserving bijection  $f : \mathbb{Q} \rightarrow K$ .

**Lemma.**

a)  $K$  satisfies Axiom 3.

b) (Density Lemma) *For all  $x, y \in K$ , if  $x < y$ , then there exists a point  $z \in K$  such that  $z$  is between  $x$  and  $y$ .*

*Proof of a.* To prove that  $K$  satisfies Axiom 3, we must verify that  $K$  has neither a first nor a last point. We will address the first point question first. Suppose for the sake of contradiction that  $K$  has a first point  $x$ . Then by Definition 3.3,  $x \leq y$  for all  $y \in K$ . However, since  $C$  satisfies Axiom 3, there exists an object  $a \in C$  such that  $a < x$ . Now consider the region  $\underline{ax}$ . We have by Corollary 5.3 that there exists a point  $p \in \underline{ax}$ . Additionally, we have by Script 3 that  $\underline{ax} \cap K = \emptyset$ . Thus,  $\underline{ax} \cap (K \setminus \{p\}) = \emptyset$ , implying by Definition 3.13 that  $p \notin LP(K)$ . But since  $p \in C$  and  $p \notin LP(K)$ , we have by Definition 6.8 that  $K$  is not dense in  $C$ , a contradiction.

The proof is symmetric for last points.  $\square$

*Proof of b.* Suppose for the sake of contradiction that that there exist  $x, y \in K$  with  $x < y$  such that no point  $z \in K$  is between  $x$  and  $y$ . By Theorem 5.2, there exists  $p \in C$  such that  $p$  is between  $x$  and  $y$ . Consequently, by Definition 3.10,  $p \in \overline{xy}$ . Additionally, we have by Script 3 that  $\overline{xy} \cap K = \emptyset$ . It follows that  $\overline{xy} \cap (K \setminus \{p\}) = \emptyset$ , implying by Definition 3.13 that  $p \notin LP(K)$ . But since  $p \in \overline{C}$  and  $p \notin LP(K)$ , we have by Definition 6.8 that  $K$  is not dense in  $C$ , a contradiction.  $\square$

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<sup>3</sup>For the same reasons as in Corollary 6.12.



*Proof of Exercise 6.16.* By Theorem 2.11,  $\mathbb{Q}$  is countable, implying by Definition 1.35 that there exists a bijection  $g : \mathbb{N} \rightarrow \mathbb{Q}$ . The existence of this bijection means that we can refer to an arbitrary element  $q$  of  $\mathbb{Q}$  by the number  $n$  for which  $g(n) = q$ ; in another notation, we can refer to  $q$  as  $q_n$ . Thus, since every element of  $\mathbb{Q}$  can be written as  $q_n$  for some  $n \in \mathbb{N}$ , we can write  $\mathbb{Q} = \{q_1, q_2, \dots\}$ . Similarly, we can express  $K$  as  $K = \{k_1, k_2, \dots\}$ . We will use this method of referring to the elements of  $\mathbb{Q}$  to construct  $f$ .

We define  $f$  recursively with strong induction. For the base case  $q_1$ , we define  $f(q_1) = k_1$ . Now suppose inductively that we have defined  $f(q_1), f(q_2), \dots, f(q_n)$ ; we now seek to define  $f(q_{n+1})$ . By Theorem 3.5, the symbols  $a_1, \dots, a_{n+1}$  can be assigned to  $q_1, \dots, q_{n+1}$  so that  $a_1 <_{\mathbb{Q}} a_2 <_{\mathbb{Q}} \dots <_{\mathbb{Q}} a_{n+1}$ . We divide into three cases ( $q_{n+1} = a_1$ ,  $q_{n+1} = a_{n+1}$ , and  $q_{n+1} = a_i$  where  $1 < i < n+1$ ). First, suppose that  $q_{n+1} = a_1$ . By the inductive hypothesis,  $f(a_2), f(a_3), \dots, f(a_{n+1})$  are defined elements of  $K$ . At this point, define the set  $X = \{k \in K \mid k <_K f(a_2)\}$ . It follows by Lemma (a) that this set is nonempty. Thus, by the well-ordering principle, there exists a  $k_i \in X$  such that  $i \leq j$  for all  $k_j \in X$ . We let  $f(q_{n+1}) = k_i$ . The second case is symmetric to the first. Third, suppose that  $q_{n+1} = a_i$  where  $1 < i < n+1$ . By the inductive hypothesis,  $f(a_1), \dots, f(a_{i-1}), f(a_{i+1}), \dots, f(a_{n+1})$  are defined elements of  $K$ . At this point, define the set  $X = \{k \in K \mid f(a_{i-1}) <_K k <_K f(a_{i+1})\}$ . It follows by Lemma (b) that this set is nonempty. Thus, by the well-ordering principle, there exists a  $k_i \in X$  such that  $i \leq j$  for all  $k_j \in X$ . We let  $f(q_{n+1}) = k_i$ .

To prove that  $f$  is a function, Definition 1.16 tells us that it will suffice to show that for all  $q \in \mathbb{Q}$ , there exists a unique  $k \in K$  such that  $f(q) = k$ . First, we will prove that for all  $q \in \mathbb{Q}$ , there exists *some*  $k \in K$  such that  $f(q) = k$ . Let  $q_i$  be an arbitrary element of  $\mathbb{Q}$ . Then  $i \in \mathbb{N}$ , and by the principle of strong mathematical induction (Additional Exercise 0.2b),  $f(q_i)$  is assigned to an element of  $k$ . As to proving the uniqueness of the  $k$  to which  $q_i$  is defined, each  $q$  is assigned once, in one of three mutually exclusive cases, to an unambiguously defined (as guaranteed by the well-ordering principle) element of  $K$ .

To prove that  $f$  is order-preserving, we will first verify the following claim (which we will refer to as Lemma (c) for future reference): Consider the set  $\{q_1, \dots, q_n\} \subset \mathbb{Q}$ ; if the symbols  $a_1, \dots, a_n$  are assigned to  $q_1, \dots, q_n$  such that  $a_1 <_{\mathbb{Q}} a_2 <_{\mathbb{Q}} \dots <_{\mathbb{Q}} a_n$ , then  $f(a_1) <_K f(a_2) <_K \dots <_K f(a_n)$ . We will then use this result to prove that  $f$  is order-preserving for any two arbitrary elements  $q_i, q_j \in \mathbb{Q}$ . Let's begin.

To verify the above claim, we induct on  $n$ . The base case  $n = 1$  is vacuously true. Now suppose inductively that we have proven the claim for  $n$ ; we now seek to prove it for  $n+1$ . By Theorem 3.5, the symbols  $a_1, \dots, a_{n+1}$  can be assigned to  $q_1, \dots, q_{n+1}$  so that  $a_1 <_{\mathbb{Q}} a_2 <_{\mathbb{Q}} \dots <_{\mathbb{Q}} a_{n+1}$ . We divide into three cases ( $q_{n+1} = a_1$ ,  $q_{n+1} = a_{n+1}$ , and  $q_{n+1} = a_i$  where  $1 < i < n+1$ ). First, suppose that  $q_{n+1} = a_1$ . By the definition of  $f$ ,  $f(q_{n+1}) \in \{k \in K \mid k <_K f(a_2)\}$ , meaning that  $f(q_{n+1}) = f(a_1) <_K f(a_2)$ . Additionally, by the inductive hypothesis, we know that  $f(a_2) <_K f(a_3) <_K \dots <_K f(a_{n+1})$  (since  $a_2, \dots, a_{n+1}$  correspond to  $q_1, \dots, q_n$ ). Together, these two results imply that  $f(a_1) <_K f(a_2) <_K \dots <_K f(a_{n+1})$ . The proof of the second case is symmetric to that of the first. Third, suppose that  $q_{n+1} = a_i$  where  $1 < i < n+1$ . By the definition of  $f$ ,  $f(q_{n+1}) \in \{k \in K \mid f(a_{i-1}) <_K k <_K f(a_{i+1})\}$ , meaning that  $f(a_{i-1}) <_K f(q_{n+1}) = f(a_i) <_K f(a_{i+1})$ . Additionally, by the inductive hypothesis, we know that  $f(a_1) <_K \dots <_K f(a_{i-1}) <_K f(a_{i+1}) <_K \dots <_K f(a_{n+1})$  (for an analogous reason to before). These two results imply that  $f(a_1) <_K f(a_2) <_K \dots <_K f(a_{n+1})$ .

We are now ready to actually prove that  $f$  is order-preserving. To do so, Definition 6.15 tells us that it will suffice to show that for all  $q_i, q_j \in \mathbb{Q}$ ,  $q_i <_{\mathbb{Q}} q_j$  implies  $f(q_i) <_K f(q_j)$ . Let  $q_i, q_j$  be arbitrary elements of  $\mathbb{Q}$  such that  $q_i <_{\mathbb{Q}} q_j$ . Since  $q_i <_{\mathbb{Q}} q_j$ ,  $q_i \neq q_j$ , implying that  $i \neq j$ . We divide into two cases ( $i < j$  and  $i > j$ ). Suppose first that  $i < j$ . By Theorem 3.5, the symbols  $a_1, \dots, a_j$  can be assigned to  $q_1, \dots, q_j$  so that  $a_1 <_{\mathbb{Q}} a_2 <_{\mathbb{Q}} \dots <_{\mathbb{Q}} a_j$ . Let  $q_j = a_l$ . Since  $q_i <_{\mathbb{Q}} q_j$ , we know that  $q_i = a_m$  where  $m < l$ . Additionally, by Lemma (c), we know that  $f(a_1) <_K f(a_2) <_K \dots <_K f(a_j)$ . It follows that  $f(a_m) <_K f(a_l)$ , implying that  $f(q_i) <_K f(q_j)$ , as desired. The proof is symmetric in the other case.

To prove that  $f$  is bijective, Definition 1.20 tells us that it will suffice to show that  $f$  is injective and surjective.

To show that  $f$  is injective, Definition 1.20 tells us that it will suffice to demonstrate that  $q_i \neq q_j$  implies  $f(q_i) \neq f(q_j)$ . WLOG let  $q_i <_{\mathbb{Q}} q_j$ . Then since  $f$  is order-preserving, Definition 6.15 implies that  $f(q_i) <_K f(q_j)$ . It follows that  $f(q_i) \neq f(q_j)$ , as desired.

We are now ready to actually show that  $f$  is surjective. To do so, Definition 1.20 tells us that it will suffice to demonstrate that for all  $k_n \in K$ , there exists a  $q_i \in \mathbb{Q}$  such that  $f(q_i) = k_n$ . To do this, we induct on  $n$ . For the base case  $n = 1$ , it follows from the definition of  $f$  that  $f(q_1) = k_1$ . Now suppose inductively that for each  $k_1, \dots, k_n$ , there exists a  $q_i \in \mathbb{Q}$  such that  $f(q_i) = k_n$ ; we now seek to prove the claim for  $n+1$ .

By Theorem 3.5, the symbols  $b_1, \dots, b_{n+1}$  can be assigned to  $k_1, \dots, k_{n+1}$  so that  $b_1 <_K b_2 <_K \dots <_K b_{n+1}$ . We divide into three cases ( $k_{n+1} = b_1$ ,  $k_{n+1} = b_{n+1}$ , and  $k_{n+1} = b_i$  where  $1 < i < n+1$ ). First, suppose that  $k_{n+1} = b_1$ . By the inductive hypothesis,  $b_2 = f(q_i) <_K b_3 = f(q_j) <_K \dots <_K b_{n+1} = f(q_l)$ . It follows by Definition 6.15 that  $q_i <_{\mathbb{Q}} q_j <_{\mathbb{Q}} \dots <_{\mathbb{Q}} q_l$ . At this point, define the set  $X = \{q \in \mathbb{Q} \mid q <_{\mathbb{Q}} q_i\}$ . It follows from Exercise 3.9d that this set is nonempty. Thus, by the well-ordering principle, there exists a  $q_m \in X$  such that  $m \leq m'$  for all  $q_{m'} \in X$ . By the definition of  $f$ ,  $f(q_m) = k_{n+1}$ . The proof of the second case is symmetric to that of the first. Third, suppose that  $k_{n+1} = b_i$  where  $1 < i < n+1$ . By the inductive hypothesis,  $b_2 = f(q_j) <_K \dots <_K b_{i-1} = f(q_{j'}) <_K b_{i+1} = f(q_l) <_K \dots <_K b_{n+1} = f(q_{l'})$ . It follows by Definition 6.15 that  $q_j <_{\mathbb{Q}} \dots <_{\mathbb{Q}} q_{j'} <_{\mathbb{Q}} q_l <_{\mathbb{Q}} \dots <_{\mathbb{Q}} q_{l'}$ . At this point, define the set  $X = \{q \in \mathbb{Q} \mid q_{j'} <_{\mathbb{Q}} q <_{\mathbb{Q}} q_l\}$ . It follows from Additional Exercise 3.1 that this set is nonempty. Thus, by the well-ordering principle, there exists a  $q_m \in X$  such that  $m \leq m'$  for all  $q_{m'} \in X$ . By the definition of  $f$ ,  $f(q_m) = k_{n+1}$ .  $\square$

**Exercise 6.17.** Let  $f : \mathbb{Q} \rightarrow K$  be an order-preserving bijection, as found in Exercise 6.16. Let  $A \in \mathbb{R}$ . Then  $A \subset \mathbb{Q}$  and so  $f(A) \subset K \subset C$ . Define  $F : \mathbb{R} \rightarrow C$  by

$$F(A) = \sup f(A)$$

1. Show  $\sup f(A)$  exists, so  $F$  is well-defined.
2. Show  $F$  is injective and order-preserving.

*Proof of 1.* To prove that  $\sup f(A)$  exists, Theorem 5.17 tells us that it will suffice to show that  $f(A)$  is nonempty and bounded above. To show that  $f(A)$  is nonempty, Definition 1.8 tells us that it will suffice to find an element of  $f(A)$ . By Definition 6.1,  $A \neq \emptyset$ . Thus, by Definition 1.8, there exists an object  $x \in A$ . It follows by Definition 1.18 that  $f(x) \in f(A)$ , as desired. To show that  $f(A)$  is bounded above, Definition 5.6 tells us that it will suffice to find an element of  $K$  such that  $f(x) \geq_C f(a)$  for all  $f(a) \in f(A)$ . By Definition 6.1,  $A \neq \mathbb{Q}$  and  $A \subset \mathbb{Q}$ . Thus, by Definition 1.2, there exists an object  $x \in \mathbb{Q}$  such that  $x \notin A$ . It follows from the latter condition by Lemma 6.2 that  $x$  is an upper bound for  $A$ . Thus, by Definition 5.6,  $x \geq a$  for all  $a \in A$ . Consequently, by Definition 6.15,  $f(x)$  is an element of  $K$  such that  $f(x) \geq_C f(a)$  for all  $f(a) \in f(A)$ , as desired.  $\square$

*Proof of 2.* To prove that  $F$  is order-preserving, Definition 6.15 tells us that it will suffice to show that for all  $A, B \in \mathbb{R}$ ,  $A <_{\mathbb{R}} B$  implies  $F(A) <_C F(B)$ . Let  $A, B$  be two arbitrary elements of  $\mathbb{R}$  satisfying  $A <_{\mathbb{R}} B$ . Then by Definitions 6.4 and 1.3, there exists a point  $x \in B$  such that  $x \notin A$ . It follows from the latter condition by Lemma 6.2 and Definition 5.6 that  $x \geq a$  for all  $a \in A$ . Thus, by Definition 6.15,  $f(x) \geq_C f(a)$  for all  $f(a) \in f(A)$ . Consequently, by Definition 5.7,  $\sup f(A) \leq_C f(x)$ . Additionally, by Definition 6.1, there exists a point  $y \in B$  such that  $y > x$ . Thus, by Definition 6.15, we have that  $f(y) >_C f(x)$ . It follows by Definitions 5.6 and 5.7 that  $f(y) \leq_C \sup f(B)$ . Combining two results, we therefore have that  $\sup f(A) \leq_C f(x) <_C f(y) \leq_C \sup f(B)$ , meaning that  $F(A) = \sup f(A) <_C \sup f(B) = F(B)$ , as desired.

To prove that  $F$  is injective, Definition 1.20 tells us that it will suffice to show that if  $A \neq B$ , then  $F(A) \neq F(B)$ . Let  $A, B$  be two distinct real numbers. Then by Exercise 6.5,  $A < B$  or  $B < A$ . We now divide into two cases. Suppose first that  $A < B$ . Then  $F(A) < F(B)$  by Definition 6.15 (which we have just proven applies to  $F$ ). This implies by Definition 3.1 that  $F(A) \neq F(B)$ , as desired. The proof is symmetric in the other case.  $\square$

**Theorem 6.18.** Suppose that  $C$  is a continuum satisfying Axioms 1-5. Then  $C$  is isomorphic to the real numbers  $\mathbb{R}$ ; i.e., there is an order-preserving bijection  $F : \mathbb{R} \rightarrow C$ .

**Lemma.** Let  $K$  be a dense subset of  $C$ . For all  $x, y \in C$ , if  $x < y$ , then there exists a point  $z \in K$  such that  $z$  is between  $x$  and  $y$ .

*Proof.* Suppose for the sake of contradiction that there exist two points  $x, y \in C$  with  $x < y$  such that no point  $z \in K$  is between  $x$  and  $y$ . By Corollary 5.3, the region  $xy$  is infinite. Thus, we can pick a point  $p \in xy$ . Additionally, by Definition 1.6, we have that  $xy \cap K = \emptyset$ . Thus,  $xy \cap (K \setminus \{p\}) = \emptyset$ , implying by Definition 3.13 that  $p \notin LP(K)$ . But since  $p \in C$  and  $p \notin LP(K)$ , we have by Definition 6.8 that  $K$  is not dense in  $C$ , a contradiction.  $\square$

*Proof of Theorem 6.18.* By Axiom 1,  $C$  contains a countable dense subset  $K$ . By Exercise 6.16, there exists an order-preserving bijection  $f : \mathbb{Q} \rightarrow K$ . By Exercise 6.17, there exists an order-preserving injection  $F : \mathbb{R} \rightarrow C$ . To prove that there is an order-preserving bijection  $F : \mathbb{R} \rightarrow C$ , all that is left to do is to demonstrate that  $F$  (as defined in Exercise 6.17) is surjective.

To do this, Definition 1.20 tells us that it will suffice to show that for all  $X \in C$ , there exists an object  $A \in \mathbb{R}$  such that  $F(A) = X$ . Put more simply, we must find a Dedekind cut  $A$  such that  $\sup f(A) = X$  for every  $X \in C$ . To do this, we will begin by constructing the set  $S = \{k \in K \mid k < X\}$ . We will then verify that the preimage  $f^{-1}(S)$  is a Dedekind cut. Lastly, we will verify that  $\sup f(f^{-1}(S)) = X$ . Let's begin.

Let  $X$  be an arbitrary element of  $C$ . Define  $S$  as above. To verify that  $f^{-1}(S)$  is a Dedekind cut, Definition 6.1 tells us that it will suffice to confirm that  $f^{-1}(S) \neq \emptyset$ ;  $f^{-1}(S) \neq \mathbb{Q}$ ; if  $r \in f^{-1}(S)$  and  $s \in \mathbb{Q}$  satisfy  $s < r$ , then  $s \in f^{-1}(S)$ ; and if  $r \in f^{-1}(S)$ , then there is some  $s \in f^{-1}(S)$  with  $s > r$ . We will take this one claim at a time.

To confirm that  $f^{-1}(S) \neq \emptyset$ , Definition 1.8 tells us that it will suffice to find an element of  $f^{-1}(S)$ . By Axiom 3 and Definition 3.3, there exists some point  $Y \in C$  such that  $Y < X$ . Consequently, by the lemma and Definition 3.6, there exists a point  $f(p) \in K^{[4]}$  such that  $Y < f(p) < X$ . It follows by the definition of  $S$  that  $f(p) \in S$ . Therefore, by Definition 1.18,  $p \in f^{-1}(S)$ , as desired.

To confirm that  $f^{-1}(S) \neq \mathbb{Q}$ , Definition 1.2 tells us that it will suffice to find an element of  $\mathbb{Q}$  that is not an element of  $f^{-1}(S)$ . By Axiom 3 and Definition 3.3, there exists some point  $Y \in C$  such that  $X < Y$ . Consequently, by the lemma and Definition 3.6, there exists a point  $f(p) \in K$  such that  $X < f(p) < Y$ . It follows by the definition of  $S$  that  $f(p) \notin S$ . Therefore, by Definition 6.18,  $p \in \mathbb{Q}$  but  $p \notin f^{-1}(S)$ , as desired.

To confirm that if  $r \in f^{-1}(S)$  and  $s \in \mathbb{Q}$  satisfy  $s < r$ , then  $s \in f^{-1}(S)$ , we let  $r \in f^{-1}(S)$  and  $s \in \mathbb{Q}$  be arbitrary elements of their respective sets that satisfy  $s < r$  and seek to verify that  $s \in f^{-1}(S)$ . By Definition 1.18, the fact that  $r \in f^{-1}(S)$  implies that  $f(r) \in S$ . Thus, by the definition of  $S$ ,  $f(r) < X$ . Additionally, by the definition of  $f$  and Definition 6.15,  $f(s) \in K$  and  $f(s) < f(r)$ , respectively. Since  $f(s) < f(r)$  and  $f(r) < X$ , transitivity implies that  $f(s) < X$ . This combined with the previously established fact that  $f(s) \in K$  implies that  $f(s) \in S$ . Therefore, by Definition 1.18,  $s \in f^{-1}(S)$ , as desired.

To confirm that if  $r \in f^{-1}(S)$ , then there is some  $s \in f^{-1}(S)$  with  $s > r$ , we let  $r \in f^{-1}(S)$  and seek to find such an  $s$ . As before,  $r \in f^{-1}(S)$  implies that  $f(r) \in S$ . Thus, by the definition of  $S$ ,  $f(r) < X$ . It follows by the lemma and Definition 3.6 that there exists a point  $f(s) \in K$  such that  $f(r) < f(s) < X$ . Consequently, by the definition of  $S$ , we have that  $f(s) \in S$ . Therefore, by Definitions 1.18 and 6.15,  $s \in f^{-1}(S)$  and  $r < s$ , respectively, as desired.

Since  $f$  is bijective, Script 1 asserts that  $f(f^{-1}(S)) = S$ . Thus,  $\sup f(f^{-1}(S)) = \sup S$ . To verify that  $\sup S = X$ , Definition 5.7 tells us that it will suffice to confirm that  $X$  is an upper bound of  $S$  and if  $U$  is an upper bound of  $S$ ,  $X \leq U$ . To confirm the former statement, Definition 5.6 tells us that it will suffice to show that  $k \leq X$  for all  $k \in S$ . But by the definition of  $S$ , this is true. To confirm the latter statement, suppose for the sake of contradiction that there exists an upper bound  $U$  of  $S$  such that  $U < X$ . Since  $U < X$ , the lemma and Definition 3.6 imply that there exists a point  $Z \in K$  such that  $U < Z < X$ . It follows by the definition of  $S$  that  $Z \in S$ . Since there exists an element of  $S$  greater than  $U$ , Definition 5.6 asserts that  $U$  is not an upper bound of  $S$ , a contradiction.  $\square$

## 6.2 Discussion

- 1/12:     • Upper limit at signing up for 4-5 across the script.
- Lemma 6.2 is probably more straightforward using a contradiction argument.
- Briefly restate the algebra of Exercise 4.24 in Exercise 6.3c.
- 1/14:     • Turning in Script 5 journals is optional — it will boost your grade a bit if you do.
- Your journal grade will be whichever is higher: the average of all your journal grades with and without Script 5.

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<sup>4</sup>Note that we know that the element of  $K$  (the existence of which is implied by the lemma) can be written in the form  $f(p)$  because  $f$  is bijective.

- Script 5 will probably be due Wednesday, 1/20.
  - In Lemma 6.6, do we need to prove that the union of arbitrarily many Dedekind cuts is, itself, a Dedekind cut? Yes.
- 1/18:
- Is there a way to prove something else besides  $A$  is not open in Exercise 6.7?
    - This is probably it as far as proving that continua are connected.
    - It may not be possible to prove that *any* of the statements are wrong, but he's not sure.
  - Is Lemma 6.9 used in the proofs of any subsequent results, or is it just a less important result (hence the lemma designation)?
    - We can think of it as an alternate definition for density — we could prove Definition 6.8 from it.
  - Is my handwavey use of Scripts 2 and 3 ok in Corollary 6.12?
    - I'm fine.
  - Is there a simpler way to prove Corollaries 6.12 and 6.14?
    - hi
  - Is the math REU still running this summer?
    - He's not sure; UChicago's may not be NSF approved, hence why its not on the website rn.
  - What other summer opportunities would you recommend for a student at my level?
    - He did an REU at UWisconsin when he was an undergrad.
    - Sounds like its pretty much just REUs for undergrads.
    - I could ask around to see if anyone is a Knot Theorist/willing to sponsor me.
- 1/19:
- Easier Corollary 6.12:
    - Let  $B > A$ . Then  $A < i(\frac{m}{q}) < B$ . Then  $A < i(m)$ .
  - Several proofs were given for Corollary 6.14. One other correct one constructed the nonempty, bounded above set of all  $i(n)$  less than or equal to  $A$  and considered its supremum.
- 1/21:
- Now graded a bit more critically on presentations.
    - Write big, talk loudly, don't talk to the blackboard.
  - My original proof of Corollary 6.14 is incorrect because I can't split into cases the way I did (*longer expo*).
    - Instead, use Seb's approach.
- 1/26:
- Stray thoughts on Exercise 6.16:
    - Any property we can prove for  $\mathbb{Q}$  (e.g., betweenness, Axioms 1-3, etc.) we should be able to prove for  $K$ .
      - \* Many of these follow from  $\mathbb{Q}$ 's density! This is how we can make use of this condition.
    - We think of 0 as being somehow the "midpoint" of  $\mathbb{Q}$ . But since  $\mathbb{Q}$  diverges in both directions, it doesn't really have a midpoint; we just assert this rather arbitrary structure on a more foundational algebraic construct.
      - \* The same would hold for  $K$ . Thus, we can choose an arbitrary point  $x \in K$  and let it be the "midpoint," i.e., let  $f(0) = x$ .
    - Can we induct on the elements of  $\mathbb{Q}$ ? Since there exists a bijection  $\mathbb{Q} \rightarrow \mathbb{N}$ .

- We can construct an order preserving bijection between any finite subsets of  $\mathbb{Q}$  and  $K$  with equal cardinality.
  - $f : \mathbb{Q} \rightarrow K$ ,  $g : \mathbb{N} \rightarrow \mathbb{Q}$ ,  $h : \mathbb{N} \rightarrow K$ . If  $g(n) < g(n')$ , then  $h(n) < h(n')$ .
  - Let  $h(n) < h(n')$ . WLOG let  $n < n'$ , too. Now consider  $N = \{n \in \mathbb{N} \mid n \leq n'\}$ . This is a finite set. Now create a new set  $g(N)$ . There will be an order-preserving bijection  $\tilde{f} : h(N) \rightarrow g(N)$ .
  - Let  $g : \mathbb{N} \rightarrow \mathbb{Q}$  be a bijection (we know one exists by countability). We presently seek to define  $h : \mathbb{N} \rightarrow K$  recursively. Let  $x_1$  be an arbitrary element of  $K$  (Axiom 1). We define  $h(1) = x_1$ . Now suppose inductively that we have defined  $h(n)$ . We now seek to define  $h(n+1)$ . Consider the set  $A = \{g(m) \mid m \leq n+1\}$ . By Theorem 3.5, we can assign the symbols  $a_1, \dots, a_{n+1}$  to each point of  $A$  so that  $a_1 < a_2 < \dots < a_{n+1}$ . We know that  $g(n+1) = a_i$  for some  $i \in [n+1]$ . We divide into three cases ( $g(n+1) = b_1$ ,  $g(n+1) = b_{n+1}$ , and  $g(n+1) = b_i$  where  $1 < i < n+1$ ). First, suppose that  $g(n+1) = b_1$ . By the inductive hypothesis,  $h(g^{-1}(b_2)) \in K$ . By Axiom 3,  $h(g^{-1}(b_2))$  is not the first point of  $K$ . Thus, there exists an  $x \in K$  such that  $x < h(g^{-1}(b_2))$ . Consequently, let  $h(n+1) = x$ . The proof of the second case is symmetric to that of the first. Third, suppose that  $g(n+1) = b_i$  where  $1 < i < n+1$ . By the inductive hypothesis,  $h(g^{-1}(b_{i-1})), h(g^{-1}(b_{i+1})) \in K$ . Thus, there exists an  $x \in K$  such that  $h(b_{i-1}) < x < h(b_{i+1})$ . Consequently, let  $h(n+1) = x$ .
  - We define  $f : \mathbb{Q} \rightarrow K$  by  $f(p) = h(g^{-1}(p))$ .
  - Function diagram: The characteristic of an order preserving bijection is no intersections between lines connecting elements of different sets.
- Do we need to have subscripts on our orderings? Yes.
  - The canonical way of doing Exercise 6.16 is with the **back and forth method**.
    - Because both are countable,  $\mathbb{Q} = \{q_1, q_2, \dots\}$ . Likewise,  $K = \{k_1, k_2, \dots\}$ .
    - To create the bijection, we have two repeating steps.
      1. Let  $i$  be the smallest index such that  $q_i$  has not been paired. Let  $j$  be an index such that  $k_j$  hasn't been paired, and assigning  $f(q_i) = k_j$  preserves ordering (we have to prove that such a  $j$  exists). To prove this, we know that we can order the elements of  $\mathbb{Q}$  that have already been paired. We can also order the elements of  $K$  that have already been paired. Case 1:  $q_i$  is between some preexisting  $q$ 's. Then there exists some  $k_j$  between. Case 2:  $q_i < \dots < q_n$  implies there exists some  $k_j$  less than all other  $k$  so far. Case 3:  $q_i$  is a last element; symmetric to Case 2.
      2. Smallest  $j$ , smallest  $i$  such that order is preserved. Then we let  $f(q_i) = k_j$ .
      3. Repeat.
    - Injectivity: Suppose  $f(q_i) = f(q_j)$ . Each  $q_k$  is assigned to a unique  $k_k$ , so if they're equal, they must have been assigned at the same time. Therefore,  $q_i = q_j$ .
    - Surjectivity: Let  $k_j \in K$ . By  $j$ th step at most,  $k_j$  will be paired.
  - Do summer research things every happen with graduate students, or is it just with professors? It pretty much only happens with professors, but DRP could be a good way to get your foot in the door.

# Script 7

## The Field Axioms

### 7.1 Journal

1/28: **Definition 7.1.** A **binary operation** on a set  $X$  is a function

$$f : X \times X \rightarrow X$$

We say that  $f$  is **associative** if

$$f(f(x, y), z) = f(x, f(y, z)) \quad \text{for all } x, y, z \in X$$

We say that  $f$  is **commutative** if

$$f(x, y) = f(y, x) \quad \text{for all } x, y \in X$$

An **identity element** of a binary operation  $f$  is an element  $e \in X$  such that

$$f(x, e) = f(e, x) = x \quad \text{for all } x \in X$$

**Remark 7.2.** Frequently, we denote a binary operation differently. If  $*$  :  $X \times X \rightarrow X$  is the binary operation, we often write  $a * b$  in place of  $*(a, b)$ . We sometimes indicate this same operation by writing  $(a, b) \mapsto a * b$ .

**Exercise 7.3.** Rewrite Definition 7.1 using the notation of Remark 7.2.

*Answer.* A **binary operation** on a set  $X$  is a function

$$* : X \times X \rightarrow X$$

We say that  $*$  is **associative** if

$$(x * y) * z = x * (y * z) \quad \text{for all } x, y, z \in X$$

We say that  $*$  is **commutative** if

$$x * y = y * x \quad \text{for all } x, y \in X$$

An **identity element** of a binary operation  $*$  is an element  $e \in X$  such that

$$x * e = e * x = x \quad \text{for all } x \in X$$

□

**Examples 7.4.**

1. The function  $+: \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z}$  which sends a pair of integers  $(m, n)$  to  $+(m, n) = m + n$  is a binary operation on the integers, called addition. Addition is associative, commutative, and has identity element 0.

2. The maximum of  $m$  and  $n$ , denoted  $\max(m, n)$ , is an associative and commutative binary operation on  $\mathbb{Z}$ . Is there an identity element for  $\max$ ?

*Proof.* Suppose for the sake of contradiction that there exists an identity element  $e$  for  $\max$ . But  $\max(e - 1, e) = e \neq e - 1$ , a contradiction. Therefore, no identity element exists for  $\max$ .  $\square$

3. Let  $\wp(Y)$  be the power set of a set  $Y$ . Recall that the power set consists of all subsets of  $Y$ . Then the intersection of sets,  $(A, B) \mapsto A \cap B$ , defines an associative and commutative binary operation on  $\wp(Y)$ . Is there an identity element for  $\cap$ ?

*Proof.* Clearly,  $Y \in \wp(Y)$ . By Script 1,  $Y \cap A = A \cap Y = A$  where  $A \subset Y$ . Therefore,  $Y$  is an identity element for  $\cap$ .  $\square$

**Exercise 7.5.** Find a binary operation on a set that is not commutative. Find a binary operation on a set that is not associative.

*Proof.* We will prove that the subtraction operation on the integers  $(- : \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z})$  is not commutative or associative. To prove that it's not commutative, Definition 7.1 tells us that it will suffice to show that  $x - y \neq y - x$  for some  $x, y \in \mathbb{Z}$ . Since  $2 - 1 = 1$  but  $1 - 2 = -1$ , we can see that  $1, 2 \in \mathbb{Z}$  clearly meet this requirement. To prove that it's not associative, Definition 7.1 tells us that it will suffice to show that  $(x - y) - z \neq x - (y - z)$  for some  $x, y, z \in \mathbb{Z}$ . Since  $(3 - 2) - 1 = 0$  but  $3 - (2 - 1) = 2$ , we can see that  $1, 2, 3 \in \mathbb{Z}$  clearly meet this requirement.  $\square$

**Exercise 7.6.** Let  $X$  be a finite set, and let  $Y = \{f : X \rightarrow X \mid f \text{ is bijective}\}$ . Consider the binary operation of composition of functions, denoted  $\circ : Y \times Y \rightarrow Y$  and defined by  $(f \circ g)(x) = f(g(x))$  as seen in Definition 1.25. Decide whether or not composition is commutative and/or associative and whether or not it has an identity.

*Proof.* To prove that composition is not commutative, Definition 7.1 tells us that it will suffice to find a finite set  $X$  paired with two bijections in  $Y$  that do not commute. Let  $X = \{1, 2, 3\}$  and consider the bijections  $f : X \rightarrow X$  (defined by  $f(1) = 2, f(2) = 3, f(3) = 1$ ) and  $g : X \rightarrow X$  (defined by  $g(1) = 1, g(2) = 3, g(3) = 2$ ). In this case,  $f \circ g$  would be defined by  $f(g(1)) = 2, f(g(2)) = 1$ , and  $f(g(3)) = 3$ , but  $g \circ f$  would be defined by  $g(f(1)) = 3, g(f(2)) = 2$ , and  $g(f(3)) = 1$ .

To prove that composition is associative, Definition 7.1 tells us that it will suffice to show that  $((f \circ g) \circ h)(x) = (f \circ (g \circ h))(x)$ . We may do this with the following algebra.

$$\begin{aligned} ((f \circ g) \circ h)(x) &= (f \circ g)(h(x)) \\ &= f(g(h(x))) \\ &= f((g \circ h)(x)) \\ &= (f \circ (g \circ h))(x) \end{aligned}$$

With respect to any finite set  $X$ , there will always be a bijection  $i : X \rightarrow X$  defined by  $i(x) = x$ . To prove that  $i$  is an identity element, Definition 7.1 tells us that it will suffice to show that for all  $f \in Y$ ,  $f \circ i = i \circ f = f$ . We may do this with the following algebra.

$$\begin{aligned} (f \circ i)(x) &= f(i(x)) \\ &= f(x) \\ &= i(f(x)) \\ &= (i \circ f)(x) \end{aligned}$$

$\square$

**Theorem 7.7.** Identity elements are unique. That is, suppose that  $f$  is a binary operation on a set  $X$  that has two identity elements  $e$  and  $e'$ . Then  $e = e'$ .

*Proof.* Let  $f : X \times X \rightarrow X$  be a binary operation on a set  $X$  with two identity elements  $e, e'$ . By Definition 7.1, we know that  $f(e, e') = e$  and  $f(e, e') = e'$ . Since  $f$  is a well-defined function by definition, it must be that  $e = f(e, e') = e'$ .  $\square$

**Definition 7.8.** A **field** is a set  $F$  with two binary operations on  $F$  called addition, denoted  $+$ , and multiplication, denoted  $\cdot$ , satisfying the following **field axioms**:

FA1 (Commutativity of Addition) For all  $x, y \in F$ ,  $x + y = y + x$ .

FA2 (Associativity of Addition) For all  $x, y, z \in F$ ,  $(x + y) + z = x + (y + z)$ .

FA3 (Additive Identity) There exists an element  $0 \in F$  such that  $x + 0 = 0 + x = x$  for all  $x \in F$ .

FA4 (Additive Inverses) For any  $x \in F$ , there exists  $y \in F$  such that  $x + y = y + x = 0$ , called an additive inverse of  $x$ .

FA5 (Commutativity of Multiplication) For all  $x, y \in F$ ,  $x \cdot y = y \cdot x$ .

FA6 (Associativity of Multiplication) For all  $x, y, z \in F$ ,  $(x \cdot y) \cdot z = x \cdot (y \cdot z)$ .

FA7 (Multiplicative Identity) There exists an element  $1 \in F$  such that  $x \cdot 1 = 1 \cdot x = x$  for all  $x \in F$ .

FA8 (Multiplicative Inverses) For any  $x \in F$  such that  $x \neq 0$ , there exists  $y \in F$  such that  $x \cdot y = y \cdot x = 1$ , called a multiplicative inverse of  $x$ .

FA9 (Distributivity of Multiplication over Addition) For all  $x, y, z \in F$ ,  $x \cdot (y + z) = x \cdot y + x \cdot z$ .

FA10 (Distinct Additive and Multiplicative Identities)  $1 \neq 0$ .

**Exercise 7.9.** Consider the set  $\mathbb{F}_2 = \{0, 1\}$ , and define binary operations  $+$  and  $\cdot$  on  $\mathbb{F}_2$  by

$$\begin{array}{llll} 0 + 0 = 0 & 0 + 1 = 1 & 1 + 0 = 1 & 1 + 1 = 0 \\ 0 \cdot 0 = 0 & 0 \cdot 1 = 0 & 1 \cdot 0 = 0 & 1 \cdot 1 = 1 \end{array}$$

Show that  $\mathbb{F}_2$  is a field.

*Proof.* To prove that  $\mathbb{F}_2$  obeys FA1 from Definition 7.8, it will suffice to show that  $0 + 0 = 0 + 0$ ,  $0 + 1 = 1 + 0$ , and  $1 + 1 = 1 + 1$ . The first and third of these are evidently true. For the second, we have  $0 + 1 = 1 = 1 + 0$ , so it is good, too.

To prove that  $\mathbb{F}_2$  obeys FA2 from Definition 7.8, the following casework will suffice.

$$\begin{array}{ll} (0 + 0) + 0 = 0 = 0 + (0 + 0) & (0 + 0) + 1 = 1 = 0 + (0 + 1) \\ (0 + 1) + 0 = 1 = 0 + (1 + 0) & (1 + 0) + 0 = 1 = 1 + (0 + 0) \\ (0 + 1) + 1 = 0 = 0 + (1 + 1) & (1 + 1) + 0 = 0 = 1 + (1 + 0) \\ (1 + 0) + 1 = 0 = 1 + (0 + 1) & (1 + 1) + 1 = 1 = 1 + (1 + 1) \end{array}$$

To prove that  $\mathbb{F}_2$  obeys FA3 from Definition 7.8, it will suffice to find an element  $0 \in \mathbb{F}_2$  such that  $x + 0 = 0 + x = x$ . Since  $0 + 0 = 0$ ,  $1 + 0 = 0$ , and with commutativity, it is clear that  $0$  is an additive identity in  $\mathbb{F}_2$ .

To prove that  $\mathbb{F}_2$  obeys FA4 from Definition 7.8, it will suffice to show that for all  $x \in \mathbb{F}_2$ , there exists a  $y \in \mathbb{F}_2$  such that  $x + y = y + x = 0$ . For  $0$ , this object is  $0$  (since  $0 + 0 = 0 + 0 = 0$ ), and for  $1$ , this object is  $1$  (since  $1 + 1 = 1 + 1 = 0$ ).

To prove that  $\mathbb{F}_2$  obeys FA5 from Definition 7.8, it will suffice to show that  $0 \cdot 0 = 0 \cdot 0$ ,  $0 \cdot 1 = 1 \cdot 0$ , and  $1 \cdot 1 = 1 \cdot 1$ . The first and third of these are evidently true. For the second, we have  $0 \cdot 1 = 0 = 1 \cdot 0$ , so it is good, too.

To prove that  $\mathbb{F}_2$  obeys FA6 from Definition 7.8, the following casework will suffice.

$$\begin{array}{ll} (0 \cdot 0) \cdot 0 = 0 = 0 \cdot (0 \cdot 0) & (0 \cdot 0) \cdot 1 = 0 = 0 \cdot (0 \cdot 1) \\ (0 \cdot 1) \cdot 0 = 0 = 0 \cdot (1 \cdot 0) & (1 \cdot 0) \cdot 0 = 0 = 1 \cdot (0 \cdot 0) \\ (0 \cdot 1) \cdot 1 = 0 = 0 \cdot (1 \cdot 1) & (1 \cdot 1) \cdot 0 = 0 = 1 \cdot (1 \cdot 0) \\ (1 \cdot 0) \cdot 1 = 0 = 1 \cdot (0 \cdot 1) & (1 \cdot 1) \cdot 1 = 1 = 1 \cdot (1 \cdot 1) \end{array}$$



To prove that  $\mathbb{F}_2$  obeys FA7 from Definition 7.8, it will suffice to find an element  $1 \in \mathbb{F}_2$  such that  $x \cdot 1 = 1 \cdot x = x$ . Since  $0 \cdot 1 = 0$ ,  $1 \cdot 1 = 1$ , and with commutativity, it is clear that 1 is a multiplicative identity in  $\mathbb{F}_2$ .

To prove that  $\mathbb{F}_2$  obeys FA8 from Definition 7.8, it will suffice to show that for all  $x \in \mathbb{F}_2$  such that  $x \neq 0$ , there exists a  $y \in \mathbb{F}_2$  such that  $x \cdot y = y \cdot x = 1$ . For 1, this object is 1 (since  $1 \cdot 1 = 1 \cdot 1 = 1$ ).

To prove that  $\mathbb{F}_2$  obeys FA9 from Definition 7.8, the following casework will suffice.

$$\begin{array}{ll} 0 \cdot (0 + 0) = 0 = 0 \cdot 0 + 0 \cdot 0 & 0 \cdot (0 + 1) = 0 = 0 \cdot 0 + 0 \cdot 1 \\ 0 \cdot (1 + 0) = 0 = 0 \cdot 1 + 0 \cdot 0 & 1 \cdot (0 + 0) = 0 = 1 \cdot 0 + 1 \cdot 0 \\ 0 \cdot (1 + 1) = 0 = 0 \cdot 1 + 0 \cdot 1 & 1 \cdot (1 + 0) = 1 = 1 \cdot 1 + 1 \cdot 0 \\ 1 \cdot (0 + 1) = 1 = 1 \cdot 0 + 1 \cdot 1 & 1 \cdot (1 + 1) = 0 = 1 \cdot 1 + 1 \cdot 1 \end{array}$$

To prove that  $\mathbb{F}_2$  obeys FA10 from Definition 7.8, it will suffice to show that  $0 \neq 1$ . Clearly this is true.  $\square$

**Theorem 7.10.** *Suppose that  $F$  is a field. Then additive inverses are unique. This means: Let  $x \in F$ . If  $y, y' \in F$  satisfy  $x + y = 0$  and  $x + y' = 0$ , then  $y = y'$ .*

*Proof.* Let  $x, y, y' \in F$  be such that  $x + y = 0$  and  $x + y' = 0$ . From Definition 7.8, we have

$$\begin{array}{ll} y' + (x + y) = (y' + x) + y & \text{FA2} \\ y' + 0 = 0 + y & \text{FA4} \\ y' = y & \text{FA3} \end{array}$$

$\square$

We usually write  $-x$  for the additive inverse of  $x$ .

**Corollary 7.11.** *If  $x \in F$ , then  $-(-x) = x$ .*

*Proof.* Let  $x \in F$ . Then by consecutive applications of FA4 from Definition 7.8,  $-x + (-(-x)) = 0$  and  $-x + x = 0$ . Therefore, by Theorem 7.10, we have that  $-(-x) = x$ .  $\square$

**Theorem 7.12.** *Let  $F$  be a field, and let  $a, b, c \in F$ . If  $a + b = a + c$ , then  $b = c$ .*

*Proof.* Let  $a, b, c \in F$  be such that  $a + b = a + c$ . By FA4 from Definition 7.8, there exists  $-a \in F$  such that  $-a + a = a + (-a) = 0$ . Having established that  $-a$  exists, we can prove from Definition 7.8 that

$$\begin{array}{ll} -a + (a + b) = -a + (a + c) & \\ (-a + a) + b = (-a + a) + c & \text{FA2} \\ 0 + b = 0 + c & \text{FA4} \\ b = c & \text{FA3} \end{array}$$

$\square$

**Theorem 7.13.** *Let  $F$  be a field. If  $a \in F$ , then  $a \cdot 0 = 0$ .*

*Proof.* Let  $a \in F$ . From Definition 7.8, we have

$$\begin{array}{ll} a = a \cdot 1 & \text{FA7} \\ = a \cdot (1 + 0) & \text{FA3} \\ = a \cdot 1 + a \cdot 0 & \text{FA9} \\ = a + a \cdot 0 & \text{FA7} \\ 0 = a \cdot 0 & \text{Theorem 7.12} \end{array}$$

$\square$

2/2: **Theorem 7.14.** *Suppose that  $F$  is a field. Then multiplicative inverses are unique. This means: Let  $x \in F$ . If  $y, y' \in F$  satisfy  $x \cdot y = 1$  and  $x \cdot y' = 1$ , then  $y = y'$ .*

*Proof.* Let  $x, y, y' \in F$  be such that  $x \cdot y = 1$  and  $x \cdot y' = 1$ . From Definition 7.8, we have

$$(y \cdot x) \cdot y' = y \cdot (x \cdot y') \quad \text{FA6}$$

$$1 \cdot y' = y \cdot 1 \quad \text{FA8}$$

$$y' = y \quad \text{FA7}$$

□

We usually write  $x^{-1}$  or  $\frac{1}{x}$  for the multiplicative inverse of  $x$ .

**Corollary 7.15.** *If  $x \in F$  and  $x \neq 0$ , then  $(x^{-1})^{-1} = x$ .*

*Proof.* Let  $x \in F \setminus \{0\}$ . Then by FA8 from Definition 7.8, there exists  $x^{-1} \in F$  such that  $x \cdot x^{-1} = x^{-1} \cdot x = 1$ . It follows from Theorem 7.13 that  $x^{-1} \neq 0$  (if  $x^{-1} = 0$ , then Theorem 7.13 would imply that  $x \cdot x^{-1} = 0$ , a contradiction). Thus, by FA8 from Definition 7.8 again, there exists  $(x^{-1})^{-1} \in F$  such that  $x^{-1} \cdot (x^{-1})^{-1} = (x^{-1})^{-1} \cdot x^{-1} = 1$ . Having established that  $(x^{-1})^{-1}$  exists,  $x^{-1} \cdot (x^{-1})^{-1} = 1$ , and  $x^{-1} \cdot x = 1$ , we have by Theorem 7.14 that  $(x^{-1})^{-1} = x$ . □

**Theorem 7.16.** *Let  $F$  be a field, and let  $a, b, c \in F$ . If  $a \cdot b = a \cdot c$  and  $a \neq 0$ , then  $b = c$ .*

*Proof.* Let  $a, b, c \in F$  be such that  $a \cdot b = a \cdot c$  and  $a \neq 0$ . By FA8 from Definition 7.8, there exists  $a^{-1} \in F$  such that  $a \cdot a^{-1} = a^{-1} \cdot a = 1$ . Having established that  $a^{-1}$  exists, we can prove from Definition 7.8 that

$$a^{-1} \cdot (a \cdot b) = a^{-1} \cdot (a \cdot c)$$

$$(a^{-1} \cdot a) \cdot b = (a^{-1} \cdot a) \cdot c \quad \text{FA6}$$

$$1 \cdot b = 1 \cdot c \quad \text{FA8}$$

$$b = c \quad \text{FA7}$$

□

**Theorem 7.17.** *Let  $F$  be a field, and let  $a, b \in F$ . If  $a \cdot b = 0$ , then  $a = 0$  or  $b = 0$ .*

*Proof.* Let  $a, b \in F$  be such that  $a \cdot b = 0$ , and suppose for the sake of contradiction that  $a \neq 0$  and  $b \neq 0$ . It follows from the supposition by consecutive applications of FA8 from Definition 7.8 that  $a^{-1}$  and  $b^{-1}$  exist. Thus, from Definition 7.8, we have

$$1 = 1 \cdot 1 \quad \text{FA7}$$

$$= (a \cdot a^{-1}) \cdot (b \cdot b^{-1}) \quad \text{FA8}$$

$$= (a \cdot b) \cdot (a^{-1} \cdot b^{-1}) \quad \text{FA6 and FA7}$$

$$= 0 \cdot (a^{-1} \cdot b^{-1}) \quad \text{Substitution}$$

$$= 0 \quad \text{Theorem 7.13}$$

But this contradicts FA10 from Definition 7.8. □

**Lemma 7.18.** *Let  $F$  be a field. If  $a \in F$ , then  $-a = (-1)a$ .*

*Proof.* Let  $a \in F$ . From Definition 7.8, we have

$$0 = 0 \cdot a \quad \text{Theorem 7.13}$$

$$a + (-a) = (1 + (-1)) \cdot a \quad \text{FA4}$$

$$a + (-a) = 1 \cdot a + (-1) \cdot a \quad \text{FA9}$$

$$a + (-a) = a + (-1)a \quad \text{FA7}$$

$$-a = (-1)a \quad \text{Theorem 7.12}$$

□

**Lemma 7.19.** *Let  $F$  be a field. If  $a, b \in F$ , then  $a \cdot (-b) = -(a \cdot b) = (-a) \cdot b$ .*

*Proof.* Let  $a, b \in F$ . From Definition 7.8, we have

$$\begin{aligned}
 a \cdot (-b) &= a \cdot ((-1) \cdot b) && \text{Lemma 7.18} \\
 &= a \cdot (b \cdot (-1)) && \text{FA5} \\
 &= (a \cdot b) \cdot (-1) && \text{FA6} \\
 &= (-1) \cdot (a \cdot b) && \text{FA5} \\
 &= \boxed{-(a \cdot b)} && \text{Lemma 7.18} \\
 &= (-1) \cdot (a \cdot b) && \text{Lemma 7.18} \\
 &= ((-1) \cdot a) \cdot b && \text{FA6} \\
 &= \boxed{(-a) \cdot b} && \text{Lemma 7.18}
 \end{aligned}$$

□

**Lemma 7.20.** *Let  $F$  be a field. If  $a, b \in F$ , then  $a \cdot b = (-a) \cdot (-b)$ .*

*Proof.* Let  $a, b \in F$ . Thus, we have

$$\begin{aligned}
 (-a) \cdot (-b) &= -(-a) \cdot b && \text{Lemma 7.19} \\
 &= a \cdot b && \text{Corollary 7.11}
 \end{aligned}$$

□

**Definition 7.21.** An **ordered field** is a field  $F$  equipped with an ordering  $<$  (satisfying Definition 3.1) such that also:

- (a) Addition respects the ordering: if  $x < y$ , then  $x + z < y + z$  for all  $z \in F$ .
- (b) Multiplication respects the ordering: if  $0 < x$  and  $0 < y$ , then  $0 < x \cdot y$ .

**Definition 7.22.** Suppose  $F$  is an ordered field and  $x \in F$ . If  $0 < x$ , we say that  $x$  is **positive**. If  $x < 0$ , we say that  $x$  is **negative**.

**Lemma 7.23.** *Let  $F$  be an ordered field, and let  $x \in F$ . If  $0 < x$ , then  $-x < 0$ . Similarly, if  $x < 0$ , then  $0 < -x$ .*

*Proof.* Let  $x \in F$  be such that  $0 < x$ . Then by Definition 7.21a,  $0 + (-x) < x + (-x)$ . Consequently, from Definition 7.8, we have

$$\begin{aligned}
 -x &< x + (-x) && \text{FA3} \\
 -x &< 0 && \text{FA4}
 \end{aligned}$$

The proof is symmetric if  $x < 0$ .

□

**Lemma 7.24.** *Let  $F$  be an ordered field, and let  $x, y, z \in F$ .*

- (a) *If  $x > 0$  and  $y < z$ , then  $x \cdot y < x \cdot z$ .*
- (b) *If  $x < 0$  and  $y < z$ , then  $x \cdot z < x \cdot y$ .*

*Proof of a.* Let  $x, y, z \in F$  be such that  $x > 0$  and  $y < z$ . It follows from the latter condition by Definition 7.21a that  $y + (-y) < z + (-y)$ . Thus, by FA4 from Definition 7.8, we have  $0 < z + (-y)$ . This combined

with the fact that  $0 < x$  implies by Definition 7.21b that  $0 < x \cdot (z + (-y))$ . Consequently, from Definition 7.8, we have

$$\begin{aligned}
 0 &< x \cdot z + x \cdot (-y) && \text{FA9} \\
 0 &< x \cdot z + (-(x \cdot y)) && \text{Lemma 7.19} \\
 0 + x \cdot y &< (x \cdot z + (-(x \cdot y))) + x \cdot y && \text{Definition 7.21a} \\
 0 + x \cdot y &< x \cdot z + (-(x \cdot y) + x \cdot y) && \text{FA2} \\
 0 + x \cdot y &< x \cdot z + 0 && \text{FA4} \\
 x \cdot y &< x \cdot z && \text{FA3}
 \end{aligned}$$

□

*Proof of b.* Let  $x, y, z \in F$  be such that  $x < 0$  and  $y < z$ . It follows from the former condition by Lemma 7.23 that  $0 < -x$ . Thus, by Lemma 7.24a,  $(-x) \cdot y < (-x) \cdot z$ . Consequently, from Definition 7.8, we have

$$\begin{aligned}
 -(x \cdot y) &< -(x \cdot z) && \text{Lemma 7.19} \\
 -(x \cdot y) + (x \cdot y + x \cdot z) &< -(x \cdot z) + (x \cdot y + x \cdot z) && \text{Definition 7.21a} \\
 -(x \cdot y) + (x \cdot y + x \cdot z) &< -(x \cdot z) + (x \cdot z + x \cdot y) && \text{FA1} \\
 (-(x \cdot y) + x \cdot y) + x \cdot z &< (-(x \cdot z) + x \cdot z) + x \cdot y && \text{FA2} \\
 0 + x \cdot z &< 0 + x \cdot y && \text{FA4} \\
 x \cdot z &< x \cdot y && \text{FA3}
 \end{aligned}$$

□

**Remark 7.25.** An immediate consequence of this lemma is the fact that if  $x$  and  $y$  are both positive or both negative, their product is positive.

**Lemma 7.26.** Let  $F$  be an ordered field, and let  $x \in F$ . Then  $0 \leq x^2$ . Moreover, if  $x \neq 0$ , then  $0 < x^2$ .

*Proof.* We divide into two cases ( $x = 0$  and  $x \neq 0$ ). Suppose first that  $x = 0$ . Then by Theorem 7.13,  $0 \leq 0 = 0 \cdot 0 = 0^2 = x^2$ , as desired. Now suppose that  $x \neq 0$ . We divide into two cases again ( $x > 0$  and  $x < 0$ ). If  $x > 0$ , then by Lemma 7.24a,  $x > 0$  and  $0 < x$  imply that  $x \cdot 0 < x \cdot x$ , from which it follows by Theorem 7.13 that  $0 < x^2$ , as desired. On the other hand, if  $x < 0$ , then by Lemma 7.24b,  $x < 0$  and  $x < 0$  imply that  $x \cdot 0 < x \cdot x$ , from which it follows for the same reason as before that  $0 < x^2$ , as desired. Both cases together prove the first statement, while the second case alone proves the second statement. □

**Corollary 7.27.** Let  $F$  be an ordered field. Then  $0 < 1$ .

*Proof.* By FA10 from Definition 7.8,  $1 \neq 0$ . Thus, by Lemma 7.26,  $0 < 1^2 = 1$ , as desired. □

**Theorem 7.28.** If  $F$  is an ordered field, then  $F$  has no first or last point.

*Proof.* Suppose for the sake of contradiction that  $F$  has a first point  $a$ . By Corollary 7.27, we have that  $0 < 1$ , which implies by Lemma 7.23 that  $-1 < 0$ . It follows by Definition 7.21a that  $-1 + a < 0 + a$ . Thus, by FA3 from Definition 7.8,  $-1 + a < a$ . Since there exists an object in  $F$  (namely  $-1 + a$ ) that is less than  $a$ , Definition 3.3 tells us that  $a$  is not the first point of  $F$ , a contradiction.

The proof is symmetric in the other case. □

**Theorem 7.29.** *The rational numbers  $\mathbb{Q}$  form an ordered field.*

*Proof.* To prove that  $\mathbb{Q}$  forms an ordered field, Definition 7.21 tells us that it will suffice to show that  $\mathbb{Q}$  forms a field; has an ordering  $<$ ; satisfies  $x + z < y + z$  if  $x < y$  for all  $z \in \mathbb{Q}$ ; and satisfies  $0 < x \cdot y$  if  $0 < x$  and  $0 < y$ . We will take this one constraint at a time.

To show that  $\mathbb{Q}$  forms a field, Definition 7.8 tells us that it will suffice to verify that  $\mathbb{Q}$  has two binary operations ( $+$  and  $\cdot$ ), and satisfies field axioms 1-10. Define  $+$  and  $\cdot$  as in Definition 2.7. Under these definitions, parts a-i of Theorem 2.10 guarantee that  $\mathbb{Q}$  satisfies FA1-FA9, respectively. As to FA10, to verify that  $\begin{bmatrix} 1 \\ 1 \end{bmatrix} \neq \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ , Exercise 2.6 tells us that it will suffice to confirm that  $(1, 1) \approx (1, 0)$ . But since  $1 \cdot 0 = 0 \neq 1 = 1 \cdot 1$ , Exercise 2.2e confirms that  $(1, 1) \approx (1, 0)$ , as desired.

$\mathbb{Q}$  has an ordering by Exercise 3.9d, as desired.

To show that  $x + z < y + z$  if  $x < y$  for all  $z \in \mathbb{Q}$ , let  $\begin{bmatrix} a \\ b \end{bmatrix}, \begin{bmatrix} c \\ d \end{bmatrix}, \begin{bmatrix} x \\ z \end{bmatrix}$  be arbitrary elements of  $\mathbb{Q}$  with positive denominators (we can choose these WLOG by Exercise 3.9b) and the first two satisfying  $\begin{bmatrix} a \\ b \end{bmatrix} < \begin{bmatrix} c \\ d \end{bmatrix}$ ; we seek to verify that  $\begin{bmatrix} a \\ b \end{bmatrix} + \begin{bmatrix} x \\ z \end{bmatrix} < \begin{bmatrix} c \\ d \end{bmatrix} + \begin{bmatrix} x \\ z \end{bmatrix}$ . Since  $\begin{bmatrix} a \\ b \end{bmatrix} < \begin{bmatrix} c \\ d \end{bmatrix}$ , we have by Exercise 3.9c that  $ad < bc$ . It follows by Script 0 that

$$\begin{aligned} ad &< bc \\ adzz &< bczz \\ adzz + bdxz &< bczz + bdxz \\ azdz + bxdz &< bczx + bzd x \\ (az + bx)(dz) &< (bz)(cz + dx) \end{aligned}$$

Thus, by Exercise 3.9c,  $\begin{bmatrix} az+bx \\ bz \end{bmatrix} < \begin{bmatrix} cz+dx \\ dz \end{bmatrix}$ . Therefore, by Definition 2.7,  $\begin{bmatrix} a \\ b \end{bmatrix} + \begin{bmatrix} x \\ z \end{bmatrix} < \begin{bmatrix} c \\ d \end{bmatrix} + \begin{bmatrix} x \\ z \end{bmatrix}$ , as desired.

To show that  $0 < x \cdot y$  if  $0 < x$  and  $0 < y$ , let  $\begin{bmatrix} a \\ b \end{bmatrix}, \begin{bmatrix} c \\ d \end{bmatrix}$  be arbitrary elements of  $\mathbb{Q}$  with positive denominators (which we can choose for the same reason as before) such that  $\begin{bmatrix} 0 \\ 1 \end{bmatrix} < \begin{bmatrix} a \\ b \end{bmatrix}$  and  $\begin{bmatrix} 0 \\ 1 \end{bmatrix} < \begin{bmatrix} c \\ d \end{bmatrix}$ ; we seek to verify that  $\begin{bmatrix} 0 \\ 1 \end{bmatrix} < \begin{bmatrix} a \\ b \end{bmatrix} \cdot \begin{bmatrix} c \\ d \end{bmatrix}$ . Since  $\begin{bmatrix} 0 \\ 1 \end{bmatrix} < \begin{bmatrix} a \\ b \end{bmatrix}$  and  $\begin{bmatrix} 0 \\ 1 \end{bmatrix} < \begin{bmatrix} c \\ d \end{bmatrix}$ , we have by Exercise 3.9c that  $0 \cdot b < 1 \cdot a$  and  $0 \cdot d < 1 \cdot c$ . It follows by Script 0 that  $0 \cdot bd < 1 \cdot ac$ . Thus, by Exercise 3.9c,  $\begin{bmatrix} 0 \\ 1 \end{bmatrix} < \begin{bmatrix} ac \\ bd \end{bmatrix}$ . Therefore, by Definition 2.7,  $\begin{bmatrix} 0 \\ 1 \end{bmatrix} < \begin{bmatrix} a \\ b \end{bmatrix} \cdot \begin{bmatrix} c \\ d \end{bmatrix}$ , as desired.  $\square$

## 7.2 Discussion

- 1/28:
- Script 6 journals due Wednesday.
  - We'll also have to prove a density lemma:
    - Let  $X$  be a dense subset of a continuum  $C$ . Show that for all  $x, y \in X$ , if  $x < y$ , then there exists a  $z \in X$  such that  $x < z < y$ .
    - Mark in Exercise 6.16 as "Density Lemma."
  - Explicitly cite Field Axioms as you go.
- 2/2:
- For Theorem ?? in class, he wants a simple explanation of what the injective map looks like and why, but not a full-on rigorous proof.
    - Nothing in the journal for Theorem ??, though.
  - He also wants to see Theorems ?? and ?? in the journal.
  - For Corollary 7.15, we can write that  $x^{-1} \cdot x = 1$  and  $x^{-1} \cdot (x^{-1})^{-1} = 1$ , and know by the uniqueness of multiplicative inverses (Theorem 7.14) that  $x = (x^{-1})^{-1}$ . For Corollary 7.11, we have an analogous proof.

- Alternate Theorem 7.17:

$$\begin{aligned}1 &= 1 \cdot 1 \\&= (a \cdot a^{-1})(b \cdot b^{-1}) \\&= (ab)(a^{-1}b^{-1}) \\&= 0\end{aligned}$$

- Alternate Lemma 7.18:  $a + (-a) = 0$ .  $a + (-1)a = a(1 + (-1)) = a \cdot 0 = 0$ . Thus, by Theorem 7.10,  $-a = (-1)a$ .
- Alternate Lemma 7.19: We can use the uniqueness of additive inverses (Theorem 7.10).
- We can also cite Remark 7.25 in Lemma 7.26.