# MATH 16210 (Honors Calculus II IBL) Notes

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## Script 6

# Construction of the Real Numbers

## 6.1 Journal

- 1/12: **Definition 6.1.** A subset A of  $\mathbb{Q}$  is said to be a **cut** (or **Dedekind cut**) if it satisfies the following:
  - (a)  $A \neq \emptyset$  and  $A \neq \mathbb{Q}$ .
  - (b) If  $r \in A$  and  $s \in \mathbb{Q}$  satisfy s < r, then  $s \in A$ .
  - (c) A does not have a last point; i.e., if  $r \in A$ , then there is some  $s \in A$  with s > r.

We denote the collection of all cuts by  $\mathbb{R}$ .

**Lemma 6.2.** Let A be a Dedekind cut and  $x \in \mathbb{Q}$ . Then  $x \notin A$  if and only if x is an upper bound for A.

*Proof.* Suppose first that  $x \notin A$ . To prove that x is an upper bound for A, Definition 5.6 tells us that it will suffice to show that for all  $r \in A$ ,  $r \le x$ . Let r be an arbitrary element of A. Then by the contrapositive of Definition 6.1b and the hypothesis that  $x \notin A$ , we know that  $r \notin A$ ,  $x \notin \mathbb{Q}$ , or  $x \not< r$ . But since  $r \in A$  and  $x \in \mathbb{Q}$ , it must be that  $x \not< r$ . Therefore,  $r \le x$ , as desired.

Now suppose that x is an upper bound for A. By Definition 5.6, this implies that for all  $r \in A$ ,  $r \le x$ . Therefore, since there is no  $r \in A$  with r > x, by the contrapositive of Definition 6.1c,  $x \notin A$ , as desired.  $\square$ 

#### Exercise 6.3.

- (a) Prove that for any  $q \in \mathbb{Q}$ ,  $\{x \in \mathbb{Q} \mid x < q\}$  is a Dedekind cut. We then define  $\mathbf{0} = \{x \in \mathbb{Q} \mid x < 0\}$ .
- (b) Prove that  $\{x \in \mathbb{Q} \mid x \leq 0\}$  is not a Dedekind cut.
- (c) Prove that  $\{x \in \mathbb{Q} \mid x < 0\} \cup \{x \in \mathbb{Q} \mid x^2 < 2\}$  is a Dedekind cut.

Proof of a. Let q be an arbitrary element of  $\mathbb{Q}$ . To prove that  $A = \{x \in \mathbb{Q} \mid x < q\}$  is a Dedekind cut, Definition 6.1 tells us that it will suffice to show that  $A \neq \emptyset$ ;  $A \neq \mathbb{Q}$ ; if  $f \in A$  and  $f \in \mathbb{Q}$  satisfy  $f \in A$ , then there is some  $f \in A$  with  $f \in A$  with  $f \in A$ . We will take this one claim at a time.

To show that  $A \neq \emptyset$ , Definition 1.8 tells us that it will suffice to find an element of A. By Exercise 3.9d, q is not the first point of  $\mathbb{Q}$ . Thus, by Definition 3.3, there exists an object  $x \in \mathbb{Q}$  such that x < q. By the definition of A, this implies that  $x \in A$ , as desired.

To show that  $A \neq \mathbb{Q}$ , Definition 1.2 tells us that it will suffice to find an element of  $\mathbb{Q}$  that is not an element of A. By hypothesis,  $q \in \mathbb{Q}$ . By Exercise 3.9d,  $q \not< q$ . Therefore,  $q \in \mathbb{Q}$  but  $q \notin A$ , as desired.

To show that if  $r \in A$  and  $s \in \mathbb{Q}$  satisfy s < r, then  $s \in A$ , we let  $r \in A$  and  $s \in \mathbb{Q}$  be arbitrary elements of their respective sets that satisfy s < r and seek to verify that  $s \in A$ . Since  $r \in A$ , r < q. This combined with the fact that s < r implies by transitivity that s < q. Therefore, since  $s \in \mathbb{Q}$  and s < q,  $s \in A$ , as desired.

To show that if  $r \in A$ , then there is some  $s \in A$  with s > r, we let  $r \in A$  and seek to find such an s. By the definition of A, r < q. Thus, by Additional Exercise 3.1, there exists a point  $s \in \mathbb{Q}$  such that r < s < q. Since  $s \in \mathbb{Q}$  and s < q,  $s \in A$ . It follows that s is the desired element of A satisfying s > r.

Proof of b. To prove that  $A = \{x \in \mathbb{Q} \mid x \leq 0\}$  is not a Dedekind cut, Definition 6.1 tells us that it will suffice to show that A does have a last point. To show this, we will demonstrate that 0 is the last point of A. To demonstrate this, Definition 3.1 tells us that it will suffice to confirm that  $0 \in A$  and for all  $x \in A$ ,  $x \leq 0$ . Since  $0 \leq 0$  and  $0 \in \mathbb{Q}$ ,  $0 \in A$ . Additionally, by the definition of A, it is true that for all  $x \in A$ ,  $x \leq 0$ .

Proof of c. Let  $B = \{x \in \mathbb{Q} \mid x < 0\}$  and let  $C = \{x \in \mathbb{Q} \mid x^2 < 2\}$ . To prove that  $A = B \cup C$  is a Dedekind cut, Definition 6.1 tells us that it will suffice to show that  $A \neq \emptyset$ ;  $A \neq \mathbb{Q}$ ; if  $A \neq \mathbb{Q}$  satisfy  $A \neq \mathbb{Q}$  satisf

To show that  $A \neq \emptyset$ , Definition 1.8 tells us that it will suffice to find an element of A. Since  $-1 \in \mathbb{Q}$  and  $-1 < 0, -1 \in B$ . Therefore, by Definition 1.5,  $-1 \in A$ , as desired.

To show that  $A \neq \mathbb{Q}$ , Definition 1.2 tells us that it will suffice to find an element of  $\mathbb{Q}$  that is not an element of A. Since  $2 \in \mathbb{Q}$  and  $2 \geq 0$ ,  $2 \notin B$ . Additionally, since  $2^2 \geq 2$ ,  $2 \notin C$ . Therefore, by Definition 1.5,  $2 \notin A$ , as desired.

To show that if  $r \in A$  and  $s \in \mathbb{Q}$  satisfy s < r, then  $s \in A$ , we let  $r \in A$  and  $s \in \mathbb{Q}$  be arbitrary elements of their respective sets that satisfy s < r and seek to verify that  $s \in A$ . Since  $r \in A$ , Definition 1.5 tells us that  $r \in B$  or  $r \in C$ . We now divide into two cases. Suppose first that  $r \in B$ . Then s < r < 0, which implies that  $s \in B$ , meaning that  $s \in A$ . Now suppose that  $r \in C$ . We divide into two cases again  $(r \le 0 \text{ and } r > 0)$ . If  $r \le 0$ , then  $s < r \le 0$  implies that s < 0. Thus, by the definition of B,  $s \in B$ , implying that  $s \in A$ . On the other hand, if r > 0, then  $0 < s^2 < r^2 < 2$ . Thus, by the definition of C,  $s \in C$ , implying that  $s \in A$ .

To show that A does not have a last point, suppose for the sake of contradiction that A has a last point p. We now divide into two cases  $(p \le 0 \text{ and } p > 0)$ . Suppose first that  $p \le 0$ . Since p is the last point of A, Definition 3.3 tells us that  $x \le p$  for all  $x \in A$ . But  $1 \in A$  (since  $1 \in \mathbb{Q}$  and  $1^2 = 1 < 2$  implies  $1 \in B$ , implies  $1 \in A$ ) and  $1 > 0 \ge p$ , a contradiction. Now suppose that p > 0. Definition 3.3 tells us that  $p \in A$ , but the condition that p > 0 means  $p \notin B$ , so we must have  $p \in C$ . However, by the proof of Exercise 4.24,  $\frac{2(p+1)}{p+2}$  will be an element of B (and therefore A) that is greater than p no matter how large p is, a contradiction.

**Definition 6.4.** If  $A, B \in \mathbb{R}$ , we say that A < B if A is a proper subset of B.

**Exercise 6.5.** Show that  $\mathbb{R}$  satisfies Axioms 1, 2, and 3.

*Proof.* By Exercise 6.3a,  $\{x \in \mathbb{Q} \mid x < 0\} \in \mathbb{R}$  since  $0 \in \mathbb{Q}$ . Therefore, Axiom 1 is immediately satisfied.

Axiom 2 asserts that  $\mathbb{R}$  must have an ordering <. As such, it will suffice to verify that the ordering given by Definition 6.4 satisfies the stipulations of Definition 3.1. To prove that < satisfies the trichotomy, it will suffice to show that for all  $A, B \in \mathbb{R}$ , exactly one of the following holds: A < B, B < A, or A = B.

We first show that no more than one of the three statements can simultaneously be true. Let A, B be arbitrary elements of  $\mathbb{R}$ . We divide into three cases. First, suppose for the sake of contradiction that A < B and B < A. By Definition 6.4, this implies that  $A \subseteq B$  and  $B \subseteq A$ . Thus, by Definition 1.3,  $A \subseteq B, B \subseteq A$ , and  $A \neq B$ . But by Theorem 1.7,  $A \subseteq B$  and  $B \subseteq A$  implies that A = B, a contradiction. Second, suppose for the sake of contradiction that A < B and A = B. By Definition 6.4, the former statement implies that  $A \subseteq B$ . Thus, by Definition 1.3,  $A \neq B$ , a contradiction. The proof of the third case (B < A and A = B) is symmetric to that of the second case.

We now show that at least one of the three statements is always true. Let A, B be arbitrary elements of  $\mathbb{R}$ , and suppose for the sake of contradiction that  $A \not< B$ ,  $B \not< A$ , and  $A \ne B$ . Since  $A \not< B$  and  $B \not< A$ , we have by Definition 6.4 that  $A \not\subseteq B$  and  $B \not\subseteq A$ . Thus, by Definition 1.3,  $A \not\subset B$  or A = B, and  $B \not\subset A$  or A = B. But  $A \ne B$  by hypothesis, so it must be that  $A \not\subset B$  and  $B \not\subset A$ . It follows from the first statement by Definition 1.3 that there exists an object  $x \in A$  such that  $x \notin B$ , and there exists an object  $y \in B$  such that  $y \notin A$ . Since  $x \notin B$ , Lemma 6.2 implies that x is an upper bound of B. Consequently, by Definition 5.6,  $p \le x$  for all  $p \in B$ , including y. Similarly,  $p \le y$  for all  $p \in A$ , including x. Thus, we have  $y \le x$  and  $x \le y$ , implying that x = y. But since  $y \in B$ , this implies that  $x \in B$ , a contradiction.

To prove that < is transitive, it will suffice to show that for all  $A, B, C \in \mathbb{R}$ , if A < B and B < C, then A < C. Let A, B, C be arbitrary elements of  $\mathbb{R}$  for which it is true that A < B and B < C. By Definition 6.4, we have  $A \subseteq B$  and  $B \subseteq C$ . Thus, by Script 1,  $A \subseteq C$ . Therefore, by Definition 6.4, A < C.

Axiom 3 asserts that  $\mathbb{R}$  must have no first or last point. We will take this one argument at a time

Suppose for the sake of contradiction that  $\mathbb{R}$  has some first point A. Then by Definition 3.3,  $A \leq X$  for every  $X \in \mathbb{R}$ . Now since A is a Dedekind cut, Definition 6.1 tells us that  $A \neq \emptyset$ . Thus, by Definition 1.8, there exists some  $q \in A$ . Additionally,  $A \subset \mathbb{Q}$  by Definition 6.1, so  $q \in A$  implies that  $q \in \mathbb{Q}$ . It follows by Exercise 6.3a that  $B = \{x \in \mathbb{Q} \mid x < q\}$  is a Dedekind cut. We now seek to prove that  $B \subseteq A$ . To do this, Definition 1.3 tells us that it will suffice to show that  $B \neq A$  and  $B \subset A$ . To show that  $B \neq A$ , Definition 1.2 tells us that it will suffice to find an element of A that is not an element of B. Conveniently, A0 is an element of A1. Let A2 be an arbitrary element of A3. Then by the definition of A4, A5 and A7 is an element of A8. Let A8 be an arbitrary element of A9. Then by the definition of A9 and A9. It follows by Definition 6.1b (which clearly applies to A9 that A9 that A9 are desired. Having proven that A1 and A2 are desired. Having proven that A3 are every A4. But this contradicts the previously demonstrated fact that A4 are every A5. Represented that A5 are every A6 are Represented that A6. But this contradicts the previously demonstrated fact that A5 are every A6. Represented that A5 are Represented that A5 are Represented that A5. But this contradicts the previously demonstrated fact that A5 are every A6. Represented that A6 are Represented that

Suppose for the sake of contradiction that  $\mathbb{R}$  has some last point A. Then by Definition 3.3,  $X \leq A$  for every  $X \in \mathbb{R}$ . Now since A is a Dedekind cut, Definition 6.1 tells us that  $A \neq \mathbb{Q}$ . Thus, by Definition 1.2, there exists some  $q \in \mathbb{Q}$  such that  $q \notin A$ . It follows by Lemma 6.2 that q is an upper bound of A. Consequently, by Definition 5.6,  $x \leq q$  for all  $x \in A$ . Additionally, by Exercise 6.3a,  $B = \{x \in \mathbb{Q} \mid x < q + 1\}^{[1]}$  is a Dedekind cut. We now seek to prove that  $A \subsetneq B$ . As before, this means we must show that  $A \neq B$  and  $A \subset B$ . To show that  $A \neq B$ , Definition 1.2 tells us that it will suffice to find an element of B that is not an element of A. Since  $x \leq q$  for all  $x \in A$  and q < q + 0.5 < q + 1,  $q + 0.5 \notin A$  and  $q + 0.5 \in B$  is the desired object. To show that  $A \subset B$ , Definition 1.3 tells us that we must confirm that every element of A is an element of A. Let  $A \subset B$  be an arbitrary element of  $A \subset B$ . As an element of  $A \subset B$  be an arbitrary element of  $A \subset B$ . But this contradicts the previously demonstrated fact that  $A \subset A$  for every  $A \subset \mathbb{R}$ , including  $A \subset B$ . But this contradicts the previously demonstrated fact that  $A \subset A$  for every  $A \subset \mathbb{R}$ , including  $A \subset B$ .

#### 1/14: **Lemma 6.6.** A nonempty subset of $\mathbb{R}$ that is bounded above has a supremum.

*Proof.* Let X be an arbitrary nonempty subset of  $\mathbb{R}$  that is bounded above. To prove that  $\sup X$  exists, we will show that  $\sup X = U = \bigcup \{Y \mid Y \in X\}$ . To show this, Definition 5.7 tells us that it will suffice to demonstrate that  $U \in \mathbb{R}$ , U is an upper bound of X, and if U' is an upper bound of X, then  $U \leq U'$ . Let's begin.

To demonstrate that  $U \in \mathbb{R}$ , Definition 6.1 tells us that it will suffice to confirm that  $U \neq \emptyset$ ;  $U \neq \mathbb{Q}$ ; if  $r \in U$  and  $s \in \mathbb{Q}$  satisfy s < r, then  $s \in U$ ; and if  $r \in U$ , then there is some  $s \in U$  with s > r.

As the union of a nonempty subset of nonempty sets, Script 1 implies that  $U \neq \emptyset$ .

To demonstrate that  $U \neq \mathbb{Q}$ , Definition 1.2 tells us that it will suffice to find a point  $p \in \mathbb{Q}$  such that  $p \notin U$ . Since X is bounded above, we have by Definition 5.6 that there exists a Dedekind cut  $V \in \mathbb{R}$  such that  $Y \subseteq V$  for all  $Y \in X$ . It follows by Definition 6.4 that  $Y \subset V$  for all  $Y \in X$ . Thus, by Script 1,  $U \subset V$ . Now since V is a Dedekind cut, we know by Definition 6.1 that  $V \subset \mathbb{Q}$  and  $V \neq \mathbb{Q}$ , meaning that there exists a point  $p \in \mathbb{Q}$  such that  $p \notin V$ . Consequently, since  $U \subset V$ ,  $p \notin U$ , as desired.

To demonstrate that if  $r \in U$  and  $s \in \mathbb{Q}$  satisfy s < r, then  $s \in U$ , we let  $r \in U$  and  $s \in \mathbb{Q}$  be arbitrary elements of their respective sets that satisfy s < r and seek to verify that  $s \in U$ . Since  $r \in U$ , Definition 1.13 tells us that  $r \in Y$  for some  $Y \in X$ . Thus, since Y is a Dedekind cut,  $s \in \mathbb{Q}$  and s < r implies that  $s \in Y$ . Therefore,  $s \in U$ .

To demonstrate that if  $r \in U$ , then there is some  $s \in U$  with s > r, we let  $r \in U$  and seek to find such an s. Since  $r \in U$ , Definition 1.13 tells us that  $r \in Y$  for some  $Y \in X$ . Thus, since Y is a Dedekind cut, there exists a point  $s \in Y$  with s > r. Therefore,  $s \in U$ .

To demonstrate that U is an upper bound of X, Definition 5.6 tells us that it will suffice to confirm that  $Y \leq U$  for all  $Y \in X$ . To confirm this, Definition 6.4 tells us that it will suffice to verify that  $Y \subset U$  for all  $Y \in X$ . But by an extension of Theorem 1.7b, this is true.

Now suppose for the sake of contradiction that there exists an upper bound U' of X such that U' < U. It follows by Definitions 6.4 and 1.3 that there exists a point  $p \in U$  such that  $p \notin U'$ . Thus, by the former statement and Definition 1.13,  $p \in Y$  for some  $Y \in X$ . Additionally, since U' is an upper bound of X, we

<sup>&</sup>lt;sup>1</sup>Note that we add 1 to q to treat the case that  $q = \sup A$ , a case in which we would have B = A if B were defined as  $\{x \in \mathbb{Q} \mid x < q\}$ .

have by Definitions 5.6 and 6.4 that  $Y \subset U'$  for all  $Y \in X$ . But this implies by Definition 1.3 that  $p \in U'$ , a contradiction.

1/19: **Exercise 6.7.** Show that  $\mathbb{R}$  satisfies Axiom 4.

*Proof.* Suppose for the sake of contradiction that  $\mathbb{R}$  does not satisfy Axiom 4. It follows that  $\mathbb{R}$  is not connected, implying by Definition 4.22 that  $\mathbb{R} = A \cup B$  where A, B are disjoint, nonempty, open sets. Since A, B are disjoint and nonempty, we know that there exist distinct objects  $a \in A$  and  $b \in B$ . WLOG, let a < b.

We now seek to prove that the set  $A \cap \underline{ab}$  is nonempty and bounded above. To prove that  $A \cap \underline{ab}$  is nonempty, Definition 1.8 tells us that it will suffice to find an element of  $A \cap \underline{ab}$ . Since  $a \in A$  and A is open, we have by Theorem 4.10 that there exists a region  $\underline{cd}$  such that  $a \in \underline{cd}$  and  $\underline{cd} \subset A$ . It follows by Definitions 3.10 and 3.6 that a < d, implying by Lemma  $6.10^{[2]}$  that there exists some point  $x \in \mathbb{R}$  such that c < a < x < d < b (note that d < b since if b < d, then  $b \in \underline{cd}$  would contradict the fact that  $\underline{cd} \subset A$ ). Consequently,  $x \in \underline{cd}$ , meaning that  $x \in A$ , and  $x \in \underline{ab}$ . Therefore,  $x \in A \cap \underline{ab}$ , as desired. To prove that  $A \cap \underline{ab}$  is bounded above, Definition 5.6 tells us that it will suffice to show that b is an upper bound of  $A \cap \underline{ab}$ . To show this, Definition 5.6 tells us that it will suffice to confirm that  $y \leq b$  for all  $y \in A \cap \underline{ab}$ . Let y be an arbitrary element of  $A \cap \underline{ab}$ . Then by Definition 1.6,  $y \in A$  and  $y \in \underline{ab}$ . It follows from the latter statement by Definitions 3.10 and 3.6 that y < b, i.e.,  $y \leq b$ , as desired.

Having established that  $A \cap \underline{ab} \subset \mathbb{R}$  is nonempty and bounded above, we can invoke Lemma 6.6 to learn that  $A \cap \underline{ab}$  has a supremum  $\sup(A \cap \underline{ab})$ . We now divide into two cases  $(\sup(A \cap \underline{ab}) \in A$  and  $\sup(A \cap \underline{ab}) \in B$ ; it follows from the definitions of A and B that exactly one of these cases is true). Suppose first that  $\sup(A \cap \underline{ab}) \in A$ . Then since A is open, we have by Theorem 4.10 that there exists a region  $\underline{ef}$  such that  $\sup(A \cap \underline{ab}) \in \underline{ef}$  and  $\underline{ef} \subset A$ . It follows from the former condition that  $\sup(A \cap \underline{ab}) < f$ . Thus, by Lemma 6.10, there exists an object  $z \in \mathbb{R}$  such that  $e < \sup(A \cap \underline{ab}) < z < f < b$  (note that f < b for the same reason that d < b). Consequently,  $z \in \underline{ef}$ , implying that  $z \in A$ , and  $z \in \underline{ab}$ . Thus, we have found an element of  $A \cap \underline{ab}$  that is greater than  $\sup(A \cap \underline{ab})$ , contradicting Definitions 5.7 and 5.6. The proof is symmetric in the other case.

1/14: **Definition 6.8.** Let C be a continuum satisfying Axioms 1-4. Consider a subset  $X \subset C$ . We say that X is **dense** in C if every  $p \in C$  is a limit point of X.

**Lemma 6.9.** A subset  $X \subset C$  is dense in C if and only if  $\overline{X} = C$ .

*Proof.* Suppose first that  $X \subset C$  is dense in C. To prove that  $\overline{X} = C$ , Definition 1.2 tells us that it will suffice to show that every point  $p \in \overline{X}$  is an element of C and vice versa. Clearly, every element of  $\overline{X}$  is an element of C. On the other hand, let p be an arbitrary element of C. Since X is dense in C, Definition 6.8 tells us that  $p \in LP(X)$ . Therefore, by Definitions 1.5 and 4.4,  $p \in \overline{X}$ .

Now suppose that  $\overline{X} = C$ . To prove that X is dense in C, Definition 6.8 tells us that it will suffice to show that every  $p \in C$  is a limit point of X. Let p be an arbitrary element of C. By Corollary 5.4, this implies that  $p \in LP(C)$ . It follows that  $p \in LP(\overline{X})$ . Thus, by Definition 4.4,  $p \in LP(X \cup LP(X))$ . Consequently, by Theorem 3.20,  $p \in LP(X)$  or  $p \in LP(LP(X))$ . We now divide into two cases. If  $p \in LP(X)$ , then we are done. On the other hand, if  $p \in LP(LP(X))$ , the lemma from Theorem 4.6 asserts that  $p \in LP(X)$ , and we are done again.

Our next goal is to prove that  $\mathbb{Q}$  is dense in  $\mathbb{R}$ . Just to make sense of that statement, we need to decide how to think of  $\mathbb{Q}$  as a subset of  $\mathbb{R}$ . For every rational number  $q \in \mathbb{Q}$ , define the corresponding real number as the Dedekind cut

$$i(q) = \{ x \in \mathbb{Q} \mid x < q \}$$

For example,  $\mathbf{0} = i(0)$ . It can be verified that this gives a well-defined injective function  $i : \mathbb{Q} \to \mathbb{R}$ . We identify  $\mathbb{Q}$  with its image  $i(\mathbb{Q}) \subset \mathbb{R}$  so that the rational numbers  $\mathbb{Q}$  are a subset of the real numbers  $\mathbb{R}$ . (Similarly,  $\mathbb{N}$  and  $\mathbb{Z}$  can be understood as subsets of  $\mathbb{R}$ .)

**Lemma 6.10.** Given  $A, B \in \mathbb{R}$  with A < B, there exists  $p \in \mathbb{Q}$  such that A < i(p) < B.

<sup>&</sup>lt;sup>2</sup>We may use this lemma since it does not depend on this result, Definition 6.8, or Lemma 6.9.

Proof. Since A < B, Definition 6.4 tells us that  $A \subsetneq B$ . Thus, by Definition 1.3, there exists a point q such that  $q \in B$  and  $q \notin A$ . Since  $q \in B$  where B is a Dedekind cut, we have by Definition 6.1 that there exists a point  $p \in B$  with p > q. Additionally, since  $q \notin A$  implies that q is an upper bound of A by Lemma 6.2, we know by Definition 5.6 that  $x \leq q$  for all  $x \in A$ . It follows since q < p that  $x \leq p$  for all  $x \in A$ , meaning by Definition 5.6 and Lemma 6.2 that  $p \notin A$ . Having established that  $p, q \in B$ ,  $p, q \notin A$ , and q < p, we are now ready to prove that A < i(p) < B. Definition 6.4 tells us that we may do so by showing that  $A \subsetneq i(p)$  and  $i(p) \subsetneq B$ . We will take this one argument at a time.

To show that  $A \subsetneq i(p)$ , Definition 1.3 tells us that it will suffice to verify that every element of A is an element of i(p) and that there exists an element of i(p) that is not an element of A. We treat the former statement first. As previously mentioned,  $x \leq p$  for all  $x \in A$ . This combined with the fact that  $p \notin A$  implies that x < p for all  $x \in A$ . Thus, by the definition of i(p),  $x \in i(p)$  for all  $x \in A$ , as desired. As to the latter statement, since q < p, we have by the definition of i(p) that  $q \in i(p)$ . However, we also know that  $q \notin A$ , as desired.

To show that  $i(p) \subseteq B$ , we must verify symmetric arguments to before. For the former statement, let r be an arbitrary element of i(p). Then by the definition of i(p), r < p. Since  $p \in B$  and  $r \in \mathbb{Q}$  satisfy r < p, we have by Definition 6.1 that  $r \in B$ , as desired. As to the latter statement, p is clearly an element of B that is not an element of i(p), as desired.

### 1/19: **Theorem 6.11.** $i(\mathbb{Q})$ is dense in $\mathbb{R}$ .

Proof. To prove that  $i(\mathbb{Q})$  is dense in  $\mathbb{R}$ , Definition 6.8 tells us that it will suffice to show the every point  $X \in \mathbb{R}$  is a limit point of  $i(\mathbb{Q})$ . Let X be an arbitrary element of  $\mathbb{R}$ . To show that  $X \in LP(i(\mathbb{Q}))$ , Definition 3.13 tells us that it will suffice to verify that for every region  $\underline{AB}$  with  $X \in \underline{AB}$ , we have  $\underline{AB} \cap (i(\mathbb{Q}) \setminus \{X\}) \neq \emptyset$ . Let  $\underline{AB}$  be an arbitrary region with  $X \in \underline{AB}$ . It follows by Definitions 3.10 and 3.6 that A < X < B. Thus, by Lemma 6.10, there exists  $p \in \mathbb{Q}$  such that A < i(p) < X < B. By Definitions 3.6 and 3.10,  $i(p) \in \underline{AB}$ . By Definition 1.18,  $i(p) \in i(\mathbb{Q})$ . By Exercise 6.5, i(p) < X implies that  $i(p) \neq X$ . Combining the last three results with Definitions 1.11 and 1.6, we have that  $i(p) \in \underline{AB} \cap (i(\mathbb{Q}) \setminus \{X\})$ , as desired.

Corollary 6.12 (The Archimedean Property). Let  $A \in \mathbb{R}$  be a positive real number. Then there exist nonzero natural numbers  $n, m \in \mathbb{N}$  such that  $i(\frac{1}{n}) < A < i(m)$ .

*Proof.* We will first prove that there exists a nonzero natural number n such that  $i(\frac{1}{n}) < A$ . We will then prove that there exists a nonzero natural number m such that A < i(m). Let's begin.

Since  $A \in \mathbb{R}$  is positive, we know that 0 < A. Thus, by Lemma 6.10, there exists  $\frac{p}{n} \in \mathbb{Q}$  such that  $0 < i(\frac{p}{n}) < A$ . As permitted by Exercise 3.9b, we choose  $\frac{p}{n} \in \left[\frac{p}{n}\right]$  to be an object such that 0 < n (this means that  $n \in \mathbb{N}$ ). Consequently, by Scripts 2 and 3, we know that  $0 < \frac{1}{n} \le \frac{p}{n}$ . It follows that  $i(\frac{1}{n}) \le i(\frac{p}{n})$  since  $x \in i(\frac{1}{n})$  implies  $x < \frac{1}{n} \le \frac{p}{n}$  implies  $x \in i(\frac{p}{n})$ , implies  $i(\frac{1}{n}) \subset i(\frac{p}{n})$ . Therefore,  $i(\frac{1}{n}) \le i(\frac{p}{n}) < A$ , as desired.

By Exercise 6.5, Axiom 3, and Definition 3.3, there exists a point  $B \in \mathbb{R}$  such that A < B. It follows by Lemma 6.10 that there exists  $\frac{m}{q} \in \mathbb{Q}$  such that  $A < i(\frac{m}{q}) < B$ . As before, let  $\frac{m}{q}$  be an object such that 0 < q. Consequently, by Scripts 2 and 3, we know that  $0 < \frac{m}{q} \le m$ . Once again, for the same reasons as before,  $i(\frac{m}{q}) \le i(m)$ . Therefore,  $A < i(\frac{m}{q}) \le i(m)$ , as desired.

Corollary 6.13.  $i(\mathbb{N})$  is an unbounded subset of  $\mathbb{R}$ .

*Proof.* Suppose for the sake of contradiction that  $i(\mathbb{N})$  is bounded above. Then by Definition 5.6, there exists a point  $A \in \mathbb{R}$  such that  $i(n) \leq A$  for all  $n \in \mathbb{N}$ . Note that A is a positive real number since  $0 = i(0) \leq A$ . But by Corollary 6.12, A < i(n) for some  $n \in \mathbb{N}$ , a contradiction.

1/21: Corollary 6.14. If  $A \in \mathbb{R}$  is a real number, then there is an integer n such that  $i(n-1) \leq A < i(n)$ .

*Proof.* Let X be be the set of all integers z such that  $i(z) \leq A$ . Symbolically,

$$X = \{ z \mid z \in \mathbb{Z} \text{ and } i(z) \le A \}$$

Since  $A \neq \emptyset$  by Definition 6.1, there exists a point  $\frac{p}{q} \in \mathbb{Q}$  such that  $\frac{p}{q} \in A$ . As in Corollary 6.12, we let q > 0. It follows by Scripts 2 and 3 that if  $p \geq 0$ , then  $0 \leq \frac{p}{q}$ , i.e.,  $|0| \leq A$  and if p < 0, then  $p \leq \frac{p}{q}$ , i.e.,

<sup>&</sup>lt;sup>3</sup>For the same reasons as in Corollary 6.12.

 $i(p) \leq A$ . Thus, in either case, X is nonempty.

Now by Corollary 6.12, there exists a nonzero natural number m such that A < i(m). Let  $f: X \to \mathbb{N}$  be defined by the rule

$$f(x) = m - x$$

By Script 1, f is an injective function,  $f(X) \subset \mathbb{N}$ , and f(X) is nonempty (since X is nonempty). Thus, by Additional Exercise 0.1, there is a least element, which we shall call y, in f(X). Since f is injective, there exists exactly one object  $n-1 \in X$  such that f(n-1)=y.

By the definition of X,  $i(n-1) \leq A$ . To prove that A < i(n), suppose for the sake of contradiction that  $i(n) \leq A$ . This coupled with the fact that  $n \in \mathbb{Z}$  implies that  $n \in X$ . Thus,  $f(n) \in f(X)$ . But f(n) = m - n < m - n + 1 = m - (n - 1) = f(n - 1), contradicting the fact that f(n - 1) is the least element of f(X).

1/26: **Axiom 1.** The continuum contains a countable dense subset.

**Definition 6.15.** Let X and Y be sets with orderings  $<_X$  an  $<_Y$ , respectively. A function  $f: X \to Y$  is **order-preserving** if for all  $r, s \in X$ ,

$$r <_X s \Longrightarrow f(r) <_Y f(s)$$

Note that the function  $i: \mathbb{Q} \to \mathbb{R}$  discussed above is order preserving.

**Exercise 6.16.** Let C satisfy Axioms 1-5. Let  $K \subset C$  be a countable dense subset of C. Construct an order-preserving bijection  $f: \mathbb{Q} \to K$ .

#### Lemma.

- a) K satisfies Axiom 3.
- b) (Density Lemma) For all  $x, y \in K$ , if x < y, then there exists a point  $z \in K$  such that z is between x and y.

Proof of a. To prove that K satisfies Axiom 3, we must verify that K has neither a first nor a last point. We will address the first point question first. Suppose for the sake of contradiction that K has a first point x. Then by Definition 3.3,  $x \leq y$  for all  $y \in K$ . However, since C satisfies Axiom 3, there exists an object  $a \in C$  such that a < x. Now consider the region  $\underline{ax}$ . We have by Corollary 5.3 that there exists a point  $p \in \underline{ax}$ . Additionally, we have by Script 3 that  $\underline{ax} \cap K = \emptyset$ . Thus,  $\underline{ax} \cap (K \setminus \{p\}) = \emptyset$ , implying by Definition 3.13 that  $p \notin LP(K)$ . But since  $p \in C$  and  $p \notin LP(K)$ , we have by Definition 6.8 that K is not dense in C, a contradiction.

The proof is symmetric for last points.

Proof of b. Suppose for the sake of contradiction that that there exist  $x, y \in K$  with x < y such that no point  $z \in K$  is between x and y. By Theorem 5.2, there exists  $p \in C$  such that p is between x and y. Consequently, by Definition 3.10,  $p \in \underline{xy}$ . Additionally, we have by Script 3 that  $\underline{xy} \cap K = \emptyset$ . It follows that  $\underline{xy} \cap (K \setminus \{p\}) = \emptyset$ , implying by Definition 3.13 that  $p \notin LP(K)$ . But since  $p \in C$  and  $p \notin LP(K)$ , we have by Definition 6.8 that K is not dense in C, a contradiction.

Proof of Exercise 6.16. By Theorem 2.11,  $\mathbb{Q}$  is countable, implying by Definition 1.35 that there exists a bijection  $g: \mathbb{N} \to \mathbb{Q}$ . The existence of this bijection means that we can refer to an arbitrary element q of  $\mathbb{Q}$  by the number n for which g(n) = q; in another notation, we can refer to q as  $q_n$ . Thus, since every element of  $\mathbb{Q}$  can be written as  $q_n$  for some  $n \in \mathbb{N}$ , we can write  $\mathbb{Q} = \{q_1, q_2, \ldots\}$ . Similarly, we can express K as  $K = \{k_1, k_2, \ldots\}$ . We will use this method of referring to the elements of  $\mathbb{Q}$  to construct f.

We define f recursively with strong induction. For the base case  $q_1$ , we define  $f(q_1) = k_1$ . Now suppose inductively that we have defined  $f(q_1), f(q_2), \ldots, f(q_n)$ ; we now seek to define  $f(q_{n+1})$ . By Theorem 3.5, the symbols  $a_1, \ldots, a_{n+1}$  can be assigned to  $q_1, \ldots, q_{n+1}$  so that  $a_1 <_{\mathbb{Q}} a_2 <_{\mathbb{Q}} \cdots <_{\mathbb{Q}} a_{n+1}$ . We divide into three cases  $(q_{n+1} = a_1, q_{n+1} = a_{n+1}, \text{ and } q_{n+1} = a_i \text{ where } 1 < i < n+1)$ . First, suppose that  $q_{n+1} = a_1$ . By the inductive hypothesis,  $f(a_2), f(a_3), \ldots, f(a_{n+1})$  are defined elements of K. At this point, define the set  $X = \{k \in K \mid k <_K f(a_2)\}$ . It follows by Lemma (a) that this set is nonempty. Thus, by

the well-ordering principle, there exists a  $k_i \in X$  such that  $i \leq j$  for all  $k_j \in X$ . We let  $f(q_{n+1}) = k_i$ . The second case is symmetric to the first. Third, suppose that  $q_{n+1} = a_i$  where 1 < i < n+1. By the inductive hypothesis,  $f(a_1), \ldots, f(a_{i-1}), f(a_{i+1}), \ldots, f(a_{n+1})$  are defined elements of K. At this point, define the set  $X = \{k \in K \mid f(a_{i-1}) <_K k <_K f(a_{i+1})\}$ . It follows by Lemma (b) that this set is nonempty. Thus, by the well-ordering principle, there exists a  $k_i \in X$  such that  $i \leq j$  for all  $k_j \in X$ . We let  $f(q_{n+1}) = k_i$ .

To prove that f is order-preserving, we will first verify the following claim (which we will refer to as Lemma (c) for future reference): Consider the set  $\{q_1,\ldots,q_n\}\subset\mathbb{Q}$ ; if the symbols  $a_1,\ldots,a_n$  are assigned to  $q_1,\ldots,q_n$  such that  $a_1<_{\mathbb{Q}} a_2<_{\mathbb{Q}}\cdots<_{\mathbb{Q}} a_n$ , then  $f(a_1)<_K f(a_2)<_K\cdots<_K f(a_n)$ . We will then use this result to prove that f is order-preserving for any two arbitrary elements  $q_i,q_i\in\mathbb{Q}$ . Let's begin.

To verify the above claim, we induct on n. The base case n=1 is vacuously true. Now suppose inductively that we have proven the claim for n; we now seek to prove it for n+1. By Theorem 3.5, the symbols  $a_1, \ldots, a_{n+1}$  can be assigned to  $q_1, \ldots, q_{n+1}$  so that  $a_1 <_{\mathbb{Q}} a_2 <_{\mathbb{Q}} \cdots <_{\mathbb{Q}} a_{n+1}$ . We divide into three cases  $(q_{n+1} = a_1, q_{n+1} = a_{n+1}, \text{ and } q_{n+1} = a_i \text{ where } 1 < i < n+1)$ . First, suppose that  $q_{n+1} = a_1$ . By the definition of f,  $f(q_{n+1}) \in \{k \in K \mid k <_K f(a_2)\}$ , meaning that  $f(q_{n+1}) = f(a_1) <_K f(a_2)$ . Additionally, by the inductive hypothesis, we know that  $f(a_2) <_K f(a_3) <_K \cdots <_K f(a_{n+1})$  (since  $a_2, \ldots, a_{n+1}$  correspond to  $q_1, \ldots, q_n$ ). These two results imply that  $f(a_1) <_K f(a_2) <_K \cdots <_K f(a_{n+1})$ . The proof of the second case is symmetric to that of the first. Third, suppose that  $q_{n+1} = a_i$  where 1 < i < n+1. By the definition of f,  $f(q_{n+1}) \in \{k \in K \mid f(a_{i-1}) <_K k <_K f(a_{i+1})\}$ , meaning that  $f(a_{i-1}) <_K f(a_{n+1}) = f(a_i) <_K f(a_{i+1})$ . Additionally, by the inductive hypothesis, we know that  $f(a_1) <_K \cdots <_K f(a_{i-1}) <_K f(a_{i+1}) <_K \cdots <_K f(a_{n+1})$ . These two results imply that  $f(a_1) <_K f(a_2) <_K \cdots <_K f(a_{n+1})$ .

We are now ready to actually prove that f is order-preserving. To do so, Definition 6.15 tells us that it will suffice to show that for all  $q_i, q_j \in \mathbb{Q}$ ,  $q_i <_{\mathbb{Q}} q_j$  implies  $f(q_i) <_K f(q_j)$ . Let  $q_i, q_j$  be arbitrary elements of  $\mathbb{Q}$  such that  $q_i <_{\mathbb{Q}} q_j$ . Since  $q_i <_{\mathbb{Q}} q_j$ ,  $q_i \neq q_j$ , implying that  $i \neq j$ . We divide into two cases (i < j and i > j). Suppose first that i < j. By Theorem 3.5, the symbols  $a_1, \ldots, a_j$  can be assigned to  $q_1, \ldots, q_j$  so that  $a_1 <_{\mathbb{Q}} a_2 <_{\mathbb{Q}} \cdots <_{\mathbb{Q}} a_j$ . Let  $q_j = a_l$ . Since  $q_i <_{\mathbb{Q}} q_j$ , we know that  $q_i = a_m$  where m < l. Additionally, by Lemma (c), we know that  $f(a_1) <_K f(a_2) <_K \cdots <_K f(a_j)$ . It follows that  $f(a_m) <_K f(a_l)$ , implying that  $f(q_i) <_K f(q_j)$ , as desired. The proof is symmetric in the other case.

To prove that f is bijective, Definition 1.20 tells us that it will suffice to show that f is injective and surjective.

To show that f is injective, Definition 1.20 tells us that it will suffice to demonstrate that  $q_i \neq q_j$  implies  $f(q_i) \neq f(q_j)$ . WLOG let  $q_i <_{\mathbb{Q}} q_j$ . Then since f is order-preserving, Definition 6.15 implies that  $f(q_i) <_K f(q_j)$ . It follows that  $f(q_i) \neq f(q_j)$ , as desired.

To show that f is surjective, Definition 1.20 tells us that it will suffice to demonstrate that for all  $k_n \in K$ , there exists a  $q_i \in \mathbb{Q}$  such that  $f(q_i) = k_n$ . To do this, we induct on n. For the base case n = 1, it follows from the definition of f that  $f(q_1) = k_1$ . Now suppose inductively that for each  $k_1, \ldots, k_n$ , there exists a  $q_i \in \mathbb{Q}$  such that  $f(q_i) = k_n$ ; we now seek to prove the claim for n + 1. By Theorem 3.5, the symbols  $b_1, \ldots, b_{n+1}$  can be assigned to  $k_1, \ldots, k_{n+1}$  so that  $b_1 <_K b_2 <_K \cdots <_K b_{n+1}$ . We divide into three cases  $(k_{n+1} = b_1, k_{n+1} = b_{n+1}, \text{ and } k_{n+1} = b_i \text{ where } 1 < i < n+1)$ . First, suppose that  $k_{n+1} = b_1$ . By the inductive hypothesis,  $b_2 = f(q_i) <_K b_3 = f(q_j) <_K \cdots <_K b_{n+1} = f(q_l)$ . It follows by Definition 6.15 that  $q_i <_{\mathbb{Q}} q_j <_{\mathbb{Q}} \cdots <_{\mathbb{Q}} q_l$ . At this point, define the set  $X = \{q \in \mathbb{Q} \mid q <_{\mathbb{Q}} q_i\}$ . It follows from Exercise 3.9d that this set is nonempty. Thus, by the well-ordering principle, there exists a  $q_m \in X$  such that  $m \le m'$  for all  $k_{m'} \in X$ . By the definition of f,  $f(q_m) = k_{n+1}$ . The proof of the second case is symmetric to that of the first. Third, suppose that  $k_{n+1} = b_i$  where 1 < i < n+1. By the inductive hypothesis,  $b_2 = f(q_j) <_K \cdots <_K b_{i-1} = f(q_{i'}) <_K b_{i+1} = f(q_l) <_K \cdots <_K b_{n+1} = f(q_{l'})$ . It follows by Definition 6.15 that  $q_j <_{\mathbb{Q}} <_{\mathbb{Q}} <_{\mathbb{Q}} q_l <_{\mathbb{Q}} <_{\mathbb{Q}} <_{\mathbb{Q}} <_{\mathbb{Q}} q_l$ . At this point, define the set  $X = \{q \in \mathbb{Q} \mid q_{j'} <_{\mathbb{Q}} q <_{\mathbb{Q}} q_l\}$ . It follows from Additional Exercise 3.1 that this set is nonempty. Thus, by the well-ordering principle, there exists a  $q_m \in X$  such that  $m \le m'$  for all  $k_{m'} \in X$ . By the definition of f,  $f(q_m) = k_{n+1}$ .

**Exercise 6.17.** Let  $f: \mathbb{Q} \to K$  be an order-preserving bijection, as found in Exercise 6.16. Let  $A \in \mathbb{R}$ . Then  $A \subset \mathbb{Q}$  and so  $f(A) \subset K \subset C$ . Define  $F: \mathbb{R} \to C$  by

$$F(A) = \sup f(A)$$

1. Show sup f(A) exists, so F is well-defined.

#### 2. Show F is injective and order-preserving.

Proof of 1. To prove that  $\sup f(A)$  exists, Theorem 5.17 tells us that it will suffice to show that f(A) is nonempty and bounded above. To show that f(A) is nonempty, Definition 1.8 tells us that it will suffice to find an element of f(A). By Definition 6.1,  $A \neq \emptyset$ . Thus, by Definition 1.8, there exists an object  $x \in A$ . It follows by Definition 1.18 that  $f(x) \in f(A)$ , as desired. To show that f(A) is bounded above, Definition 5.6 tells us that it will suffice to find an object  $f(x) \in f(A)$  such that  $f(x) \geq_C f(a)$  for all  $f(a) \in f(A)$ . By Definition 6.1,  $A \neq \mathbb{Q}$  and  $A \subset \mathbb{Q}$ . Thus, by Definition 1.2, there exists an object  $x \in \mathbb{Q}$  such that  $x \notin A$ . It follows from the latter condition by Lemma 6.2 that x is an upper bound for A. Thus, by Definition 5.6,  $x \geq a$  for all  $a \in A$ . Consequently, by Definition 6.15, f(x) is an object such that  $f(x) \geq_C f(a)$  for all  $f(a) \in f(A)$ , as desired.

Proof of 2. To prove that F is order-preserving, Definition 6.15 tells us that it will suffice to show that for all  $A, B \in \mathbb{R}$ ,  $A <_{\mathbb{R}} B$  implies  $F(A) <_C F(B)$ . Let A, B be two arbitrary elements of  $\mathbb{R}$  satisfying  $A <_{\mathbb{R}} B$ . Then by Definitions 6.4 and 1.3, there exists a point  $x \in B$  such that  $x \notin A$ . It follows from the latter condition by Lemma 6.2 and Definition 5.6 that  $x \geq a$  for all  $a \in A$ . Thus, by Definition 6.15,  $f(x) \geq_C f(a)$  for all  $f(a) \in f(A)$ . Consequently, by Definition 5.7,  $\sup f(A) \leq_C f(x)$ . Additionally, by Definition 6.1, there exists a point  $y \in B$  such that y > x. Thus, by Definition 6.15, we have that  $f(y) >_C f(x)$ . It follows by Definitions 5.6 and 5.7 that  $f(y) \leq_C \sup f(B)$ . Combining two results, we therefore have that  $\sup f(A) \leq_C f(x) <_C f(y) \leq_C \sup f(B)$ , meaning that  $F(A) = \sup f(A) <_C \sup f(B) = F(B)$ , as desired.

To prove that F is injective, Definition 1.20 tells us that it will suffice to show that if  $A \neq B$ , then  $F(A) \neq F(B)$ . Let A, B be two distinct real numbers. Then by Exercise 6.5, A < B or B < A. We now divide into two cases. Suppose first that A < B. Then F(A) < F(B) by Definition 6.15 (which we have just proven applies to F). This implies by Definition 3.1 that  $F(A) \neq F(B)$ , as desired. The proof is symmetric in the other case.

**Theorem 6.18.** Suppose that C is a continuum satisfying Axioms 1-5. Then C is isomorphic to the real numbers  $\mathbb{R}$ ; i.e., there is an order-preserving bijection  $F: \mathbb{R} \to C$ .

**Lemma.** Let K be a dense subset of C. For all  $x, y \in C$ , if x < y, then there exists a point  $z \in K$  such that z is between x and y.

*Proof.* Suppose for the sake of contradiction that there exist two points  $x, y \in C$  with x < y such that no point  $z \in K$  is between x and y. By Corollary 5.3, the region  $\underline{xy}$  is infinite. Thus, we can pick a point  $p \in \underline{xy}$ . Additionally, by Definition 1.6, we have that  $\underline{xy} \cap K = \overline{\emptyset}$ . Thus,  $\underline{xy} \cap (K \setminus \{p\}) = \emptyset$ , implying by Definition 3.13 that  $p \notin LP(K)$ . But since  $p \in C$  and  $p \notin LP(K)$ , we have by Definition 6.8 that K is not dense in C, a contradiction.

Proof of Theorem 6.18. By Axiom 1, C contains a countable dense subset K. By Exercise 6.16, there exists an order-preserving bijection  $f: \mathbb{Q} \to K$ . By Exercise 6.17, there exists an order-preserving injection  $F: \mathbb{R} \to C$ . To prove that there is an order-preserving bijection  $F: \mathbb{R} \to C$ , all that is left to do is to demonstrate that F (as defined in Exercise 6.17) is surjective.

To do this, Definition 1.20 tells us that it will suffice to show that for all  $X \in C$ , there exists an object  $A \in \mathbb{R}$  such that F(A) = X. Put more simply, we must find a Dedekind cut A such that  $\sup f(A) = X$  for every  $X \in C$ . To do this, we will begin by constructing the set  $S = \{k \in K \mid k < X\}$ . We will then verify that the preimage  $f^{-1}(S)$  is a Dedekind cut. Lastly, we will verify that  $\sup f(f^{-1}(S)) = X$ . Let's begin.

Let X be an arbitrary element of C. Define S as above. To verify that  $f^{-1}(S)$  is a Dedekind cut, Definition 6.1 tells us that it will suffice to confirm that  $f^{-1}(S) \neq \emptyset$ ;  $f^{-1}(S) \neq \mathbb{Q}$ ; if  $r \in f^{-1}(S)$  and  $s \in \mathbb{Q}$  satisfy s < r, then  $s \in f^{-1}(S)$ ; and if  $r \in f^{-1}(S)$ , then there is some  $s \in f^{-1}(S)$  with s > r. We will take this one claim at a time.

To confirm that  $f^{-1}(S) \neq \emptyset$ , Definition 1.8 tells us that it will suffice to find an element of  $f^{-1}(S)$ . By Axiom 3 and Definition 3.3, there exists some point  $Y \in C$  such that Y < X. Consequently, by the lemma and Definition 3.6, there exists a point  $f(p) \in K^{[4]}$  such that Y < f(p) < X. It follows by the definition of S that  $f(p) \in S$ . Therefore, by Definition 1.18,  $p \in f^{-1}(S)$ , as desired.

<sup>&</sup>lt;sup>4</sup>Note that we know that the element of K (the existence of which is implied by the lemma) can be written in the form f(p) because f is bijective.

To confirm that  $f^{-1}(S) \neq \mathbb{Q}$ , Definition 1.2 tells us that it will suffice to find an element of  $\mathbb{Q}$  that is not an element of  $f^{-1}(S)$ . By Axiom 3 and Definition 3.3, there exists some point  $Y \in C$  such that X < Y. Consequently, by the lemma and Definition 3.6, there exists a point  $f(p) \in K$  such that X < f(p) < Y. It follows by the definition of S that  $f(p) \notin S$ . Therefore, by Definition 6.18,  $p \in \mathbb{Q}$  but  $p \notin f^{-1}(S)$ , as desired.

To confirm that if  $r \in f^{-1}(S)$  and  $s \in \mathbb{Q}$  satisfy s < r, then  $s \in f^{-1}(S)$ , we let  $r \in f^{-1}(S)$  and  $s \in \mathbb{Q}$  be arbitrary elements of their respective sets that satisfy s < r and seek to verify that  $s \in f^{-1}(S)$ . By Definition 1.18, the fact that  $r \in f^{-1}(S)$  implies that  $f(r) \in S$ . Thus, by the definition of S, f(r) < X. Additionally, by the definition of f and Definition 6.15,  $f(s) \in K$  and f(s) < f(r), respectively. Since f(s) < f(r) and f(r) < X, transitivity implies that f(s) < X. This combined with the previously established fact that  $f(s) \in K$  implies that  $f(s) \in S$ . Therefore, by Definition 1.18,  $s \in f^{-1}(S)$ , as desired.

To confirm that if  $r \in f^{-1}(S)$ , then there is some  $s \in f^{-1}(S)$  with s > r, we let  $r \in f^{-1}(S)$  and seek to find such an s. As before,  $r \in f^{-1}(S)$  implies that  $f(r) \in S$ . Thus, by the definition of S, f(r) < X. It follows by the lemma and Definition 3.6 that there exists a point  $f(s) \in K$  such that f(r) < f(s) < X. Consequently, by the definition of S, we have that  $f(s) \in S$ . Therefore, by Definitions 1.18 and 6.15,  $s \in f^{-1}(S)$  and r < s, respectively, as desired.

Since f is bijective, Script 1 asserts that  $f(f^{-1}(S)) = S$ . Thus,  $\sup f(f^{-1}(S)) = \sup S$ . To verify that  $\sup S = X$ , Definition 5.7 tells us that it will suffice to confirm that X is an upper bound of S and if U is an upper bound of S,  $X \leq U$ . To confirm the former statement, Definition 5.6 tells us that it will suffice to show that  $k \leq X$  for all  $k \in S$ . But by the definition of S, this is true. To confirm the latter statement, suppose for the sake of contradiction that there exists an upper bound U of S such that U < X. Since U < X, the lemma and Definition 3.6 imply that there exists a point  $Z \in K$  such that U < Z < X. It follows by the definition of S that  $Z \in S$ . Since there exists an element of S greater than U, Definition 5.6 asserts that U is not an upper bound of S, a contradiction.

### 6.2 Discussion

- 1/12: Upper limit at signing up for 4-5 across the script.
  - Lemma 6.2 is probably more straightforward using a contradiction argument.
  - Briefly restate the algebra of Exercise 4.24 in Exercise 6.3c.
- Turning in Script 5 journals is optional it will boost your grade a bit if you do.
  - Your journal grade will be whichever is higher: the average of all your journal grades with and without Script 5.
  - Script 5 will probably be due Wednesday, 1/20.
  - In Lemma 6.6, do we need to prove that the union of arbitrarily many Dedekind cuts is, itself, a Dedekind cut? Yes.
- 1/18: Is there a way to prove something else besides A is not open in Exercise 6.7?
  - This is probably it as far as proving that continuua are connected.
  - It may not be possible to prove that any of the statements are wrong, but he's not sure.
  - Is Lemma 6.9 used in the proofs of any subsequent results, or is it just a less important result (hence the lemma designation)?
    - We can think of it as an alternate definition for density we could prove Definition 6.8 from it.
  - Is my handwavey use of Scripts 2 and 3 ok in Corollary 6.12?
    - I'm fine.
  - Is there a simpler way to prove Corollaries 6.12 and 6.14?

- hi
- Is the math REU still running this summer?
  - He's not sure; UChicago's may not be NSF approved, hence why its not on the website rn.
- What other summer opportunities would you recommend for a student at my level?
  - He did an REU at UWisconsin when he was an undergrad.
  - Sounds like its pretty much just REUs for undergrads.
  - I could ask around to see if anyone is a Knot Theorist/willing to sponsor me.
- 1/19: Easier Corollary 6.12:
  - Let B > A. Then  $A < i(\frac{m}{a}) < B$ . Then A < i(m).
  - Several proofs were given for Corollary 6.14. One other correct one constructed the nonempty, bounded above set of all i(n) less than or equal to A and considered its supremum.
- 1/21: Now graded a bit more critically on presentations.
  - Write big, talk loudly, don't talk to the blackboard.
  - My original proof of Corollary 6.14 is incorrect because I can't split into cases the way I did (longer expo).
    - Instead, use Seb's approach.
- 1/26: Stray thoughts on Exercise 6.16:
  - Any property we can prove for  $\mathbb{Q}$  (e.g., betweenness, Axioms 1-3, etc.) we should be able to prove for K.
    - \* Many of these follow from Q's density! This is how we can make use of this condition.
  - We think of 0 as being somehow the "midpoint" of Q. But since Q diverges in both directions, it doesn't really have a midpoint; we just assert this rather arbitrary structure on a more foundational algebraic construct.
    - \* The same would hold for K. Thus, we can choose an arbitrary point  $x \in K$  and let it be the "midpoint," i.e., let f(0) = x.
  - Can we induct on the elements of  $\mathbb{Q}$ ? Since there exists a bijection  $\mathbb{Q} \to \mathbb{N}$ .
  - We can construct an order preserving bijection between any finite subsets of  $\mathbb{Q}$  and K with equal cardinality.
  - $-f: \mathbb{Q} \to K, g: \mathbb{N} \to \mathbb{Q}, h: \mathbb{N} \to K.$  If g(n) < g(n'), then h(n) < h(n').
  - Let h(n) < h(n'). WLOG let n < n', too. Now consider  $N = \{n \in \mathbb{N} \mid n \le n'\}$ . This is a finite set. Now create a new set g(N). There will be an order-preserving bijection  $\tilde{f}: h(N) \to g(N)$ .
  - Let  $g: \mathbb{N} \to \mathbb{Q}$  be a bijection (we know one exists by countability). We presently seek to define  $h: \mathbb{N} \to K$  recursively. Let  $x_1$  be an arbitrary element of K (Axiom 1). We define  $h(1) = x_1$ . Now suppose inductively that we have defined h(n). We now seek to define h(n+1). Consider the set  $A = \{g(m) \mid m \le n+1\}$ . By Theorem 3.5, we can assign the symbols  $a_1, \ldots, a_{n+1}$  to each point of A so that  $a_1 < a_2 < \cdots < a_{n+1}$ . We know that  $g(n+1) = a_i$  for some  $i \in [n+1]$ . We divide into three cases  $(g(n+1) = b_1, g(n+1) = b_{n+1}, \text{ and } g(n+1) = b_i$  where 1 < i < n+1). First, suppose that  $g(n+1) = b_1$ . By the inductive hypothesis,  $h(g^{-1}(b_2)) \in K$ . By Axiom 3,  $h(g^{-1}(b_2))$  is not the first point of K. Thus, there exists an  $x \in K$  such that  $x < h(g^{-1}(b_2))$ . Consequently, let h(n+1) = x. The proof of the second case is symmetric to that of the first. Third, suppose that  $g(n+1) = b_i$  where 1 < i < n+1. By the inductive hypothesis,  $h(g^{-1}(b_{i-1})), h(g^{-1}(b_{i+1})) \in K$ . Thus, there exists an  $x \in K$  such that  $h(b_{i-1}) < x < h(b_{i+1})$ . Consequently, let h(n+1) = x.

- We define  $f: \mathbb{Q} \to K$  by  $f(p) = h(g^{-1}(p))$ .
- Function diagram: The characteristic of an order preserving bijection is no intersections between lines connecting elements of different sets.
- Do we need to have subscripts on our orderings? Yes.
- The canonical way of doing Exercise 6.16 is with the back and forth method.
  - Because both are countable,  $\mathbb{Q} = \{q_1, q_2, \dots\}$ . Likewise,  $K = \{k_1, k_2, \dots\}$ .
  - To create the bijection, we have two repeating steps.
    - 1. Let i be the smallest index such that  $q_i$  has not been paired. Let j be an index such that  $k_j$  hasn't been paired, and assigning  $f(q_i) = k_j$  preserves ordering (we have to prove that such a j exists). To prove this, we know that we can order the elements of  $\mathbb Q$  that have already been paired. We can also order the elements of K that have already been paired. Case 1:  $q_i$  is between some preexisting q's. Then there exists some  $k_j$  between. Case 2:  $q_i < \cdots < q_n$  implies there exists some  $k_j$  less than all other k so far. Case 3:  $q_i$  is a last element; symmetric to Case 2.
    - 2. Smallest j, smallest i such that order is preserved. Then we let  $f(q_i) = k_j$ .
    - 3. Repeat.
  - Injectivity: Suppose  $f(q_i) = f(q_j)$ . Each  $q_k$  is assigned to a unique  $k_k$ , so if they're equal, they must have been assigned at the same time. Therefore,  $q_i = q_j$ .
  - Surjectivity: Let  $k_j \in K$ . By jth step at most,  $k_j$  will be paired.
- Do summer research things every happen with graduate students, or is it just with professors? It pretty much only happens with professors, but DRP could be a good way to get your foot in the door.