# MATH 16210 (Honors Calculus II IBL) Notes

Steven Labalme

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## Script 6

# Construction of the Real Numbers

### 6.1 Journal

- 1/12: **Definition 6.1.** A subset A of  $\mathbb{Q}$  is said to be a **cut** (or **Dedekind cut**) if it satisfies the following:
  - (a)  $A \neq \emptyset$  and  $A \neq \mathbb{Q}$ .
  - (b) If  $r \in A$  and  $s \in \mathbb{Q}$  satisfy s < r, then  $s \in A$ .
  - (c) A does not have a last point; i.e., if  $r \in A$ , then there is some  $s \in A$  with s > r.

We denote the collection of all cuts by  $\mathbb{R}$ .

**Lemma 6.2.** Let A be a Dedekind cut and  $x \in \mathbb{Q}$ . Then  $x \notin A$  if and only if x is an upper bound for A.

*Proof.* Suppose first that  $x \notin A$ . To prove that x is an upper bound for A, Definition 5.6 tells us that it will suffice to show that for all  $r \in A$ ,  $r \le x$ . Let r be an arbitrary element of A. Then by the contrapositive of Definition 6.1b and the hypothesis that  $x \notin A$ , we know that  $r \notin A$ ,  $x \notin \mathbb{Q}$ , or  $x \not< r$ . But since  $r \in A$  and  $x \in \mathbb{Q}$ , it must be that  $x \not< r$ . Therefore,  $r \le x$ , as desired.

Now suppose that x is an upper bound for A. By Definition 5.6, this implies that for all  $r \in A$ ,  $r \le x$ . Therefore, since there is no  $r \in A$  with r > x, by the contrapositive of Definition 6.1c,  $x \notin A$ , as desired.  $\square$ 

#### Exercise 6.3.

- (a) Prove that for any  $q \in \mathbb{Q}$ ,  $\{x \in \mathbb{Q} \mid x < q\}$  is a Dedekind cut. We then define  $\mathbf{0} = \{x \in \mathbb{Q} \mid x < 0\}$ .
- (b) Prove that  $\{x \in \mathbb{Q} \mid x \leq 0\}$  is not a Dedekind cut.
- (c) Prove that  $\{x \in \mathbb{Q} \mid x < 0\} \cup \{x \in \mathbb{Q} \mid x^2 < 2\}$  is a Dedekind cut.

Proof of a. Let q be an arbitrary element of  $\mathbb{Q}$ . To prove that  $A = \{x \in \mathbb{Q} \mid x < q\}$  is a Dedekind cut, Definition 6.1 tells us that it will suffice to show that  $A \neq \emptyset$ ;  $A \neq \mathbb{Q}$ ; if  $f \in A$  and  $f \in \mathbb{Q}$  satisfy  $f \in A$ , then there is some  $f \in A$  with  $f \in A$  with  $f \in A$ . We will take this one claim at a time.

To show that  $A \neq \emptyset$ , Definition 1.8 tells us that it will suffice to find an element of A. By Exercise 3.9d, q is not the first point of  $\mathbb{Q}$ . Thus, by Definition 3.3, there exists an object  $x \in \mathbb{Q}$  such that x < q. By the definition of A, this implies that  $x \in A$ , as desired.

To show that  $A \neq \mathbb{Q}$ , Definition 1.2 tells us that it will suffice to find an element of  $\mathbb{Q}$  that is not an element of A. By hypothesis,  $q \in \mathbb{Q}$ . By Exercise 3.9d,  $q \not< q$ . Therefore,  $q \in \mathbb{Q}$  but  $q \notin A$ , as desired.

To show that if  $r \in A$  and  $s \in \mathbb{Q}$  satisfy s < r, then  $s \in A$ , we let  $r \in A$  and  $s \in \mathbb{Q}$  be arbitrary elements of their respective sets that satisfy s < r and seek to verify that  $s \in A$ . Since  $r \in A$ , r < q. This combined with the fact that s < r implies by transitivity that s < q. Therefore, since  $s \in \mathbb{Q}$  and s < q,  $s \in A$ , as desired.

To show that if  $r \in A$ , then there is some  $s \in A$  with s > r, we let  $r \in A$  and seek to find such an s. By the definition of A, r < q. Thus, by Additional Exercise 3.1, there exists a point  $s \in \mathbb{Q}$  such that r < s < q. Since  $s \in \mathbb{Q}$  and s < q,  $s \in A$ . It follows that s is the desired element of A satisfying s > r.

Proof of b. To prove that  $A = \{x \in \mathbb{Q} \mid x \leq 0\}$  is not a Dedekind cut, Definition 6.1 tells us that it will suffice to show that A does have a last point. To show this, we will demonstrate that 0 is the last point of A. To demonstrate this, Definition 3.1 tells us that it will suffice to confirm that  $0 \in A$  and for all  $x \in A$ ,  $x \leq 0$ . Since  $0 \leq 0$  and  $0 \in \mathbb{Q}$ ,  $0 \in A$ . Additionally, by the definition of A, it is true that for all  $x \in A$ ,  $x \leq 0$ .

Proof of c. Let  $B = \{x \in \mathbb{Q} \mid x < 0\}$  and let  $C = \{x \in \mathbb{Q} \mid x^2 < 2\}$ . To prove that  $A = B \cup C$  is a Dedekind cut, Definition 6.1 tells us that it will suffice to show that  $A \neq \emptyset$ ;  $A \neq \mathbb{Q}$ ; if  $A \neq \mathbb{Q}$  satisfy  $A \neq \mathbb{Q}$  satisf

To show that  $A \neq \emptyset$ , Definition 1.8 tells us that it will suffice to find an element of A. Since  $-1 \in \mathbb{Q}$  and  $-1 < 0, -1 \in B$ . Therefore, by Definition 1.5,  $-1 \in A$ , as desired.

To show that  $A \neq \mathbb{Q}$ , Definition 1.2 tells us that it will suffice to find an element of  $\mathbb{Q}$  that is not an element of A. Since  $2 \in \mathbb{Q}$  and  $2 \geq 0$ ,  $2 \notin B$ . Additionally, since  $2^2 \geq 2$ ,  $2 \notin C$ . Therefore, by Definition 1.5,  $2 \notin A$ , as desired.

To show that if  $r \in A$  and  $s \in \mathbb{Q}$  satisfy s < r, then  $s \in A$ , we let  $r \in A$  and  $s \in \mathbb{Q}$  be arbitrary elements of their respective sets that satisfy s < r and seek to verify that  $s \in A$ . Since  $r \in A$ , Definition 1.5 tells us that  $r \in B$  or  $r \in C$ . We now divide into two cases. Suppose first that  $r \in B$ . Then s < r < 0, which implies that  $s \in B$ , meaning that  $s \in A$ . Now suppose that  $r \in C$ . We divide into two cases again  $(r \le 0 \text{ and } r > 0)$ . If  $r \le 0$ , then  $s < r \le 0$  implies that s < 0. Thus, by the definition of B,  $s \in B$ , implying that  $s \in A$ . On the other hand, if r > 0, then  $0 < s^2 < r^2 < 2$ . Thus, by the definition of C,  $s \in C$ , implying that  $s \in A$ .

To show that A does not have a last point, suppose for the sake of contradiction that A has a last point p. We now divide into two cases  $(p \le 0 \text{ and } p > 0)$ . Suppose first that  $p \le 0$ . Since p is the last point of A, Definition 3.3 tells us that  $x \le p$  for all  $x \in A$ . But  $1 \in A$  (since  $1 \in \mathbb{Q}$  and  $1^2 = 1 < 2$  implies  $1 \in B$ , implies  $1 \in A$ ) and  $1 > 0 \ge p$ , a contradiction. Now suppose that p > 0. Definition 3.3 tells us that  $p \in A$ , but the condition that p > 0 means  $p \notin B$ , so we must have  $p \in C$ . However, by the proof of Exercise 4.24,  $\frac{2(p+1)}{p+2}$  will be an element of B (and therefore A) that is greater than p no matter how large p is, a contradiction.

**Definition 6.4.** If  $A, B \in \mathbb{R}$ , we say that A < B if A is a proper subset of B.

**Exercise 6.5.** Show that  $\mathbb{R}$  satisfies Axioms 1, 2, and 3.

*Proof.* By Exercise 6.3a,  $\{x \in \mathbb{Q} \mid x < 0\} \in \mathbb{R}$  since  $0 \in \mathbb{Q}$ . Therefore, Axiom 1 is immediately satisfied.

Axiom 2 asserts that  $\mathbb{R}$  must have an ordering <. As such, it will suffice to verify that the ordering given by Definition 6.4 satisfies the stipulations of Definition 3.1. To prove that < satisfies the trichotomy, it will suffice to show that for all  $A, B \in \mathbb{R}$ , exactly one of the following holds: A < B, B < A, or A = B.

We first show that no more than one of the three statements can simultaneously be true. Let A, B be arbitrary elements of  $\mathbb{R}$ . We divide into three cases. First, suppose for the sake of contradiction that A < B and B < A. By Definition 6.4, this implies that  $A \subseteq B$  and  $B \subseteq A$ . Thus, by Definition 1.3,  $A \subseteq B, B \subseteq A$ , and  $A \neq B$ . But by Theorem 1.7,  $A \subseteq B$  and  $B \subseteq A$  implies that A = B, a contradiction. Second, suppose for the sake of contradiction that A < B and A = B. By Definition 6.4, the former statement implies that  $A \subseteq B$ . Thus, by Definition 1.3,  $A \neq B$ , a contradiction. The proof of the third case (B < A and A = B) is symmetric to that of the second case.

We now show that at least one of the three statements is always true. Let A, B be arbitrary elements of  $\mathbb{R}$ , and suppose for the sake of contradiction that  $A \not\leq B$ ,  $B \not\in A$ , and  $A \neq B$ . Since  $A \not\leq B$  and  $B \not\subseteq A$ , we have by Definition 6.4 that  $A \not\subseteq B$  and  $B \not\subseteq A$ . Thus, by Definition 1.3,  $A \not\subset B$  or A = B, and  $B \not\subset A$  or A = B. But  $A \neq B$  by hypothesis, so it must be that  $A \not\subset B$  and  $B \not\subset A$ . It follows from the first statement by Definition 1.3 that there exists an object  $x \in A$  such that  $x \notin B$ , and there exists an object  $y \in B$  such that  $y \notin A$ . Since  $x \notin B$ , Lemma 6.2 implies that x is an upper bound of B. Consequently, by Definition 5.6,  $p \leq x$  for all  $p \in B$ , including y. Similarly,  $p \leq y$  for all  $p \in A$ , including x. Thus, we have  $y \leq x$  and  $x \leq y$ , implying that x = y. But since  $y \in B$ , this implies that  $x \in B$ , a contradiction.

To prove that < is transitive, it will suffice to show that for all  $A, B, C \in \mathbb{R}$ , if A < B and B < C, then A < C. Let A, B, C be arbitrary elements of  $\mathbb{R}$  for which it is true that A < B and B < C. By Definition 6.4, we have  $A \subseteq B$  and  $B \subseteq C$ . Thus, by Script 1,  $A \subseteq C$ . Therefore, by Definition 6.4, A < C.

Axiom 3 asserts that  $\mathbb{R}$  must have no first or last point. We will take this one argument at a time

Suppose for the sake of contradiction that  $\mathbb{R}$  has some first point A. Then by Definition 3.3,  $A \leq X$  for every  $X \in \mathbb{R}$ . Now since A is a Dedekind cut, Definition 6.1 tells us that  $A \neq \emptyset$ . Thus, by Definition 1.8, there exists some  $q \in A$ . Additionally,  $A \subset \mathbb{Q}$  by Definition 6.1, so  $q \in A$  implies that  $q \in \mathbb{Q}$ . It follows by Exercise 6.3a that  $B = \{x \in \mathbb{Q} \mid x < q\}$  is a Dedekind cut. We now seek to prove that  $B \subseteq A$ . To do this, Definition 1.3 tells us that it will suffice to show that  $B \neq A$  and  $B \subset A$ . To show that  $B \neq A$ , Definition 1.2 tells us that it will suffice to find an element of A that is not an element of B. Conveniently, A0 is an element of A1. Let A2 be an arbitrary element of A3. Then by the definition of A4, A5 and A7 is an element of A8. Let A8 be an arbitrary element of A9. Then by the definition of A9 and A9. It follows by Definition 6.1b (which clearly applies to A9 that A9 that A9 are desired. Having proven that A1 and A2 are desired. Having proven that A3 are every A4. But this contradicts the previously demonstrated fact that A4 are every A5. Represented that A5 are every A6 are Represented that A6. But this contradicts the previously demonstrated fact that A5 are every A6. Represented that A5 are Represented that A5 are Represented that A5. But this contradicts the previously demonstrated fact that A5 are every A6. Represented that A6 are Represented that

Suppose for the sake of contradiction that  $\mathbb{R}$  has some last point A. Then by Definition 3.3,  $X \leq A$  for every  $X \in \mathbb{R}$ . Now since A is a Dedekind cut, Definition 6.1 tells us that  $A \neq \mathbb{Q}$ . Thus, by Definition 1.2, there exists some  $q \in \mathbb{Q}$  such that  $q \notin A$ . It follows by Lemma 6.2 that q is an upper bound of A. Consequently, by Definition 5.6,  $x \leq q$  for all  $x \in A$ . Additionally, by Exercise 6.3a,  $B = \{x \in \mathbb{Q} \mid x < q + 1\}^{[1]}$  is a Dedekind cut. We now seek to prove that  $A \subsetneq B$ . As before, this means we must show that  $A \neq B$  and  $A \subset B$ . To show that  $A \neq B$ , Definition 1.2 tells us that it will suffice to find an element of B that is not an element of A. Since  $x \leq q$  for all  $x \in A$  and q < q + 0.5 < q + 1,  $q + 0.5 \notin A$  and  $q + 0.5 \in B$  is the desired object. To show that  $A \subset B$ , Definition 1.3 tells us that we must confirm that every element of A is an element of A. Let  $A \subset B$  be an arbitrary element of  $A \subset B$ . As an element of  $A \subset B$  be an arbitrary element of  $A \subset B$ . But this contradicts the previously demonstrated fact that  $A \subset A$  for every  $A \subset \mathbb{R}$ , including  $A \subset B$ . But this contradicts the previously demonstrated fact that  $A \subset A$  for every  $A \subset \mathbb{R}$ , including  $A \subset B$ .

#### 1/14: **Lemma 6.6.** A nonempty subset of $\mathbb{R}$ that is bounded above has a supremum.

*Proof.* Let X be an arbitrary nonempty subset of  $\mathbb{R}$  that is bounded above. To prove that  $\sup X$  exists, we will show that  $\sup X = U = \bigcup \{Y \mid Y \in X\}$ . To show this, Definition 5.7 tells us that it will suffice to demonstrate that  $U \in \mathbb{R}$ , U is an upper bound of X, and if U' is an upper bound of X, then  $U \leq U'$ . Let's begin.

To demonstrate that  $U \in \mathbb{R}$ , Definition 6.1 tells us that it will suffice to confirm that  $U \neq \emptyset$ ;  $U \neq \mathbb{Q}$ ; if  $r \in U$  and  $s \in \mathbb{Q}$  satisfy s < r, then  $s \in U$ ; and if  $r \in U$ , then there is some  $s \in U$  with s > r.

As the union of a nonempty subset of nonempty sets, Script 1 implies that  $U \neq \emptyset$ .

To demonstrate that  $U \neq \mathbb{Q}$ , Definition 1.2 tells us that it will suffice to find a point  $p \in \mathbb{Q}$  such that  $p \notin U$ . Since X is bounded above, we have by Definition 5.6 that there exists a Dedekind cut  $V \in \mathbb{R}$  such that  $Y \subseteq V$  for all  $Y \in X$ . It follows by Definition 6.4 that  $Y \subset V$  for all  $Y \in X$ . Thus, by Script 1,  $U \subset V$ . Now since V is a Dedekind cut, we know by Definition 6.1 that  $V \subset \mathbb{Q}$  and  $V \neq \mathbb{Q}$ , meaning that there exists a point  $p \in \mathbb{Q}$  such that  $p \notin V$ . Consequently, since  $U \subset V$ ,  $p \notin U$ , as desired.

To demonstrate that if  $r \in U$  and  $s \in \mathbb{Q}$  satisfy s < r, then  $s \in U$ , we let  $r \in U$  and  $s \in \mathbb{Q}$  be arbitrary elements of their respective sets that satisfy s < r and seek to verify that  $s \in U$ . Since  $r \in U$ , Definition 1.13 tells us that  $r \in Y$  for some  $Y \in X$ . Thus, since Y is a Dedekind cut,  $s \in \mathbb{Q}$  and s < r implies that  $s \in Y$ . Therefore,  $s \in U$ .

To demonstrate that if  $r \in U$ , then there is some  $s \in U$  with s > r, we let  $r \in U$  and seek to find such an s. Since  $r \in U$ , Definition 1.13 tells us that  $r \in Y$  for some  $Y \in X$ . Thus, since Y is a Dedekind cut, there exists a point  $s \in Y$  with s > r. Therefore,  $s \in U$ .

To demonstrate that U is an upper bound of X, Definition 5.6 tells us that it will suffice to confirm that  $Y \leq U$  for all  $Y \in X$ . To confirm this, Definition 6.4 tells us that it will suffice to verify that  $Y \subset U$  for all  $Y \in X$ . But by an extension of Theorem 1.7b, this is true.

Now suppose for the sake of contradiction that there exists an upper bound U' of X such that U' < U. It follows by Definitions 6.4 and 1.3 that there exists a point  $p \in U$  such that  $p \notin U'$ . Thus, by the former statement and Definition 1.13,  $p \in Y$  for some  $Y \in X$ . Additionally, since U' is an upper bound of X, we

<sup>&</sup>lt;sup>1</sup>Note that we add 1 to q to treat the case that  $q = \sup A$ , a case in which we would have B = A if B were defined as  $\{x \in \mathbb{Q} \mid x < q\}$ .

have by Definitions 5.6 and 6.4 that  $Y \subset U'$  for all  $Y \in X$ . But this implies by Definition 1.3 that  $p \in U'$ , a contradiction.

1/19: **Exercise 6.7.** Show that  $\mathbb{R}$  satisfies Axiom 4.

*Proof.* Suppose for the sake of contradiction that  $\mathbb{R}$  does not satisfy Axiom 4. It follows that  $\mathbb{R}$  is not connected, implying by Definition 4.22 that  $\mathbb{R} = A \cup B$  where A, B are disjoint, nonempty, open sets. Since A, B are disjoint and nonempty, we know that there exist distinct objects  $a \in A$  and  $b \in B$ . WLOG, let a < b.

We now seek to prove that the set  $A \cap \underline{ab}$  is nonempty and bounded above. To prove that  $A \cap \underline{ab}$  is nonempty, Definition 1.8 tells us that it will suffice to find an element of  $A \cap \underline{ab}$ . Since  $a \in A$  and A is open, we have by Theorem 4.10 that there exists a region  $\underline{cd}$  such that  $a \in \underline{cd}$  and  $\underline{cd} \subset A$ . It follows by Definitions 3.10 and 3.6 that a < d, implying by Lemma  $6.10^{[2]}$  that there exists some point  $x \in \mathbb{R}$  such that c < a < x < d < b (note that d < b since if b < d, then  $b \in \underline{cd}$  would contradict the fact that  $\underline{cd} \subset A$ ). Consequently,  $x \in \underline{cd}$ , meaning that  $x \in A$ , and  $x \in \underline{ab}$ . Therefore,  $x \in A \cap \underline{ab}$ , as desired. To prove that  $A \cap \underline{ab}$  is bounded above, Definition 5.6 tells us that it will suffice to show that b is an upper bound of  $A \cap \underline{ab}$ . To show this, Definition 5.6 tells us that it will suffice to confirm that  $y \leq b$  for all  $y \in A \cap \underline{ab}$ . Let y be an arbitrary element of  $A \cap \underline{ab}$ . Then by Definition 1.6,  $y \in A$  and  $y \in \underline{ab}$ . It follows from the latter statement by Definitions 3.10 and 3.6 that y < b, i.e.,  $y \leq b$ , as desired.

Having established that  $A \cap \underline{ab} \subset \mathbb{R}$  is nonempty and bounded above, we can invoke Lemma 6.6 to learn that  $A \cap \underline{ab}$  has a supremum  $\sup(A \cap \underline{ab})$ . We now divide into two cases  $(\sup(A \cap \underline{ab}) \in A$  and  $\sup(A \cap \underline{ab}) \in B$ ; it follows from the definitions of A and B that exactly one of these cases is true). Suppose first that  $\sup(A \cap \underline{ab}) \in A$ . Then since A is open, we have by Theorem 4.10 that there exists a region  $\underline{ef}$  such that  $\sup(A \cap \underline{ab}) \in \underline{ef}$  and  $\underline{ef} \subset A$ . It follows from the former condition that  $\sup(A \cap \underline{ab}) < f$ . Thus, by Lemma 6.10, there exists an object  $z \in \mathbb{R}$  such that  $e < \sup(A \cap \underline{ab}) < z < f < b$  (note that f < b for the same reason that d < b). Consequently,  $z \in \underline{ef}$ , implying that  $z \in A$ , and  $z \in \underline{ab}$ . Thus, we have found an element of  $A \cap \underline{ab}$  that is greater than  $\sup(A \cap \underline{ab})$ , contradicting Definitions 5.7 and 5.6. The proof is symmetric in the other case.

1/14: **Definition 6.8.** Let C be a continuum satisfying Axioms 1-4. Consider a subset  $X \subset C$ . We say that X is **dense** in C if every  $p \in C$  is a limit point of X.

**Lemma 6.9.** A subset  $X \subset C$  is dense in C if and only if  $\overline{X} = C$ .

*Proof.* Suppose first that  $X \subset C$  is dense in C. To prove that  $\overline{X} = C$ , Definition 1.2 tells us that it will suffice to show that every point  $p \in \overline{X}$  is an element of C and vice versa. Clearly, every element of  $\overline{X}$  is an element of C. On the other hand, let p be an arbitrary element of C. Since X is dense in C, Definition 6.8 tells us that  $p \in LP(X)$ . Therefore, by Definitions 1.5 and 4.4,  $p \in \overline{X}$ .

Now suppose that  $\overline{X} = C$ . To prove that X is dense in C, Definition 6.8 tells us that it will suffice to show that every  $p \in C$  is a limit point of X. Let p be an arbitrary element of C. By Corollary 5.4, this implies that  $p \in LP(C)$ . It follows that  $p \in LP(\overline{X})$ . Thus, by Definition 4.4,  $p \in LP(X \cup LP(X))$ . Consequently, by Theorem 3.20,  $p \in LP(X)$  or  $p \in LP(LP(X))$ . We now divide into two cases. If  $p \in LP(X)$ , then we are done. On the other hand, if  $p \in LP(LP(X))$ , the lemma from Theorem 4.6 asserts that  $p \in LP(X)$ , and we are done again.

Our next goal is to prove that  $\mathbb{Q}$  is dense in  $\mathbb{R}$ . Just to make sense of that statement, we need to decide how to think of  $\mathbb{Q}$  as a subset of  $\mathbb{R}$ . For every rational number  $q \in \mathbb{Q}$ , define the corresponding real number as the Dedekind cut

$$i(q) = \{ x \in \mathbb{Q} \mid x < q \}$$

For example,  $\mathbf{0} = i(0)$ . It can be verified that this gives a well-defined injective function  $i : \mathbb{Q} \to \mathbb{R}$ . We identify  $\mathbb{Q}$  with its image  $i(\mathbb{Q}) \subset \mathbb{R}$  so that the rational numbers  $\mathbb{Q}$  are a subset of the real numbers  $\mathbb{R}$ . (Similarly,  $\mathbb{N}$  and  $\mathbb{Z}$  can be understood as subsets of  $\mathbb{R}$ .)

**Lemma 6.10.** Given  $A, B \in \mathbb{R}$  with A < B, there exists  $p \in \mathbb{Q}$  such that A < i(p) < B.

<sup>&</sup>lt;sup>2</sup>We may use this lemma since it does not depend on this result, Definition 6.8, or Lemma 6.9.

Proof. Since A < B, Definition 6.4 tells us that  $A \subsetneq B$ . Thus, by Definition 1.3, there exists a point q such that  $q \in B$  and  $q \notin A$ . Since  $q \in B$  where B is a Dedekind cut, we have by Definition 6.1 that there exists a point  $p \in B$  with p > q. Additionally, since  $q \notin A$  implies that q is an upper bound of A by Lemma 6.2, we know by Definition 5.6 that  $x \leq q$  for all  $x \in A$ . It follows since q < p that  $x \leq p$  for all  $x \in A$ , meaning by Definition 5.6 and Lemma 6.2 that  $p \notin A$ . Having established that  $p, q \in B$ ,  $p, q \notin A$ , and q < p, we are now ready to prove that A < i(p) < B. Definition 6.4 tells us that we may do so by showing that  $A \subsetneq i(p)$  and  $i(p) \subsetneq B$ . We will take this one argument at a time.

To show that  $A \subsetneq i(p)$ , Definition 1.3 tells us that it will suffice to verify that every element of A is an element of i(p) and that there exists an element of i(p) that is not an element of A. We treat the former statement first. As previously mentioned,  $x \leq p$  for all  $x \in A$ . This combined with the fact that  $p \notin A$  implies that x < p for all  $x \in A$ . Thus, by the definition of i(p),  $x \in i(p)$  for all  $x \in A$ , as desired. As to the latter statement, since q < p, we have by the definition of i(p) that  $q \in i(p)$ . However, we also know that  $q \notin A$ , as desired.

To show that  $i(p) \subseteq B$ , we must verify symmetric arguments to before. For the former statement, let r be an arbitrary element of i(p). Then by the definition of i(p), r < p. Since  $p \in B$  and  $r \in \mathbb{Q}$  satisfy r < p, we have by Definition 6.1 that  $r \in B$ , as desired. As to the latter statement, p is clearly an element of B that is not an element of i(p), as desired.

### 1/19: **Theorem 6.11.** $i(\mathbb{Q})$ is dense in $\mathbb{R}$ .

Proof. To prove that  $i(\mathbb{Q})$  is dense in  $\mathbb{R}$ , Definition 6.8 tells us that it will suffice to show the every point  $X \in \mathbb{R}$  is a limit point of  $i(\mathbb{Q})$ . Let X be an arbitrary element of  $\mathbb{R}$ . To show that  $X \in LP(i(\mathbb{Q}))$ , Definition 3.13 tells us that it will suffice to verify that for every region  $\underline{AB}$  with  $X \in \underline{AB}$ , we have  $\underline{AB} \cap (i(\mathbb{Q}) \setminus \{X\}) \neq \emptyset$ . Let  $\underline{AB}$  be an arbitrary region with  $X \in \underline{AB}$ . It follows by Definitions 3.10 and 3.6 that A < X < B. Thus, by Lemma 6.10, there exists  $p \in \mathbb{Q}$  such that A < i(p) < X < B. By Definitions 3.6 and 3.10,  $i(p) \in \underline{AB}$ . By Definition 1.18,  $i(p) \in i(\mathbb{Q})$ . By Exercise 6.5, i(p) < X implies that  $i(p) \neq X$ . Combining the last three results with Definitions 1.11 and 1.6, we have that  $i(p) \in \underline{AB} \cap (i(\mathbb{Q}) \setminus \{X\})$ , as desired.

Corollary 6.12 (The Archimedean Property). Let  $A \in \mathbb{R}$  be a positive real number. Then there exist nonzero natural numbers  $n, m \in \mathbb{N}$  such that  $i(\frac{1}{n}) < A < i(m)$ .

*Proof.* We will first prove that there exists a nonzero natural number n such that  $i(\frac{1}{n}) < A$ . We will then prove that there exists a nonzero natural number m such that A < i(m). Let's begin.

Since  $A \in \mathbb{R}$  is positive, we know that 0 < A. Thus, by Lemma 6.10, there exists  $\frac{p}{n} \in \mathbb{Q}$  such that  $0 < i(\frac{p}{n}) < A$ . As permitted by Exercise 3.9b, we choose  $\frac{p}{n} \in \left[\frac{p}{n}\right]$  to be an object such that 0 < n (this means that  $n \in \mathbb{N}$ ). Consequently, by Scripts 2 and 3, we know that  $0 < \frac{1}{n} \le \frac{p}{n}$ . It follows that  $i(\frac{1}{n}) \le i(\frac{p}{n})$  since  $x \in i(\frac{1}{n})$  implies  $x < \frac{1}{n} \le \frac{p}{n}$  implies  $x \in i(\frac{p}{n})$ , implies  $i(\frac{1}{n}) \subset i(\frac{p}{n})$ . Therefore,  $i(\frac{1}{n}) \le i(\frac{p}{n}) < A$ , as desired.

By Exercise 6.5, Axiom 3, and Definition 3.3, there exists a point  $B \in \mathbb{R}$  such that A < B. It follows by Lemma 6.10 that there exists  $\frac{m}{q} \in \mathbb{Q}$  such that  $A < i(\frac{m}{q}) < B$ . As before, let  $\frac{m}{q}$  be an object such that 0 < q. Consequently, by Scripts 2 and 3, we know that  $0 < \frac{m}{q} \le m$ . Once again, for the same reasons as before,  $i(\frac{m}{q}) \le i(m)$ . Therefore,  $A < i(\frac{m}{q}) \le i(m)$ , as desired.

Corollary 6.13.  $i(\mathbb{N})$  is an unbounded subset of  $\mathbb{R}$ .

*Proof.* Suppose for the sake of contradiction that  $i(\mathbb{N})$  is bounded above. Then by Definition 5.6, there exists a point  $A \in \mathbb{R}$  such that  $i(n) \leq A$  for all  $n \in \mathbb{N}$ . Note that A is a positive real number since  $0 = i(0) \leq A$ . But by Corollary 6.12, A < i(n) for some  $n \in \mathbb{N}$ , a contradiction.

1/21: Corollary 6.14. If  $A \in \mathbb{R}$  is a real number, then there is an integer n such that  $i(n-1) \leq A < i(n)$ .

*Proof.* Let X be be the set of all integers z such that  $i(z) \leq A$ . Symbolically,

$$X = \{ z \mid z \in \mathbb{Z} \text{ and } i(z) \le A \}$$

Since  $A \neq \emptyset$  by Definition 6.1, there exists a point  $\frac{p}{q} \in \mathbb{Q}$  such that  $\frac{p}{q} \in A$ . As in Corollary 6.12, we let q > 0. It follows by Scripts 2 and 3 that if  $p \geq 0$ , then  $0 \leq \frac{p}{q}$ , i.e.,  $|0| \leq A$  and if p < 0, then  $p \leq \frac{p}{q}$ , i.e.,

<sup>&</sup>lt;sup>3</sup>For the same reasons as in Corollary 6.12.

 $i(p) \leq A$ . Thus, in either case, X is nonempty.

Now by Corollary 6.12, there exists a nonzero natural number m such that A < i(m). Let  $f: X \to \mathbb{N}$  be defined by the rule

$$f(x) = m - x$$

By Script 1, f is an injective function,  $f(X) \subset \mathbb{N}$ , and f(X) is nonempty (since X is nonempty). Thus, by Additional Exercise 0.1, there is a least element, which we shall call y, in f(X). Since f is injective, there exists exactly one object  $n-1 \in X$  such that f(n-1)=y.

By the definition of X,  $i(n-1) \leq A$ . To prove that A < i(n), suppose for the sake of contradiction that  $i(n) \leq A$ . This coupled with the fact that  $n \in \mathbb{Z}$  implies that  $n \in X$ . Thus,  $f(n) \in f(X)$ . But f(n) = m - n < m - n + 1 = m - (n - 1) = f(n - 1), contradicting the fact that f(n - 1) is the least element of f(X).

## 6.2 Discussion

- 1/12: Upper limit at signing up for 4-5 across the script.
  - Lemma 6.2 is probably more straightforward using a contradiction argument.
  - Briefly restate the algebra of Exercise 4.24 in Exercise 6.3c.
- 1/14: Turning in Script 5 journals is optional it will boost your grade a bit if you do.
  - Your journal grade will be whichever is higher: the average of all your journal grades with and without Script 5.
  - Script 5 will probably be due Wednesday, 1/20.
  - In Lemma 6.6, do we need to prove that the union of arbitrarily many Dedekind cuts is, itself, a Dedekind cut? Yes.
- 1/18: Is there a way to prove something else besides A is not open in Exercise 6.7?
  - This is probably it as far as proving that continuua are connected.
  - It may not be possible to prove that any of the statements are wrong, but he's not sure.
  - Is Lemma 6.9 used in the proofs of any subsequent results, or is it just a less important result (hence the lemma designation)?
    - We can think of it as an alternate definition for density we could prove Definition 6.8 from it.
  - Is my handwavey use of Scripts 2 and 3 ok in Corollary 6.12?
    - I'm fine.
  - Is there a simpler way to prove Corollaries 6.12 and 6.14?
    - hi
  - Is the math REU still running this summer?
    - He's not sure; UChicago's may not be NSF approved, hence why its not on the website rn.
  - What other summer opportunities would you recommend for a student at my level?
    - He did an REU at UWisconsin when he was an undergrad.
    - Sounds like its pretty much just REUs for undergrads.
    - I could ask around to see if anyone is a Knot Theorist/willing to sponsor me.
- 1/19: Easier Corollary 6.12:

- Let B > A. Then  $A < i(\frac{m}{q}) < B$ . Then A < i(m).
- Several proofs were given for Corollary 6.14. One other correct one constructed the nonempty, bounded above set of all i(n) less than or equal to A and considered its supremum.
- 1/21: Now graded a bit more critically on presentations.
  - Write big, talk loudly, don't talk to the blackboard.
  - My original proof of Corollary 6.14 is incorrect because I can't split into cases the way I did (longer expo).
    - Instead, use Seb's approach.