

Week 6

Operations on Forms

6.1 Compact Support and Consequences

5/2:

- Plan:
 - Brouwer’s fixed point theorem.
 - The classic fixed point theorem.
 - Several proofs.
 - Compactly supported forms.
 - The Poincaré lemma.
 - Allows us to define the degree of a function $F : U \rightarrow V$, where $U, V \subset \mathbb{R}^n$ open.
 - The degree will turn out to be an integer.
 - We will need F to be proper.
 - We’ll eventually use the degree to give a proof of the Brouwer’s fixed point theorem.
- Theorem (Brouwer’s fixed point theorem): Let $B^n = \{x \in \mathbb{R}^n : |x| \leq 1\}$ be the closed unit ball in \mathbb{R}^n , and let $F : B^n \rightarrow B^n$ be continuous. Then there exists $x_0 \in B^n$ such that $F(x_0) = x_0$ (i.e., F has a fixed point).
 - This is a generalized form of what we proved last quarter that a map from $[0, 1] \rightarrow [0, 1]$ has a fixed point (IVT and an auxiliary function).
 - Think back to Sharkovsky’s theorem last quarter.
 - Another interpretation of Brouwer in \mathbb{R}^2 : Take a piece of paper, crumple it up, project it down onto where it was, and some point lies exactly above where it was.

- **Support** (of ω): The following set, where $\omega \in \Omega^k(\mathbb{R}^n)$. Denoted by **supp**(ω). Given by

$$\text{supp}(\omega) = \{p \in \mathbb{R}^n \mid \omega_p \neq 0\}$$

- Example:
 - The support of a bump function on \mathbb{R}^1 is the region of the line on which it is not zero.
- **Compactly supported** (form): A form ω for which $\text{supp}(\omega)$ is compact.
- **Compactly supported** (form ω on U): A compactly supported form such that $\text{supp}(\omega) \subset U$.
 - The idea is that we can have some crazy form, but it “dies down” when we get close to the boundary of U .
- $\Omega_c^k(U)$: The vector space of all compactly supported k -forms on U .

- Thus, the scalar multiple of a compactly supported form on U is still compactly supported, as is the sum of two compactly supported forms on U .
- To get a handle on the degree, we're gonna focus on the top-dimensional space $\Omega_c^n(U)$ of compactly supported forms.
- **Proper** (function): A function $F : U \rightarrow V$, where $U, V \in \mathbb{R}^n$ open, for which $F^{-1}(K)$ is compact for any K compact in V .
 - We know that the image of a compact set is compact under a *continuous* function, but we haven't said anything about the inverse image up to this point.
- Example: Sine and cosine are continuous but not proper.
 - Consider $\sin^{-1}(\{0\}) = \{\dots, -\pi, 0, \pi, \dots\}$, which is not bounded and hence not compact (by Heine-Borel).
- The pullback, when restricted to compactly supported forms, maps compactly supported forms to compactly supported forms. Symbolically,

$$F^*[\Omega_c^n(V)] \subset \Omega_c^n(U)$$

- Similarly, $d : \Omega_c^{n-1}(X) \rightarrow \Omega_c^n(X)$.
- **n^{th} compactly supported de Rham cohomology group:** The top-dimensional space of forms modulo the image of the $(n-1)$ -dimensional space of forms under the exterior derivative. Denoted by $H_c^n(X)$. Given by

$$H_c^n(X) = \frac{\Omega_c^n(X)}{d(\Omega_c^{n-1}(X))}$$

- The top is analogous to the kernel of the appropriate d because there's no $n+1$ form so everything just gets mapped to the kernel.
- Since the pullback commutes with the exterior derivative, F will induce a map from $H_c^n(V) \rightarrow H_c^n(U)$.
 - Today, we will show that $H_c^n(X) \cong \mathbb{R}$, where the isomorphism is integration.
 - On this function, we're gonna map 1 and that will give us $\deg(F)$.
 - This is something topological: If we move/jiggle F a bit, the degree won't change. The degree is **invariant** under jiggling it around; this is the basis of topology.
 - In fact: For all $\omega \in \Omega_c^n(V)$, we have that

$$\int_U F^* \omega = \deg(F) \int_V \omega$$

- Another thing that should be familiar from vector calculus is that this is a generalization of a classic change of coordinates integration formula. Specifically, if $F : U \rightarrow V$ is a **diffeomorphism** (smooth, bijective, smooth inverse) and $\varphi : V \rightarrow \mathbb{R}$, then

$$\int_V \varphi(y) dy = \int_U (\varphi \circ F)(x) |\det DF(x)| dx$$

- Assume U, V are some bounded open subsets in \mathbb{R}^n , though we can get around the boundedness with a more advanced derivation.
- This formula is just the previous formula in coordinates plus the fact that the degree of a diffeomorphism is ± 1 depending on whether or not it preserves orientation.
- We'll use this formula over and over again to simplify the domain over which we need to integrate; it's kind of a good old u -substitution type thing.

- **Integral** (of $\omega \in \Omega_c^n(U)$): If $\omega = f dx_1 \wedge \cdots \wedge dx_n$ is a top-dimensional form, then the integral of ω over U is given as follows. Denoted by $\int_U \omega$. Given by

$$\int_U \omega = \int_{\mathbb{R}^n} f dx_1 \cdots dx_n$$

- Defines integration pictorially as slicing up the plane, taking a point in each region, and multiplying it's value by the area of the region, and then taking finer and finer partitions.
- Theorem (Poincaré lemma — final form): Let $\omega_1, \omega_2 \in \Omega_c^n(U)$. Then $\omega_1 \sim \omega_2$ if $\omega_1 - \omega_2 = d\mu$ for some $\mu \in \Omega_c^n(U)$ (i.e., $[\omega_1] = [\omega_2]$ in $H_c^n(U)$, where we are representing equivalence classes). Let $\omega_0 \in \Omega_c^n(U)$ with $\int \omega_0 = 1$ (ω_0 is a bump function). Then $\omega \sim c\omega_0$ where c a scalar is given by $c = \int \omega$.
 - We're gonna start small by proving the Poincaré lemma for rectangles.
 - Then we'll have the lemma for general, open, connected subsets of \mathbb{R}^n .
 - Then we'll prove the final form above.

- To prove the Poincaré lemma, we need two steps.

1. Poincaré lemma for rectangles: $\int \omega = 0$ iff $\omega = d\mu$.
 - The backwards implication is easy.
 - The forwards implication is tricky and requires induction on dimension.
2. Generalize from rectangles to general regions U .

- Theorem (Poincaré lemma — for rectangles): Let $Q = [a_1, b_1] \times \cdots \times [a_n, b_n] \subset \mathbb{R}^n$. Take $\omega \in \Omega_c^n(Q)$. Then TFAE.

1. $\int_Q \omega = 0$.
2. $\omega = d\mu$ with $\mu \in \Omega_c^{n-1}(U)$.

Proof ($2 \Rightarrow 1$). Let $\mu = \sum_{i=1}^n f_i dx_1 \wedge \cdots \wedge \widehat{dx_i} \wedge \cdots \wedge dx_n$ ^[1]. Then

$$\begin{aligned} d\mu &= \sum_{i=1}^n df_i \wedge dx_1 \wedge \cdots \wedge \widehat{dx_i} \wedge \cdots \wedge dx_n \\ &= \sum_{i=1}^n \left(\sum_{j=1}^n \frac{\partial f_i}{\partial x_j} dx_j \right) \wedge dx_1 \wedge \cdots \wedge \widehat{dx_i} \wedge \cdots \wedge dx_n \\ &= \sum_{i=1}^n \frac{\partial f_i}{\partial x_i} dx_i \wedge dx_1 \wedge \cdots \wedge \widehat{dx_i} \wedge \cdots \wedge dx_n \\ &= \sum_{i=1}^n (-1)^{i+1} \frac{\partial f_i}{\partial x_i} dx_1 \wedge \cdots \wedge dx_n \end{aligned}$$

Now to show that $\int d\mu = 0$, it suffices to check that $\int \frac{\partial f_i}{\partial x_i} dx_1 \wedge \cdots \wedge dx_n = 0$ for all i by the distributive property of integration over sums. The conclusion follows from the FTC and the fact that each f_i is supported in Q (i.e., each f_i is zero on the boundary of the rectangle, so the integral will look something like $f_i(b) - f_i(a) = 0 - 0 = 0$). \square

Proof ($1 \Rightarrow 2$). If $1 \Rightarrow 2$ on some $U \subset \mathbb{R}^n$, then $1 \Rightarrow 2$ in $U \times [a_n, b_n] \subset \mathbb{R}^{n+1}$. This inductive step gets us what we need. We'll prove it next time. \square

- Motivation/warm up for $1 \Rightarrow 2$.

¹Note that the carrot to delete something is universal to all fields of math, not just differential geometry.

- Let $n = 1$. Then the theorem says $f : \mathbb{R} \rightarrow \mathbb{R}$ with $\text{supp}(f) \subset [a, b]$ implies TFAE.
 1. $\int_a^b f = 0$.
 2. $f = dg/dx$ for some $g \in \Omega_c^0([a, b])$.
- $2 \Rightarrow 1$: We just did this.
- $1 \Rightarrow 2$: We let $g(x) = \int_a^x f(t) dt$. We can check that $dg/dx = f$, and that $\text{supp}(g) \subset [a, b]$ (since $\int_a^a f(t) dt = 0$ and $\int_a^b f(t) dt = 0$; values smaller and larger are zero by definition).
- $(1 \Rightarrow 2)$: We know that f starts at zero and ends at zero. We know that the integral (g) of f starts at zero and ends at zero. But then it must be that this integral is a compactly supported function whose derivative is f . Indeed, regardless of how f moves, we know that it must come back to zero, and any positive areas under the curve must be cancelled by negative areas under the curve.
- $(2 \Rightarrow 1)$: We know that f starts at zero and ends at zero. We know that f is the derivative of a function g that starts at zero and ends at zero. But then the integral of f will just be the ending point of g minus the starting point of g , which are both equally zero, making the integral zero. Indeed, regardless of how g moves, any positive slopes must be cancelled by negative slopes. But these slopes *really are* one and the same as the areas inspected by the integral, as per the FTC!
- An example of two functions that illustrate the point here are $f(x) = \sin(x)$ and $g(x) = 1 - \cos(x)$ on $[0, 2\pi]$.

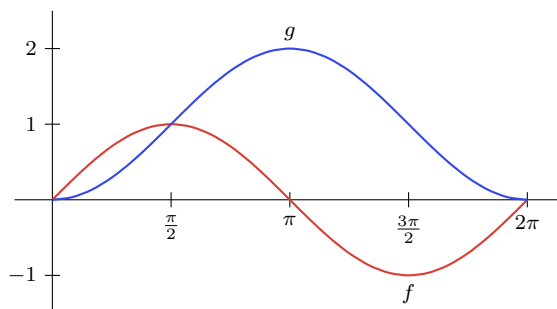


Figure 6.1: Poincaré lemma in one dimension.

6.2 The Pullback

- 5/4:
- Homework 3 now due Monday (the stuff will be on the exam though).
 - Office hours today from 5:00-6:00.
 - Exam Friday.
 - Next week will be Chapter 3.
 - Integration of top-dimensional forms, i.e., if we're in 2D space, we'll integrate 2-forms; in 3D space, we'll integrate 3-forms; etc.
 - Pullbacks of k -forms.
 - Let $U \subset \mathbb{R}^n$ and $V \subset \mathbb{R}^m$.
 - Let $F : U \rightarrow V$ be smooth.
 - This induces $F^* : \Omega^k(V) \rightarrow \Omega^k(U)$.
 - We have $dF_p : T_p \mathbb{R}^n \rightarrow T_{F(p)} \mathbb{R}^m$, which also induces $dF_p^* : \Lambda^k(T_{F(p)}^* \mathbb{R}^m) \rightarrow \Lambda^k(T_p^* \mathbb{R}^n)$.
 - Note that F^* maps $\omega \mapsto F^* \omega$ where $F^* \omega_p = dF_p^* \omega_{F(p)}$.

- In formulas, if

$$\omega = \sum_I \varphi_I dx_I$$

then

$$F^*\omega = \sum_I F^*\varphi_I dF_I$$

- $\varphi_I \in V^*$.
- Recall that $F^*\varphi_I = \varphi_I \circ F : U \rightarrow \mathbb{R}$.
- If $I = (i_1, \dots, i_k)$, then $dF_I = dF_{i_1} \wedge \dots \wedge dF_{i_k}$.
- $F_{i_j} : U \rightarrow \mathbb{R}$ sends $p \mapsto x_{i_j} \circ F(p)$, where x_{i_j} (as the i_j^{th} component function) isolates the i_j^{th} component of $F(x)$.
- There is a derivation that gets you from the above abstract definition of the pullback to the below concrete form.
- We can prove that $F^*\omega$ has the above form using properties 1-4 below.
- Note that dF_p is the kind of thing we worked on last quarter?
- Properties of the pullback (let $U \xrightarrow{F} V \xrightarrow{G} W$).
 1. F^* is linear.
 2. $F^*(\omega_1 \wedge \omega_2) = F^*\omega_1 \wedge F^*\omega_2$.
 3. $(F \circ G)^* = G^* \circ F^*$.
 4. $d \circ F^* = F^* \circ d$.

$$\begin{array}{ccc} \Omega^0(U) & \xleftarrow{F^*} & \Omega^0(V) \\ d \downarrow & \curvearrowright & \downarrow d \\ \Omega^1(U) & \xleftarrow{F^*} & \Omega^1(V) \end{array}$$

Figure 6.2: Commutative diagram.

- Properties 1-3 follow from our Chapter 1 pointwise properties.
 - They also yield the explicit formula for $F^*\omega$ given above.
- Proving property 4.
 - Lemma 1: Figure 6.2 is true, i.e., property 4 holds for zero-forms.
 - Lemma 2: $dF_I = F^* dx_I$, where $I = (i_1, \dots, i_k)$.

Proof. We have that

$$\begin{aligned} dF_I &= dF_{i_1} \wedge \dots \wedge dF_{i_k} \\ &= d(x_{i_1} \circ F) \wedge \dots \wedge d(x_{i_k} \circ F) \\ &= d(F^*x_{i_1}) \wedge \dots \wedge d(F^*x_{i_k}) \\ &= F^*d(x_{i_1}) \wedge \dots \wedge F^*d(x_{i_k}) && \text{Lemma 1} \\ &= F^*dx_{i_1} \wedge \dots \wedge F^*dx_{i_k} \\ &= F^*(dx_{i_1} \wedge \dots \wedge dx_{i_k}) && \text{Property 2} \\ &= F^*dx_I \end{aligned}$$

as desired. □

– Let $\omega = \sum_I \varphi_I dx_I$. Then

$$\begin{aligned}
 d(F^*\omega) &= d\left(\sum_I F^*\varphi_I dx_I\right) \\
 &= \sum_I d(F^*\varphi_I \wedge dx_I) \\
 &= \sum_I d(F^*\varphi_I) \wedge dx_I \\
 &= \sum_I F^* d\varphi_I \wedge F^* dx_I && \text{Lemma 2} \\
 &= \sum_I F^*(d\varphi_I \wedge dx_I) \\
 &= F^*\left(\sum_I d\varphi_I \wedge dx_I\right) \\
 &= F^*\left(\sum_I d(\varphi_I dx_I)\right) \\
 &= F^*d\left(\sum_I \varphi_I dx_I\right) \\
 &= F^*d\omega
 \end{aligned}$$

- $d^2 = 0$ generalizes curl and all of those identities.
- Two other operations.
- **Interior product:** Given v a vector field on U , we have $\iota_v : \Omega^k(U) \rightarrow \Omega^{k-1}(U)$ that sends $\omega \mapsto \iota_v \omega$.
- Its properties follow from the properties of the pointwise stuff.
 1. $\iota_v(\omega_1 + \omega_2) = \iota_v \omega_1 + \iota_v \omega_2$.
 2. $\iota_v(\omega \wedge \mu) = \iota_v \omega \wedge \mu + (-1)^k \omega \wedge \iota_v \mu$.
 3. $\iota_v \circ \iota_w = -\iota_w \circ \iota_v$.
- **Lie derivative:** If v is a vector field on U , then $L_v : \Omega^k(U) \rightarrow \Omega^k(U)$ sends $\omega \mapsto d\iota_v \omega + \iota_v d\omega$.
 - Note that we use ι to drop the index and d to raise it back up, and then vice versa.
- Check: Agrees with previous definition for Ω^0 .
- Cartan's magic formula is what we're taking to be the definition of the Lie derivative.
- Properties.
 1. $L_v \circ d = d \circ L_v$.
 2. $L_v(\omega \wedge \eta) = L_v \omega \wedge \eta + \omega \wedge L_v \eta$.
 - Proof:

$$\begin{aligned}
 d(\iota_v d + d\iota_v) &= d\iota_v d \\
 &= \iota_v(\iota_v d + d\iota_v)
 \end{aligned}$$

- We should find an explicit formula for the Lie derivative.
 - Your vector field will be of the form

$$v = \sum f_i \partial/\partial x_i$$

– Your form will be of the form

$$\omega = \sum \varphi_I dx_I$$

6.3 Connections with Vector Calculus

From Klug (2022).

5/26: • 2-dimensional analogues of class content.

– Let $U \subset \mathbb{R}^2$ and let $\mathfrak{X}(U)$ be the vector space of vector fields on U .

– 1-forms on U are of the form

$$f dx + g dy$$

– We have an isomorphism of vector spaces $\sharp : \Omega^1(U) \rightarrow \mathfrak{X}(U)$ defined by

$$f dx + g dy \mapsto f \frac{\partial}{\partial x} + g \frac{\partial}{\partial y}$$

■ The inverse of \sharp is denoted \flat .

■ As such, these functions are referred to as the **musical operators**.

– The exterior derivative of a function on \mathbb{R}^2 is

$$df = \frac{\partial f}{\partial x} dx + \frac{\partial f}{\partial y} dy$$

■ This is the **gradient**.

– The exterior derivative of a one-form on \mathbb{R}^2 is

$$d(f dx + g dy) = \left(\frac{\partial g}{\partial x} - \frac{\partial f}{\partial y} \right) dx \wedge dy$$

■ This is related to **Green's theorem**.

■ The expression is called the **2-dimensional curl** (of a vector field), where here we are freely identifying 1-forms and vector fields via \sharp .

■ If we (1) make this precise and (2) prove that the intuitive definition of curl agrees with the above formula, we should gain some geometric intuition for d in this particular (co)dimension.

– The fact that gradient vector fields are curl free, i.e., $\text{curl} \circ \text{grad} = 0$, reflects the fact that $d^2 = 0$.

• **2-dimensional curl** (of $\mathbf{v} \in \mathfrak{X}(U)$): The function from $U \rightarrow \mathbb{R}$ describing the way that a ball centered at $p \in U$ would rotate (or “curl”) when left in \mathbf{v} . Denoted by **curl**(\mathbf{v}).

• 3-dimensional analogues of class content.

– Gradient of the zero-form $f : U \rightarrow \mathbb{R}$ where $U \subset \mathbb{R}^3$.

$$df = \frac{\partial f}{\partial x} dx + \frac{\partial f}{\partial y} dy + \frac{\partial f}{\partial z} dz$$

■ We have that $\sharp \circ d^0$ gives the gradient, exactly as in two dimensions.

– Curl of the one-form $f dx + g dy + h dz$.

$$d(f dx + g dy + h dz) = \left(\frac{\partial g}{\partial x} - \frac{\partial f}{\partial y} \right) dx \wedge dy + \left(\frac{\partial h}{\partial y} - \frac{\partial g}{\partial z} \right) dy \wedge dz + \left(\frac{\partial h}{\partial x} - \frac{\partial f}{\partial z} \right) dx \wedge dz$$

■ $\text{curl}(\mathbf{v})$ is again a vector field, just with the direction at a point being the axis of rotation of a small ball placed at that point.

■ Once again, we can identify $\Omega^1(U), \Omega^2(U)$ with $\mathfrak{X}(U)$ to learn that d is curl and gradient fields are curl free as a result of $d^2 = 0$.

– Divergence of the two-form $f dx \wedge dy + g dy \wedge dz + h dx \wedge dz$.

$$d(f dx \wedge dy + g dy \wedge dz + h dx \wedge dz) = \left(\frac{\partial f}{\partial z} + \frac{\partial g}{\partial x} - \frac{\partial h}{\partial y} \right) dx \wedge dy \wedge dz$$

- Modulo a sign, this is the **divergence** of a vector field in three dimensions.
- We can identify $\Omega^2(U)$ and $\Omega^3(U)$ with $\mathfrak{X}(U)$ and $\Omega^0(U)$, respectively, to learn that d is div and the fact that $\text{div} \circ \text{curl} = 0$ follows from $d^2 = 0$.
- **Divergence** (of $\mathbf{v} \in \mathfrak{X}(U)$): The function from $U \rightarrow \mathbb{R}$ which geometrically represents the compression/stretching of objects placed in the vector field. *Denoted by $\text{div}(\mathbf{v})$.*
- Take away: The exterior derivative packages the three operations of vector calculus, and $d^2 = 0$ generalizes several simple formulas from vector calculus.

6.4 Chapter 2: Differential Forms

From Guillemin and Haine (2018).

- 5/5: • **Interior product** (of \mathbf{v} with ω): The $(k-1)$ -form on U defined as follows, where $U \subset \mathbb{R}^n$ open, \mathbf{v} a vector field on U , and $\omega \in \Omega^k(U)$. *Denoted by $\iota_{\mathbf{v}}\omega$. Given by*

$$p \mapsto \iota_{\mathbf{v}(p)}\omega_p$$

- By definition, $\iota_{\mathbf{v}(p)}\omega_p \in \Lambda^{k-1}(T_p^*\mathbb{R}^n)$.

- 5/26: • Properties 2.5.3: The following are properties of the interior product defined above, where $U \subset \mathbb{R}^n$ open, \mathbf{v}, \mathbf{w} are vector fields on U , $\omega_1, \omega_2, \omega \in \Omega^k(U)$, and $\mu \in \Omega^\ell(U)$.

1. *Linearity in the form:* We have

$$\iota_{\mathbf{v}}(\omega_1 + \omega_2) = \iota_{\mathbf{v}}\omega_1 + \iota_{\mathbf{v}}\omega_2$$

2. *Linearity in the vector field:* We have

$$\iota_{\mathbf{v}+\mathbf{w}}\omega = \iota_{\mathbf{v}}\omega + \iota_{\mathbf{w}}\omega$$

3. *Derivation property:* We have

$$\iota_{\mathbf{v}}(\omega \wedge \mu) = \iota_{\mathbf{v}}\omega \wedge \mu + (-1)^k \omega \wedge \iota_{\mathbf{v}}\mu$$

4. The identity

$$\iota_{\mathbf{v}}(\iota_{\mathbf{w}}\omega) = -\iota_{\mathbf{w}}(\iota_{\mathbf{v}}\omega)$$

5. The identity, as a special case of (4),

$$\iota_{\mathbf{v}}(\iota_{\mathbf{v}}\omega) = 0$$

6. If $\omega = \mu_1 \wedge \cdots \wedge \mu_k$ (i.e., if ω is **decomposable**), then

$$\iota_{\mathbf{v}}\omega = \sum_{r=1}^k (-1)^{r-1} \iota_{\mathbf{v}}(\mu_r) \mu_1 \wedge \cdots \wedge \widehat{\mu_r} \wedge \cdots \wedge \mu_k$$

- The following are two assertions to prove, both of which are special cases of Property 2.5.3(6).
- Example 2.5.4: If $\mathbf{v} = \partial/\partial x_r$ and $\omega = dx_I$, then

$$\iota_{\mathbf{v}}\omega = \sum_{i=1}^k (-1)^{i-1} \delta_{i,i_r} dx_{I_r}$$

where

$$\delta_{i,i_r} = \begin{cases} 1 & i = i_r \\ 0 & i \neq i_r \end{cases} \quad I_r = (i_1, \dots, \widehat{i_r}, \dots, i_k)$$

- Example 2.5.6: If $\mathbf{v} = \sum_{i=1}^n f_i \partial/\partial x_i$ and $\omega = dx_1 \wedge \cdots \wedge dx_n$, then

$$\iota_{\mathbf{v}}\omega = \sum_{r=1}^n (-1)^{r-1} f_r dx_1 \wedge \cdots \wedge \widehat{dx_r} \wedge \cdots \wedge dx_n$$

- **Lie derivative** (of ω with respect to \mathbf{v}): The k -form defined as follows, where $U \subset \mathbb{R}^n$ is open, \mathbf{v} is a vector field on U , and $\omega \in \Omega^k(U)$.

$$L_{\mathbf{v}}\omega = \iota_{\mathbf{v}}(d\omega) + d(\iota_{\mathbf{v}}\omega)$$

- Properties 2.5.10: The following are properties of the Lie derivative defined above, where $U \subset \mathbb{R}^n$ open, \mathbf{v} is a vector field on U , $\omega \in \Omega^k(U)$, and $\mu \in \Omega^\ell(U)$.

1. *Commutativity with exterior differentiation*: We have

$$d(L_{\mathbf{v}}\omega) = L_{\mathbf{v}}(d\omega)$$

2. *Interaction with wedge products*: We have

$$L_{\mathbf{v}}(\omega \wedge \mu) = L_{\mathbf{v}}\omega \wedge \mu + \omega \wedge L_{\mathbf{v}}\mu$$

- An explicit formula for $L_{\mathbf{v}}\omega$.

- Let $\omega \in \Omega^k(U)$ be defined by $\omega = \sum_I f_I dx_I$ for $f_I \in C^\infty(U)$, and let $\mathbf{v} = \sum_{i=1}^n g_i \partial/\partial x_i$ for $g_i \in C^\infty(U)$.
- Then by the above properties,

$$\begin{aligned} L_{\mathbf{v}}\omega &= L_{\mathbf{v}}\left(\sum_I f_I dx_I\right) \\ &= \sum_I L_{\mathbf{v}}(f_I dx_I) \\ &= \sum_I [(L_{\mathbf{v}}f_I) dx_I + f_I(L_{\mathbf{v}}dx_I)] \\ &= \sum_I \left[\left(\sum_{i=1}^n g_i \frac{\partial f_I}{\partial x_i} \right) dx_I + f_I \left(\sum_{r=1}^k dx_{i_1} \wedge \cdots \wedge L_{\mathbf{v}}dx_{i_r} \wedge \cdots \wedge dx_{i_k} \right) \right] \\ &= \sum_I \left[\left(\sum_{i=1}^n g_i \frac{\partial f_I}{\partial x_i} \right) dx_I + f_I \left(\sum_{r=1}^k dx_{i_1} \wedge \cdots \wedge dL_{\mathbf{v}}x_{i_r} \wedge \cdots \wedge dx_{i_k} \right) \right] \\ &= \sum_I \left[\left(\sum_{i=1}^n g_i \frac{\partial f_I}{\partial x_i} \right) dx_I + f_I \left(\sum_{r=1}^k dx_{i_1} \wedge \cdots \wedge dg_{i_r} \wedge \cdots \wedge dx_{i_k} \right) \right] \\ &= \sum_I \left[\left(\sum_{i=1}^n g_i \frac{\partial f_I}{\partial x_i} \right) dx_I + f_I \left(\sum_{r=1}^k dx_{i_1} \wedge \cdots \wedge \left(\sum_{i=1}^n \frac{\partial g_{i_r}}{\partial x_i} dx_i \right) \wedge \cdots \wedge dx_{i_k} \right) \right] \\ &= \sum_I \left[\left(\sum_{i=1}^n g_i \frac{\partial f_I}{\partial x_i} \right) dx_I + f_I \left(\sum_{r=1}^k \sum_{i=1}^n \frac{\partial g_{i_r}}{\partial x_i} dx_{i_1} \wedge \cdots \wedge dx_{i_{r-1}} \wedge dx_i \wedge dx_{i_{r+1}} \wedge \cdots \wedge dx_{i_k} \right) \right] \\ &= \sum_I \left[\left(\sum_{i=1}^n g_i \frac{\partial f_I}{\partial x_i} \right) dx_I + f_I \left(\sum_{r=1}^k \sum_{\substack{i=1 \\ i \notin I}}^n \frac{\partial g_{i_r}}{\partial x_i} dx_{i_1} \wedge \cdots \wedge dx_{i_{r-1}} \wedge dx_i \wedge dx_{i_{r+1}} \wedge \cdots \wedge dx_{i_k} \right) \right] \end{aligned}$$

- Lemma 2.5.13 (the divergence formula): Let $U \subset \mathbb{R}^n$ open, $g_1, \dots, g_n \in C^\infty(U)$, and $\mathbf{v} = \sum_{i=1}^n g_i \partial/\partial x_i$. Then

$$L_{\mathbf{v}}(dx_1 \wedge \cdots \wedge dx_n) = \sum_{i=1}^n \left(\frac{\partial g_i}{\partial x_i} \right) dx_1 \wedge \cdots \wedge dx_n$$

- **Pullback** (of ω along f): The k -form on U defined as follows, where $U \subset \mathbb{R}^n$ and $V \subset \mathbb{R}^m$ are open, $f : U \rightarrow V$ is a C^∞ map, ω is a k -form on V , $p \in U$, and $q = f(p)$. Denoted by $f^*\omega$. Given by

$$p \mapsto df_p^* \omega_q$$

- Note that it is because df_p is linear that we get an induced pullback $df_p^* = (df_p)^* : \Lambda^k(T_q^* \mathbb{R}^m) \rightarrow \Lambda^k(T_p^* \mathbb{R}^n)$.

- Properties 2.6.4: The following are properties of the pullback defined above, where $U \subset \mathbb{R}^n$ and $V \subset \mathbb{R}^m$ are open and $f : U \rightarrow V$ is a C^∞ map.

1. Let $\phi \in C^\infty(V)$ be a zero-form. Since $\Lambda^0(T_p^*) = \Lambda^0(T_q^*) = \mathbb{R}$, we have that $df_p^* = \text{id}_{\mathbb{R}}$ when $k = 0$. Hence for zero forms,

$$(f^*\phi)(p) = (\phi \circ f)(p)$$

for all $p \in U$.

2. Let $\phi \in \Omega^0(U)$, and let $\mu \in \Omega^1(V)$ be the 1-form $\mu = d\phi$. By the chain rule,

$$df_p^* \mu_q = (df_p)^* d\phi_q = (d\phi)_q \circ df_p = d(\phi \circ f)_p$$

Hence, by property (1),

$$f^* d\phi = df^* \phi$$

3. Let $\omega_1, \omega_2 \in \Omega^k(V)$. Then

$$df_p^*(\omega_1 + \omega_2)_q = df_p^*(\omega_1)_q + df_p^*(\omega_2)_q$$

so

$$f^*(\omega_1 + \omega_2) = f^*\omega_1 + f^*\omega_2$$

4. Since df_p^* commutes with the wedge product by Proposition 1.8.4(1), if $\omega_1 \in \Omega^k(V)$ and $\omega_2 \in \Omega^\ell(V)$, then

$$df_p^*[(\omega_1)_q \wedge (\omega_2)_q] = df_p^*(\omega_1)_q \wedge df_p^*(\omega_2)_q$$

so

$$f^*(\omega_1 \wedge \omega_2) = f^*\omega_1 \wedge f^*\omega_2$$

5. Let $W \subset \mathbb{R}^k$ be open, $g : V \rightarrow W$ be a C^∞ map, $p \in U$, $q = f(p)$, and $w = g(q)$. Then $(dg_q \circ df_p)^* : \Lambda^k(T_w^*) \rightarrow \Lambda^k(T_p^*)$. But since $(dg_q) \circ (df_p) = d(g \circ f)_p$ by the chain rule, we have that $d(g \circ f)_p^* : \Lambda^k(T_w^*) \rightarrow \Lambda^k(T_p^*)$. Thus, if $\omega \in \Omega^k(W)$, then

$$f^*(g^*\omega) = (g \circ f)^*\omega$$

- An explicit formula for $f^*\omega$.

- Let $\omega \in \Omega^k(V)$ be given by $\omega = \sum_I \phi_I dx_I$, where the $\phi_I \in C^\infty(V)$. Then,

$$f^*\omega = \sum_I f^*\phi_I f^*(dx_I) \tag{1}$$

$$= \sum_I (\phi_I \circ f) f^*(dx_{i_1}) \wedge \cdots \wedge f^*(dx_{i_k}) \tag{4}$$

$$= \sum_I (\phi_I \circ f) df^*x_{i_1} \wedge \cdots \wedge df^*x_{i_k} \tag{2}$$

$$= \sum_I (\phi_I \circ f) d(x_{i_1} \circ f) \wedge \cdots \wedge d(x_{i_k} \circ f) \tag{2}$$

$$= \sum_I (\phi_I \circ f) df_{i_1} \wedge \cdots \wedge df_{i_k}$$

$$= \sum_I f^*\phi_I df_I$$

where the f_{i_j} are the i_j^{th} coordinate functions of the map f .

– Notice that we have showed in the above derivation that

$$f^*(dx_I) = df_I$$

- We now prove that the pullback commutes with exterior differentiation, i.e.,

$$d(f^*\omega) = f^*d\omega$$

– We have that

$$\begin{aligned} d(f^*\omega) &= d\left(\sum_I f^*\phi_I df_I\right) \\ &= \sum_I d(f^*\phi_I \wedge df_I) \\ &= \sum_I [d(f^*\phi_I) \wedge df_I + (-1)^k f^*\phi_I \wedge d(df_I)] \\ &= \sum_I [f^*(d\phi_I) \wedge f^*(dx_I) + (-1)^k f^*\phi_I \wedge 0] \\ &= \sum_I f^*(d\phi_I) \wedge f^*(dx_I) \\ &= f^*\sum_I d\phi_I \wedge dx_I \\ &= f^*(d\omega) \end{aligned}$$

- A special case of $f^*(dx_I) = df_I$:

$$f^*(dx_1 \wedge \cdots \wedge dx_n) = \det \left[\frac{\partial f_i}{\partial x_j} \right] dx_1 \wedge \cdots \wedge dx_n$$

– Let $U, V \subset \mathbb{R}^n$ open. Then for all $p \in U$,

$$\begin{aligned} f^*(dx_1 \wedge \cdots \wedge dx_n)_p &= (df_1)_p \wedge \cdots \wedge (df_n)_p \\ &= \left[\sum_{j=1}^n \frac{\partial f_1}{\partial x_j} \Big|_p (dx_j)_p \right] \wedge \cdots \wedge \left[\sum_{j=1}^n \frac{\partial f_n}{\partial x_j} \Big|_p (dx_j)_p \right] \\ &= \det \left[\frac{\partial f_i}{\partial x_j} \Big|_p \right] (dx_1 \wedge \cdots \wedge dx_n)_p \end{aligned}$$

– See the argument used in Section 1.8 to derive the typical formula for the determinant for details and context on the above.

- **Homotopy** (between f_0 and f_1): A C^∞ map from $U \times A \rightarrow V$ (where $U \subset \mathbb{R}^n$ and $V \subset \mathbb{R}^m$ are open, $\{0, 1\} \subset A \subset \mathbb{R}$ is an open interval, and $f_0, f_1 : U \rightarrow V$ are C^∞ maps) such that

$$\begin{aligned} (x, 0) &\mapsto f_0(x) \\ (x, 1) &\mapsto f_1(x) \end{aligned}$$

Denoted by F .

- **Homotopic** (maps): Two maps f_0, f_1 to which there corresponds a homotopy F . Denoted by $f_0 \simeq f_1$.

– “Intuitively, f_0 and f_1 are homotopic if there exists a family of C^∞ maps $f_t : U \rightarrow V$ where $f_t(x) = F(x, t)$ which ‘smoothly deform f_0 into f_1 ’” (Guillemin & Haine, 2018, p. 56).

- Theorem 2.6.15: If $U \subset \mathbb{R}^n$ and $V \subset \mathbb{R}^m$ open and $f_0, f_1 : U \rightarrow V$ homotopic C^∞ maps, then for every closed form $\omega \in \Omega^k(V)$, the form $f_1^*\omega - f_0^*\omega$ is exact.
 - This theorem is closely related to the Poincaré lemma (Lemma 2.4.16) and actually implies a slightly stronger version of it.
- **Contractible** (open subset $U \subset \mathbb{R}^n$): An open subset $U \subset \mathbb{R}^n$ for which there exists a point $p_0 \in U$ such that $\text{id}_U : U \rightarrow U$ is homotopic to the constant map $f_0 : U \rightarrow U$ defined by $f_0(p) = p_0$ at p_0 .
 - A contractible set is so named because it can be shrunk to a single point continuously.
- Theorem 2.6.15 implies that the Poincaré lemma holds for contractible open subsets of \mathbb{R}^n . In particular, if U is contractible, then every closed k -form on U of degree $k > 0$ is exact.

Proof. Let U be contractible, and let $\omega \in \Omega^k(U)$ be closed. Since U is contractible, id_U and f a constant function are homotopic. Thus, by Theorem 2.6.15, $\text{id}_U^*\omega - f^*\omega = \omega$ is exact. \square

- The three basic operations of 3D vector calculus are gradient, curl, and divergence. These operations are closely related to $d : \Omega^k(\mathbb{R}^3) \rightarrow \Omega^{k+1}(\mathbb{R}^3)$ for $k = 0, 1, 2$, respectively.
 - Gradient and divergence generalize to higher dimensions, with gradient always equal to d^0 and divergence always equal to d^{n-1} .
 - Why we should use differential forms, even in three dimensions: **General covariance**.
 - Translations and rotations of \mathbb{R}^3 preserve div and curl, but d^0, d^1, d^2 admit all diffeomorphisms of \mathbb{R}^3 as symmetries.
- **General covariance:** The desire to formulate the laws of physics in such a way that they admit as large a set of symmetries as possible.
- There are two (natural) ways to convert vector fields into forms.
- Conversion using the *inner* product.
 - Let $B(v, w) = \sum_n v_i w_i$ be the inner product on \mathbb{R}^n .
 - By Exercise 1.2.xi, the inner product induces a bijective linear map $L : \mathbb{R}^n \rightarrow (\mathbb{R}^n)^*$ such that $L(v) = \ell_v$ iff $\ell_v(w) = B(v, w)$.
 - By identifying $T_p\mathbb{R}^n \cong \mathbb{R}^n$, we may transfer B, L to $T_p\mathbb{R}^n$, providing an inner product B_p on $T_p\mathbb{R}^n$ and a bijective linear map $L_p : T_p\mathbb{R}^n \rightarrow T_p^*\mathbb{R}^n$.
 - Note that the only difference between L and L_p (resp. B and B_p) is that L_p eats (p, v) and focuses on v while L eats v directly.
 - The identification $p \mapsto L_p v(p)$ constitutes the 1-form \mathbf{v}^\sharp .
 - Intuition: \mathbf{v} is a vector field. Thus, $v = \mathbf{v}(p)$ is the vector in \mathbf{v} at point p . What L_p does is take this vector (as part of (p, v)) and return the linear functional $(\ell_v)_p \in T_p^*\mathbb{R}^n$ which sends $(p, w) \mapsto (p, \ell_v(w))$. So essentially, we are identifying with every point p the linear functional that maps every vector w (as part of the ordered pair $(p, w) \in T_p\mathbb{R}^n$) to its inner product with v , $B(v, w)$ (again, as part of the ordered pair $(p, B(v, w)) \in T_p\mathbb{R}^n$).

- $\mathbf{v}^\sharp(p)$: The cotangent vector

$$\mathbf{v}^\sharp(p) = L_p \mathbf{v}(p)$$

- Consequences.

- We have that

$$\mathbf{v} = \frac{\partial}{\partial x_i} \iff \mathbf{v}^\sharp = dx_i$$

- More generally,

$$\mathbf{v} = \sum_{i=1}^n f_i \frac{\partial}{\partial x_i} \iff \mathbf{v}^\sharp = \sum_{i=1}^n f_i dx_i$$

- **Gradient** (of a function f): The following vector field, as determined by $f \in C^\infty(U)$ where $U \subset \mathbb{R}^n$. Denoted by $\mathbf{grad}(f)$. Given by

$$\mathbf{grad}(f) = \sum_{i=1}^n \frac{\partial f}{\partial x_i}$$

- This gets converted by \sharp into the 1-form $\sum_{i=1}^n \partial f / \partial x_i dx_i = df$.
- Thus, the gradient operation is essentially just the exterior derivative operation d^0 .
- Conversion using the *interior* product.
 - Let $\mathbf{v} = \sum_{i=1}^n f_i \partial / \partial x_i$ be a C^∞ vector field on $U \subset \mathbb{R}^n$ open. Let $\Omega = dx_1 \wedge \cdots \wedge dx_n$.
 - Then

$$\iota_{\mathbf{v}}\Omega = \sum_{r=1}^n (-1)^{r-1} f_r dx_1 \wedge \cdots \wedge \widehat{dx_r} \wedge \cdots \wedge dx_n$$

- Since every $(n-1)$ -form can be written uniquely as such a sum, the above equation defines a bijective correspondence between vector fields and $(n-1)$ -forms.
- The d operation as an operation on vector fields.
 - We may define $d(\mathbf{v})$ by

$$\mathbf{v} \mapsto d\iota_{\mathbf{v}}\Omega$$

- The expression on the right above can related to the **divergence** as follows.

$$\begin{aligned} d\iota_{\mathbf{v}}\Omega &= \iota_{\mathbf{v}}(d(dx_1 \wedge \cdots \wedge dx_n)) + d(\iota_{\mathbf{v}}\Omega) \\ &= L_{\mathbf{v}}\Omega \\ &= \operatorname{div}(\mathbf{v})\Omega \end{aligned}$$

- The first equality follows by $d^2 = 0$.
- The second equality follows by the definition of the Lie derivative of ω with respect to \mathbf{v} .
- The third equality follows by Lemma 2.5.13.
- **Divergence** (of a vector field \mathbf{v}): The following function from $U \rightarrow \mathbb{R}$, where $\mathbf{v} = \sum_{i=1}^n f_i \partial / \partial x_i$ is a vector field over U . Denoted by $\mathbf{div}(\mathbf{v})$. Given by

$$\operatorname{div}(\mathbf{v}) = \sum_{i=1}^n \frac{\partial f_i}{\partial x_i}$$

- The above correspondence between $(n-1)$ -forms and vector fields converts d into the divergence operation on vector fields.
- **Curl** (of a vector field \mathbf{v}): The unique vector field \mathbf{w} such that $d(\mathbf{v}^\sharp) = \iota_{\mathbf{w}} dx_1 \wedge dx_2 \wedge dx_3$, where $U \subset \mathbb{R}^3$ open and \mathbf{v} is a vector field on U . Denoted by $\mathbf{curl}(\mathbf{v})$.
- We should confirm that this definition coincides with that from vector calculus. In particular, we should check that if $\mathbf{v} = \sum_{i=1}^3 f_i \partial / \partial x_i$, then

$$\mathbf{curl}(\mathbf{v}) = \sum_{i=1}^3 g_i \frac{\partial}{\partial x_i}$$

where

$$\begin{aligned}g_1 &= \frac{\partial f_2}{\partial x_3} - \frac{\partial f_3}{\partial x_2} \\g_2 &= \frac{\partial f_3}{\partial x_1} - \frac{\partial f_1}{\partial x_3} \\g_3 &= \frac{\partial f_1}{\partial x_2} - \frac{\partial f_2}{\partial x_1}\end{aligned}$$

- Take aways:
 - The gradient, curl, and divergence operations have differential-form analogues (i.e., d^0, d^1, d^2).
 - To define the gradient, we needed the inner product. To define the divergence, we had to equip U with Ω . To define the curl, we needed both.
 - It's these additional structures that explains why diffeomorphisms preserve d^0, d^1, d^2 , but not grad, curl, div.
- Guillemin and Haine (2018) expresses Maxwell's equations in terms of differential forms.
- Guillemin and Haine (2018) introduces symplectic geometry and Hamiltonian mechanics.