

# MATH 20510 (Analysis in $\mathbb{R}^n$ III – Accelerated) Notes

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# Chapter 1

## Multilinear Algebra

### 1.1 Notes

3/28: • Motivation for the course and an overview of Guillemin and Haine (2018).

3/30: • Plan:

– More (multi)linear algebra.

• Dual spaces.

• Let  $V$  be an  $n$ -dimensional real vector space.

• **Hom** ( $V, \mathbb{R}$ ): The set of all homomorphisms (i.e., linear maps) from  $V$  to  $\mathbb{R}$ . *Also known as  $V^*$ .*

• **Dual basis** (for  $V^*$ ): The set of linear transformations from  $V$  to  $\mathbb{R}$  defined by

$$e_j \mapsto \begin{cases} 1 & j = i \\ 0 & j \neq i \end{cases}$$

where  $e_1, \dots, e_n$  is a basis of  $V$ . *Denoted by  $e_1^*, \dots, e_n^*$ .*

• Check:  $e_1^*, \dots, e_n^*$  are a basis for  $V^*$ .

– Are they linearly independent? Let  $c_1 e_1^* + \dots + c_n e_n^* = 0 \in \text{Hom}(V, \mathbb{R})$ . Then

$$c_i = (c_1 e_1^* + \dots + c_n e_n^*)(e_i) = 0 \in \mathbb{R}$$

as desired.

– Span? Let  $\varphi \in \text{Hom}(V, \mathbb{R})$ . Then we can verify that

$$\varphi(e_1) e_1^* + \dots + \varphi(e_n) e_n^* = \varphi$$

■ We prove this by verifying the previous statement on the basis of  $V$  (if two linear transformations have the same action on the basis of a vector space, they are equal).

• With a choice of basis for  $V$ , we obtain an isomorphism  $\varepsilon : V \rightarrow V^*$  with the mapping  $e_i \mapsto e_i^*$  for all  $i$ .

• The dual space is known as such because  $(V^*)^* \cong V$ , where  $\cong$  is **canonical** (no choice of basis is needed).

• One more property of dual spaces: **functoriality**.

- Given a linear transformation  $A : V \rightarrow W$ , we know that  $A^* : W^* \rightarrow V^*$  where  $A^*$  is the transpose of  $A$ . In particular, if  $\varphi \in W^*$ , then  $\varphi \circ A : V \rightarrow \mathbb{R}$ .
- Claim:  $A^*$  is linear.
- **Functoriality:** If  $A : V \rightarrow W$  and  $B : W \rightarrow U$ , then  $B^* : U^* \rightarrow W^*$  and  $A^* : W^* \rightarrow V^*$ . The functoriality statement is that  $(B \circ A)^* = A^* \circ B^*$ .
- $A^*$  is the **pullback** (or transpose) of  $A$ .
- Let  $v_1, \dots, v_n$  be a basis for  $V$  and  $w_1, \dots, w_m$  be a basis for  $W$ . Then  $[A]_{v_1, \dots, v_n}^{w_1, \dots, w_m} = A$  is the matrix of the linear transformation  $A$  with respect to these bases. Then if  $v_1^*, \dots, v_n^*$  and  $w_1^*, \dots, w_m^*$  are the corresponding dual bases, then  $[A^*]_{v_1^*, \dots, v_n^*}^{w_1^*, \dots, w_m^*} = A^T$ . We can and should verify this for ourselves.
- This is over the real numbers, so  $A^*$  is just the transpose because there are no complex numbers of which to take the conjugate!
- A generalization: Tensors.
- **$k$ -tensor:** A **multilinear** map

$$T : \underbrace{V \times \cdots \times V}_{k \text{ times}} \rightarrow \mathbb{R}$$

- **Multilinear** (map  $T$ ): A function  $T$  such that

$$\begin{aligned} T(v_1, \dots, v_i^1 + v_i^2, \dots, v_k) &= T(v_1, \dots, v_i^1, \dots, v_k) + T(v_1, \dots, v_i^2, \dots, v_k) \\ T(v_1, \dots, \lambda v_i, \dots, v_k) &= \lambda T(v_1, \dots, v_i, \dots, v_k) \end{aligned}$$

for all  $(v_1, \dots, v_k) \in V^k$ .

- The determinant is an  $n$ -tensor!
- 1-tensors are just covectors.
- $\mathcal{L}^k(V)$ : The vector space of all  $k$ -tensors on  $V$ .
- Calculating  $\dim \mathcal{L}^k(V)$ . (Answer not given in this class.)
- Let  $A : V \rightarrow W$ . Then  $A^* : \mathcal{L}^k(W) \rightarrow \mathcal{L}^k(V)$ .
  - Check  $(A \circ B)^* = B^* \circ A^*$ .
- **Multi-index of  $n$  of length  $k$ :** A  $k$ -tuple  $(i_1, \dots, i_k)$  where each  $i_j \in \mathbb{N}$  satisfies  $1 \leq i_j \leq n$  ( $j = 1, \dots, k$ ). Denoted by  $\mathbf{I}$ .
- Let  $e_1, \dots, e_n$  be a basis for  $V$ .
- **Tensor product** (of  $T_1 \in \mathcal{L}^k(V)$ ,  $T_2 \in \mathcal{L}^l(V)$ ): The function from  $V^{k+l}$  to  $\mathbb{R}$  defined by

$$(v_1, \dots, v_{k+l}) \mapsto T_1(v_1, \dots, v_k) T_2(v_{k+1}, \dots, v_{k+l})$$

Denoted by  $T_1 \otimes T_2$ .

- Claims:
  1.  $T_1 \otimes T_2 \in \mathcal{L}^{k+l}(V)$ .
  2.  $A^*(T_1 \otimes T_2) = A^*(T_1) \otimes A^*(T_2)$ .
- $e_{\mathbf{I}}^*$ : The function  $e_{i_1}^* \otimes \cdots \otimes e_{i_k}^*$ , where  $\mathbf{I} = (i_1, \dots, i_k)$  is a multi-index of  $n$  of length  $k$ .
- Claim: Letting  $\mathbf{I}$  range over all  $n^k$  multi-indices of  $n$  of length  $k$ , the  $e_{\mathbf{I}}^*$  are a basis for  $\mathcal{L}^k(V)$ .

- If  $V = \mathbb{R}$ , then  $V = \mathbb{R}e_1$ . If  $V = \mathbb{R}^2$ , then  $V = \mathbb{R}e_1 \oplus \mathbb{R}e_2$ .
- We know that  $L^1(V) = V^* = \mathbb{R}e_1^*$ . Thus,  $e_1^* \otimes e_2^* : V \times V \rightarrow \mathbb{R}$ . Thus, for example,

$$(e_1^* \otimes e_2^*)((1, 2), (3, 4)) = e_1^*(1, 2) \cdot e_2^*(3, 4) = 1 \cdot 4 = 4$$

4/1:

- Plan: More multilinear algebra.
  - Properties of the tensor product.
  - Sign of a permutation.
  - Alternating tensors (lead into differential forms down the road).
- Recall:  $V$  is an  $n$ -dimensional vector space over  $\mathbb{R}$  with basis  $e_1, \dots, e_n$ .  $\mathcal{L}^k(V)$  is the vector space of  $k$ -tensors on  $V$ .  $\{e_I^* \mid I \text{ a multiindex of } n \text{ of length } k\}$  is a basis for  $\mathcal{L}^k(V)$ .

- For example, if  $V = \mathbb{R}^2$  and  $T \in \mathcal{L}^2(V)$ , then

$$T(a_1e_1 + a_2e_2, b_1e_1 + b_2e_2) = a_1b_1T(e_1, e_1) + a_1b_2T(e_1, e_2) + a_2b_1T(e_2, e_1) + a_2b_2T(e_2, e_2)$$

- A basis of  $\mathcal{L}^2(V)$  is

$$\{e_1^* \otimes e_1^*, e_1^* \otimes e_2^*, e_2^* \otimes e_1^*, e_2^* \otimes e_2^*\}$$

- Recall that some basic properties are

$$e_1^* \otimes e_2^*((1, 2), (3, 4)) = 1 \cdot 4 = 4 \qquad e_2^* \otimes e_1^*((1, 2), (3, 4)) = 2 \cdot 3 = 6$$

- It follows by the initial decomposition of  $T$  that

$$T = a_1b_1e_1^* \otimes e_1^* + a_1b_2e_1^* \otimes e_2^* + a_2b_1e_2^* \otimes e_1^* + a_2b_2e_2^* \otimes e_2^*$$

- Important consequence: To know the action of  $T$  on an arbitrary pair of vectors, you need only know its action on the basis; a higher-dimensional generalization of the earlier property.
- Note that

$$e_I^*(e_J) = \delta_{IJ} = \begin{cases} 1 & I = J \\ 0 & I \neq J \end{cases}$$

- Basic properties of the tensor product.

1. *Right-distributive*: If  $T_1 \in \mathcal{L}^k(V)$  and  $T_2, T_3 \in \mathcal{L}^\ell(V)$ , then

$$T_1 \otimes (T_2 + T_3) = T_1 \otimes T_2 + T_1 \otimes T_3$$

2. *Left-distributive*: If  $T_1, T_2 \in \mathcal{L}^k(V)$  and  $T_3 \in \mathcal{L}^\ell(V)$ , then

$$(T_1 + T_2) \otimes T_3 = T_1 \otimes T_3 + T_2 \otimes T_3$$

3. *Associative*: If  $T_1 \in \mathcal{L}^k(V)$ ,  $T_2 \in \mathcal{L}^\ell(V)$ , and  $T_3 \in \mathcal{L}^m(V)$ , then

$$T_1 \otimes (T_2 \otimes T_3) = (T_1 \otimes T_2) \otimes T_3 = T_1 \otimes T_2 \otimes T_3$$

4. *Scalar multiplication*: If  $T_1 \in \mathcal{L}^k(V)$ ,  $T_2 \in \mathcal{L}^\ell(V)$ , and  $\lambda \in \mathbb{R}$ , then

$$(\lambda T_1) \otimes T_2 = \lambda(T_1 \otimes T_2) = T_1 \otimes (\lambda T_2)$$

- Note that the tensor product is not commutative.
- Aside: Defining the sign of a permutation.

- $S_A$ : The set of all automorphisms of  $A$  (bijections from  $A$  to  $A$ ), where  $A$  is a set.
- $S_n$ : The set  $S_{[n]}$ .
- Given  $\sigma_1, \sigma_2 \in S_n$ ,  $\sigma_1 \circ \sigma_2 \in S_n$ .
  - Thus,  $S_n$  is a **group**.
- **Transposition**: A function  $\tau \in S_n$  such that

$$\tau(k) = \begin{cases} j & k = i \\ i & k = j \\ k & k \neq i, j \end{cases}$$

for some  $i, j \in [n]$ . Denoted by  $\tau_{i,j}$ .

- Theorem: An element of  $S_n$  can be written as the product of transpositions (i.e., for all  $\sigma \in S_n$ , there exist  $\tau_1, \dots, \tau_m \in S_n$  such that  $\sigma = \tau_1 \circ \dots \circ \tau_m$ ).
- **Sign** (of  $\sigma \in S_n$ ): The number (mod 2) of transpositions whose product equals  $\sigma$ . Denoted by  $(-1)^\sigma$ ,  $\text{sign}(\sigma)$ .
- Theorem: The sign of  $\sigma$  is well-defined. Additionally,

$$(-1)^{\sigma_1 \sigma_2} = (-1)^{\sigma_1} \cdot (-1)^{\sigma_2}$$

- Example: Consider the identity permutation.  $(-1)^\sigma = +1$ . We can think of this as the product of zero transpositions or, for instance, as the product of the two transpositions  $\tau_{1,2} \circ \tau_{1,2}$ . Another example would be  $\tau_{2,3} \circ \tau_{1,2} \circ \tau_{1,2} \circ \tau_{2,3}$ .
- Theorem: Let  $X_i$  be a rational or polynomial function for each  $i \in \mathbb{N}$ . Then

$$(-1)^\sigma = \prod_{i < j} \frac{X_{\sigma(i)} - X_{\sigma(j)}}{X_i - X_j}$$

- Example: For the permutation  $\sigma = (1, 2, 3)$ , we have

$$\begin{aligned} (-1)^\sigma &= \frac{X_{\sigma(1)} - X_{\sigma(2)}}{X_1 - X_2} \cdot \frac{X_{\sigma(1)} - X_{\sigma(3)}}{X_1 - X_3} \cdot \frac{X_{\sigma(2)} - X_{\sigma(3)}}{X_2 - X_3} \\ &= \frac{X_2 - X_3}{X_1 - X_2} \cdot \frac{X_2 - X_1}{X_1 - X_3} \cdot \frac{X_3 - X_1}{X_2 - X_3} \\ &= \frac{-(X_1 - X_2)}{X_1 - X_2} \cdot \frac{-(X_1 - X_3)}{X_1 - X_3} \cdot \frac{X_2 - X_3}{X_2 - X_3} \\ &= -1 \cdot -1 \cdot 1 \\ &= +1 \end{aligned}$$

which squares with the fact that  $\sigma = \tau_{1,2} \circ \tau_{2,3}$ .

- Claims to verify with the above formula:
  1.  $\text{sign}(\sigma) \in \{\pm 1\}$ .
  2.  $\text{sign}(\tau_{i,j}) = -1$ .
  3.  $\text{sign}(\sigma_1 \sigma_2) = \text{sign}(\sigma_1) \text{sign}(\sigma_2)$ .

4/4:

- Plan:
  - More multilinear algebra.

- Alternating  $k$ -tensors — 2 views:
  1. As a subspace of  $\mathcal{L}^k(V)$ .
  2. As a quotient of  $\mathcal{L}^k(V)$ .
- Next time: Operators as alternating tensors.
  - Wedge products.
  - Interior products.
  - Pullbacks.
- Recall:  $\dim V = n$ ,  $e_1, \dots, e_n$  a basis,  $\mathcal{L}^k(V)$  the space of  $k$ -tensors,  $\sigma \in S_k$  implies  $(-1)^\sigma \in \{\pm 1\}$ , key property:  $(-1)^{\sigma_1 \sigma_2} = (-1)^{\sigma_1} (-1)^{\sigma_2}$ .
- $T^\sigma$ : The  $k$ -tensor over  $V$  defined by

$$T^\sigma(v_1, \dots, v_k) = T(v_{\bar{\sigma}(1)}, \dots, v_{\bar{\sigma}(k)})$$

where  $T \in \mathcal{L}^k(V)$ ,  $\sigma \in S_k$ , and  $\bar{\sigma}$  denotes the inverse of  $\sigma$ .

- Example:  $n = 2$ ,  $k = 2$ . Let  $T = e_1^* \otimes e_2^* \in \mathcal{L}^2(V)$ . Let  $\sigma = \tau_{1,2}$ . Then  $T^\sigma = e_2^* \otimes e_1^*$ .
- Another property is  $e_I^\sigma = e_{\sigma(I)}^*$ .
- Properties:
  1.  $T^{\sigma_1 \sigma_2} = (T^{\sigma_1})^{\sigma_2}$ .
  2.  $(T_1 + T_2)^\sigma = T_1^\sigma + T_2^\sigma$ .
  3.  $(cT)^\sigma = cT^\sigma$ .
- Thus, you can view  $\sigma : \mathcal{L}^k(V) \rightarrow \mathcal{L}^k(V)$  as a linear map!
- **Alternating  $k$ -tensor**: A tensor  $T \in \mathcal{L}^k(V)$  such that  $T^\sigma = (-1)^\sigma T$  for all  $\sigma \in S_k$ .
  - Equivalently,  $T^\tau = -T$  for all  $\tau \in S_k$ .
- An example of an alternating 2-tensor when  $\dim V = 2$  is  $T = e_1^* \otimes e_2^* - e_2^* \otimes e_1^*$ .
  - Naturally,  $T_{1,2}^\tau = -T$ , and  $\tau_{1,2}$  is the unique transposition in  $S_2$ .
- $e_1^* \otimes e_2^*$  is *not* an alternating 2-tensor since  $(e_1^* \otimes e_2^*)^\tau = e_2^* \otimes e_1^* \neq (-1)^\tau (e_1^* \otimes e_2^*)$ .
- We can look at  $n = 2$ ,  $k = 1$  for ourselves.
- Note: If  $T_1, T_2$  are both alternating  $k$ -tensors, then  $T_1 + T_2$  is also alternating, as is  $cT_1$  for all  $c \in \mathbb{R}$ .
- $\mathcal{A}^k(V)$ : The vector space of alternating  $k$ -tensors.
- **Alt** ( $T$ ): The function  $\text{Alt} : \mathcal{L}^k(V) \rightarrow \mathcal{L}^k(V)$  defined by

$$\text{Alt}(T) = \sum_{\sigma \in S_k} (-1)^\sigma T^\sigma$$

- Properties:
  1.  $\text{im}(\text{Alt}) = \mathcal{A}^k(V)$ .
  2.  $\mathcal{L}^k(V) / \ker(\text{Alt}) = \Lambda^k(V^*)$  is isomorphic to  $\mathcal{A}^k(V)$ .
  3.  $\text{Alt}(T)^\sigma = (-1)^\sigma \text{Alt}(T)$ .

– Proof:

$$\begin{aligned}
 \text{Alt}(T)^{\sigma'} &= \left( \sum_{\sigma \in S_k} (-1)^\sigma T^\sigma \right)^{\sigma'} \\
 &= \sum_{\sigma \in S_k} (-1)^\sigma T^{\sigma\sigma'} \\
 &= (-1)^{\sigma'} \sum_{\sigma \in S_k} (-1)^{\sigma'} (-1)^\sigma T^{\sigma\sigma'} \\
 &= (-1)^{\sigma'} \sum_{\sigma \in S_k} (-1)^{\sigma\sigma'} T^{\sigma\sigma'} \\
 &= (-1)^{\sigma'} \text{Alt}(T)
 \end{aligned}$$

– The last equality holds because summing over all  $\sigma$  is the same as summing over all  $\sigma' \circ \sigma$ .

– This implies  $\text{im}(\text{Alt}) \leq \mathcal{A}^k(V)$ .

4. If  $T \in \mathcal{A}^k(V)$ ,  $\text{Alt}(T) = k!T$ .

– We have

$$\begin{aligned}
 \text{Alt}(T) &= \sum_{\sigma \in S_k} (-1)^\sigma T^\sigma \\
 &= \sum_{\sigma \in S_k} (-1)^\sigma (-1)^\sigma T \\
 &= \sum_{\sigma \in S_k} T \\
 &= k!T
 \end{aligned}$$

where  $T^\sigma = (-1)^\sigma T$  since  $T \in \mathcal{A}^k(V)$  by definition.

– This implies that  $\text{im}(\text{Alt}) = \mathcal{A}^k(V)$ :  $\text{Alt}(\frac{1}{k!}T) = T \in \mathcal{A}^k(V)$ .

5.  $\text{Alt}(T^\sigma) = \text{Alt}(T)^\sigma$ .

6.  $\text{Alt} : \mathcal{L}^k(V) \rightarrow \mathcal{L}^k(V)$  is linear.

• Warning: Some people take  $\text{Alt}(T) = \frac{1}{k!} \sum_{\sigma \in S_k} (-1)^\sigma T^\sigma$ <sup>[1]</sup>.

• Example:  $n = k = 2$ . We have

$$\text{Alt}(e_1^* \otimes e_2^*) = e_1^* \otimes e_2^* - e_2^* \otimes e_1^*$$

• **Non-repeating** (multi-index  $I$ ): A multi-index  $I$  such that  $i_{j_1} \neq i_{j_2}$  for all  $j_1 \neq j_2$ .

• **Increasing** (multi-index  $I$ ): A multi-index  $I$  such that  $i_1 < \dots < i_k$ .

• Claim:  $\{\text{Alt}(e_I^*)\}$  where  $I$  is non-repeating and increasing is a basis for  $\mathcal{A}^k(V)$ . There are  $\binom{n}{k}$  of these; thus,  $\dim \mathcal{A}^k(V) = \binom{n}{k}$ .

4/6:

• Klug will be in Texas on Monday and thus is cancelling class on Monday. Homework is now due next Friday. We'll have weekly homeworks going forward after that.

• Plan:

–  $\text{Alt} : \mathcal{L}^k(V) \twoheadrightarrow \mathcal{A}^k(V)$ <sup>[2]</sup>.

– Goal: Identify  $\ker(\text{Alt}) = \mathcal{I}^k(V)$ , where  $\mathcal{I}^k(V)$  is the space of **redundant**  $k$ -tensors<sup>[3]</sup>.

<sup>1</sup>Klug prefers this convention, but the text takes the other one.

<sup>2</sup>The two-headed right arrow denotes a surjective map.

<sup>3</sup>The  $\mathcal{I}$  in  $\mathcal{I}^k(V)$  stands for “ideal.”



- Then: Operations on alternating tensors, e.g.,
  - Wedge product.
  - Interior product.
  - Orientations.
- Claim:  $\{\text{Alt}(e_I^*) \mid I \text{ non-repeating, increasing multi-index}\}$  is a basis for  $\mathcal{A}^k(V)$ .
  - Left as an exercise to us.
- **Redundant** ( $k$ -tensor): A  $k$ -tensor of the form

$$\ell_1 \otimes \cdots \otimes \ell_i \otimes \ell_i \otimes \ell_{i+2} \otimes \cdots \otimes \ell_k$$

where  $\ell_1, \dots, \ell_k \in V^*$ .

- $\mathcal{I}^k(V)$ : The span of all redundant  $k$ -tensors.
  - Note that not every  $k$ -tensor in  $\mathcal{I}^k(V)$  is a redundant.
- **Decomposable** ( $k$ -tensor): A  $k$ -tensor of the form  $\ell_1 \otimes \cdots \otimes \ell_k$  for  $\ell_i \in \mathcal{L}^1(V)$ .
  - It often suffices to prove things for decomposable tensors.
- Properties.
  1. If  $T \in \mathcal{I}^k(V)$ , then  $\text{Alt}(T) = 0$ , i.e.,  $\mathcal{I}^k(V) \leq \ker(\text{Alt})$ .
    - “Proof by example”: If  $T = \ell_1 \otimes \ell_1 \otimes \ell_2 \otimes \ell_3$ , then  $T^{\tau_{1,2}} = T$ . It follows from the properties of  $\text{Alt}$  that

$$\begin{aligned}\text{Alt}(T) &= \text{Alt}(T^{\tau_{1,2}}) = (-1)^{\tau_{1,2}} \text{Alt}(T) = -\text{Alt}(T) \\ 2 \text{Alt}(T) &= 0 \\ \text{Alt}(T) &= 0\end{aligned}$$

2. If  $T \in \mathcal{I}^r(V)$  and  $T' \in \mathcal{L}^s(V)$ , then

$$T \otimes T' \in \mathcal{I}^{r+s}(V)$$

Similarly, if  $T \in \mathcal{L}^r(V)$  and  $T' \in \mathcal{I}^s(V)$ , then

$$T \otimes T' \in \mathcal{I}^{r+s}(V)$$

- Proof: It suffices to assume that  $T$  is redundant. Obviously adding more tensors to the direct product will not change the redundancy of the initial tensor. Example:  $\ell_1 \otimes \ell_1 \otimes \ell_2$  is just as redundant as  $\ell_1 \otimes \ell_1 \otimes \ell_2 \otimes T$ .
- 3. If  $T \in \mathcal{L}^k(V)$  and  $\sigma \in S_k$ , then

$$T^\sigma = (-1)^\sigma T + S$$

for some  $S \in \mathcal{I}^k(V)$ .

- Proof by example: It suffices to check this for decomposable tensors (a tensor is just a sum of decomposable tensors). Take  $k = 2$ . Let  $T = \ell_1 \otimes \ell_2$ . Let  $\sigma = \tau_{1,2}$ . Then

$$T^\sigma - (-1)^\sigma T = \ell_2 \otimes \ell_1 + \ell_1 \otimes \ell_2 = (\ell_1 + \ell_2) \otimes (\ell_1 + \ell_2) - \ell_1 \otimes \ell_1 - \ell_2 \otimes \ell_2$$

- Actual proof: It suffices to assume  $T$  is decomposable. We induct on the number of transpositions needed to write  $\sigma$  as a product of **adjacent** transpositions.

- Base case:  $\sigma = \tau_{i,i+1}$ . Then

$$\begin{aligned} T^{\tau_{i,i+1}} + T &= \ell_1 \otimes \cdots \otimes (\ell_i + \ell_{i+1}) \otimes (\ell_i + \ell_{i+1}) \otimes \cdots \otimes \ell_k \\ &\quad - \ell_1 \otimes \cdots \otimes \ell_i \otimes \ell_i \otimes \cdots \otimes \ell_k \\ &\quad - \ell_1 \otimes \cdots \otimes \ell_{i+1} \otimes \ell_{i+1} \otimes \cdots \otimes \ell_k \end{aligned}$$

- Inductive step: If  $\sigma = \beta\tau$ , then

$$\begin{aligned} T^\sigma &= T^{\beta\tau} \\ &= (-1)^\tau T^\beta + \text{stuff in } \mathcal{I}^k(V) \\ &= (-1)^\tau [(-1)^\beta T + \text{stuff in } \mathcal{I}^k(V)] + \text{stuff in } \mathcal{I}^k(V) \end{aligned}$$

- If  $T \in \mathcal{L}^k(V)$ , then

$$\text{Alt}(T) = k!T + W$$

for some  $W \in \mathcal{I}^k(V)$ .

- We have that

$$\begin{aligned} \text{Alt}(T) &= \sum_{\sigma \in S_k} (-1)^\sigma T^\sigma \\ &= \sum_{\sigma \in S_k} (-1)^\sigma [(-1)^\sigma T + S_\sigma] \\ &= \sum_{\sigma \in S_k} T + \sum_{\sigma \in S_k} (-1)^\sigma S_\sigma \\ &= k!T + W \end{aligned}$$

- $\mathcal{I}^k(V) = \ker(\text{Alt})$ .

- We have that  $\mathcal{I}^k(V) \leq \ker(\text{Alt})$  by property 1.
- Now suppose  $T \in \ker(\text{Alt})$ . Then  $\text{Alt}(T) = 0$ . Then by property 4,

$$\begin{aligned} \text{Alt}(T) &= k!T + W \\ 0 &= k!T + W \\ T &= -\frac{1}{k!}W \in \mathcal{I}^k(V) \end{aligned}$$

- Warning: If  $T \in \mathcal{A}^r(V)$  and  $T' \in \mathcal{A}^s(V)$ , then we do not necessarily have  $T \otimes T' \in \mathcal{A}^{r+s}(V)$ .

- Example:  $e_1^*, e_2^* \in \mathcal{A}^1(V)$  have  $e_1^* \otimes e_2^* \notin \mathcal{A}^2(V)$ .

- **Adjacent** (transposition): A transposition of the form  $\tau_{i,i+1}$ .

4/8:

- Recall that  $\mathcal{A}^k(V) \hookrightarrow \mathcal{L}^k(V)^{[4]}$

- Functoriality:  $(A \circ B)^* = B^* \circ A^*$ .

- $A^*$  takes  $\mathcal{L}^k(W) \rightarrow \mathcal{L}^k(V)$  and  $\mathcal{A}^k(W) \rightarrow \mathcal{A}^k(V)$ .

- $\dim(\Lambda^k(V)) = \binom{n}{k}$ .

- Special case  $k = n$ :  $\dim \Lambda^n(V) = 1$ .

- If  $A : V \rightarrow V$  induces a map  $\Lambda^n(V^*) \rightarrow \Lambda^n(V^*)$  defined by the determinant.

- Aside:  $\Lambda^k(V)$  is “exterior powers.”

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<sup>4</sup>The hooked right arrow denotes an injective map.

- Plan: Wedge products + basis for  $\Lambda^k(V)$ .
- **Wedge product:** A function  $\wedge : \Lambda^k(V^*) \times \Lambda^\ell(V^*) \rightarrow \Lambda^{k+\ell}(V)$ .
  - We denote elements of  $\Lambda^k(V^*)$  by  $\omega_1, \omega_2$ , etc.
- If  $\pi : \mathcal{L}^k(V) \rightarrow \Lambda^k(V^*)$  sends  $T \mapsto \omega$ ,  $\omega_1 = \pi(T_1)$ , and  $\omega_2 = \pi(T_2)$ , then  $\omega_1 \wedge \omega_2 = \pi(T_1 \otimes T_2)$ .
  - Note that  $\ker(\pi) = \mathcal{I}^k(V)$ .
- Properties.

1. This is well defined, i.e., this does not depend on the choice of  $T_1, T_2$ .

- Consider  $T_1 + W_1, T_2 + W_2$  with  $W_1, W_2 \in \mathcal{I}^k(V)$ .
- We check that  $\pi[(T_1 + W_1) \otimes (T_2 + W_2)] = \pi(T_1 \otimes T_2)$ .
- Since  $W_1 \otimes T_2, T_1 \otimes W_2, W_1 \otimes W_2 \in \mathcal{I}^{k+\ell}(V)$ , we have that

$$\begin{aligned} \pi[(T_1 + W_1) \otimes (T_2 + W_2)] &= \pi(T_1 \otimes T_2 + W_1 \otimes T_2 + T_1 \otimes W_2 + W_1 \otimes W_2) \\ &= \pi(T_1 \otimes T_2) + \pi(W_1 \otimes T_2) + \pi(T_1 \otimes W_2) + \pi(W_1 \otimes W_2) \\ &= \pi(T_1 \otimes T_2) \end{aligned}$$

2. Associative: We have that

$$\omega_1 \wedge (\omega_2 \wedge \omega_3) = (\omega_1 \wedge \omega_2) \wedge \omega_3 = \omega_1 \wedge \omega_2 \wedge \omega_3$$

- Follows from the definition of  $\wedge$  in terms of  $\pi$  and properties of the tensor product.

3. Distributive: We have that

$$(\omega_1 + \omega_2) \wedge \omega_3 = \omega_1 \wedge \omega_3 + \omega_2 \wedge \omega_3 \quad \omega_1 \wedge (\omega_2 + \omega_3) = \omega_1 \wedge \omega_2 + \omega_1 \wedge \omega_3$$

- Follows from the definition of  $\wedge$  in terms of  $\pi$  and properties of the tensor product.

4. Linear: We have that

$$(c\omega_1) \wedge \omega_2 = c(\omega_1 \wedge \omega_2) = \omega_1 \wedge (c\omega_2)$$

- Follows from the definition of  $\wedge$  in terms of  $\pi$  and properties of the tensor product.

5. Anticommutative: We have that

$$\omega_1 \wedge \omega_2 = (-1)^{k\ell} \omega_2 \wedge \omega_1$$

- It suffices to assume that  $w_1 = \ell_1 \wedge \cdots \wedge \ell_k, w_2 = \ell'_1 \wedge \cdots \wedge \ell'_\ell$ .

■ We have

$$(\ell_1 \wedge \cdots \wedge \ell_k) \wedge (\ell'_1 \wedge \cdots \wedge \ell'_\ell) = (-1)^k (\ell'_1 \wedge \cdots \wedge \ell'_\ell) \wedge (\ell_1 \wedge \cdots \wedge \ell_k)$$

- Let  $\ell_1, \dots, \ell_k \in \Lambda^1(V^*) = V^* = \mathcal{L}^1(V)$ .
- Recall that  $\mathcal{I}^1(V) = \{0\}$ .
- Claim:  $\ell_{\sigma(1)} \wedge \cdots \wedge \ell_{\sigma(k)} = (-1)^\sigma \ell_1 \wedge \cdots \wedge \ell_k$  for all  $\sigma \in S_k$ .

■ Recall that  $T^\sigma = (-1)^\sigma T + W$  for some  $W \in \mathcal{I}^k(V)$ .

■ Let  $T = \ell_1 \otimes \cdots \otimes \ell_k$ .

■ Then

$$\begin{aligned} (\ell_1 \otimes \cdots \otimes \ell_k)^\sigma &= \ell_{\sigma(1)} \otimes \cdots \otimes \ell_{\sigma(k)} \\ &= (-1)^\sigma \ell_1 \otimes \cdots \otimes \ell_k + W \end{aligned}$$

■ Then hit both sides by  $\pi$ , noting that  $\pi(W) = 0$ .

- Example:

1.  $n = 2, k = \ell = 1$ . Consider  $e_1^*, e_2^* \in \mathcal{L}^1(V) = V^* = \mathcal{A}^1(V) = \Lambda^1(V^*)$ . Then

$$e_1^* \wedge e_2^* = (-1)e_2^* \wedge e_1^* \qquad e_1^* \wedge e_1^* = 0 = e_2^* \wedge e_2^*$$

2.  $n = 4$ . We have  $e_1^* \wedge (3e_1^* + 2e_2^* + 3e_3^*) = 3(e_1^* \wedge e_1^*) + 2(e_1^* \wedge e_2^*) + 3(e_1^* \wedge e_3^*)$ . We also have  $(e_1^* \wedge e_2^*) \wedge (e_1^* \wedge e_2^*) = 0$ .

4/13:

- Plan:
  - Finish multilinear algebra.
  - Basis for  $\Lambda^k(V^*)$ .
  - Talk a bit about pullbacks and the determinant.
  - **Orientations** of vector spaces.
  - The **interior product**.
- Basis for  $\Lambda^k(V^*)$ .
  - Recall that  $\{\text{Alt}(e_I^*) \mid I \text{ is a nonrepeating, increasing partition of } n \text{ into } k \text{ parts}\}$  is a basis for  $\mathcal{A}^k(V)$ .
- Alt is an isomorphism from  $\Lambda^k(V^*)$  to  $\mathcal{A}^k(V)$ .
- If we have an injective map from  $\mathcal{A}^k(V)$  to  $\mathcal{L}^k(V)$  and  $\pi$  a projection map from  $\mathcal{L}^k(V)$  to the quotient space  $\mathcal{A}^k(V^*)$  gives rise to  $\pi|_{\mathcal{A}^k(V)}$ .
- Claim:
  1.  $\pi|_{\mathcal{A}^k(V)}$  is an isomorphism.
  2.  $\pi(\text{Alt}(e_I^*)) = k!\pi(e_I^*)$ .
- (2) implies that  $\{\pi(e_I^*) = e_{i_1}^* \wedge \cdots \wedge e_{i_k}^*, I \text{ non-repeating and increasing}\}$  is a basis for  $\Lambda^k(V^*)$ .
- Examples:
  1.  $n = 2 = \dim V, V = \mathbb{R}e_1 \oplus \mathbb{R}e_2$ .
    - $\Lambda^0(V^*) = \mathbb{R}$  since  $\binom{n}{0} = 1$ .
    - $\Lambda^1(V^*) = \mathbb{R}e_1^* \oplus \mathbb{R}e_2^*$  since  $\binom{n}{1} = 2$ .
    - $\Lambda^2(V^*) = \mathbb{R}e_1^* \wedge e_2^*$  since  $\binom{n}{2} = 1$ .
      - For the second to last one, note that  $e_1^* \wedge e_2^* = -e_2^* \wedge e_1^*$ .
    - $\Lambda^3(V^*) = 0$  since  $\binom{2}{3} = 0$ .
      - For the last one, note that all  $e_1^* \wedge e_1^* \wedge e_2^* = 0$ .
  2.  $n = 3, V = \mathbb{R}e_1 \oplus \mathbb{R}e_2 \oplus \mathbb{R}e_3$ .
    - $\binom{n}{0} = 1$ :  $\Lambda^0(V^*) = \mathbb{R}$ .
    - $\binom{n}{1} = 3$ :  $\Lambda^1(V^*) = \mathbb{R}e_1^* \oplus \mathbb{R}e_2^* \oplus \mathbb{R}e_3^*$ .
    - $\binom{n}{2} = 3$ :  $\Lambda^2(V^*) = \mathbb{R}e_1^* \wedge e_2^* \oplus \mathbb{R}e_2^* \wedge e_3^* \oplus \mathbb{R}e_1^* \wedge e_3^*$ .
    - $\binom{n}{3} = 1$ :  $\Lambda^3(V^*) = \mathbb{R}e_1^* \wedge e_2^* \wedge e_3^*$ .
    - $\binom{n}{m} = 0$  ( $m > n$ ):  $\Lambda^m(V^*) = \Lambda^4(V^*) = 0$ .
- If  $A : V \rightarrow W, \omega_1 \in \Lambda^k(W^*), \omega_2 \in \Lambda^\ell(W^*)$ , then

$$A^*(\omega_1 \wedge \omega_2) = A^*\omega_1 \wedge A^*\omega_2$$

- **Determinant:** Let  $\dim V = n$ . Let  $A : V \rightarrow V$  be a linear transformation. This induces a pullback  $A^* : \Lambda^n(V^*) \rightarrow \Lambda^n(V^*)$ . The top exterior power  $k = n$  implies  $\binom{k}{n} = 1$ . We define  $\det(A)$  to be the unique real number such that  $A^*(v) = \det(A)v$ .

- This determinant is the one we know.

–  $A^*$  sends  $e_1^* \wedge \cdots \wedge e_n^*$  to  $A^*e_1^* \wedge \cdots \wedge A^*e_n^*$  which equals  $A^*(e_1^* \wedge \cdots \wedge e_n^*)$  or  $\det(A)$

- Sanity check.

1.  $\det(\text{id}) = 1$ .

–  $\text{id}(e_1^* \wedge \cdots \wedge e_n^*) = \text{id}e_1^* \wedge \cdots \wedge \text{id}e_n^* = 1 \cdot e_1^* \wedge \cdots \wedge e_n^*$ .

2. If  $A$  is not an isomorphism, then  $\det(A) = 0$ .

– If  $A$  is not an isomorphism, then there exists  $v_1 \in \ker A$  with  $v_1 \neq 0$ . Let  $v_1^*, \dots, v_n^*$  be a basis of  $V^*$ . So the pullback of this wedge is the wedge of the pullbacks, but  $A^*v_1^* = 0$ , so

$$A^*(v_1^* \wedge \cdots \wedge v_n^*) = (A^*v_1^*) \wedge \cdots \wedge (A^*v_n^*) = 0 \wedge \cdots \wedge (A^*v_n^*) = 0 = 0 \cdot v_1^* \wedge \cdots \wedge v_n^*$$

3.  $\det(AB) = \det(A)\det(B)$ .

– Let  $A : V \rightarrow V$  and  $B : V \rightarrow V$ .

– We have  $(AB)^* = B^*A^*$ ; in particular,  $n = k$ ,  $V = W = U = V$ .

- Recall: If we pick a basis for  $V$ ,  $e_1, \dots, e_n$ .

– Implies  $[a_{ij}] = [A]_{e_1, \dots, e_n}^{e_1, \dots, e_n}$ .

- Does  $\det(A) = \det([a_{ij}]) = \sum_{\sigma \in S_n} (-1)^\sigma a_{1,\sigma(1)} \cdots a_{n,\sigma(n)}$ ?

– If  $A : V \rightarrow V$ , we know that  $A^* : \Lambda^n(V^*) \rightarrow \Lambda^n(V^*)$  takes  $e_1^* \wedge \cdots \wedge e_n^* \mapsto A^*(e_1^* \wedge \cdots \wedge e_n^*)$ . We WTS

$$A^*(e_1^* \wedge \cdots \wedge e_n^*) = \left[ \sum_{\sigma \in S_n} (-1)^\sigma a_{1,\sigma(1)} \cdots a_{n,\sigma(n)} \right] e_1^* \wedge \cdots \wedge e_n^*$$

– We have that

$$\begin{aligned} A^*(e_1^* \wedge \cdots \wedge e_n^*) &= A^*e_1^* \wedge \cdots \wedge A^*e_n^* \\ &= \left( \sum_{i_1=1}^n a_{i_1,1} e_{i_1}^* \right) \wedge \cdots \wedge \left( \sum_{i_n=1}^n a_{i_n,n} e_{i_n}^* \right) \\ &= \sum_{i_1, \dots, i_n} a_{i_1,1} \cdots a_{i_n,n} e_{i_1}^* \wedge \cdots \wedge e_{i_n}^* \\ &= \left[ \sum_{\sigma \in S_n} (-1)^\sigma a_{1,\sigma(1)} \cdots a_{n,\sigma(n)} \right] e_1^* \wedge \cdots \wedge e_n^* \end{aligned}$$

where the sign arises from the need to reorder  $e_{i_1}^* \wedge \cdots \wedge e_{i_n}^*$  and the antisymmetry of the wedge product.

## 1.2 Chapter 1: Multilinear Algebra

From Guillemin and Haine (2018).

3/31:

- Guillemin and Haine (2018) defines real vector spaces, the operations on them, their basic properties, and the zero vector.
- **Linearly independent** (vectors  $v_1, \dots, v_k$ ): A finite set of vectors  $v_1, \dots, v_k \in V$  such that the map from  $\mathbb{R}^k$  to  $V$  defined by  $(c_1, \dots, c_k) \mapsto c_1 v_1 + \cdots + c_k v_k$  is injective.
- **Spanning** (vectors  $v_1, \dots, v_k$ ): We require that the above map is surjective.

- Guillemin and Haine (2018) defines basis, finite-dimensional vector space, dimension, subspace, linear map, and kernel.
- **Image** (of  $A : V \rightarrow W$ ): The range space of  $A$ , a subspace of  $W$ . *Also known as  $\mathbf{im}(A)$ .*
- Guillemin and Haine (2018) defines the matrix of a linear map.
- **Inner product** (on  $V$ ): A map  $B : V \times V \rightarrow \mathbb{R}$  with the following three properties.

– *Bilinearity*: For vectors  $v, v_1, v_2, w \in V$  and  $\lambda \in \mathbb{R}$ , we have

$$B(v_1 + v_2, w) = B(v_1, w) + B(v_2, w)$$

and

$$B(\lambda v, w) = \lambda B(v, w)$$

– *Symmetry*: For vectors  $v, w \in V$ , we have  $B(v, w) = B(w, v)$ .

– *Positivity*: For every vector  $v \in V$ , we have  $B(v, v) \geq 0$ . Moreover, if  $v \neq 0$ , then  $B(v, v) > 0$ .

- **W-coset**: A set of the form  $\{v + w \mid w \in W\}$ , where  $W$  is a subspace  $V$  and  $v \in V$ . *Denoted by  $v + W$ .*
  - If  $v_1 - v_2 \in W$ , then  $v_1 + W = v_2 + W$ .
  - It follows that the distinct  $W$ -cosets decompose  $V$  into a disjoint collection of subsets of  $V$ .

- **Quotient space** (of  $V$  by  $W$ ): The set of distinct  $W$ -cosets in  $V$ , along with the following definitions of vector addition and scalar multiplication.

$$(v_1 + W) + (v_2 + W) = (v_1 + v_2) + W$$

$$\lambda(v + W) = (\lambda v) + W$$

*Denoted by  $V/W$ .*

- **Quotient map**: The linear map  $\pi : V \rightarrow V/W$  defined by

$$\pi(v) = v + W$$

–  $\pi$  is surjective.

– Note that  $\ker(\pi) = W$  since for all  $w \in W$ ,  $\pi(w) = w + W = 0 + W$ , which is the zero vector in  $V/W$ .

- If  $V, W$  are finite dimensional, then

$$\dim(V/W) = \dim(V) - \dim(W)$$

- Proposition 1.2.9: Let  $A : V \rightarrow U$  be a linear map. If  $W \subset \ker(A)$ , then there exists a unique linear map  $A^\sharp : V/W \rightarrow U$  with the property that  $A = A^\sharp \circ \pi$ , where  $\pi : V \rightarrow V/W$  is the quotient map.

– This proposition rephrases in terms of quotient spaces the fact that if  $w \in W$ , then  $A(v + w) = Av$ .

- **Dual space** (of  $V$ ): The set of all linear functions  $\ell : V \rightarrow \mathbb{R}$ , along with the following definitions of vector addition and scalar multiplication.

$$(\ell_1 + \ell_2)(v) = \ell_1(v) + \ell_2(v)$$

$$(\lambda\ell)(v) = \lambda \cdot \ell(v)$$

*Denoted by  $V^*$ .*

- **Dual basis** (of  $e_1, \dots, e_n$  a basis of  $V$ ): The basis of  $V^*$  consisting of the  $n$  functions that take every  $v = c_1 e_1 + \dots + c_n e_n$  to one of the  $c_i$ . *Denoted by  $e_1^*, \dots, e_n^*$ . Given by*

$$e_i^*(v) = c_i$$

for all  $v \in V$ .

- Claim 1.2.12: If  $V$  is an  $n$ -dimensional vector space with basis  $e_1, \dots, e_n$ , then  $e_1^*, \dots, e_n^*$  is a basis of  $V^*$ .

*Proof.* We will first prove that  $e_1^*, \dots, e_n^*$  spans  $V^*$ . Let  $\ell \in V^*$  be arbitrary. Set  $\lambda_i = \ell(e_i)$  for all  $i \in [n]$ . Define  $\ell' = \sum_{i=1}^n \lambda_i e_i^*$ . Then

$$\ell'(e_j) = \sum_{i=1}^n \lambda_i e_i^*(e_j) = \lambda_j \cdot 1 = \ell(e_j)$$

for all  $j \in [n]$ . Therefore, since  $\ell, \ell'$  take identical values on the basis of  $V$ ,  $\ell = \ell'$ , as desired.

We now prove that  $e_1^*, \dots, e_n^*$  is linearly independent. Let  $\sum_{i=1}^n \lambda_i e_i^* = 0$ . Then for all  $j \in [n]$ ,

$$\lambda_j = \left( \sum_{i=1}^n \lambda_i e_i^* \right) (e_j) = 0$$

as desired. □

- **Transpose** (of  $A$ ): The map from  $W^*$  to  $V^*$  defined by  $\ell \mapsto \ell \circ A$  for all  $\ell \in W^*$ . Denoted by  $A^*$ .
- Claim 1.2.15: If  $e_1, \dots, e_n$  is a basis of  $V$ ,  $f_1, \dots, f_m$  is a basis of  $W$ ,  $e_1^*, \dots, e_n^*$  and  $f_1^*, \dots, f_m^*$  are the corresponding dual bases, and  $[a_{i,j}]$  is the  $m \times n$  matrix of  $A$  with respect to  $\{e_j\}, \{f_i\}$ , then the linear map  $A^*$  is defined in terms of  $\{f_i^*\}, \{e_j^*\}$  by the transpose matrix  $(a_{j,i})$ .

*Proof.* Let  $[c_{j,i}]$  be the  $n \times m$  matrix of  $A^*$  with respect to  $\{f_i^*\}, \{e_j^*\}$ . We seek to prove that  $a_{i,j} = c_{j,i}$  ( $1 \leq i \leq m, 1 \leq j \leq n$ ).

By the definition of  $[a_{i,j}]$  and  $[c_{j,i}]$ , we have that

$$A^* f_i^* = \sum_{k=1}^n c_{k,i} e_k^* \qquad A e_j = \sum_{k=1}^m a_{k,j} f_k$$

It follows that

$$[A^* f_i^*](e_j) = \left[ \sum_{k=1}^n c_{k,i} e_k^* \right] (e_j) = c_{j,i}$$

and

$$[A^* f_i^*](e_j) = f_i^*(A e_j) = f_i^* \left( \sum_{k=1}^m a_{k,j} f_k \right) = a_{i,j}$$

so transitivity implies the desired result. □

4/4:

- $V^k$ : The set of all  $k$ -tuples  $(v_1, \dots, v_k)$  where  $v_1, \dots, v_k \in V$  a vector space.  
– Note that

$$V^k = \underbrace{V \oplus \dots \oplus V}_{k \text{ times}}$$

where “ $\oplus$ ” denotes the direct sum.

- **Linear** (function in its  $i^{\text{th}}$  variable): A function  $T : V^k \rightarrow \mathbb{R}$  such that the map from  $V$  to  $\mathbb{R}$  defined by  $v \mapsto T(v_1, \dots, v_{i-1}, v, v_{i+1}, \dots, v_k)$  is linear, where all  $v_j$  save  $v_i$  are fixed.
- **$k$ -linear** (function  $T$ ): A function  $T : V^k \rightarrow \mathbb{R}$  that is linear in its  $i^{\text{th}}$  variable for  $i = 1, \dots, k$ . Also known as  **$k$ -tensor**.
- $\mathcal{L}^k(V)$ : The set of all  $k$ -tensors in  $V$ .

- Since the sum  $T_1 + T_2$  of two  $k$ -linear functions  $T_1, T_2 : V^k \rightarrow \mathbb{R}$  is just another  $k$ -linear function, and  $\lambda T_1$  is  $k$ -linear for all  $\lambda \in \mathbb{R}$ , we have that  $\mathcal{L}^k(V)$  is a vector space.

- Convention: 0-tensors are just the real numbers. Mathematically, we define

$$\mathcal{L}^0(V) = \mathbb{R}$$

- Note that  $\mathcal{L}^1(V) = V^*$ .
- Defines multi-indices of  $n$  of length  $k$ .
- Lemma 1.3.5: If  $n, k \in \mathbb{N}$ , then there are exactly  $n^k$  multi-indices of  $n$  of length  $k$ .
- $T_I$ : The real number  $T(e_{i_1}, \dots, e_{i_k})$ , where  $T \in \mathcal{L}^k(V)$ ,  $e_1, \dots, e_n$  is a basis of  $V$ , and  $I$  is a multi-index of  $n$  of length  $k$ .
- Proposition 1.3.7: The real numbers  $T_I$  determine  $T$ , i.e., if  $T, T'$  are  $k$ -tensors and  $T_I = T'_I$  for all  $I$ , then  $T = T'$ .

*Proof.* We induct on  $n$ . For the base case  $n = 1$ ,  $T \in (\mathbb{R}^k)^*$  and we have already proven this result. Now suppose inductively that the assertion is true for  $n - 1$ . For each  $e_i$ , let  $T_i$  be the  $(k - 1)$ -tensor defined by

$$(v_1, \dots, v_{n-1}) \mapsto T(v_1, \dots, v_{n-1}, e_i)$$

Then for an arbitrary  $v = c_1 e_1 + \dots + c_n e_n$ ,

$$T(v_1, \dots, v_{n-1}, v) = \sum_{i=1}^n c_i T_i(v_1, \dots, v_{n-1})$$

so the  $T_i$ 's determine  $T$ . Applying the inductive hypothesis completes the proof.  $\square$

- **Tensor product:** The function  $\otimes : \mathcal{L}^k(V) \times \mathcal{L}^\ell(V) \rightarrow \mathcal{L}^{k+\ell}(V)$  defined by

$$(T_1 \otimes T_2)(v_1, \dots, v_{k+\ell}) = T_1(v_1, \dots, v_k) T_2(v_{k+1}, \dots, v_{k+\ell})$$

for all  $T_1 \in \mathcal{L}^k(V)$  and  $T_2 \in \mathcal{L}^\ell(V)$ .

- Note that by the definition of 0-tensors as real numbers, if  $a \in \mathbb{R}$  and  $T \in \mathcal{L}^k(V)$ , then

$$a \otimes T = T \otimes a = aT$$

- Proposition 1.3.9: Associativity, distributivity of scalar multiplication, and left and right distributive laws for the tensor product.
- **Decomposable** ( $k$ -tensor): A  $k$ -tensor  $T$  for which there exist  $\ell_1, \dots, \ell_k \in V^*$  such that

$$T = \ell_1 \otimes \dots \otimes \ell_k$$

- Defines  $e_I^*$ .
- Theorem 1.3.13:  $V$  a vector space with basis  $e_1, \dots, e_n$  and  $0 \leq k \leq n$  implies the  $k$ -tensors  $e_I^*$  form a basis of  $\mathcal{L}^k(V)$ .

*Proof.* Spanning: Let  $T \in \mathcal{L}^k(V)$  be arbitrary. Define

$$T' = \sum_I T_I e_I^*$$



Since

$$T'_J = T'(e_{j_1}, \dots, e_{j_k}) = \sum_I T_I e_I^*(e_{j_1}, \dots, e_{j_k}) = T_J e_J^*(e_{j_1}, \dots, e_{j_k}) = T_J$$

for all  $J$ , Proposition 1.3.7 asserts that  $T = T'$ . Therefore, since every  $T_I \in \mathbb{R}$ ,  $T = T' \in \text{span}(e_I^*)$ .

Linear independence: Suppose

$$T = \sum_I c_I e_I^* = 0$$

for some set of constants  $c_I \in \mathbb{R}$ . Then

$$0 = T(e_{j_1}, \dots, e_{j_k}) = \sum_I c_I e_I^*(e_{j_1}, \dots, e_{j_k}) = c_J$$

for all  $J$ , as desired. □

- Corollary 1.3.15: If  $\dim V = n$ , then  $\dim(\mathcal{L}^k(V)) = n^k$ .

*Proof.* Follows immediately from Lemma 1.3.5. □

- **Pullback** (of  $T$  by the map  $A$ ): The  $k$ -tensor  $A^*T : V^k \rightarrow \mathbb{R}$  defined by

$$(A^*T)(v_1, \dots, v_k) = T(Av_1, \dots, Av_k)$$

where  $V, W$  are finite-dimensional vector spaces,  $A : V \rightarrow W$  is linear, and  $T \in \mathcal{L}^k(W)$ .

- Proposition 1.3.18: The map  $A^* : \mathcal{L}^k(W) \rightarrow \mathcal{L}^k(V)$  defined by  $T \mapsto A^*T$  is linear.

- Identities:

– If  $T_1 \in \mathcal{L}^k(W)$  and  $T_2 \in \mathcal{L}^m(W)$ , then

$$A^*(T_1 \otimes T_2) = A^*(T_1) \otimes A^*(T_2)$$

– If  $U$  is a vector space,  $B : U \rightarrow V$  is linear, and  $T \in \mathcal{L}^k(W)$ , then  $(AB)^*T = B^*(A^*T)$ . Hence,

$$(AB)^* = B^*A^*$$

- 4/13:
  - **$\Sigma_k$** : The set containing the natural numbers 1 through  $k$ . *Given by*

$$\Sigma_k = \{1, 2, \dots, k\}$$

- **Permutation of order  $k$** : A bijection on  $\Sigma_k$ . *Denoted by  $\sigma$ .*
- **Product** (of  $\sigma_1, \sigma_2$ ): The composition  $\sigma_1 \circ \sigma_2$ , i.e., the map

$$i \mapsto \sigma_1(\sigma_2(i))$$

*Denoted by  $\sigma_1\sigma_2$ .*

- **Inverse** (of  $\sigma$ ): The permutation of order  $k$  which is the inverse bijection of  $\sigma$ . *Denoted by  $\sigma^{-1}$ .*
- **Permutation group** (of  $\Sigma_k$ ): The set of all permutations of order  $k$ . *Also known as **symmetric group on  $k$  letters**. Denoted by  $S_k$ .*
- Lemma 1.4.2: The group  $S_k$  has  $k!$  elements.

- **Transposition:** A permutation of order  $k$  defined by

$$\ell \mapsto \begin{cases} j & \ell = i \\ i & \ell = j \\ \ell & \ell \neq i, j \end{cases}$$

for all  $\ell \in \Sigma_k$ , where  $i, j \in \Sigma_k$ . Denoted by  $\tau_{i,j}$ .

- **Elementary transposition:** A transposition of the form  $\pi_{i,i+1}$ .
- Theorem 1.4.4: Every  $\sigma \in S_k$  can be written as a product of (a finite number of) transpositions.

*Proof.* We induct on  $k$ .

For the base case  $k = 2$ , the identity permutation of  $S_2$  is the “product” of zero transpositions, and the only other permutation is a transposition (the “product” of one transposition, namely itself).

Now suppose inductively that we have proven the claim for  $k - 1$ . Let  $\sigma \in S_k$  be arbitrary. Suppose  $\sigma(k) = i$ . Then  $\tau_{i,k}\sigma(k) = k$ . Since  $(\tau_{i,k}\sigma)|_{\Sigma_{k-1}} \in S_{k-1}$ , we have by the inductive hypothesis that  $(\tau_{i,k}\sigma)|_{\Sigma_{k-1}} = \tau_1 \cdots \tau_m$  for some set of permutations  $\tau_1, \dots, \tau_m \in S_{k-1}$ . For each  $\tau_j$  ( $1 \leq j \leq m$ ), define  $\tau'_j \in S_k$

$$\tau'_j(\ell) = \begin{cases} \tau_j(\ell) & \ell < k \\ \ell & \ell = k \end{cases}$$

It follows that

$$\begin{aligned} \tau_{i,k}\sigma &= \tau'_1 \cdots \tau'_m \\ \sigma &= \tau_{i,k}\tau'_1 \cdots \tau'_m \end{aligned}$$

as desired. □

- Theorem 1.4.5: Every transposition can be written as a product of elementary transpositions.

*Proof.* Let  $\tau_{i,j} \in S_k$ , and let  $i < j$  WLOG. Then we have that

$$\tau_{i,j} = \prod_{\ell=i}^{j-1} \tau_{\ell,\ell+1}$$

as desired. □

- Corollary 1.4.6: Every permutation can be written as a product of elementary transpositions.
- **Sign** (of  $\sigma$ ): The number  $\pm 1$  assigned to  $\sigma$  by the expression

$$\prod_{i < j} \frac{x_{\sigma(i)} - x_{\sigma(j)}}{x_i - x_j}$$

where  $x_1, \dots, x_k$  are coordinate functions on  $\mathbb{R}^k$ . Denoted by  $(-1)^\sigma$ .

- Claim 1.4.9: The sign defines a group homomorphism  $S_k \rightarrow \{\pm 1\}$ . That is, for  $\sigma_1, \sigma_2 \in S_k$ , we have

$$(-1)^{\sigma_1\sigma_2} = (-1)^{\sigma_1}(-1)^{\sigma_2}$$

*Proof.* For all  $i < j$ , define  $p, q$  such that  $p$  is the lesser of  $\sigma_2(i), \sigma_2(j)$  and  $q$  is the greater of  $\sigma_2(i), \sigma_2(j)$ . Formally,

$$p = \begin{cases} \sigma_2(i) & \sigma_2(i) < \sigma_2(j) \\ \sigma_2(j) & \sigma_2(j) < \sigma_2(i) \end{cases} \quad q = \begin{cases} \sigma_2(j) & \sigma_2(i) < \sigma_2(j) \\ \sigma_2(i) & \sigma_2(j) < \sigma_2(i) \end{cases}$$

It follows that if  $\sigma_2(i) < \sigma_2(j)$ , then

$$\frac{x_{\sigma_1\sigma_2(i)} - x_{\sigma_1\sigma_2(j)}}{x_{\sigma_2(i)} - x_{\sigma_2(j)}} = \frac{x_{\sigma_1(p)} - x_{\sigma_1(q)}}{x_p - x_q}$$

and if  $\sigma_2(j) < \sigma_2(i)$ , then

$$\frac{x_{\sigma_1\sigma_2(i)} - x_{\sigma_1\sigma_2(j)}}{x_{\sigma_2(i)} - x_{\sigma_2(j)}} = \frac{x_{\sigma_1(q)} - x_{\sigma_1(p)}}{x_q - x_p} = \frac{x_{\sigma_1(p)} - x_{\sigma_1(q)}}{x_p - x_q}$$

Therefore,

$$\begin{aligned} (-1)^{\sigma_1\sigma_2} &= \prod_{i < j} \frac{x_{\sigma_1\sigma_2(i)} - x_{\sigma_1\sigma_2(j)}}{x_i - x_j} \\ &= \prod_{i < j} \frac{x_{\sigma_1\sigma_2(i)} - x_{\sigma_1\sigma_2(j)}}{x_{\sigma_2(i)} - x_{\sigma_2(j)}} \cdot \frac{x_{\sigma_2(i)} - x_{\sigma_2(j)}}{x_i - x_j} \\ &= \prod_{i < j} \frac{x_{\sigma_1(p)} - x_{\sigma_1(q)}}{x_p - x_q} \cdot \prod_{i < j} \frac{x_{\sigma_2(i)} - x_{\sigma_2(j)}}{x_i - x_j} \\ &= (-1)^{\sigma_1}(-1)^{\sigma_2} \end{aligned}$$

as desired.  $\square$

- Proposition 1.4.11: If  $\sigma$  is the product of an odd number of transpositions, then  $(-1)^\sigma = -1$ , and if  $\sigma$  is the product of an even number of transpositions, then  $(-1)^\sigma = +1$ .

*Proof.* Follows from the fact that  $(-1)^\sigma = -1$  (see Exercise 1.4.ii).  $\square$

- $T^\sigma$ : The  $k$ -tensor defined by

$$T^\sigma(v_1, \dots, v_k) = T(v_{\sigma^{-1}(1)}, \dots, v_{\sigma^{-1}(k)})$$

where  $T \in \mathcal{L}^k(V)$ ,  $V$  is an  $n$ -dimensional vector space, and  $\sigma \in S_k$ .

- Proposition 1.4.14:

1. If  $T = \ell_1 \otimes \dots \otimes \ell_k$  ( $\ell_i \in V^*$ ), then  $T^\sigma = \ell_{\sigma(1)} \otimes \dots \otimes \ell_{\sigma(k)}$ .

*Proof.* If  $v_1, \dots, v_k \in V$ , then

$$\begin{aligned} T^\sigma(v_1, \dots, v_k) &= T(v_{\sigma^{-1}(1)}, \dots, v_{\sigma^{-1}(k)}) \\ &= [\ell_1 \otimes \dots \otimes \ell_k](v_{\sigma^{-1}(1)}, \dots, v_{\sigma^{-1}(k)}) \\ &= \ell_1(v_{\sigma^{-1}(1)}) \dots \ell_k(v_{\sigma^{-1}(k)}) \\ &= \ell_{\sigma(1)}(v_1) \dots \ell_{\sigma(k)}(v_k) \\ &= [\ell_{\sigma(1)} \otimes \dots \otimes \ell_{\sigma(k)}](v_1, \dots, v_k) \end{aligned}$$

as desired. Note that we can justify the fourth equality by noting that if  $\sigma^{-1}(i) = q$ , then the  $i^{\text{th}}$  term in the product is  $\ell_{\sigma(q)}(v_q)$ , so since  $\sigma$  is a bijection, the product can be arranged to the form on the right-hand side of equality four.  $\square$

2. The assignment  $T \mapsto T^\sigma$  is a linear map from  $\mathcal{L}^k(V) \rightarrow \mathcal{L}^k(V)$ .

*Proof.* See Exercise 1.4.iii. □

3. If  $\sigma_1, \sigma_2 \in S_k$ , we have  $T^{\sigma_1\sigma_2} = (T^{\sigma_1})^{\sigma_2}$ .

*Proof.* Let  $T = \ell_1 \otimes \cdots \otimes \ell_k^{[5]}$ . Then

$$T^{\sigma_1} = \ell_{\sigma_1(1)} \otimes \cdots \otimes \ell_{\sigma_1(k)} = \ell'_1 \otimes \cdots \otimes \ell'_k$$

and thus

$$(T^{\sigma_1})^{\sigma_2} = \ell'_{\sigma_2(1)} \otimes \cdots \otimes \ell'_{\sigma_2(k)}$$

Let  $\sigma_2(i) = j$ . Then since  $\ell'_p = \ell_{\sigma_1(p)}$  by definition, we have that  $\ell'_{\sigma_2(j)} = \ell_{\sigma_1(\sigma_2(j))}$ . Therefore,

$$\begin{aligned} (T^{\sigma_1})^{\sigma_2} &= \ell'_{\sigma_2(1)} \otimes \cdots \otimes \ell'_{\sigma_2(k)} \\ &= \ell_{\sigma_1(\sigma_2(1))} \otimes \cdots \otimes \ell_{\sigma_1(\sigma_2(k))} \\ &= \ell_{\sigma_1\sigma_2(1)} \otimes \cdots \otimes \ell_{\sigma_1\sigma_2(k)} \\ &= T^{\sigma_1\sigma_2} \end{aligned}$$

as desired. □

- **Alternating** ( $k$ -tensor): A  $k$ -tensor  $T \in \mathcal{L}^k(V)$  such that  $T^\sigma = (-1)^\sigma T$  for all  $\sigma \in S_k$ .
- **$\mathcal{A}^k(V)$** : The set of all alternating  $k$ -tensors in  $\mathcal{L}^k(V)$ .
  - Proposition 1.4.14(2) implies that  $(T_1 + T_2)^\sigma = T_1^\sigma + T_2^\sigma$  and  $(\lambda T_1)^\sigma = \lambda T_1^\sigma$ ; it follows that  $\mathcal{A}^k(V)$  is a vector space.
- **Alternation operation**: The function from  $\mathcal{L}^k(V) \rightarrow \mathcal{L}^k(V)$  defined by

$$T \mapsto \sum_{\tau \in S_k} (-1)^\tau T^\tau$$

Denoted by **Alt**.

- Proposition 1.4.17: For  $T \in \mathcal{L}^k(V)$  and  $\sigma \in S_k$ , we have that

$$1. \text{ Alt}(T)^\sigma = (-1)^\sigma \text{Alt } T.$$

*Proof.* We have that

$$\begin{aligned} \text{Alt}(T)^\sigma &= \left( \sum_{\tau \in S_k} (-1)^\tau T^\tau \right)^\sigma \\ &= \sum_{\tau \in S_k} (-1)^\tau (T^\tau)^\sigma && \text{Proposition 1.4.14(2)} \\ &= \sum_{\tau \in S_k} (-1)^\tau T^{\tau\sigma} && \text{Proposition 1.4.14(3)} \\ &= (-1)^\sigma \sum_{\tau \in S_k} (-1)^{\tau\sigma} T^{\tau\sigma} \\ &= (-1)^\sigma \sum_{\tau\sigma \in S_k} (-1)^{\tau\sigma} T^{\tau\sigma} \\ &= (-1)^\sigma \text{Alt } T \end{aligned}$$

as desired. □

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<sup>5</sup>What gives us the right to assume  $T$  is decomposable?

2. If  $T \in \mathcal{A}^k(V)$ , then  $\text{Alt } T = k!T$ .

*Proof.* Since  $T \in \mathcal{A}^k(V)$ , we know that  $T^\sigma = (-1)^\sigma T$ . Therefore,

$$\text{Alt } T = \sum_{\tau \in S_k} (-1)^\tau T^\tau = \sum_{\tau \in S_k} (-1)^\tau (-1)^\tau T = \sum_{\tau \in S_k} T = k!T$$

where the last equality holds because the cardinality of  $S_k$  is  $k!$ . □

3.  $\text{Alt}(T^\sigma) = \text{Alt}(T)^\sigma$ .

*Proof.* We have that

$$\text{Alt}(T^\sigma) = \sum_{\tau \in S_k} (-1)^\tau T^{\tau\sigma} = (-1)^\sigma \sum_{\tau \in S_k} (-1)^{\tau\sigma} T^{\tau\sigma} = (-1)^\sigma \text{Alt}(T) = \text{Alt}(T)^\sigma$$

as desired. □

4. The alternation operation is linear.

*Proof.* Follows by Proposition 1.4.14. □

- **Repeating** (multi-index  $I$ ): A multi-index  $I$  of length  $k$  such that  $i_r = i_s$  for some  $r \neq s$ .
- **Strictly increasing** (multi-index  $I$ ): A multi-index  $I$  of length  $k$  such that  $i_1 < i_2 < \dots < i_k$ .
- $I^\sigma$ : The multi-index of length  $k$  defined by

$$I^\sigma = (i_{\sigma(1)}, \dots, i_{\sigma(k)})$$

- If  $I$  is non-repeating, there is a unique  $\sigma \in S_k$  such that  $I^\sigma$  is strictly increasing.
- $\psi_I$ : The following  $k$ -tensor. *Given by*

$$\psi_I = \text{Alt}(e_I^*)$$

- Proposition 1.4.20:

1.  $\psi_{I^\sigma} = (-1)^\sigma \psi_I$ .

*Proof.* We have that

$$\psi_{I^\sigma} = \text{Alt}(e_{I^\sigma}^*) = \text{Alt}[(e_I^*)^\sigma] = \text{Alt}(e_I^*)^\sigma = (-1)^\sigma \text{Alt}(e_I^*) = (-1)^\sigma \psi_I$$

as desired. □

2. If  $I$  is repeating, then  $\psi_I = 0$ .

*Proof.* Suppose  $I = (i_1, \dots, i_k)$  is such that  $i_r = i_s$  for some distinct  $r, s \in \Sigma_k$ . Then  $e_I^* = e_{I^{\tau_{i_r, i_s}}}^*$ , so

$$\psi_I = \psi_{I^{\tau_{i_r, i_s}}} = (-1)^{\tau_{i_r, i_s}} \psi_I = -\psi_I$$

Therefore, we must have  $\psi_I = 0$ , as desired. □

3. If  $I$  and  $J$  are strictly increasing, then

$$\psi_I(e_{j_1}, \dots, e_{j_k}) = \begin{cases} 1 & I = J \\ 0 & I \neq J \end{cases}$$

*Proof.* We have by definition that

$$\psi_I(e_{j_1}, \dots, e_{j_k}) = \sum_{\tau} (-1)^{\tau} e_{I^{\tau}}^*(e_{j_1}, \dots, e_{j_k})$$

This combined with the facts that

$$e_{I^{\tau}}^*(e_{j_1}, \dots, e_{j_k}) = \begin{cases} 1 & I^{\tau} = J \\ 0 & I^{\tau} \neq J \end{cases}$$

$I^{\tau}$  is strictly increasing iff  $I^{\tau} = I$ , and the above equation is nonzero iff  $I^{\tau} = I = J$  implies the desired result.  $\square$

- Conclusion 1.4.22: If  $T \in \mathcal{A}^k(V)$ , then we can write  $T$  as a sum

$$T = \sum_I c_I \psi_I$$

with  $I$ 's strictly increasing.

*Proof.* Let  $T \in \mathcal{A}^k(V)$  be arbitrary. By Theorem 1.3.13,

$$T = \sum_J a_J e_J^*$$

for some set of  $a_J \in \mathbb{R}$ . It follows since  $\text{Alt}(T) = k!T$  that

$$T = \frac{1}{k!} \sum a_J \text{Alt}(e_J^*) = \sum b_J \psi_J$$

We can disregard all repeating terms in the sum since they are zero by Proposition 1.4.20(2); for every non-repeating term  $J$ , we can write  $J = I^{\sigma}$ , where  $I$  is strictly increasing and hence  $\psi_J = (-1)^{\sigma} \psi_I$ .  $\square$

- Claim 1.4.24: The  $c_I$ 's of Conclusion 1.4.22 are unique.

*Proof.* For  $J$  strictly increasing, we have

$$T_J = T(e_{j_1}, \dots, e_{j_k}) = \sum_I c_I \psi_I(e_{j_1}, \dots, e_{j_k}) = c_J$$

$\square$

- Proposition 1.4.26: The alternating tensors  $\psi_I$  with  $I$  strictly increasing are a basis for  $\mathcal{A}^k(V)$ .

*Proof.* Spanning: See Conclusion 1.4.22.

Linear independence: See Claim 1.4.24.  $\square$

- We have that

$$\dim \mathcal{A}^k(V) = \binom{n}{k} = \frac{n!}{(n-k)!k!}$$

- Hint in proving this claim: “Show that every strictly increasing multi-index of length  $k$  determines a  $k$ -element subset of  $\{1, \dots, n\}$  and vice versa.” (Guillemin & Haine, 2018, p. 16).
- Note also that if  $k > n$ , every multi-index has a repeat somewhere, meaning that  $\dim \mathcal{A}^k(V) = \binom{n}{k} = 0$ .

# References

Guillemin, V., & Haine, P. J. (2018). *Differential forms* [[https://math.mit.edu/classes/18.952/2018SP/files/18.952\\_book.pdf](https://math.mit.edu/classes/18.952/2018SP/files/18.952_book.pdf)].