## Chapter 1

## Multilinear Algebra

## 1.1 Notes

• Plan:

3/30:

3/28: • Motivation for the course and an overview of Guillemin and Haine (2018).

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- More (multi)linear algebra.

• Dual spaces.

 $\bullet$  Let V be an n-dimensional real vector space.

• Hom  $(V,\mathbb{R})$ : The set of all homomorphisms (i.e., linear maps) from V to  $\mathbb{R}$ . Also known as  $V^*$ .

• Dual basis (for  $V^*$ ): The set of linear transformations from V to  $\mathbb{R}$  defined by

$$e_j \mapsto \begin{cases} 1 & j = i \\ 0 & j \neq i \end{cases}$$

where  $e_1, \ldots, e_n$  is a basis of V. Denoted by  $e_1^*, \ldots, e_n^*$ .

• Check:  $e_1^*, \ldots, e_n^*$  are a basis for  $V^*$ .

– Are they linearly independent? Let  $c_1e_1^* + \cdots + c_ne_n^* = 0 \in \text{Hom}(V, \mathbb{R})$ . Then

$$c_i = (c_1 e_1^* + \dots + c_n e_n^*)(e_i) = 0 \in \mathbb{R}$$

as desired.

- Span? Let  $\varphi \in \text{Hom}(V, \mathbb{R})$ . Then we can verify that

$$\varphi(e_1)e_1^* + \cdots + \varphi(e_n)e_n^* = \varphi$$

- $\blacksquare$  We prove this by verifying the previous statement on the basis of V (if two linear transformations have the same action on the basis of a vector space, they are equal).
- With a choice of basis for V, we obtain an isomorphism  $\varepsilon: V \to V^*$  with the mapping  $e_i \mapsto e_i^*$  for all i.
- The dual space is known as such because  $(V^*)^* \cong V$ , where  $\cong$  is **canonical** (no choice of basis is needed).
- One more property of dual spaces: functoriality.

- Given a linear transformation  $A: V \to W$ , we know that  $A^*: W^* \to V^*$  where  $A^*$  is the transpose of A. In particular, if  $\varphi \in W^*$ , then  $\varphi \circ A: V \to \mathbb{R}$ .
- Claim:  $A^*$  is linear.
- Functoriality: If  $A:V\to W$  and  $B:W\to U$ , then  $B^*:U^*\to W^*$  and  $A^*:W^*\to V^*$ . The functoriality statement is that  $(B\circ A)^*=A^*\circ B^*$ .
- $A^*$  is the **pullback** (or transpose) of A.
- Let  $v_1, \ldots, v_n$  be a basis for V and  $w_1, \ldots, w_m$  be a basis for W. Then  $[A]_{v_1, \ldots, v_n}^{w_1, \ldots, w_m} = A$  is the matrix of the linear transformation A with respect to these bases. Then if  $v_1^*, \ldots, v_n^*$  and  $w_1^*, \ldots, w_m^*$  are the corresponding dual bases, then  $[A^*]_{v_1^*, \ldots, v_n^*}^{w_1^*, \ldots, w_n^*} = A^T$ . We can and should verify this for ourselves.
- This is over the real numbers, so  $A^*$  is just the transpose because there are no complex numbers of which to take the conjugate!
- A generalization: Tensors.
- k-tensor: A multilinear map

$$T: \underbrace{V \times \cdots \times V}_{k \text{ times}} \to \mathbb{R}$$

• Multilinear (map T): A function T such that

$$T(v_1, \dots, v_i^1 + v_i^2, \dots, v_k) = T(v_1, \dots, v_i^1, \dots, v_k) + T(v_1, \dots, v_i^2, \dots, v_k)$$
$$T(v_1, \dots, \lambda v_i, \dots, v_k) = \lambda T(v_1, \dots, v_i, \dots, v_k)$$

for all  $(v_1, \ldots, v_k) \in V^k$ .

- The determinant is an *n*-tensor!
- 1-tensors are just covectors.
- $L^k(V)$ : The vector space of all k-tensors on V.
- Calculating dim  $L^k(V)$ . (Answer not given in this class.)
- Let  $A: V \to W$ . Then  $A^*: L^k(W) \to L^k(V)$ .
  - Check  $(A \circ B)^* = B^* \circ A^*$ .
- Multi-index of n of length k: A k-tuple  $(i_1, \ldots, i_k)$  where each  $i_j \in \mathbb{N}$  satisfies  $1 \leq i_j \leq n$   $(j = 1, \ldots, k)$ . Denoted by I.
- Let  $e_1, \ldots, e_n$  be a basis for V.
- **Tensor product** (of  $T_1 \in L^k(V)$ ,  $T_2 \in L^l(V)$ ): The function from  $V^{k+l}$  to  $\mathbb{R}$  defined by

$$(v_1, \ldots, v_{k+l}) \mapsto T_1(v_1, \ldots, v_k) T_2(v_{k+1}, \ldots, v_{k+l})$$

Denoted by  $T_1 \otimes T_2$ .

- Claims:
  - 1.  $T_1 \otimes T_2 \in L^{k+l}(V)$ .
  - 2.  $A^*(T_1 \otimes T_2) = A^*(T_1) \otimes A^*(T_2)$ .
- $e_I^*$ : The function  $e_{i_1}^* \otimes \cdots \otimes e_{i_k}^*$ , where  $I = (i_1, \dots, i_k)$  is a multi-index of n of length k.
- Claim: Letting I range over all  $n^k$  multi-indices of n of length k, the  $e_I^*$  are a basis for  $L^k(V)$ .

- If  $V = \mathbb{R}$ , then  $V = \mathbb{R}e_1$ . If  $V = \mathbb{R}^2$ , then  $V = \mathbb{R}e_1 \oplus \mathbb{R}e_2$ .
- We know that  $L^1(V) = V^* = Re_1^*$ . Thus,  $e_1^* \otimes e_2^* : V \times V \to \mathbb{R}$ . Thus, for example,

$$(e_1^* \otimes e_2^*)((1,2),(3,4)) = e_1^*(1,2) \cdot e_2^*(3,4) = 1 \cdot 4 = 4$$

- 4/1: Plan: More multilinear algebra.
  - Properties of the tensor product.
  - Sign of a permutation.
  - Alternating tensors (lead into differential forms down the road).
  - Recall: V is an n-dimensional vector space over  $\mathbb{R}$  with basis  $e_1, \ldots, e_n$ .  $\mathcal{L}^k(V)$  is the vector space of k-tensors on V.  $\{e_I^* \mid I \text{ a multiindex of } n \text{ of length } k\}$  is a basis for  $\mathcal{L}^k(V)$ .
  - For example, if  $V = \mathbb{R}^2$  and  $T \in \mathcal{L}^2(V)$ , then

$$T(a_1e_1 + a_2e_2, b_1e_1 + b_2e_2) = a_1b_1T(e_1, e_1) + a_1b_2T(e_1, e_2) + a_2b_1T(e_2, e_1) + a_2b_2T(e_2, e_2)$$

- A basis of  $\mathcal{L}^2(V)$  is

$$\{e_1^* \otimes e_1^*, e_1^* \otimes e_2^*, e_2^* \otimes e_1^*, e_2^* \otimes e_2^*\}$$

- Recall that some basic properties are

$$e_1^* \otimes e_2^*((1,2),(3,4)) = 1 \cdot 4 = 4$$
  $e_2^* \otimes e_1^*((1,2),(3,4)) = 2 \cdot 3 = 6$ 

- It follows by the initial decomposition of T that

$$T = a_1 b_1 e_1^* \otimes e_1^* + a_1 b_2 e_1^* \otimes e_2^* + a_2 b_1 e_2^* \otimes e_1^* + a_2 b_2 e_2^* \otimes e_2^*$$

- Important consequence: To know the action of T on an arbitrary pair of vectors, you need only know its action on the basis; a higher-dimensional generalization of the earlier property.
- Note that

$$e_I^*(e_J) = \delta_{IJ} = \begin{cases} 1 & I = J \\ 0 & I \neq J \end{cases}$$

- Basic properties of the tensor product.
  - 1. Right-distributive: If  $T_1 \in \mathcal{L}^k(V)$  and  $T_2, T_3 \in \mathcal{L}^{\ell}(V)$ , then

$$T_1 \otimes (T_2 + T_3) = T_1 \otimes T_2 + T_1 \otimes T_3$$

2. Left-distributive: If  $T_1, T_2 \in \mathcal{L}^k(V)$  and  $T_3 \in \mathcal{L}^{\ell}(V)$ , then

$$(T_1 + T_2) \otimes T_3 = T_1 \otimes T_3 + T_2 \otimes T_3$$

3. Associative: If  $T_1 \in \mathcal{L}^k(V)$ ,  $T_2 \in \mathcal{L}^\ell(V)$ , and  $T_3 \in \mathcal{L}^m(V)$ , then

$$T_1 \otimes (T_2 \otimes T_3) = (T_1 \otimes T_2) \otimes T_2 = T_1 \otimes T_2 \otimes T_3$$

4. Scalar multiplication: If  $T_1 \in \mathcal{L}^k(V)$ ,  $T_2 \in \mathcal{L}^{\ell}(V)$ , and  $\lambda \in \mathbb{R}$ , then

$$(\lambda T_1) \otimes T_2 = \lambda (T_1 \otimes T_2) = T_1 \otimes (\lambda T_2)$$

- Note that the tensor product is not commutative.
- Aside: Defining the sign of a permutation.

- $S_A$ : The set of all automorphisms of A (bijections from A to A), where A is a set.
- $S_n$ : The set  $S_{[n]}$ .
- Given  $\sigma_1, \sigma_2 \in S_n, \sigma_1 \circ \sigma_2 \in S_n$ .
  - Thus,  $S_n$  is a **group**.
- Transposition: A function  $\tau \in S_n$  such that

$$\tau(k) = \begin{cases} j & k = i \\ i & k = j \\ k & k \neq i, j \end{cases}$$

for some  $i, j \in [n]$ . Denoted by  $\tau_{i,j}$ .

- Theorem: An element of  $S_n$  can be written as the product of transpositions (i.e., for all  $\sigma \in S_n$ , there exist  $\tau_1, \ldots, \tau_m \in S_n$  such that  $\sigma = \tau_1 \circ \cdots \circ \tau_m$ ).
- Sign (of  $\sigma \in S_n$ ): The number (mod 2) of transpositions whose product equals  $\sigma$ . Denoted by  $(-1)^{\sigma}$ , sign  $(\sigma)$ .
- Theorem: The sign of  $\sigma$  is well-defined. Additionally,

$$(-1)^{\sigma_1 \sigma_2} = (-1)^{\sigma_1} \cdot (-1)^{\sigma_2}$$

- Example: Consider the identity permutation.  $(-1)^{\sigma} = +1$ . We can think of this as the product of zero transpositions or, for instance, as the product of the two transpositions  $\tau_{1,2} \circ \tau_{1,2}$ . Another example would be  $\tau_{2,3} \circ \tau_{1,2} \circ \tau_{1,2} \circ \tau_{2,3}$ .
- Theorem: Let  $X_i$  be a rational or polynomial function for each  $i \in \mathbb{N}$ . Then

$$(-1)^{\sigma} = \prod_{i < j} \frac{X_{\sigma(i)} - X_{\sigma(j)}}{X_i - X_j}$$

• Example: For the permutation  $\sigma = (1, 2, 3)$ , we have

$$\begin{split} (-1)^{\sigma} &= \frac{X_{\sigma(1)} - X_{\sigma(2)}}{X_1 - X_2} \cdot \frac{X_{\sigma(1)} - X_{\sigma(3)}}{X_1 - X_3} \cdot \frac{X_{\sigma(2)} - X_{\sigma(3)}}{X_2 - X_3} \\ &= \frac{X_2 - X_3}{X_1 - X_2} \cdot \frac{X_2 - X_1}{X_1 - X_3} \cdot \frac{X_3 - X_1}{X_2 - X_3} \\ &= \frac{-(X_1 - X_2)}{X_1 - X_2} \cdot \frac{-(X_1 - X_3)}{X_1 - X_3} \cdot \frac{X_2 - X_3}{X_2 - X_3} \\ &= -1 \cdot -1 \cdot 1 \\ &= +1 \end{split}$$

which squares with the fact that  $\sigma = \tau_{1,2} \circ \tau_{2,3}$ .

- Claims to verify with the above formula:
  - 1.  $sign(\sigma) \in \{\pm 1\}.$
  - 2.  $sign(\tau_{i,i}) = -1$ .
  - 3.  $\operatorname{sign}(\sigma_1 \sigma_2) = \operatorname{sign}(\sigma_1) \operatorname{sign}(\sigma_2)$ .
- 4/4: Plan:
  - More multilinear algebra.

- Alternating k-tensors 2 views:
  - 1. As a subspace of  $\mathcal{L}^k(V)$ .
  - 2. As a quotient of  $\mathcal{L}^k(V)$ .
- Next time: Operators as alternating tensors.
  - Wedge products.
  - Interior products.
  - Pullbacks.
- Recall: dim  $V = n, e_1, \ldots, e_n$  a basis,  $\mathcal{L}^k(V)$  the space of k-tensors,  $\sigma \in S_k$  implies  $(-1)^{\sigma} \in \{\pm 1\}$ , key property:  $(-1)^{\sigma_1 \sigma_2} = (-1)^{\sigma_1} (-1)^{\sigma_2}$ .
- $T^{\sigma}$ : The k-tensor over V defined by

$$T^{\sigma}(v_1,\ldots,v_k) = T(v_{\bar{\sigma}(1)},\ldots,v_{\bar{\sigma}(k)})$$

where  $T \in \mathcal{L}^k(V)$ ,  $\sigma \in S_k$ , and  $\bar{\sigma}$  denotes the inverse of  $\sigma$ .

- Example: n=2, k=2. Let  $T=e_1^*\otimes e_2^*\in \mathcal{L}^2(V)$ . Let  $\sigma=\tau_{1,2}$ . Then  $T^{\sigma}=e_2^*\otimes e_1^*$ .
- Another property is  $e_I^{\sigma} = e_{\sigma(I)}^*$ .
- Properties:
  - 1.  $T^{\sigma_1 \sigma_2} = (T^{\sigma_1})^{\sigma_2}$ .
  - 2.  $(T_1 + T_2)^{\sigma} = T_1^{\sigma} + T_2^{\sigma}$ .
  - 3.  $(cT)^{\sigma} = cT^{\sigma}$ .
- Thus, you can view  $\sigma: \mathcal{L}^k(V) \to \mathcal{L}^k(V)$  as a linear map!
- Alternating k-tensor: A tensor  $T \in \mathcal{L}^k(V)$  such that  $T^{\sigma} = (-1)^{\sigma}T$  for all  $\sigma \in S_k$ .
  - Equivalently,  $T^{\tau} = -T$  for all  $\tau \in S_k$ .
- An example of an alternating 2-tensor when dim V=2 is  $T=e_1^*\otimes e_2^*-e_2^*\otimes e_1^*$ .
  - Naturally,  $T_{1,2}^{\tau} = -T$ , and  $\tau_{1,2}$  is the unique transposition in  $S_2$ .
- $e_1^* \otimes e_2^*$  is not an alternating 2-tensor since  $(e_1^* \otimes e_2^*)^{\tau} = e_2^* \otimes e_1^* \neq (-1)^{\tau} (e_1^* \otimes e_2^*)$ .
- We can look at n=2, k=1 for ourselves.
- Note: If  $T-1, T_2$  are both alternating k-tensors, then  $T_1+T_2$  is also alternating, as is  $cT_1$  for all  $c \in \mathbb{R}$ .
- $\mathcal{A}^k(V)$ : The vector space of alternating k-tensors.
- Alt (T): The function Alt :  $\mathcal{L}^k(V) \to \mathcal{L}^k(V)$  defined by

$$Alt(T) = \sum_{\sigma \in S_k} (-1)^{\sigma} T^{\sigma}$$

- Properties:
  - 1.  $\operatorname{im}(\operatorname{Alt}) = \mathcal{A}^k(V)$ .
  - 2.  $\mathcal{L}^k(V)/\ker(Alt) = \Lambda^k(V^*)$  is isomorphic to  $\mathcal{A}^k(V)$ .
  - 3.  $Alt(T)^{\sigma} = (-1)^{\sigma} Alt(T)$ .

- Proof:

$$\operatorname{Alt}(T)^{\sigma'} = \left(\sum_{\sigma \in S_k} (-1)^{\sigma} T^{\sigma}\right)^{\sigma'}$$

$$= \sum_{\sigma \in S_k} (-1)^{\sigma} T^{\sigma \sigma'}$$

$$= (-1)^{\sigma'} \sum_{\sigma \in S_k} (-1)^{\sigma'} (-1)^{\sigma} T^{\sigma \sigma'}$$

$$= (-1)^{\sigma'} \sum_{\sigma \in S_k} (-1)^{\sigma \sigma'} T^{\sigma \sigma'}$$

$$= (-1)^{\sigma'} \operatorname{Alt}(T)$$

- The last equality holds because summing over all  $\sigma$  is the same as summing over all  $\sigma' \circ \sigma$ .
- This implies  $\operatorname{im}(\operatorname{Alt}) \leq \mathcal{A}^k(V)$ .
- 4. If  $T \in \mathcal{A}^k(T)$ , Alt(T) = k!T.
  - We have

$$\operatorname{Alt}(T) = \sum_{\sigma \in S_k} (-1)^{\sigma} T^{\sigma}$$
$$= \sum_{\sigma \in S_k} (-1)^{\sigma} (-1)^{\sigma} T$$
$$= \sum_{\sigma \in S_k} T$$
$$= k!T$$

where  $T^{\sigma} = (-1)^{\sigma}T$  since  $T \in \mathcal{A}^k(V)$  by definition.

- This implies that  $\operatorname{im}(\operatorname{Alt}) = \mathcal{A}^k(V)$ :  $\operatorname{Alt}(\frac{1}{k!}T) = T \in \mathcal{A}^k(V)$ .
- 5.  $Alt(T^{\sigma}) = Alt(T)^{\sigma}$ .
- 6. Alt :  $\mathcal{L}^k(V) \to \mathcal{L}^k(V)$  is linear.
- Warning: Some people take  $Alt(T) = \frac{1}{k!} \sum_{\sigma \in S_k} (-1)^{\sigma} T^{\sigma[1]}$ .
- Example: n = k = 2. We have

$$Alt(e_1^* \otimes e_2^*) = e_1^* \otimes e_2^* - e_2^* \otimes e_1^*$$

- Non-repeating (multi-index I): A multi-index I such that  $i_{j_1} \neq i_{j_2}$  for all  $j_1 \neq j_2$ .
- Increasing (multi-index I): A multi-index I such that  $i_1 < \cdots < i_k$ .
- Claim:  $\{Alt(e_I^*)\}$  where I is non-repeating and increasing is a basis for  $\mathcal{A}^k(V)$ . There are  $\binom{n}{k}$  of these; thus,  $\dim \mathcal{A}^k(V) = \binom{n}{k}$ .
- Klug will be in Texas on Monday and thus is cancelling class on Monday. Homework is now due next Friday. We'll have weekly homeworks going forward after that.
  - Plan:

4/6:

- Alt:  $\mathcal{L}^k(V) \to \mathcal{A}^k(V)^{[2]}$ .
- Goal: Identify  $\ker(Alt) = \mathcal{I}^k(V)$ , where  $\mathcal{I}^k(V)$  is the space of **redundant** k-tensors<sup>[3]</sup>.

<sup>&</sup>lt;sup>1</sup>Klug prefers this convention, but the text takes the other one.

<sup>&</sup>lt;sup>2</sup>The two-headed right arrow denotes a surjective map.

<sup>&</sup>lt;sup>3</sup>The  $\mathcal{I}$  in  $\mathcal{I}^k(V)$  stands for "ideal."

- Then: Operations on alternating tensors, e.g.,
  - Wedge product.
  - Interior product.
  - Orientations.
- Claim:  $\{Alt(e_I^*) \mid I \text{ non-repeating, increasing multi-index}\}\$ is a basis for  $\mathcal{A}^k(V)$ .
  - Left as an exercise to us.
- **Redundant** (k-tensor): A k-tensor of the form

$$\ell_1 \otimes \cdots \otimes \ell_i \otimes \ell_i \otimes \ell_{i+2} \otimes \cdots \otimes \ell_k$$

where  $\ell_1, \ldots, \ell_k \in V^*$ .

- $\mathcal{I}^k(V)$ : The span of all redundant k-tensors.
  - Note that not every k-tensor in  $\mathcal{I}^k(V)$  is a redundant.
- **Decomposable** (k-tensor): A k-tensor of the form  $\ell_1 \otimes \cdots \otimes \ell_k$  for  $\ell_i \in \mathcal{L}^1(V)$ .
  - It often suffices to prove things for decomposable tensors.
- Properties.
  - 1. If  $T \in \mathcal{I}^k(V)$ , then Alt(T) = 0, i.e.,  $\mathcal{I}^k(V) \leq \ker(Alt)$ .
    - "Proof by example": If  $T = \ell_1 \otimes \ell_1 \otimes \ell_2 \otimes \ell_3$ , then  $T^{\tau_{1,2}} = T$ . It follows from the properties of Alt that

$$\begin{aligned} \operatorname{Alt}(T) &= \operatorname{Alt}(T^{\tau_{1,2}}) = (-1)^{\tau_{1,2}} \operatorname{Alt}(T) = -\operatorname{Alt}(T) \\ 2 \operatorname{Alt}(T) &= 0 \\ \operatorname{Alt}(T) &= 0 \end{aligned}$$

2. If  $T \in \mathcal{I}^r(V)$  and  $T' \in \mathcal{L}^s(V)$ , then

$$T \otimes T' \in \mathcal{I}^{r+s}(V)$$

Similarly, if  $T \in \mathcal{L}^r(V)$  and  $T \in \mathcal{I}^s(V)$ , then

$$T \otimes T' \in \mathcal{I}^{r+s}(V)$$

- Proof: It suffices to assume that T is redundant. Obviously adding more tensors to the direct product will not change the redundancy of the initial tensor. Example:  $\ell_1 \otimes \ell_1 \otimes \ell_2$  is just as redundant as  $\ell_1 \otimes \ell_1 \otimes \ell_2 \otimes T$ .
- 3. If  $T \in \mathcal{L}^k(V)$  and  $\sigma \in S_k$ , then

$$T^{\sigma} = (-1)^{\sigma}T + S$$

for some  $S \in \mathcal{I}^k(V)$ .

– Proof by example: It suffices to check this for decomposable tensors (a tensor is just a sum of decomposable tensors). Take k=2. Let  $T=\ell_1\otimes\ell_2$ . Let  $\sigma=\tau_{1,2}$ . Then

$$T^{\sigma} - (-1)^{\sigma}T = \ell_2 \otimes \ell_1 + \ell_1 \otimes \ell_2 = (\ell_1 + \ell_2) \otimes (\ell_1 + \ell_2) - \ell_1 \otimes \ell_1 - \ell_2 \otimes \ell_2$$

– Actual proof: It suffices to assume T is decomposable. We induct on the number of transpositions needed to write  $\sigma$  as a product of **adjacent** transpositions.

– Base case:  $\sigma = \tau_{i,i+1}$ . Then

$$T^{\tau_{i,i+1}} + T = \ell_1 \otimes \cdots \otimes (\ell_i + \ell_{i+1}) \otimes (\ell_i + \ell_{i+1}) \otimes \cdots \otimes \ell_k$$
$$-\ell_1 \otimes \cdots \otimes \ell_i \otimes \ell_i \otimes \cdots \otimes \ell_k$$
$$-\ell_1 \otimes \cdots \otimes \ell_{i+1} \otimes \ell_{i+1} \otimes \cdots \otimes \ell_k$$

- Inductive step: If  $\sigma = \beta \tau$ , then

$$\begin{split} T^{\sigma} &= T^{\beta\tau} \\ &= (-1)^{\tau} T^{\beta} + \text{stuff in } \mathcal{I}^k(V) \\ &= (-1)^{\tau} [(-1)^{\beta} T + \text{stuff in } \mathcal{I}^k(V)] + \text{stuff in } \mathcal{I}^k(V) \end{split}$$

4. If  $T \in \mathcal{L}^k(V)$ , then

$$Alt(T) = k!T + W$$

for some  $W \in \mathcal{I}^k(V)$ .

- We have that

$$\operatorname{Alt}(T) = \sum_{\sigma \in S_k} (-1)^{\sigma} T^{\sigma}$$

$$= \sum_{\sigma \in S_k} (-1)^{\sigma} [(-1)^{\sigma} T + S_{\sigma}]$$

$$= \sum_{\sigma \in S_k} T + \sum_{\sigma \in S_k} (-1)^{\sigma} S_{\sigma}$$

$$= k! T + W$$

- 5.  $\mathcal{I}^k(V) = \ker(Alt)$ .
  - We have that  $\mathcal{I}^k(V) \leq \ker(\text{Alt})$  by property 1.
  - Now suppose  $T \in \ker(Alt)$ . Then Alt(T) = 0. Then by property 4,

$$Alt(T) = k!T + W$$
$$0 = k!T + W$$
$$T = -\frac{1}{k!}W \in \mathcal{I}^k(V)$$

- Warning: If  $T \in \mathcal{A}^r(V)$  and  $T' \in \mathcal{A}^s(V)$ , then we do not necessarily have  $T \otimes T' \in \mathcal{A}^{r+s}(V)$ .
  - Example:  $e_1^*, e_2^* \in \mathcal{A}^1(V)$  have  $e_1^* \otimes e_2^* \notin \mathcal{A}^2(V)$ .
- Adjacent (transposition): A transposition of the form  $\tau_{i,i+1}$ .
- 4/8: Recall that  $\mathcal{A}^k(V) \hookrightarrow \mathcal{L}^k(V)^{[4]}$ 
  - Functoriality:  $(A \circ B)^* = B^* \circ A^*$ .
    - $-A^*$  takes  $\mathcal{L}^k(W) \to \mathcal{L}^k(V)$  and  $\mathcal{A}^k(W) \to \mathcal{A}^k(V)$ .
  - $\dim(\Lambda^k(V)) = \binom{n}{k}$ .
    - Special case k = n: dim  $\Lambda^n(V) = 1$ .
    - If  $A: V \to V$  induces a map  $\Lambda^n(V^*) \to \Lambda^n(V^*)$  defined by the determinant.
  - Aside:  $\Lambda^k(V)$  is "exterior powers."

<sup>&</sup>lt;sup>4</sup>The hooked right arrow denotes an injective map.

- Plan: Wedge products + basis for  $\Lambda^k(V)$ .
- Wedge product: A function  $\wedge : \Lambda^k(V^*) \times \Lambda^\ell(V^*) \to \Lambda^{k+\ell}(V)$ .
  - We denote elements of  $\Lambda^k(V^*)$  by  $\omega_1, \omega_2$ , etc.
- If  $\pi: \mathcal{L}^k(V) \to \Lambda^k(V^*)$  sends  $T \mapsto \omega$ ,  $\omega_1 = \pi(T_1)$ , and  $\omega_2 = \pi(T_2)$ , then  $\omega_1 \wedge \omega_2 = \pi(T_1 \otimes T_2)$ .
  - Note that  $\ker(\pi) = \mathcal{I}^k(V)$ .
- Properties.
  - 1. This is well defined, i.e., this does not depend on the choice of  $T_1, T_2$ .
    - Consider  $T_1 + W_1, T_2 + W_2$  with  $W_1, W_2 \in \mathcal{I}^k(V)$ .
    - We check that  $\pi[(T_1 + W_1) \otimes (T_2 + W_2)] = \pi(T_1 \otimes T_2)$ .
    - Since  $W_1 \otimes T_2, T_1 \otimes W_2, W_1 \otimes W_2 \in \mathcal{I}^{k+\ell}(V)$ , we have that

$$\pi[(T_1 + W_1) \otimes (T_2 + W_2)] = \pi(T_1 \otimes T_2 + W_1 \otimes T_2 + T_1 \otimes W_2 + W_1 \otimes W_2)$$
$$= \pi(T_1 \otimes T_2) + \pi(W_1 \otimes T_2) + \pi(T_1 \otimes W_2) + \pi(W_1 \otimes W_2)$$
$$= \pi(T_1 \otimes T_2)$$

2. Associative: We have that

$$\omega_1 \wedge (\omega_2 \wedge \omega_3) = (\omega_1 \wedge \omega_2) \wedge \omega_3 = \omega_1 \wedge \omega_2 \wedge \omega_3$$

- Follows from the definition of  $\wedge$  in terms of  $\pi$  and properties of the tensor product.
- 3. Distributive: We have that

$$(\omega_1 + \omega_2) \wedge \omega_3 = \omega_1 \wedge \omega_3 + \omega_2 \wedge \omega_3 \qquad \qquad \omega_1 \wedge (\omega_2 + \omega_3) = \omega_1 \wedge \omega_2 + \omega_1 \wedge \omega_3$$

- Follows from the definition of  $\wedge$  in terms of  $\pi$  and properties of the tensor product.
- 4. Linear: We have that

$$(c\omega_1) \wedge \omega_2 = c(\omega_1 \wedge \omega_2) = \omega_1 \wedge (c\omega_2)$$

- Follows from the definition of  $\wedge$  in terms of  $\pi$  and properties of the tensor product.
- 5. Anticommutative: We have that

$$\omega_1 \wedge \omega_2 = (-1)^{k\ell} \omega_2 \wedge \omega_1$$

- It suffices to assume that  $w_1 = \ell_1 \wedge \cdots \wedge \ell_k, w_2 = \ell'_1 \wedge \cdots \wedge \ell'_{\ell}$ .
  - We have

$$(\ell_1 \wedge \cdots \wedge \ell_k) \wedge (\ell'_1 \wedge \cdots \wedge \ell'_\ell) = (-1)^k (\ell'_1 \wedge \cdots \wedge \ell'_\ell) \wedge (\ell_1 \wedge \cdots \wedge \ell_k)$$

- Let  $\ell_1, ..., \ell_k \in \Lambda^1(V^*) = V^* = \mathcal{L}^1(V)$ .
- Recall that  $\mathcal{I}^1(V) = \{0\}.$
- Claim:  $\ell_{\sigma(1)} \wedge \cdots \wedge \ell_{\sigma(k)} = (-1)^{\sigma} \ell_1 \wedge \cdots \wedge \ell_k$  for all  $\sigma \in S_k$ .
  - Recall that  $T^{\sigma} = (-1)^{\sigma}T + W$  for some  $W \in \mathcal{I}^k(V)$ .
  - $\blacksquare \text{ Let } T = \ell_1 \otimes \cdots \otimes \ell_k.$
  - Then

$$(\ell_1 \otimes \cdots \otimes \ell_k)^{\sigma} = \ell_{\sigma(1)} \otimes \cdots \otimes \ell_{\sigma(k)}$$
$$= (-1)^{\sigma} \ell_1 \otimes \cdots \otimes \ell_k + W$$

- Then hit both sides by  $\pi$ , noting that  $\pi(W) = 0$ .
- Example:
  - 1.  $n=2,\,k=\ell=1.$  Consider  $e_1^*,e_2^*\in\mathcal{L}^1(V)=V^*=\mathcal{A}^1(V)=\Lambda^1(V^*).$  Then

$$e_1^* \wedge e_2^* = (-1)e_2^* \wedge e_1^*$$
  $e_1^* \wedge e_1^* = 0 = e_2^* \wedge e_2^*$ 

2. n=4. We have  $e_1^* \wedge (3e_1^*+2e_2^*+3e_2^*)=3(e_1^* \wedge e_1^*)+2(e_1^* \wedge e_2^*)+3(e_1^* \wedge e_3^*)$ . We also have  $(e_1^* \wedge e_2^*) \wedge (e_1^* \wedge e_2^*)=0$ .

## 1.2 Chapter 1: Multilinear Algebar

From Guillemin and Haine (2018).

- 3/31: Guillemin and Haine (2018) defines real vector spaces, the operations on them, their basic properties, and the zero vector.
  - Linearly independent (vectors  $v_1, \ldots, v_k$ ): A finite set of vectors  $v_1, \ldots, v_k \in V$  such that the map from  $\mathbb{R}^k$  to V defined by  $(c_1, \ldots, c_k) \mapsto c_1 v_1 + \cdots + c_k v_k$  is injective.
  - Spanning (vectors  $v_1, \ldots, v_k$ ): We require that the above map is surjective.
  - Guillemin and Haine (2018) defines basis, finite-dimensional vector space, dimension, subspace, linear map, and kernel.
  - Image (of  $A: V \to W$ ): The range space of A, a subspace of W. Also known as im (A).
  - Guillemin and Haine (2018) defines the matrix of a linear map.
  - Inner product (on V): A map  $B: V \times V \to \mathbb{R}$  with the following three properties.
    - Bilinearity: For vectors  $v, v_1, v_2, w \in V$  and  $\lambda \in \mathbb{R}$ , we have

$$B(v_1 + v_2, w) = B(v_1, w) + B(v_2, w)$$

and

$$B(\lambda v, w) = \lambda B(v, w)$$

- Symmetry: For vectors  $v, w \in V$ , we have B(v, w) = B(w, v).
- Positivity: For every vector  $v \in V$ , we have  $B(v,v) \geq 0$ . Moreover, if  $v \neq 0$ , then B(v,v) > 0.
- **W-coset**: A set of the form  $\{v + w \mid w \in W\}$ , where W is a subspace V and  $v \in V$ . Denoted by v + W.
  - If  $v_1 v_2 \in W$ , then  $v_1 + W = v_2 + W$ .
  - It follows that the distinct W-cosets decompose V into a disjoint collection of subsets of V.
- Quotient space (of V by W): The set of distinct W-cosets in V, along with the following definitions of vector addition and scalar multiplication.

$$(v_1 + W) + (v_2 + W) = (v_1 + v_2) + W$$
  $\lambda(v + W) = (\lambda v) + W$ 

Denoted by V/W.

• Quotient map: The linear map  $\pi: V \to V/W$  defined by

$$\pi(v) = v + W$$

- $-\pi$  is surjective.
- Note that  $\ker(\pi) = W$  since for all  $w \in W$ ,  $\pi(w) = w + W = 0 + W$ , which is the zero vector in V/W.
- If V, W are finite dimensional, then

$$\dim(V/W) = \dim(V) - \dim(W)$$

- Proposition 1.2.9: Let  $A: V \to U$  be a linear map. If  $W \subset \ker(A)$ , then there exists a unique linear map  $A^{\sharp}: V/W \to U$  with the property that  $A = A^{\sharp} \circ \pi$ , where  $\pi: V \to V/W$  is the quotient map.
  - This proposition rephrases in terms of quotient spaces the fact that if  $w \in W$ , then A(v+w) = Av.

• Dual space (of V): The set of all linear functions  $\ell: V \to \mathbb{R}$ , along with the following definitions of vector addition and scalar multiplication.

$$(\ell_1 + \ell_2)(v) = \ell_1(v) + \ell_2(v) \qquad (\lambda \ell)(v) = \lambda \cdot \ell(v)$$

Denoted by  $V^*$ .

• **Dual basis** (of  $e_1, \ldots, e_n$  a basis of V): The basis of  $V^*$  consisting of the n functions that take every  $v = c_1 e_1 + \cdots + c_n e_n$  to one of the  $c_i$ . Denoted by  $e_1^*, \ldots, e_n^*$ . Given by

$$e_i^*(v) = c_i$$

for all  $v \in V$ .

• Claim 1.2.12: If V is an n-dimensional vector space with basis  $e_1, \ldots, e_n$ , then  $e_1^*, \ldots, e_n^*$  is a basis of  $V^*$ .

*Proof.* We will first prove that  $e_1^*, \ldots, e_n^*$  spans  $V^*$ . Let  $\ell \in V^*$  be arbitrary. Set  $\lambda_i = \ell(e_i)$  for all  $i \in [n]$ . Define  $\ell' = \sum_{i=1}^n \lambda_i e_i^*$ . Then

$$\ell'(e_j) = \sum_{i=1}^{n} \lambda_i e_i^*(e_j) = \lambda_j \cdot 1 = \ell(e_j)$$

for all  $j \in [n]$ . Therefore, since  $\ell, \ell'$  take identical values on the basis of  $V, \ell = \ell'$ , as desired.

We now prove that  $e_1^*, \ldots, e_n^*$  spans  $V^*$ . Let  $\sum_{i=1}^n \lambda_i e_i^* = 0$ . Then for all  $j \in [n]$ ,

$$\lambda_j = \left(\sum_{i=1}^n \lambda_i e_i^*\right)(e_j) = 0$$

as desired.  $\Box$ 

- Transpose (of A): The map from  $W^*$  to  $V^*$  defined by  $\ell \mapsto A^*\ell = \ell \circ A$  for all  $\ell \in W^*$ .
- Claim 1.2.15: If  $e_1, \ldots, e_n$  is a basis of  $V, f_1, \ldots, f_m$  is a basis of  $W, e_1^*, \ldots, e_n^*$  and  $f_1^*, \ldots, f_m^*$  are the corresponding dual bases, and  $[a_{i,j}]$  is the  $m \times n$  matrix of A with respect to  $\{e_i\}, \{f_i\}$ , then the linear map  $A^*$  is defined in terms of  $\{f_i^*\}, \{e_i^*\}$  by the transpose matrix  $(a_{j,i})$ .
- $V^k$ : The set of all k-tuples  $(v_1, \ldots, v_k)$  where  $v_1, \ldots, v_k \in V$  a vector space.
  - Note that

$$V^k = \underbrace{V \oplus \cdots \oplus V}_{k \text{ times}}$$

where " $\oplus$ " denotes the direct sum.

- **Linear** (function in its  $i^{\text{th}}$  variable): A function  $T: V^k \to \mathbb{R}$  such that the map from V to  $\mathbb{R}$  defined by  $v \mapsto T(v_1, \dots, v_{i-1}, v, v_{i+1}, \dots, v_k)$  is linear, where all  $v_j$  save  $v_i$  are fixed.
- **k-linear** (function T): A function  $T: V^k \to \mathbb{R}$  that is linear in its  $i^{\text{th}}$  variable for i = 1, ..., k. Also known as **k-tensor**.
- $\mathcal{L}^{k}(V)$ : The set of all k-tensors in V.
  - Since the sum  $T_1 + T_2$  of two k-linear functions  $T_1, T_2 : V^k \to \mathbb{R}$  is just another k-linear function, and  $\lambda T_1$  is k-linear for all  $\lambda \in \mathbb{R}$ , we have that  $\mathcal{L}^k(V)$  is a vector space.
- Convention: 0-tensors are just the real numbers. Mathematically, we define

$$\mathcal{L}^0(V) = \mathbb{R}$$

- Note that  $\mathcal{L}^1(V) = V^*$ .
- Defines multi-indices of n of length k.
- Lemma 1.3.5: If  $n, k \in \mathbb{N}$ , then there are exactly  $n^k$  multi-indices of n of length k.
- $T_I$ : The real number  $T(e_{i_1}, \ldots, e_{i_k})$ , where  $T \in \mathcal{L}^k(V)$ ,  $e_1, \ldots, e_n$  is a basis of V, and I is a multi-index of n of length k.
- Proposition 1.3.7: The real numbers  $T_I$  determine T, i.e., if T, T' are k-tensors and  $T_I = T'_I$  for all I, then T = T'.

*Proof.* We induct on n. For the base case n = 1,  $T \in (\mathbb{R}^k)^*$  and we have already proven this result. Now suppose inductively that the assertion is true for n - 1. For each  $e_i$ , let  $T_i$  be the (k - 1)-tensor defined by

$$(v_1,\ldots,v_{n-1})\mapsto T(v_1,\ldots,v_{n-1},e_i)$$

Then for an arbitrary  $v = c_1 e_1 + \cdots + c_n e_n$ .

$$T(v_1, \dots, v_{n-1}, v) = \sum_{i=1}^n c_i T_i(v_1, \dots, v_{n-1})$$

so the  $T_i$ 's determine T. Applying the inductive hypothesis completes the proof.

• **Tensor product**: The tensor  $T_1 \otimes T_2$  defined by

$$(T_1 \otimes T_2)(v_1, \dots, v_{k+\ell}) = T_1(v_1, \dots, v_k)T_2(v_{k+1}, \dots, v_{k+\ell})$$

where  $T_1 \in \mathcal{L}^k(V)$  and  $T_2 \in \mathcal{L}^\ell(V)$ .

• Note that by the definition of 0-tensors as real numbers, if  $a \in \mathbb{R}$  and  $T \in \mathcal{L}^k(V)$ , then

$$a \otimes T = T \otimes a = aT$$

- Proposition 1.3.9: Associativity, distributivity of scalar multiplication, and left and right distributive laws for the tensor product.
- **Decomposable** (k-tensor): A k-tensor T for which there exist  $\ell_1, \ldots, \ell_k \in V^*$  such that

$$T = \ell_1 \otimes \cdots \otimes \ell_k$$

- Defines  $e_I^*$ .
- Theorem 1.3.13: V a vector space with basis  $e_1, \ldots, e_n$  and  $0 \le k \le n$  implies the k-tensors  $e_I^*$  form a basis of  $\mathcal{L}^k(V)$ .

*Proof.* Spanning: Let  $T \in \mathcal{L}^k(V)$  be arbitrary. Define

$$T' = \sum_{I} T_{I} e_{I}^{*}$$

Since

$$T'_J = T'(e_{j_1}, \dots, e_{j_k}) = \sum_I T_I e_I^*(e_{j_1}, \dots, e_{j_k}) = T_J e_J^*(e_{j_1}, \dots, e_{j_k}) = T_J$$

for all J, Proposition 1.3.7 asserts that T = T'. Therefore, since every  $T_I \in \mathbb{R}$ ,  $T = T' \in \text{span}(e_I^*)$ .

Linear independence: Suppose

$$T = \sum_{I} c_I e_I^* = 0$$

for some set of constants  $c_I \in \mathbb{R}$ . Then

$$0 = T(e_{j_1}, \dots, e_{j_k}) = \sum_{I} c_I e_I^*(e_{j_1}, \dots, e_{j_k}) = c_J$$

for all J, as desired.

• Corollary 1.3.15: If dim V = n, then dim $(\mathcal{L}^k(V)) = n^k$ .

*Proof.* Follows immediately from Lemma 1.3.5.

• **Pullback** (of T by the map A): The k-tensor  $A^*T: V^k \to \mathbb{R}$  defined by

$$(A^*T)(v_1,\ldots,v_k) = T(Av_1,\ldots,Av_k)$$

where V, W are finite-dimensional vector spaces,  $A: V \to W$  is linear, and  $T \in \mathcal{L}^k(W)$ .

- Proposition 1.3.18: The map  $A^*: \mathcal{L}^k(W) \to \mathcal{L}^k(V)$  defined by  $T \mapsto A^*T$  is linear.
- Identities:
  - If  $T_1 \in \mathcal{L}^k(W)$  and  $T_2 \in \mathcal{L}^m(W)$ , then

$$A^*(T_1 \otimes T_2) = A^*(T_1) \otimes A^*(T_2)$$

– If U is a vector space,  $B: U \to V$  is linear, and  $T \in \mathcal{L}^k(W)$ , then

$$(AB)^*T = B^*(A^*T)$$