

# Chapter 2

## Basic Topology

### 2.1 Notes

11/1:

- Equivalence relationships are denoted  $A \sim B$ .
  - These are...
    - Reflexive ( $A \sim A$ ).
    - Symmetric ( $A \sim B \iff B \sim A$ ).
    - Transitive ( $A \sim B \ \& \ B \sim C \implies A \sim C$ ).
  - Equivalence relations give rise to equivalence classes.
- **Countable** (set  $A$ ): A set  $A$  such that  $A \sim \mathbb{N}$ , in the sense that there exists a one-to-one and onto map from  $\mathbb{N} \rightarrow A$ .
  - Alternatively,  $A$  can be written in the form  $A = \{f(n) : n \in \mathbb{N}\}$ .
- **Finite countable** vs. **infinite countable** (see Rudin (1976)).
- $\mathbb{N}$  denotes the natural numbers.
- $\mathbb{N}_0$  denotes the natural numbers including 0.
- $\mathbb{Z}$  denotes the integers.
- We know that  $\mathbb{N} \sim \mathbb{Z}$ : Let  $f : \mathbb{N} \rightarrow \mathbb{Z}$  be defined by

$$f(n) = \begin{cases} \frac{n}{2} & n \text{ even} \\ \frac{n-1}{2} & n \text{ odd} \end{cases}$$

- More facts.
  1. Every infinite subset of a countable set is countable.
  2. Unions of countable sets are countable.
    - If the sets  $E_n$  for some at most countable list of numbers are countable, then  $\bigcup_n E_n$  is countable.
    - Soug goes over the diagonalization method of counting.
  3.  $n$ -fold Cartesian products of countable sets are countable (we induct on  $n$ ).
    - If  $A$  is countable and  $B$  is countable, then  $A \times B$  is countable.
    - If  $A$  is finite and to each  $\alpha \in A$  we assign a countable set  $E_\alpha$ ,  $\otimes_{\alpha \in A} E_\alpha$  is countable.
- **Metric space**: A space  $X$  along with a metric  $d : X \times X \rightarrow [0, \infty)$  such that

- $d(x, y) > 0$  iff  $x \neq y$ , and  $d(x, x) = 0$  iff  $x = 0$ .
- $d(x, y) = d(y, x)$ .
- $d(x, y) \leq d(x, z) + d(z, y)$ .

- Example ( $\mathbb{R}^n$ ):

- We may define  $d$  by

$$d(x, y) = \sqrt[n]{\sum (x_i - y_i)^2}$$

- We can also define the  $p$ -metrics (recall normed spaces) with  $p$  where the 2's are.

- Example ( $X_p = \{f : Y \rightarrow \mathbb{R} : 1 \leq p < \infty, \int_Y |f|^p dy < \infty\}$ ):

- This is  $\ell_p$ .
- Define

$$\|f - g\|_p = \left[ \int_Y |f - g|^p dy \right]^{1/p}$$

- Convergence:  $x_n \rightarrow x \iff d(x_n, x) \rightarrow 0$ .

- **Neighborhood**: The set of all points a distance less than  $r$  away from  $p$ . Denoted by  $N_r(p)$ . Given by

$$N_r(p) = \{q \in X : d(p, q) < r\}$$

- **Limit point** (of  $E$ ): A point  $p$  such that every neighborhood of  $p$  intersects  $E$  at a point other than  $p$ . Also known as **accumulation point**.

- Symbolically,

$$N_r(p) \cap (E \setminus \{p\}) \neq \emptyset$$

for all  $r > 0$ .

- **Isolated point** (of  $E$ ): A point  $p$  such that  $p \in E$  and  $p$  is not a limit point of  $E$ .

- **Closed** (set  $E$ ): A set  $E$  that contains all of its limit points.

- **Interior** (point  $p$ ): A point  $p$  such that there exists  $N_r(p) \subset E$ .

- **Open** (set  $E$ ): A set  $E$ , all points of which are interior points.

- **Perfect** (set  $E$ ): A set  $E$  that is closed and every point of  $E$  is a limit point of  $E$ .

- **Bounded** (set  $E$ ): There exists a number  $M$  and a  $y \in X$  such that  $E \subset \{p : d(p, y) \leq M\}$ .

- **Dense** (set  $E$  in  $X$ ): A set  $E$  such that every point of  $X$  is a limit point of  $E$  or a point of  $E$ , itself.

11/3:

- Every neighborhood is an open set.

- If  $p$  is a limit point of  $E$ , every neighborhood of  $p$  contains infinitely many points of  $E$ .

- Thus, a finite set cannot have a limit point.

- Prove by contradiction: Suppose there is a neighborhood that contains only finitely many points of  $E$ . Then the neighborhood with radius smaller than the distance to the closest point does not contain any points of  $E$ , a contradiction.

- $E$  is open iff  $E^{c[1]}$  is closed.

- Assume  $E^c$  closed. If  $p \in E$ , then  $p$  is not a limit point of  $E^c$ . It follows that there exists a neighborhood of  $p$  that is entirely contained within  $E$ , so  $p$  is interior, as desired.

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<sup>1</sup>The complement of  $E$ .

- Suppose  $E$  is open. Let  $p$  be any limit point of  $E^c$ . Then  $p \in E^c$ .
- $F$  is closed iff  $F^c$  is open.
- If  $(G_\alpha)_{\alpha \in A}$  is a family of open sets in  $X$ , then the union is open.
  - Let  $p \in \bigcup_{\alpha \in A} G_\alpha$ . Then  $p \in G_\alpha$  for some  $\alpha \in A$ . It follows that  $p$  is an interior point of  $G_\alpha$ , so thus an interior point of the union of  $G_\alpha$  with everything else.
- Finite intersections of open sets are open.
  - In the infinite case  $\bigcap_{n \in \mathbb{N}} (-1/n, 1/n) = \{0\}$ , an intersection of infinitely many open sets is closed.
  - However, in the finite case, just consider the neighborhood with the smallest radius and take this one.
- The intersection of closed sets is closed.
- The union of finitely many closed sets is closed.
  - These follow from the previous two by De Morgan's rule.
- Let  $\bar{E} = E \cup E'$  where  $E'$  is the set of limit points of  $E$ .
- Let  $X$  be a metric space and  $E \subset X$ . Then
  1.  $\bar{E}$  is closed.
    - WTS:  $\bar{E}^c$  is open. Let  $p \in \bar{E}^c$ . Then  $p$  is neither in  $E$  nor is it a limit point of  $E$ . Thus, there exists a neighborhood of  $\bar{E}^c$  containing entirely points of  $\bar{E}^c$ . Therefore,  $\bar{E}^c$  is open, so  $\bar{E}$  is closed.
  2.  $E = \bar{E}$  iff  $E$  is closed.
    - $\bar{E}$  is closed (by the above), so  $E = \bar{E}$  is closed.
    - $E$  is closed implies  $E' \subset E$ , so  $E = E \cup E' = \bar{E}$ .
  3.  $\bar{E} \subset F$  for any closed  $F \supset E$ .
    - If  $E \subset F$ , then any limit point of  $E$  will be a limit point of  $F$ . Thus,  $E' \subset F'$ . Then  $\bar{E} = E \cup E' \subset F \cup F' = \bar{F} = F$  where the last equality holds because  $F$  is closed.
- Types of sets.

	Closed	Open	Perfect	Bounded
$\{z \in \mathbb{Q} :  z  < 1\}$	N	Y	N	Y
$\{z \in \mathbb{Q} :  z  \leq 1\}$	Y	N	Y	Y
Nonempty finite set	Y	N	N	Y
$\mathbb{Z}$	Y	N	N	N
$\{1/n : n \in \mathbb{N}\}$	N	N	N	Y
$\mathbb{R}^2$	Y	Y	Y	N
$(a, b)$	N	?	N	Y

Table 2.1: Types of sets.

- **Relatively open** (set  $E$  to  $Y$ ): A set  $E \subset Y \subset X$  such that if  $p \in E$ , then there exists a  $Y$ -neighborhood of  $E$  contained in  $E$ .
- Let  $N_r^X(p) = \{y \in X : d(y, p) < r\}$  be a neighborhood of  $p$  in  $X$ , and let  $N_r^Y(p) = \{y \in Y : d(y, p) < r\}$  be a neighborhood of  $p$  in  $Y$ . Then  $N_r^Y(p) = N_r^X(p) \cap Y$ .

- $E$  is open relative to  $Y$  iff  $E = G \cap Y$  where  $G$  is open relative to  $X$ .
- Introduces the supremum.
- If  $E \subset \mathbb{R}$ ,  $E \neq \emptyset$ , and  $E$  is bounded above,  $\sup E < \infty$ .
- Let  $y = \sup E$ . Then  $y \in \bar{E}$ .
- There exists a sequence  $a_n \in A$  such that  $a_n \rightarrow x = \sup A$ .
- $A$  is compact iff any open cover of the set has a finite subcover.
- Study and *know* all of these proofs.

11/5:

- Compactness: Defines compactness in terms of open covers.
- Finite sets are compact.
- Compactness is “absolute” (i.e., it is not a relative property like openness).
  - If  $K \subset Y \subset X$ , then  $K$  is compact relative to  $X$  iff  $K$  is compact relative to  $Y$ .
- $V$  is open relative to  $Y$  iff  $V = G \cap Y$  where  $G$  is open relative to  $X$ .
- Compact implies closed.
  - We will show  $K$  compact implies  $K^c$  open.
  - WTS: For all  $p \in K^c$ , there exists  $N_r(p) \subset K^c$  such that  $N_r(p) \cap K = \emptyset$ .
  - Let  $p \in K^c$ .
  - Define an open cover of  $K$  by  $G = \{N_{d(p,q)/2}(q) : q \in K\}$ .
  - Since  $K$  is compact, there exists a finite subcover  $\{N_{r_i}(q_i)\} \subset G$  of  $K$ .
  - Let  $r = \min r_i$ .
  - Then  $N_r(p)$  does not intersect any  $N_{r_i}(q_i)$ , i.e.,  $N_r(p)$  does not contain any point of  $K$ , as desired.
- A closed subset of a compact set is compact.
  - Let  $K$  be compact and let  $F \subset K$  be closed.
  - Take any open cover of  $F$ . Extend it to an open cover of  $K$ . Take the finite subcover of  $K$ . Naturally, this finite subcover is also a finite cover of  $F \subset K$ .
- $F$  closed,  $K$  compact implies  $F \cap K$  compact.
  - $F \cap K$  is closed ( $F, K$  are closed).
  - $F \cap K$  closed  $\subset K$  compact implies  $F \cap K$  closed.
- If  $(K_\alpha)_{\alpha \in A}$  is compact in  $X$  with finite intersection property (every intersection of any finite number of these sets is nonempty), then  $\bigcap_{\alpha \in A} K_\alpha \neq \emptyset$ .
  - Argue by contradiction.
  - Let  $G_\alpha = K_\alpha^c$ .
  - Assume the intersection is empty. Assume WLOG that no point of  $K_1$  is in any of the other  $K_\alpha$ 's.
  - Then  $\{G_\alpha\}_{\alpha \in A}$  be an open cover of  $K_1$ .
  - $K_1$  compact implies there is a finite subcover  $G_{\alpha_1}, \dots, G_{\alpha_n}$ .
  - Then
 
$$K_1 \subset G_{\alpha_1} \cup \dots \cup G_{\alpha_n} = K_{\alpha_1}^c \cup \dots \cup K_{\alpha_n}^c = (K_{\alpha_1} \cap \dots \cap K_{\alpha_n})^c$$
 where the last equality holds by De Morgan's law.

- This implies that  $K_1 \cap (K_{\alpha_1} \cap \cdots \cap K_{\alpha_n}) = \emptyset$ , contradicting the finite intersection property.
- Let  $E$  be an infinite subset of a compact  $K$ . Then  $E$  has a limit point in  $K$ .
  - Argue by contradiction.
  - Suppose for all  $p \in K$ , there exists  $N_r(p)$  such that  $N_r(p) \cap E = \{p\}$ .
  - Consider the set  $\{N_r(p) : p \in K\}$ . This is an open cover of  $K$ . Thus, there exists a finite subcover of it. But since  $E \subset K \subset N_{r_1}(p_1) \cup \cdots \cup N_{r_n}(p_n) = \{p_1\} \cup \cdots \cup \{p_n\}$ ,  $E$  is finite, a contradiction.
- **2-cell** (in  $\mathbb{R}^2$ ): A set that is the Cartesian product of two closed intervals.

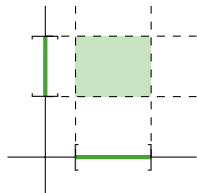
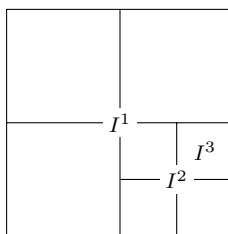


Figure 2.1: 2-cell.

- Generalizes to  **$k$ -cells**.
- Let  $I_n = [a_n, b_n] \subset \mathbb{R}$  such that  $I_{n+1} \subset I_n$ . Then  $\bigcap_{n=1}^{\infty} I_n \neq \emptyset$ .
  - We know that  $a_n \leq a_{m+n} \leq b_{m+n} \leq b_n$  for all  $m$ , so  $\sup a_n \leq b_m$  for all  $m$  (and  $\sup a_n \geq a_m$  for all  $m$  by definition). Thus,  $\sup a_n \in \bigcap I_n$ .
- Let  $I_k$  be a  $k$ -cell in  $\mathbb{R}^k$  such that  $I_k \supset I_{k+1}$ . Then  $\bigcap_k I_k \neq \emptyset$ .
  - Use the previous result once in each dimension to construct  $\mathbf{x} = (x_1, \dots, x_k) \in \bigcap_k I_k \neq \emptyset$ .
- Every  $k$ -cell is compact.

Figure 2.2:  $k$ -cells are compact.

- Argue by contradiction.
- Consider an open cover  $\{G_\alpha\}$  of the  $k$ -cell  $I^1$ . If it has a finite subcover, we're done. So suppose it doesn't have a finite subcover. Split the  $k$ -cell into  $2^k$  chunks. At least one of the chunks  $I^2$  must not have a finite subcover or  $I^1$  would have a finite subcover.
- Split that one into  $2^k$  chunks. At least one of the chunks  $I^3$  must not have a finite subcover.
- Continue.
- Thus, we have a decreasing family of  $k$ -cells, so by the previous result, their  $\bigcap I^n \neq \emptyset$ .
- Let  $\mathbf{x} \in \bigcap I^n$ . Naturally,  $\mathbf{x} \in G_\alpha$  for some  $\alpha$ . Since  $G_\alpha$  is open, there exists  $N_r(\mathbf{x}) \subset G_\alpha$ .
- However, since the  $I^n$  keep shrinking in size forever, we can find an  $I^n \subset N_r(\mathbf{x}) \subset G_\alpha$ , contradicting the supposition that  $I^n$  cannot be covered by finitely many (let alone 1)  $G_\alpha$ 's.

- Heine-Borel theorem: Let  $E \subset \mathbb{R}^k$ . Then TFAE<sup>[2]</sup>
  1.  $E$  is closed and bounded.
  2.  $E$  is compact.
  3. Every infinite subset of  $E$  has a limit point in  $E$ .
  - $(1 \Rightarrow 2)$   $E$  closed and bounded implies  $E$  is a closed subset of some  $I_k$ , so it's compact.
  - $(2 \Rightarrow 3)$  Already done.
  - $(3 \Rightarrow 1)$ 
    - Suppose  $E$  not bounded. Then there is an infinite sequence of points in  $E$  that never converges. Contradiction.
    - Suppose  $E$  is not closed. Then there exists a sequence of points in  $E$  which “converges” to an  $x_0 \notin E$ .

11/8: • Hewitt and Stromberg (1965) has harder analysis problems than Rudin (1976).

- Theorem: If  $P$  is a nonempty perfect subset of  $\mathbb{R}^k$ , then  $P$  is uncountable.

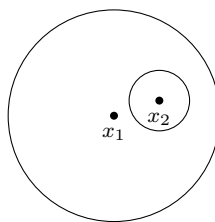


Figure 2.3: Nonempty perfect sets are uncountable.

- $P$  perfect implies  $P$  infinite.
- Suppose  $P$  is countable. Let  $P = \{x_1, x_2, \dots\}$ .
- Start with  $x_1$ . Take an open neighborhood  $V_1$  of  $x_1$ . Since  $x_1$  is a limit point of  $P$ , there will be another point  $x_2 \in P$  in  $V_1$ . Choose  $V_2$  to be a neighborhood of  $x_2$  such that  $\bar{V}_2 \subset V_1$  and  $x_1 \notin \bar{V}_2$ .
- Keep going — there is a point  $x_3 \in P$  in  $V_2$ , choose an appropriate neighborhood, etc.
- Thus, we have a sequence of closed compact sets such that  $\bar{V}_n \supset \bar{V}_{n+1}$  ( $n \in \mathbb{N}$ ). It follows that  $\bigcap \bar{V}_n \neq \emptyset$ .
- We also know that  $V_n \cap P \neq \emptyset$  for each  $n$ .
- Let  $K_n = \bar{V}_n \cap P$ . Each  $K_n$  is compact and  $K_n \supset K_{n+1}$  for each  $n$ . Therefore, by compactness,  $\bigcap K_n \neq \emptyset$ . But the construction implies that  $\bigcap K_n = \emptyset$  because we exhausted the whole sequence of possible points  $x_i \in P$ .
- Corollary: Any interval is uncountable.
- The Cantor set:
  - Let  $E_0 = [0, 1]$ .
  - Take out the middle third, so that  $E_1 = [0, 1/3] \cup [2/3, 1]$ .
  - Take out the middle thirds of the remaining intervals and keep going.
  - Thus, we are building a decreasing family of compact sets, so the overall intersection  $E = \bigcap E_n$  of every set is nonempty.

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<sup>2</sup>The following are equivalent.

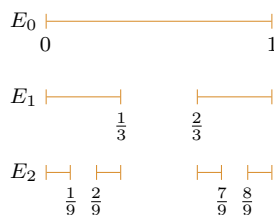


Figure 2.4: Constructing the Cantor set.

- $E^n$  is the union of  $2^n$  closed intervals of length  $n/3$ . Thus, the overall length of  $E^n$  is  $(2/3)^n$ .
- Thus, we have a compact nonempty set with Lebesgue measure zero.
- $E$  does not contain any segment of the form

$$\left( \frac{3k+1}{3^m}, \frac{3k+2}{3^m} \right)$$

for  $k, m \in \mathbb{N}$ .

- Therefore, no segment of the form  $(\alpha, \beta)$  is contained in  $E$  (any segment of said form contains a segment of the above form).
- Moreover,  $E$  (the Cantor set) is perfect.
  - Let  $x \in E$ . WTS: For all segments  $S$  containing  $x$ ,  $S \cap (E \setminus \{x\}) \neq \emptyset$ .
  - Let  $S$  be an arbitrary such segment...
- Consider the **Devil's staircase**.
  - $0 = \int_0^1 F'(x) dx = F(1) - F(0) = 1$ . This function does not obey the fundamental theorem of calculus. A function satisfies the fundamental theorem of calculus if and only if it is absolutely continuous.
- Connected sets (motivation):
  - In a convex set, you can connect any two points with a straight line.
  - In a nonconvex connected set, there exist points that you must connect with a curve.
  - In a disconnected set, there exist points that cannot be connected via a line whose points lie wholly in the set.
- **Connected** (set  $E$ ): A set  $E$  that is not the union of two **separated** sets.
- **Separated** (sets  $A, B$ ): Two sets  $A, B \subset X$  that are nonempty and such that  $\bar{A} \cap B = \emptyset$ , and  $A \cap \bar{B} = \emptyset$ .
- Theorem:  $E \subset \mathbb{R}$  is connected iff  $x, y \in E$  and  $x < z < y$  implies  $z \in E$ .
  - If there is a  $z \notin E$  between  $x, y$ , then  $\{x \in E : x < z\}$  and  $\{x \in E : z < y\}$  are separated sets, so  $E$  is not connected.

## 2.2 Chapter 2: Basic Topology

From Rudin (1976).

- 11/6:
- **Countable** (set  $A$ ): A set  $A$  that is in bijective correspondence with the set of all positive integers. Also known as **enumerable**, **denumerable**.
  - **At most countable** (set  $A$ ): A set  $A$  that is finite or countable.

- An alternative definition of an **infinite** set would be a set that is equivalent to one of its proper subsets.
- Theorem 2.8: Infinite subsets of countable sets are countable.
- Theorem 2.12:  $\{E_n\}$  a countable family of countable sets implies  $\bigcup E_n$  is countable.
- Corollary:  $A$  at most countable,  $B_\alpha$  at most countable for all  $\alpha \in A$  implies  $\bigcup_\alpha B_\alpha$  is at most countable.
- Theorem 2.13: Finite Cartesian products of countable sets are countable.
- Corollary:  $\mathbb{Q}$  is countable.
- Theorem 2.14: Let  $A$  be the set of all sequences whose elements are the digits 0 and 1. This set  $A$  is uncountable.

*Proof.* Let  $E = \{s_1, s_2, \dots\}$  be an arbitrary countable subset of  $A$ , where each  $s_j$  is a sequence whose elements are the digits 0 and 1. Let  $s$  be the sequence, the  $n^{\text{th}}$  term of which is the opposite of the  $n^{\text{th}}$  term of  $s_n$  (i.e., if the  $n^{\text{th}}$  term of  $s_n$  is 0, we set the  $n^{\text{th}}$  term of  $s$  equal to 1). This guarantees that  $s$  is distinct from each of the  $s_j$ , i.e., that  $s \notin E$ . It follows that  $E \subsetneq A$ , i.e., that every countable subset of  $A$  is a proper subset of  $A$ . Therefore,  $A$  must be uncountable (for otherwise  $A$  would be a proper subset of  $A$ , a contradiction).  $\square$

- The idea of this proof is called **Cantor's diagonalization process**.
- Since every real number can be represented as a binary sequence of numbers, i.e.,  $A \sim \mathbb{R}$ , the reals are uncountable.
- **Metric space:** A set  $X$  such that with any two points  $p, q \in X$ , there is associated a real number  $d(p, q)$  such that
  1.  $d(p, q) > 0$  if  $p \neq q$ ;  $d(p, p) = 0$ .
  2.  $d(p, q) = d(q, p)$ .
  3.  $d(p, q) \leq d(p, r) + d(r, q)$  for any  $r \in X$ .
- **Distance** (from  $p \in X$  to  $q \in X$ ,  $X$  a metric space): The real number  $d(p, q)$ .
- **Distance function:** A function  $d : X \times X \rightarrow \mathbb{R}$  that sends  $(p, q) \mapsto d(p, q)$ . Also known as **metric**.
- Every subset of a metric space is a metric space in its own right under the same distance function.
- **Segment** (from  $a$  to  $b$ ): The set of all real numbers  $x$  such that  $a < x < b$ . Denoted by  $(a, b)$ .
- **Interval** (from  $a$  to  $b$ ): The set of all real numbers  $x$  such that  $a \leq x \leq b$ . Denoted by  $[a, b]$ .
- **$k$ -cell:** The set of all points  $\mathbf{x} = (x_1, \dots, x_k) \in \mathbb{R}^k$  whose coordinates satisfy the inequalities  $a_i \leq x_i \leq b_i$  where  $a_i < b_i$  for each  $1 \leq i \leq k$ .
  - Note that a 1-cell is an interval and a 2-cell is a **rectangle**.
- **Convex** (set  $E$ ): A subset  $E$  of  $\mathbb{R}^k$  such that

$$\lambda \mathbf{x} + (1 - \lambda) \mathbf{y} \in E$$

for all  $\mathbf{x}, \mathbf{y} \in E$  and  $0 < \lambda < 1$ .

- Balls and  $k$ -cells are both convex.
- Theorem 2.19: Every neighborhood is open.
- Theorem 2.20:  $p$  a limit point of  $E$  implies  $N_r(p)$  contains infinitely many points of  $E$ .
- Corollary: Finite sets have no limit points.



- The segment  $(a, b)$  is open as a subset of  $\mathbb{R}^1$ , but not open as a subset of  $\mathbb{R}^2$ .
- Theorem 2.22:  $\{E_\alpha\}$  a collection of sets implies

$$\left(\bigcup_{\alpha} E_{\alpha}\right)^c = \bigcap_{\alpha} E_{\alpha}^c$$

- Theorem 2.23:  $E$  open iff  $E^c$  closed.
- Corollary:  $F$  closed iff  $F^c$  open.
- Theorem 2.24:
  - (a)  $\bigcup G_{\alpha}$  open for any collection  $\{G_{\alpha}\}$  of open sets.
  - (b)  $\bigcap F_{\alpha}$  closed for any collection  $\{F_{\alpha}\}$  of closed sets.
  - (c)  $\bigcap G_{\alpha}$  open for any finite collection  $\{G_{\alpha}\}$  of open sets.
  - (d)  $\bigcup F_{\alpha}$  closed for any finite collection  $\{F_{\alpha}\}$  of closed sets.
- Theorem 2.27:  $E \subset X$  a metric space implies
  - (a)  $\bar{E}$  closed.
  - (b)  $E = \bar{E}$  iff  $E$  closed.
  - (c)  $\bar{E} \subset F$  for every closed  $F \supset E$ .
- Theorem 2.28:  $E \subset \mathbb{R}$  nonempty and bounded above implies  $\sup E \in \bar{E}$ .
- Theorem 2.30:  $Y \subset X$  implies  $E \subset Y$  open wrt  $Y$  iff  $E = Y \cap G$  for some open  $G \subset X$ .
- Theorem 2.33:  $K \subset Y \subset X$  implies  $K$  compact wrt.  $X$  iff  $K$  compact wrt.  $Y$ .
- Since compactness is not relative, while it makes no sense to talk about *open* or *closed* metric spaces, it does make sense to talk about *compact* metric spaces.
- Theorem 2.34:  $K \subset X$  ( $K$  compact,  $X$  a metric space) implies  $K$  closed.
- Theorem 2.35:  $F \subset K$  ( $F$  closed,  $K$  compact) implies  $F$  compact.
- Corollary:  $F$  closed and  $K$  compact imply  $F \cap K$  compact.
- Theorem 2.36:  $\{K_{\alpha}\}$  a collection of compact subsets of  $X$  a metric space with the intersection of any finite subcollection of  $\{K_{\alpha}\}$  nonempty implies  $\bigcap K_{\alpha}$  nonempty.
- **Decreasing** (sequence of sets  $\{K_n\}$ ): A sequence of sets  $\{K_n\}$  such that  $K_n \supset K_{n+1}$  for all  $n \in \mathbb{N}$ .
- Corollary:  $\{K_n\}$  a decreasing sequence of nonempty compact sets implies  $\bigcap_1^{\infty} K_n \neq \emptyset$ .
- Theorem 2.37:  $E \subset K$  ( $E$  infinite,  $K$  compact) implies  $E$  has a limit point in  $K$ .
- Theorem 2.38:  $\{I_n\}$  a decreasing sequence of intervals in  $\mathbb{R}^1$  implies  $\bigcap_1^{\infty} I_n \neq \emptyset$ .
- Theorem 2.39:  $\{I_n\}$  a decreasing sequence of  $k$ -cells implies  $\bigcap_1^{\infty} I_n \neq \emptyset$ .
- Theorem 2.40:  $k$ -cells are compact.
- Theorem 2.41 (Heine-Borel Theorem): The following are equivalent for any  $E \subset \mathbb{R}^k$ .
  - (a)  $E$  closed and bounded.
  - (b)  $E$  compact.
  - (c) Every infinite subset of  $E$  has a limit point in  $E$ .

- Theorem 2.42 (Weierstrass Theorem): Every bounded infinite subset of  $\mathbb{R}^k$  has a limit point in  $\mathbb{R}^k$ .
- Theorem 2.43:  $P$  a nonempty perfect set in  $\mathbb{R}^k$  implies  $P$  is uncountable.

*Proof.* Since  $P$  is nonempty and perfect, there exists a limit point of  $P$ . It follows that  $P$  is infinite.

Now suppose for the sake of contradiction that  $P$  is countable, and denote the elements of  $P$  by  $\mathbf{x}_1, \mathbf{x}_2, \dots$ . We now construct a sequence  $\{V_n\}$  of neighborhoods, as follows. Let  $V_1 = N_r(\mathbf{x}_1)$ . Clearly,  $V_1 \subset P$  since  $\mathbf{x}_1 \in P$ . It follows that since  $V_1$  is a neighborhood that  $V_1$  contains infinitely many points of  $P$ . Now suppose inductively that  $V_n$  has been constructed. Thus, by analogous conditions to those on  $V_1$ , we may let  $V_{n+1}$  be a neighborhood such that (i)  $\bar{V}_{n+1} \subset V_n$ , (ii)  $\mathbf{x}_n \notin \bar{V}_{n+1}$ , and (iii)  $V_{n+1} \cap P$  is nonempty. By (iii), we can continue on to construct  $V_{n+2}$ , and so on and so forth.

Let  $K_n = \bar{V}_n \cap P$ . Since  $\bar{V}_n$  is closed and bounded,  $\bar{V}_n$  is compact. Additionally, since  $\mathbf{x}_n \notin K_{n+1}$  for each  $n$ , no point of  $P$  lies in  $\bigcap_1^\infty K_n$ . Thus, since each  $K_n \subset P$ ,  $\bigcap_1^\infty K_n$  is empty. But this contradicts our previous result that since each  $K_n$  is nonempty, compact, and such that  $K_n \supset K_{n+1}$ ,  $\bigcap_1^\infty K_n$  is nonempty.  $\square$

- Corollary: Every interval  $[a, b]$  is uncountable. In particular,  $\mathbb{R}$  is uncountable.
- **Cantor set:** The set resulting from the following construction. Let  $E_0 = [0, 1]$ . Remove the segment  $(1/3, 2/3)$ , so that  $E_1 = [0, 1/3] \cup [2/3, 1]$ . Now remove the middle third of these two intervals to create  $E_2$ . Continue on indefinitely.
  - This is a perfect set in  $\mathbb{R}^1$  which contains no segment.
- **Separated** (sets  $A, B$ ): Two subsets  $A, B$  of a metric space  $X$  such that  $A \cap \bar{B}$  and  $\bar{A} \cap B$  are empty.
- **Connected** (set  $E$ ): A set  $E$  that is not the union of two nonempty separated sets.
- Separated sets are disjoint, but disjoint sets are not necessarily separated (consider  $[0, 1]$  and  $(1, 2)$ ).
- Theorem 2.47:  $E \subset \mathbb{R}^1$  connected iff  $x, y \in E$  and  $x < z < y$  implies  $z \in E$  for all such  $x, y, z \in E$ .