Week 7

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7.1 Zorn's Lemma and Intro to Modules Over PIDs

2/13:

- Picking up from last time with Zorn's lemma.
- **Partially ordered set**: A set together with a binary relation indicating that, for certain pairs of elements in the set, one of the elements precedes the other in the ordering. *Also known as* **poset**. *Denoted by* $\boldsymbol{P}$.
  - The domain of the **partial order** may be a proper subset of $P \times P$.
- **Partial order**: The binary relation on a poset.
- **Maximal** ($f \in P$): An element $f \in P$ such that for all $q \in P$, the statement $q > f$ is false.
- Example.
  - Let X be a set with $|X| \geq 2$[1].
  - Define a poset $P = \{A \subsetneq X\}$ with corresponding partial order defined by taking subsets. In particular, if $A \subset B$, write $A \leq B$.
  - For any $x \in X$, $X - \{x\}$ is a maximal element of P.
- **Chain**: A subset of a poset P such that if c_1, c_2 are in said subset, then implies $c_1 \leq c_2$ or $c_2 \leq c_1$. *Denoted by* $\boldsymbol{C}$.
  - In other words, a chain is a subset of a poset that is a **totally ordered set**.
- **Totally ordered set**: A set together with a binary relation indicating that, for any pair of elements in the set, one of the elements precedes the other in the ordering.
- Observation: If F is a subset of a nonempty finite chain C, then there exists $c \in F$ such that $c \geq q$ for all $q \in F$.
- **Upper bound** (of C): An element $p \in P$ such that $p \geq c$ for all $c \in C$.
- **Zorn's lemma**: Let P be a poset that satisfies
  (i) $P \neq \emptyset$;
  (ii) Every chain $C \subset P$ has an upper bound.

  Then P has a maximal element.

[1] Nori denotes cardinality by $\#X$.

- We will not prove Zorn's lemma. It rarely if ever gets proven in an undergraduate course, maybe in a logic course.
  - And by "prove" we mean "deduce Zorn's lemma from the Axiom of Choice."
- We now investigate a situation in which Zorn's lemma gets applied.
- Let M be a finitely generated A-module.
  - Let $v_1, \dots, v_r \in M$ be elements such that such that $M = Av_1 + \cdots + Av_r$.
  - Before we prove the proposition that requires Zorn's lemma, we will need one more definition: that of a **maximal submodule**.
- **Maximal submodule** (of M): A submodule of M that is a maximal element of the poset

$$P = \{N \subsetneq M : N \text{ is an } A\text{-submodule}\}$$

- Proposition: Every nonzero finitely generated A-module M has a maximal submodule.

Proof. To prove that M has a maximal submodule, it will suffice show that there exists a maximal element of the poset

$$P = \{N \subsetneq M : N \text{ is an } A\text{-submodule}\}$$

To do this, Zorn's lemma tells us that it will suffice to confirm that $P \neq \emptyset$ and that every chain $C \subset P$ has an upper bound. Let's begin.

We first confirm that $P \neq \emptyset$. By hypothesis, M is nonzero. Thus, the zero A-submodule is a proper subset of M, so $0 \in P$ and hence P is nonempty.

We now confirm that every chain $C \subset P$ has an upper bound. Let $C \subset P$ be an arbitrary chain. Define

$$\mathcal{N}_C = \bigcup \{N : N \in C\}$$

We will first verify that $\mathcal{N}_C \in P$, and then we will show that $\mathcal{N}_C$ is an upper bound of C. Let's begin. To verify that $\mathcal{N}_C \in P$, it will suffice to demonstrate that $\mathcal{N}_C$ is an A-submodule of M and that $\mathcal{N}_C \subsetneq M$.

To demonstrate that $\mathcal{N}_C$ is an A-submodule, Proposition 10.1 tells us that it will suffice to show that $\mathcal{N}_C \neq \emptyset$ and $n_1 + an_2 \in \mathcal{N}_C$ for all $a \in A$ and $n_1, n_2 \in \mathcal{N}_C$. Since P is nonempty, $\mathcal{N}_C$ is nonempty by definition, as desired. Additionally, let $n_1, n_2 \in \mathcal{N}_C$ be arbitrary. It follows by the definition of $\mathcal{N}_C$ that there exist $N_1, N_2 \in C$ such that $n_i \in N_i$ $(i = 1, 2)$. WLOG, assume $N_1 \subset N_2$. Then $n_1, n_2 \in N_2$. It follows since N_2 is an A-submodule that $n_1 + an_2 \in N_2 \subset \mathcal{N}_C$ for all $a \in A$, as desired.

We know that $\mathcal{N}_C \subset M$. Thus, if $\mathcal{N}_C \not\subsetneq M$, then we must have $\mathcal{N}_C = M$. Suppose for the sake of contradiction that $\mathcal{N}_C = M$. Recall that $M = Av_1 + \cdots + Av_r$. Since the v_i are elements of M and $\mathcal{N}_C = M$, it follows that $v_i \in \mathcal{N}_C$ $(i = 1, \dots, r)$. Thus, as before, there must exist $N_1, \dots, N_r \in C$, not necessarily distinct, such that $v_i \in N_i$ $(i = 1, \dots, r)$. It follows by the observation from earlier that there is an $i \in [r]$ such that for all $j \in [r]$, $N_j \subset N_i$. Consequently, $v_j \in N_j \subset N_i$ $(j = 1, \dots, r)$. But N_i is an A-submodule, so $M = Av_1 + \cdots + Av_r \subset N_i \subset M$. But this means that $N_i = M$, contradicting the assumption that $N_i \subsetneq P$ (since $N_i \in P$). Therefore, $\mathcal{N}_C \subsetneq M$, as desired.

It follows that $\mathcal{N}_C \in P$, as desired. Lastly, we have by its definition that $N \subset \mathcal{N}_C$ for all $N \in C$, meaning that $\mathcal{N}_C$ is an upper bound of C by definition. Therefore, by Zorn's lemma, P has a maximal element, and hence M has a maximal submodule, as desired. ☐

- Corollary: Every nonzero commutative ring R has a maximal ideal.

Proof. Consider R as an R-module. Then $R = (1)$ is finitely generated. This combined with the fact that it is nonzero by hypothesis allows us to invoke the above proposition, learning that R has a maximal submodule N. But by the observation from Lecture 6.1, N is a left ideal, which is equivalent to a two-sided ideal in a commutative ring. Maximality transfers over as well (as we can confirm), proving that N is the desired maximal ideal of R. ☐

- Remark: Suppose that J is a two-sided ideal of A. Let M be an A-module such that for all $a \in J$ and $m \in M$, we have $am = 0$. Then M may be regarded as an (A/J)-module in a natural manner.
  - In particular, we may take $\rho : A \to \text{End}(M, +)$ to be a ring homomorphism.
  - We can factor $\rho = \bar{\rho} \circ \pi$, where $\pi : A \to A/J$ and $\bar{\rho} : A/J \to \text{End}(M, +)$. It follows that $\bar{\rho}$ is a ring homomorphism. Therefore, M is an A/J-module.
  - This remark will be used!
  - Review annihilators from Section 10.1!
- Remark: Given a left ideal $I \subset A$ and an A-module M, we get a whole lot of modules because each element of M generates one. In particular, we note that $Im \subset Am \subset M$, where both Im, Am are submodules for all $m \in M$.
- **Product** (of modules): The A-submodule of M defined as follows. *Denoted by* $\boldsymbol{IM}$. *Given by*

$$IM = \sum_{m \in M} Im$$

- It follows that M/IM is an A-module, but also one with a special property: $a(M/IM) = 0$ for all $a \in I$.
  - If A is commutative, then M/IM is an A/I-module.
- Proposition: Let R be a nonzero commutative ring. If $R^m \cong R^n$ as R-modules, then $m = n$.

  Proof. Let $I \subset R$ be a maximal ideal. (We know that one exists by the above corollary.) If $f : R^m \to R^n$ is an isomorphism of R-modules, then f restricts to $I(R^m) \to I(R^n)$. This gives rise to the isomorphism $\bar{f} : R^m/I(R^m) \to R^n/I(R^n)$ of R-modules, in fact of R/I modules. It follows that R/I is a field, so $m = n$. □

- Classifying modules up to isomorphism under commutative rings.
  - This is a hard problem, and there are still many open problems in this field today.
  - We will not go into this, though.
- We now move on to modules over PIDs.
  - Nori will go *much* slower than the book.
  - Do you have any recommended resources??
  - Do we need to read and understand Chapters 10-11 to start on Chapter 12??
- Objective: Let R be a PID. Classify all finitely generated R-modules up to isomorphism.
  - Our first result in this field was that submodules of R^n are equal to R^m for $m \leq n$.
  - Where this is applicable: $\mathbb{Z}$ and $F[X]$.
    - Go back and check out $\mathbb{Z}$-modules and $F[X]$-modules in Section 10.1!
- **Torsion module**: An R-module M such that for all $m \in M$, there exists $0 \neq a \in R$ such that $am = 0$.
- **Torsion-free module**: An R-module M such that for all nonzero $m \in M$ and for all nonzero $a \in R$, we have $am \neq 0$.
- Theorem: If M is a finitely generated torsion-free R-module, then $M \cong R^n$ for some n.
  - With a little work, we could prove this. But Nori will postpone it.

- **p-primary** (module): An R-module M such that for all $m \in M$, there exists $k \geq 0$ for which $p^k m = 0$, where p is prime in R.
- We want to classify these up to isomorphism.
  - Nori can state these today, but will not have time to prove it until another day.
  - Something that gets annihilated by p is a $\mathbb{Z}/(p)$-module. The moment you go from $k = 1$ to $k = 2$, things get interesting.
- Examples: $R/(p^{n_1}) \oplus \cdots \oplus R/(p^{n_k})$, where $n_1 \geq \cdots \geq n_k \geq 1$.
  - Note that $k = 0$ is allowed.
- Uniqueness will take some time, but existence can be given as an exercise now.
- M/pM is an $R/(p)$-vector space. pM/p^2M is an $R/(p)$-vector space as well. So is $p^k M/p^{k+1}M$.
  - Use $d_0, d_1, \dots, d_k$ to denote the dimensions of the vector spaces.
  - $d_0, \dots, d_k$ is a decreasing sequence of nonnegative integers.

7.2 Office Hours (Nori)

- Homework questions.
  - See pictures + unnumbered lemma.
  - Example of the kernel being bigger than (f).
  - A ring homomorphism $\mathbb{Z}[X] \to \mathbb{R}$ must be evaluation by the universal property of polynomial rings.
  - Factoring enables a constraint on a.
- Lecture 6.1: Proposition proof?
- Lecture 6.1: $(2) \subsetneq \mathbb{Z}$ example?
- Lecture 6.1: The end of the theorem proof.
- Lecture 6.2: Does the first theorem you proved not appear in the book until Chapter 12?
- Lecture 6.2: What is A in the proof?
- Resources for the proofs in Week 6?
- Lecture 7.1: Quotient stuff.
- Recommended resources for modules over PIDs? Chapter 12?
  - We should be able to read chapter 12, since chapter 11 is just vector spaces.
  - Nori's doing Chapter 12 in the classical manner (pre-1970). Dummit and Foote (2004) just does it in the first few pages as the **elementary divisor theorem**.
- HW6: So you want us to solve 1, 10, 13 for our own edification, but we don't need to write up a solution? Will we ever be responsible for the content therein?
  - We'll need to understand them to move forward.
  - Q6.4-Q6.5 are particularly important (good for number theory).