

# Week 6

## Modules Intro

### 6.1 Module Tools

2/6:

- A fifth week summary has been posted.
  - Week 5 content is not in the midterm syllabus.
    - In particular, Gauss's Lemma is not on the midterm.
  - Lecture 5.3 won't even be on the final syllabus.
  - The techniques are applicable to a variety of problems, though, so it is good to know them.
- Today: Modules.
  - We depart from commutative rings and return to simple rings with identity to start.
- Notation: What kinds of sets different letters denote.
  - $A, B$ : Rings.
  - $R$ : Commutative ring.
  - $F, K$ : Fields.
  - $D$ : Division ring.
- Linear algebra is the study of division rings but only over fields.
- Definition of a **division ring**.
  - The only ideals of a division ring are  $0, D$ , just like with fields.
  - Linear independence, spanning, basis, etc. all hold in a general division ring; you only need fields for things like JCF.
- **Left A-module**: An abelian group  $(M, +)$  equipped with a binary operation  $\cdot : A \times M \rightarrow M$  defined by  $(a, m) \mapsto am$  (or  $a \cdot m$  in the case of potential ambiguity) satisfying the following. *Constraints*  
For all  $a, b \in A$  and  $v, v_1, v_2 \in M \dots$ 
  - (1)  $a(v_1 + v_2) = av_1 + av_2$ ;
  - (2)  $(a + b)v = av + bv$ ;
  - (3)  $a(bv) = (ab)v$ ;
  - (4)  $1_A v = v$ .
- We need the last one so that multiplication is nontrivial.
- A **right A-module** puts the scalar on the right. Will we ever consider these??

- Notation: For all  $a \in A$ , define the function  $\rho(a) : M \rightarrow M$  by  $\rho(a)v = av$  for all  $v \in M$ . *Constraints*

(1)  $\rho(a)$  is a group homomorphism from  $M \rightarrow M$ .

(2)  $\rho(a + b) = \rho(a) + \rho(b)$ .

(3)  $\rho(a)\rho(b) = \rho(ab)$ .

(4)  $\rho(1_A) = 1_{\text{End}(M)}$

- Conditions 2-4 imply that  $\rho : A \rightarrow \text{End}(M)$  is a ring homomorphism.

– Recall HW1 Q1.14, which led up to the result that

$$\text{End}(M) = \{f : M \rightarrow M \mid f \text{ is a group homomorphism}\}$$

is a ring with identity under componentwise addition and composition (i.e.,  $g \cdot f = g \circ f$ ).

- Going forward, in-class definitions will always match those in the book.

– It's been this way for a while??

- Examples.

1. Let  $M = A$ . Then  $\rho(a)b = ab$  for all  $a \in A, b \in M = A$ .

2. If  $M_i$  ( $i \in I$  an indexing set) is a (left)  $A$ -module, then the product  $\prod_{i \in I} M_i$  is also an  $A$ -module.

3. Denote an element of  $\prod_{i \in I} M_i$  by  $\prod_{i \in I} m_i$ . An arbitrary choice of  $m_i \in M_i$  for all  $i \in I$  is allowed (do we need the Axiom of Choice??). We define  $\cdot$  by

$$a \left( \prod_{i \in I} m_i \right) = \prod_{i \in I} (am_i)$$

4. The collection

$$\oplus_{i \in I} M_i = \left\{ \prod_{i \in I} m_i \mid \{i \in I : m_i \neq 0\} \text{ is a finite set} \right\}$$

is an  $A$ -module.

– This is a submodule of something??

– Under the same binary operation as Example 3??

5. In particular,  $A^m$  is an  $A$ -module with  $a(b_1, \dots, b_n) = (ab_1, \dots, ab_n)$ .

- **A-submodule:** A subgroup  $(N, +)$  of  $(M, +)$  such that for all  $a \in A$  and  $\omega \in N$ ,  $a\omega \in N$ .

- Observation: If  $N_1, N_2$  are submodules of  $M$ , then  $N_1 + N_2$  and  $N_1 \cap N_2$  are submodules.

- Question (base case): What are the submodules of  $A$ , itself?

– Left ideals.

- **Module homomorphism:** A function  $T : M \rightarrow N$  such that  $T$  is a homomorphism of abelian groups and commutes with scalar multiplication (i.e.,  $T(av) = aT(v)$  for all  $a \in A, v \in M$ ). In full, we have

$$T(a_1v_1 + a_2v_2) = a_1T(v_1) + a_2T(v_2)$$

for all  $a_1, a_2 \in A$  and  $v_1, v_2 \in M$ .

- Question: What are all of the module homomorphisms  $T : A \rightarrow M$ ?

– If  $T(1) = v$ , then  $T(a \cdot 1) = aT(1) = av$  for all  $a \in A$ .

– For all  $v \in M$ , there exists a unique  $T : A \rightarrow M$  such that  $T(1) = v$ . This is more linear algebra.

- Question: What are all linear transformations  $T : A^n \rightarrow M$ ?

– Suppose  $e_1 = (1, 0, \dots, 0)$ ,  $e_2 = (0, 1, 0, \dots, 0)$ , etc. Then

$$(a_1, \dots, a_n) = \sum_{i=1}^n a_i e_i$$

– Therefore,

$$T(a_1, \dots, a_n) = \sum_{i=1}^n a_i T e_i$$

– Take any ordered  $n$ -tuple of elements in  $M$ ; then given  $v_1, \dots, v_n \in M$ , there is a unique  $A$ -module homomorphism  $T : A^n \rightarrow M$  such that  $T(e_i) = v_i$  ( $i = 1, \dots, n$ ).

- **Isomorphism** (of  $A$ -modules): A bijective module homomorphism  $T : M \rightarrow N$ , where  $M, N$  are  $A$ -modules.

- It follows that  $T^{-1} : N \rightarrow M$  is also a homomorphism.

- Proposition: Let  $N$  be a submodule of  $M$ . Then the quotient group  $M/N$  has a unique structure of an  $A$ -module such that  $\pi : M \rightarrow M/N$  (defined with groups) is an  $A$ -module homomorphism.

*Proof.*

Existence: For all  $a \in A$ , we have that  $\rho(a) : M \rightarrow M$  take  $\rho(a)N \subset N$ . It induces  $\overline{\rho(a)} : M/N \rightarrow M/N$ . Take  $\overline{\rho(a)}$ , which is scalar multiplication by  $a$  on  $M/N$ .  $\square$

- FIT: Let  $\phi : M \rightarrow N$  be a module homomorphism. Then  $\ker(\phi)$  is a submodule  $M$  and  $\text{im}(\phi)$  is a submodule of  $N$ .

$$\begin{array}{ccc} M & \xrightarrow{\phi} & N \\ \pi \downarrow & & \uparrow i \\ M/\ker(\phi) & \xrightarrow{\bar{\phi}} & \text{im}(\phi) \end{array}$$

Figure 6.1: First isomorphism theorem of modules.

- Example:  $A = \mathbb{Z}$  and  $M = \mathbb{Z}/(27)$ .
- Theorem: Let  $R$  be a PID. Then every  $R$ -submodule of  $R^n$  is isomorphic to  $R^m$  for some  $0 \leq m \leq n$ .
- Think in terms of fields! If Nori had been couching all of this in terms of vector spaces, we would all get all of this immediately.
- Let  $n = 1$ ,  $(2) \subsetneq \mathbb{Z}$ . Then  $m = n$  does not imply  $M = R^n$ .
- Submodules of  $R$  are ideals. Thus, in a PID, they're principal ideals.

*Proof.* Case 1 (base case): Let  $n = 1$ . We know that  $M = (b)$  for some  $b \in R$ . If  $b = 0$ , then we're done. Thus, assume  $b \neq 0$ . Then  $T : R \rightarrow (b)$  given by  $T(a) = ab$  for all  $a \in A$ . It follows that  $T$  is onto. From the fact that  $R$  is an integral domain, we have that  $T$  is 1-1.

Case 2 (general case): We induct on  $n$ . Suppose that  $i : R^{n-1} \hookrightarrow R^n$  is given by

$$i(a_1, \dots, a_{n-1}) = (a_1, \dots, a_{n-1}, 0)$$

Let  $M$  be a submodule of  $R^n$ . Then  $R^{n-1} \times \{0\} \hookrightarrow R^n$  and  $M \cap (R^{n-1} \times \{0\}) \cong R^\ell$  for  $0 \leq \ell \leq n-1$ . Suppose that you define the ideal  $\pi(a_1, \dots, a_n) = a_n$ . Let  $\pi(M) = I$ . Then you have some ideal  $I$ . It follows that  $\pi : M \rightarrow I \subset R$ . Let  $M' = \ker \phi$ .  $M/M' \cong I$ . At this point, there are only two cases ( $a = 0$  and  $a = M$ ).  $\square$

- Next time: We will wrap up this proof with the following proposition.
- Proposition: If  $M'$  is a submodule of  $M$  and  $M/M' \cong R$  as an  $R$ -module, then  $M \cong M' \oplus R$ .

## 6.2 Office Hours (Nori)

- Is the final cumulative? Will we ever be responsible for the Week 5 material?
  - Stuff from Week 5 and this lecture may show up in terms of thought processes you need to go through again, but the exact stuff won't show up. And certainly not on Wednesday's midterm.
  - The midterm will test who is thinking correctly and who can write proper proofs; there will only be one proof problem, most likely.
  - Several T/F questions.
  - If  $R[X]$  is a UFD, prove that  $R$  is a UFD.
  - The two Lecture 5.2 methods are important to know (e.g., for the final).
- Review questions email?
  - Looking at the *fourth week summary* and the problems in there will help you prepare for your midterm.
  - That may be too strong a statement, but it might be nice.
  - The gcd of two elements in a PID is just found by looking for a generator. Study this!! Nori wants to put a problem on it.
- Lecture 3.1: What is  $\bar{X}$  in a quotient ring with a degree 1 or 0 polynomial divisors?
  - It is an abrupt and jumpy transition from degree 1 to 0.
  - For degree  $n = 0$ , we have a natural homomorphism from  $\mathbb{Z}/2\mathbb{Z}[X]$  to  $\mathbb{Z}[X]/(2)$ .
  - For degree  $n \geq 2$  in the ideal, we have a new polynomial that's solvable.
  - For degree  $n = 1$ , we get dyadics or something like that.
  - What about  $(2X)$ ? It's kind of in between the  $n = 1$  and  $n = 0$  cases. We have an injection
 
$$\mathbb{Z}[X]/(2X) \hookrightarrow \mathbb{Z}[X]/(2) \times \mathbb{Z}[X]/(X) \cong \mathbb{F}_2[X] \times \mathbb{Z}$$
    - We also have a ring homomorphism from  $\mathbb{F}_2[X] \times \mathbb{Z} \rightarrow \mathbb{F}_2 \times \mathbb{F}_2$  defined by evaluation in the first slot and then  $f(0)$  in the next.
    - But  $(\mathbb{F}_2[X] \times \mathbb{Z})/(\mathbb{Z}[X]/(2X)) \cong \mathbb{F}_2$ . This conjugacy only happens as groups, though.
    - To get down to one element, you can prove that  $\mathbb{Z}[X]/(2X) \cong \Delta^{-1}(\mathbb{F}_2)$  where  $\Delta$  is the diagonal.
- Lecture 4.1: Showing  $r \in I$  in this way would not be acceptable in the HW?
  - Probably a misstatement.
- Lecture 4.2: Incomplete statement on what's all important to prove that something is a UFD.
  - It's all important to prove that irreducibles are prime. This is equivalent to  $R$  being a UFD.
- Lecture 4.2: The whole essay thing and the greatest common divisors being well-defined.

- This is just talking about the algorithm for finding the gcd via factorization.
- Section 8.3: Using the Axiom of Choice in the construction of the infinite chain?
  - Nori never gives much thought to such matters lol.
  - You’re doing something infinitely many times, but via induction so countably so. Thus, use a countable Axiom of Choice. So it is an Axiom of Choice, but a limited one, too.
- Lecture 5.1: Conversely statement.
  - Statement (\*) provides a “factorization.” But for us to know that it actually is a *factorization*, we need to know that each  $\pi \in \mathcal{P}(R)$  is, in fact, irreducible. We do that as follows.
  - Suppose that  $\pi = ab$  is a factorization of an irreducible element. By statement (\*), write  $a = u\pi_1^{m_0}\pi_1^{m_1}\cdots\pi_h^{m_h}$  and  $b = v\pi_1^{n_0}\pi_1^{n_1}\cdots\pi_h^{n_h}$ . It follows that

$$\pi^1\pi_1^0\cdots\pi_h^0 = \pi = ab = \pi^{m_0+n_0}\pi_1^{m_1+n_1}\cdots\pi_h^{m_h+n_h}$$

Thus,  $m_i + n_i = 0$  ( $i = 1, \dots, h$ ), so  $m_i, n_i = 0$  for these  $i$ . Additionally,  $m_0 + n_0 = 1$ , so WLOG let  $m_0 = 1$ . Then  $n_0 = 0$  and  $b$  is a unit. Therefore,  $\pi$  is irreducible.

- Lecture 5.2: Why do we assume that  $a_n \neq 0$ ?
- Lecture 5.2: Clarification on the end of Method 1.
  - See Week 5 notes.
  - Key takeaway: You want to get a bound; it doesn’t matter if it’s the best possible bound, but a bound on the coefficients of a monic polynomial implies a bound on the roots.
- Lecture 5.2: What is going on at the end of Method 2?
- Lecture 5.2: What was the thing about reducing polynomials modulo primes?
- Lecture 6.1: Will we ever consider right  $A$ -modules?
  - No — and going forward, **A-module** means “left  $A$ -module.”
- Lecture 6.1: How long have in-class definitions matched those in the book?
  - Practically any book has a different definition of EDs. The book has the weakest definition (i.e., that with the Dedekind-Hasse norm). This definition is basically used nowhere, though.
  - The **class group** is a measure of the failure of unique factorizations. This is an example of something that’s actually useful.
  - Rings, ring homomorphisms, etc. But basically stopped in second week.
  - We need the  $\phi(1) = 1$  property for instance because otherwise the image of 1 might not act like 1 in the product.
- Lecture 6.1: Axiom of Choice needed to pick an element out of each set?
- Lecture 6.1: What is the direct product a submodule of?
- Lecture 6.1: Is the submodule under the same binary operation as Example?
  - The direct sum is a submodule of the product.

## 6.3 Office Hours (Ray)

- Do we need proofs for Q5.4?
  - No.
- What additionally does Q5.1(iii) want us to do?
  - You can include a pointer to the previous part and reiterate your proof.

## 6.4 Midterm Review Sheet

2/8:

- Definitions and alternate definitions.
- **Ring**: Abelian group, associative multiplication, distributive laws.
- **Subring**: Closed under addition, multiplication, inverses; contains  $1_R$ .
- **Ring homomorphism**: Respects addition, multiplication, identities.
- **Field**: Commutative, multiplicative inverses for every element save  $0_R$ .
  - A commutative division ring.
  - Commutative,  $0_F \neq 1_R$ , multiplicative inverses.
- **Polynomial ring**: Union of all formal sums of finite length.
- **Power series ring**:  $R^{\mathbb{Z}_{\geq 0}}$  under

$$\begin{aligned} \left( \sum_{n=0}^{\infty} a_n X^n \right) + \left( \sum_{n=0}^{\infty} b_n X^n \right) &= \sum_{n=0}^{\infty} (a_n + b_n) X^n \\ \left( \sum_{p=0}^{\infty} a_p X^p \right) \left( \sum_{q=0}^{\infty} b_q X^q \right) &= \sum_{\substack{p \geq 0, \\ q \geq 0}} a_p b_q X^{p+q} = \sum_{r=0}^{\infty} \left( \sum_{p=0}^r a_p b_{r-p} \right) X^r \end{aligned}$$

- **Division ring**: Multiplicative inverses only.
- **Trivial ring**: Multiplication is the zero function.
- **Zero ring**: The ring  $R = \{0\}$ .
- **Zero divisor**: A nonzero element  $a \in R$  to which there corresponds a nonzero element  $b \in R$  such that either  $ab = 0$  or  $ba = 0$ .
- **Unit**: An element  $u \in R$  to which there corresponds some  $v \in R$  such that  $uv = 1$ .
- **Integral domain**: Commutative, no zero divisors.
  - Commutative,  $0_R \neq 1_R$ ,  $a \neq 0$  and  $ab = 0$  implies  $b = 0$ .
  - Commutative,  $0_R \neq 1_R$ ,  $a, b \neq 0$  implies  $ab \neq 0$ .
- **Gaussian integers**:  $\mathbb{Z}[i]$ .
- **Ideal**: A subset  $I$  of a ring  $R$  for which  $(I, +) \leq (R, +)$  and  $aI$ ,  $Ia$ , or both are subsets of  $I$ .
  - Left, right, and two-sided variations.
- **Quotient ring**: The set of all additive cosets.
- **Canonical injection**:  $\iota$ .

- **Canonical surjection:**  $i$ .
- **Isomorphism** (of rings):  $f \circ g$  and  $g \circ f$  definition formally.
  - Bijectivity isn't always enough.
- **Principal ideal:** An ideal with a single generator.
- **Sum** (of ideals):  $\{a + b : a \in I, b \in J\}$ .
- **Product** (of ideals):  $\{a_1b_1 + \cdots + a_nb_n : n \in \mathbb{N}, a_1, \dots, a_n \in I, b_1, \dots, b_n \in J\}$ .
- **Characteristic** (of  $R$ ): The unique  $d \in \mathbb{Z}_{\geq 0}$  such that  $\ker(j) = \mathbb{Z}d$ , where  $j : \mathbb{Z} \rightarrow R$  is the homomorphism defined by  $m \mapsto m_R$ .
- **Generated** (ideal): The ideal consisting of all  $R$ -multiples of some set of elements in  $R$ .
- **Maximal** (ideal):  $M \subsetneq R$ , no ideal  $S$  satisfies  $M \subsetneq S \subsetneq R$ .
- **Prime** (ideal):  $P \subsetneq R$  (for  $R$  commutative),  $a, b \in R$  and  $ab \in P$  implies  $a \in P$  or  $b \in P$ .
- **ED:** Integral domain, has a (positive) norm [induces a division algorithm].
- **Reducible** (element): Nonzero,  $a = bc$  for some  $b, c \notin R^\times$ .
- **Irreducible** (element): Nonzero, not a unit, not reducible.
  - Equivalently:  $\pi = ab$  implies  $a$  or  $b$  is in  $R^\times$ .
- **Factorization:** Product of irreducibles and a unit.
- **Equivalent** (factorizations): Same length, uniqueness up to associates (don't forget the permutation thing!).
- **UFD:** Integral domain, all factorizations of a given element are equivalent.
- **Greatest common divisor:** Divides  $a, b$ ; all others divide it.
- We now move on to other major/useful results and proof sketches.
- Cancellation law:  $a, b, c$  with  $a$  not a zero divisor,  $ab = ac$ , implies  $a = 0$  or  $b = c$ .
- Finite integral domains are fields.
- The property "is a subring of" is transitive.
- Proof that  $\pi$  respects multiplication (review!).
- NIT: The natural extension of the FIT holds.
- The cancellation lemma holds in integral domains.
- Images and kernels are subrings.
- Evaluation is a ring homomorphism.
- $I = R$  iff  $I$  contains a unit.
- $R$  is a field iff it's commutative and its only ideals are  $0, R$ .
- $F$  a field implies any nonzero ring homomorphism into another ring is an injection.
- Every proper ideal is contained in a maximal ideal.
- In commutative rings:  $M$  is maximal iff  $R/M$  is a field.

- In commutative rings:  $P$  is prime iff  $R/P$  is an integral domain.
- In commutative rings:  $I$  maximal implies  $I$  prime.
- EDs, PIDs, and UFDs are all integral domains at their most basic level; then they have additional structures corresponding to their names added on top.
- $R - \{0\} = \sqcup \{\text{units, reducibles, irreducibles}\}.$
- TFAE (in a PID):  $\pi$  irreducible,  $(\pi)$  maximal,  $\pi$  prime.
- $R[X]$  a UFD implies  $R$  a UFD.
  - Consider  $r \in R$ .  $r \in R[X]$ . Therefore it has a unique factorization. Its factorization must be in terms of degree 0 elements since it's degree 0. Therefore,  $R$  is a UFD.
- $\gcd(a, b)$  is a generator of  $Ra + Rb$ .
  - $R$  is a PID, so  $Ra + Rb = Rd$ .
  - $a, b \in (d)$  implies  $d \mid a, b$ .
  - $a, b \in (d')$  implies  $d = \alpha a + \beta b \in (d')$ , so  $d' \mid d$ .
- Lastly, a checklist of things from the midterm syllabus.
- All of the material in Chapter 7 excluding...
  1. The CRT in the generality stated there (a less general version may still appear).
    - Essentially, for coprime ideals, the quotient of their product equals the quotient of their intersection is congruent to the product of their quotients.
  2. Group rings.
  3. Monoid rings.
- Special focus on...
  1. Polynomial rings and power series rings.
    - Universal property:  $R$  a ring,  $\alpha : R \rightarrow B$ ,  $x \in B$ ,  $x$  commutes with all  $\alpha(a) \Rightarrow$  there exists a unique  $\beta : R[X] \rightarrow B$  such that  $\beta(a) = \alpha(a)$  for all  $a \in R$  and  $\beta(X) = x$ .
      - Like change of coordinates and evaluation.
  2. Rings of fractions *only* for when the ring is an integral domain (no need to go to the more general Chapter 15 version).
    - Characteristics of  $D$ :  $1_R \in D$ ,  $0_R \notin D$ ,  $D$  contains no zero divisors,  $D$  is a multiplicative subset.
    - Universal property:  $\iota : R \rightarrow D^{-1}R$  is injective,  $\varphi : R \rightarrow S$  satisfying  $\varphi(D) \subset S^\times$  implies a unique  $\tilde{\varphi} : D^{-1}R \rightarrow S$  such that  $\tilde{\varphi} \circ \iota = \varphi$ , and  $\varphi$  injective implies  $\tilde{\varphi}$  injective.
      - Key step in proof:  $\tilde{\varphi}(x/t) = \varphi(x)\varphi(t)^{-1}$ .
    - $\text{Frac } R$  is isomorphic to the subfield of  $F$  generated by  $R$ .
    - $R_f \cong R[X]/(fX - 1)$ .
- Chapter 8/9 material.
  1. Euclidean algorithm for monic polynomials.
    - Strict less than, uniqueness proof (subtract two possibilities and get constraints), existence (induct and reduce degree).
  2. ED implies PID.



- Take a smallest element under the norm and call it  $d$ . Divide an arbitrary  $h \in I$  by  $d$  to get  $qd + r$ . Know that  $r$  must have smaller norm and thus be 0. Set  $I = (q)$ .
- 3. PID implies UFD.
  - If every irreducible element of  $R$  is prime, then any two factorizations are equivalent.
    - Prove via induction.
    - Start with  $r = 0$  which is trivial.
    - Show that  $u'\pi'_1 \cdots \pi'_s \in (\pi_1)$ .
    - It's not  $u'$  that's divisible by  $\pi_1$  (contradiction; proves  $\pi_1$  is a unit).
    - It must be one of the others (WLOG  $\pi'_1$ ).
    - Relates  $\pi_1 = u_1\pi'_1$ . Apply the cancellation lemma to equal factorizations, and then the induction hypothesis. Rigorously extend  $\sigma \in S_{r-1}$  in the natural way (function can stay the same).
  - Infinite chain construction.
    - Assume we can keep reducing. Generates an infinite ascending chain of ideals.
    - The infinite union is an ideal; it must have a generator. That generator must belong to an  $I_n$ ; the process terminates there.
    - Uniqueness: All irreducibles are prime ( $\pi$  irreducible implies  $(\pi)$  maximal via contradiction that  $\pi$  is reducible,  $R/(\pi)$  is a field hence integral domain hence  $(\pi)$  prime hence  $\pi$  prime), then invoke Lemma\*.
- 4.  $\gcd(a, b)$  can be computed in a PID without factorizing the given  $a, b$  (use the Euclidean Algorithm).
  - $a = q_0b + r_0, b = q_1r_0 + r_1, r_0 = q_2r_1 + r_2, \dots, r_{n-1} = q_{n+1}r_n$ .
- Wrap my head around an elementary statement of the Chinese Remainder Theorem!
- Stuff from OH on Monday.

## 6.5 Sub- and Quotient-Module Structure

2/10:

- On the midterm.
  - All of our midterms have been graded but 2.
  - The midterm was bad.
  - Nori is more depressed than we will be when we get ours back.
  - He wants us to understand all of the stuff that was on it.
  - The first two questions were really important.
  - The last two were on gcd's in PIDs, which is really important for Spring Quarter.
  - Nori was pretty severe on those who didn't know the definition of a ring homomorphism. You need  $f(1) = 1$ . You can't have  $f(1) = 0$  because that takes everything to 0. You also need to know that  $1_R$  belongs to subrings.
  - We should have it back on Monday; Wednesday latest.
- On HW5.
  - Q5.2: Proving that  $(X^m - 1, X^n - 1)$  in  $\mathbb{Z}[X]$  is  $(X^d - 1)$  where  $d = \gcd(m, n)$ .
    - Nori thinks it's nice and hopes we all get it.
    - $\gcd(X - 1, X + 1) = 1$  does not imply that  $\gcd(q - 1, q + 1) = 1$  for all  $q \in \mathbb{Z}$ .
    - Ring homomorphisms do not preserve the gcd.
  - It's all important, though.

- On HW6.
  - It is long and challenging.
  - Assuming that you've never seen modules before Monday, it will take time.
- We now begin lecture in earnest.
- A simplification of the theorem from last time that will lead into it.
- Theorem: Let  $R$  be a PID and let  $M \subset R^h$  be an  $R$ -submodule. Then  $M \cong R^m$  for some  $0 \leq m \leq h$ .

*Proof.* Consider the module homomorphism  $\varphi : M \rightarrow R$  that selects for the last component, i.e., is defined by

$$\varphi(a_1, \dots, a_h) = a_h$$

for all  $m = (a_1, \dots, a_h) \in M$ . We now investigate the image and kernel of  $\varphi$ . These facts may seem disjointed now, but they will be useful later.

Kernel: Let  $M' = \ker(\varphi)$ . Then  $M' = M \cap (R^{h-1} \times \{0\})$ .

Image: Since  $M$  is an  $R$ -submodule, it is an additive subgroup and it is closed under multiplication by elements of  $R$ . Therefore, it is an ideal of  $R^h$ . It follows that  $\text{im}(\varphi)$  is an ideal of  $R$  ( $\varphi$  would be surjective were it extended to  $R^h$ , and then  $\varphi(M)$  would be the image of an ideal under a surjective map; see Q2.3b).

We now divide into two cases ( $\text{im}(\varphi) = \{0\}$  and otherwise). Suppose first that  $\text{im}(\varphi) = \{0\}$ . Then  $M' = M$ . Now suppose that  $\text{im}(\varphi) \neq \{0\}$ . By hypothesis,  $R$  is a PID. In particular, the ideal  $\text{im}(\varphi)$  is principal, i.e., that there exists  $0 \neq b \in R$  such that  $\text{im}(\varphi) = Rb$ . Choose  $e \in M$  such that  $\varphi(e) = b$  (in other words, take  $e \in M$  to have  $b$  as its last entry). Define  $T : M' \oplus R \rightarrow M$  by

$$T(m', a) = m' + ae$$

We now prove that  $T$  is a module homomorphism<sup>[1]</sup>. ...

We now prove that  $T$  is an  $A$ -module isomorphism.

We first check that  $T$  is onto. Pick an element  $m \in M$  and suppose that  $a_h$  is its last element. By definition,  $a_h \in \text{im}(\varphi) = Rb$ . Thus, there exists  $d \in R$  such that  $a_h = db = \varphi(de)$ . Thus,  $\varphi(m) = \varphi(de)$ , so  $\varphi(m - de) = 0$ , i.e.,  $m' = m - de \in M'$ . It follows that  $m = m' + de$ , so  $m = T(m', d)$ , as desired.

We now check that  $T$  is injective. Since  $R$  is an integral domain,  $d$  is unique. Thus, since distinct inputs map to distinct outputs,  $T$  is 1-1. It follows that  $\ker(T) = 0$ .

It follows that  $M' \oplus R \cong M$ .

The rest of the proof follows by induction on  $h \geq 0$ . In particular, assume  $h > 0$  and assume that we've proved the claim for  $h - 1$ . Then  $M' \cong R^\ell$  for  $0 \leq \ell \leq h - 1$ . Case 1:  $M' = M$  and Case 2:  $M \cong M' \oplus R \cong R^\ell \oplus R = R^{\ell+1}$ .  $\square$

- On sets,  $\oplus$  is the same as  $\times$ .
  - By the definition of module homomorphisms, to give a module homomorphism from  $N_1 \oplus N_2 \rightarrow M$  is to give one from  $N_1 \rightarrow M$  and  $N_2 \rightarrow M$  and add the results.
  - Related to the definition of  $T(1)$  and  $\varphi(e)$  from the proof.
- Why is the image an ideal?
  - $i : M \hookrightarrow R^n$  is a module homomorphism, and  $\text{proj} : R^n \rightarrow R$  is a module homomorphism.
  - $I \subset R$  is a submodule, i.e., for all  $m \in I$  and  $\lambda \in R$ ,  $\lambda m \in I$ .
  - Then it's surjection, as discussed in the proof.

<sup>1</sup>Nori said  $A$ -module homomorphism. What is  $A$ ??

- Module homomorphisms are not ring homomorphisms. Modules don't necessarily have a ring structure.
- The collection

$$\{(a_1, \dots, a_{h-1}, 0) : a_i \in R\} \cong R^{h-1}$$

is an  $R$ -module.

- We now return to the theorem from last lecture.
- Theorem: Let  $A$  be a ring, let  $M$  be an  $A$ -module, and let  $M' \subset M$  be an  $A$ -submodule (all modules are left modules). Suppose that there is an isomorphism of  $A$ -modules  $\varphi : M/M' \rightarrow A^n$ . Then  $M' \oplus A^n \cong M$  as an  $A$ -module.

*Proof.* You can either do this in one short proof with horrible notation, or you can prove it for  $n = 1$  and say that induction solves the rest. We'll do the latter.

The existence of  $\varphi$  says that there exists a surjection of  $A$ -modules  $\psi : M \rightarrow A$  with  $\ker \psi = M'$ . "Take  $\psi^{-1}(1)$  and set it equal to  $e$ . Then repeat the (previous??) proof." Choose  $e \in M$  such that  $\varphi(e) = 1$ . Then  $T : M' \oplus A \rightarrow M$ ,  $T(m', a) = m' + ae$  for all  $m' \in M'$  and  $a \in A$ . To check that  $T$  is onto will proceed symmetrically to in the previous proof. (Let  $m \in M$ . Put  $a = \varphi(m)$ . Then  $a = \varphi(ae)$ . Put  $m' = m - ae$ . Then  $\varphi(m') = \varphi(m - ae) = \varphi(m) - \varphi(ae) = a - a = 0$ . (This  $\varphi$  may be  $\psi$ !). Therefore,  $m' \in M$  and  $T(m', a) = m$  is onto.) How about  $\ker(T)$ ? Let  $m' \in M'$ . We have  $(m', a) \in \ker(T)$  implies  $m' + ae = 0$ . Then  $\varphi(m' + ae) = 0$ ,  $\varphi(m') + a = 0$ ,  $m' = 0$ .  $\square$

- Build up to Zorn's Lemma.
  - If  $\varphi : \mathbb{Z}^m \rightarrow \mathbb{Z}^n$  is an isomorphism of abelian groups, then  $\bar{\varphi} : \mathbb{Z}^m/2\mathbb{Z}^m \rightarrow \mathbb{Z}^n/2\mathbb{Z}^n$  is still an isomorphism. Hence,  $2^m = 2^n$  and thus  $m = n$ .
  - Exercise: Suppose  $V$  is an infinite dimensional vector space over a field  $F$ . Let  $A = \text{End}_F(V)$ . Then  $A^m \cong A^n$  for all  $m, n > 0$  where the isomorphism is of  $A$ -modules.
  - On the other hand, we can just resolve this issue axiomatically.
    - Let  $A$  be a ring. Consider  $\text{End}_A(A^2)$ . For a field, it's  $2 \times 2$  matrices. Here,

$$\text{End}_A(A^2) \cong M_2(A^{\text{opp}})$$

where the opp notation denotes that multiplication has been reversed and addition is still the same, i.e.,

$$a \cdot_{\text{new}} b = b \cdot_{\text{old}} a$$

- Assuming that  $A$  is commutative and  $A \cong A^2$  as an  $A$ -module, this implies that  $M_2(A) \cong A$ .
- Zorn's lemma allows us to give a proof that  $A^m \cong A^n$  iff  $m = n$ .
- We will delay this proof, though, until Cayley's theorem.