Week 3

1/17:

Time-Independent Problems in One-Dimensional Systems

3.1 Infinite Well Motion

• We begin today by building up to the uncertainty principle another, more general way.

• Recall that what we are aiming for is

$$\Delta p_x \Delta x \ge \frac{\hbar}{2}$$

where $\Delta p_x, \Delta x$ are the uncertainties in the determination of the momentum and position, respectively:

$$(\Delta p_x)^2 = \langle (\hat{\vec{p}}_x - \langle \hat{\vec{p}}_x \rangle)^2 \rangle = \langle \hat{\vec{p}}_x^2 \rangle - \langle \hat{\vec{p}}_x \rangle^2$$

$$(\Delta x)^2 = \langle \hat{\vec{x}}^2 \rangle - \langle \hat{\vec{x}} \rangle^2$$

• Example of the uncertainty principle: For a plane wave, we know the momentum but not the position. That is, $\Delta x \to \infty$ and $\Delta p_x \to 0$.

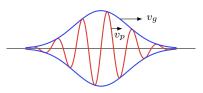
• More generally, for a wave packet, we know only approximately the position and momentum.

• Wave packet: A continuous sum of waves of different frequencies. Given by

$$\psi(x,t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \phi(k) e^{i(kx - \omega(k)t)} dk$$



(a) A wave packet.



(b) Group and phase velocity.

Figure 3.1: Wave packets.

- Note that the above formula only applies to the one dimensional case.

• Let's investigate the case of a wave packet of free particles.

- In this case,

$$\omega(k) = \frac{\hbar k^2}{2m}$$

■ This is derived from

$$\hbar\omega = E = \frac{p^2}{2m} = \frac{(\hbar k)^2}{2m}$$

by cancelling an \hbar from both sides.

- Let's assume that $\phi(k)$ is a narrowly peaked function around a certain value k_0 .
- Then we can expand

$$\omega(k) = \omega(k_0) + \frac{\mathrm{d}\omega}{\mathrm{d}k} \Big|_{k=k_0} (k - k_0) + \cdots$$

$$= \omega(k_0) + \frac{\hbar k}{m} \Big|_{k=k_0} (k - k_0) + \cdots$$

$$= \omega(k_0) + \underbrace{\frac{\hbar k_0}{m}}_{\omega'_0} (k - k_0) + \cdots$$

- Define $s := k k_0$.
- Then $k = k_0 + s$ and dk = ds, so

$$\psi(x,t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \phi(k_0 + s) e^{i((k_0 + s)x - (\omega(k_0) + \omega_0's)t)} ds$$
$$= \frac{1}{\sqrt{2\pi}} e^{i(k_0 x - \omega(k_0)t)} \int_{-\infty}^{\infty} \phi(k_0 + s) e^{is(x - \omega_0't)} ds$$

- It follows that

$$|\psi(x,t)|^2 = \frac{1}{2\pi} \left| \int_{-\infty}^{\infty} \phi(k_0 + s) e^{is(x - \omega_0' t)} ds \right|^2 = f(x - \omega_0' t)$$

- In words, the probability density is a function of $x \omega'_0 t$, so the packet moves with **group** velocity $\omega'_0 = \hbar k_0/m = p_0/m$.
- Implication: The wave packet moves with a velocity that is equal of the classical velocity

$$\left. \frac{\mathrm{d}\omega}{\mathrm{d}k} \right|_{k=k_0} = \frac{p_0}{m}$$

• Group velocity: A measure of the velocity of a wave packet. Denoted by v_g , v_{group} . Given by

$$v_{\text{group}} = \left. \frac{\mathrm{d}\omega}{\mathrm{d}k} \right|_{k=k_0}$$

• Phase velocity: A measure of the velocity of the ripples. Denoted by v_p , v_{phase} . Given by

$$v_{\text{phase}} = \frac{\omega(k_0)}{k_0} = \frac{\hbar k_0}{2m} = \frac{v_{\text{group}}}{2}$$

- Explicit example of a wave packet: A Gaussian wave packet.
- Gaussian wave packet: A one-dimensional wave packet of the following form. Given by

$$\psi_0(x,t) = \left(\frac{2}{\pi\sigma^2}\right)^{1/4} \exp\left[-\frac{(x-v_g t)^2}{\sigma^2}\right] e^{i(k_0 x - v_p t)}$$

- This means that we must have used the following definition of $\phi(k)$ in the original definition.

$$\phi(k) = \left(\frac{\sigma^2}{2\pi}\right)^{1/4} \exp\left[-\frac{\sigma^2(k-k_0)^2}{4}\right]$$

- Uncertainty analysis of a Gaussian wave packet.
 - The uncertainties Δx and Δk are associated with the widths of the Gaussians, as one can determine by computing. Indeed, at t = 0,

$$\langle \hat{\vec{x}} \rangle = 0$$
 $\langle \hat{\vec{x}}^2 \rangle = (\Delta x)^2$ $\langle (k - k_0)^2 \rangle = (\Delta k)^2$

- Indeed, since $\langle k \rangle = k_0$, we know that $\langle (k k_0)^2 \rangle = \langle k^2 \rangle k_0^2$.
- For Gaussians, normalized as $\int |\psi|^2 = 1$, we obtain

$$\left(\frac{1}{\pi\sigma^2}\right)^{1/2} \int_{-\infty}^{\infty} u^2 \exp\left(-\frac{u^2}{\sigma^2}\right) du = (\Delta u)^2 = \frac{\sigma^2}{2}$$

- How do we get this??
- It follows that the value of Δu coincides well with the departure from the central value for which the exponential in $|\psi|^2$ or $|\phi|^2$ is $e^{-1/2}$.
- Altogether, we get

$$\Delta x = \frac{\sigma}{2} \qquad \qquad \Delta k = \frac{1}{\sigma}$$

SO

$$\Delta x \Delta k = \frac{1}{2}$$
$$\Delta x \Delta p_x = \frac{\hbar}{2}$$

for a Gaussian wave packet.

- Implication: The Gaussian function minimizes the product of the position and momentum uncertainties!
- We now move onto discussing the **infinite square well** potential, a one-dimensional time-independent potential for which we can solve the Schrödinger equation exactly.

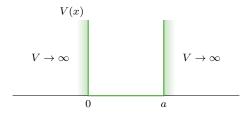


Figure 3.2: Infinite square well.

• Infinite square well: The potential energy function that vanishes for 0 < x < a and tends to infinity for $x \le 0$ and $x \ge a$. Given by

$$V(x) = \begin{cases} 0 & 0 < x < a \\ \infty & \text{otherwise} \end{cases}$$

• We would like to obtain energy eigenstates for this potential. That is, we seek eigenvalues and eigenfunctions for

$$\left[-\frac{\hbar^2}{2m} \frac{\mathrm{d}^2}{\mathrm{d}x^2} + V(x) \right] \psi(x) = E\psi(x)$$

- Any such eigenstate ψ will have $\psi(x) = 0$ in the region of space where $V \to \infty$.

- Hence, the Schrödinger equation reduces to the boundary-value problem

$$-\frac{\hbar^2}{2m}\frac{\mathrm{d}^2\psi}{\mathrm{d}x^2} = E\psi \qquad \qquad \psi(0) = \psi(a) = 0$$

- The above ODE may be expressed in the following equivalent form

$$\frac{\mathrm{d}^2 \psi}{\mathrm{d}x^2} = -\left(\frac{2mE}{\hbar^2}\right)\psi$$

- Observe that this ODE is of the same form as the classical harmonic oscillator equation $d^2x/dt^2 = -(k/m)x$. Thus, it admits a similar set of solutions:

$$\psi_n(x) = C \sin\left(\sqrt{\frac{2mE_n}{\hbar^2}}x\right) \qquad \qquad \sqrt{\frac{2mE_n}{\hbar^2}}a = n\pi, \ n = 1, 2, \dots$$

- It follows that

$$E_n = \frac{\hbar^2 n^2 \pi^2}{2ma^2}$$

- The coefficient C can be fixed via the normalization requirement, as follows.

$$1 = \int_0^a |\psi_n(x)|^2 dx$$

$$= C^2 \int_0^a \sin^2\left(\frac{\pi nx}{a}\right) dx$$

$$= C^2 \int_0^a \frac{1 - \cos\left(\frac{2\pi nx}{a}\right)}{2} dx$$

$$= \frac{C^2}{2} \left[\int_0^a dx - \int_0^a \cos\left(\frac{2\pi nx}{a}\right) dx \right]$$

$$= \frac{C^2}{2} \left[a - \underbrace{\frac{a}{2n\pi} \sin\left(\frac{2\pi nx}{a}\right)\Big|_0^a}_{0} \right]$$

$$= \frac{aC^2}{2}$$

$$C = \sqrt{\frac{2}{a}}$$

- Therefore, the complete eigenfunctions and eigenvalues are

$$\psi_n(x) = \sqrt{\frac{2}{a}} \sin\left(\frac{\pi nx}{a}\right)$$

$$E_n = \frac{\hbar^2 n^2 \pi^2}{2ma^2}$$

• A general solution is therefore given by the following, where ψ_n, E_n are defined as above.

$$\psi(x,t) = \sum_{n} c_n \psi_n(x) e^{-iE_n t/\hbar}$$

• The probability density of the infinite square well potential is time-independent.

Proof. Observe that given any individual eigenstate of energy

$$\psi_n(x,t) = \psi_n(x) e^{-iE_n t/\hbar}$$

we have that

$$|\psi_n(x,t)|^2 = |\psi_n(x)|^2 = \frac{2}{a}\sin^2\left(\frac{\pi nx}{a}\right)$$

• Let's investigate the form of the probability density for a few n.

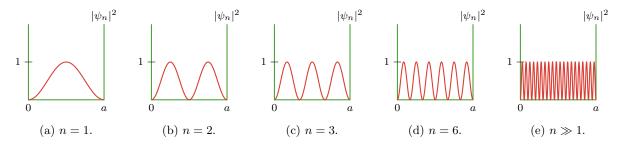


Figure 3.3: Infinite square well probability density.

Recall that the average height of a sine wave is half its amplitude. Thus the average probability
density is

$$\frac{1}{2} \cdot \frac{2}{a} = \frac{1}{a}$$

• Recovering "motion," in the sense that $d/dt (\langle \psi | \hat{\vec{x}} | \psi \rangle) \neq 0$

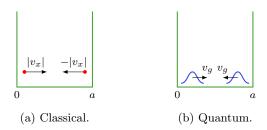


Figure 3.4: Infinite square well motion.

- We obtain motion upon superimposing different eigenstate wave functions.
- Guiding question: What would happen in the classical case of a particle in such a potential?
 - The particle would move first to the right with momentum $|p_x|$, then bounce against the wall at x = a and change its momentum to $-|p_x|$, then bounce against the wall at x = 0 and change its momentum back to $|p_x|$, and so continue indefinitely.
- In quantum mechanics, we can mimic the same behavior by forming a wave packet!
- Since the particle moves free of forces between 0 < x < a, one can try to build a Gaussian wave packet, similar to the one we discussed in the free particle case. The difference is that any wave function must vanish at x = 0, a, so it must be represented not by combinations of free waves e^{ikx} at t = 0 but by

$$\sin\left(\frac{\pi nx}{a}\right) = \frac{1}{2i} (e^{i\pi nx/a} - e^{-i\pi nx/a})$$

- Define

$$k_n = \frac{\sqrt{2mE_n}}{\hbar} = \frac{\pi n}{a}$$

- Now, what we want is a Gaussian with width Δx for $\Delta x \ll a$.
- Recalling the free case $|\psi_0(x,t)|^2 = (1/\pi\sigma^2)^{1/2} e^{-(x-v_g t)^2/2\sigma^2}$ with $\phi(k) = ke^{-\sigma^2(k-k_0)^2}$, we would like to try

$$\phi(k_n) \propto e^{-\sigma^2(k_n - k_0)^2} =: c_n$$

where $\sigma = \Delta x \ll a$, and hence $1/\sigma \gg 1/a$.

- Since

$$k_m - k_n = (m - n)\frac{\pi}{a}$$

we will obtain a "continuous" distribution of states with $|k_n - k_0| < 1/\sigma$ as well as a suppression of other modes.

- Left as an exercise to the student to derive further results about this system.

3.2 Harmonic Oscillator

- 1/19: The harmonic oscillator is one of the most important problems in physics because we can solve it exactly.
 - It used to approximate solutions near the bottom of smooth potential wells. It does so via

$$V(x) \approx V(x_0) + \frac{dV}{dx}\Big|_{x_0} (x - x_0) + \frac{1}{2} \frac{d^2V}{dx^2}\Big|_{x_0} (x - x_0)^2 + \cdots$$

- Mathematically, this represents small departures from x_0 .
- Recall that the first derivative goes to zero (because we are at a minimum) and the second one is a constant we can call k, yielding

$$V(x) = V(x_0) + \frac{1}{2}k(x - x_0)^2$$

- This is now a potential with which we are familiar from classical mechanics.
- Recall what happens in classical mechanics.
 - We get an equation with a second derivative of $u = x x_0$:

$$m\frac{\mathrm{d}^2 u}{\mathrm{d}t^2} = -ku$$

– This problem is solved in classical mechanics by defining $\omega^2 := k/m$ and solving the differential equation for

$$u = A\sin(\omega t) + B\cos(\omega t)$$

- From this general solution, we can get to particular solutions using initial conditions.
- For example, if u(0) = 0, then B = 0 and

$$u = A\sin(\omega t)$$

– What happens if we multiply the original equation of motion by v = du/dt? We get the conservation of energy!

$$mv\frac{\mathrm{d}v}{\mathrm{d}t} = -ku\frac{\mathrm{d}u}{\mathrm{d}t}$$

$$\frac{\mathrm{d}}{\mathrm{d}t}\left(\frac{mv^2}{2} + \frac{ku^2}{2}\right) = 0$$

– Note that we associate the left term above with $KE = p^2/2m$ and the right term above with V(u).

- This gives us

$$V(u) = \frac{ku^2}{2} = \frac{k[A\sin(\omega t)]^2}{2} = \frac{kA^2}{2}\sin^2(\omega t)$$

$$K(u) = \frac{mv^2}{2} = \frac{m}{2}\left(\frac{d}{dt}[A\sin(\omega t)]\right)^2 = \frac{A^2m\omega^2}{2}\cos^2(\omega t) = \frac{kA^2}{2}\cos^2(\omega t)$$

so that

$$V(u) + K(u) = \frac{kA^2}{2}$$

for all u!

- The situation is different in quantum mechanics.
 - Here, we must begin from

$$-\frac{\hbar^2}{2m}\frac{\mathrm{d}^2}{\mathrm{d}x^2}\psi_n(x) + \frac{kx^2}{2}\psi_n(x) = E_m\psi_n(x)$$

- What do we know, qualitatively, about a solution to this ODE?
 - Since V(x) is time-independent, the eigenfunctions will be of the form

$$\psi_n(x,t) = \psi_n(x) e^{-iE_n t/\hbar}$$

- We will be able to normalize these solutions via

$$\int \mathrm{d}x \, \psi_m^*(x) \psi_n(x) = \delta_{nm}$$

- The general solution will then be a sum of the normalized solutions, like the following.

$$\psi(x,t) = \sum_{n} c_n \psi_n(x,t)$$

- The normalization condition here will then yield

$$\sum_{n} |c_n|^2 = 1$$

- Lastly, we will be able to calculate expected values, such as

$$\langle \psi | \hat{H} | \psi \rangle = \sum_{m} |c_m|^2 E_m$$

• We now work toward quantitative solutions $\psi_n(x,t)$, based on insight from the following picture.



Figure 3.5: Solving the quantum harmonic oscillator with an asymptotic Schrödinger equation.

- Although it may not be immediately obvious how to solve the Schrödinger equation in this case, we can see from Figure 3.5 that at large values of x, $\psi_n(x) = 0$. Thus, for large x, we will have

$$-\frac{\hbar^2}{2m}\frac{\mathrm{d}^2}{\mathrm{d}x^2}\psi_n(x) + \frac{kx^2}{2}\psi_n(x) = 0$$

- This tells us the **asymptotic** behavior of the equation.
- We can then algebraically rearrange this equation into the form

$$\frac{\mathrm{d}^2}{\mathrm{d}x^2}\psi_n(x) - \frac{m^2\omega^2 x^2}{\hbar^2}\psi_n(x) = 0$$

- To solve it, use an ansatz proportional to the following.

$$\psi_n(x) \propto \exp\left[-\frac{m\omega}{2\hbar}x^2\right]$$

■ This works because

$$\begin{split} \frac{\mathrm{d}\psi_n}{\mathrm{d}x} &= -\frac{m\omega x}{\hbar} \exp\left[-\frac{m\omega}{2\hbar}x^2\right] \\ \frac{\mathrm{d}^2\psi_n}{\mathrm{d}x^2} &= \left(-\frac{m\omega}{\hbar} + \frac{m^2\omega^2x^2}{\hbar^2}\right) \exp\left[-\frac{m\omega}{2\hbar}x^2\right] \end{split}$$

- In particular, use the ansatz

$$\psi_n(x) = f_n(x) \exp\left[-\frac{m\omega}{2\hbar}x^2\right]$$

- Now insert this ansatz into the original equation and solve for values of $f_n(x)$ that give an exact solution.
- Start by calculating that

$$\frac{\mathrm{d}\psi_n}{\mathrm{d}x} = \frac{\mathrm{d}f_n(x)}{\mathrm{d}x} \exp\left[-\frac{m\omega}{2\hbar}x^2\right] - f_n(x)\frac{m\omega x}{\hbar} \exp\left[-\frac{m\omega}{2\hbar}x^2\right]$$

and thus

$$\frac{\mathrm{d}^2 \psi_n}{\mathrm{d}x^2} = \frac{\mathrm{d}^2 f_n(x)}{\mathrm{d}x^2} \exp\left[-\frac{m\omega}{2\hbar}x^2\right] - 2\frac{\mathrm{d}f_n(x)}{\mathrm{d}x} \frac{m\omega x}{\hbar} \exp\left[-\frac{m\omega}{2\hbar}x^2\right]$$

$$- f_n(x) \frac{m\omega}{\hbar} \exp\left[-\frac{m\omega}{2\hbar}x^2\right] + f_n(x) \frac{m^2\omega^2 x^2}{\hbar^2} \exp\left[-\frac{m\omega}{2\hbar}x^2\right]$$

$$= \left[f_n''(x) - \frac{2m\omega x}{\hbar} f_n'(x) - f_n(x) \frac{m\omega}{\hbar} + f_n(x) \frac{m^2\omega^2 x^2}{\hbar^2}\right] \exp\left[-\frac{m\omega}{2\hbar}x^2\right]$$

$$= \left[f_n''(x) - \frac{2m\omega x}{\hbar} f_n'(x) + \frac{m\omega}{\hbar} \left(\frac{m\omega x^2}{\hbar} - 1\right) f_n(x)\right] \exp\left[-\frac{m\omega}{2\hbar}x^2\right]$$

 Now we insert the above into the full original Schrödinger equation, cancelling the exponential term immediately to save space.

$$-\frac{\hbar^2}{2m} \left[f_n''(x) - \frac{2m\omega x}{\hbar} f_n'(x) + \frac{m\omega}{\hbar} \left(\frac{m\omega x^2}{\hbar} - 1 \right) f_n(x) \right] + \frac{m\omega^2 x^2}{2} f_n(x) = E_n f_n(x)$$
$$-\frac{\hbar^2}{2m} \left(f_n'' - \frac{2m\omega x}{\hbar} f_n' - \frac{m\omega}{\hbar} f_n \right) = E_n f_n$$
$$-\frac{\hbar^2}{2m} f_n'' + \hbar \omega x f_n' + \left(\frac{\hbar \omega}{2} - E_n \right) f_n = 0$$

- Thus, we have obtained an ODE that we can solve to find particular solutions.
- One obvious solution: the minimal energy solution.
- Minimal energy solution (to the quantum harmonic oscillator): Take f_n to be a constant C. Given by

$$\psi_0(x) = C \exp\left[-\frac{m\omega}{2\hbar}x^2\right] \qquad E_0 = \frac{\hbar\omega}{2}$$

- Note that it is the above ODE that necessitates $E_0 = \hbar \omega/2$ if f_n is to be a constant.
- The minimal energy solution classically is zero, but in quantum mechanics, there will always be some energy!
 - Zero energy is impossible because it would imply that the position and momentum are both zero. But there needs to be some uncertainty, in both, so the position and momentum *cannot* both be zero.
 - Essentially, the uncertainty principle *necessitates* a finite nonzero minimal energy. Stated another way, zero energy is *inconsistent* with the uncertainty principle.
- What if we postulate that $f_1 = b_1 x$ for some constant b_1 ?
 - Then the ODE simplifies to

$$\hbar\omega b_1 x + \left(\frac{\hbar\omega}{2} - E_1\right) b_1 x = 0$$

$$E_1 = \frac{3\hbar\omega}{2}$$

- Note that

$$E_1 - E_0 = \hbar \omega$$

■ This observation is important because we can actually prove that

$$E_{n+1} - E_n = \hbar\omega$$

for all n = 0, 1, 2, ...

- We will not prove this in this class, though; we will just postulate it.
- Essentially, what we do is assume that

$$f_N(x) = \sum_{n=1}^N b_n x^n$$

and solve.

- \blacksquare All the solutions are either even or odd solutions based on whether N is even or odd. These "even" and "odd" solutions correspond to even and odd polynomial functions.
- This means that

$$E_n = \hbar\omega \left(n + \frac{1}{2} \right)$$

- In particular, if we let $\xi = x\sqrt{m\omega/\hbar}$, then the solutions f_N are the **Hermite polynomials**.
- Hermite polynomial: A polynomial of the following form. Denoted by $H_n(\xi)$. Given by

$$H_n(\xi) = (-1)^n \exp(\xi^2) \frac{\mathrm{d}^n}{\mathrm{d}\xi^n} [\exp(-\xi^2)]$$

• Thus, the general solutions to the quantum harmonic oscillator

$$\psi_n(x) = \left(\frac{m\omega}{\hbar\pi}\right)^{1/4} \frac{H_n(\xi)}{\sqrt{2^n n!}} \exp\left[-\frac{\xi^2}{2}\right]$$

• On Monday, we will derive this result using rasing and lowering operators.