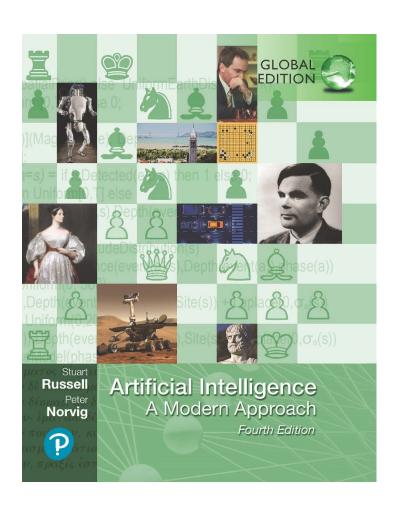


Artificial Intelligence: A Modern Approach

Fourth Edition, Global Edition



Chapter 3

Solving Problems By Searching





Lecture Presentations: Artificial Intelligence

Adapted from:

"Artificial Intelligence: A Modern Approach, Global Edition", 4th Edition by Stuart Russell and Peter Norvig © 2021 Pearson Education.

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Outline

- ♦ Problem-solving agents
- ♦ Example Problems
- ♦ Problem formulation
- ♦ Search Algorithms
- Uninformed Search Strategies
- Informed (Heuristic)Search Strategies
- Heuristic Functions





Problem-solving agents

Restricted form of general agent:

```
function Simple-Problem-Solving-Agent (percept) returns an action
   static: seq, an action sequence, initially empty
           state, some description of the current world state
           goal, a goal, initially null
           problem, a problem formulation
   state \leftarrow Update-State(state, percept)
   if seq is empty then
        goal ← Formulate - Goal (state)
       problem \leftarrow Formulate - Problem (state, goal)
        seq \leftarrow Search(problem)
   action \leftarrow \text{Recommendation}(seq, state)
   seq \leftarrow Remainder(seq, state)
   return action
```

Note: this is offline problem solving; solution executed "eyes closed." Online problem solving involves acting without complete knowledge.





Example: Romania

On holiday in Romania; currently in Arad. Flight leaves tomorrow from Bucharest

Formulate goal:

be in Bucharest

Formulate problem:

states: various cities

actions: drive between cities

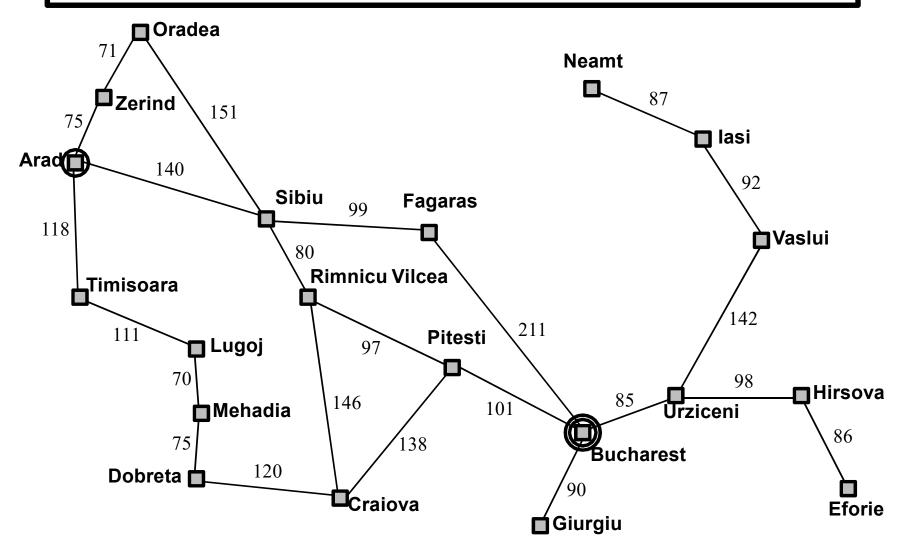
Find solution:

sequence of cities, e.g., Arad, Sibiu, Fagaras, Bucharest





Example: Romania







Problem types

Deterministic, fully observable =⇒ single-state problem

Agent knows exactly which state it will be in; solution is a sequence

Non-observable =⇒ conformant problem

Agent may have no idea where it is; solution (if any) is a sequence

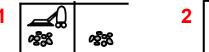
Nondeterministic and/or partially observable =⇒ contingency problem percepts provide new information about current state solution is a contingent plan or a policy often interleave search, execution

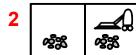
Unknown state space = ⇒ exploration problem ("online")





Single-state, start in #5. Solution??

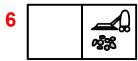


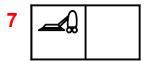
















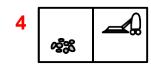


Single-state, start in #5. Solution?? [Right, Suck]

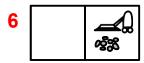
Conformant, start in {1, 2, 3, 4, 5, 6, 7, 8} e.g., *Right* goes to {2, 4, 6, 8}. Solution??



















Single-state, start in #5. Solution??

[Right, Suck]

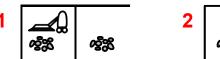
Conformant, start in $\{1, 2, 3, 4, 5, 6, 7, 8\}$ e.g., Right goes to $\{2, 4, 6, 8\}$. Solution?? [Right, Suck, Left, Suck]

Contingency, start in #5

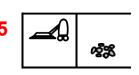
Murphy's Law: Suck can dirty a clean carpet

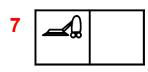
Local sensing: dirt, location only.

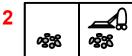
Solution??





















Single-state, start in #5. <u>Solution</u>??

[Right, Suck]

Conformant, start in $\{1, 2, 3, 4, 5, 6, 7, 8\}$ e.g., Right goes to $\{2, 4, 6, 8\}$. Solution?? [Right, Suck, Left, Suck]

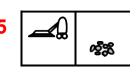
Contingency, start in #5
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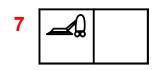
Solution??

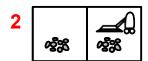
[Right, if dirt then Suck]

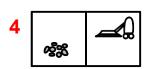


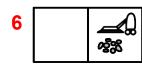


















Single-state problem formulation

A problem is defined by four items:

```
initial state e.g., "at Arad" successor function S(x) = \text{set of action-state pairs} e.g., S(Arad) = \{(Arad \rightarrow Zerind, Zerind), ...\} goal test, can be explicit, e.g., x = \text{"at Bucharest"} implicit, e.g., NoDirt(x) path cost (additive) e.g., sum of distances, number of actions executed, etc. c(x, a, y) is the step cost, assumed to be \geq 0
```

A solution is a sequence of actions leading from the initial state to a goal state





Selecting a state space

Real world is absurdly complex

⇒ state space must be abstracted for problem solving

(Abstract) state = set of real states

(Abstract) action = complex combination of real actions e.g., "Arad → Zerind" represents a complex set of possible routes, detours, rest stops, etc.

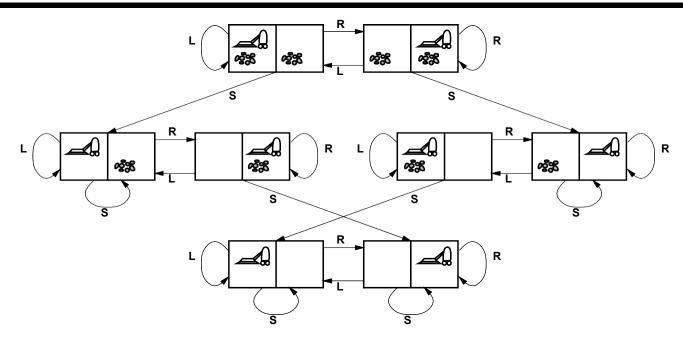
For guaranteed realizability, any real state "in Arad" must get to some real state "in Zerind"

(Abstract) solution = set of real paths that are solutions in the real world

Each abstract action should be "easier" than the original problem!



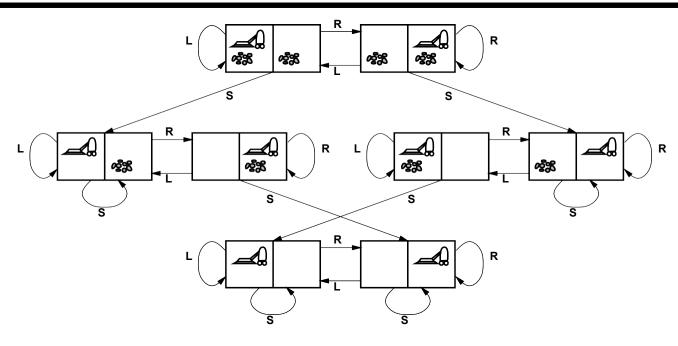




states??
actions??
goal test??
path cost??







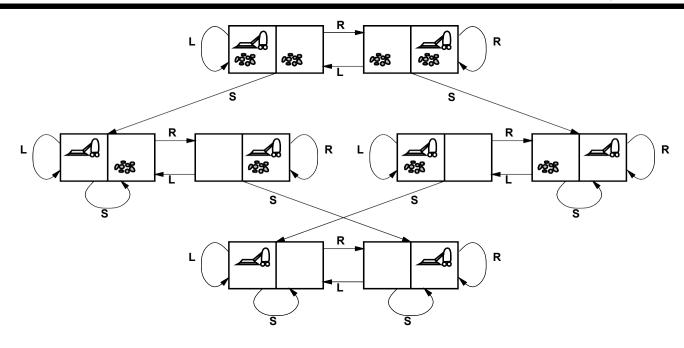
states??: integer dirt and robot locations (ignore dirt amounts etc.)

actions??

<u>goal test??</u>







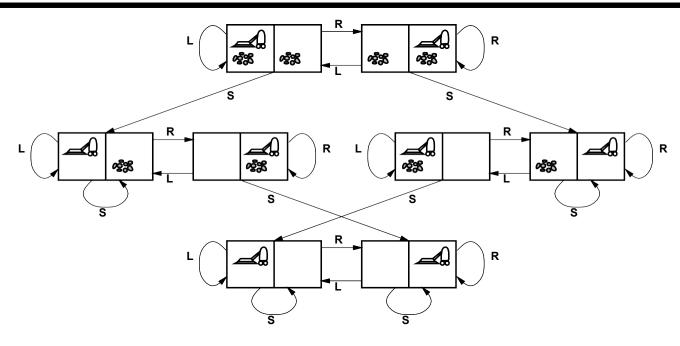
states??: integer dirt and robot locations (ignore dirt amounts etc.)

actions??: Left, Right, Suck, NoOp

goal test??
path cost??







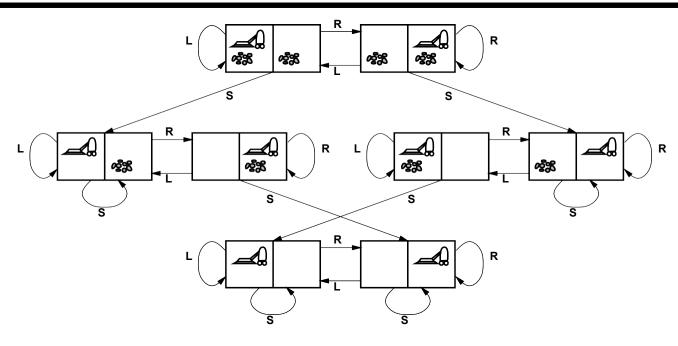
states??: integer dirt and robot locations (ignore dirt amounts etc.)

actions??: Left, Right, Suck, NoOp

goal test??: no dirt







states??: integer dirt and robot locations (ignore dirt amounts etc.)

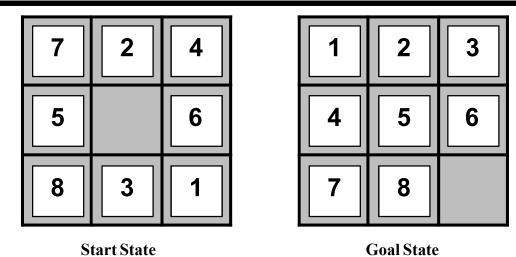
actions??: Left, Right, Suck, NoOp

goal test??: no dirt

path cost??: 1 per action (0 for NoOp)



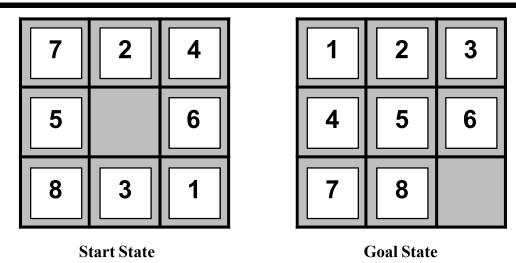




states??
actions??
goal test??
path cost??

Pearson





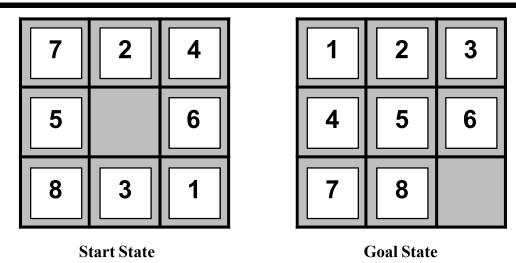
states??: integer locations of tiles (ignore intermediate positions)

actions??

goal test??







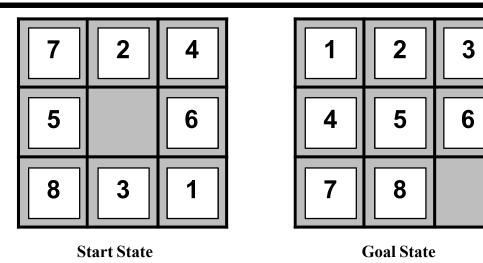
states??: integer locations of tiles (ignore intermediate positions)

actions??: move blank left, right, up, down (ignore unjamming etc.)

goal test??







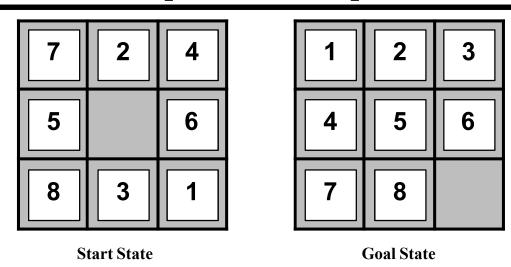
states??: integer locations of tiles (ignore intermediate positions)

actions??: move blank left, right, up, down (ignore unjamming etc.)

goal test??: = goal state (given)







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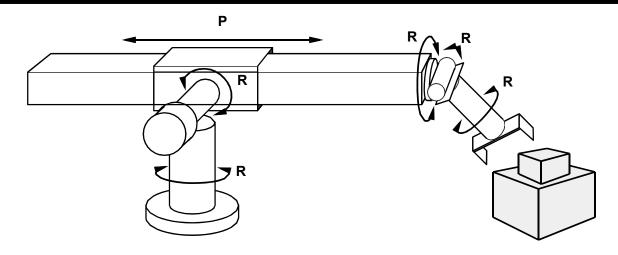
path cost??: 1 per move

[Note: optimal solution of n-Puzzle family is NP-hard]





Example: robotic assembly



states??: real-valued coordinates of robot joint angles parts of the object to be assembled

actions??: continuous motions of robot joints

goal test??: complete assembly with no robot included!

path cost??: time to execute





Tree search algorithms

Basic idea:

offline, simulated exploration of state space by generating successors of already-explored states (a.k.a. expanding states)

```
function Tree-Search (problem, strategy) returns a solution, or failure initialize the search tree using the initial state of problem

loop do

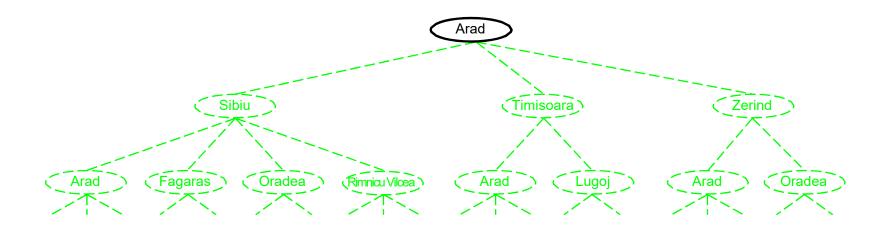
if there are no candidates for expansion then return failure choose a leaf node for expansion according to strategy

if the node contains a goal state then return the corresponding solution else expand the node and add the resulting nodes to the search tree end
```





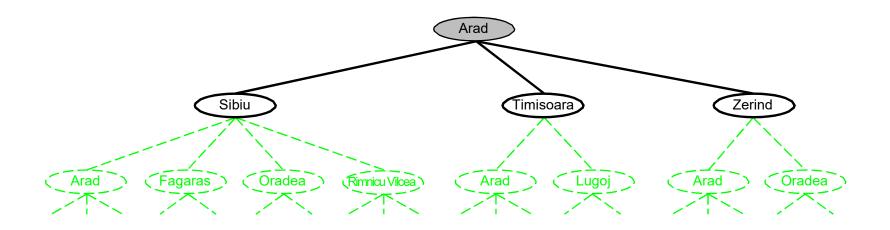
Tree search example







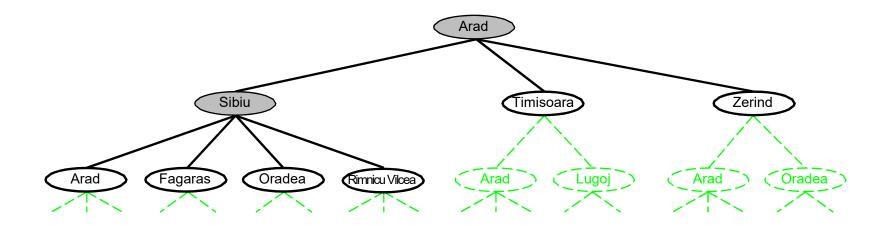
Tree search example







Tree search example

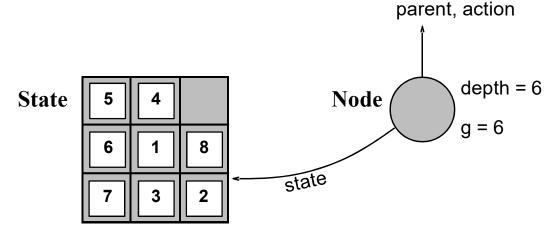






Implementation: states vs. nodes

A state is a (representation of) a physical configuration A node is a data structure constituting part of a search tree includes parent, children, depth, path cost g(x) States do not have parents, children, depth, or path cost!



The Expand function creates new nodes, filling in the various fields and using the SuccessorFn of the problem to create the corresponding states.





Implementation: general tree search

```
function Tree-Search (problem, fringe) returns a solution, or failure
  fringe \leftarrow Insert (Make-Node(Initial-State[problem]), fringe)
   loop do
       if fringe is empty then return failure
       node \leftarrow Remove - Front(fringe)
       if Goal-Test(problem, State(node)) then return node
       fringe \leftarrow Insert All(Expand(node, problem), fringe)
function Expand(node, problem) returns a set of nodes
   successors \leftarrow  the empty set
   for each action, result in Successor-Fn(problem, State [node]) do
       s \leftarrow a \text{ new Node}
       Parent-Node[s] \leftarrow node; Action[s] \leftarrow action; State[s] \leftarrow result
        Path-Cost[s] \leftarrow Path-Cost[node] + Step-Cost(node, action, s)
       Depth[s] \leftarrow Depth[node] + I
        add s to successors
   return successors
```





Search strategies

A strategy is defined by picking the order of node expansion

Strategies are evaluated along the following dimensions:

completeness—does it always find a solution if one exists?

time complexity—number of nodes generated/expanded

space complexity—maximum number of nodes in memory

optimality—does it always find a least-cost solution?

Time and space complexity are measured in terms of

b—maximum branching factor of the search tree

d—depth of the least-cost solution

m—maximum depth of the state space (may be ∞)





Uninformed search strategies

Uninformed strategies use only the information available in the problem definition

Breadth-first search

Uniform-cost search

Depth-first search

Depth-limited search

Iterative deepening search

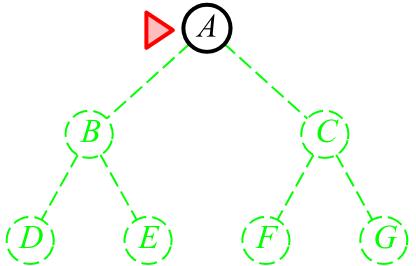




Expand shallowest unexpanded node

Implementation:

fringe is a FIFO queue, i.e., new successors go at end



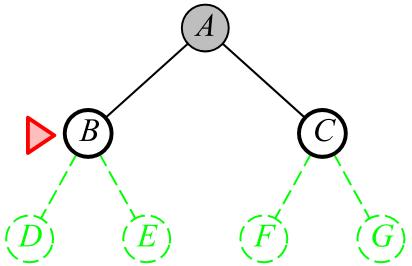




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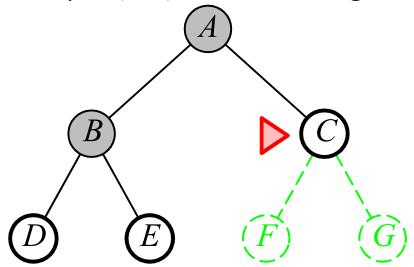




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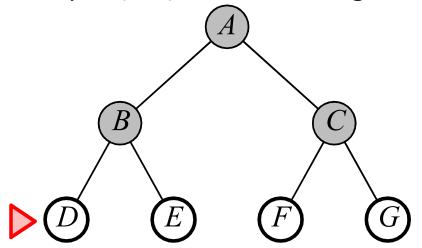




Expand shallowest unexpanded node

Implementation:

fringe is a FIFO queue, i.e., new successors go at end







Complete??





Complete?? Yes (if b is finite)

Time??





Complete?? Yes (if b is finite)

Time??
$$1 + b + b^2 + b^3 + \ldots + b^d + b(b^d - 1) = O(b^{d+1})$$
, i.e., exp. in d

Space??





<u>Complete</u>?? Yes (if b is finite)

<u>Time??</u> $1 + b + b^2 + b^3 + \ldots + b^d + b(b^d - 1) = O(b^{d+1})$, i.e., exp. in d

Space?? $O(b^{d+1})$ (keeps every node in memory)

Optimal??





<u>Complete</u>?? Yes (if b is finite)

<u>Time</u>?? $1 + b + b^2 + b^3 + \ldots + b^d + b(b^d - 1) = O(b^{d+1})$, i.e., exp. in d

Space?? $O(b^{d+1})$ (keeps every node in memory)

Optimal?? Yes (if cost = 1 per step); not optimal in general

Space is the big problem; can easily generate nodes at 100MB/sec so 24hrs = 8640GB.





Uniform-cost search

Expand least-cost unexpanded node

Implementation:

fringe = queue ordered by path cost, lowest first

Equivalent to breadth-first if step costs all equal

Complete?? Yes, if step cost $\geq c$

Time?? # of nodes with $g \le cost$ of optimal solution, $O(b^{fC^*/cl})$ where C^* is the cost of the optimal solution

Space?? # of nodes with $g \le cost$ of optimal solution, $O(b^{fC^*/cl})$

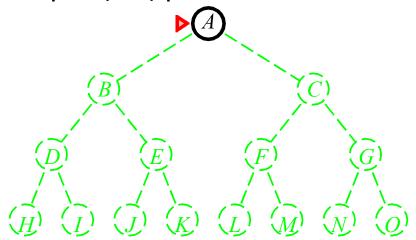
Optimal?? Yes—nodes expanded in increasing order of g(n)





Expand deepest unexpanded node

Implementation:

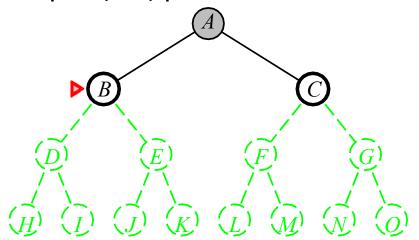






Expand deepest unexpanded node

Implementation:

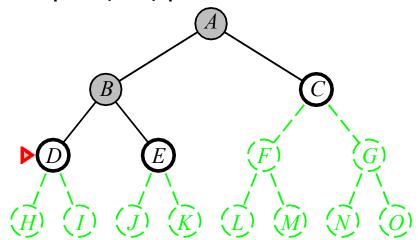






Expand deepest unexpanded node

Implementation:

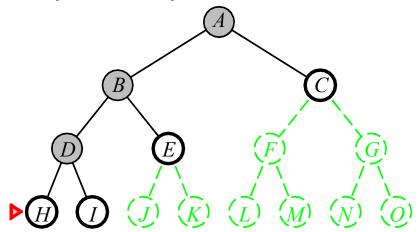






Expand deepest unexpanded node

Implementation:

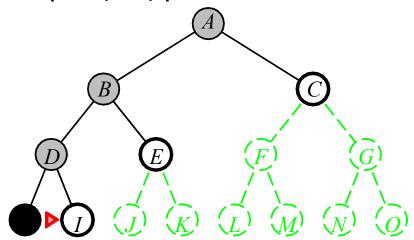






Expand deepest unexpanded node

Implementation:

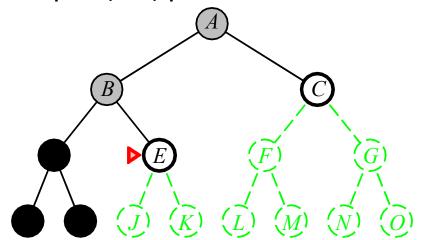






Expand deepest unexpanded node

Implementation:

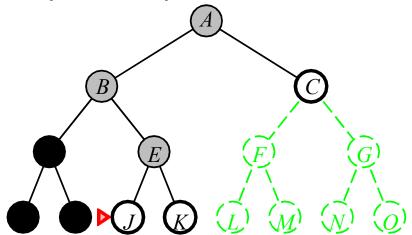






Expand deepest unexpanded node

Implementation:

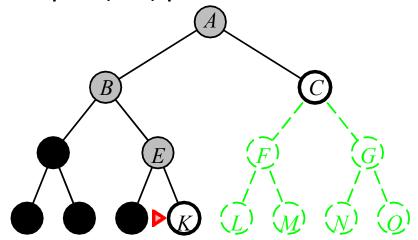






Expand deepest unexpanded node

Implementation:

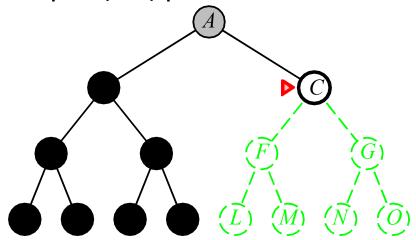






Expand deepest unexpanded node

Implementation:

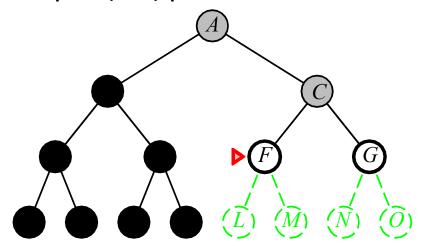






Expand deepest unexpanded node

Implementation:

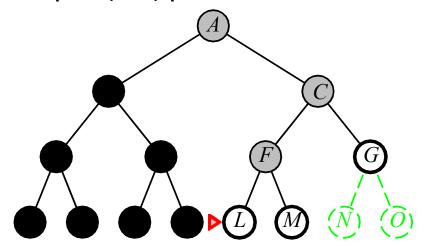






Expand deepest unexpanded node

Implementation:

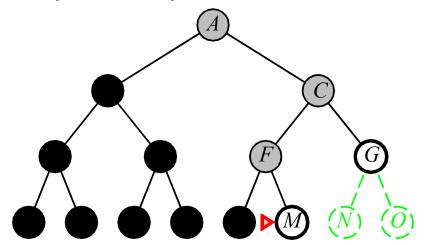






Expand deepest unexpanded node

Implementation:







Complete??





Complete?? No: fails in infinite-depth spaces, spaces with loops Modify to avoid repeated states along path ⇒ complete in finite spaces

Time??





Complete?? No: fails in infinite-depth spaces, spaces with loops Modify to avoid repeated states along path

⇒ complete in finite spaces

<u>Time??</u> $O(b^m)$: terrible if m is much larger than d but if solutions are dense, may be much faster than breadth-first

<u>Space</u>??





Complete?? No: fails in infinite-depth spaces, spaces with loops Modify to avoid repeated states along path ⇒ complete in finite spaces

<u>Time??</u> $O(b^m)$: terrible if m is much larger than d but if solutions are dense, may be much faster than breadth-first

Space?? O(bm), i.e., linear space!

Optimal??





Complete?? No: fails in infinite-depth spaces, spaces with loops Modify to avoid repeated states along path ⇒ complete in finite spaces

<u>Time??</u> $O(b^m)$: terrible if m is much larger than d but if solutions are dense, may be much faster than breadth-first

Space?? O(bm), i.e., linear space!

Optimal?? No





Depth-limited search

= depth-first search with depth limit l, i.e., nodes at depth l have no successors

Recursive implementation:

```
function Depth-Limited-Search(problem,limit) returns soln/fail/cutoff
Recursive-DLS(Make-Node(Initial-State[problem]),problem,limit)

function Recursive-DLS(node,problem,limit) returns soln/fail/cutoff
cutoff-occurred? ← false
if Goal-Test(problem,State[node]) then return node
else if Depth[node] = limit then return cutoff
else for each successor in Expand(node,problem) do
result ← Recursive-DLS(successor,problem,limit)
if result = cutoff then cutoff-occurred? ← true
else if result |= failure then return result
if cutoff-occurred? then return cutoff else return failure
```





```
function Iterative-Deepening-Search(problem) returns a solution
  inputs: problem, a problem
  for depth ← 0 to ∞ do
    result ← Depth-Limited-Search(problem, depth)
    if result |= cutoff then return result
  end
```





Limit = 0

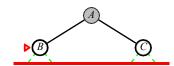


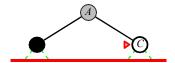


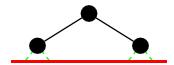






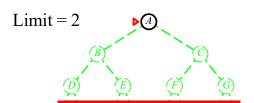


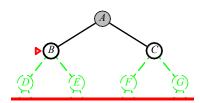


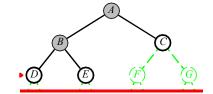


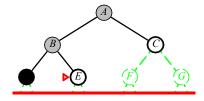


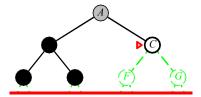


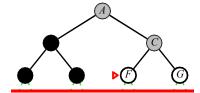


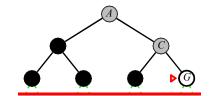


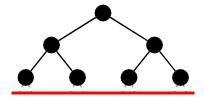




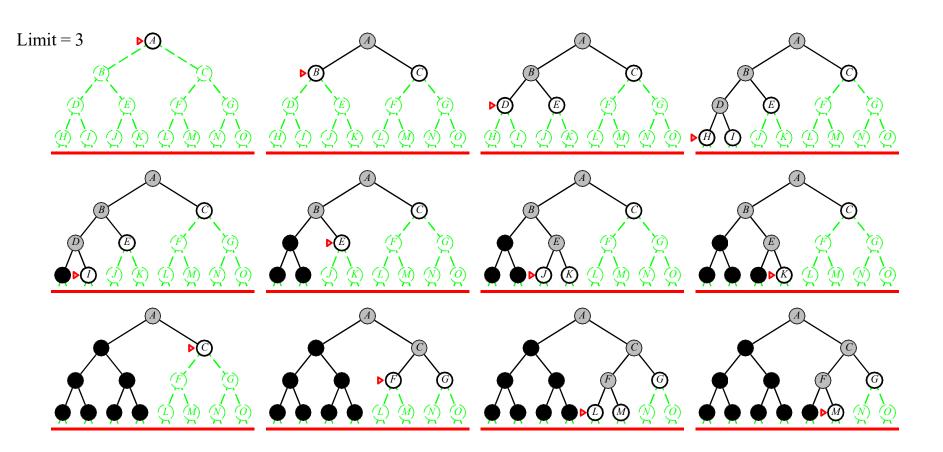












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Complete??





Complete?? Yes

Time??





Complete?? Yes

Time??
$$(d+1)b^0 + db^1 + (d-1)b^2 + ... + b^d = O(b^d)$$

Space??





Complete?? Yes

Time??
$$(d+1)b^0 + db^1 + (d-1)b^2 + ... + b^d = O(b^d)$$

Space?? O(bd)

Optimal??





Complete?? Yes

Time??
$$(d+1)b^0 + db^1 + (d-1)b^2 + ... + b^d = O(b^d)$$

Space?? O(bd)

Optimal?? Yes, if step cost = 1

Can be modified to explore uniform-cost tree

Numerical comparison for b = 10 and d = 5, solution at far right leaf:

$$N(IDS) = 50 + 400 + 3,000 + 20,000 + 100,000 = 123,450$$

 $N(BFS) = 10 + 100 + 1,000 + 10,000 + 100,000 + 999,990 = 1,111,100$

IDS does better because other nodes at depth \emph{d} are not expanded

BFS can be modified to apply goal test when a node is generated





Summary of Uninformed Search algorithms

Criterion	Breadth- First	Uniform- Cost	Depth- First	Depth- Limited	Iterative Deepening	Bidirectional (if applicable)
Complete? Optimal cost? Time Space	Yes ¹ Yes ³ $O(b^d)$ $O(b^d)$	$\operatorname{Yes}^{1,2}$ Yes $O(b^{1+\lfloor C^*/\epsilon \rfloor})$ $O(b^{1+\lfloor C^*/\epsilon \rfloor})$	No No $O(b^m)$ $O(bm)$	No No $O(b^\ell)$ $O(b\ell)$	Yes^1 Yes^3 $O(b^d)$ $O(bd)$	Yes ^{1,4} Yes ^{3,4} $O(b^{d/2})$ $O(b^{d/2})$

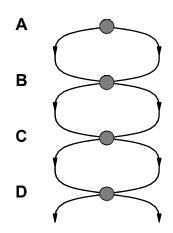
Figure 3.15 Evaluation of search algorithms. b is the branching factor; m is the maximum depth of the search tree; d is the depth of the shallowest solution, or is m when there is no solution; ℓ is the depth limit. Superscript caveats are as follows: 1 complete if b is finite, and the state space either has a solution or is finite. 2 complete if all action costs are $\geq \epsilon > 0$; 3 cost-optimal if action costs are all identical; 4 if both directions are breadth-first or uniform-cost.

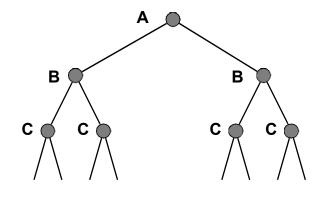




Repeated states

Failure to detect repeated states can turn a linear problem into an exponential one!









Graph search

```
function Graph-Search(problem, fringe) returns a solution, or failure

closed ← an empty set
fringe ← Insert (Make-Node(Initial-State[problem]), fringe)
loop do

if fringe is empty then return failure
node ← Remove-Front(fringe)
if Goal-Test(problem, State[node]) then return node
if State[node] is not in closed then
add State[node] to closed
fringe ← Insert All(Expand(node, problem), fringe)
end
```





Review: Tree search

```
function Tree-Search (problem, fringe) returns a solution, or failure fringe \leftarrow Insert (Make-Node (Initial-State [problem]), fringe) loop do

if fringe is empty then return failure node \leftarrow Remove-Front (fringe)

if Goal-Test [problem] applied to State (node) succeeds return node fringe \leftarrow InsertAll (Expand (node, problem), fringe)
```

A strategy is defined by picking the order of node expansion





Best-first search

Idea: use an evaluation function for each node

estimate of "desirability"

⇒ Expand most desirable unexpanded node

Implementation:

fringe is a queue sorted in decreasing order of desirability

Special cases:

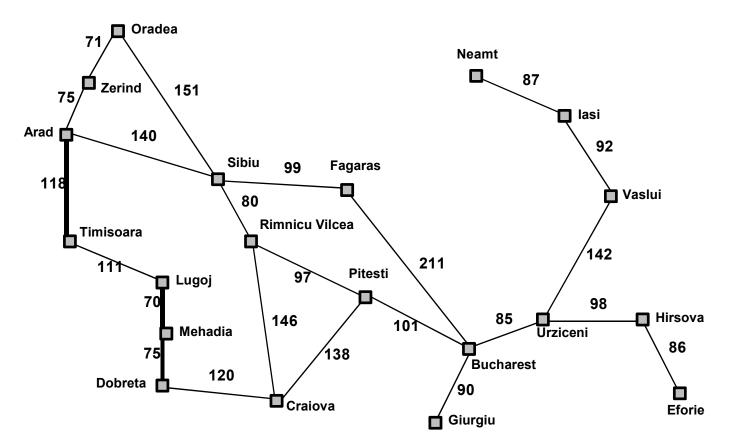
greedy search

A* search





Romania with step costs in km



Straight-line distan	ce
to Bucharest	
Arad	366
Bucharest	0
Craiova	160
Dobreta	242
Eforie	161
Fagaras	178
Giurgiu	77
Hirsova	151
Iasi	226
Lugoj	244
Mehadia	241
Neamt	234
Oradea	380
Pitesti	98
Rimnicu Vilcea	193
Sibiu	253
Timisoara	329
Urziceni	80
Vaslui	199
Zerind	374





Greedy search

Evaluation function h(n) (heuristic) = estimate of cost from n to the closest goal

E.g., $h_{SLD}(n) = \text{straight-line distance from } n \text{ to Bucharest}$

Greedy search expands the node that appears to be dosest to goal

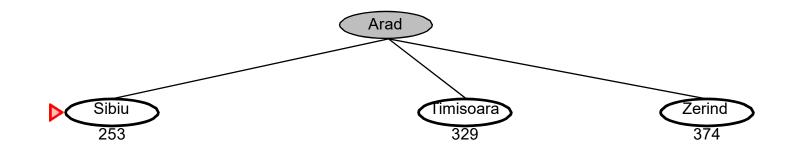






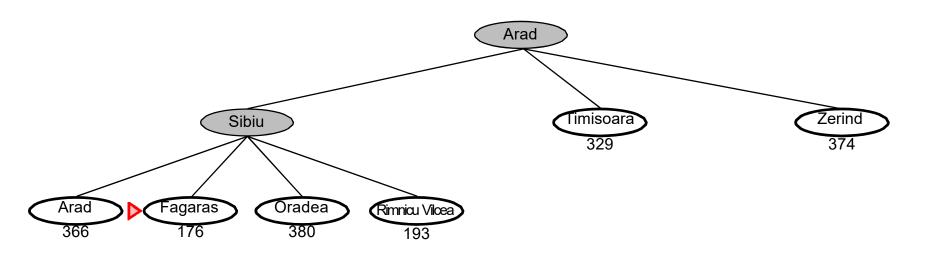






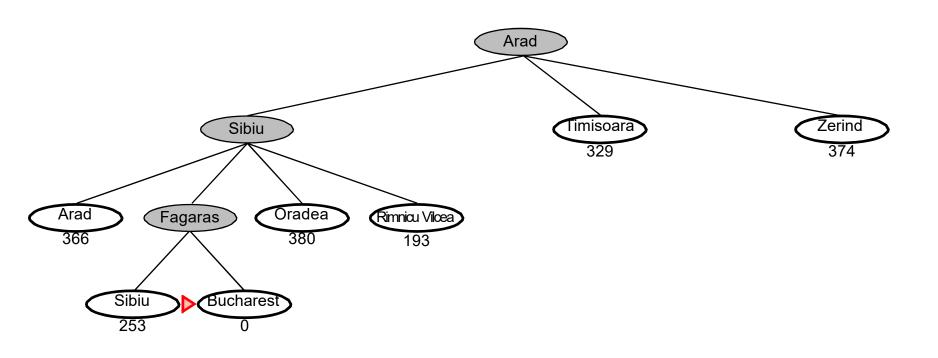
















Complete??





Complete?? No-can get stuck in loops, e.g., with Oradea as goal,
 Iasi → Neamt → Iasi → Neamt →
 Complete in finite space with repeated-state checking

Time??





Complete?? No-can get stuck in loops, e.g.,
Iasi → Neamt → Iasi → Neamt →

Complete in finite space with repeated-state checking

<u>Time</u>?? $O(b^m)$, but a good heuristic can give dramatic improvement

Space??





```
Complete?? No—can get stuck in loops,
    e.g., Iasi → Neamt → Iasi →
    Neamt →
Complete in finite space with repeated-state checking
Time?? O(b<sup>m</sup>), but a good heuristic can give dramatic
improvement Space?? O(b<sup>m</sup>)—keeps all nodes in memory
Optimal??
```





```
Complete?? No—can get stuck in loops,
    e.g., Iasi → Neamt → Iasi →
    Neamt →
```

Complete in finite space with repeated-state checking

<u>Time</u>?? $O(b^m)$, but a good heuristic can give dramatic

improvement Space?? $O(b^m)$ —keeps all nodes in memory

Optimal?? No





A^* search

Idea: avoid expanding paths that are already expensive

Evaluation function f(n) = g(n) + h(n)

 $g(n) = \cos t \sin t \cos r = \cosh n$

h(n) = estimated cost to goal from n

f(n) = estimated total cost of path through n to goal

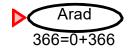
A* search uses an admissible heuristic i.e., $h(n) \le h^*(n)$ where $h^*(n)$ is the true cost from n. (Also require $h(n) \ge 0$, so h(G) = 0 for any goal G.)

E.g., $h_{SLD}(n)$ never overestimates the actual road

distance Theorem: A* search is optimal

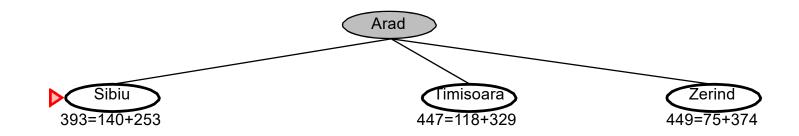






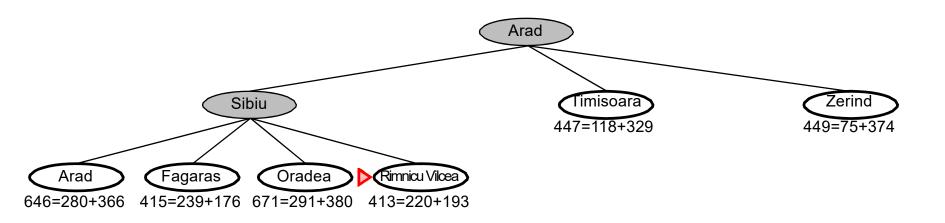






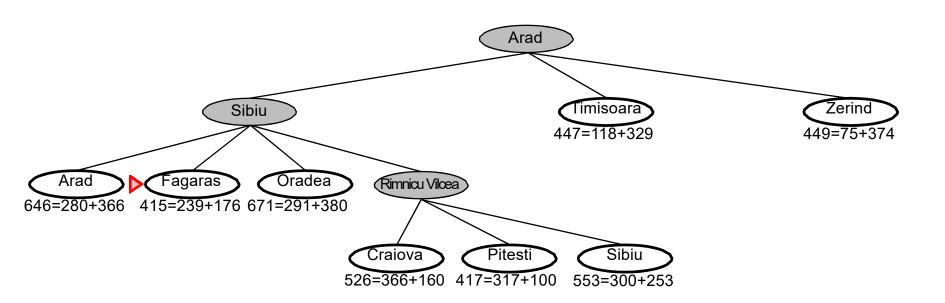






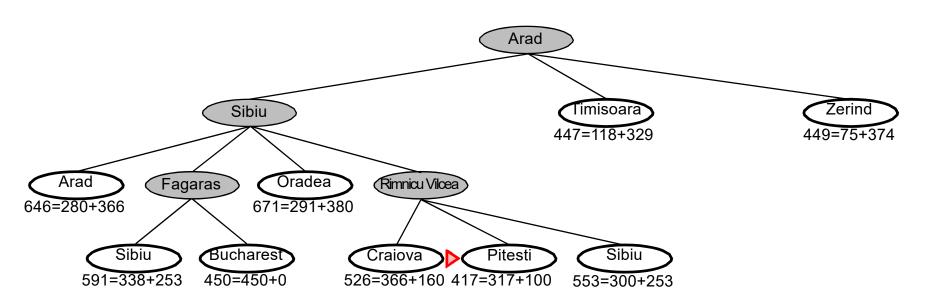






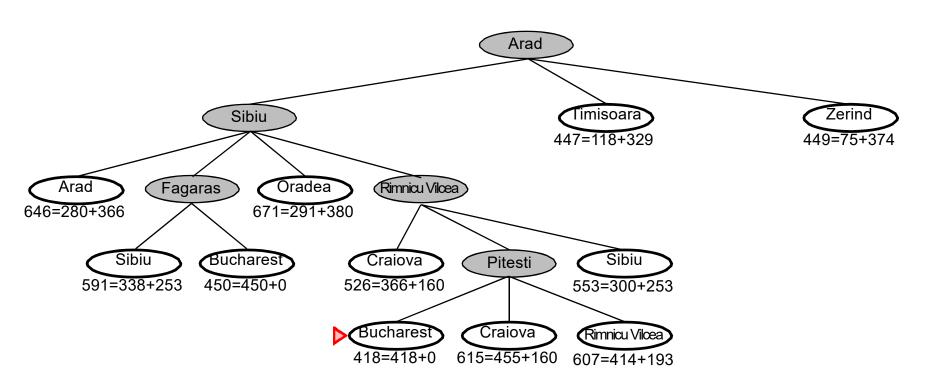












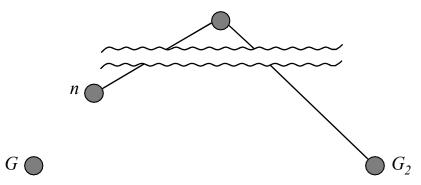




Optimality of A * (standard proof)

Suppose some suboptimal goal G_2 has been generated and is in the queue. Let n be an unexpanded node on a shortest path to an optimal goal G_1 .

Start



$$f(G_2) = g(G_2)$$
 since $h(G_2) = 0$
> $g(G_1)$ since G_2 is suboptimal
 $\geq f(n)$ since h is admissible

Since $f(G_2) > f(n)$, A* will never select G_2 for expansion

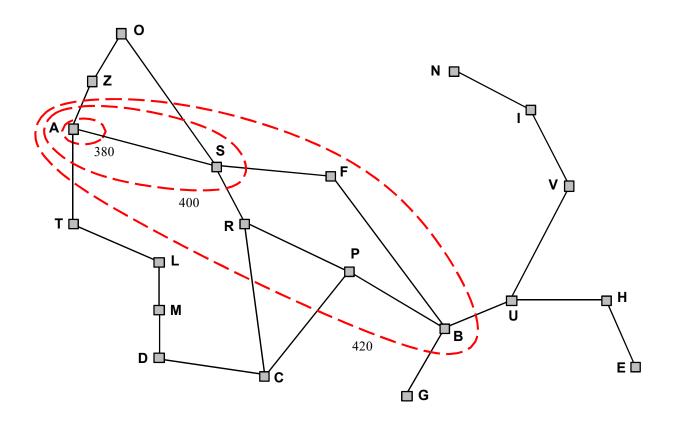




Optimality of A* (more useful)

Lemma: A^* expands nodes in order of increasing f value*

Gradually adds "f-contours" of nodes (cf. breadth-first adds layers) Contour i has all nodes with $f = f_i$, where $f_i < f_{i+1}$







Complete??





<u>Complete</u>?? Yes, unless there are infinitely many nodes with $f \leq f(G)$

Time??





<u>Complete</u>?? Yes, unless there are infinitely many nodes with $f \leq f(G)$

<u>Time</u>?? Exponential in [relative error in $h \times \text{length of soln.}$]

Space??





<u>Complete</u>?? Yes, unless there are infinitely many nodes with $f \leq f(G)$

<u>Time</u>?? Exponential in [relative error in $h \times length$ of soln.]

Space?? Keeps all nodes in memory

Optimal??





<u>Complete</u>?? Yes, unless there are infinitely many nodes with $f \leq f(G)$

<u>Time</u>?? Exponential in [relative error in $h \times length$ of soln.]

Space?? Keeps all nodes in memory

Optimal?? Yes—cannot expand f_{i+1} until f_i is finished

A* expands all nodes with $f(n) < C^*$

A*expands some nodes with $f(n) = C^*$

A*expands no nodes with $f(n) > C^*$





Proof of lemma: Consistency

A heuristic is consistent if

$$h(n) \le c(n, a, n) + h(n)$$

If h is consistent, we have

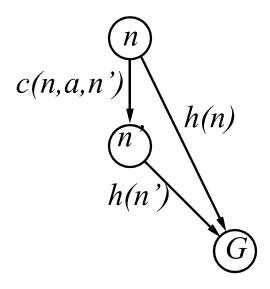
$$f(n) = g(n) + h(n)$$

$$= g(n) + c(n, a, n) + h(n)$$

$$\geq g(n) + h(n)$$

$$= f(n)$$

I.e., f(n) is nondecreasing along any path.







Admissible heuristics

E.g., for the 8-puzzle:

 $h_1(n)$ = number of misplaced tiles

 $h_2(n)$ = total Manhattan distance

(i.e., no. of squares from desired location of each tile)

7	2	4		1
5		6		4
8	3	1		7
			•	

Start State Goal State

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$$\frac{h_1(S)}{h_2(S)} = ??$$



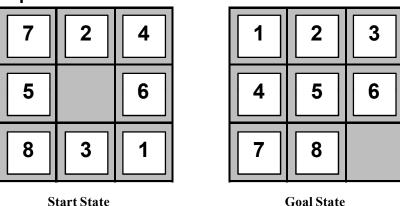
Admissible heuristics

E.g., for the 8-puzzle:

 $h_1(n)$ = number of misplaced tiles

 $h_2(n)$ = total Manhattan distance

(i.e., no. of squares from desired location of each tile)



$$\frac{h_1(S)}{h_2(S)} = ?? 6$$

 $\frac{h_2(S)}{h_2(S)} = ?? 4+0+3+3+1+0+2+1 = 14$





Dominance

If $h_2(n) \ge h_1(n)$ for all n (both admissible), then h_2 dominates h_1 and is better for search

Typical search costs:

$$d = 14$$
 IDS = 3,473,941 nodes
 $A^*(h_1) = 539$ nodes
 $A^*(h_2) = 113$ nodes
 $d = 24$ IDS $\approx 54,000,000,000$ nodes
 $A^*(h_1) = 39,135$ nodes
 $A^*(h_2) = 1,641$ nodes

Given any admissible heuristics h_a , h_b ,

$$h(n) = \max(h_a(n), h_b(n))$$

is also admissible and dominates h_a , h_b



Relaxed problems

Admissible heuristics can be derived from the exact solution cost of a relaxed version of the problem

If the rules of the 8-puzzle are relaxed so that a tile can move anywhere, then $h_1(n)$ gives the shortest solution

If the rules are relaxed so that a tile can move to any adjacent square, then $h_2(n)$ gives the shortest solution

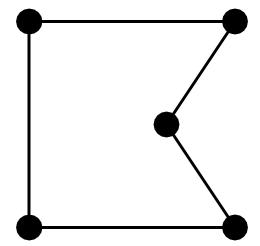
Key point: the optimal solution cost of a relaxed problem is no greater than the optimal solution cost of the real problem

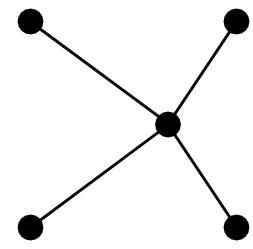




Relaxed problems contd.

Well-known example: travelling salesperson problem (TSP) Find the shortest tour visiting all cities exactly once





Minimum spanning tree can be computed in $O(n^2)$

and is a lower bound on the shortest (open) tour





Summary

A problem consists of five parts: the **initial state**, a set of **actions**, a **transition model** describing the results of those actions, a set of **goal states**, and an **action cost function**.

Uninformed search methods have access only to the **problem definition**. Algorithms build a search tree in an attempt to find a solution.

Informed search methods have access to a **heuristic** function h(n) that estimates the cost of a solution from n.

