

# DISCRETE STRUCTURES



- Theory assignments (30%)
  - Quiz (32%)
  - Mid-Sem (38%)
- } (H1)

## \* PROPOSITIONAL LOGIC:

### ◦ Proposition:

- A mathematical statement that is either True or False.

Ex:  $2+2=4$      $2+2=1$ , etc.

### ◦ Operations:

1] Negation: Let  $p$  be a proposition. Then the negation of  $p$  (denoted as  $\neg p$ ) is the complement of  $p$ .  
 "NOT  $P$ " → stays a proposition

Ex:	P	T	F	}	→ Truth Table
	$\neg P$	F	T		

2] Conjunction (AND): Let  $p, q$  be propositions. Then the conjunction of  $p \wedge q$  ( $p \wedge q$ ) is true only when both  $p \wedge q$  are true. → stays a propos?

Ex:	P	q	$p \wedge q$
	T	T	T
	T	F	F
	F	T	F
	F	F	F

3] Disjunction (OR):  $(p \vee q)$  is false only when both false.

4] Exclusive OR (XOR):  $(p \oplus q)$  is true only when one of  $p \oplus q$  is "exclusively" true.

promise or  
antecedent ← conclusion → exactly one of them is true

5] Implication:  $(p \rightarrow q)$  is false, when  $p$  is true &  $q$  is false.  
 $\rightarrow (\neg p \vee q)$  otherwise true.

Ex:	P	q	$p \rightarrow q$
	T	T	T
	T	F	F
	F	T	T
	F	F	T

} vacuously true statements

6] Bijmplication:  $(p \leftrightarrow q)$  is true, when  $p \wedge q$  have same truth value.  
 $\rightarrow (p \wedge q) \vee (\neg p \wedge \neg q)$

1] Tautology: (T)

- When a compound proposition is always true.

2] contradiction: (F)

- When a compound proposition is always false.

#	P	q	$p \rightarrow q$	$q \rightarrow p$	$\neg p \rightarrow \neg q$	$\neg q \rightarrow \neg p$
	T	T	T	T	T	T
	T	F	F	T	T	F
	F	T	T	F	F	T
	F	F	T	T	T	T

⇒ 1)  $p \rightarrow q$  = implication

2)  $q \rightarrow p$  = converse

3)  $\neg q \rightarrow \neg p$  = contrapositive

4)  $\neg p \rightarrow \neg q$  = inverse

Logically Equivalent



Logically Equivalent



3] Elementary Laws:

$$1] p \wedge T \equiv p, p \vee T \equiv T$$

$$4] (p \vee q) \vee r \equiv p \vee (q \vee r)$$

$$2] p \vee F \equiv p, p \wedge F \equiv F$$

$$5] p \vee (q \wedge r) \equiv (p \vee q) \wedge (p \vee r)$$

$$3] p \wedge q \equiv q \wedge p, p \vee q \equiv q \vee p$$

$$6] p \wedge (q \vee r) \equiv (p \wedge q) \vee (p \wedge r)$$

4] Interesting Identities:

$$1] p \vee (p \wedge q) \equiv p$$

2] De-Morgan's Laws:

$$\begin{cases} \neg(p \vee q) \equiv \neg p \wedge \neg q \\ \neg(p \wedge q) \equiv \neg p \vee \neg q \end{cases}$$

↳ Intuition necessary, less harsh approach

Q] Show that  $\neg(p \vee (\neg p \wedge q)) \equiv \neg p \wedge \neg q$ .

$$\rightarrow \neg(p \vee (\neg p \wedge q)) = \neg p \wedge \neg(\neg p \wedge q)$$

$$= \neg p \wedge (p \vee \neg q)$$

$$= (\neg p \wedge p) \vee (\neg p \wedge \neg q)$$

$$= F \vee (\neg p \wedge \neg q)$$

$$= \neg p \wedge \neg q$$

∴ QED

## \* Predicates:

- Propositions whose truth values depend on the values of the variable assigned to it.

## \* Quantifiers:

1] Universal -  $p(x)$  is true  $\forall x$  in a domain  $D$ .

2] Existential -  $p(x)$  is true for at least one value in domain  
 $\Rightarrow \exists x \in D$  s.t.  $p(x)$  is true

#	Statement	When True?	When False?
	$\forall x \in D, p(x)$	$\forall x$ s.t. $p(x)$ true	$\exists x$ s.t. $p(x)$ false
	$\exists x \in D, p(x)$	$\exists x$ s.t. $p(x)$ true	$\forall x$ s.t. $p(x)$ false
$\rightarrow$	$\neg (\forall x, p(x)) \equiv \exists x, \neg p(x)$		
	i.e., $\neg (\exists x, p(x)) \equiv \forall x, \neg p(x)$		

Q) Break Goldbach's conjecture into predicate, quantifier, domain.

$\rightarrow$  [Goldbach's Conjecture: For every even integer  $n > 2$ ,  $\exists$  primes cause not proven  $p \in \mathbb{P}, q \in \mathbb{P}$  s.t.  $n = p+q$ ]

Let Evens = even  $\mathbb{Z} > 2$  & Primes = prime no.s

$\rightarrow \forall n \in \text{Evens}, \exists p, q \in \text{Primes} : n = p+q$

$\rightarrow (\forall n, n \in \text{Evens}) \longrightarrow (\exists p, q ; p \in \text{Primes} \wedge q \in \text{Primes} \wedge n = p+q)$

Imp: cannot write as:

$\exists p, q \in \text{Primes} : \forall n \in \text{Evens}, n = p+q$

$\therefore \rightarrow$  pick any  $p, q$  first they will add up to all Evens

check if  $\forall p, q \in \text{Primes}, \exists n \in \text{Evens}$  s.t.  $n = p+q$  is logically equivalent to Goldbach's conjecture

$\rightarrow$  NO its not as this states for any two primes an even exists, obviously does not work for 2.

Q] Form mathematical statements.

1) The sum of 2 +ve integers is always +ve.

$$\Rightarrow \forall x, y \in \mathbb{N} : x+y > 0$$

$$\text{OR } \forall x, y \in \mathbb{Z}, x > 0 \wedge y > 0 \rightarrow x+y > 0$$

Q] Show that:  $\exists x \forall y, P(x, y) \rightarrow \forall y \exists x P(x, y)$  is a valid assertion whenever  $x$  &  $y$  share the same domain.

Proof: Let  $D$  be the domain for  $x \in y \in P_0$  be some predicate over  $D$

If  $\exists x \in D, \forall y \in D, P_0(x, y)$  is true then ② is true

①

→ From 'exists'; for some  $d \in D \forall y \in D, P_0(x, y)$

→  $P_0(d, d)$  true &  $d \in D$

→ For any  $d \in D \exists$  atleast one  $d_0, P(x, y)$

→ ② ∴ QED

\* Satisfiability problem (SAT):

- Satisfactory result when prop<sup>n</sup> true.

- True = 1, False = 0

$$\rightarrow P(x_1, x_2, \dots, x_n) = (x_1 \vee x_2 \vee \neg x_3) \wedge (x_4 \vee \neg x_5 \vee x_6) \wedge \dots \wedge (\neg x_{n-2} \wedge \neg x_{n-1} \wedge x_n)$$

= 3-SAT as 3 literals per clause (3 literals per clause)

→ 1ly,  $k$ -SAT

→  $C(n)$  solves/decides problem  $P$  in 'T' time if:

&  $x \in P, C(n)$  outputs YES/ACCEPT in 'T' steps

&  $x \notin P, C(n)$  outputs NO/REJECT in 'T' steps

→ For an input of  $n$ -bits bit string, a computational model [here  $C(n)$ ] is said to be efficient if  $T = \underline{\text{polynomial}}(n)$ .

Solve 3-SAT in time  $T = \underline{\text{poly}}(n)$ .

MILLION DOLLAR QUESTS:

Theorem: Important prop<sup>n</sup>

Lemma: Preliminary prop<sup>n</sup> useful for proving later "prop"

Corollary: Prop<sup>n</sup> that follows in only a few logical steps from a theorem/lemma.

\* Direct Proofs:

Prop?: If  $n$  is even, then  $n^2$  is even. If  $n$  is odd then  $n^2$  odd.

Proof: Let  $n = 2k \Rightarrow n^2 = 4k^2 \Rightarrow$  even

Let  $n = 2k+1 \Rightarrow n^2 = 4k^2 + 4k + 1 = 2m+1 \Rightarrow$  odd

\* Proof by Contraposition:

$$\rightarrow P \rightarrow Q \equiv \neg Q \rightarrow \neg P$$

Prop?: If  $\sqrt{r} \notin \mathbb{Q}$ , then  $\sqrt{r} \in \mathbb{Q}$

Proof: forming contrapositive: if  $\sqrt{r} \in \mathbb{Q}$  then  $r \in \mathbb{Q}$

Let  $\sqrt{r} \in \mathbb{Q} \Rightarrow \sqrt{r} = \frac{p}{q}$  where  $p, q \in \mathbb{N}$

$$\Rightarrow r = \frac{p^2}{q^2} = \frac{a}{b} \text{ where } a, b \in \mathbb{N} \Rightarrow r \in \mathbb{Q}$$

$\therefore$  If  $r \notin \mathbb{Q}$  then  $\sqrt{r} \notin \mathbb{Q}$

Prop?: If  $n^2$  is even, then  $n$  is even

Proof: If  $n$  is odd,  $n^2$  odd (proven above)

\* Proof by Equivalence:

$$\rightarrow P \leftrightarrow Q \equiv (P \rightarrow Q) \wedge (Q \rightarrow P)$$

Prop?: The std. dev<sup>n</sup> of a sequence of values  $x_1, x_2, \dots, x_n$  is zero iff all the values are equal to their mean.

Proof: Let  $\forall i \in [1, n] x_i = u \Rightarrow \sigma(x_1, x_2, \dots, x_n) = 0$

& let  $\sigma(x_1, x_2, \dots, x_n) = 0 \Rightarrow \sum (x_i - u)^2 = 0$

$\Rightarrow$  sum of  $^2 = 0 \Rightarrow$  all 0  $\Rightarrow \forall i x_i = u \therefore \text{QED}$

\* Proof by contradiction:

Steps: ① We use proof by contradiction

② "Suppose  $P$  is false"

③ " $\neg P \rightarrow F$ " holds

④ This is a # hence  $P$  is true.

Prop: Let  $x \in \mathbb{Z}, y \in \mathbb{Z}^+$ , then  $\frac{x+y}{2} \geq \sqrt{xy}$

Proof: We will use proof by contradiction

$$\text{say } \frac{x+y}{2} < \sqrt{xy} \Rightarrow \frac{(x+y)^2}{4} < xy$$

$$\Rightarrow x^2 + 2xy + y^2 < 4xy \Rightarrow (x-y)^2 < 0 \quad \# \quad \therefore \text{QED}$$

Prop:  $\sqrt{2}$  is irrational

Proof: Say  $\sqrt{2} \in \mathbb{Q} \rightarrow \exists p, q \in \mathbb{N}$  s.t.  $\sqrt{2} = \frac{p}{q}$  where  $\text{HCF}(p, q) = 1$

$$\Rightarrow 2 = \frac{p^2}{q^2} \rightarrow 2q^2 = p^2$$

$\rightarrow p^2$  is even  $\rightarrow p$  is even  $\Rightarrow p = 2k$

$$\rightarrow 2q^2 = 4k^2 \rightarrow q^2 \text{ even} \Rightarrow q \text{ even} \quad \# \quad \text{as HCF}(p, q) = 1 \quad \therefore \text{QED}$$

\* Proof by Induction:

o Inductive axiom by Peano:

- Given a set  $A$  of positive integers, suppose the following:

$$\rightarrow 1 \in A$$

$$\rightarrow \text{If } k \in A, \text{ then } k+1 \in A$$

Then,  $A = \mathbb{N}$

1] Show  $P(x)$  true for  $x=1$ . **Basic Step**

2] Whenever the  $\boxed{P(x)}$  true for  $x=k$ ,  $P(x)$  also true for  $x=k+1$

$\rightarrow$  Then  $P(x)$  holds  $\forall n \in \mathbb{N}$ .

\* Harmonic Numbers:

-  $H_j$  s.t.  $j \in \mathbb{N} \rightarrow H_j = 1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{j}$

Prop: Prove that  $H_{2n} \geq 1 + n/2$ ,  $\forall n \in \mathbb{N}_0$

Proof: Let  $P(x)$  be the prop<sup>n</sup> that  $H_{2x} \geq 1 + x/2$

$\therefore$  for  $n=0$ ,  $P(0)$  = True as  $H_{20} = 1 \geq 1 + 0/2$

Now, for  $n=k$ , let  $P(k)$  = True  $\Rightarrow H_{2k} = 1 + \frac{1}{2} + \dots + \frac{1}{2k} \geq 1 + k/2$

$n=k+1$ ,  $P(k+1) = 1 + \frac{1}{2} + \dots + \frac{1}{2k} + \frac{1}{2k+1} \geq 1 + (k+1)/2$

$$\Rightarrow H_{2k+1} = \frac{1}{2k+1} \geq \frac{1+k}{2} + \frac{1}{2k+1}$$

P.T.O.

$$H_{2^{k+1}} \Rightarrow H_{2^k} + \frac{1}{2^k+1} + \dots + \frac{1}{2^{k+1}} > 1 + \frac{k}{2} + \frac{1}{2^k+1} + \dots + \frac{1}{2^{k+1}}$$

→ as an expression lower than  $\geq 1 + k/2 + 2^k (1/2^k + 2^k)$   
current works (lowest thus taken)  $\geq 1 + k/2 + 2^k / 2 \cdot 2^k$

∴ QED

$$\geq 1 + k/2 + 1/2$$

### • Strong Induction:

- $P(1)$  is true
  - If  $P(k)$  true  $\forall 1 \leq k \leq n$ , then  $P(n+1)$  is true
  - 1.  $P(1)$  is true. Basic step
  - 2. If  $P(k)$  true  $\forall 1 \leq k \leq n$  then  $P(n+1)$  also true
- $P(n)$  true  $\forall n \in \mathbb{N}$ .

Inductive Hypothesis

Statement → Let  $P(n)$  be a prop over  $n$ . Let  $a \in \mathbb{N}$  & suppose:

i)  $p(a)$  is true

ii)  $\forall n \geq a$ , if  $p(k)$  true  $\forall a \leq k \leq n$ , then  $p(n+1)$  also true.

Then  $p(n)$  is true  $\forall n \geq a$ .

Proof: We suppose that  $p(a)$  is true. Define  $q(n) = \bigwedge_{k=a}^n p(k)$

→  $q(n)$  true, thus  $p(n)$  true, so it is sufficient to show that  $q(n)$  is true  $\forall n \geq a$ .

Thus:  $p(a)$  true  $\Rightarrow q(a)$  true

Now, assume for some  $n \geq a$ ,  $q(n)$  is true.  $\therefore q(n)$

This means  $p(k)$  is true  $\forall a \leq k \leq n$ . So by ②  $p(n+1)$  true.

→ So by "Weak Induction"  $p(n)$  is also true  $\forall n \geq a$ . ∴ QED

Prop? Given  $n \in \mathbb{N}_0$ , define  $a_n$  recursively as follows:

$$a_0 = 1, a_1 = 3, a_n = 2a_{n-1} - a_{n-2}, \forall n \geq 2$$

Prove that  $\forall n \geq 0, a_n = 2n+1$ .

Here weak induction doesn't hold as, for  $n+1$  is dependant on  $n \in \mathbb{N}-1$  & we have no assumptions about  $n-1$

Proof: Base cases:  $n=0, a_0 = 2(0)+1 = 1, a_1 = 2(1)+1 = 3$

Strong Inductive Hypothesis: Let for some  $n \geq 1$  we have  $a_k = 2k+1, \forall 0 \leq k \leq n$

$$\text{Now, } a_{n+1} = 2a_n - a_{n-1} + n+1 \geq 2$$

$$\Rightarrow a_{n+1} = 2(2n+1) - 2(n-1)+1 = 2(n+1)+1 \therefore \underline{\text{QED}}$$

## \* Elementary Set Theory:

- A set is an unordered collection of elements.

### ◦ Equality of sets:

$$-(S=T) \leftrightarrow (\forall x, x \in S \leftrightarrow x \in T)$$

### ◦ Subsets:

$$-(S \subseteq T) \leftrightarrow (\forall x, x \in S \rightarrow x \in T)$$

$$-(S \subset T) \leftrightarrow (\forall x, x \in S \rightarrow x \in T) \wedge (\exists x, x \in T \wedge x \notin S)$$

⊕ TPT for all sets  $S$ ,  $\emptyset \subseteq S$ .

$$\rightarrow (\emptyset \subseteq S) \leftrightarrow (\forall x, x \in \emptyset \rightarrow x \in S)$$

F  $\Rightarrow$  entire statement becomes vacuously true

$$- P(S) = \{x \mid x \subseteq S\}$$

⊕  $\emptyset = \{\}$ ,  $P(\emptyset) = \{\emptyset\}$ ,  $P(\{\emptyset\}) = \{\emptyset, \{\emptyset\}\}$ , ...

### ◦ Cartesian Product:

$$-(S \times T) = \{(s, t) \mid (s \in S \wedge t \in T)\}$$

$$\rightarrow S_1 \times S_2 \times \dots \times S_n = \{ \underbrace{(s_1, s_2, \dots, s_n)}_{n\text{-tuple}} \mid s_i \in S_i, 1 \leq i \leq n \}$$

⊕ Let  $S$  be the set of all sets that are not members of themselves, i.e.  $S = \{x \mid x \notin x\}$

⊕ Q) Does  $S \in S$ ? (Paradox)  $\rightarrow S \in S \leftrightarrow S \notin S$  ⊕

$\rightarrow$  ZFC axioms redefine set theory to avoid these paradoxes.

## \* Elementary Functions:

- For a  $f: S \rightarrow T$ , every  $s \in S$   $\exists$  exactly one  $t \in T$ .  
domain  $\hookrightarrow$  codomain

- Range:  $\{y \mid y = f(x)\} \rightarrow \text{Range} \subseteq \text{codomain}$

### ◦ Injective function (one-one):

-  $f: S \rightarrow T$  is injective if for every  $t \in \text{Range}(f)$ ,  $\exists$  unique  $s \in S$ , s.t.  $f(s) = t$ , i.e.  $f(x) = f(y) \rightarrow x = y \wedge x \neq y \Rightarrow f(x) \neq f(y)$

### ◦ Surjective function (onto):

-  $\forall y \in T, \exists x \in S$  s.t.  $y = f(x)$ , i.e.  $\text{Range}(f) = \text{codomain}(f)$

### ◦ Bijection:

-  $f: S \rightarrow T$  is bijective  $\leftrightarrow$  surjective  $\wedge$  injective

### \*] Schröder-Bernstein Theorem:

- For any pair of sets  $S$  and  $T$  if:

**cardinality** 1)  $|S| \leq |T|$  ( $\exists$  an injection from  $S \rightarrow T$ )

2)  $|S| \geq |T|$  ( $\exists$  a surjection from  $S \rightarrow T$ )

3)  $|S| = |T|$  ( $\exists$  a bijection from  $S \rightarrow T$ )

(works for both finite & infinite sets)

### \*] Countable Sets:

- A set  $S$  is countable iff:

(i)  $S$  is finite OR (ii)  $S$  has same cardinality as  $\mathbb{N}$

→ For a countable set we can list its elements in some order.

Propn: Let  $A$  be a set and  $b \notin A$ . Then  $A$  is infinite  $\leftrightarrow A \text{ bij } A \cup \{b\}$

Proof:  $P \leftrightarrow Q = (P \rightarrow Q) \wedge (Q \rightarrow P)$

$\neg P \rightarrow \neg Q$  i.e.  $A$  is finite  $\Rightarrow \neg (A \text{ bij } A \cup \{b\})$

as cardinality would ↑

$Q \rightarrow P \checkmark$

Proving  $P \rightarrow Q$ : Suppose  $A$  is infinite

Then there is a sequence of elements in  $A$ :  $a_0, a_1, a_2, \dots$

which are distinct.

Let  $f(a_0) = b \in f(a_{n+1}) = a_n + n \in \mathbb{N}_0$

$\in f(a) = a + a \in A - \{a_0, a_1, a_2, \dots\}$

$\therefore A \text{ bij } A \cup \{b\} \therefore P \rightarrow Q \checkmark \therefore \underline{\text{QED}}$

∴ Adding an element to an infinite set does not ↑ its cardinality

→ If  $A, B$  are countable then so is  $A \cup B$ .

\* ensure ordering of bijection s.t.  $\mathbb{N}$  does not exhaust before

Q] Is  $\bigcup_{i=1}^{\infty} A_i$  countably infinite?

→  $A_1 = a_1 \quad a_2 \quad a_3 \quad \dots$

$A_2 = b_1 \quad b_2 \quad b_3 \quad \dots$

$A_3 = c_1 \quad c_2 \quad c_3 \quad \dots$

⋮

⇒ bijection from  $\mathbb{N} \rightarrow \bigcup_{i=1}^{\infty} A_i$  exists. countably infinite.

o] Cross products of countable sets:

- Suppose  $A, B$  countable sets. Is  $C = A \times B$  countable?

$$\rightarrow A = \{a_0, a_1, a_2, \dots\} \quad \& \quad B = \{b_0, b_1, b_2, \dots\}$$

$$\therefore C = \{(a_i, b_j) \mid a_i \in A \wedge b_j \in B\}$$

$(a_0, b_0)$	$(a_0, b_1)$	$(a_0, b_2)$	$(a_0, b_3)$	$\dots$
$(a_1, b_0)$	$(a_1, b_1)$	$(a_1, b_2)$	$(a_1, b_3)$	$\dots$
$(a_2, b_0)$	$(a_2, b_1)$	$(a_2, b_2)$	$(a_2, b_3)$	$\dots$
$\vdots$	$\vdots$	$\vdots$	$\vdots$	

$$\Rightarrow f(1) = (a_0, b_0), f(2) = (a_0, b_1), f(3) = (a_1, b_0)$$

ensures every ordered pair has a  $\in \mathbb{N}$  ensuring a bijection from  $\mathbb{N} \rightarrow A \times B$ . Thus,  $C$  is countably infinite.

o] Any subset  $S$  of  $\mathbb{N}$  is countable:

Proof: By the Well Ordering Principle  $\exists$  a least element in  $S$ .

let that element be  $a_0$ . Then the set  $S \setminus \{a_0\}$  is either  $\emptyset$  ( $\Rightarrow$  countable) or the set  $\{S \setminus \{a_0\}\}$  has least element  $a_1$ , then  $S \setminus \{a_0, a_1\}$  is either  $\emptyset$  ( $\Rightarrow$  countable) or  $\{S \setminus \{a_0, a_1\}\}$  has least element  $a_2$ , ... on repeating as evident it either stops somewhere  $\Rightarrow$  finite and countable or  $S = \{a_0, a_1, \dots\} \Rightarrow$  countably infinite (ordering exists)

$$\rightarrow \exists \text{ bijection: } \mathbb{N} \rightarrow S.$$

o] Any subset of a countable set is countable:

Proof: Suppose  $S$  is a countable set (countably infinite). Clearly the prop<sup>n</sup> true if  $S = \mathbb{N}$ . For any such  $S$ , by definition,  $\exists f: S \rightarrow \mathbb{N}$ , s.t.  $f$  is a bijection.

Suppose  $T \subseteq S$ . Consider the set  $\mathcal{Z} = \{f(t) \mid t \in T\}$

Clearly,  $f: T \rightarrow \mathcal{Z}$  is a bijection.

But  $\mathcal{Z} \subseteq \mathbb{N}$ . So bijection:  $\mathcal{Z} \rightarrow \mathbb{N}$

$$\therefore (T \text{ bij } \mathcal{Z}) \wedge (\mathcal{Z} \text{ bij. } \mathbb{N}) \implies (T \text{ bij. } \mathbb{N})$$

$\therefore \underline{\text{QED}}$

→ A set is countable if it has bij. with  $\mathbb{N}$  & is computably enumerable if  $\exists$  an algorithm that enumerates its members.  
All such sets together are known as recursively enumerable sets.

•]  $\mathbb{Z}$  is countable:

$$\rightarrow \mathbb{Z} = \{ \dots, -1, 0, 1, \dots \} \rightarrow f(1) = 0, f(2k) = k, f(2k+1) = -k \quad \therefore \text{in bij. } \mathbb{Z} \Rightarrow \text{countable}$$

•]  $\mathbb{Q}^+$  is countable:

$$\rightarrow \mathbb{Q}^+ = \{ (a,b) \mid a \in \mathbb{N}, b \in \mathbb{N} \} \underset{= a/b}{\text{(eliminating 0 for simplicity)}} \text{ Now, cross product of } a \times b \text{ gives set of } a/b \text{ with duplicate elements (ex: } \frac{1}{2}, \frac{2}{4}, \frac{4}{8}, \text{ etc.) which is a countable set.}$$

$$\therefore \mathbb{Q}^+ \subseteq a \times b \quad \therefore \mathbb{Q}^+ \text{ is countable}$$

\*] Uncountable Sets:

$$\Rightarrow (\text{S is infinite}) \wedge (\text{S is not } \mathbb{N})$$

- Any set is uncountable if it is not countable.

•] The set of all infinite sequences of binary digits is uncountable:

Proof:  $d_i^{(j)} \in \{0,1\}$ ,  $f(1) = s_1 = d_1^{(1)} \oplus d_2^{(1)} \oplus d_3^{(1)} + \dots$   
 $f(2) = s_2 = d_1^{(2)} \oplus d_2^{(2)} \oplus d_3^{(2)} + \dots$   
 $\vdots \rightarrow$  let it be countable (bij.)

Now using Cantor's diagonalisation we prove that a sequence  $(s^*)$  exists s.t. the bij. fails.

$\Rightarrow$  flip the  $n^{\text{th}}$  digit of the  $n^{\text{th}}$  sequence:

$$\therefore s^* = \neg d_1^{(1)} \neg d_2^{(2)} \neg d_3^{(3)} \dots \neg d_n^{(n)}$$

$\rightarrow \exists n \in \mathbb{N}$  s.t.  $f(n) = s^*$   $\therefore \#$  to earlier claimed bij.

$\therefore \underline{\text{QED}}$

•] The set  $\mathbb{R}$  is uncountable:

Proof: TPT:  $|\mathbb{N}| < |\mathbb{R}|$ ,  $f(x) = x \rightarrow \mathbb{N} \text{ inf. } \mathbb{R}$ ,  $\therefore |\mathbb{N}| < |\mathbb{R}|$

$\therefore \underline{\text{QED}}$

•]  $|\mathbb{[0,1]}| = |\mathbb{R}|$ :

Proof: we use  $\tan x$ , converting the domain of  $\tan x$  from  $[-\frac{\pi}{2}, \frac{\pi}{2}]$  to  $[0,1]$ :  $\tan x \rightarrow \tan(\pi(x-\frac{1}{2}))$

$$\therefore \text{Consider } \tan(\pi(x-\frac{1}{2})) \Rightarrow [0,1] \text{ bij. } \mathbb{R}$$

$\therefore \underline{\text{QED}}$

•]  $|\mathbb{C}| = |\mathbb{R}|$ :

Proof:  $\mathbb{C} = \text{ordered pair of } \mathbb{R} \quad \therefore \underline{\text{QED}}$

→ cannot construct smaller sets than  $\mathbb{N}$ .

\* Can you construct larger infinities?

◦ Cantor's Theorem:

- For any set  $S$ ,  $|S| < |P(S)|$ .

Proof: We prove: i)  $\exists$  injection  $f: S \rightarrow P(S)$

ii)  $\nexists$  any surjection  $f: S \rightarrow P(S)$

Proving (i):  $f: S \rightarrow P(S)$  s.t.  $f(x) = \{x\}$  is an injection.

Proving (ii): For any  $f: S \rightarrow P(S)$ , we will provide a set  $T \subseteq S$  (i.e.  $T \in P(S)$ ) s.t.  $T$  has no pre-image in  $S$ .

- $\forall x \in S$ ,  $f(x) \subseteq S$

- Either  $x \in f(x)$  or  $x \notin f(x)$

Define  $T = \{x \in S \mid x \notin f(x)\} \subseteq S$  ( $\in P(S)$ )

→ We will show  $T$  has no pre-image in  $S$  via #:

∴ Suppose  $\exists x$ , s.t.  $f(x) = T$ :

i)  $x \notin f(x)$ : We know  $x \in T$ , But  $f(x) = T$  so  $x \in f(x)$   $\oplus$

ii)  $x \in f(x)$ : We know  $x \in T$ , But  $f(x) = T$  so  $x \notin f(x)$   $\oplus$

∴  $T$  has no pre-image in  $S \Rightarrow |S| < |P(S)|$  ∴ QED

◦ Recipe to construct larger infinities:

→  $|S| < |P(S)| < |P(P(S))| < \dots$

◦ Continuum hypothesis:

- There is no set  $X$  s.t.  $|\mathbb{N}| < |X| < |\mathbb{R}|$

( $P \in \mathbb{R} \rightarrow P$  both can't be proven)

\* Methods of counting:

◦ Product Rule:

- $n_i$  ways to do  $t_i$

$$\Rightarrow [\#_{vt} = \prod_{i=1}^k n_i]$$

$$\equiv \left[ \prod_{i=1}^k |A_i| = \prod_{i=1}^k |A_i| \right]$$

cross  
product

◦ Sum Rule:

- $n_i$  ways to do  $t_i$

$$\Rightarrow [\#_{vt} = \sum_{i=1}^k n_i]$$

$$\equiv \left[ \bigcup_{i=1}^k A_i = \sum_{i=1}^k |A_i| \right]$$

•]  $k$ -permutations with repetitions:

- each element now has  $n$  choices  $\therefore n^k$

•]  $k$ -combinations with repetitions:

- Multisets = sets with repetition

-  $k$ -combination with repetition:

a] order does not matter

b] Repetitions are allowed

$| \text{Multiset} | \Rightarrow \text{count repeated elements.}$

④ From set  $S, |S| = n$ , Multisets with cardinality  $k$  can be built s.t.  $k$  can be  $> n$  & needn't be  $\leq n$ .

claim: The no. of  $k$  combinations with repetition from a set  $S$  of  $n$  elements is the total no. of multisets of cardinality  $k$  that can be made from  $S$ .

Q] How many multisets of cardinality 5 can be  $\{a,b,c,d\}$ ?

→ Multiset Separated by bars \* 1 encoding

$[a,a,b,c,d]$  aa|b|c|d \*\*\*|\*\*\*|\*

$[a,a,a,a,a]$  aaaaa| ||| \*\*\*\*\*| | |

↳ 3 bars as set has 3 partitions

∴ Total no. of multisets possible = no. of placing 3 bars in  $\star$  1 config. of 8 elements

$$\Rightarrow {}^8C_3$$

K-combination with repetitions:  $\frac{{K+1-1}}{{n-1}} C_{n-1}^{K-1}$  → K cardinality  
n-1 bars

JEE:  $x_1 + x_2 + x_3 + x_4 = 20 \equiv 20$  cardinality multiset from 4 card. set

$$= {}^{20+4-1}C_{4-1} = {}^{23}C_3$$

\*] Inclusion - Exclusion Principle:

-  $|\bigcup_{i=1}^r A_i| = \sum_{i=1}^r |A_i| \rightarrow$  If mutually disjoint

$$= \sum_{i=1}^r |A_i| - (|A_1 \cap A_2| + \dots + |A_n \cap A_1|) + (|A_1 \cap A_2 \cap A_3| + \dots) \\ - (\dots)$$

Theorem:

$$\rightarrow \sum_{i=1}^k |A_i| = \sum_{\emptyset \neq K \subseteq \{1, 2, \dots, n\}} (-1)^{|K|+1} \cdot |\bigcap_{j \in K} A_j|$$

→ Meaning of  $\emptyset \neq K \subseteq \{1, 2, \dots, n\}$ : ① Exclude  $\emptyset$

- ② Form  ${}^n C _k$  cardinality subsets one by one i.e.  $k=1 \Rightarrow \{1\}, \{2\}, \{3\}, \dots$   
 ③ Ily, repeat for each cardinality.

Proof: Suppose  $s$  is present in  $K$  of these sets,  $s \in A_i; 1 \leq i \leq k$

$\#_s$

$$\begin{aligned} &\sum_{i=1}^k |A_i| \rightarrow s \text{ counted } k \text{ times} \\ &\rightarrow (-) \sum_{1 \leq i < j \leq k} |A_i \cap A_j| \rightarrow s \text{ subtracted } {}^k C _2 \text{ times} \\ &\rightarrow (+) \sum_{1 \leq m < n < r \leq k} |A_m \cap A_n \cap A_r| \rightarrow s \text{ added } {}^k C _3 \text{ times} \end{aligned}$$

$$\Rightarrow \#_s = {}^k C _1 - {}^k C _2 + {}^k C _3 - \dots$$

$$\text{Now, } {}^k C _0 - (1-x)^n = {}^k C _1 \cdot x - {}^k C _2 \cdot x^2 + {}^k C _3 \cdot x^3 - \dots$$

$$x=1 \rightarrow 1 = {}^k C _1 - {}^k C _2 + {}^k C _3 - {}^k C _4 + \dots$$

$\Rightarrow \#_s = 1 \therefore s \text{ counted only once} \rightarrow \text{Holds defn. of union}$   
 $\therefore \underline{\text{QED}}$

### Applications:

→ Let  $X = \{\pi : [n] \rightarrow [n]\}$ ,  $|X| = n!$ ,  $[n] = \{1, 2, \dots, n\}$   
 total permutations

Now find:  $S = \{\pi : [n] \rightarrow [n] \mid \pi(i) \neq i \forall i \in [n]\}$ ,  $|S| = ?$   
 Derangement

$\Rightarrow |X| = n!$ , Define  $A_i$  where it is a set of all permutations such that pos<sup>n</sup> of  $i$  is fixed.  $\Rightarrow (n-1)!$  permutations

$\Rightarrow \bigcup_{i=1}^n A_i = \text{union of all sets where } i \text{ fixed}$

$$\Rightarrow |S| = - \sum_{i=1}^n |A_i|$$

$$= |S| = n! - \left| \bigcup_{i=1}^n A_i \right|, \left| \bigcup_{i=1}^n A_i \right| = \sum_{\emptyset \neq K \subseteq \{1, 2, \dots, n\}} (-1)^{|K|+1} \cdot \left| \bigcap_{j \in K} A_j \right|$$

$$\Rightarrow |S| = n! - \sum_{k=1}^n (-1)^{k+1} \cdot \frac{n!}{k!} = \sum_{k=1}^n (-1)^{k+1} \cdot {}^n C _k \cdot (n-k)!$$

$$\begin{aligned} &= n! - \left( \frac{n!}{1!} - \frac{n!}{2!} + \frac{n!}{3!} - \dots + (-1)^{n!} \cdot \frac{n!}{n!} \right) = \sum_{k=1}^n (-1)^{k+1} \cdot \frac{n!}{k!} \\ &= n! \left[ 1 - \frac{1}{1!} + \frac{1}{2!} - \frac{1}{3!} + \dots - \frac{1}{n!} \right] \end{aligned}$$

$$\therefore D_n = n! \left[ 1 - \frac{1}{1!} + \frac{1}{2!} - \dots + (-1)^n \frac{1}{n!} \right]$$

$$\rightarrow \text{Probability } (D(n)) = \sum_{k=0}^n (-1)^k \cdot \frac{1}{k!} \quad \begin{array}{l} \text{OP 1 - 1 check} \\ e \end{array}$$

$\therefore$  If you take a set large enough, 30% chance of derangement

### \*] Pigeon-hole Principle:

- If we place  $\geq n$  pigeons into  $k$  holes then at least one hole contains  $\lceil n/k \rceil$  or more pigeons. ( $\lceil \cdot \rceil = \blacksquare$ )

Ex: During 30 days a student solves at least one problem every day from a list of 45 days. Show that there is a period of several consecutive days during which they solve exactly 14 Qs?

→ Let  $a_i$  be the number of problems solved during the first  $i$  days

$$0 < a_1 < a_2 < a_3 < \dots < a_{30} \leq 45 \Rightarrow 14 < a_1 + 14 < \dots < a_{30} + 14 \leq 59$$

30 int mutually distinct

30 int mutually distinct

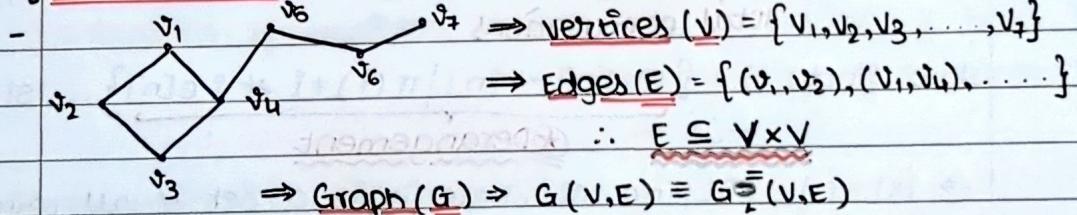
$\therefore$  List of 60 int all  $\leq 59$   $\therefore$  by PHP  $\exists i, j$  s.t.  $a_j = a_i + 14$

$\therefore$  day  $i+1 \rightarrow$  day  $j$

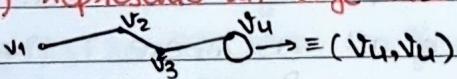
$$= 14 \text{ Qs.} \quad \therefore \underline{\text{QED}}$$

as  $i, j$  mutually  
distinct amongst  
themselves

### \*] GRAPH THEORY:

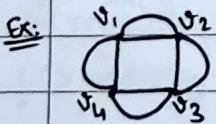


#  $(v_i, v_i)$  represents an edge that is a self loop



### o] Multigraphs:

- Graphs with more than 2 edges b/w vertices



$\rightarrow$  such graphs have "weighted edges"

thus, for such cases, edges redefined to not only  
b/w 2 vertices but also having a mapping with IR

### • Simple Graphs:

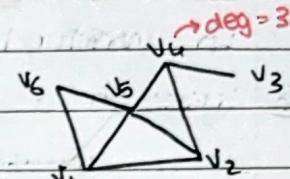
- A graph in which each edge connects 2 different vertices & where no 2 edges connect the same pair of vertices & where there are no self loops are called simple graphs.
- Vertices  $v \in V$  are said to be adjacent if  $\exists (v, v) \in E$ .  
(neighbours)

### ↳ Degree of a vertex:

→  $\deg(v) \rightarrow \text{No}$

→ No. of vertices adjacent to  $v$ .

→ Neighbours of  $v$  ( $\frac{\text{No. of edges}}{\text{incident to } v}$ )



### Handshaking Lemma:

Lemma: For  $G(V, E)$ ,  $\sum_{v \in V} \deg(v) = 2|E|$

↳ sum of degrees of all vertices

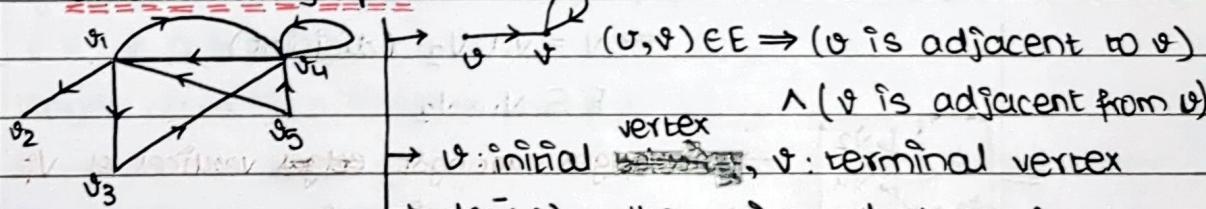
Corollary: An undirected graph has an even no. of vertices of odd degree

Proof:  $\sum_{v \in V} \deg(v) = \sum_{v: \deg(v) \text{ Even}} \deg(v) + \sum_{v: \deg(v) \text{ Odd}} \deg(v)$

Even      Even      Even      ... QED

→ Valid for multigraphs as well, although  $\deg(v)$  for multigraph is no. of edges incident at  $v$ , self loop <sup>thus</sup> contributes two to degree

### → For directed graphs:



$\deg(v) = \# \text{ incoming edges to } v$

$\deg^+(v) = \# \text{ outgoing edges from } v$

→ Handshaking Lemma for D.G.:  $\sum_{v \in V} \deg(v) = \sum_{v \in V} \deg^+(v) = |E|$

# If degree( $d$ ) of all vertices of a graph are equal then that graph is called a ' $d$ -regular' graph.

Ex:



= 2-regular graph

↳ some Examples:

### 1) Complete graph ( $K_n$ ):

- All vertices connected to each other.

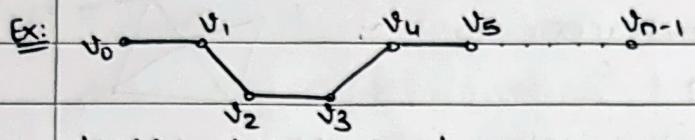
Ex:  $\bullet = K_1$ ,  $\longrightarrow = K_2$ ,  $\Delta = K_3$ ,  $\square = K_4$ ,  =  $K_5$ , etc.

- $(n-1)$ -regular graph

-  $\binom{n}{2}$  edges

### 2) Line graph ( $L_n$ ):

- $L_n = (V, E)$ ,  $|V| = n$

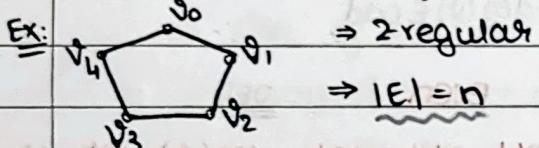


- $\deg(v_0), \deg(v_{n-1}) = 1$

- $\deg(\text{other } v) = 2$ ,  $\rightarrow |E| = n-1$

### 3) Cycle graph ( $C_n$ ):

- Join  $v_0 \in V_{n-1}$  of a line graph

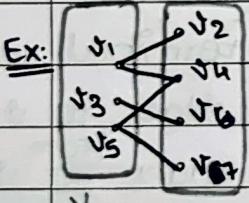


### 4) Bipartite graph ( $B_{m,n}$ ):

- $G(V, E)$ ,  $|V| = m+n$ ,  $G(V, E) = B_{m,n}$

$$\Rightarrow V = V_1 \cup V_2 \quad (\text{disjoint})$$

$$E \subseteq V_1 \times V_2$$



$\rightarrow$  no edges amongst ~~edges~~ vertices of  $V_i$

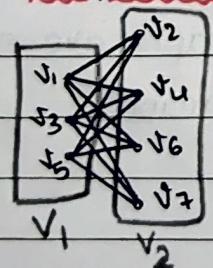
$\rightarrow$  no common vertices in  $V_i \cap V_j$

$\rightarrow$  complete Bipartite graphs are those where each

element in  $V_1$  mapped to all in  $V_2$ .

### complete Bipartite graphs ( $K_{m,n}$ ):

Ex:



$$- G(V, E) = K_{m,n}$$

$$\Rightarrow V = V_1 \cup V_2 \quad (\text{disjoint}), |V_1| = m, |V_2| = n$$

$$\therefore |E| = m \times n = V_1 \times V_2$$

$\rightarrow$  for  $V_1$ ,  $\deg(v) = n$  for  $V_2$ ,  $\deg(v) = m$

### • Subgraph of a graph:

- Subgraph of  $G(V, E)$  is  $H(W, F)$  s.t.  $W \subseteq V, F \subseteq E$ .

Ex:



$= G(V, E)$  then



$= H(W, F)$

( $V = \{1, 2, 3, 4, 5\}$ ,  $E = \{(1, 2), (2, 3), (3, 4), (4, 5), (5, 1)\}$ )

( $W = \{1, 2, 3\}$ ,  $F = \{(1, 2), (2, 3)\}$ )

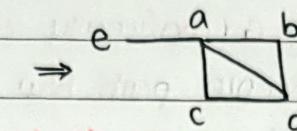
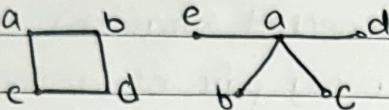
$\Rightarrow$  Subgraph of simple graph

### • Union of two graphs:

need not be simple

- $G(V_1, E_1) \cup G(V_2, E_2) \rightarrow G(V_1 \cup V_2, E_1 \cup E_2)$

Ex:

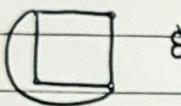


$\rightarrow$  Ill., intersection, cross product etc.

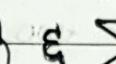
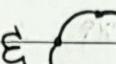
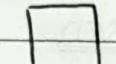
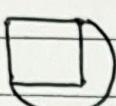
### • Graph Isomorphism:

- If  $G_1(V_1, E_1)$  &  $G_2(V_2, E_2)$  are two graphs, then  $G_1$  is isomorphic to  $G_2$  ( $G_1 \cong G_2$ ) iff  $\exists$  a bijection  $f: V_1 \rightarrow V_2$ , s.t. for every pair of vertices  $u, v \in V$ , if  $(u, v) \in E_1$ , then  $(f(u), f(v)) \in E_2$ .

Ex:



$\in$



, etc

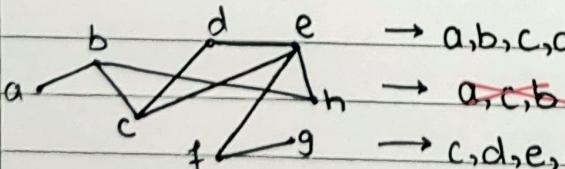
### • Path:

- Let  $K \in \mathbb{N}_0$  &  $G$  be an undirected graph. Then a path in  $G(V, E)$  of length  $K$  is a sequence of vertices & edges s.t.  $(v_i, v_{i+1}) \in E$  &  $0 \leq i \leq K-1$ .
- length of path = #edges traversed

$\Rightarrow$  A path of length  $> 0$  that starts & ends at the same vertex is a circuit/cycle.

$\Rightarrow$  Any path is called simple if it doesn't contain the same edge more than once.

Ex:



$\rightarrow a, b, c, d, e, f, g$

(simple path of length 6)

$\rightarrow a, c, b$

$\rightarrow c, d, e, c$

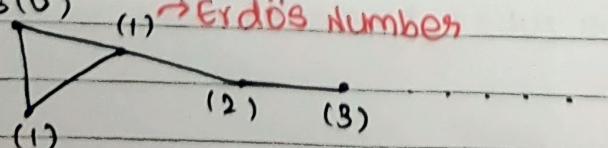
(simple circuit of length 3)

#

### • Collaboration graph

Erdős (0)

$\xrightarrow{(1)}$  Erdős Number



(Shortest R to Erdős)

### •] Connected Graph:

- An undirected graph is connected if there is a path b/w every pair of distinct vertices in the graph.

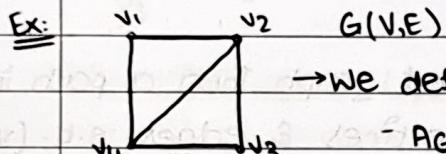
#### ↳ Connected Component:

→ A connected component of  $G$  is a connected subgraph of  $G$  that is not a proper subgraph of another connected subgraph of  $G$  (maximally connected subgraph).

Lemma: There is a simple path b/w every pair of distinct vertices in a connected graph.

Proof: Let  $u, x_1, x_2, \dots, v$  is the path of least length b/w  $u \in E, v$ . We claim this is a simple path, so let it not be a simple path  $\Rightarrow$  vertices:  $x_0, x_1, \textcircled{x}_2, \dots, x_i, \textcircled{x}_{i+1}, \dots, x_n$   $\textcircled{\#}$  as a shorter path can be formed by deleting edges b/w the two  $x_i$ : QED

### •] Representing a Graph using Matrices:



→ We define an adjacency matrix ( $AG$ ):

$- AG \in \{0, 1\}$   $\rightarrow$  Vertices  $\times$  Vertices

$$- a_{ij} = \begin{cases} 1 & \text{if } (v_i, v_j) \in E \\ 0 & \text{otherwise} \end{cases}$$

$$\Rightarrow AG = \begin{pmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 \\ 1 & 1 & 1 & 0 \end{pmatrix} \quad \begin{array}{l} \rightarrow \text{Sum of each row gives degree of } v_i \text{ for simple directed graphs} \\ \rightarrow \text{For undirected graphs: } AG = AG^T \text{ i.e. always symmetric} \end{array}$$

as the edge  $v_i - v_j \equiv$  edge  $v_j - v_i$

Claim: For any graph  $G$ , let  $AG$  be the adjacency matrix. Then

(Basic step)  $\#k$ -paths from  $v_i$  to  $v_j$  equals to the  $(i, j)^{\text{th}}$  entry of  $AG^K$ .

Inductive hypothesis: need not be simple

Proof: Basic step: holds for  $K=1$

Inductive hypothesis: Let  $b_{i,j}$  be the  $(i, j)^{\text{th}}$  entry of  $AG^K$ . Then

$b_{i,j}$  is the  $\#k$ -paths b/w  $v_i \in E$  &  $v_j$ .

Let  $(AG)^{K+1}_{i,j} = C_{i,j}^K$ , Now,  $AG^{K+1} = AG^K \cdot AG$

$\rightarrow C_{i,j}^K = \sum_{r=1}^{K+1} b_{i,r} * a_{r,j} \rightarrow$  (Basically  $b_{i,r}$  gives  $k$ -length path to  $v_r \in E$ )

$\hookrightarrow$  TPT: this gives  $\#_{K+1}$ -paths

$a_{r,j}$  gives 1-length path from  $v_r \rightarrow v_j$  : QED

### •] Euler & hamilton circuits:

- Can we travel along the edges of a graph starting at a vertex & returning to it by:

(Q1): traversing each edge of the graph exactly once.

(Q2): traversing each <sup>vertex</sup> of the graph exactly once.

→ (Q1): Euler Circuit: Simple circuit containing every edge of G.

Euler Path: simple path

(Q2): Hamilton Circuit: Simple circuit that passes through every <sup>vertex</sup> of G exactly once.

Hamilton Path: simple path

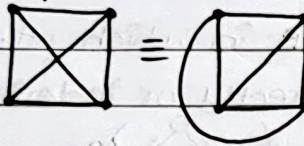
Lemma: A connected graph has an Eulerian circuit  $\leftrightarrow$  every  $\deg(v)$  = even

Lemma: G has an Eulerian path (but not Eulerian circuit)  $\leftrightarrow$  exactly two vertices have odd degree (first & last vertex)

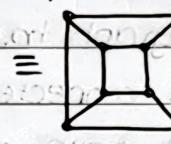
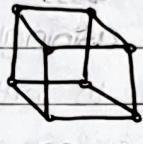
### •] Planar Graphs:

- Graphs that can be drawn on a plane so no 2 edges cross.

Ex:



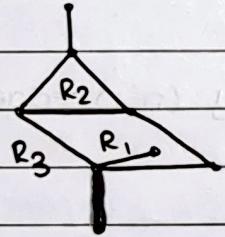
=



Lemma: Let G be a connected simple planar graph with e edges E,

v vertices. Then, the no. of regions  $[r = e - v + 2]$

Ex:



$$\rightarrow \deg(R_2) = 3, \deg(R_1) = 6$$

↳ least circuit covering all edges

$$\rightarrow [\sum \deg(R_i)] = 2|E|$$

Corollary 1: If G is a connected planar simple graph, with e edges & v vertices where: i)  $v \geq 3$ , then  $e \leq 3v - 6$

ii)  $v \geq 3 \wedge$  no circuits of length 3, then  $e \leq 2v - 4$

If conditions not satisfied then not planar.

MID SEMESTER UNTIL HERE

Second half Taken by Professor Kannan Srinathan

## \* Trees:

Definition: Trees are graphs that are (a) connected (b) Acyclic

Ex: Family Tree, Factor Tree, Recursion Tree

Theorem: A simple graph is a tree iff  $\exists$  a unique path b/w any 2 nodes

Proof: if part:  $\exists$  unique path b/w any two nodes  $\Rightarrow G$  is connected

if  $\bullet$   $G$  has a cycle  $\Rightarrow$  two paths b/w adjacent vertices(nodes)

$\oplus$  Contradiction, as unique path  $\Rightarrow G$  is acyclic

$\therefore G$  is a tree

Only if part: Given a tree  $T$

$\rightarrow T$  is connected & acyclic

$\rightarrow \exists$  a path b/w any two nodes  $\downarrow \Rightarrow \exists$  at most one path b/w any two nodes  
 $\therefore \exists$  a unique path b/w any two nodes.

$\therefore \underline{\text{QED}}$

## \* Rooted Trees:

- When the graph has a single node in which other nodes are rooted or connected to either directly or indirectly, i.e. they don't have a parent node. (Diagram)

- Ily, a node with no child nodes = Leaf Node

## \* M-ary Tree:

- Nodes have  $\leq m$  children.

Theorem: Every tree  $T$  on  $n$  nodes has exactly  $(n-1)$  edges.

Proof: We use Induction:

Base Case:  $n=1 \Rightarrow 0 \Rightarrow |E|=0 \checkmark$

Inductive Hypothesis:  $n=k \Rightarrow |E|=k-1 \checkmark$

Induction Step: Consider a tree on  $k+1$  nodes, it must have a leaf node.

Now, delete that leaf node  $\Rightarrow$  new tree generated with  $k$  nodes

$\Rightarrow$  from hypothesis, it has  $k-1$  edges

Now, add the leaf node back, i.e. add an edge

$\Rightarrow k+1$  nodes  $\rightarrow k$  edges.

$\therefore \underline{\text{QED}}$

$\rightarrow$  If forest of  $n$  nodes, to make it one tree, exactly  $(n-1)$  edges required

Theorem: Any m-ary tree of height 'h' has  $\leq m^h$  leaves.

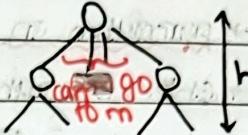
Proof: can be done by induction on h. i.e. longest path from original parent to a leaf

base case:  $h=0$ ,  $m^0 = 1 \rightarrow$  Root ✓

Inductive Hypothesis: Suppose any m-ary tree of height = h-1 has  $\leq m^{h-1}$  leaves.

Induction Step: For tree of height = h

$$m^h = m \cdot m^{h-1} \leftarrow h-1$$



∴ QED

### • Traversal Algorithms:

#### 1] Pre-Order Traversal

① Root

② Recursive on left

sub tree

③ Recursive on right

sub tree

#### 2] In-Order Traversal

① Recursive on left

sub tree

② Root

③ Recursive on right

sub tree

#### 3] Post-Order Traversal

① Recursive on left

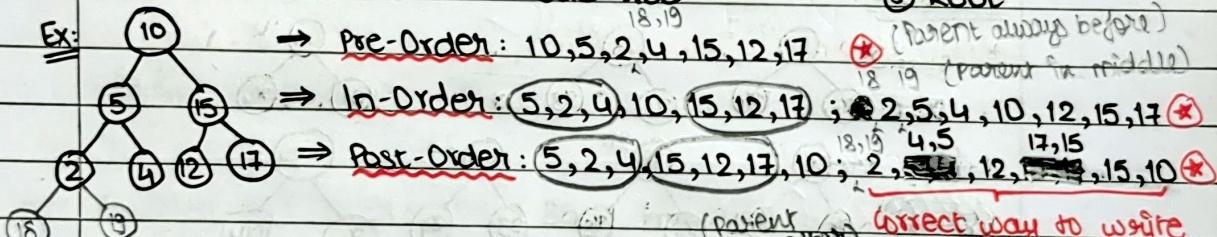
sub tree

② Recursive on right

sub tree

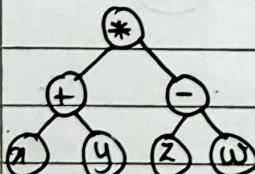
③ Root

Ex:



→ applying in-order traversal to BST (Binary search tree) we get values in increasing order

Ex:  $(x+y) * (z-w)$



→ Pre-Order (Prefix): \* + xy - zw

→ In-Order (Infix):  $(x+y) * (z-w)$

→ Post-Order (Postfix): xy + zw - \*

### • Applications:

#### 1] Höffmann Trees / Codes:

##### ↳ Prefix-Free Codes:

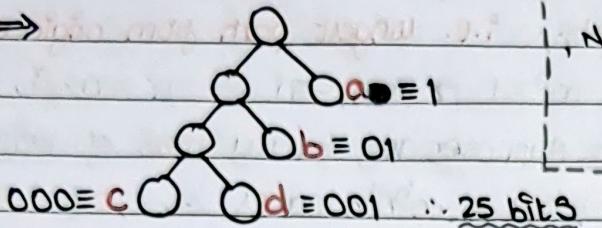
- NO code is a prefix of another code

⇒ All leaves

→ Thus, for: abaabaaaacadabcb

we draw smallest binary tree with exactly 14 leaves, suited to required string

⇒



, Naïve coding:

$$a = 00, b = 01, c = 10, d = 11$$

→ 30 bits

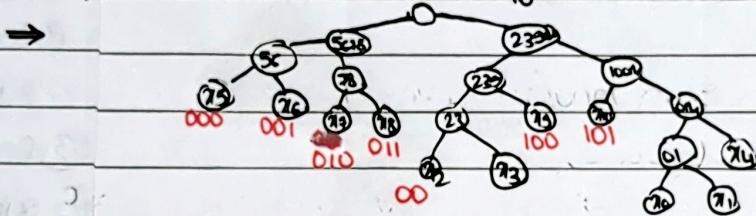
↳ Algorithm to pick best tree:

→ Check weights / frequency

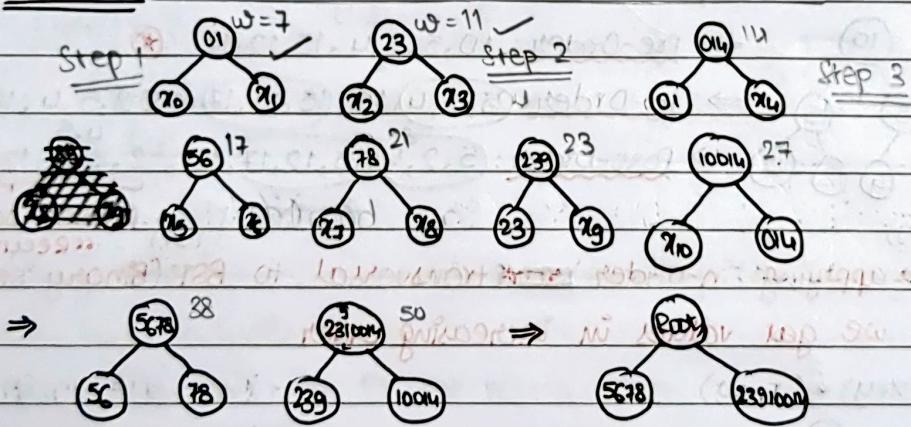
→ Lowest weight at bottom

→ Recurse

Ex:  $x_0(w_0=3), x_1(w_1=4), \dots, x_{10}(w_{10}, n=13)$



### Procedure



### Encoding:

## \* Modular Arithmetic:

Q) What is least? s.t.  $ax + by = ?$

→ least? = HCF(a,b)

Theorem: G.C.D. (a,b) = G.C.D. (b,  $a \pmod{b}$ ) where  $a \geq b$ .

Proof:  $c \mid a \wedge c \mid b$  ( $c$  divides  $a \wedge b$ )

$\Rightarrow c \mid a \pmod{b} \because (a \pmod{b}) \equiv a - kb$

∴ largest common factor same ∴ QED

## ★ • Euclid's Algorithm: $O(\log(\min(a,b)))$

-  $\text{GCD}(a,b)$

if ( $b == 0$ )

return  $a$ ;

else

return  $\text{GCD}(b, a \% b)$ ;

Theorem:  $a \geq b$ ,  $a \pmod{b} \leq a/2$

Proof: Case:  $b \geq a/2 \Rightarrow a \% b = a - b \leq a - a/2 \leq a/2 \therefore \text{QED}$

Case:  $b < a/2 \Rightarrow a \% b < b < a/2 \therefore \text{QED}$

∴ In Euclid's algo, every 2 steps we're atleast halving

→ for  $\text{GCD}(a,b)$ :  $2 \log_2 a$  steps

Ex:  $91x + 56y = 7$

→  $\text{GCD}(91, 56) \quad 91 = 1 \cdot 56 + 35$

$$35 = [1, -1]$$

$\text{GCD}(56, 35) \quad 56 = 1 \cdot 35 + 21$

$$21 = 56 - 35 = [0, 1] - [1, -1]$$

$\text{GCD}(35, 21) \quad 35 = 1 \cdot 21 + 14$

$$14 = 35 - 21 = [1, -1] - [1, 2] = [-1, 2]$$

$\text{GCD}(21, 14) \quad 21 = 1 \cdot 14 + 7$

$$7 = 21 - 14 = [2, -3]$$

$\text{GCD}(14, 7) \quad 14 = 2 \cdot 7 + 0$

$$0 = 14 - 2 \cdot 7 = [2, -3] - [-6, 10]$$

$\text{GCD}(7, 0)$

$$= [8, -13]$$

Ex:  $187x + 88y = 11$

→  $\text{GCD}(187, 88) \quad 187 = 2 \cdot 88 + 11$

$$11 = [1, -2]$$

$\text{GCD}(88, 11) \quad 88 = 11 \cdot 8 + 0$

$\text{GCD}(11, 0)$

→ This is called extended euclid's algorithm

P.T.O.

1] Extended Euclid's Algorithm:

-  $ax + by = \gcd(a, b)$   $\quad [x \ y] \begin{bmatrix} a \\ b \end{bmatrix}$

-  $\gcd(a, b) = a = q_1 b + r_1$

$\gcd(b, r_1) = b = q_2 r_1 + r_2$

$\gcd(r_1, r_2) = r_1 = q_3 r_2 + r_3$

$\vdots$

$r_1 = [x_1 \ y_1] \begin{bmatrix} a \\ b \end{bmatrix}$

$r_2 = [x_2 \ y_2] \begin{bmatrix} a \\ b \end{bmatrix}$

$r_3 = [x_3 \ y_3] \begin{bmatrix} a \\ b \end{bmatrix}$

$\vdots$

\* Chinese Remainder Theorem:

-  $x \equiv a_1 \pmod{n_1}$

$x \equiv a_2 \pmod{n_2} \rightarrow n_i$  are all mutually co-prime

$\vdots$

$x \equiv a_K \pmod{n_K}$

Ex:  $x < 210$

$x \% 2 = 0$

$x \% 3 = 1$

$x \% 5 = 2$

$x \% 7 = 3$

$x = 52$

- Thus,

$$x \equiv (\text{?}) \pmod{\prod_{i=1}^K n_i} \equiv \sum_{j=1}^K a_j \left[ \frac{\prod_{i=1}^K n_i}{n_j} \cdot x_j \right] \pmod{\prod_{i=1}^K n_i}$$

$\left\{ \left( \frac{\prod_{i=1}^K n_i}{n_j} \right)^{-1} \pmod{n_j} \right\}$

NOTE: If  $ab \equiv 1 \pmod{n} \Rightarrow b = a^{-1}$  & vice versa

Solve:  $x \equiv 9 \pmod{17}$  &  $x \equiv 7 \pmod{19}$

$$\rightarrow x \equiv 7 \pmod{17} \quad x \equiv \{7(17 \cdot [17^{-1} \pmod{19}]) + 9(19 \cdot [19^{-1} \pmod{17}])\} \pmod{17 \times 19}$$

$$\equiv \{7 \cdot 17 \cdot 9 + 9 \cdot 19 \cdot 9\} \pmod{323}$$

$x \equiv 26$

Solve:  $x \equiv 2 \pmod{11}$ ,  $x \equiv 3 \pmod{13}$  &  $x \equiv 1 \pmod{7}$

$$\rightarrow x \equiv [2[91 \cdot (91^{-1} \pmod{11})] + 3 \cdot [77 \cdot (77^{-1} \pmod{13})] + 1 \cdot [143 \cdot (143^{-1} \pmod{7})]] \pmod{1001}$$

$$91 \equiv 3 \pmod{11} \Rightarrow 91^{-1} \equiv 3^{-1} \pmod{11}$$

$$\Rightarrow 4 \pmod{11}$$

$$77 \equiv 12 \pmod{13} \Rightarrow 77^{-1} \equiv 12^{-1} \pmod{13}$$

$$\Rightarrow 12$$

$$143 \equiv 3 \pmod{7} \Rightarrow 143^{-1} \pmod{7} \equiv 3^{-1} \pmod{7}$$

$$\Rightarrow 5$$

$$x \equiv [2 \cdot 91 \cdot 4 + 3 \cdot 12 \cdot 77 + 143 \cdot 5 \cdot 1] \pmod{1001}$$

$$\therefore \underline{x \equiv 211 \pmod{1001}}$$

### \*1 Birthday Paradox:

- Q) How many people are needed so that there's a clash of birthdays with probability  $\geq 0.5$ ?

$$\rightarrow 23.$$

Theorem: To pick  $q$  from  $N$  objects one at a time with replacement what probability of collision? picking an object again.

$$\frac{q(q-1)}{4N} \leq P[\text{collision}] \leq \frac{q(q-1)}{2N}$$

$$\begin{aligned} \underline{\text{Proof:}} \quad P[\text{collision}_q] &= 1 - P[\text{No collision}] \\ &= P\left[\frac{\text{No collision}}{q \text{ trials}}\right] / P[\text{No collision}] - P[\text{No collision}] \\ P[\text{No collision}] &= \left(1 - \frac{1}{N}\right) \cdot P[\text{No collision}]_{q-1} \\ &= \left(1 - \frac{1}{N}\right) \left\{1 - \frac{1}{N} \cdot 2 \cdot P[\text{No collision}]_{q-2}\right\} \\ &= \left(\prod_{i=1}^{q-1} \left(1 - \frac{1}{N}\right)\right) \therefore P[\text{collision}] = 1 - \left(\prod_{i=1}^{q-1} \left(1 - \frac{1}{N}\right)\right) \end{aligned}$$

$$\underline{\text{Ex:}} \quad 0 < x < 1$$

$$1-x < e^{-x} < 1 - \frac{x}{2}, \prod_{i=0}^{q-1} \left(1 - \frac{i}{N}\right) < \prod_{i=0}^{q-1} e^{-i/N}$$

$$= e^{-1/N} \sum_{i=0}^{q-1} i = e^{-(q-1)q/2N} < \left(1 - \frac{(q-1)q}{4N}\right)$$

P.T.O.

$$\sum_{i=0}^{q-1} \left(1 - \frac{i}{N}\right) < \left(1 - \frac{(q-1)q}{4N}\right)$$

$$\text{Hence, } 1 - \sum_{i=0}^{q-1} \left(1 - \frac{i}{N}\right) \geq \left(\frac{(q-1)q}{4N}\right)$$

$\therefore \underline{\text{QED}}$

### \* Recurrence Relations:

- $a_0, a_1, a_2, \dots, a_n, \dots$
- Given by:  $a_n = f(n, a_{n-1}, \dots, a_{n-i})$   $\xrightarrow{i^{\text{th}} \text{ order}}$

E. Boundary cond'n's (like  $a_0 = A$ ,  $a_1 = B$ , etc.)

# Order	Linearity	Homogeneity	Constant Coefficients
First	Linear	Homogeneous	constant
Second	Non-linear	Non-homogeneous	Non-constant

### Examples: First order

Linear

$$a_n = a_{n-1}$$

$$a_n = n a_{n-1} + \textcircled{n}$$

Homogeneous

$\Rightarrow$  Geometric

$\rightarrow$  Non-constant

Constant coefficients

Progressions

Coefficients

Ex: Solve:  $a_n = a_{n-1} + f(n)$

(Telescopic)

$$a_{n-1} - a_{n-2} = f(n-1) \Rightarrow a_n = a_0 + \sum_{i=1}^n f(i)$$

$$a_1 - a_0 = f(1)$$

Ex: Solve:  $a_n = 3(a_{n-1})$

$$\Rightarrow a_n = 3[3a_{n-2}] \quad (\text{Expansion})$$

$$= 3^2 a_{n-2} \quad \therefore a_n = a_0 \cdot 3^n$$

Ex: Solve:  $a_n = 3a_{n-1} + n$

$$= 3[3a_{n-2} + (n-1)] + n$$

$$= 3^2 a_{n-2} + 3^1(n-1) + 3^0(n)$$

$$\Rightarrow a_n = 3^i a_{n-i} + \sum_{j=0}^{i-1} 3^j (n-j)$$

$$\rightarrow @ i=n \Rightarrow 3^n a_0 + \sum_{j=0}^n 3^j (n-j)$$

Solve:  $a_n^2 = 3a_{n-1}^2 + n$  (Substitution)

Let  $b_n = a_n^2$

$$\rightarrow b_n = 3b_{n-1} + n \Rightarrow b_n = 3^n b_0 + \sum_{i=0}^{n-1} 3^i (n-i)$$

$$\Rightarrow a_n^2 = 3^n a_0^2 + \sum_{i=0}^{n-1} 3^i (n-i) \Rightarrow a_n = \sqrt{3^n a_0^2 + \sum_{i=0}^{n-1} 3^i (n-i)}$$

Solve:  $a_n = n \cdot a_{n-1} + 1$

$$a_n = n(a_{n-1} + 1) + 1$$

$$= n(n-1)a_{n-2} + n + 1$$

$$\therefore a_n = \underbrace{n! a_0}_{2} + \cancel{n(n-1)} n + n(n-1) + n(n-1)(n-2) + \dots + 1$$

$$\Rightarrow a_n = \underbrace{\sum_{i=0}^{n-1} {}^n P_i a_{n-i}}_{n!} + \sum_{j=0}^{n-1} {}^n P_j$$

### • Second Order:

Ex:  $a_n = a_{n-1} + a_{n-2}$ ,  $a_0 = 0$ ,  $a_1 = 1$

→ Suppose:  $a_n = r^n$  & solve for  $r \Rightarrow r^n = r^{n-1} + r^{n-2}$

$$\Rightarrow r^2 = r + 1 \therefore r = \left( \frac{1 \pm \sqrt{5}}{2} \right)$$

$$\Rightarrow a_n = A \left( \frac{1+\sqrt{5}}{2} \right)^n + B \left( \frac{1-\sqrt{5}}{2} \right)^n$$

$$a_0 = 0 = A+B$$

$$a_1 = 1 = A \left( \frac{1+\sqrt{5}}{2} \right) - A \left( \frac{1-\sqrt{5}}{2} \right) = \frac{A}{2} (1+\sqrt{5}-1+\sqrt{5}) \Rightarrow 1 = A \times 2\sqrt{5}$$

$$\therefore a_n = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n$$

$$\therefore A = \frac{1}{\sqrt{5}}, B = -\frac{1}{\sqrt{5}}$$

Solve:  $a_n = 2a_{n-1} - a_{n-2}$

i.e. generalisation  $a_n = \lambda a_{n-1} + \mu a_{n-2}$

$$\text{Let } a_n = r^n \Rightarrow r^2 = \lambda r + \mu \therefore r = \lambda \pm \sqrt{4\mu + \lambda^2}$$

$$\therefore a_n = A \left( \frac{\lambda + \sqrt{4\mu + \lambda^2}}{2} \right)^n + B \left( \frac{\lambda - \sqrt{4\mu + \lambda^2}}{2} \right)^n$$

$$\Rightarrow \begin{cases} \text{if } 4\mu + \lambda^2 > 0 \\ \text{if } 4\mu + \lambda^2 = 0 \end{cases} \quad \begin{aligned} a_n &= K \left( \frac{\lambda}{2} \right)^n \\ a_n &= (A + Bn) \left( \frac{\lambda}{2} \right)^n \end{aligned}$$

E.g. DNE if  $4\mu + \lambda^2 < 0$ .

as we must have 2 unknowns

$$\rightarrow a_n = 2a_{n-1} - a_{n-2}, a_0 = 0, a_1 = 1$$

↳ rigorous way of writing sequence of whole numbers

Solve:  $a_n = a_{n-1} - a_{n-2}, a_0 = 0, a_1 = 1$

$$\Rightarrow a_n = \gamma^n \quad \therefore \gamma^2 - \gamma + 1 = 0 \quad \therefore \gamma = \frac{1 \pm i\sqrt{3}}{2}$$

$$\rightarrow a_n = A \left( \frac{1+i\sqrt{3}}{2} \right)^n + B \left( \frac{1-i\sqrt{3}}{2} \right)^n$$

$$\Rightarrow a_0 = 0 = A+B, \Rightarrow a_1 = 1 = \frac{A+B}{2} + \frac{(A-B)i\sqrt{3}}{2}$$

$$\therefore A = \frac{1}{i\sqrt{3}}, B = -\frac{1}{i\sqrt{3}}$$

$$\therefore a_n = \frac{1}{i\sqrt{3}} \left( \frac{1+i\sqrt{3}}{2} \right)^n + \frac{(-1)}{i\sqrt{3}} \left( \frac{1-i\sqrt{3}}{2} \right)^n$$

$$= \frac{1}{i\sqrt{3}} \left[ e^{in\pi/3} - e^{-in\pi/3} \right] \quad \therefore a_n = \frac{2}{\sqrt{3}} \sin\left(\frac{n\pi}{3}\right)$$