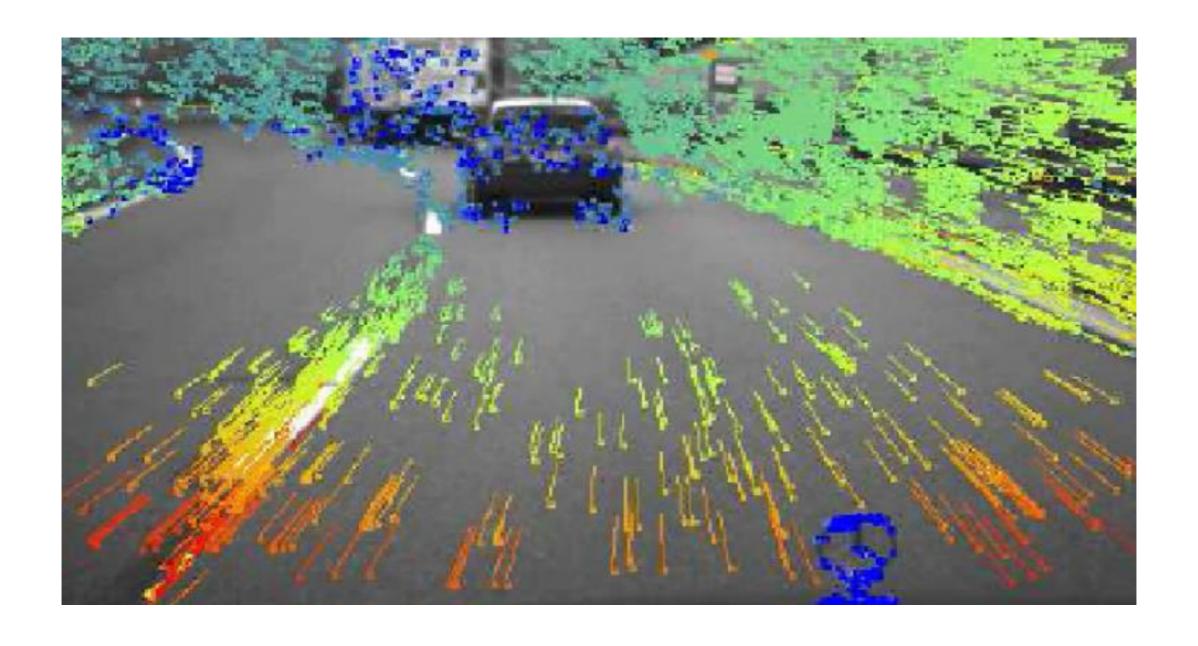
### Optical flow



16-385 Computer Vision Spring 2019, Lecture 21

### Course announcements

- Homework 6 has been posted and is due on April 24<sup>th</sup>.
  - Any questions about the homework?
  - How many of you have looked at/started/finished homework 6?
- Wednesday's office hours will be covered by Yannis at the graphics lounge.

# Overview of today's lecture

- Quick intro to vision for video.
- Optical flow.
- Constant flow.
- Horn-Schunck flow.

### Slide credits

Most of these slides were adapted from:

• Kris Kitani (16-385, Spring 2017).

# Computer vision for video

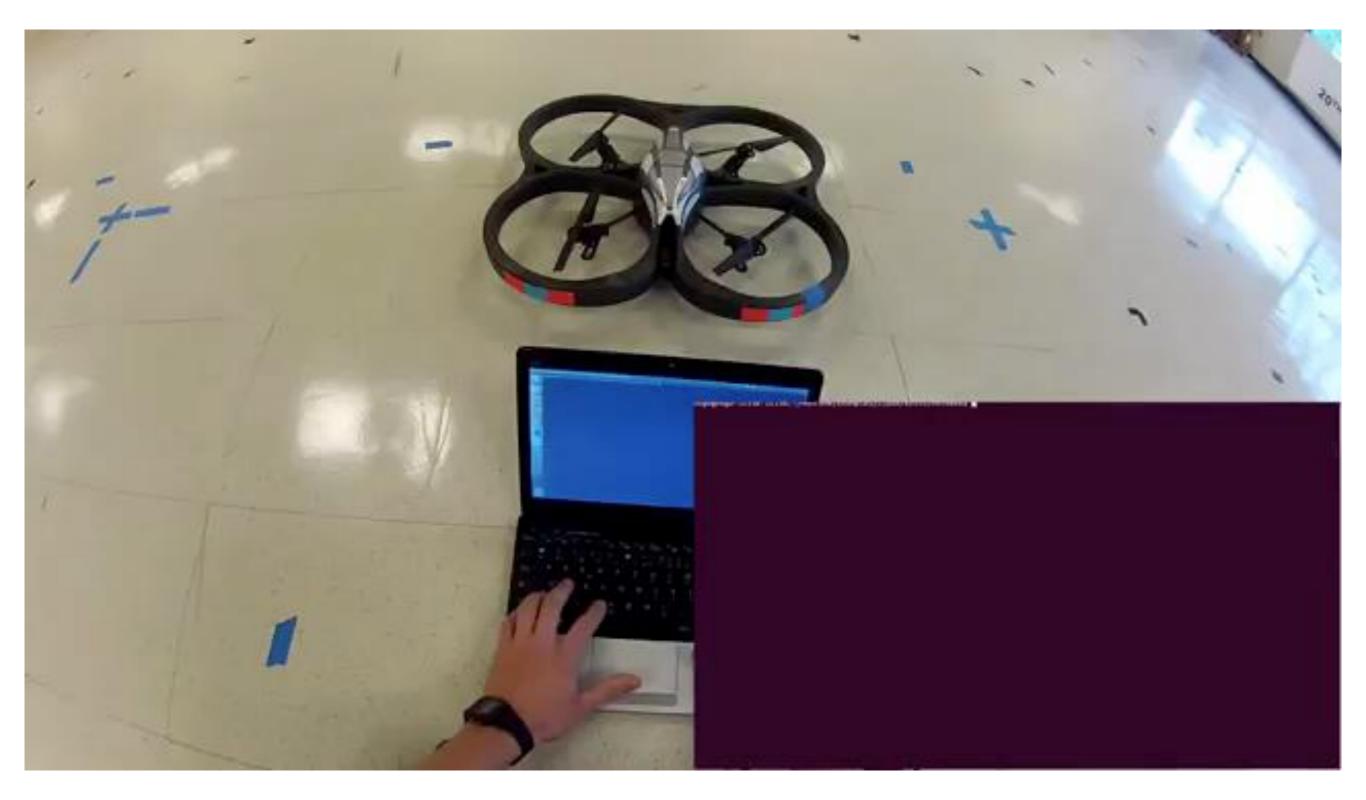
$$egin{bmatrix} I_x(oldsymbol{p}_1) & I_y(oldsymbol{p}_1) \ I_x(oldsymbol{p}_2) & I_y(oldsymbol{p}_2) \ dots & dots \ I_x(oldsymbol{p}_{25}) & I_y(oldsymbol{p}_{25}) \end{bmatrix} egin{bmatrix} u \ v \end{bmatrix} = -egin{bmatrix} I_t(oldsymbol{p}_1) \ I_t(oldsymbol{p}_2) \ dots \ I_t(oldsymbol{p}_{25}) \end{bmatrix} & egin{minipage} oldsymbol{min} oldsymbol{\Sigma} \ I_t(oldsymbol{p}_{25}) \end{bmatrix} & egin{minipage} oldsymbol{E}_d(i,j) + \lambda E_s(i,j) \ dots \ I_t(oldsymbol{p}_{25}) \end{bmatrix}$$

Constant Flow

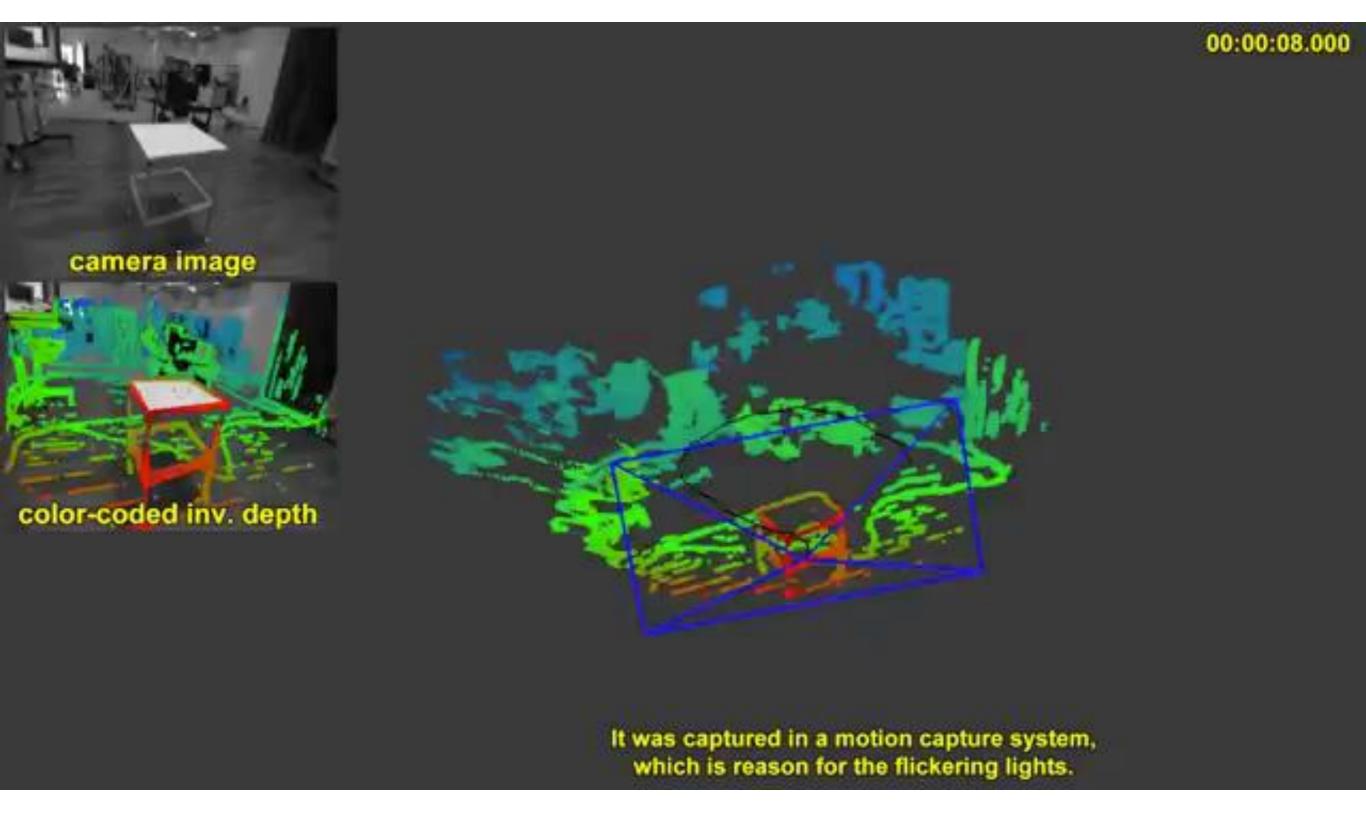
Horn-Schunck

### **Optical Flow**

### Optical flow used for feature tracking on a drone



### optical flow used for motion estimation in visual odometry





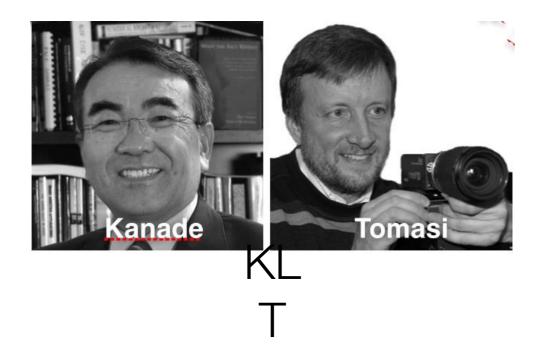
Lucas-Kanade (Forward additive)





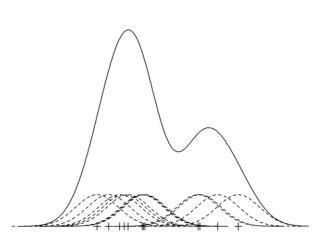
Baker-Matthews (Inverse Compositional)

### **Image Alignment**

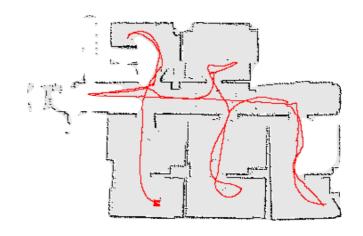




Kalman Filtering



Mean shift



SLAM

Simultaneous localization and mapping

Tracking in Video

# Optical flow

# Optical Flow

#### **Problem Definition**

Given two consecutive image frames, estimate the motion of each pixel

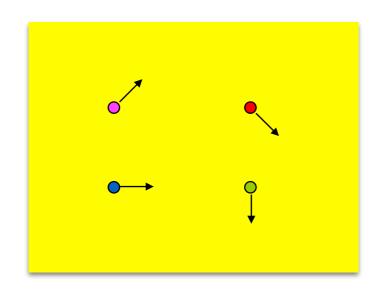
Assumptions

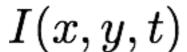
Brightness constancy

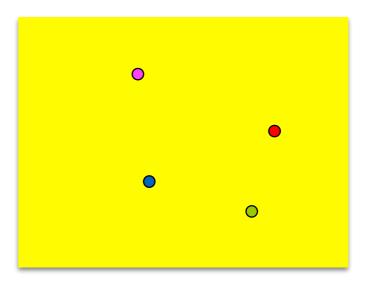
Small motion

### Optical Flow

(Problem definition)







Estimate the motion (flow) between these two consecutive images

How is this different from estimating a 2D transform?

# Key Assumptions

(unique to optical flow)

### **Color Constancy**

(Brightness constancy for intensity images)

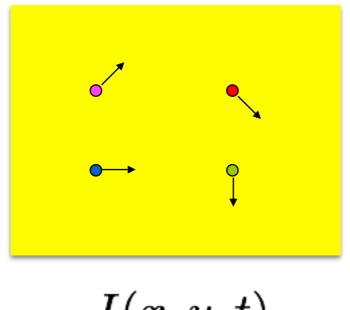
Implication: allows for pixel to pixel comparison (not image features)

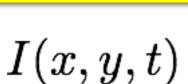
### **Small Motion**

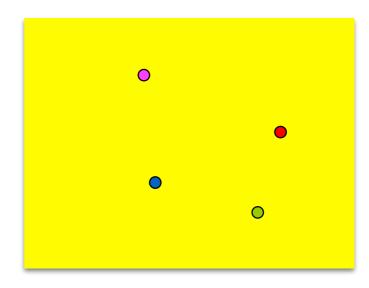
(pixels only move a little bit)

Implication: linearization of the brightness constancy constraint

### Approach







I(x, y, t')

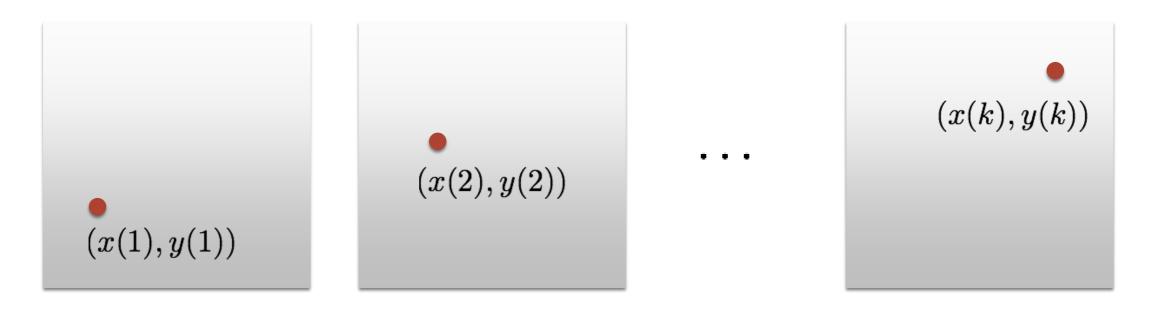
Look for nearby pixels with the same color

(small motion)

(color constancy)

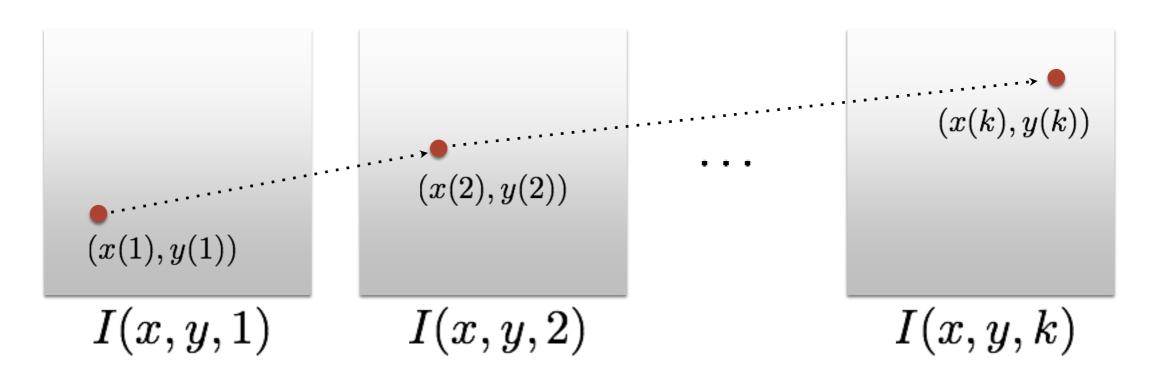
# Brightness constancy

Scene point moving through image sequence



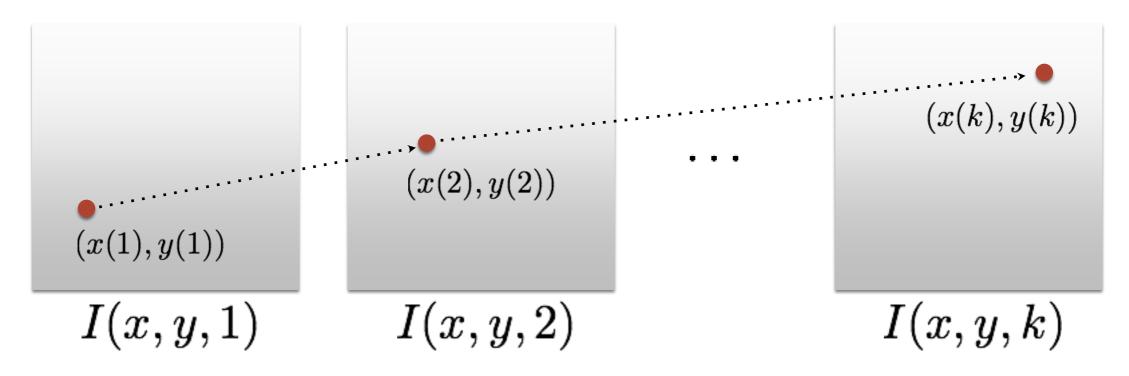
# Brightness constancy

Scene point moving through image sequence



# Assumption 1 Brightness (grayscale image) constancy

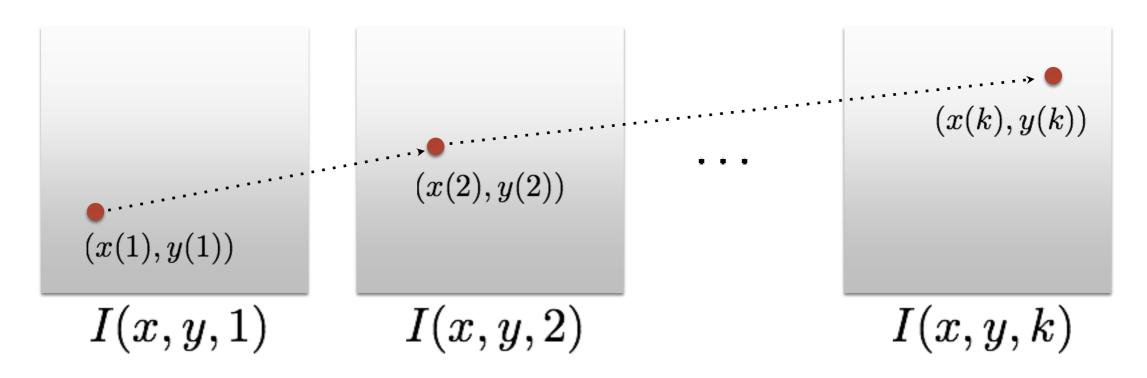
Scene point moving through image sequence



Assumption: Brightness of the point will remain the same

# Brightness constancy

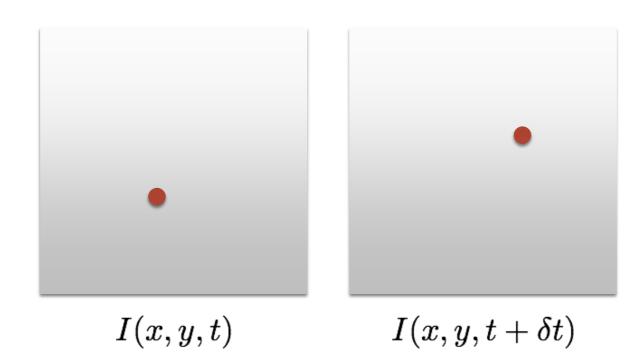
Scene point moving through image sequence



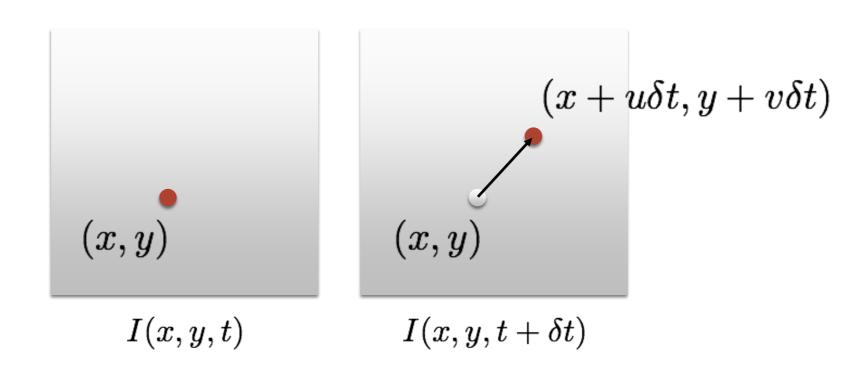
Assumption: Brightness of the point will remain the same

$$I(x(t),y(t),t) = C$$

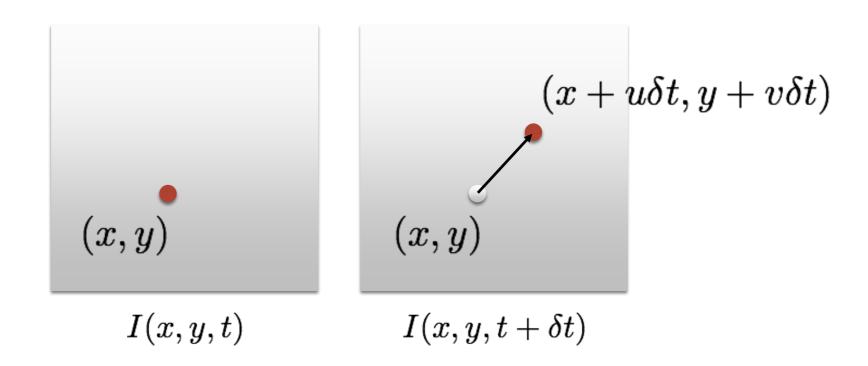
### Small motion



### Small motion

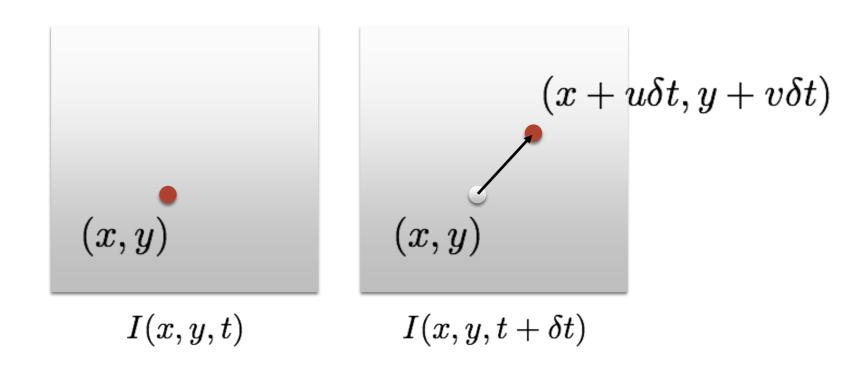


### Small motion



Optical flow (velocities): (u,v) Displacement:  $(\delta x,\delta y)=(u\delta t,v\delta t)$ 

### Small motion



Optical flow (velocities): (u,v) Displacement:  $(\delta x,\delta y)=(u\delta t,v\delta t)$ 

For a *really small space-time step*...

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

... the brightness between two consecutive image frames is the same

These assumptions yield the ...

### **Brightness Constancy Equation**

$$\frac{dI}{dt} = \frac{\partial I}{\partial x} \frac{dx}{dt} + \frac{\partial I}{\partial y} \frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

total derivative partial derivative

Equation is not obvious. Where does this come from?

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

For small space-time step, brightness of a point is the same

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

For small space-time step, brightness of a point is the same

#### Insight:

If the time step is really small, we can *linearize* the intensity function

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

(First order approximation, two variables)

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

(First order approximation, two variables)

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

$$I(x,y,t)+rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=I(x,y,t)$$
 assuming small motion

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

(First order approximation, two variables)

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

partial derivative

$$I(x,y,t)+rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=I(x,y,t)$$
 assuming small motion

cancel terms

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

(First order approximation, two variables)

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

$$I(x,y,t)+rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=I(x,y,t)$$
 assuming small motion

$$\frac{\partial I}{\partial x}\delta x + \frac{\partial I}{\partial y}\delta y + \frac{\partial I}{\partial t}\delta t = 0$$
 cancel terms

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

(First order approximation, two variables)

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

$$I(x,y,t)+rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=I(x,y,t)$$
 assuming small motion 
$$rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=0$$
 divide by  $\delta t$  take limit  $\delta t o 0$ 

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

(First order approximation, two variables)

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

$$I(x,y,t)+rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=I(x,y,t)$$
 assuming small motion 
$$rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=0$$
 divide by  $\delta t$  take limit  $\delta t o 0$ 

take limit  $\delta t \rightarrow 0$ 

$$\frac{\partial I}{\partial x}\frac{dx}{dt} + \frac{\partial I}{\partial y}\frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$

(First order approximation, two variables)

$$f(x,y) \approx f(a,b) + f_x(a,b)(x-a) - f_y(a,b)(y-b)$$

$$I(x,y,t) + \frac{\partial I}{\partial x} \delta x + \frac{\partial I}{\partial y} \delta y + \frac{\partial I}{\partial t} \delta t = I(x,y,t) \quad \text{assuming small motion}$$

$$rac{\partial I}{\partial x}\delta x+rac{\partial I}{\partial y}\delta y+rac{\partial I}{\partial t}\delta t=0$$
 divide by  $\delta t$  take limit  $\delta t o 0$ 

$$\frac{\partial I}{\partial x}\frac{dx}{dt} + \frac{\partial I}{\partial y}\frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

Brightness Constancy Equation

$$\frac{\partial I}{\partial x}\frac{dx}{dt} + \frac{\partial I}{\partial y}\frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

#### Brightness Constancy Equation

$$I_{m{x}}u+I_{m{y}}v+I_{m{t}}=0$$

shorthand notation

$$abla I^ op oldsymbol{v} + I_t = 0$$

vector form

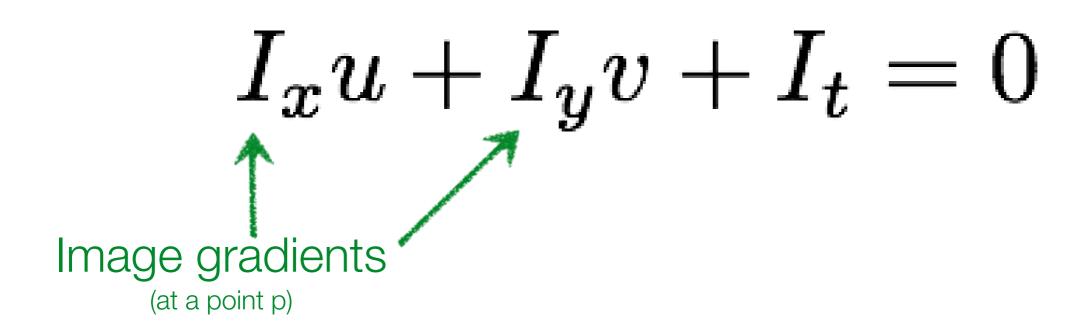
(putting the math aside for a second...)

What do the term of the brightness constancy equation represent?

$$I_x u + I_y v + I_t = 0$$

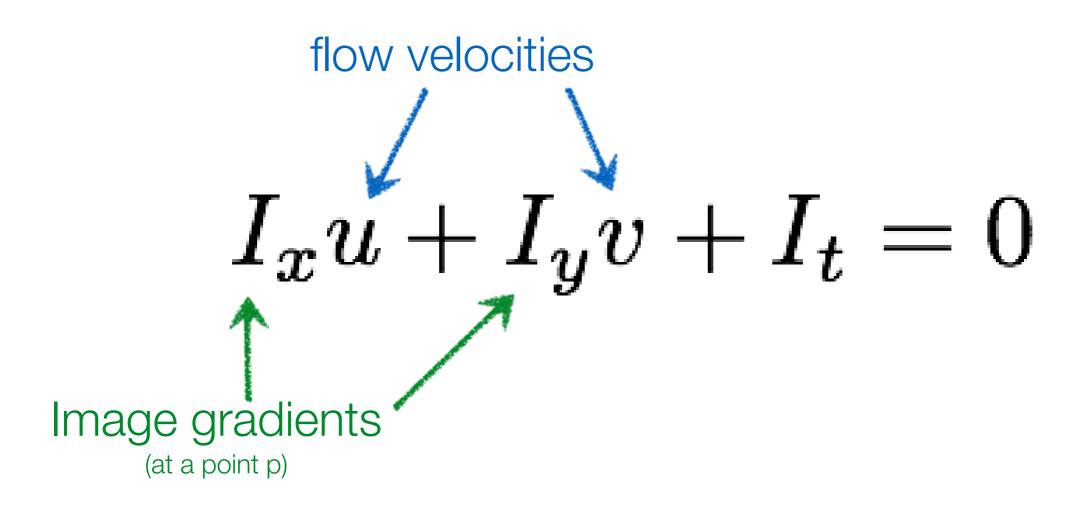
(putting the math aside for a second...)

What do the term of the brightness constancy equation represent?



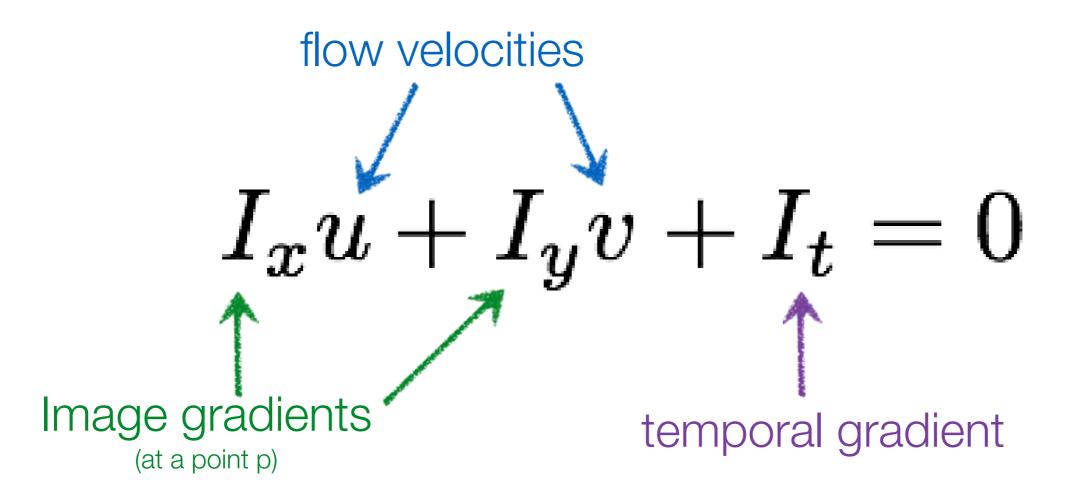
(putting the math aside for a second...)

What do the term of the brightness constancy equation represent?



(putting the math aside for a second...)

What do the term of the brightness constancy equation represent?



How do you compute these terms?

$$I_x u + I_y v + I_t = 0$$

$$I_x = rac{\partial I}{\partial x} \ I_y = rac{\partial I}{\partial y}$$
 spatial derivative

$$I_x u + I_y v + I_t = 0$$

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

#### spatial derivative

Forward difference Sobel filter Scharr filter

. . .

$$I_x u + I_y v + I_t = 0$$

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

spatial derivative

Forward difference Sobel filter Scharr filter

. . .

$$I_t = \frac{\partial I}{\partial t}$$

temporal derivative

$$I_x u + I_y v + I_t = 0$$

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

spatial derivative

Forward difference
Sobel filter
Scharr filter

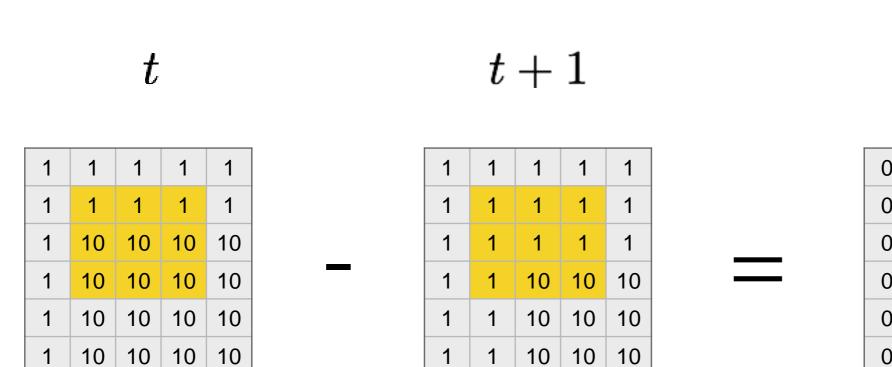
. . .

$$I_t = \frac{\partial I}{\partial t}$$

temporal derivative

frame differencing

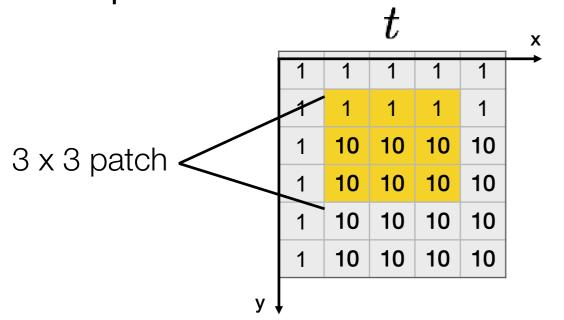
## Frame differencing

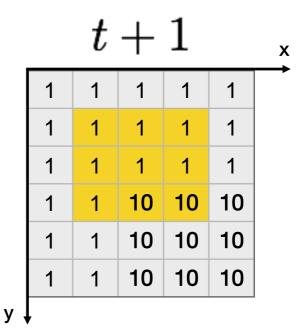


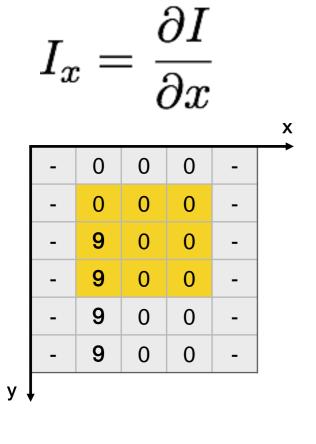
$$\partial t$$

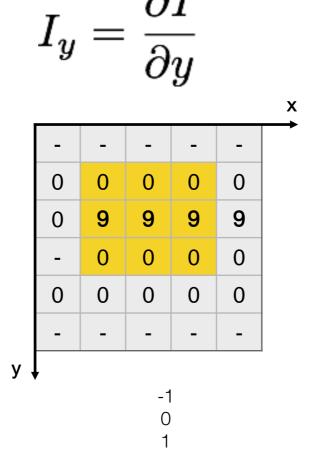
(example of a forward difference)

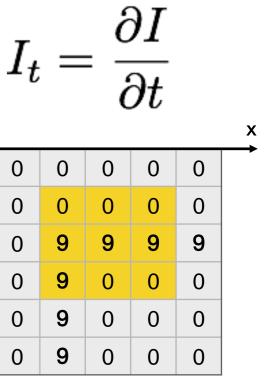
### Example:











$$I_x u + I_y v + I_t = 0$$

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

spatial derivative

$$u=rac{dx}{dt} \quad v=rac{dy}{dt}$$
 optical flow

$$I_t = rac{\partial I}{\partial t}$$
 temporal derivative

Forward difference
Sobel filter
Scharr filter

. . .

How do you compute this?

frame differencing

$$I_x u + I_y v + I_t = 0$$

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

spatial derivative

$$u = \frac{dx}{dt} \quad v = \frac{dy}{dt}$$
 optical flow

We need to solve for this! (this is the unknown in the optical flow problem)

 $I_t = \frac{\partial I}{\partial t}$  temporal derivative

Forward difference
Sobel filter
Scharr filter

• • •

frame differencing

$$I_x u + I_y v + I_t = 0$$

$$I_x = \frac{\partial I}{\partial x} \quad I_y = \frac{\partial I}{\partial y}$$

spatial derivative

$$u = \frac{dx}{dt} \quad v = \frac{dy}{dt}$$
 optical flow

$$I_t = \frac{\partial I}{\partial t}$$
 temporal derivative

Forward difference Sobel filter Scharr filter

. . .

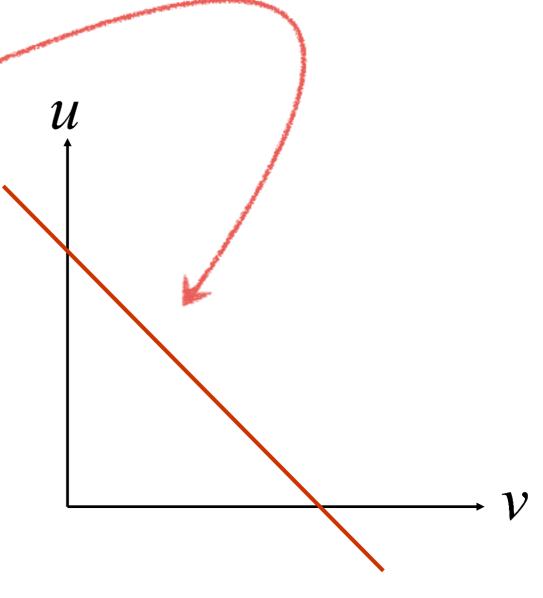
(u,v)Solution lies on a line frame differencing

Cannot be found uniquely with a single constraint

Solution lies on a straight line

$$I_x u + I_y v + I_t = 0$$

many combinations of u and v will satisfy the equality



The solution cannot be determined uniquely with a single constraint (a single pixel)

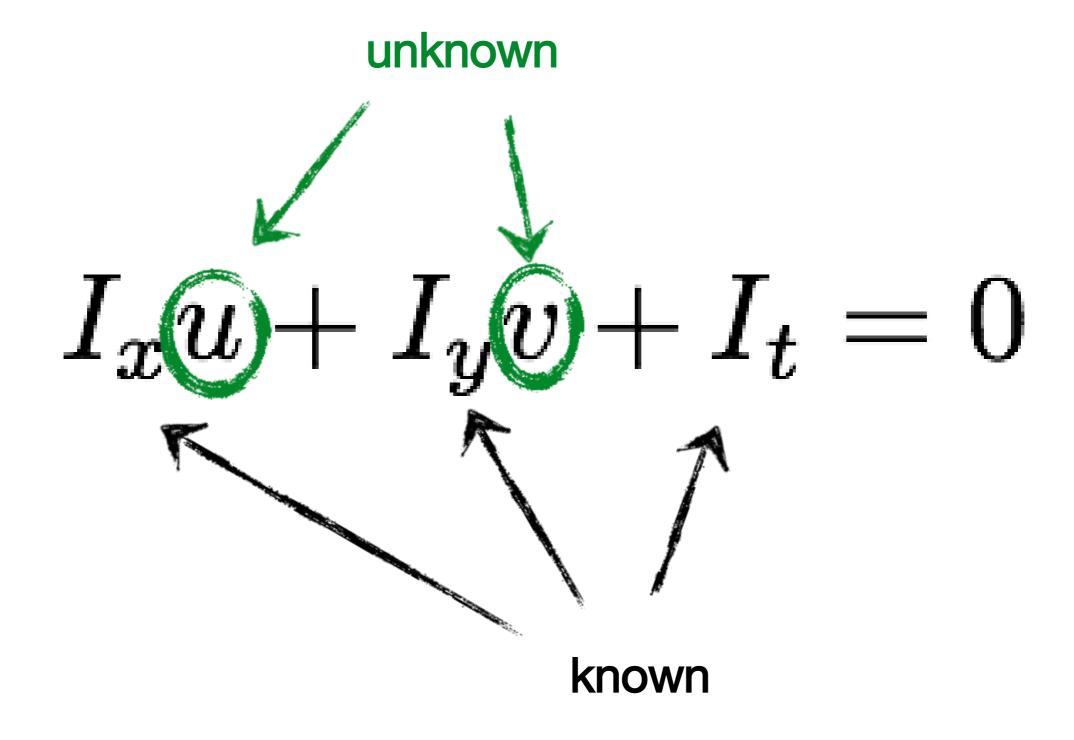
$$I_x u + I_y v + I_t = 0$$

$$I_x = rac{\partial I}{\partial x} \quad I_y = rac{\partial I}{\partial y}$$
 spatial derivative

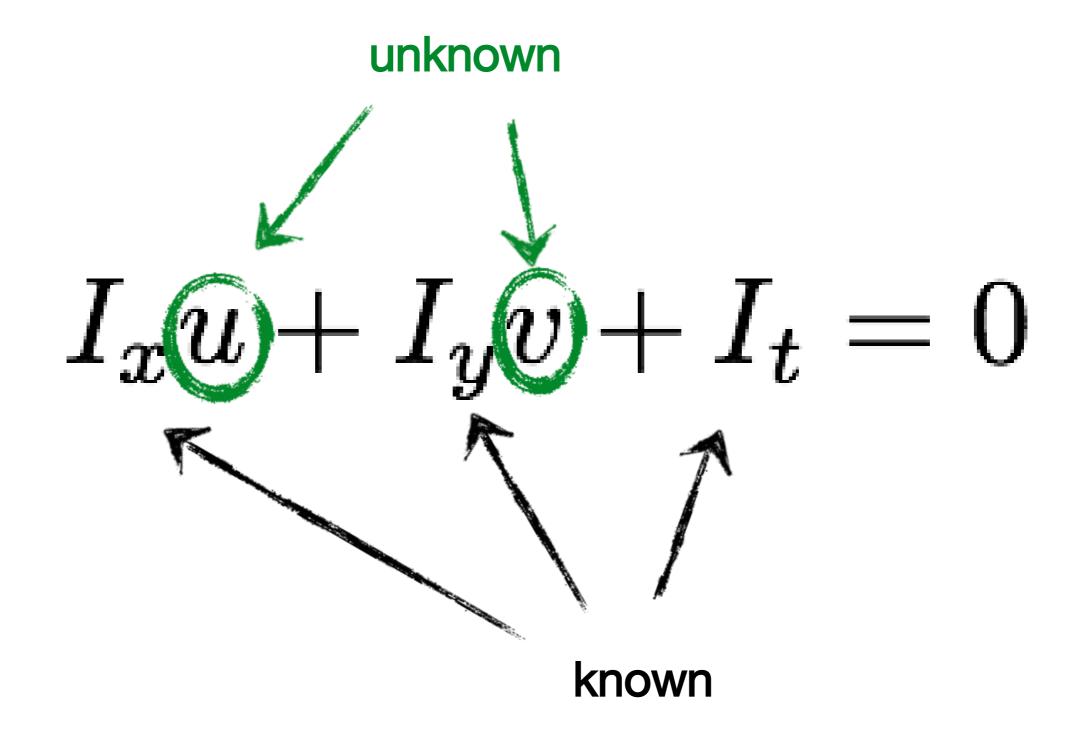
$$u = \frac{dx}{dt} \quad v = \frac{dy}{dt}$$
 optical flow

$$I_t = \frac{\partial I}{\partial t}$$
 temporal derivative

How can we use the brightness constancy equation to estimate the optical flow?



We need at least \_\_\_\_ equations to solve for 2 unknowns.



Where do we get more equations (constraints)?

### Horn-Schunck Optical Flow (1981)

Lucas-Kanade Optical Flow (1981)

brightness constancy

small motion

method of differences

#### 'smooth' flow

(flow can vary from pixel to pixel)

'constant' flow (flow is constant for all pixels)

global method (dense) local method (sparse)

## Constant flow

Where do we get more equations (constraints)?

$$I_x u + I_y v + I_t = 0$$

Assume that the surrounding patch (say 5x5) has 'constant flow'

Flow is locally smooth

Neighboring pixels have same displacement

Using a 5 x 5 image patch, gives us equations

Flow is locally smooth

Neighboring pixels have same displacement

Using a 5 x 5 image patch, gives us 25 equations

$$I_x(\mathbf{p}_1)u + I_y(\mathbf{p}_1)v = -I_t(\mathbf{p}_1)$$
  
 $I_x(\mathbf{p}_2)u + I_y(\mathbf{p}_2)v = -I_t(\mathbf{p}_2)$ 

:

$$I_x(\mathbf{p}_{25})u + I_y(\mathbf{p}_{25})v = -I_t(\mathbf{p}_{25})$$

Flow is locally smooth

Neighboring pixels have same displacement

Using a 5 x 5 image patch, gives us 25 equations

$$\left[egin{array}{ccc} I_x(oldsymbol{p}_1) & I_y(oldsymbol{p}_1) \ I_x(oldsymbol{p}_2) & I_y(oldsymbol{p}_2) \ dots & dots \ I_x(oldsymbol{p}_{25}) & I_y(oldsymbol{p}_{25}) \end{array}
ight] \left[egin{array}{ccc} u \ v \end{array}
ight] = - \left[egin{array}{ccc} I_t(oldsymbol{p}_1) \ I_t(oldsymbol{p}_2) \ dots \ I_t(oldsymbol{p}_{25}) \end{array}
ight]$$

Matrix form

Flow is locally smooth

Neighboring pixels have same displacement

Using a 5 x 5 image patch, gives us 25 equations

$$\left[egin{array}{ccc} I_x(oldsymbol{p}_1) & I_y(oldsymbol{p}_1) \ I_x(oldsymbol{p}_2) & I_y(oldsymbol{p}_2) \ dots & dots \ I_x(oldsymbol{p}_{25}) & I_y(oldsymbol{p}_{25}) \end{array}
ight] \left[egin{array}{ccc} u \ v \end{array}
ight] = - \left[egin{array}{ccc} I_t(oldsymbol{p}_1) \ I_t(oldsymbol{p}_2) \ dots \ I_t(oldsymbol{p}_{25}) \end{array}
ight]$$

$$oldsymbol{A}_{25 imes 2} \qquad oldsymbol{x}_{2 imes 1} \qquad oldsymbol{b}_{25 imes 1}$$

How many equations? How many unknowns? How do we solve this?

#### Least squares approximation

$$\hat{x} = rg \min_x ||Ax - b||^2$$
 is equivalent to solving  $A^ op A \hat{x} = A^ op b$ 

#### Least squares approximation

$$\hat{x} = rg\min_x ||Ax - b||^2$$
 is equivalent to solving  $A^ op A \hat{x} = A^ op b$ 

To obtain the least squares solution solve:

$$A^{ op}A$$
  $\hat{x}$   $A^{ op}b$   $egin{bmatrix} \sum\limits_{p\in P}I_xI_x & \sum\limits_{p\in P}I_xI_y \ \sum\limits_{n\in P}I_yI_x & \sum\limits_{p\in P}I_yI_y \end{bmatrix} \begin{bmatrix} u \ v \end{bmatrix} = - \begin{bmatrix} \sum\limits_{p\in P}I_xI_t \ \sum\limits_{n\in P}I_yI_t \end{bmatrix}$ 

where the summation is over each pixel p in patch P

$$x = (A^{\mathsf{T}}A)^{-1}A^{\mathsf{T}}b$$

#### Least squares approximation

$$\hat{x} = rg \min_{x} ||Ax - b||^2$$
 is equivalent to solving  $A^{ op} A \hat{x} = A^{ op} b$ 

To obtain the least squares solution solve:

$$A^{ op}A$$
  $\hat{x}$   $A^{ op}b$   $egin{array}{ccc} \sum\limits_{p\in P}I_xI_x & \sum\limits_{p\in P}I_xI_y \ \sum\limits_{n\in P}I_yI_x & \sum\limits_{n\in P}I_yI_y \ \end{bmatrix} \begin{bmatrix} u \ v \end{bmatrix} = - \begin{bmatrix} \sum\limits_{p\in P}I_xI_t \ \sum\limits_{n\in P}I_yI_t \ \end{bmatrix}$ 

where the summation is over each pixel p in patch P

Sometimes called 'Lucas-Kanade Optical Flow' (can be interpreted to be a special case of the LK method with a translational warp model)

When is this solvable?

$$A^{\mathsf{T}}A\hat{x} = A^{\mathsf{T}}b$$

 $A^{\mathsf{T}}A$  should be invertible

 $A^{\mathsf{T}}A$  should not be too small

 $\lambda_1$  and  $\lambda_2$  should not be too small

 $A^{T}A$  should be well conditioned  $\lambda_{1}/\lambda_{2}$  should not be too large ( $\lambda_{1}$ =larger eigenvalue)

### Where have you seen this before?

$$A^{\top}A = \left[ egin{array}{c} \sum\limits_{p \in P} I_x I_x & \sum\limits_{p \in P} I_x I_y \ \sum\limits_{p \in P} I_y I_x & \sum\limits_{p \in P} I_y I_y \end{array} 
ight]$$

### Where have you seen this before?

$$\left[\begin{array}{ccc} \sum\limits_{p\in P}I_xI_x & \sum\limits_{p\in P}I_xI_y \\ \sum\limits_{p\in P}I_yI_x & \sum\limits_{p\in P}I_yI_y \end{array}\right]$$

Harris Corner Detector!

## Implications

- Corners are when λ1, λ2 are big; this is also when Lucas-Kanade optical flow works best
- Corners are regions with two different directions of gradient (at least)
- Corners are good places to compute flow!

What happens when you have no 'corners'?

You want to compute optical flow. What happens if the image patch contains only a line?

## Barber's pole illusion





## Barber's pole illusion

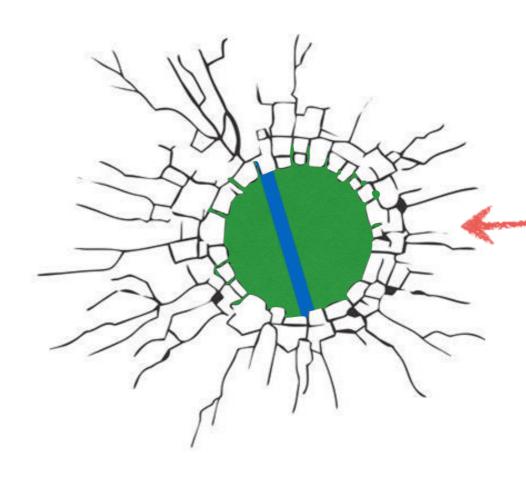




## Barber's pole illusion



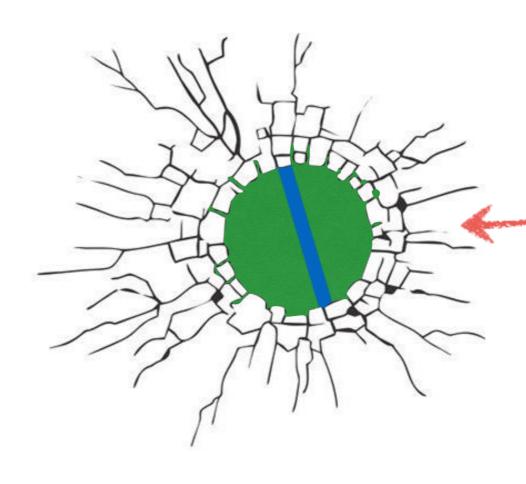
## Aperture Problem



small visible image patch

In which direction is the line moving?

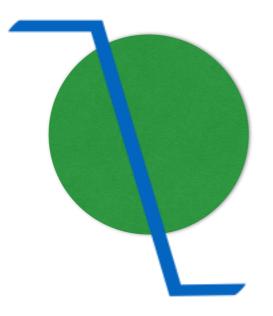
## Aperture Problem



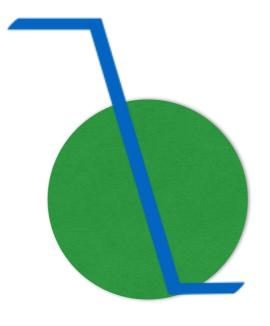
small visible image patch

In which direction is the line moving?

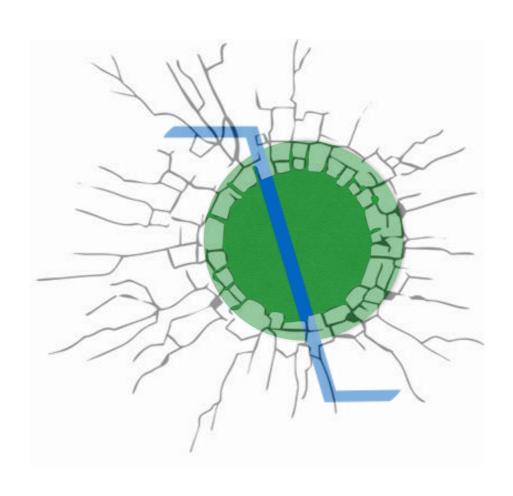
# Aperture Problem



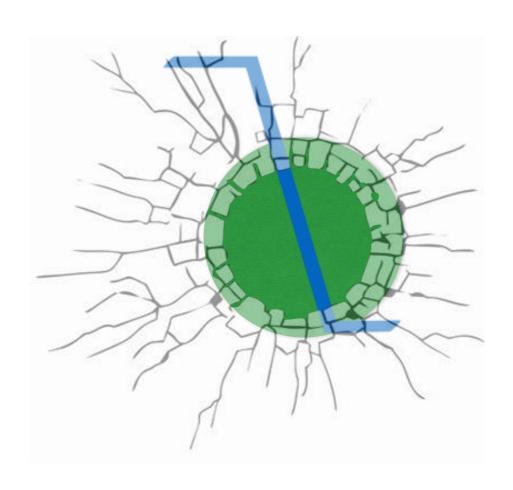
# Aperture Problem

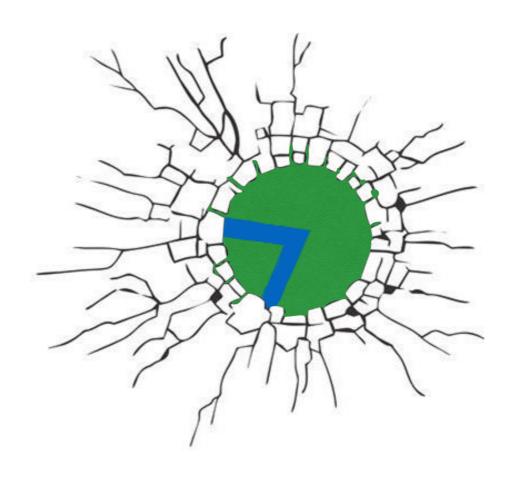


# Aperture Problem

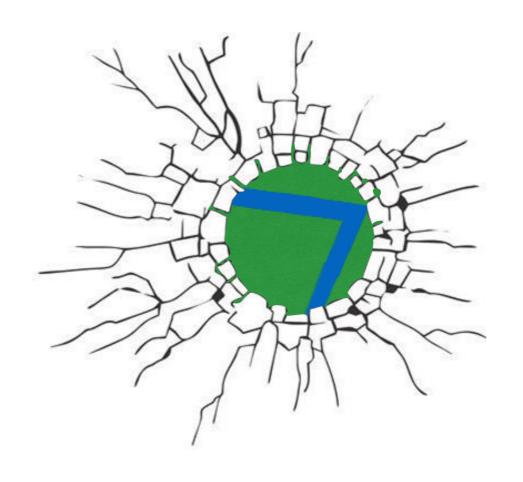


# Aperture Problem

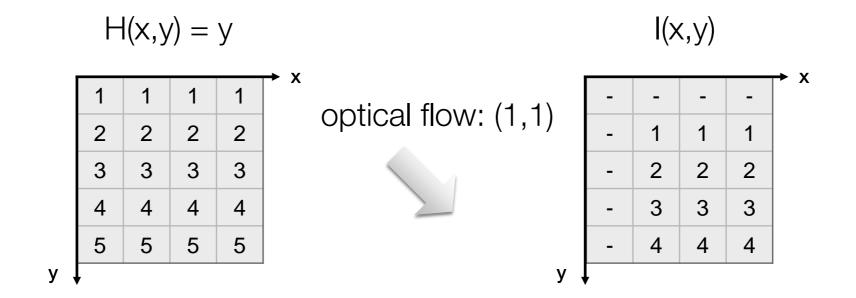




Want patches with different gradients to the avoid aperture problem



Want patches with different gradients to the avoid aperture problem



$$I_x u + I_y v + I_t = 0$$

#### Compute gradients

$$I_x(3,3) = 0$$
 $I_y(3,3) = 1$ 
 $I_t(3,3) = I(3,3) - H(3,3) = -1$ 
 $v = 1$ 

We recover the v of the optical flow but not the u. *This is the aperture problem.* 

## Horn-Schunck optical flow

#### Horn-Schunck Optical Flow (1981)

Lucas-Kanade Optical Flow (1981)

brightness constancy

small motion

method of differences

#### 'smooth' flow

(flow can vary from pixel to pixel)

global method (dense)

#### 'constant' flow

(flow is constant for all pixels)

local method (sparse)

## Smoothness

most objects in the world are rigid or deform elastically moving together coherently

we expect optical flow fields to be smooth

## Key idea

(of Horn-Schunck optical flow)

# Enforce brightness constancy

Enforce smooth flow field

to compute optical flow

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Enforce smooth flow field

to compute optical flow

### Enforce

## brightness constancy

$$I_x u + I_y v + I_t = 0$$

For every pixel,

$$\min_{u,v} \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2$$

### Enforce

## brightness constancy

$$I_x u + I_y v + I_t = 0$$

For every pixel,

$$\min_{m{u},m{v}} \left[ I_{m{x}} u_{ij} + I_{m{y}} v_{ij} + I_{m{t}} 
ight]^2$$
lazy notation for  $I_x(i,j)$ 

## Key idea

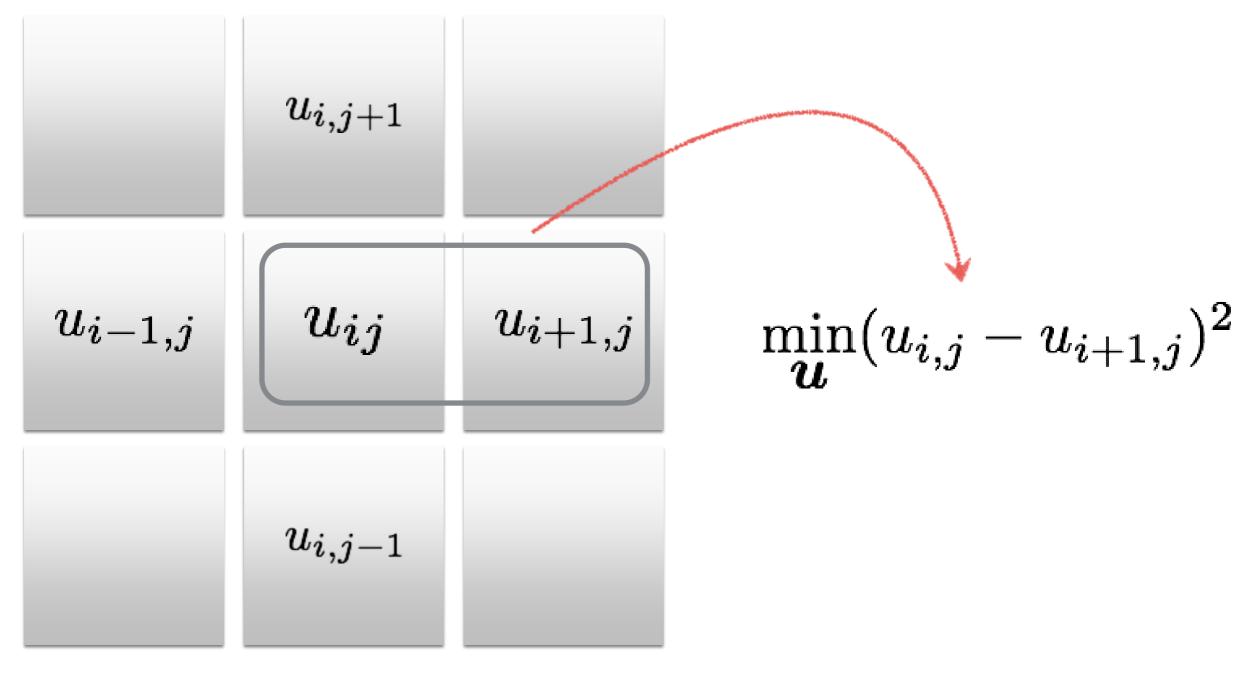
(of Horn-Schunck optical flow)

Enforce brightness constancy

Enforce smooth flow field

to compute optical flow

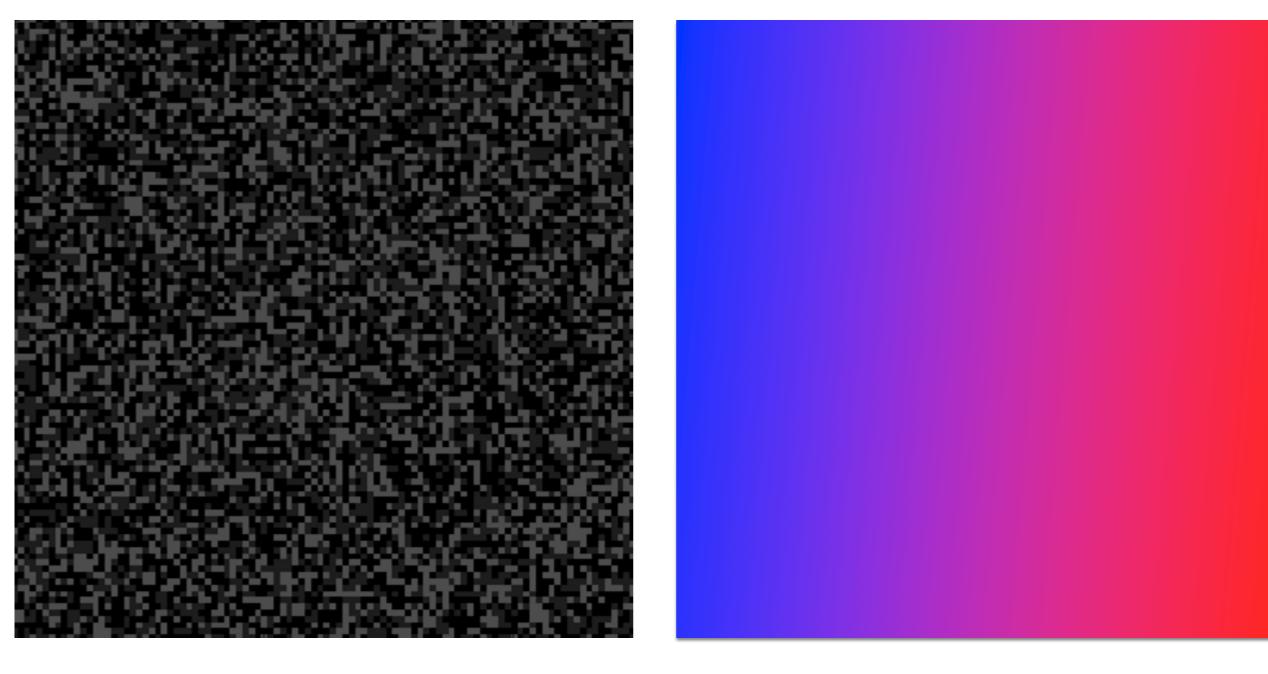
## Enforce smooth flow field



u-component of flow

## Which flow field optimizes the objective?

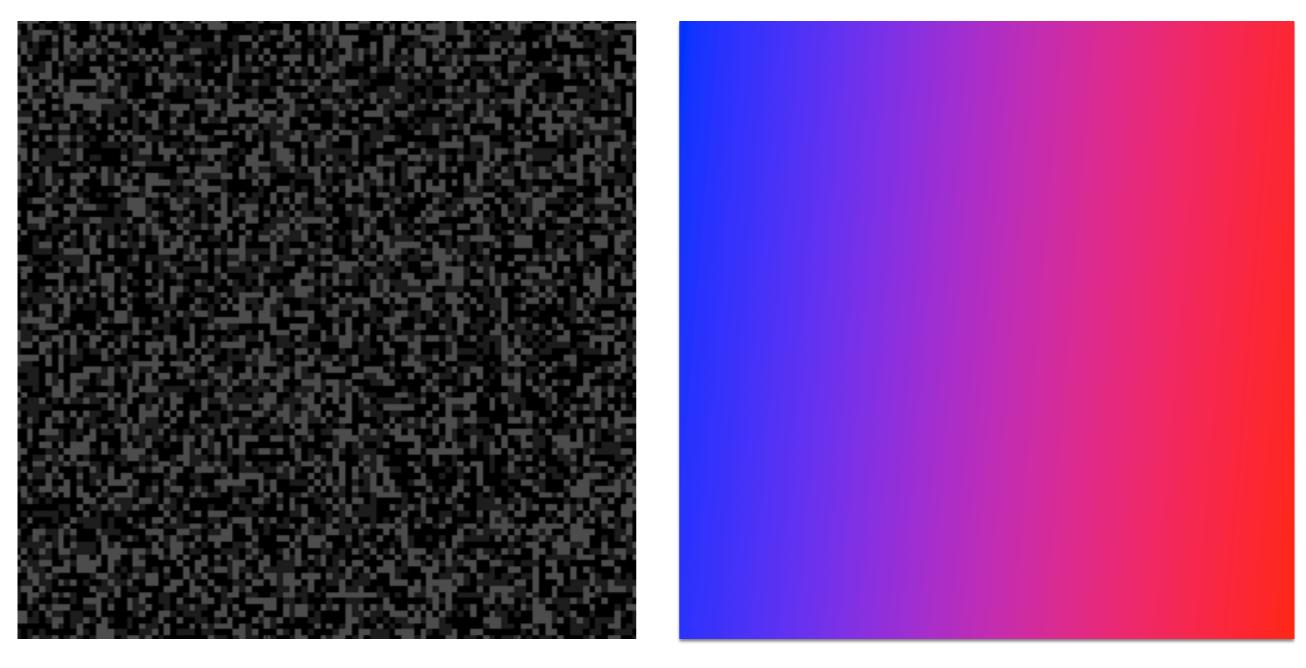
$$\min_{\boldsymbol{u}}(u_{i,j}-u_{i+1,j})^2$$



$$\sum_{ij} (u_{ij} - u_{i+1,j})^2 \qquad ? \qquad \sum_{ij} (u_{ij} - u_{i+1,j})^2$$

# Which flow field optimizes the objective?

$$\min_{\boldsymbol{u}}(u_{i,j}-u_{i+1,j})^2$$



big small

# Key idea

(of Horn-Schunck optical flow)

Enforce brightness constancy

Enforce smooth flow field

to compute optical flow

bringing it all together...

## Horn-Schunck optical flow

$$\min_{m{u},m{v}} \sum_{i,j} \left\{ E_s(i,j) + \lambda E_d(i,j) 
ight\}$$

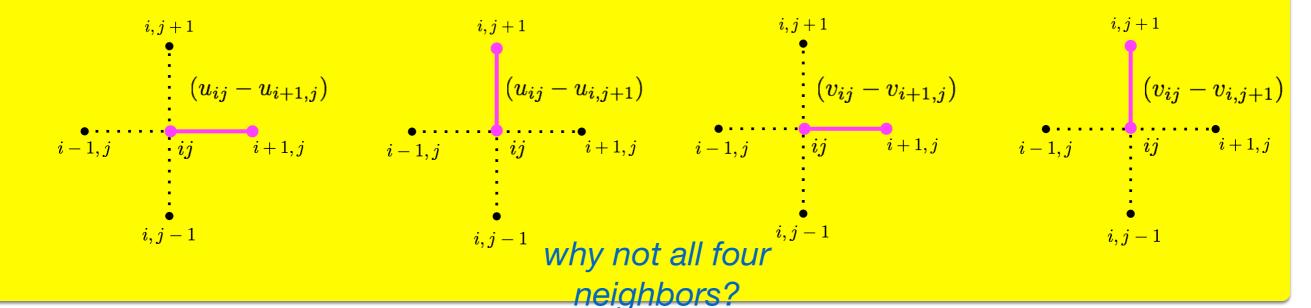
### HS optical flow objective function

Brightness constancy

$$E_d(i,j) = \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2$$

#### **Smoothness**

$$E_s(i,j) = \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right]$$



# How do we solve this minimization problem?

$$\min_{oldsymbol{u},oldsymbol{v}} \sum_{i,j} \left\{ E_s(i,j) + \lambda E_d(i,j) \right\}$$

# How do we solve this minimization problem?

$$\min_{\boldsymbol{u},\boldsymbol{v}} \sum_{i,j} \left\{ E_s(i,j) + \lambda E_d(i,j) \right\}$$

Compute partial derivative, derive update equations (gradient decent!)

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$
smoothness term brightness constancy

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

it's not so bad...

$$\frac{\partial E}{\partial u_{kl}} =$$

how many u terms depend on k and I?

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

it's not so bad...

$$rac{\partial E}{\partial u_{kl}} =$$

#### how many u terms depend on k and I?

**FOUR** from smoothness

**ONE** from brightness constancy

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

it's not so bad...

$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$

how many u terms depend on k and I?

**FOUR** from smoothness

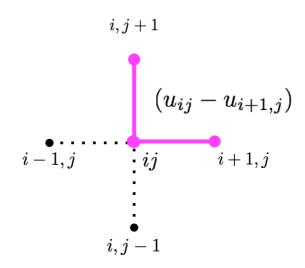
**ONE** from brightness constancy

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$



$$(u_{ij}^2 - 2u_{ij}u_{i+1,j} + u_{i+1,j}^2)$$
  $(u_{ij}^2 - 2u_{ij}u_{i,j+1} + u_{i,j+1}^2)$ 

(variable will appear four times in sum)

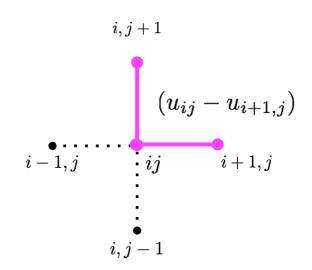


$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$



$$(u_{ij}^2 - 2u_{ij}u_{i+1,j} + u_{i+1,j}^2)$$
  $(u_{ij}^2 - 2u_{ij}u_{i,j+1} + u_{i,j+1}^2)$ 

(variable will appear four times in sum)



$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$

$$\frac{\partial E}{\partial v_{kl}} = 2(v_{kl} - \bar{v}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_y$$

short hand for local average 
$$ar{u}_{ij}=rac{1}{4}igg\{u_{i+1,j}+u_{i-1,j}+u_{i,j+1}+u_{i,j-1}igg\}$$

$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$
$$\frac{\partial E}{\partial v_{kl}} = 2(v_{kl} - \bar{v}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_y$$

Where are the extrema of *E*?

$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$
$$\frac{\partial E}{\partial v_{kl}} = 2(v_{kl} - \bar{v}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_y$$

## Where are the extrema of F?

(set derivatives to zero and solve for unknowns u and v)

$$(1 + \lambda I_x^2)u_{kl} + \lambda I_x I_y v_{kl} = \bar{u}_{kl} - \lambda I_x I_t$$

$$\lambda I_x I_y u_{kl} + (1 + \lambda I_y^2) v_{kl} = \bar{v}_{kl} - \lambda I_y I_t$$

$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$

$$\frac{\partial E}{\partial v_{kl}} = 2(v_{kl} - \bar{v}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_y$$

## Where are the extrema of *E*?

(set derivatives to zero and solve for unknowns u and v)

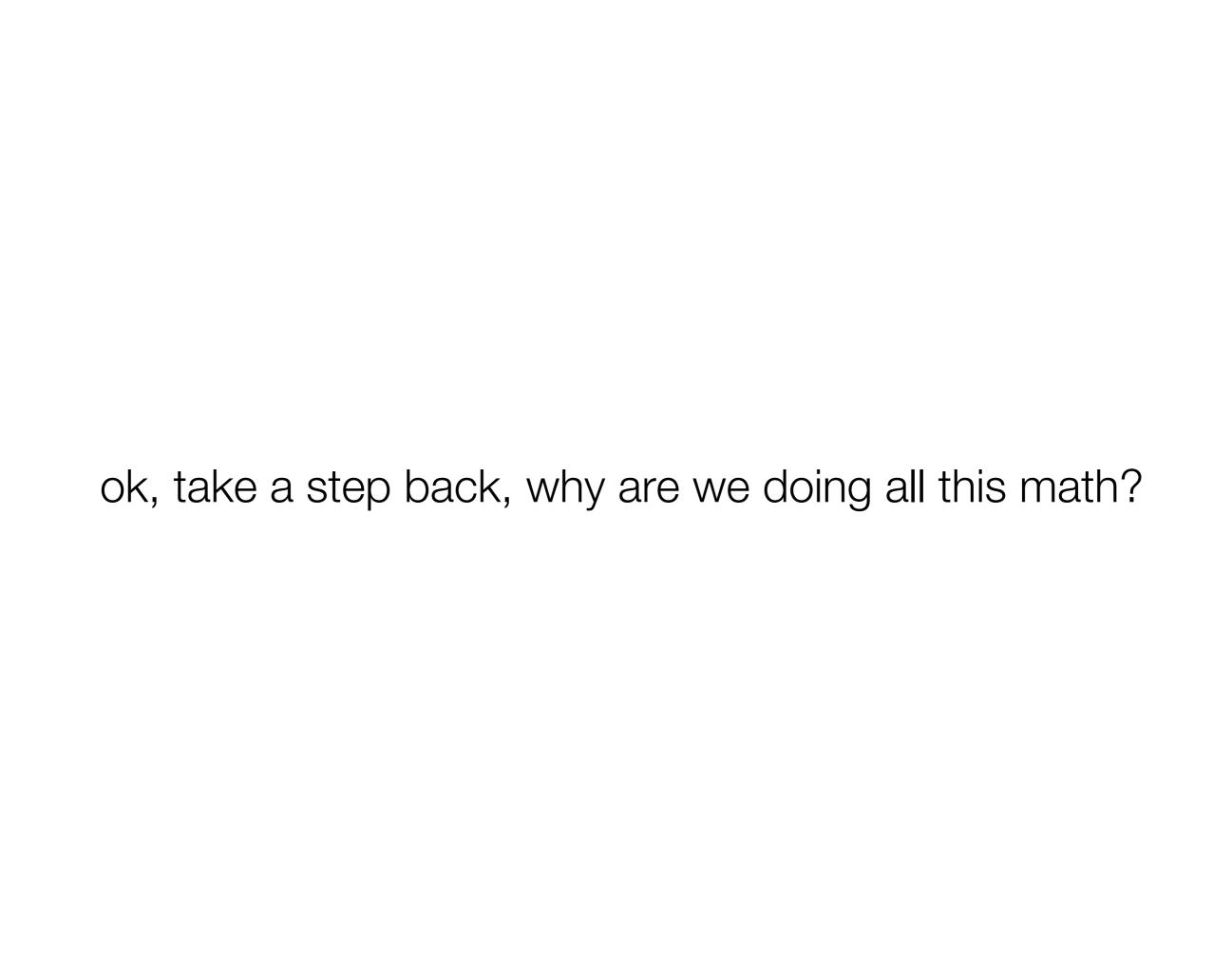
$$(1 + \lambda I_x^2)u_{kl} + \lambda I_x I_y v_{kl} = \bar{u}_{kl} - \lambda I_x I_t$$

$$\lambda I_x I_y u_{kl} + (1 + \lambda I_y^2) v_{kl} = \bar{v}_{kl} - \lambda I_y I_t$$

this is a linear system

 $\mathbf{A}\mathbf{x} = \mathbf{b}$ 

how do you solve this?



## We are solving for the optical flow (u,v) given two constraints

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

smoothness

brightness constancy

We need the math to minimize this (back to the math)

Partial derivatives of Horn-Schunck objective function E:

$$\frac{\partial E}{\partial u_{kl}} = 2(u_{kl} - \bar{u}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_x$$

$$\frac{\partial E}{\partial v_{kl}} = 2(v_{kl} - \bar{v}_{kl}) + 2\lambda(I_x u_{kl} + I_y v_{kl} + I_t)I_y$$

## Where are the extrema of *E*?

(set derivatives to zero and solve for unknowns u and v)

$$(1 + \lambda I_x^2)u_{kl} + \lambda I_x I_y v_{kl} = \bar{u}_{kl} - \lambda I_x I_t$$

$$\lambda I_x I_y u_{kl} + (1 + \lambda I_y^2) v_{kl} = \bar{v}_{kl} - \lambda I_y I_t$$

 $\mathbf{A} \boldsymbol{x} = \boldsymbol{b}$ 

how do you solve this?

$$(1 + \lambda I_x^2)u_{kl} + \lambda I_x I_y v_{kl} = \bar{u}_{kl} - \lambda I_x I_t$$
$$\lambda I_x I_y u_{kl} + (1 + \lambda I_y^2)v_{kl} = \bar{v}_{kl} - \lambda I_y I_t$$

Recall 
$$\boldsymbol{x} = \mathbf{A}^{-1}\boldsymbol{b} = \frac{\operatorname{adj}\mathbf{A}}{\det\mathbf{A}}\boldsymbol{b}$$

$$(1 + \lambda I_x^2)u_{kl} + \lambda I_x I_y v_{kl} = \bar{u}_{kl} - \lambda I_x I_t$$
$$\lambda I_x I_y u_{kl} + (1 + \lambda I_y^2)v_{kl} = \bar{v}_{kl} - \lambda I_y I_t$$

Recall 
$$oldsymbol{x} = \mathbf{A}^{-1} oldsymbol{b} = rac{\mathrm{adj} \mathbf{A}}{\det \mathbf{A}} oldsymbol{b}$$

Same as the linear system:

$$\{1 + \lambda(I_x^2 + I_y^2)\}u_{kl} = (1 + \lambda I_x^2)\bar{u}_{kl} - \lambda I_xI_y\bar{v}_{kl} - \lambda I_xI_t \text{ (det A)}$$

$$\{1 + \lambda(I_x^2 + I_y^2)\}v_{kl} = (1 + \lambda I_y^2)\bar{v}_{kl} - \lambda I_xI_y\bar{u}_{kl} - \lambda I_yI_t$$
 (det A)

$$\{1 + \lambda(I_x^2 + I_y^2)\}u_{kl} = (1 + \lambda I_x^2)\bar{u}_{kl} - \lambda I_x I_y \bar{v}_{kl} - \lambda I_x I_t$$

$$\{1 + \lambda(I_x^2 + I_y^2)\}v_{kl} = (1 + \lambda I_y^2)\bar{v}_{kl} - \lambda I_x I_y \bar{u}_{kl} - \lambda I_y I_t$$

Rearrange to get update equations:

$$\hat{u}_{kl} = ar{u}_{kl} - rac{I_x ar{u}_{kl} + I_y ar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_x \ \hat{v}_{kl} = ar{v}_{kl} - rac{I_x ar{u}_{kl} + I_y ar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_y$$

Recall: 
$$\min_{\boldsymbol{u},\boldsymbol{v}} \sum_{i,j} \left\{ E_s(i,j) + \lambda E_d(i,j) \right\}$$

When lambda is small (lambda inverse is big)...

$$\hat{u}_{kl} = ar{u}_{kl} - rac{I_x ar{u}_{kl} + I_y ar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_x \ \hat{v}_{kl} = ar{v}_{kl} - rac{I_x ar{u}_{kl} + I_y ar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_y$$

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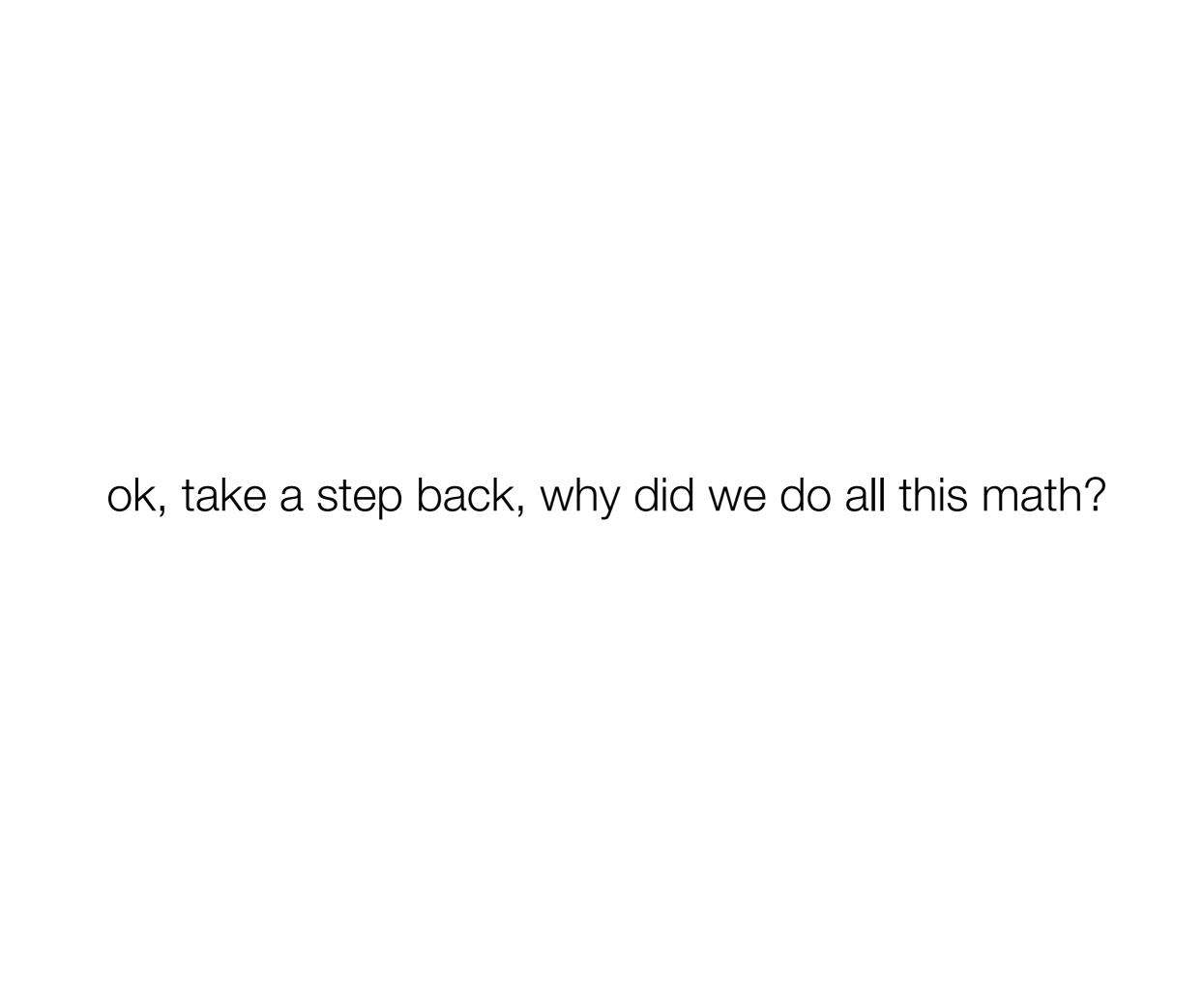
$$\hat{u}_{kl}=ar{u}_{kl}-rac{I_xar{u}_{kl}+I_yar{v}_{kl}+I_t}{\lambda^{-1}+I_x^2+I_y^2}ar{I}_x^{ ext{goes to}}$$
 rew old average  $\hat{v}_{kl}=ar{v}_{kl}-rac{I_xar{u}_{kl}+I_yar{v}_{kl}+I_t}{\lambda^{-1}+I_x^2+I_y^2}ar{I}_x^{ ext{goes to}}$  represents  $\hat{v}_{kl}=ar{v}_{kl}-rac{I_xar{u}_{kl}+I_yar{v}_{kl}+I_t}{\lambda^{-1}+I_x^2+I_y^2}ar{I}_y^{ ext{goes to}}$ 

Recall: 
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$$\hat{u}_{kl} = ar{u}_{kl} - rac{I_x ar{u}_{kl} + I_y ar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} ar{I}_x^{ ext{goes to}}$$
 rew old average  $\hat{v}_{kl} = ar{v}_{kl} - rac{I_x ar{u}_{kl} + I_y ar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} ar{I}_y^{ ext{goes to}}$ 

...we only care about smoothness.



## We are solving for the optical flow (u,v) given two constraints

$$\sum_{ij} \left\{ \frac{1}{4} \left[ (u_{ij} - u_{i+1,j})^2 + (u_{ij} - u_{i,j+1})^2 + (v_{ij} - v_{i+1,j})^2 + (v_{ij} - v_{i,j+1})^2 \right] + \lambda \left[ I_x u_{ij} + I_y v_{ij} + I_t \right]^2 \right\}$$

smoothness

brightness constancy

We needed the math to minimize this (now to the algorithm)

# Horn-Schunck Optical Flow Algorithm

1. Precompute image gradients

- $I_y I_x$
- 2. Precompute temporal gradients  $I_t$
- 3. Initialize flow field

- u = 0
- v = 0

4. While not converged

Compute flow field updates for each pixel:

$$\hat{u}_{kl} = \bar{u}_{kl} - \frac{I_x \bar{u}_{kl} + I_y \bar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_x \qquad \hat{v}_{kl} = \bar{v}_{kl} - \frac{I_x \bar{u}_{kl} + I_y \bar{v}_{kl} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_y$$

#### Just 8 lines of code!

## References

#### Basic reading:

Szeliski, Section 8.4.