

TEMPLATE

PRELIMINARY REVIEW FORM

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VERSION **2.0**

CONTACT DETAILS:

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Summary

The Preliminary Review Form is required to provide necessary information about a proposed Project/Programme of Activities/Voluntary Project Activity(ies) (henceforth 'Project' for short) for listing on the Gold Standard Impact Registry.

The project developer/CME shall refer to paragraphs 5.1.3 to 5.1.12 of [Principles and Requirements](#) for information relating to preliminary review. Along with this template, please review the [Rule Update](#) regarding the revised process for preliminary review.

1 | SCOPE, APPLICABILITY AND ENTRY INTO FORCE

- 1.1.1 | To list a proposed project, PoA and VPAs¹ with Gold Standard, the project developer/CME shall submit the preliminary review form in its most updated version with supporting documents in Microsoft word document format.
 - 1.1.2 | The following project documents shall also be submitted with the Preliminary Review Form;
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¹ In case of regular VPAs, exemptions may apply, refer to the [Programme of Activity Requirements](#) for further details.

- a. [Stakeholder Consultation Report](#) (draft report)
If multiple VPAs are submitted together then the report shall be submitted for each VPA except if a grouped stakeholder consultation is conducted. For details please refer to section 4.9 of [Stakeholder Consultation and Engagement Requirements](#)
- b. [PoA Design Consultation Report](#) (draft report for PoAs only)
- c. Signed [Cover Letter](#)
- d. Signed [Terms and Conditions](#)
- e. Signed [Terms of Use](#)
- f. Draft PDD/PoA-DD/VPA-DD is only needed when
 - no other project applying the same methodology and/or product is listed on the [Gold Standard Impacts Registry](#), or
 - the project is applying [Land Use and Forests \(including Agriculture\) Activity Requirements](#)

The draft PDD may include a summary of demonstration of the financial additionality approach and a draft monitoring plan at the preliminary review stage.

- 1.1.3 | As noted under Gold Standard for the Global Goals (GS4GG) [Principles & Requirements](#) paragraph 5.1.9, the preliminary review is intended as a guide to the project developer. It does not represent a certification review or results in certification. It does not guarantee that a Project shall be successful in validation or design review or ongoing verification and performance review. Neither does it guarantee that further issues or alternative interpretations will not arise during validation or design review.
- 1.1.4 | All projects, PoAs and VPAs submitted for preliminary review:
 - may use this or the most up-to-date version of the *Preliminary Review Form* from 05/09/2022.
 - shall use this or the most up-to-date version of the *Preliminary Review Form* on or after 08/12/2022.
- 1.1.5 | All projects, PoAs and VPAs transitioning from CDM or other Standards to Gold Standard for the Global Goals (GS4GG) shall use a [Transition Request Form](#) instead of this Preliminary Review Form.

2 | DECISION SUMMARY

To be completed by Gold Standard or its Certification Body

Date of the first submission ²	03/09/2024
Date of decision/listing	10/10/2024
Preliminary review pathway	<input checked="" type="checkbox"/> Pathway 1 – Completeness Check Pathway (fast track review) <input type="checkbox"/> Pathway 2 – Detailed Preliminary Review (standard 4-week review)
Decision	<input checked="" type="checkbox"/> Approved <input type="checkbox"/> Not Approved (<i>please include the reason below</i>) Reason for rejection -
FARs raised for <u>Project Developer</u>	<i>List the FARs raised for Project Developer/CME here.</i> <i>FAR 1. PD shall mention date of invitation in stakeholder consultation report.</i>
FARs raised for <u>validating/verifying VVB</u>	<i>List the FARs raised for validating/verifying VVB here.</i> <i>FAR 1.VVB shall check start date with documentary evidence.</i> <i>FAR 2. VVB shall check stakeholder consultation report including date of invitation, whether minimum group of stakeholder were consulted, feedback round, Continuous Input / Grievance Mechanism including location of Continuous Input / Grievance Expression Process Book and confirm it meets all Stakeholder Consultation and Engagement Requirements.</i> <i>FAR 3: VVB shall check and confirm that crediting period is correct.</i>

² The date when the Project Developer has finished:

- (a) signing and submitting the Terms and Conditions,
- (b) submitting the Preliminary review form and required project documentation AND
- (c) payment of applicable Preliminary Review fee.

Further comments	<p><i>List any other relevant OBs/CLs, as needed here.</i></p> <p><i>CAR 1: As the VPA is for Nigeria so PD shall mention details pertaining to Nigeria only in preliminary review form.</i> <i>CME Response : CME has made the correction by removing mention details of GS 12965 VPA-1 Uganda.</i></p> <p><i>CAR 1 is closed.</i></p> <p><i>CAR 2: PD shall correct end date of crediting period in section 3.1 and 3.2.</i> <i>CME Response : End Date of the crediting period is corrected as 28.02.2030.</i></p> <p><i>CAR 2 is closed.</i></p> <p><i>CAR 3: PD shall provide response to question 1.20 in section 3.3.</i> <i>CME Response : CME has made the correction as "Not applicable" due to fact that Stakeholder Consultation is conducted before the start date of the project.</i></p> <p><i>CAR 3 is closed.</i></p> <p><i>CAR 4: PD shall correct response to question 1.25 in section 3.3.</i> <i>CME Response : PD has made the correction.</i></p> <p><i>CAR 4 is closed.</i></p> <p><i>CAR 5: PD shall mention date of stakeholder feedback round in stakeholder consultation report.</i> <i>CME Response : CME has made the correction.</i></p> <p><i>CAR 5 is closed.</i></p> <p><i>CL 1; PD shall submit signed Cover letter, Terms of Use and Terms and conditions.</i> <i>CME Response : CME has submitted signed Cover letter, Terms of Use and Terms and conditions.</i></p> <p><i>CL 1 is closed.</i> <i>CL 2: PD has responded 'No' to question 1.35 in section 3.3. PD shall clarify the same.</i> <i>CME Response: CME has made the correction.</i></p> <p><i>CL 2 is closed.</i></p>
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NOTE:

- *The CARs, OBs and FARs, raised at the Preliminary review stage are indicative only; further matters may be raised or interpreted differently by the VVB and/or Gold Standard or appointed Certification body, SustainCERT, during the Project Certification Cycle.*
- *The validating/verifying VVB shall validate FARs (both for PDs & VVBs) and provide its opinion in the validation/verification report as applicable.*

3 | PROJECT INFORMATION

This section is to be completed by the Project Developer/CME

3.1 | Key Project Information

Table 1 Key project information – All projects

GS ID and Title of the PA/PoA/VPA <i>If multiple VPAs are submitted together for listing, the CME shall include the title and GS ID of all VPAs submitted in the batch. Add new rows as needed.</i>	GS ID - Project/ PoA/ VPA		Title
	GS 12964		Clean Water Beyond in Africa PoA
	GS12966		GS12964 VPA-2 Clean Water and Beyond in Africa PoA- Nigeria
	GS12976		GS12964 GS 12966 RVPA-1 Clean Water and Beyond in Africa-Nigeria
Type of project	<input type="checkbox"/> Project Activity (PA) <i>(standalone project)</i> <input checked="" type="checkbox"/> Programme of Activity (PoA) <input checked="" type="checkbox"/> Voluntary Project Activity (VPA(s)) <i>(project part of a PoA)</i>		
Host Country (ies) <i>For a multi-country PoA, include the names of all the countries here</i>	Nigeria		
Location of the project implementation	Country	State, region/ province	City or Village
<i>Provide as precise information about the project location as known to the project developer at the time of submission of this form.</i>			
	Nigeria		
Geo-coordinates of the project implementation site <i>Please provide geo-coordinates in Degrees, minutes, and seconds (DMS) format e.g. 41°24'12.2"N and 2°10'26.5"E.</i> <i>A map may be included in section 3.2 for additional information below.</i>	GS ID	Latitude	Longitude
	GS 12966	6.465422	3.406448
	GS 12976	6.465422	3.406448
Technology/ measures to be implemented <i>e.g. improved cookstove, solar PV, waste management etc.</i>	Borehole pumps (not fossil fuel-driven) (energy efficiency-domestic)		

<p>The scale of the activity</p> <p><i>Refer to the applicable Activity Requirements to confirm the scale of the projects.</i></p>	<input type="checkbox"/> Micro-scale <input checked="" type="checkbox"/> Small-scale <input type="checkbox"/> Large-scale <input type="checkbox"/> Others		
<p>Activity Requirements applied</p>	<input type="checkbox"/> Renewable Energy Activity Requirements <input checked="" type="checkbox"/> Community Services Activity Requirements <input type="checkbox"/> Land-Use & Forests Activity Requirements <input type="checkbox"/> Agriculture Activity Requirements <input type="checkbox"/> New project types <input type="checkbox"/> Others (rules and requirements available in Principles and Requirements apply)		
<p>Applied methodology (ies)</p> <p><i>Refer to the approved Impact quantification methodologies and eligible CDM methodologies.</i></p> <p><i>Any additional GS applicability criteria for the selected CDM methodology(ies) as stated in the list, applies for GS4GG certification.</i></p>	<input checked="" type="checkbox"/> Gold Standard approved methodology, including any specific Gold Standard applicability criteria <input type="checkbox"/> A project-specific methodology (Applicable to Microscale Project/PoA only) <input type="checkbox"/> Proposing a new methodology		
	<p><i>Title(s) of methodology (ies)</i></p>	<p>Methodology for Emission Reductions from Safe Drinking Water Supply</p>	
	<p><i>The version number of the methodology (ies)</i></p>	<p>Version 1.0, published on 03/05/2021.</p>	
<p>Product Requirements applied</p>	<input checked="" type="checkbox"/> GHG Emissions Reductions & Sequestration <input type="checkbox"/> Renewable Energy Label <input type="checkbox"/> Others <p><i>For all other Certification Statements, Certified SDG Impact Statements or Products.</i> Please specify:</p>		
<p>Project cycle</p> <p><i>Refer to Principles and requirements paragraphs 4.1.39 to 4.1.42 and Activity requirements, where applicable.</i></p> <p><i>For A/R and AGR activity – refer to Terms and Definitions, LUF activity requirements for the project</i></p>	<input checked="" type="checkbox"/> Regular cycle <input type="checkbox"/> Retroactive cycle		
	<p><i>Project Start Date (if known)</i></p>		<p>01.01.2025</p>
	<p><i>Stakeholder consultation physical meeting date</i></p>		<p>24.02.2024 - Nigeria –</p>
	<p><i>Crediting period (expected)</i></p>	<p><i>Start date</i> <i>End date</i></p>	<p>01.03.2025 28.02.2030</p>

<p>start date.</p>	<p>The project Start Date and the stakeholder consultation date determine the project as –</p> <p>(a) <u>Regular cycle Projects</u>, for which the Stakeholder Consultation (1st round) has been conducted before the Project Start Date.</p> <p>(b) <u>Retroactive cycle Projects</u>, for which the Stakeholder Consultation (1st round) is conducted after the Project Start Date.</p> <p>Retroactive Projects submitted for preliminary review at a date later than one year (five years for LUF projects) from the project start date are not eligible for Gold Standard certification.</p> <p>Retroactive projects are required to provide evidence to demonstrate prior consideration at the time of validation (paragraph 7.1.3 of GHG Emissions Reductions & Sequestration Product Requirements)</p>
<p>Documents submitted with Preliminary Review form</p> <p>Refer to Principles and requirements paragraphs 5.1.7 & 5.1.8 along with the Rule update highlighting major changes to the preliminary review process for further details.</p> <p>Additionally, refer to para 1.1.2 of this document for completion of PDD/PoA -DD/ VPA - DD.</p> <p>Note: If any of the information in supporting documents is confidential, please indicate these here to ensure they are omitted from being published.</p> <p>Note that the Preliminary Review Form (this document), Stakeholder Consultation Report (draft) and Draft PDD (under Pathway 2) will be made publicly available on the Gold Standard Impact Registry. See Rule Clarification on Public Disclosure.</p>	<p>Mandatory document</p> <p><input checked="" type="checkbox"/> Stakeholder Consultation Report or <input checked="" type="checkbox"/> PoA Design Consultation Report and the Stakeholder Consultation Report for at least the first Real Case VPA.</p> <p><input checked="" type="checkbox"/> Signed Cover Letter</p> <p><input checked="" type="checkbox"/> Signed Terms and Conditions</p> <p><input checked="" type="checkbox"/> Signed Terms of Use</p> <p>Optional document</p> <p><input checked="" type="checkbox"/> Draft PDD/PoA-DD/VPA-DD (if applicable, refer to Section 1 above)</p> <p><input checked="" type="checkbox"/> Draft SDG Impact Tool</p> <p><input type="checkbox"/> Others (List the document below)</p> <p><input type="checkbox"/> Other 1 –</p> <p><input type="checkbox"/> Other 2 –</p> <p>Are any of the optional documents or supporting documents confidential?</p> <p><input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes, if yes specify the nature of confidential information in line with Rule Clarification on Public Disclosure.</p> <p>The project developer is expected to secure prior consent from stakeholders as per applicable host country regulations as applicable and follow best practices approaches for data privacy and management.</p>
<p>Project Developer</p> <p>The Registry account holder and authorized owner of the certified product(s).</p> <p>Refer to the Cover Letter for the definition and roles & responsibilities of the project developer.</p> <p>Name of the project developer must be the same as in the Cover letter.</p>	<p>Project developer NAME:</p> <p>NET ZERO DANIŞMANLIK ANONİM ŞİRKETİ</p>

<p>Project Representative</p> <p><i>Indicate the official focal point(s) for the project - this may also be the Project Developer.</i></p> <p><i>Refer to the Cover Letter for the definition and roles & responsibilities of project representatives</i></p> <p><i>Name of the project representative must be the same as in the Cover letter.</i></p>	<p>Project representative NAME:</p> <p>NET ZERO DANIŞMANLIK ANONİM ŞİRKETİ</p>
<p>Declaration</p>	<p>The Project Developer/CME and Project Representative acknowledge and affirm that:</p> <p><input checked="" type="checkbox"/> the preliminary review is intended as a guide to the project developer, is not a certification decision, and does not guarantee that a Project/PoA or VPA shall be successful in validation or design review or ongoing verification and performance review.</p> <p><input checked="" type="checkbox"/> the information provided in the Preliminary Review Form and supporting documents is correct and accurate to the best of their knowledge and understanding of Gold Standard rules and requirements.</p> <p><input checked="" type="checkbox"/> the consequences of any change in applicable GS4GG rules and requirements or any information found incorrect during validation or design certification, is the sole responsibility of the project developer.</p>
<p>Contact details of the representative signing the declaration.</p>	<p><input checked="" type="checkbox"/> Project Developer <input checked="" type="checkbox"/> Project Representative</p> <hr/> <p>Organisation: NET ZERO DANIŞMANLIK ANONİM ŞİRKETİ</p> <p>Position: Project Developer & Project Representative</p> <p>Full Name: Fulya Ekinçi Özen</p> <p>Email: fulya@netzero.com.tr</p>

3.2 | Project Information

A. Purpose and general description of the project

Multi-Country PoA: Clean Water and Beyond in Africa Non-Technical Summary

Net Zero Climate Investments, acting as the Coordinating Managing Entity (CME) and project owner, will jointly implement the '**Clean Water and Beyond in Africa Programme of Activities (PoA)**'. This initiative aims to enhance livelihoods in Uganda and Nigeria by providing access to affordable, clean drinking water to targeted communities. These interventions utilize environmentally safe purification technologies to reduce carbon emissions.

Prioritizing areas for intervention based on household need is paramount. Selection of PoA areas for borehole drilling prioritizes locations without existing drinking water sources within a 1 km radius. Before PoA initiation, all necessary local permissions are obtained, fostering transparency and collaboration.

For borehole rehabilitation, compliance with Gold Standard criteria is confirmed, with priority given to areas lacking sufficient water supply within a 1 km radius. Preventive measures, such as labeling and protection, are implemented to signify the borehole's status as a Gold Standard project, building upon Net Zero's previous successful projects.

The process of drilling new boreholes begins by engaging a local partner to manage operations. Negotiations with local authorities determine optimal locations, and necessary permits are obtained before drilling begins. Hydrogeological surveys guide borehole placement, ensuring efficient water access. Throughout implementation, proactive communication with local authorities maintains transparency and compliance.

To address concerns regarding double counting, Net Zero Climate Investments emphasizes stakeholder engagement and maintains robust communication channels through a dedicated local team. Collaboration with local authorities is integral to prevent overlapping activities. Net Zero will work closely with local communities to drill new boreholes and rehabilitate existing ones, ensuring access to clean and safe drinking water.

Overall, the project adheres to environmental and social safeguards outlined by the Gold Standard and related methodologies, ensuring positive contributions to these aspects while addressing critical water and energy needs in **Uganda and Nigeria**.

Net Zero Climate Investments is committed to meeting ongoing needs in the field by rehabilitation and drilling boreholes in areas where they are needed. **The project has a lifetime of 20 years, borehole projects designed to last for 15 years.** Both Net Zero Climate Investments and its local partners will ensure continuous monitoring of the projects to maintain their effectiveness and sustainability.

Project Participant's commitment to conducting local field research in Uganda, and Nigeria, leveraging the expertise of local teams, underscores the pressing need for clean water access in these regions. The findings from on-the-ground assessments reveal the urgent nature of the challenges, emphasizing the immediate necessity of addressing water-related issues to improve public health, livelihoods, and environmental preservation. The field survey conducted by the PoA's local partners has unveiled a concerning reality: the water supply and treatment infrastructure falls significantly short of adequately serving the districts within the PoA's geographical scope. Most of the districts are not covered by a functional water supply and treatment system. In fact, the availability of public boreholes is alarmingly sparse, and most of them are not operational as they should be.

Adding to this challenge is the accessibility of clean water to households. Despite being available for purchase, the socio-economic difficulties faced by most rural residents render them unable to afford this vital resource. Consequently, a critical gap exists in providing clean water to these communities. There are also water vendors in the area who sell untreated lake water in jerry cans to the community. The water drawn from lake is either consumed directly or is treated by boiling

Summaries the project, applied technology(ies) and its purpose in no more than 800 words.

B. GHGs included

Select the GHGs that are included in the project

- ☒ CO₂
☐ CH₄
☐ N₂O

Add text here

- ☐ Others (please specify in the box below)

C. Expected GHGs emissions reduction/removal

Table 2 – Summary of expected emission reduction/removal

Crediting period (1st) Start year	01.03.2025	Year 2025
Crediting period (1st) End year	28.02.2030	Year 2030
Annual average	60,000	tCO ₂ eq per year
Total emission reductions (tCO ₂ eq)	300,000	tCO ₂ eq

3.3 | Project Eligibility Assessment

The assessment questions below are intended to gather key information on the Project and to provide relevant information on applicable requirements for ease of reference. The project developer/CME is expected to go through the detailed requirements referring to relevant GS4GG standard documents, as applicable, for further details.

The project developer/CME shall answer all assessment questions below.

To be completed by Project Developer/CME for all projects/PoAs/VPAs

Table 3 - Project Eligibility Assessment

1| General eligibility assessment

1.1 Is the project eligible project type under Gold Standard for the Global Goals? Refer to Section 4a, ELIGIBLE PROJECT TYPES, Principles and Requirements	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.2 Does the project/VPA/PoA involve any technology/measure related to <ul style="list-style-type: none"> - geoengineering - energy generated from fossil fuels - nuclear energy, - fossil fuel switch, or in any way support, enhance or prolong such energy generation?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1.3 In case the technology/measure is related to "energy generated from fossil fuels" (for example, LPG stoves), is exception made and captured in the relevant Activity Requirements, Approved Methodology and/or Product Requirements?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1.4 Is the proposed activity also registered or pursuing certification under any other voluntary or compliance standards programme?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1.5 If the proposed Project, PoA, or VPA is a part of another standard, voluntary or compliance standards programme, confirm the name(s) below. Otherwise, select 'Not Applicable'. <input checked="" type="checkbox"/> Not Applicable <input type="checkbox"/> CDM <input type="checkbox"/> VCS/VERRA <input type="checkbox"/> ACR <input type="checkbox"/> CAR <input type="checkbox"/> Plan Vivo <input type="checkbox"/> Other (<i>Insert the name here</i>)	
<i>Note – If the project is transitioning from CDM or other Standards to Gold Standard for Global Goals (GS4GG) shall use a Transition Request Form instead of this Preliminary Review form.</i>	
1.6 Does the Project have an overlapping Project Area with that of another Gold Standard or other voluntary or compliance standard programme of a similar nature? <i>If "Yes", take note of paragraph 3.1.1.(c) Principles and Requirements.</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1.7 Is the proposed PA/PoA/VPA or any component of it required by an existing legally binding mandate of a host country?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
1.8 Does the project involve legal ownership transfer of any Products that are generated under Gold Standard Certification (for example carbon credits) from project beneficiaries? <i>If "yes", take note of paragraph 3.1.1.(f) Principles and Requirements.</i>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

1.9 Is a relevant activity requirement available for the proposed project activity?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<i>If no, take note of paragraph 4.1.4, Principles and Requirements.</i>	
1.10 Is PA/PoA/VPAs located in conflict zones, refugee camps or areas that pose a high risk to life and/or health?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<i>If yes, take note of the provisions specified in Annex B Principles and Requirements.</i>	

2| Sustainable Development Assessment

1.11 Does the project positively contribute to a minimum of three Sustainable Development Goals (SDGs): SDG13 (mandatory) + two other SDGs?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<i>All projects shall demonstrate a clear, direct contribution to sustainable development, defined as making demonstrable, positive impacts on at least three Sustainable Development Goals (SDGs), one of which must be SDG 13 (Section 4. (c) of Principles and Requirements).</i>	
<i>Also, take note of the requirements of paragraph 4.1.30 of Principles and Requirements regarding the opinion and recommendations of Expert Stakeholders.</i>	
1.12 Select below the identified SDGs that the project will likely contribute to.	
<input checked="" type="checkbox"/> SDG 1: No Poverty	<input type="checkbox"/> SDG 7: Affordable and Clean Energy
<input type="checkbox"/> SDG 2: Zero Hunger	<input checked="" type="checkbox"/> SDG 8: Decent Work and Economic Growth
<input checked="" type="checkbox"/> SDG 3: Good Health and Well-being	<input type="checkbox"/> SDG 9: Industry, Innovation and Infrastructure
<input type="checkbox"/> SDG 4: Quality Education	<input type="checkbox"/> SDG 10: Reduced Inequality
<input checked="" type="checkbox"/> SDG 5: Gender Equality	<input type="checkbox"/> SDG 11: Sustainable Cities and Communities
<input checked="" type="checkbox"/> SDG 6: Clean Water and Sanitation	<input type="checkbox"/> SDG 12: Responsible Consumption and Production
<input checked="" type="checkbox"/> SDG 13: Climate Action	
<input type="checkbox"/> SDG 14: Life Below Water	
<input checked="" type="checkbox"/> SDG 15: Life on Land	
<input type="checkbox"/> SDG 16: Peace and Justice Strong Institutions	
<input type="checkbox"/> SDG 17: Partnerships to achieve the Goal	
1.13 Did you use the SDG tool for SDG impact assessment?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<i>You may use the SDG impact Tool to identify the relevant monitoring indicator, SDGs and corresponding SDG targets and design a monitoring plan for identified indicators. It is not required to submit the SDG tool for the project for preliminary review, however, it must be completed and submitted to VVB for validation.</i>	

3| Safeguarding Principles Assessment

1.14 Have you completed the assessment against the Gold Standard Safeguarding Principles and Requirements ?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.15 Have you identified any relevant potential risks and adverse outcomes?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<i>If the answer to any of the assessment questions set out against Safeguarding principles is either "Yes" or "potentially", the answer to this question is "Yes". Take note of the procedure outlined in paragraph 2.1.4 of GS4GG Principles and Requirements.</i>	

1.16 If the answer to the previous question is "Yes," is the Project Developer aware that the project must comply with the requirements with regard to the relevant safeguarding principle through design, management or risk mitigation?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable
1.17 If the answer to the previous question is "Yes," is the Project Developer aware that the mitigation measures must be added to the Monitoring Plan (as required)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable

Safeguarding Principles Assessment must conform to the [Safeguarding Principles and Requirements](#). Also, take note of the requirements of paragraph 4.1.30 of [Principles and Requirements](#) regarding the opinion and recommendations of Expert Stakeholders.

4| Stakeholder Consultation Summary

1.18 Did you conduct the physical stakeholder consultation for stand-alone project activity or VPAs before the project start date, or, for retroactive projects, before submission for preliminary review?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<i>Retroactive projects may combine their physical stakeholder consultation with the feedback round. The feedback round shall be completed before applying for preliminary review.</i>	
1.19 Did the CME conduct the PoA design consultation before the time of the first submission to Gold Standard for Preliminary Review?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable
<i>Applicable for PoA</i>	
1.20 If the Stakeholder Consultation is conducted after the start date of the project (retroactive project), is the Project Developer aware of the following requirements: <ul style="list-style-type: none"> a. provide clarification on why the stakeholder consultation is not conducted before the project start date, and b. conduct consultation with relevant stakeholders as early as possible, and c. provide further explanation of how comments received during the consultation were taken into account in the design and implementation of the project. 	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable
<i>Not applicable for PoA</i>	
1.21 In case a grouped stakeholder consultation for multiple VPAs was conducted, is the Project Developer aware of the following requirements: <ul style="list-style-type: none"> a. The VPAs correspond to the same real case VPA(s), and b. The VPAs are to be implemented within the same host country, and c. The VPAs are to be implemented within the geographical boundary, applicable to the group defined for a single consultation. The applicable geographical boundary is defined at the time of the first physical meeting of the specific grouped consultation, and d. The VPAs are included in the PoA within two years of the first physical meeting conducted for grouped consultation 	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable
<i>Applicable for VPAs only</i>	

1.22 Does the list of invited stakeholders cover all stakeholder groups (a) to (g) listed in section 3.3.1 (for stand-alone project activity or VPAs) or groups (a) to (e) listed in section 4.4.1 (for PoA) of Stakeholder Consultation and Engagement Requirements	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.23 Did the invitation methods solicit input from women and marginalised groups, including women, youth, the poor, informal sector workers, ethnic minorities, indigenous peoples, disabled or elderly people, and members of the LGBTQ community?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.24 Were the stakeholders invited at least 30 days before the physical stakeholder meeting?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.25 Did you share information in a manner (format, medium, language(s), etc.) that allows local stakeholders to understand how the project is likely to affect them?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.26 Did you discuss identified direct positive and negative impacts of the projects with stakeholders as assessed for SDG contributions and safeguarding assessment?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.27 Was a gender lens applied to assessing comments? (For example, if only men provided comments on a household device project, was this taken into consideration when assessing the relevance of the comment?)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.28 Were any serious, reasonable, and proportional concerns raised taken into account and satisfactorily addressed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable
1.29 Did you provide feedback to Stakeholders on how their comments have been taken into account as part of the stakeholder feedback round?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable
1.30 Were any points that warrant mitigation measures marked as such and was their monitoring plan designed and included in the PDD?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable
1.31 Did you discuss the potential options for continuous input and grievance mechanism with stakeholders and agree on an appropriate method	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.32 Is the mandatory Continuous Input / Grievance Expression Process Book's location clearly stated (and therefore usable)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.33 Have you documented the stakeholder consultation process and outcomes in a Stakeholder Consultation Report?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.34 Is the Project Developer aware that the PDD must include a summary report of the comments received from local stakeholders?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<i>Section 4.1.25 of GS Principles and Requirements All Projects shall conduct Stakeholder Consultation and an ongoing engagement process. The Stakeholder Consultation shall be conducted in accordance with the requirements outlined in this section and Gold Standard Stakeholder Consultation & Engagement Requirements.</i>	
1.35 Has the Project Developer conducted a Stakeholder Consultation in accordance with the requirements of Gold Standard Stakeholder Consultation & Engagement Requirements ?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

The questions from 1.18 | to 1.34 | act as a cross-check for question 1.35 |. If the answer to any of the questions from 1.18 | to 1.34 | above is "no" please specify the reason in the row below

Question(s) number answered "No" for Section D Stakeholder Consultation Summary	Add text here
Please specify the reason	Add text here

5| Compliance with relevant Activity Requirements

1.36 Does the project conform to the relevant Activity (CSA/RE / LUF)? In case of other activities follow the requirements specified in the GS Principles and Requirements .	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable
1.37 Do any specific eligibility criteria/requirements stipulated in the Activity requirements apply to the project? (See Annex A of CSA/RE).	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Reference: Section 4.1.1 of [GHG Product Requirements](#)

FOR GSVERs/CERs - Typical eligible project types are [Renewable Energy Supply](#), [End-Use Energy Efficiency Improvement](#), [Waste Handling & Disposal](#), [Land Use and Forests](#) (A/R and AGR), and Sustainable Urban Development (contextual requirement). You may find the detailed eligibility requirements in the applicable [Activity requirements](#).

Also, take note of additional eligibility requirements outlined for specific technology types/measures. For example, RE projects - Hydropower · biomass resources · landfill gas and biogas from agro-processing, wastewater, and other residues · Waste Heat/Gas recovery · Fossil co-generation · Waste incineration and gasification · Waste handling and disposal are required to demonstrate compliance with the specific eligibility requirements provided in Annex – A of [Renewable Energy Activity Requirements](#) for further details.

Similarly, for technologies under Community Services Activity Refer to Annex – A of [Community Services Activity Requirements](#) for further details.

Land Use and Forests, for example, afforestation/ reforestation ([LUF Activity Requirements](#))

6| Applicability of the methodology/tool version

1.38 Does the project comply with all the applicability and eligibility criteria of the applied methodology/ies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.39 Does the project comply with any additional Gold Standard applicability criteria set for using the applied methodology? Refer to Impact quantification methodology .	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
1.40 Does the project apply the latest version of the methodology and applicable tools available at the time of the first submission of this form ?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

7| Additional Information for LUF Activities

If applying the LAND-USE & FORESTS ACTIVITY REQUIREMENTS answer the following questions:

1.41 Scope		<input type="checkbox"/> Afforestation and Reforestation <input type="checkbox"/> Agriculture <input type="checkbox"/> Mangroves	
1.42 Does the project/ PoA/VPA(s) intend to apply the Smallholder Requirements? Refer to <u>Smallholder definition and applicable requirements</u>		<input type="checkbox"/> Yes <input type="checkbox"/> No	
1.43 Does the Project involve a Silvicultural system? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide details >		<input type="checkbox"/> Conservation (no use of timber)	[Insert area (ha) here]
		<input type="checkbox"/> Rotation Forestry	[Insert area (ha) here]
		<input type="checkbox"/> Selective Harvesting	[Insert area (ha) here]
		<input type="checkbox"/> Other (please specify)	[Insert area (ha) here]
1.44 Project Area (ha) The project area is the sum of all eligible and non-eligible areas. Refer to the applicable <u>LUF activity requirements</u> for further details.		[Insert area (ha) here]	
1.45 Does the project documentation clearly distinguish the project area boundaries?		<input type="checkbox"/> Yes - please submit evidence with this Preliminary Review Request. <input type="checkbox"/> No	
1.46 How much of the project area will be identified and used to protect or enhance the biological diversity following the High Conservation Value (HCV) approach? Refer to the applicable <u>LUF activity requirements</u> for further details.		[Insert area (ha) here]	
1.47 Eligible Area (ha) Refer to the applicable <u>LUF activity requirements</u> for further details.		[Insert area (ha) here]	
1.48 Does the Project Developer/CME have evidence(s) for the entire eligible area mentioned above to demonstrate compliance with the applicable guidelines for spatial analysis of land eligibility assessment of the applicable LUF requirements? Please note that a full eligibility assessment shall be submitted at the time of preliminary review.		<input type="checkbox"/> Yes - Please submit evidence for the entire eligible area with this Preliminary Review Request. <input type="checkbox"/> No	

<p>1.49 Deforestation assessment:</p> <p>Refer to the applicable LUF activity requirements -</p> <p><i>In the case when the eligible area has been deforested during the 10 years prior to the project start date, the eligibility of the project shall be determined by Gold Standard as part of the Preliminary Review.</i></p>	<p>Does the project developer/CME have evidence as per the applicable LUF activity requirements that the proposed eligible area was not partly or entirely deforested within the 10 years prior to the project start date?</p> <p><input type="checkbox"/> Yes – please submit evidence of no deforestation</p> <p><input type="checkbox"/> No – please request a waiver by submitting evidence that the deforestation activity has not taken place with an intention to implement project activities that generate Gold Standard Certified SDG Impact Statements and/or Products, such as GSVERs.</p> <p>1) Submit a request to help@goldstandard.org before applying for Preliminary Review</p> <p>2) Submit a waiver from Gold Standard with the PRR</p>	
<p>1.50 Will the project/PoA/VPA involve multiple Modelling Units (MUs) i.e., distinct parts of the eligible area where homogeneous characteristics to quantify a certain SDG Impact (growth patterns, management treatment and start date) exist?</p>	<p><input type="checkbox"/> Yes - please state the number of MUs and the area (ha) of each MU in the draft PDD.</p> <p><input type="checkbox"/> No</p>	
<p>1.51 Does the draft PDD include relevant evidence on buffer zones around water bodies?</p> <p><i>The Project Developer shall maintain a buffer zone of 15 meters on both sides of any permanent or temporary water bodies such as lakes, streams, rivers, wetlands, etc. Irrigation channels are excluded from this requirement.</i></p>	<p>The total area (ha) of the buffer?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
	<p>A map indicating the location of buffers?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
	<p>Justification of how the project will comply with the limitations on activities in buffers?</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>1.52 Indicate any additional document submitted along with the Preliminary Review form for LUF activity</p>	<p><input type="checkbox"/> Performance risk assessment (see Risks and Capacities template)</p> <p>Evidence (GIS Vector layers/Map) or, if not applicable, justification is included in the draft PDD for:</p> <p><input type="checkbox"/> Project region</p> <p><input type="checkbox"/> Project area</p> <p><input type="checkbox"/> Eligible areas</p> <p><input type="checkbox"/> Buffer areas around water bodies</p> <p><input type="checkbox"/> Individual Modelling Units</p> <p><input type="checkbox"/> Infrastructure (roads, houses, etc.)</p> <p><input type="checkbox"/> Protected areas</p> <p><input type="checkbox"/> Biodiversity areas</p> <p><input type="checkbox"/> Where affected people are situated</p> <p>Other (please specify):</p>	

DOCUMENT HISTORY

Version	Release Date	Description
2.0	16.08.2022	Changes in line with the Rule-Update , namely; <ol style="list-style-type: none"> 1. Addition of details pertaining to pathway 1 and 2 of the preliminary review 2. Revision of assessment questions
1.1	21.02.2022	Editorial changes. Reflecting the publication of the SDG Impact Tool.
1.0	08.12.2021	Initial adoption