# CSE/ECE-6730 Modeling and Simulation: Fundamentals & Implementation

# Project 1

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# **GitHub Repository:**

https://github.gatech.edu/sshahi3/CSE6730Project1

# **Tutorial: Recrystallization and Phase Transition Using Cellular Automata and Monte Carlo**

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## 1. Introduction

The objective of this project is to present discrete computational models capable of simulating continuous physical phenomena. Our interest lies in tow important problem in material science, namely Recrystallization and phase transition of metalic materials. Recrystallization is a process by which deformed grains in poly crystalline materials are replaced by a new set of defects-free grains that nucleate and grow until the original grains have been entirely consumed. Recrystallization is usually accompanied by a reduction in the strength and hardness of a material and a simultaneous increase in the ductility. In this project, a Cellular Automata code is developed in Python to study the kinetics of recrystallization in two dimensions. The code will be capable of capturing nucleation, growth and slowing of grains. The model maps the microstructure onto a discrete square lattice, with each square having two states of either crystallized or not crystallized. A transformation rule is then applied to a random cell, and based on the state of cell and its neighbors the switching probability is calculated.

While seem very powerful for modeling recrystallization phenomenon, celluar automata is incapable of capturing temperature induced phase transition in these systems, hence requiring an alternative approach. Here we picked a kinetic monte carlo algorithm, which although may seem similar to cellular automata in the sense of general flow of the algorithm, its inherenet enegy dependent probabilistic nature make it suitable for phase transition. Phase transition happens in crystalline materials as a response to temperature, where in low temperatures the single phase crystalline structure is the most stable state, while in high temperatures the nucleation and mixing of a secondary phase would be the most stable case. Accurately capturing this phenomenon turned out to be a daunting task if one chooses to pick a continuous description, as it requires heavy perturbation analysis, but with an appropriate choice of a discrete model we will show in this model that this phase transition can be captured.

The structure of this notebook is naturally divided in two sections, as it entail two different models. First, we start with the celluar automata model for recrystallization, then we move to the more advanced technique of Monte Carlo for capturing phase transition. Theoretical and mathematical background of each section is presented first, followed by Python code implementation.

# 2. Cellular Automota for Recrystallization

### 2.1. Material Science Background

The recrystallization process is accomplished by heating the material to temperatures above that of crystallization. This process is utilized in the annealing of steel, to eliminate all the effects of strain hardening such as heavy plastic deformation produced during cold working. When temperature is raised, the new, stressfree gains nucleate and grow inside the old deformed grains and also at the grain boundaries. This replaces the distorted grains produced by strain hardening. The metal's mechanical properties revert back to their original, more ductile and weaker state. The temperature above which the process occurs is not constant and is largely dependent on amount of time, steel composition, and amount of cold work. The more strain hardening, the lower the recrystallization temperature and the smaller the new grain sizes. A minimum of between two and twenty percent cold work is required for recrystallization to occur. Recrystallization temperature is typically one-third to one-half the melting point (in degrees Kelvin), and raises the atomic mobility, which results in recrystallization. The recrystallization results in lower hardness and strength properties of the material, in addition to increased ductility. It might be an undesirable byproduct of another processing step. Various applications include decreasing strength and hardness levels, increasing ductility, allowing recovery process by removal or reduction of cold-working effects, increasing equiaxed ferrite grains formed from elongated grains This process is widely used in metal processing such as annealing of stamped parts in cold-rolled steel, and with forged parts to prepare them for subsequent operations like cold forming or machining. The purpose of this work is to present a framework, based on Ising model of magnets, to simulate this process.

#### 2.2 Basics of cellular automata

Cellular automata are algorithms that describe the discrete spatial and temporal evolution of complex systems by applying local (or sometimes long-range) deterministic or probabilistic transformation rules to the cells of a regular (or non-regular) lattice. Space is defined on a regular array of lattice points that can be regarded as the nodes of a finite difference field [1]. The lattice maps the elementary system entities that are regarded as relevant to the model under investigation. The individual lattice points can represent continuum volume units, atomic particles, lattice defects, or colors depending on the underlying model. The state of each lattice point is characterized in terms of a set of generalized state variables [2]. These could be dimensionless numbers, particle densities, lattice defect quantities, crystal orientation, particle velocity, blood pressure, animal species, or any other quantity the model requires. The actual values of these state variables are defined at each of the individual lattice points. Each point assumes one out of a finite set of possible discrete states. The opening state of the automaton, which can be derived from experiment (for instance from a micro-texture experiment) or theory (for instance from finite element simulations), is defined by mapping the initial distribution of the values of the chosen state variables onto the lattice. The dynamical evolution of the automaton takes place through the application of deterministic or probabilistic transformation rules (also referred to as switching rules) that act on the state of each lattice point. These rules determine the state of a lattice point as a function of its previous state and the state of the neighboring sites. The number, arrangement, and range of the neighbor sites used by the transformation rule for calculating a state switch determine the range of the interaction and the local shape of the areas that evolve. Cellular automata work in discrete time steps. After each time interval, the values of the state variables are updated for all lattice points, mapping the new (or unchanged) values assigned to them through the transformation rule. Owing to these features, cellular automata provide a discrete method of simulating the evolution of complex dynamical systems that contain large numbers of similar components on the basis of their local (or long-range) interactions. Cellular automata do not have restrictions in the type of elementary entities or transformation rules employed. They can map such different situations as the distribution of the values of state variables in a simple finite difference simulation, the colors in a blending algorithm, the elements of fuzzy sets, or elementary growth and decay processes of cells. For instance, the Pascal triangle, which can be used to calculate higher-order binominal coefficients or the Fibonacci numbers, can be regarded as a one-dimensional cellular automaton in which the value that is assigned to each site of a regular triangular lattice is calculated through the summation of the two numbers above it. In this case, the entities of the automaton are dimensionless integer numbers and the transformation rule is a summation. The local interaction of neighboring lattice sites in a cellular automaton is specified through a set of transformation (switching) rules. Although the original automata were designed with deterministic transformation rules, probabilistic transformations are conceivable as well. The value of an arbitrary state variable assigned to a particular lattice site at a time  $(t_0+\Delta t)$  is determined by its present state  $(t_0)$  (or its last few states  $t_0$ ,  $t_0-\Delta t$ , etc.) and the state of its neighbors (1–4). Considering the last two time steps for the evolution of a one-dimensional cellular automaton, this can be put formally by writing  $\xi_j^{t_0+\Delta t}=f(\xi_{j-1}^{t_0-\Delta t},\xi_j^{t_0-\Delta t},\xi_{j+1}^{t_0-\Delta t},\xi_j^{t_0},\xi_{j+1}^{t_0})$ 

$$\xi_{j}^{t_{0}+\Delta t}=f(\xi_{j-1}^{t_{0}-\Delta t},\xi_{j}^{t_{0}-\Delta t},\xi_{j+1}^{t_{0}-\Delta t},\xi_{j}^{t_{0}},\xi_{j+1}^{t_{0}})$$

where  $\xi_j^{t_0}$  indicates the value of the variable at a time  $t_0$  at the node j. The positions j+1 and j-1 indicate the nodes in the immediate neighborhood of position j (for one dimension). The function f specifies the set of transformation rules, for instance such as provided by standard discrete finite difference algorithms. If the state of the node depends only on its nearest neighbors (NN), the array is referred to as yon Neumann neighboring. If both the NN and the next nearest neighbors (NNN) determine the ensuing state of the node, the array is called Moore neighboring. The computer implementation of these neighborhoods is presented below:

First, we import all the required Python libraries, including the scientific computing and plotting ones:

```
In [1]: import os
   import numpy as np
   import matplotlib.pyplot as plt
   import argparse
   from copy import deepcopy
   from __future__ import print_function
```

Then we move to constructing a class that defines the lattice. Since the structure is an object, we go beyond just defining the geometry and also include the associated vairables of each spin of the lattice. The most important variable of each site is its state, which could be either crystallized or recrystallized, presented by either 0 or 1. Another important method of this class is the **flip\_spin** that enables switching 0 to 1 for each site. In addition to visualization methods, this class also includes definition of both Von Newmann and Moore neighborhood of each site.

```
In [2]: class CubicIsingLattice:
            Main Method Usage:
                lat = CubicIsingLattice(3) will initialize a 3x3 lattice of spins
                lat.size()
                                                 the total number of spins on the latt
        ice
                lat.spins()
                                                 all spin states
                lat.spin mag()
                                                 magnitude of the spins (assumed to be
        the same)
                                                 spin ispin state
                lat.spin(ispin)
                lat.nside()
                                                 number of spins per dimension
                Lat.shape()
                                                 shape of latice (default: [lat.nside
        (), Lat.nside()])
                lat.nb list()
                                                 neighbour list
                                                 to change spin state of ispin
                lat.flip_spin(ispin)
            def __init__(self,spins_per_dim,ndim=2,spin_mag=1.0):
                # save input quantities
                self. spins per dim = spins per dim # number of spins along each dimen
        sion of the cubic lattice
                self. ndim
                                                    # number of dimensions
                               = ndim
                self._spin_mag = spin_mag
                                                    # magnitude of a single spin (like
        mass)
                self. nb per dim = 2
                                                   # 2 neighbours per dimension on a
         cubic lattice
                # save calculated quantities
                self._shape = [spins_per_dim]*ndim # default: (spins_per_dim,spins_pe
        r dim)
                self._nspin = spins_per_dim**ndim # total number of spins
                # initialize all spins to be pointing up
                self._spins = np.ones(self._shape,dtype=int) # initialize cubic lattic
        e of spins
                # allocate and initialize neighbor list to establish the topology of t
        he lattice
                self._nb_list = np.zeros([self._nspin,self._nb_per_dim*self._ndim],dty
        pe=int)
                self._nb_list_extended = np.zeros([self._nspin,self._nb_per_dim*self._
        ndim*2],dtype=int)
                self._nb_list_extended_double = np.zeros([self._nspin,self._nb_per_dim
        *self. ndim*2+16],dtype=int)
                for ispin in range(self._nspin): # calculate and save the neighbours o
        f each spin
                    self. nb list[ispin,:] = self.neighbours(ispin)
                    self. nb list extended[ispin,:] = self.neighbours extended(ispin)
                    self. nb list extended double[ispin,:] = self.neighbours extended
        double(ispin)
            def __str__(self):
                # allow lattice to be printed
                return str(self. spins)
```

```
# accessor methods
    def ndim(self):
        return self._ndim
    def size(self):
        return self._nspin
    def nside(self):
        return self._spins_per_dim
    def spin_mag(self):
        return self._spin_mag
    def shape(self):
        return self._shape[:]
        # return a copy to deter external modification
    def spins(self,copy=True):
        if copy:
            return self. spins.copy()
        else:
            return self._spins
    def spin(self,ispin):
        spin_idx = self.multi_idx(ispin)
        return self. spins[spin idx]
    def nb_list(self,copy=True):
        if copy:
            return self._nb_list.copy()
        else:
            return self. nb list
    def nb_list_extended(self,copy=True):
        if copy:
            return self._nb_list_extended.copy()
        else:
            return self. nb list extended
    def nb_list_extended_double(self,copy=True):
        if copy:
            return self._nb_list_extended_double.copy()
        else:
            return self._nb_list_extended_double
    def linear_idx(self,tuple_idx):
        # locate the linear index of a spin on the lattice: this method takes
        # multi-dimensional index and returns a single integer that labels the
selected spin
        # quards
        out_lower_bound = np.where(tuple_idx<0)</pre>
        out upper bound = np.where(tuple idx>=self.nside())
```

```
ispin = np.ravel_multi_index(tuple_idx, self.shape())
        return ispin
   def multi idx(self,ispin):
       # locate the multi-dimensional index of a spin on the lattice:
       # this method takes a index and returns a multi-dimentional index
       if ispin >= self.size() or ispin<0: # guard against misuse</pre>
            raise IndexError("linear spin index %d is out of bounds."%ispin)
        return np.unravel_index(ispin, self.shape())
   def flip_spin(self,ispin):
       # flip spin ispin and return the change in total magnetization
       dmag = self.mag change(ispin) # change of total magnetization after
flip
       spin idx = self.multi idx(ispin) # find the spin to flip
        self._spins[spin_idx] *= -1 # flip the spin
        return dmag
   # begin I/O methods
   def append frame(self,filename):
       fhandle = open(filename, 'a')
       fhandle.write("%d\n"%self.size())
       for irow in range(self.nside()):
            row_text = " ".join(["%2d"%spin for spin in self._spins[irow]])
            fhandle.write(row_text+"\n")
       fhandle.close()
   def visualize(self,ax,txt=''):
        # draw the lattice on a matplotlib axes object ax
        if self. ndim != 2:
            raise NotImplementedError("visualization only implemented for 2D 1
attice")
       # draw spins
       ax.pcolormesh(self.spins().T,vmin=-self. spin mag,vmax=self. spin mag,
edgecolor=(1.0, 1.0, 1.0, 0.3))
       # set ticks to spin centers
       ax.set xticks(np.arange(self.nside())+0.5)
       ax.set_yticks(np.arange(self.nside())+0.5)
       # rename ticks
       ax.set_xticklabels(np.arange(self.nside()))
        ax.set_yticklabels(np.arange(self.nside()))
       ax.axis("equal")
       ax.text(0.5,-0.5, txt, horizontalalignment='center')
   def neighbours(self,ispin):
        # return a list of indices pointing to the neighbours of spin ispin
                  = self.multi_idx(ispin)
        spin idx
        neighb list = np.zeros(self. nb per dim*self.ndim(),dtype=int)
```

```
# LEFT
    x minus =spin idx[0]-1
    if x minus<0:</pre>
        x minus=self. shape[0]-1
    elif x_minus>self._shape[0]-1:
        x minus=0
    # RIGHT
    x plus =spin idx[0]+1
    if x plus<0:
        x_plus=self._shape[0]-1
    elif x_plus>self._shape[0]-1:
        x_plus=0
    # BOTTOM
    y minus =spin idx[1]-1
    if y_minus<0:</pre>
        y minus=self. shape[0]-1
    elif y_minus>self._shape[0]-1:
        y_minus=0
    # TOP
    y_plus =spin_idx[1]+1
    if y_plus<0:</pre>
        y_plus=self._shape[0]-1
    elif y_plus>self._shape[0]-1:
        y_plus=0
    neighb_list[0]=self.linear_idx((x_minus,spin_idx[1]))
    neighb_list[1]=self.linear_idx((x_plus,spin_idx[1]))
    neighb_list[2]=self.linear_idx((spin_idx[0],y_minus))
    neighb_list[3]=self.linear_idx((spin_idx[0],y_plus))
    return neighb list
def neighbours_extended(self,ispin):
    # return a list of indices pointing to the neighbours of spin ispin
    spin idx
             = self.multi idx(ispin)
    neighb list = np.zeros(self. nb per dim*self.ndim()*2,dtype=int)
    # LEFT
    x minus =spin idx[0]-1
    if x minus<0:</pre>
        x minus=self. shape[0]-1
    elif x_minus>self._shape[0]-1:
        x minus=0
    # RIGHT
    x plus =spin idx[0]+1
    if x_plus<0:</pre>
        x_plus=self._shape[0]-1
    elif x_plus>self._shape[0]-1:
        x_plus=0
    # BOTTOM
```

```
y minus =spin idx[1]-1
    if y_minus<0:</pre>
        y_minus=self._shape[0]-1
    elif y_minus>self._shape[0]-1:
        y minus=0
    # TOP
    y_plus = spin_idx[1]+1
    if y_plus<0:</pre>
        y plus=self. shape[0]-1
    elif y_plus>self._shape[0]-1:
        y_plus=0
    neighb_list[0]=self.linear_idx((x_minus,spin_idx[1]))
    neighb_list[1]=self.linear_idx((x_plus,spin_idx[1]))
    neighb_list[2]=self.linear_idx((spin_idx[0],y_minus))
    neighb_list[3]=self.linear_idx((spin_idx[0],y_plus))
    neighb_list[4]=self.linear_idx((x_minus,y_minus))
    neighb list[5]=self.linear idx((x minus,y plus))
    neighb_list[6]=self.linear_idx((x_plus,y_plus))
    neighb_list[7]=self.linear_idx((x_plus,y_minus))
    return neighb list
def neighbours_extended_double(self,ispin):
    # return a list of indices pointing to the neighbours of spin ispin
               = self.multi_idx(ispin)
    spin idx
    neighb list = np.zeros(24,dtype=int)
    # LEFT
    x_minus =spin_idx[0]-1
    if x_minus<0:</pre>
        x_minus=self._shape[0]-1
    elif x minus>self. shape[0]-1:
        x_minus=0
    x minus2=x minus-1;
    if x minus2<0:</pre>
        x_minus2=self._shape[0]-1
    elif x minus2>self. shape[0]-1:
        x minus2=0
    # RIGHT
    x_plus = spin_idx[0]+1
    if x_plus<0:</pre>
        x_plus=self._shape[0]-1
    elif x_plus>self._shape[0]-1:
        x_plus=0
    x plus2=x plus+1
    if x_plus2<0:</pre>
        x_plus2=self._shape[0]-1
    elif x_plus2>self._shape[0]-1:
        x_plus2=0
    # BOTTOM
```

```
y minus =spin idx[1]-1
if y_minus<0:</pre>
    y minus=self. shape[0]-1
elif y minus>self. shape[0]-1:
   y minus=0
y minus2=y minus-1
   y_minus2<0:
    y_minus2=self._shape[0]-1
elif y_minus2>self._shape[0]-1:
    y minus2=0
# TOP
y_plus = spin_idx[1]+1
if y_plus<0:</pre>
    y plus=self. shape[0]-1
elif y plus>self. shape[0]-1:
    y_plus=0
y plus2=y plus+1
if y_plus2<0:</pre>
   y plus2=self. shape[0]-1
elif y plus2>self. shape[0]-1:
    y plus2=0
neighb_list[0]=self.linear_idx((x_minus,spin_idx[1]))
neighb list[1]=self.linear idx((x plus,spin idx[1]))
neighb_list[2]=self.linear_idx((spin_idx[0],y_minus))
neighb list[3]=self.linear idx((spin idx[0],y plus))
neighb_list[4]=self.linear_idx((x_minus,y_minus))
neighb_list[5]=self.linear_idx((x_minus,y_plus))
neighb list[6]=self.linear idx((x plus,y plus))
neighb_list[7]=self.linear_idx((x_plus,y_minus))
neighb list[8]=self.linear idx((x minus2,spin idx[1]))
neighb_list[9]=self.linear_idx((x_minus2,y_minus))
neighb_list[10]=self.linear_idx((x_minus2,y_minus2))
neighb_list[11]=self.linear_idx((x_minus2,y_plus))
neighb list[12]=self.linear idx((x minus2,y plus2))
neighb list[13]=self.linear idx((x plus2,spin idx[1]))
neighb list[14]=self.linear idx((x plus2,y minus))
neighb_list[15]=self.linear_idx((x_plus2,y_minus2))
neighb list[16]=self.linear idx((x plus2,y plus))
neighb_list[17]=self.linear_idx((x_plus2,y_plus2))
neighb_list[18]=self.linear_idx((spin_idx[0],y_minus2))
neighb_list[19]=self.linear_idx((x_minus,y_minus2))
neighb_list[20]=self.linear_idx((x_plus,y_minus2))
neighb_list[21]=self.linear_idx((spin_idx[0],y_plus2))
neighb_list[22]=self.linear_idx((x_minus,y_plus2))
neighb_list[23]=self.linear_idx((x_plus,y_plus2))
return neighb_list
```

```
def magnetization(self):
    # total magnetization of the lattice
    tot_mag = 0.0
    tot_mag=np.sum(self.spins()*self.spin_mag())
    return tot_mag

def mag_change(self,ispin):
    # change in magnetization if ispin is flipped
    dmag = 0.0
    dmag = 2*self.spin(ispin)*self.spin_mag()
    return dmag
```

Having discussed the implementation, now we arrive at presenting the neighborhoods. It should be noted that both neighborhoods are used in the simulations of this work and their effect is studied.

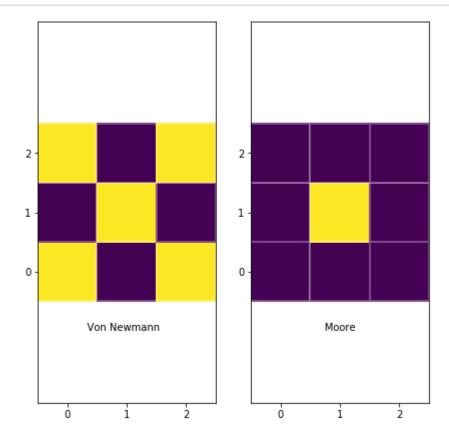
```
In [3]: lat = CubicIsingLattice(3)
fig,ax = plt.subplots(1,2,figsize=(7,7))

spaceString = ' ';
neighbours = [1, 3, 5, 7]
for nb in neighbours:
    lat.flip_spin(nb)

lat.visualize(ax[0], spaceString+'Von Newmann')

neighbours = [0, 2, 6, 8]
for nb in neighbours:
    lat.flip_spin(nb)

lat.visualize(ax[1], spaceString+' Moore')
```



Owing to the discretization of space, the type of neighboring affects the local transformation rates and the evolving morphologies (3). Even for very simple automata there exists an enormous variety of possible transformation rules. For instance, for a one-dimensional cellular automaton (Boolean, von Neumann neighboring), where each node can have one of two possible ground states, say  $\xi_j=0$  or  $\xi_j=1$ , the transformation rule assumes the form

$$\xi_j^{t_0+\Delta t} = f(\xi_{j-1}^{t_0}, \xi_j^{t_0}, \xi_{j+1}^{t_0})$$

This simple Boolean configuration defines 28 possible transformation rules. If the state of a node is determined by the sum of the neighbor site values, the model is referred to as a totalistic cellular automaton. If the state of a node has a separate dependence on the state itself and on the sum of the values taken by the variables of the neighbors, the model is referred as an outer totalistic cellular automaton. These introductory remarks show that the cellular automaton concept is defined in a very general and versatile way. Cellular automata can be regarded as a generalization of discrete calculation methods (1, 2). Their flexibility is due to the fact that, in addition to the use of crisp mathematical expressions as variables and discretized differential equations as transformation rules, automata can incorporate practically any kind of element or rule that is deemed relevant. Next section is devoted to developing an evolution rule that is approriate for the purpose of this work.

#### 2.3. Application of Cellular Automata for recrystallization

During a typical recrystalliztion process, three phenomena is distinctly observed:

- 1. nucleation of grains
- 2. growth of grains
- 3. the slowing of growth owing to the impingement of grains

In order to capture these, the following assumptions are made:

- 1. The geometry of the cells is assumed to be a two-dimensional square lattice
- The number and the kind of states a cell can possess are two states per site: either recrystallized or not recrystallized
- We considered each of the two-dimensional neighborhoods in previous Figures for the definition of the neighborhood of a cell.
- 4. The rules that determine the state of each cell in the next time step are also provided below. These rules govern nucleation of new grains, growth of grains, and the impingement of grains.

At the beginning of the simulation, all sites were set to zero (not recrystallized) and then embryo grain "embryos" were placed in the system by assigning non-zero values to randomly selected sites on the lattice. Defining the activity as the sum of recrystallized neighbors of the central site based on the defined neighborhood, a simple rule to describe growth: if activity is greater than one at time step  $t_0$ , then the central site would be considered recrystallized at time step  $t_0+\Delta t$  and take on the identity of the grain that extends into its neighborhood. Near impingement, when more than one grain might be growing into a neighborhood, a model for controlling the growth rate of the grains is required. In this study, we say that the growth happens not with a probability P=1, but with some lower probability. The number of recrystallized sites within the 25-neighbor environment is denoted as  $A_{25}$ . If  $A_{25}>11$ , then the probability P of growth was reduced and a linear probabilistic model was used:

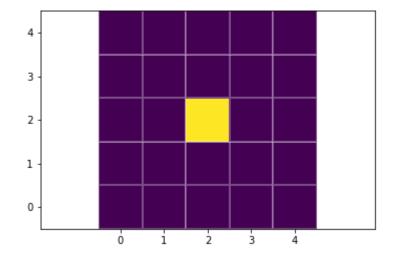
$$P = \left(1 - \frac{\alpha A_{25}}{100}\right)$$

Depiction of the 25 neighborhood is presented below:

```
In [4]: lat = CubicIsingLattice(5)
fig,ax = plt.subplots(1,1)

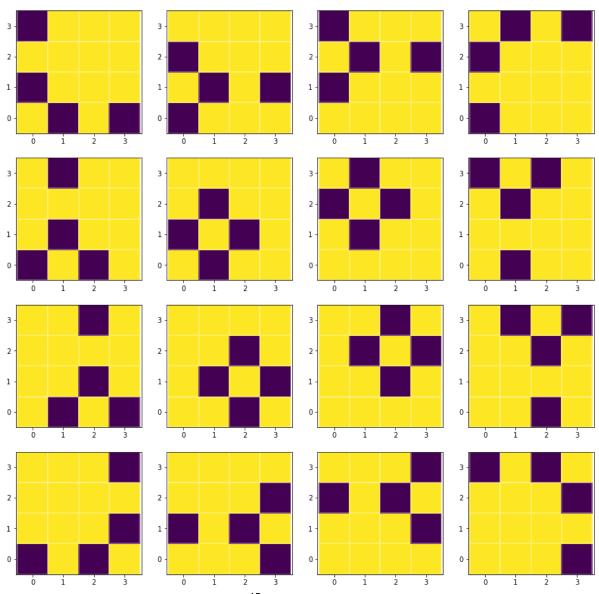
for nb in range(25):
    lat.flip_spin(nb)

lat.flip_spin(12)
lat.visualize(ax)
```



It should be noted that in order to model the bulk of the material, i.e. remove the surface effect, a periodic boundary condition is also applied on the whole cell. With this method, any site on the edge also observes the image of the oppsoing edge. The following piece of code implements this aspect of the model:

```
In [5]: # for each spin, visualize its neighbours
        lat = CubicIsingLattice(4)
        fig,ax = plt.subplots(4,4,figsize=(15,15))
        for ispin in range(lat.size()):
            # flip'em
            neighbours = lat.nb_list()[ispin]
            for nb in neighbours:
                lat.flip_spin(nb)
            # plot'em
            spin_idx = lat.multi_idx(ispin)
            ix,iy = spin_idx
            lat.visualize(ax[ix][iy])
            # flip'em back
            for nb in neighbours:
                lat.flip_spin(nb)
        plt.show()
```



After defining the periodic boundary conditon on the edges of the lattice, we implement the following class which calculates the number of flipped neighborhood around each individual lattice site. This class is needed since the evoultion rule explained above depends on the number of flipped neighbors.

```
In [6]: | class IsingHamiltonian:
            def __init__(self,J,lat):
                self. isingJ = J # OK to use one-letter variable once in constructo
                self. lat ref = lat # keep a reference to an IsingLattice
            def isingJ(self):
                return self. isingJ
            def lattice(self,copy=True):
                if copy:
                    return deepcopy(self. lat ref)
                else:
                    return self._lat_ref
            def compute energy(self):
                # calculating the total energy of the tracked ising lattice
                # note: the state of the spins (up/down) is stored in Lattice.spins(),
                # and the magnitude of the spins are stored separately in lattice.spin
        _mag()
                tot_energy = 0.0
                           = 0.0
                for ii in range(self. lat ref.size()): # i-th spin
                    for kk in range(self. lat ref. nb per dim*self. lat ref. ndim):
                        jj=self._lat_ref.nb_list()[ii][kk] # j-th spin
                        sisj=self. lat ref.spin(ii)* self. lat ref.spin(jj)*(self. lat
        _ref.spin_mag()**2)
                        H = H + sisj
                tot energy = - self. isingJ*H/2.0
                return tot_energy
            def compute_spin_energy(self,ispin):
                # calculate the energy associated with one spin
                energy = 0.0
                for kk in range(self._lat_ref._nb_per_dim*self._lat_ref._ndim):
                    jj=self._lat_ref.nb_list()[ispin][kk]
                    sisj=self._lat_ref.spin(ispin)* self._lat_ref.spin(jj)*(self._lat_
        ref.spin mag()**2)
                    energy = energy - self._isingJ*sisj
                return energy
```

Now we move the main block of the code which does the bulk of calculations. It is made of two nested loops, One loop over time and one interior loop that goes over the entire lattice, checking each indivdiual lattice site for its neighbors. Then state of each site is updated in the next time step based on the aforementioned evolution rules (which depends on the state of its neighbors at the previous step). This whole process is performed many times till the final recrystallized configuration is obtained.

```
In [7]: def visualize(ax):
                # draw the lattice on a matplotlib axes object ax
                # draw spins
                ax.pcolormesh(lat.spins().T,vmin=-spin_mag,vmax=spin_mag)
                # set ticks to spin centers
                ax.set_xticks(np.arange(lat.nside())+0.5)
                ax.set_yticks(np.arange(lat.nside())+0.5)
                # rename ticks
                ax.set_xticklabels(np.arange(lat.nside()))
                ax.set_yticklabels(np.arange(lat.nside()))
        # defining the main loop for cellular automota
        # (this function will be returning an array of volume fraction values)
        def ca_loop(
            spin_mag
            isingJ
            temperature
            spins_per_side ,
            nsweep
            ndump
            seed
            nbr_type
            ):
            beta = 1./temperature
            np.random.seed(seed)
            vol frac array =np.zeros((nsweep,1))
            # initialize the Ising model
            lat = CubicIsingLattice(spins_per_side,spin_mag=spin_mag)
            ham = IsingHamiltonian(isingJ,lat)
            print_fmt = "{isweep:4d} {energy:10.4f} {Mperspin2:9.6f}"
            #print(" isweep energy M2 ")
            # initialize observables
            tot_energy = ham.compute_energy()
            tot mag = lat.magnetization()
            m_per_spin2 = (tot_mag/lat.size())**2
            tot_energy_ini=tot_energy ; m_per_spin2_ini=m_per_spin2
            # some hard-coded control variables (left as is for further investigation
        s)
            num\ accept = 0
            Ni=10.0 # number of initial recrystallized sites
            Nd=10.0 # number of adding new nucleations in each step
            # Initial nucleation
            for ini c in range(int(Ni)):
```

```
ispin=np.random.randint(lat.size())
        lat.flip_spin(ispin)
   total crys=Ni # total number of crystallized sites
   vol frac = total crys/lat.size()
   for isweep in range(nsweep):
       num accept=0
        switch list=np.zeros(lat.size())
       for ispin in range(lat.size()):
            # Nucleation
            if Nd/lat.size() > np.random.rand():
                if lat.spin(ispin)==1:
                    switch list[ispin]=33
            # Growth
            spin_sum=0
            if nbr type=='Normal':
                                        #normal 4 neighbors
                if lat.spin(ispin)==1:
                    for jj in lat.nb_list()[ispin]:
                        spin_sum= spin_sum + lat.spin(jj) ## sum of neighbor
s spin
                    if spin sum<4: # it means atleast one is already</pre>
recrystallized
                        switch list[ispin]=33 # make it to the list
           elif nbr type=='Extended': #extended 8 neighbors
                if lat.spin(ispin)==1:
                    for jj in lat.nb_list_extended()[ispin]:
                        spin sum= spin sum + lat.spin(jj) # sum of neighbors
spin
                                      # it means if at least one is already
                    if spin_sum<8:</pre>
recrystallized
                        if spin_sum>0: # usuall
                            switch_list[ispin]=33 # make it to the list
                        else:
                                # impingment
                            if np.random.rand()<(1+10*spin_sum): # impingmen</pre>
t factor:4 choose between 0 to 10
                                switch list[ispin]=33 # make it to the list
       for ispin in range(lat.size()):
            if switch list[ispin]==33 and lat.spin(ispin)==1:
              lat.flip_spin(ispin) # recrystallize the current ispin (make i
t -1)
              num accept=num accept+1
       total_crys=total_crys+num_accept
        # Saving for plotting
       vol_frac = total_crys/lat.size()
        #vol frac array[isweep] =vol frac
```

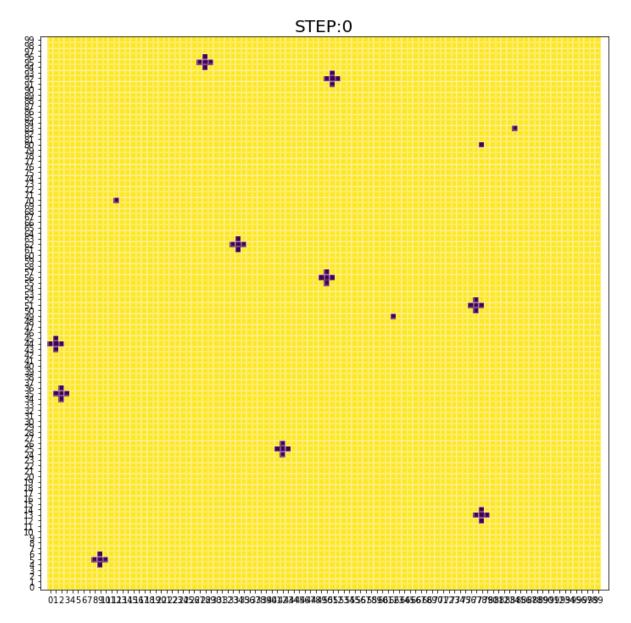
```
vol_frac_array[isweep] =np.log(1/(1-vol_frac*0.99))

# plotting
if isweep%(ndump)==0:
    fig, ax = plt.subplots(1,1,figsize=(12,12))
    ax.set_title('STEP:'+str(isweep), fontsize=20)
    plt.rcParams.update({'font.size': 6})
    lat.visualize(ax)
    plt.show()
    print (" Volume Fraction of Recrystallized Material (f) = ",vol
_frac)

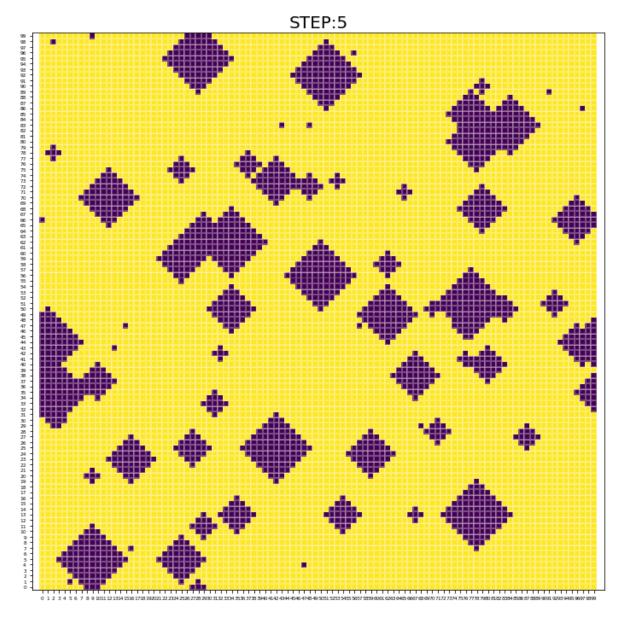
return vol_frac_array
```

#### 2.4. Simulation results

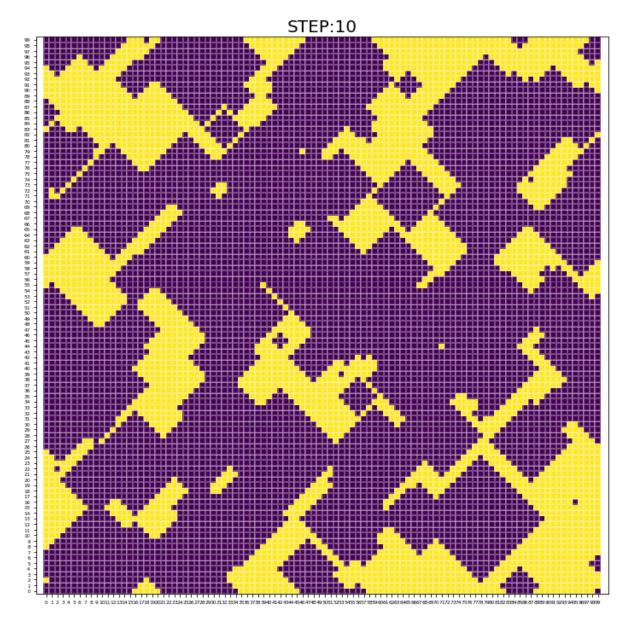
Now we present results of the simulation for a 100 by 100 lattice, considering both neighborhoods. A plot of crystallized volume fraction vs time is also provided to quantitavely present the evolution. Starting with the von Neumann neighborhood, we have:



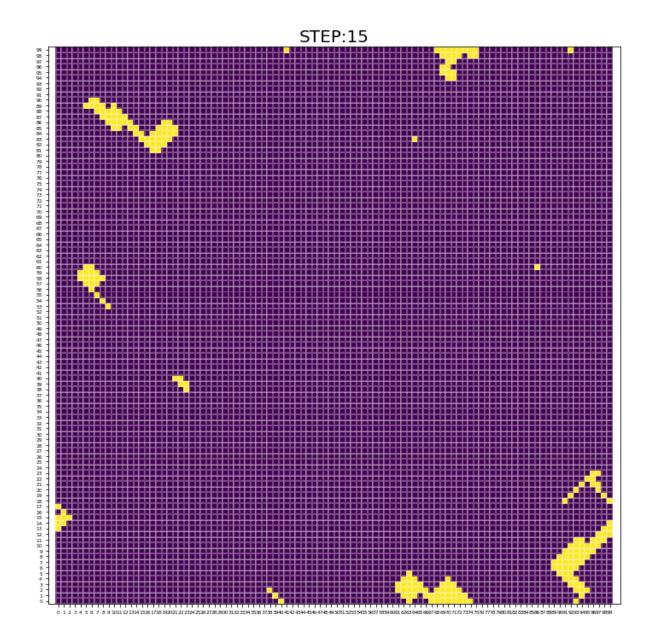
Volume Fraction of Recrystallized Material (f) = 0.0054



Volume Fraction of Recrystallized Material (f) = 0.182

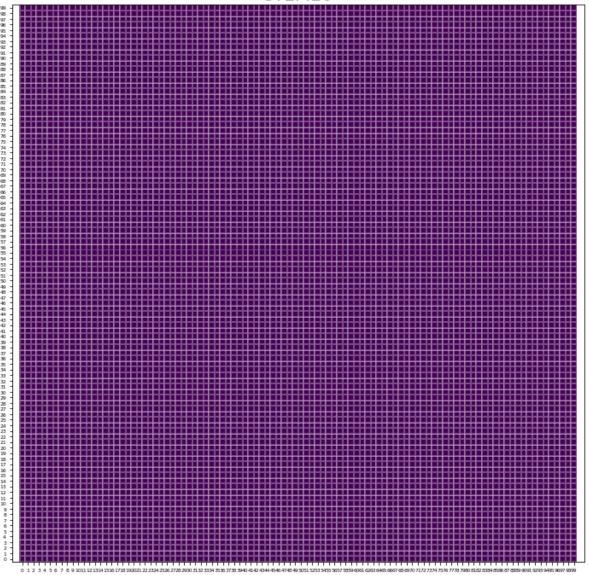


Volume Fraction of Recrystallized Material (f) = 0.7017



Volume Fraction of Recrystallized Material (f) = 0.9777

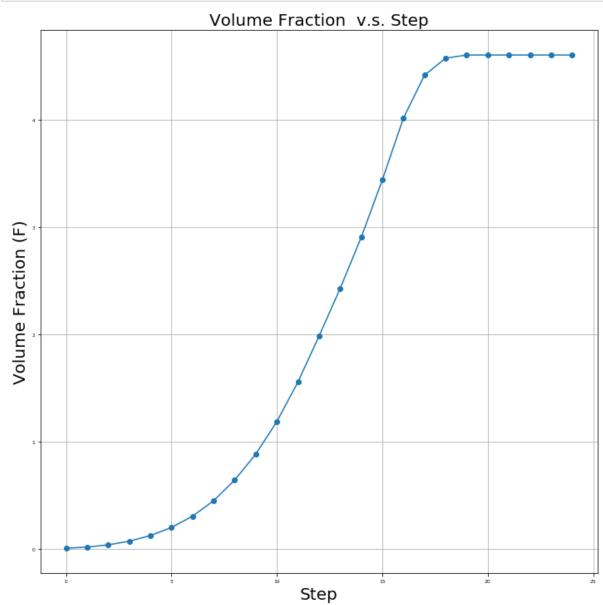




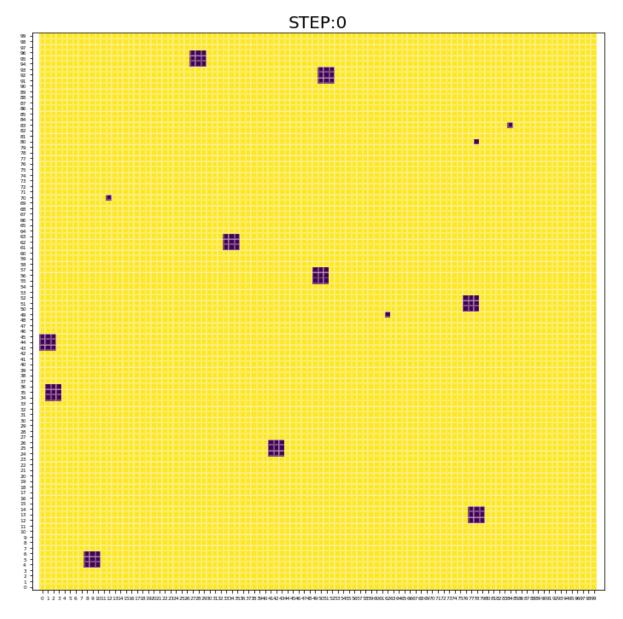
Volume Fraction of Recrystallized Material (f) = 1.0

The following plot depicts the evolution of recrystallized volume fraction vs time. The famous exponential trend usually observed in the experiments is reproduced here.

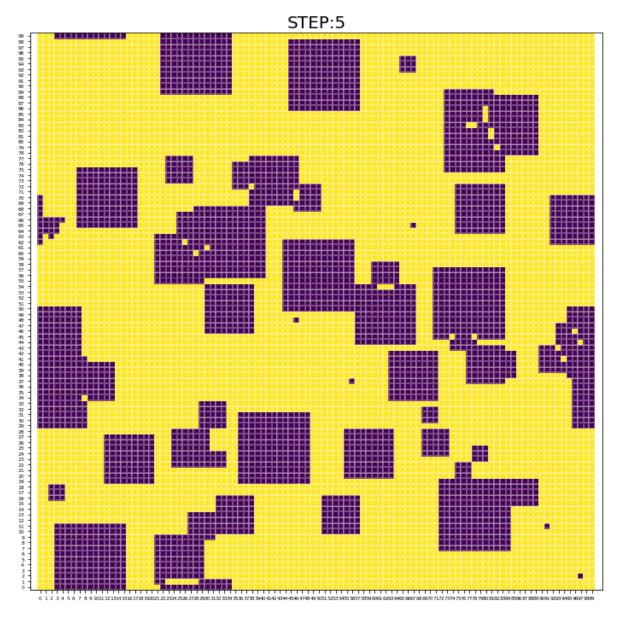
```
In [9]: fig, ax = plt.subplots(1,1,figsize=(12,12))
    ax.set_title('Volume Fraction v.s. Step', fontsize=20)
    plt.plot(range(len(vol_frac_array)),vol_frac_array,'-o')
    plt.xlabel('Step', fontsize=20)
    plt.ylabel('Volume Fraction (F)', fontsize=20)
    plt.rcParams.update({'font.size': 10})
    plt.grid()
    plt.show()
    plt.rcParams.update({'font.size': 6})
```



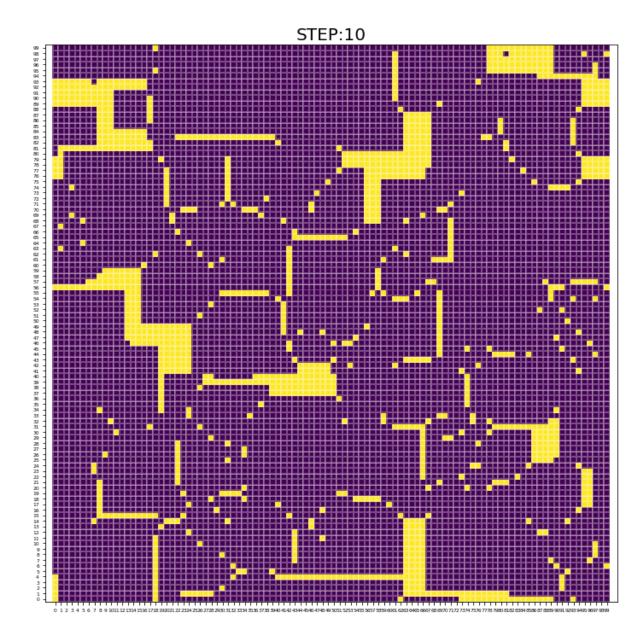
Performing the same simulation now for Moore neighborhood:



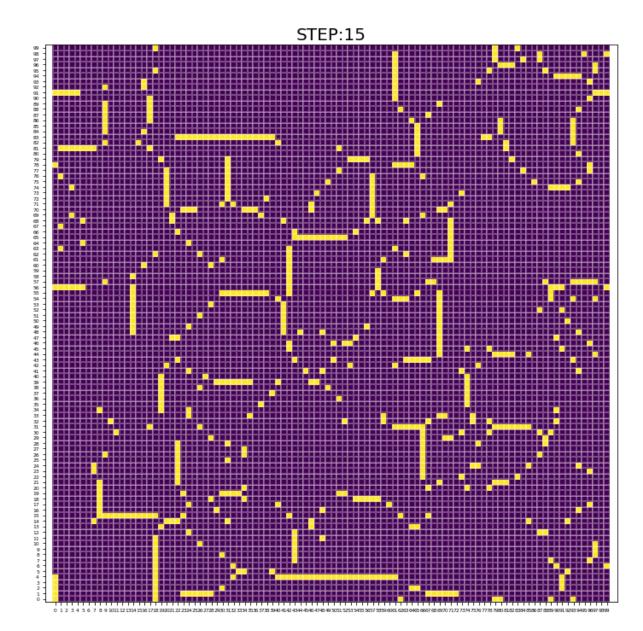
Volume Fraction of Recrystallized Material (f) = 0.0094



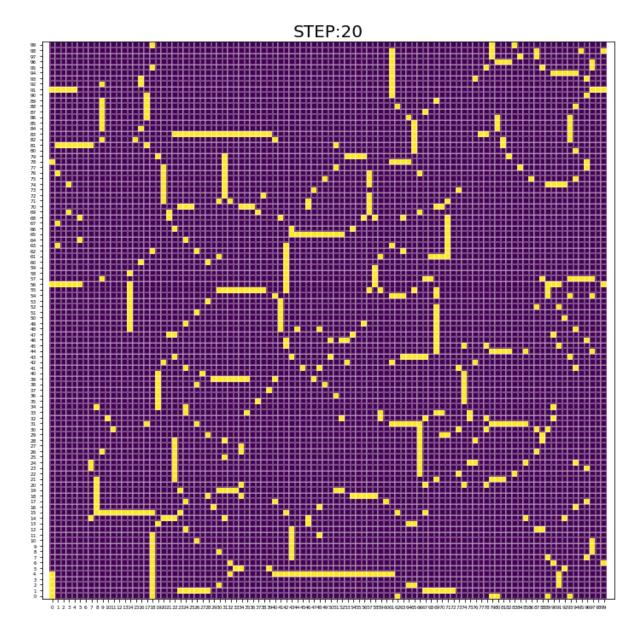
Volume Fraction of Recrystallized Material (f) = 0.333



Volume Fraction of Recrystallized Material (f) = 0.8808

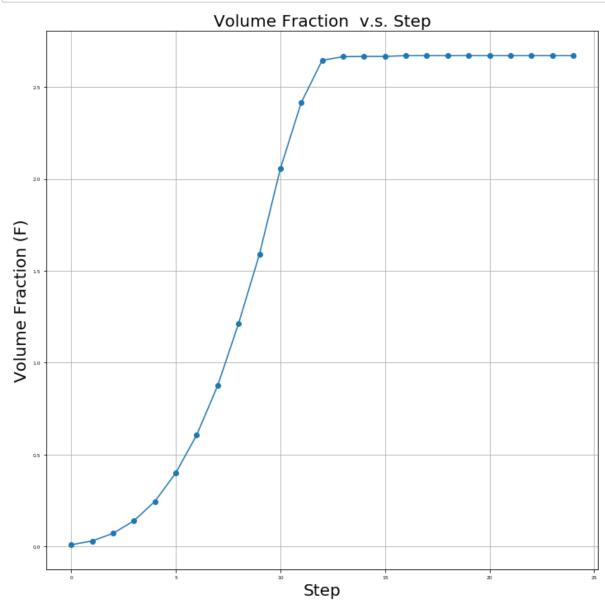


Volume Fraction of Recrystallized Material (f) = 0.9399



Volume Fraction of Recrystallized Material (f) = 0.9402

```
In [11]: fig, ax = plt.subplots(1,1,figsize=(12,12))
    ax.set_title('Volume Fraction v.s. Step', fontsize=20)
    plt.plot(range(len(vol_frac_array)),vol_frac_array,'-o')
    plt.xlabel('Step', fontsize=20)
    plt.ylabel('Volume Fraction (F)', fontsize=20)
    plt.rcParams.update({'font.size': 10})
    plt.grid()
    plt.show()
    plt.rcParams.update({'font.size': 6})
```



The interesting fact about comparing the two neighborhoods is that Von Neumann neighbood, the volume fraction goes to 1.0, i.e. fully filling the entire lattice, while the same does not happen in the Moore neighbood. This can be attributed to the impingment phenomena happening at later stage of the simulation.

#### 3. Monte Carlo for Phase Transition

Having discussed the celluar automata for recrystallization process, now we move to the Monte Carlo model for phase transition. We start our presentation by a material science background that explains why phase transition happens in materials, then we move the computational algorithm of the Monte Carlo approach. The implementation shares a lot of similarities with the cellular automata model, and hence we only present the points fundamentally different between two methods.

#### 3.1. Material Science Background

Derivation of the Monte Carlo probability rule depends on the Boltzmann distribution, so we naturally start our presentation from there. Boltzmann's distribution is a probability equation that describes the probability of each configurational state, based on an energy minimization. Boltzmann derived his equation from the Helmholtz free energy, written from both macroscopic and macroscopic perspective:

$$F = F(N, V, T) = U - TS = F(p_1, p_2, p_3, \dots) = F(p_j)$$

where N, V, and T are macroscopic quantities of number of particles, volume and temperature, while  $p_j$  is the propability of jth configuration of the system.

Looking at the free energy expression, one can intuitively observe the driving force for phase transition. At low temperatyre regime, only energy is important, hence favoring a single phase of material as this configuration has the lowest energy due to the neighbor interactions (interaction is a negative energy contribution in single phase so it lowers the energy). At high temperature regimes however, the entropy becomes dominant and favors a formation of a new phase as two phases mixed together produces more combinations, a microscopic defintion of entropy. Surprisingly however, there is a critical temperature that separates the two behavior in a bifurcation manner. All systems at temperatures below this critical value are dominated by energy, while all systems above this critical temperature are dominated by entropy. We will show by our simulation how to evaluate this bifurcation temperature for a 2D model.

Continuing the previous equation, the probability implies that the sum should be unity:

$$\sum_i p_i = 1$$

Now we have to represent the macroscopic quantities in terms of microscopic ones, i.e.

$$egin{aligned} U = \langle \epsilon 
angle &= \sum_j \epsilon_j p_j \ S = K \sum_j p_j \ln p_j \end{aligned}$$

where %epsilon\_j\$ is the energy of each configuration. Rest of this section is devoted to show how derivation of Monte carlo acceptance rule lies on the previous equaions. Trying to minimize the fee energy gives us

$$\widetilde{F} = F - lpha(\sum_i p_i - 1)$$

now we take derivative with respect to  $p_i$  to obtain the probaballity distribution of each configuration:

$$rac{\partial \widetilde{F}}{\partial p_i} = rac{\partial}{\partial p_i} (\sum_j \epsilon_j p_j + KT \sum_j p_j \ln p_j - lpha (\sum_i p_i - 1) = 0$$

resulting in

$$\epsilon_i + KT(\ln p_i + 1) - \alpha = 0$$

or equivalently

$$p_i = e^{-\epsilon_i/KT} e^{eta lpha - 1}$$

enforcing constraint gives us the following relation:

$$e^{etalpha-1}=rac{1}{\sum\limits_{i}e^{-\epsilon_{i}/KT}}=rac{1}{Q}$$

which results in the following equation for probability of each configuration:

$$p_i = rac{e^{-\epsilon_i/KT}}{Q}$$

This is the proability rule we use for our evolution in the Monte Carlo method (more on this in the next section). Here Q represents the partition function, a quantity that is very hard to estimate since it requires evaluation of all possible confingrations, but the trick in the Monte Carlo method is that only relative probability matters and we can get rid of calculating this quantity!

#### 3.2. Basics of Monte Carlo

As mentioned in the previous section, the Monte Carlo algorithm is based on the idea that while we cannot know the actual probability of a state (since we cannot evaluate Q), we can be use the relative probability to create a list of configurations through configuration space that has the correct probability distribution. This list is called a trajectory through configuration space. The approach is to start a system at a configuration, then to make a trial move of the system to a new configuration, and to test, based on the probability of the new configuration relative to the starting configuration, whether the new configuration should be added to the trajectory or not. More specifically, suppose configuration i has energy  $E_i$ . A trial is made to a new configuration i+1 and then the energy in the new configuration,  $E_{i+1}$ , is calculated. The decision of whether to add i+1 to the trajectory is based on the ratio of probabilities,  $P_{i+1}/P_i$ , which is equal to  $exp(-\Delta E_{i,i+1}/kT)$ , where  $\Delta E_{i,i+1} = E_{i+1} - E_i$ . As promised, the partition function Q is also removed from the calculation.

The enery of each configuarion is also calculated based on the following nearest neighbor interaction:

$$E = -rac{J}{2} \sum_i \sum_j s_i s_j$$

where s represent the state of each site in the lattice. For the parent phase, we are picking 0, and naturally we pick 1 for the new phase.

# 3.3 Application of Monte Carlo Method to Phase transition

Similar to the cellular automata model, we begin by a lattice that starts in an all 0 configuraiton, meaning all the parent phase. Then moving in time, we flip random sites, calculate the energy of the new configuraiont and compare it with the previous configuraiton energy. Then based on this calculated  $\Delta E$ , we accept or reject the rule based on the aformenetioned probability  $exp(-\Delta E_{i,i+1}/kT)$ .

Based in this arguments, the flow of the code looks similar to the cellular automata model, with the exception of the probabailistic evolution rule. Another fundamental difference between two models is also the treatment of simulating evolution. While in cellular automata the evolution begins from the frist step of the simulation, here in

The following function calculates the energy of each configuration by looping over sites and estimating the nearest neighbors interaction.

```
In [12]: class McIsingHamiltonian:
             def init (self,J,lat):
                 self. isingJ = J # OK to use one-letter variable once in constructo
                 self. lat ref = lat # keep a reference to an IsingLattice
             def isingJ(self):
                 return self. isingJ
             def lattice(self,copy=True):
                 if copy:
                     return deepcopy(self._lat_ref)
                 else:
                     return self. lat ref
             def compute energy(self):
                 # calculate the total energy of the tracked ising lattice
                 tot energy = 0.0
                            = 0.0
                 for ii in range(self._lat_ref.size()):
                     for kk in range(self. lat ref. nb per dim*self. lat ref. ndim):
                         jj=self. lat ref.nb list()[ii][kk]
                         sisj=self._lat_ref.spin(ii)* self._lat_ref.spin(jj)*(self._lat
         _ref.spin_mag()**2)
                            = H + sisj
                         Н
                 tot_energy = - self._isingJ*H/2.0
                 return tot energy
             def compute spin energy(self,ispin):
                 # calculate the energy associated with one spin
                 energy = 0.0
                 for kk in range(self. lat ref. nb per dim*self. lat ref. ndim):
                     jj=self._lat_ref.nb_list()[ispin][kk] ###jth spin
                     sisj=self._lat_ref.spin(ispin)* self._lat_ref.spin(jj)*(self._lat_
         ref.spin_mag()**2)
                     energy = energy - self. isingJ*sisj
                 return energy
```

Customary in Monte Carlo simulation is calcualting means and standard deviation of the energy plots to perfrom a quick statistical analysis of the output data. This analysis can help us distiguish the transient period, which we should remove from the output. These simple functions are provided below, along with the main loop of the algorithm which is similar to Cellular Automata approach.

```
In [13]: # plotting function
         def Plot fn(file name):
                 trace = np.loadtxt( file name )
                 fig, ax = plt.subplots(1,2, gridspec kw = {'width ratios':[3, 1]}, fig
         size=(8,8))
                 ax[0].set_xlabel("Step",
                                           fontsize=14)
                 ax[0].set_ylabel("Total Energy of the Lattice" , fontsize=14)
                 ax[1].set_xlabel("Freq.", fontsize=14)
                 ax[1].get_yaxis().tick_right()
                 # plot trace
                 ax[0].plot(trace,c='black')
                 # plot histogram
                 wgt,bins,patches = ax[1].hist(trace, bins=30, normed=True
                                              , fc='gray', alpha=0.5, orientation='horiz
         ontal')
                 # moving averge to obtain bin centers
                 bins = np.array( [(bins[i-1]+bins[i])/2. for i in range(1,len(bins))]
         )
                 def gauss1d(x,mu,sig):
                     norm = 1./np.sqrt(2.*sig*sig*np.pi)
                     gauss = np.exp(-(x-mu)*(x-mu)/(2*sig*sig))
                     return norm*gauss
                 Mymean = np.mean(trace)
                 Mystd = np.std(trace)
                 ax[1].plot(_gauss1d(bins,Mymean,Mystd),bins,lw=2,c="black")
                 ax[1].set_xticks([0,0.025,.05])
                 # overlay statistics
                 for myax in ax:
                     myax.axhline( Mymean, c='b', lw=2, label="mean = %1.4f" % Mymean )
                     myax.axhline( Mymean+Mystd, ls="--", c="gray", lw=2, label="std =
         %1.2f" % Mystd )
                     myax.axhline( Mymean-Mystd, ls="--", c="gray", lw=2 )
                 ax[0].legend(loc='best')
                 plt.show()
                 auto time = corr(trace, Mymean, Mystd)
                         = error(trace, Mystd, auto time)
                 return auto time
         def corr(trace, mymean, mystd):
                  = len(trace)
             num
             n=num
             R = [0] * n
             for k in range(1,n):
                 temp_sum1=0
                 for t in range(0,n-k):
                     temp sum1 += (trace[t]-mymean)*(trace[t+k]-mymean)
                 R[k]=(1./((n-k)*mystd**2)) * temp sum1
```

```
if R[k]<0:
           break
   eff R = R[1:k]
   auto time = 1+2*sum(eff R)
   return auto_time
def error(trace, mystd, Auto_time):
   N_eff = len(trace)/Auto_time
   stderr wrong = mystd/np.sqrt(len(trace))
   stderr = mystd/np.sqrt(N eff)
   # calculate standard error
   return stderr
# defining the main loop for Monte Carlo
# (perform Monte Carlo simulation of the Ising model)
def mc loop(
   spin_mag
   isingJ
   temperature
   spins_per_side ,
   nsweep
   ndump
   seed
   traj_file
   scalar_file ,
   restart = False,
   ):
   beta = 1./temperature
   np.random.seed(seed)
   energy array =np.zeros((nsweep,1))
   magnetization_array =np.zeros((nsweep,1))
   # initialize the Ising model
   lat = CubicIsingLattice(spins_per_side,spin_mag=spin_mag)
   ham = McIsingHamiltonian(isingJ,lat)
   if restart: # check traj_file
       assert os.path.exists(traj file), "restart file %s not found" % traj f
ile
       lat.load_frame(traj_file)
   if not restart:
       # destroy!
       with open(scalar_file, 'w') as fhandle:
           fhandle.write('# energy M^2/spin\n')
   print_fmt = "{isweep:4d} {energy:10.4f} {Mperspin2:9.6f}"
   # initialize observables
   tot_energy = ham.compute_energy()
   tot mag = lat.magnetization()
   m_per_spin2 = (tot_mag/lat.size())**2
   tot_energy_ini=tot_energy ; m_per_spin2_ini=m_per_spin2
```

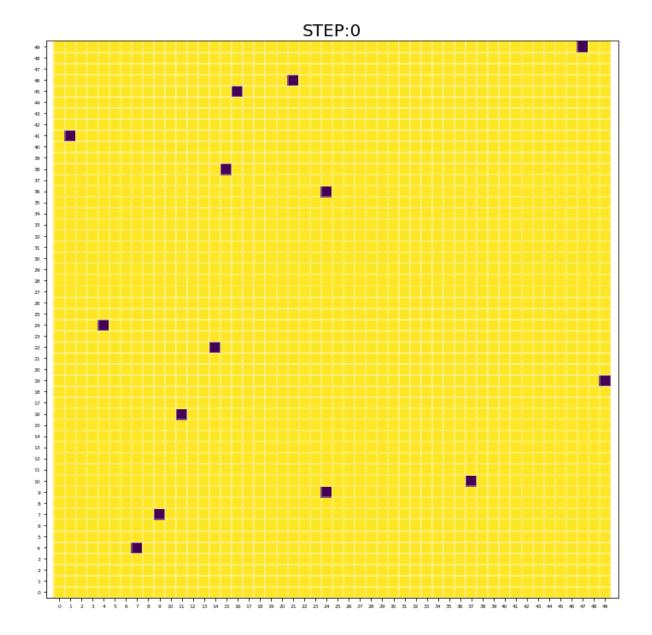
```
# some hard-coded control variables (left as is for further investigation
5)
         num\ accept = 0
         num reject = 0
         warm_up_time = 5 # warm up period in sweeps
         for isweep in range(nsweep):
                  # report and dump configuration
                  if isweep%ndump==0:
                           # print(print fmt.format(**{'isweep':isweep,'energy':tot energy,'M
perspin2':m_per_spin2}))
                           if isweep==0 and restart:
                                     continue # do not duplicate last configuration
                           lat.append_frame(traj_file)
                  # save scalar data
                  with open(scalar_file, 'a') as fhandle:
                           fhandle.write('{energy:10.4f} {Mperspin2:9.6f}\n'.format(**{'energouthernous and the state of the state 
gy':tot energy,'Mperspin2':m per spin2}))
                  for imove in range(lat.size()):
                           spin sum=0
                           DE_old=0 ; DE_new=0;
                           # select a random spin to flip
                           ispin = np.random.randint(lat.size())
                           nbr = lat.nb_list()[ispin]
                                                                                                  # Neighbors of the flipped spin
                           for jj in lat.nb list()[ispin]:
                                    DE_old = DE_old + ham.compute_spin_energy(jj)
                                    spin_sum= spin_sum + lat.spin(jj) # sum of neighbors spin: us
ed for heat bath algorithm
                           # monte carlo flipping
                           lat.flip spin(ispin)
                           for jj in lat.nb_list()[ispin]:
                                    DE new = DE new + ham.compute spin energy(jj)
                           energy change2 = DE new-DE old
                           acc_rate = np.exp(-beta*energy_change2) # monte carlo
                           # accept/reject
                           if np.random.rand()<acc rate:</pre>
                                    # update observables and flip spin
                                    num_accept += 1
                                                             = lat.mag change(ispin)
                                    dmag
                                    lat.flip_spin(ispin) # flip to the original value
                                    energy_change2 = 0 # no change in energy
                                                                      = 0
                                    dmag
                                                                                             # no change in magnetization
                                    num_reject += 1
                           tot_mag = tot_mag
                                                                                  + dmag
                           tot_energy = tot_energy + energy_change2
```

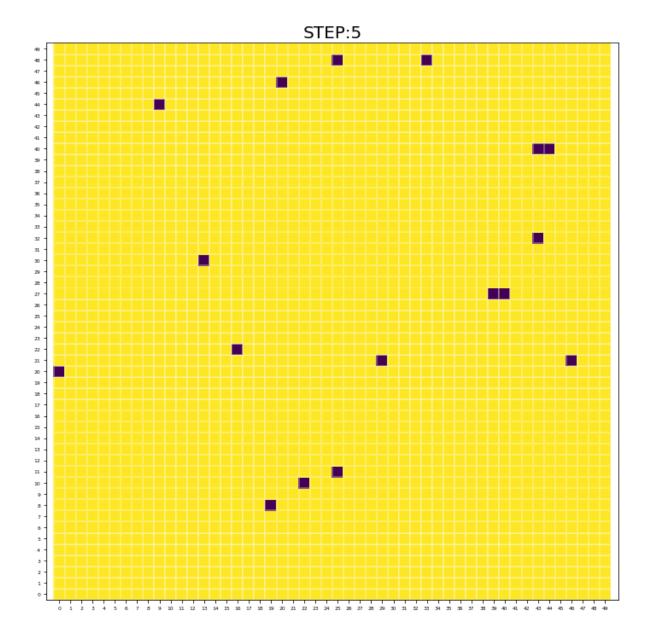
```
# averaging
   m per spin2 = (tot mag/lat.size())**2
   # saving for plotting
   energy_array[isweep]
                                = tot_energy
   magnetization_array[isweep] = m_per_spin2
   # plotting
    if isweep%(ndump)==0:
        fig, ax = plt.subplots(1,1,figsize=(12,12))
        ax.set_title('STEP:'+str(isweep), fontsize=20)
        plt.rcParams.update({'font.size': 6})
        lat.visualize(ax)
        plt.show()
# correction of the zero point
energy_array[0]
                           tot_energy_ini
magnetization_array[0] = m_per_spin2_ini
index = range(warm_up_time)
equilibriated_energy
                           = np.delete(energy array,index)
equilibriated magnetization = np.delete(magnetization array,index)
# plotting
np.savetxt('Energy.txt',equilibriated_energy,newline='\n')
```

# 3.4. Simulation Results

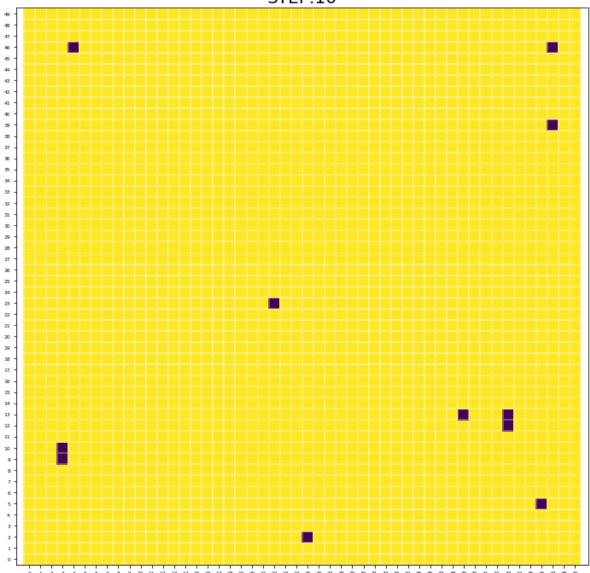
This section is devoted to present the results of the simulation for capturing phase transition. We have run multiple simulations to pinpoint the critical temperature, which turns out to be around 2.3(non-dimensionalized) for our model. Therefore, we present two simulations, one at T=1 below the critical temperature and one at T=3 above the critical temperature.

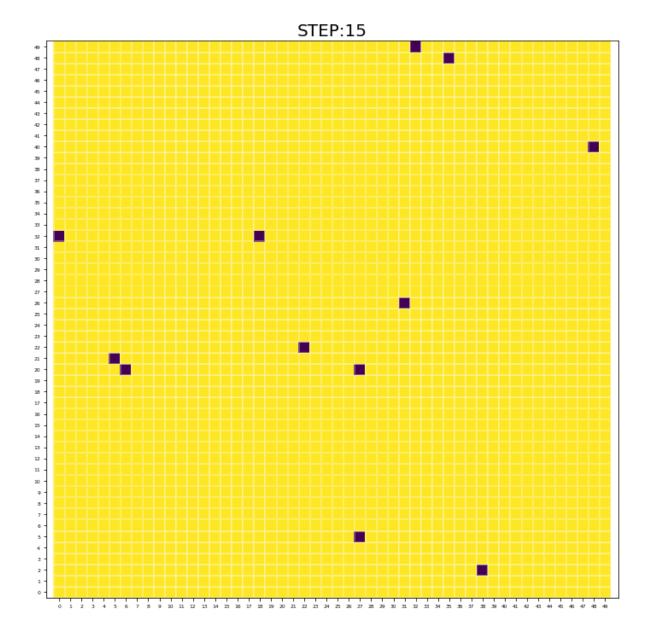
Starting with the low temperature simulation, we have the following results:





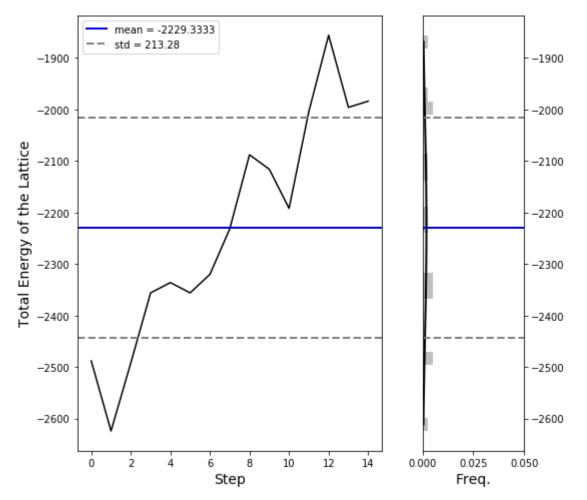




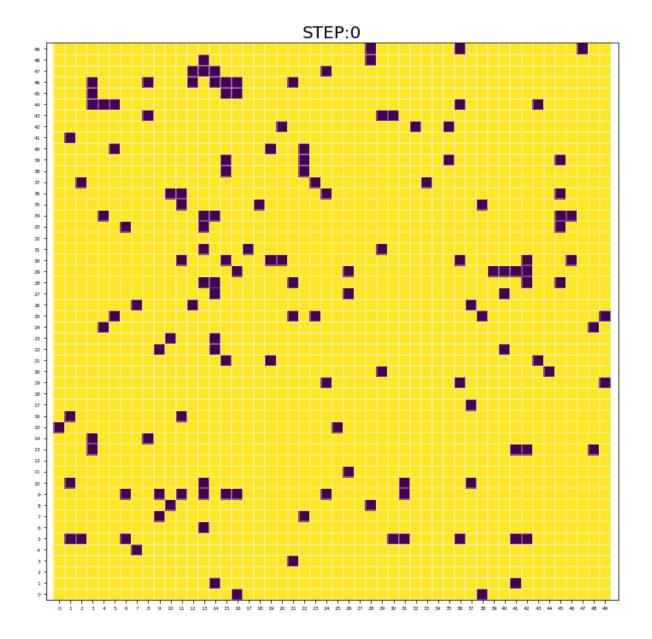


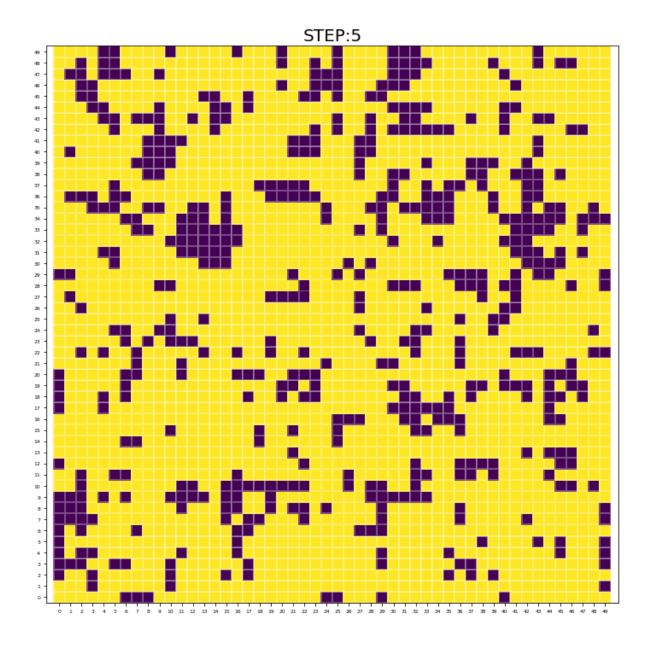
As it is observed from the plots, very few flips are accepted at low temperatures, a fact that is expected since in this regime energy is dominant, which favors parent-parent interaction. The evolution of total lattice energy vs time is also provided below:

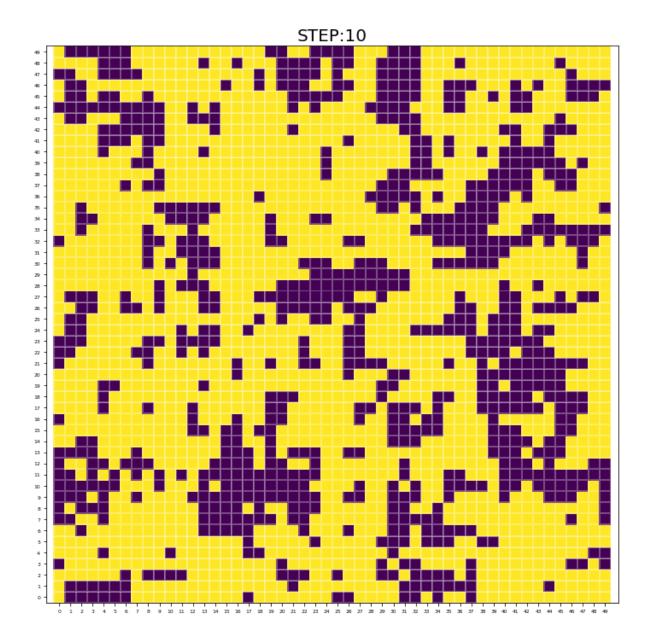
```
In [18]: plt.rcParams.update({'font.size': 10})
    auto_time_energy = Plot_fn('Energy.txt')
    plt.rcParams.update({'font.size': 6})
```

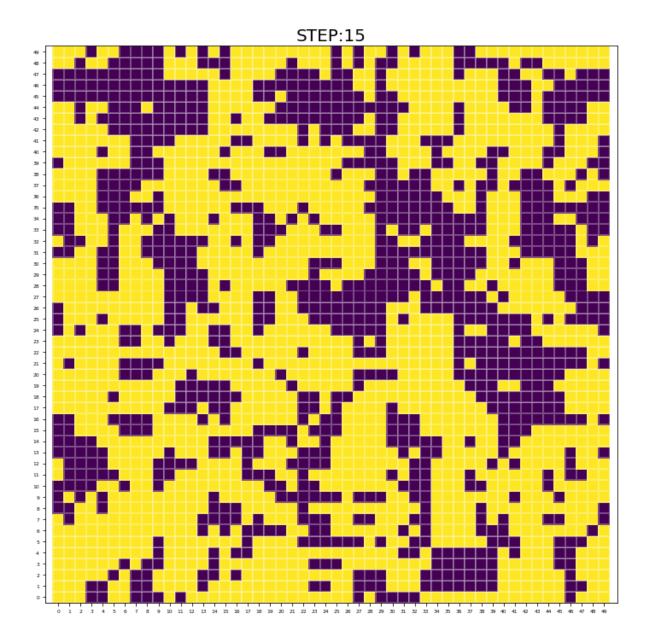


Now we run another simulation above the critical temperature (2.3), using the following code:



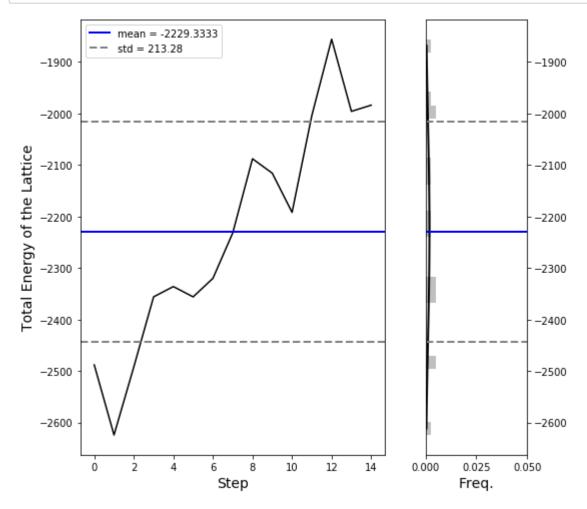






Here it is observed that many more flips are accepted as mixing two phases together increases entropy, a quantity that is dominant over energy in this regime. A perfect mixed state of 50% parent and 50% new phase posseses the maximum entropy. One could also at look the following energy plot and observe that it has a higher average energy compared to the low temperature case, as it is expected since a higher entropy configuration also has higher energy.

```
In [17]: plt.rcParams.update({'font.size': 10})
    auto_time_energy = Plot_fn('Energy.txt')
```



# 4. References

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- 6. Johnson, William A., and Robert F. Mehl. "Reaction kinetics in processes of nucleation and growth." Trans. Aime 135.8 (1939): 396-415.
- 7. Avrami, Melvin. "Granulation, phase change, and microstructure kinetics of phase change. III." The Journal of chemical physics 9.2 (1941): 177-184.
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## **Division of Labor**

#### 1. Arman Afshar

### **Background: Theoretical and Computational Mechanics**

Establishing the theoretical framework of the project, physical foundation of the model and how it relates to the computational model. Discretizing the continuum geometry in space and also discretizing in time. Coming up with proper evolution rule based on the literature and the general flow of the algorithm. Implementing the body of the discrete dynamic code in Python.

#### 2. Shahrokh Shahi

## **Background: Numerical Analysis/Scientific Computing**

Implementing the loops in Python, building appropriate classes and objects (basically making the code object-oriented), implementing the Cellular Automata algorithm evolution rule, obtaining initial simulation results in terms of energy and correlation function, making plots with statistical analysis of the results.

## 3. D'arcy Wentworth Roper

## **Background: Computer Science**

Implementing the Monte Carlo algorithm evolution rule, implementing the switching probability, speeding up the loops and search algorithm in the code.