

| ARTICLE | | |
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| DOCUMENT | SECTION | CONTENT |
| | | SUBTITLE |
| | | TITLE |
| | | <div> <ol class="crrNumList" style="list-style-type: none"> A settlement internaliser shall use the template set out in Annex I to this Regulation when it reports to the competent authority in accordance with the first subparagraph of Article 9(1) of Regulation (EU) No 909/2014. That report shall be submitted within 10 working days from the end of each quarter of a calendar year. The first report under the first subparagraph shall be submitted within 10 working days from the end of the first quarter following 10 March 2019. The competent authority shall use the template set out in Annex I to this Regulation when it transmits to European Securities and Markets Authority (ESMA) the information received under the first subparagraph of Article 9(1) of Regulation (EU) No 909/2014. That information shall be transmitted within five working days from the date of receipt of each report referred to in paragraph 1 of this Article. The template set out in Annex I shall be completed in accordance with the instructions set out in Annex II. The competent authority shall use the template set out in Annex III when it informs ESMA of any potential risk resulting from internalised settlement activity. Information on any potential risk resulting from internalised settlement activity shall be submitted within 30 working days from the end of each quarter of a calendar year. The competent authority shall complete that template in accordance with the instructions set out in Annex IV. The information referred to in paragraphs 1, 2 and 4 shall be provided in a machine readable format. </div> |
| | | <div> <div>This Regulation shall enter into force on 10 March 2019.</div> </div> |
| | | Article 1 |
| | | Article 2 |