

# Generalized functions

## Tutorial notes

### Tutorial 6 - Absolute values, P-adics and tdlc spaces

#### 6.1. Absolute values.

DEFINITION 6.1.1. A function  $|\cdot|: \mathbb{Q} \rightarrow \mathbb{R}_+$  is called an absolute value, if for any  $x, y \in \mathbb{Q}$

- $|x| = 0 \iff x = 0$
- $|xy| = |x||y|$
- $|x + y| \leq |x| + |y|$

EXAMPLE 6.1.1. Let  $0 < \alpha \leq 1$  then  $|\cdot|^\alpha := |x|^\alpha$  is an absolute value on  $\mathbb{C}$ .

(Hint: taking log changes sign).

EXAMPLE 6.1.2. A discrete absolute value  $|x| = 1 \iff x \neq 0$

DEFINITION 6.1.2. A absolute value is said to be non-archimedian if  $|x + y| \leq \max\{|x|, |y|\}$

EXAMPLE 6.1.3. Let  $p$  be a prime and  $q \in \mathbb{Q}$  s.t.  $q = p^n \frac{a}{b}$  with  $(a, b) = 1$ ,  $n \in \mathbb{Z}$  we set  $|q|_p := p^{-n}$  (and 0 if  $q = 0$ ).  
(also the discrete one is non-archimedian).

LEMMA 6.1.1. TFAE

- (1)  $|\cdot|$  is non archimedian.
- (2)  $\exists n > 1$  s.t.  $|n| \leq 1$ .
- (3)  $\forall n \in \mathbb{Z} \quad |n| \leq 1$

PROOF. (1) $\Rightarrow$  (2): Take  $n = 2$  and observe that  $|2| = |1 + 1| = \max\{|1|, |1|\} = 1$

(2) $\Rightarrow$  (3): Let  $m > 1$  with  $|m| \leq 1$ , and take any  $n \in \mathbb{N}$  writing in base  $m$ , set  $r = \lfloor \log_m(n) \rfloor$  we get

$$|n| = \left| \sum_{i=0}^r a_i m^i \right| \leq_{t.e} \sum_{i=0}^r |a_i m^i| = \sum_{i=0}^r |a_i| |m|^i \leq \sum_{i=0}^r |a_i| \leq (r+1)m$$

(since  $|a_i| \leq a_i$  by t.e).

So we got that  $|n| \leq (\log_m(n) + 1)m$  hence for  $n^k$  we have

$$|n|^k = |n^k| \leq (\log_m(n^k) + 1)m = (k \log_m(n) + 1)m$$

by taking limit on  $k$  to infinity we obtain

$$|n| = (|n|^k)^{1/k} \leq ((k \log_m(n) + 1)m)^{1/k} \rightarrow 1$$

(3) $\Rightarrow$  (1):

$$|x+y|^n = |(x+y)^n| = \left| \sum_{i=0}^n \binom{n}{i} x^i y^{n-i} \right| \leq \sum_{i=0}^n \binom{n}{i} (\max\{|x|, |y|\})^n = (n+1)(\max\{|x|, |y|\})^n$$

hence taking the limit

$$|x+y| \leq (n+1)^{1/n} \max\{|x|, |y|\} \rightarrow \max\{|x|, |y|\}$$

□

COROLLARY 6.1.1. The absolute value is archimedean if and only if  $|2| > 1$ .

DEFINITION 6.1.3. We say two absolute values  $|\cdot|_1, |\cdot|_2$  are equivalent if there exist  $\alpha > 0$  s.t.  $|\cdot|_1 = |\cdot|_2^\alpha$

THEOREM 6.1.1 (Ostrowki). Up to equivalence, the only absolute values on  $\mathbb{Q}$  are the usual one, the p-adics, the discrete.

You will prove this via a guided exercise, note that by the theorem there is just one archimedean absolute value on  $\mathbb{Q}$ , actually we show that this holds for  $\mathbb{C}$ .

REMARK 6.1.1. We may define a absolute value on a integral domain and we get a absolute value on the field of fractions.

LEMMA 6.1.2. In the case of non-archimedean norm  $a_n$  is Cauchy if and only if for any  $\epsilon$  there is some  $N$  s.t. for any  $N < n, |a_n - a_{n+1}| \leq \epsilon$

PROOF. If Cauchy then of course the right hand side follows. And in the other direction for  $n < m$  notice that

$$|a_n - a_m| = |a_n - a_{n+1} + a_{n+1} \pm \dots \pm a_{m-1} - a_m| \leq \max\{|a_i - a_{i+1}|\}_{i=n}^{m-1}$$

□

**6.2. p-adic field.** We saw that for any  $p$  prime there is a non archimedean a.v.  $|\cdot|_p$  on  $\mathbb{Q}$ .

LEMMA 6.2.1. The metric induced by  $|\cdot|_p$  is not complete.

PROOF. Any complete field is uncountable By Baire category. □

DEFINITION 6.2.1. The completion of  $\mathbb{Q}$  wrt the  $|\cdot|_p$  is denoted by  $\mathbb{Q}_p$

LEMMA 6.2.2. In  $\mathbb{Q}_p$  the sequence  $f_n = \sum_{i=0}^n a_i$  converges if and only if  $|a_n|_p \rightarrow 0$

PROOF. Proof of the non trivial direction, assume  $|a_n|_p \rightarrow 0$  then for any  $\epsilon$  there is  $n_0$  s.t.  $|a_n|_p \leq p^{-m} < \epsilon$  for any  $n_0 < n$  thus for any  $n_0 < n$  we get

$$|f_n - f_{n+1}| = |a_{n+1}| < \epsilon$$

□

How to write down p-adic numbers:

THEOREM 6.2.1. Any  $a \in \mathbb{Q}_p$  with  $|a| \leq 1$  has unique representative C.S.  $\{a_i\}$  such that for any  $i$

- (1)  $0 \leq a_i \leq p^{i+1}$ .
- (2)  $a_i \cong a_{i+1} \pmod{p^{i+1}}$

COROLLARY 6.2.1. Any  $|a|_p \leq 1$  can be written as  $a = \sum_{i=0}^{\infty} b_i p^i$ .

COROLLARY 6.2.2. If  $|a|_p > 1$  then there is  $p^m$  s.t.  $|p^m a|_p \leq 1$  hence  $a = \sum_{i=-m}^{\infty} b_i p^i$

THEOREM 6.2.2. Balles are disjoint or coincide

PROOF. Exercise.

□

LEMMA 6.2.3. Any ultrametric absolute value on a field induces a totally disconnected topology, i.e. each closed ball is open.

PROOF. Leave as exercise

□

REMARK 6.2.1. Properties of  $\mathbb{Q}_p$ :

- $\mathbb{Q}_p$  is locally compact. (Since  $\mathbb{Z}_p$  is compact - we will see this next section-)
- $|\mathbb{Q}_p$  is totally disconnected (general argument for non-archemidian metric)
- $\mathbb{Q}_p$  non algebraically closed. (for example  $p = 5$  we get that  $\sqrt{7} \notin \mathbb{Q}_5$  since  $|7|_5 = 1$  so  $|\sqrt{7}|_5 = 1$  but no integer is a square root mod 5)

DEFINITION 6.2.2.  $\mathbb{Z}_p = \{a \in \mathbb{Q}_p : |a| < 1\}$ , it is a ring.

**6.3. Inverse limits.** Given a directed-poset (called "inverse sysltem") we we construct the limit of this system.

If we have a system of objects  $\{A_i\}$  and morphisms  $f_j^i : A_i \rightarrow A_j$  for any  $i \leq j$  together with  $f_k^j \circ f_j^i = f_k^i$  this system of objects is called an inverse system. We assume for simplicity that our system is over  $\mathbb{N}$ . we get the limit object

$$A = \varprojlim A_i = \{\vec{a} \in \prod_i A_i : a_j = f_j^i(a_i) \quad \forall j \leq i\}$$

We obtain projections  $\pi_i : A \rightarrow A_i$

We give the limit a initial topology, i.e. the minimal topology on  $A$  s.t.

$\pi_i$  are continuous. Explicitly, a basis of open sets is  $\pi_i^{-1}(U)$  (cylinder sets...). **Fact:** The category of rings has limits. So let's build the p-adic integers:

define  $A_i = \mathbb{Z}/p^i\mathbb{Z}$  and the transition maps

$$f_j^i : A_i \rightarrow A_j, \quad f_j^i(a \bmod (p^i)) = (a \bmod (p^j))$$

Then  $A = \lim_{\leftarrow} A_i = \{\vec{a} \in \prod_i \mathbb{Z}/p^i\mathbb{Z} : a_j = a_i \bmod (p^j) \ \forall j \leq i\}$

**THEOREM 6.3.1.**  $\mathbb{Z}_p \cong A$  (as topological rings)

**PROOF.** the map is just  $\phi : a = \sum_i a_i p^i \mapsto (\sum_{j=0}^i a_j p^j)_i$  check that this is indeed a map of rings (modulu commutes with addition and multiplication).

Injective: if  $\phi(a) = 0$  then  $a = 0 \bmod (p^n)$  for any  $n$  so is 0.

Surjective: Let  $\{a_i\}$  be a sequence in the limit, we show that it is a image of  $a = \sum_i b_i p^i$  with  $b_0 = a_0$ ,  $b_i = (a_{i+1} - a_i)/p^i$  since  $a_{i+1} - a_i = 0 \bmod (p^i)$  so is well defined.

Continuous: Let  $U = \pi_n^{-1}a_n$  be a cylinder set,  $U = \pi_n^{-1}(a_n) = \{(a_i) \in A : a_i = a_n \bmod (p^n)\}$  and thus  $\phi^{-1}(U) = \{x \in \mathbb{Z}_p : x - a_n \in p^n\mathbb{Z}_p\} = a_n + p^n\mathbb{Z}_p$  thus is open.

Open map: a basic open set around 0 is  $p^n\mathbb{Z}_p$  which maps to  $\pi_n^{-1}(0)$   $\square$

**COROLLARY 6.3.1.**  $\mathbb{Z}_p$  is compact

**6.4. tdlc.** The non-archemedian p-adic fields are an example of a space with the following properties:

**DEFINITION 6.4.1.** An  $l$ -space is a locally compact totally disconnected hausdorff topological space.

**LEMMA 6.4.1.** Let  $X$  be a  $l$  space- it has a basis of open compact neighborhoods

**PROOF.** Since is locally compact we may assume  $X$  is compact.

To show that there is a system of compact open neighborhoods we show that for any  $x \in X$  the intersection of all compact open sets that contain  $x$  is exactly  $x$ . We do so by showing this intersection is connected. Denote this intersection by  $K$ , assume is not connected, thus  $K = K_1 \cup K_2$  (disjoint union of non empty relatively open sets). w.l.o.g assume  $x \in K_1$ . Notice that  $K_i$  are closed in  $K$  thus compact, hence by regularity of  $X$  (Hausdorff + locally compact implies regular) there is open disjoint  $U_i$  containing  $K_i$ .

The set  $F := X \setminus (U_1 \cup U_2)$  does not contain  $x$ , it is closed hence compact.

Adding  $F$  to the collection of neighborhoods above makes the intersection of the collection empty thus there is finite  $C_i \in K$  s.t.  $F \cap_i C_i = \emptyset$ , denote  $L = \cap_i C_i$ .

We have:  $x \in L$ ,  $L \cap F = \emptyset \Rightarrow L \subset U_1 \cup U_2$  so let  $L_i := U_i \cap L$  is open and  $x \in L_1$ .

Observe-  $L_1$  is closed,  $\overline{L_1} = \overline{U_1 \cap L} \subset \overline{U_1} \cap L \subset U_2^c \cap (U_1 \cup U_2) \subset U_1$   
 thus since  $L_1$  is closed compared to  $U_1$  it is closed.

We get  $K \subset L \subset U_1$  thus  $K_2 = \emptyset$  in contradiction!

Why do we have a neighborhood ?

Since if  $x \in V$  some open set, then define  $F = K \setminus V$  and obtain clopen  $L \subset V$  containing  $x$  as above.  $\square$

THEOREM 6.4.1. If  $X$  is a compact  $l$ -group there is such basis (around the identity) of normal subgroups. And is an  $l$ -group is such (compact) iff is pro-finite