

Algebraic Geometry 2

Tutorial session 3

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The image presheaf

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$$\mathcal{G}(U) = \left\{ \xi = (\xi_1, \xi_2) : U \rightarrow \mathbb{R}^2 \text{ smooth} \mid \frac{\partial \xi_1}{\partial y} = \frac{\partial \xi_2}{\partial x} \right\}.$$

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Define $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ by $\varphi_U(f) = \nabla f = \left(\frac{df}{dx}, \frac{df}{dy} \right)$ for any $f \in \mathcal{F}(U)$.

The image presheaf - example cont

- Given $U \subseteq X$ *simply connected*, by a theorem from calculus, any $\xi \in \mathcal{G}(U)$ is conservative, and hence of the form $\varphi_U(f)$ for some $f \in \mathcal{F}(U)$. Therefore, φ_U is surjective for U simply connected.

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- On the other hand, φ_X is *not* surjective. For example, the field $\xi_0(x, y) = (\frac{-y}{x^2+y^2}, \frac{x}{x^2+y^2})$ lies in $\mathcal{G}(X)$ and admits no preimage under φ_X .

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- Let $X = \bigcup U_\alpha$ be an open cover by simply connected sets. For any α , $\xi_\alpha := \xi_0|_{U_\alpha}$ lies in $\text{Im}\varphi_{U_\alpha}$. Also, the ξ_α 's agree on intersections.

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- Let $X = \bigcup U_\alpha$ be an open cover by simply connected sets. For any α , $\xi_\alpha := \xi_0|_{U_\alpha}$ lies in $\text{Im}\varphi_{U_\alpha}$. Also, the ξ_α 's agree on intersections. However, they glue uniquely (by identity) to $\xi_0 \in \mathcal{G}(X)$, which is not an element of $\text{Im}\varphi_X$.
- Therefore the assignment $U \mapsto \text{Im}\varphi_U$ is not a sheaf.

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Grothendieck sheaves and Leray sheaves

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The terminology G-Sheaves and L-Sheaves is uncommon; usually both are referred to as sheaves. Historically, Leray was the first to formalize the notion of a sheaf in trying to prove certain fixed-point theorems for PDEs.

From L-sheaves to G-sheaves

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Given an L-sheaf E over a top space X , we can construct a G-sheaf $G(E)$ by taking continuous sections. That is

$$G(E)(U) = \Gamma(U, E) := \{s : U \rightarrow E \text{ continuous} \mid p \circ s = \mathbf{1}_U\}$$

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$G(E)$ is easily verified to be a sheaf.

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Given a G-sheaf \mathcal{F} over X , we construct a Leray sheaf whose underlying set is

$$L(\mathcal{F}) = \bigsqcup_{x \in X} \{x\} \times \mathcal{F}_x,$$

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with $p : L(\mathcal{F}) \rightarrow X$ the projection onto the first coordinate. The topology on $L(\mathcal{F})$ is generated by the sets

$$V_{\xi, U} = \{(x, \sigma_x) : x \in U \text{ and } \sigma_x = [\xi, U] \text{ in } \mathcal{F}_x\} \quad (U \text{ open, } \xi \in \mathcal{F}(U)).$$

Lemma (Home exercise)

$p|_{V_{\xi, U}}$ is a homeomorphism onto U .

Note that the construction of $L(\mathcal{F})$ can also be applied to presheaves.

Exercise

Let \mathcal{F} be a presheaf over X . Find a natural map $\varphi : \mathcal{F} \rightarrow G(L(\mathcal{F}))$. Show that it is injective if \mathcal{F} satisfies the identity axiom and that φ_U is for all U surjective if \mathcal{F} satisfies gluing.

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Proof.

Given $U \subseteq X$ open, we define $\varphi_U : \mathcal{F}(U) \rightarrow \Gamma(U, L(\mathcal{F}))$ by $\varphi_U(\xi) = s_\xi$ where $s_\xi : U \rightarrow L(\mathcal{F})$ is defined by

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Claim: s_ξ is continuous. Assume $s_\xi(x) \in V_{\nu, U'}$ for some $x \in U$ and $V_{\nu, U'} \subseteq L(\mathcal{F})$ basic open. By definition of $V_{\nu, U'}$, this means that $[\xi, U] = [\nu, U']$ as elements of \mathcal{F}_x .

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which occurs iff any $x \in U$ has a neighbourhood V_x such that $\xi|_{V_x} \equiv 0$.

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Question

Given an L-sheaf $E \xrightarrow{p} X$, can we construct a natural map

$$\begin{array}{ccc} L(G(E)) & \xrightarrow{\quad} & E \\ & \searrow & \swarrow \\ & X & \end{array}$$

Sheafification

Definition

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- 4 Given \mathcal{G} a sheaf on X and $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ a morphism of presheaves, there exists a unique $\tilde{\varphi} : \mathcal{F}^+ \rightarrow \mathcal{G}$ such that

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commutes. That is $\text{Hom}_{\underline{\mathbf{Sh}}_X}(\mathcal{F}, \mathcal{G}^{\text{forget}}) = \text{Hom}_{\underline{\mathbf{Sh}}_X}(\mathcal{F}^+, \mathcal{G})$.

Sheafification - contd

- 1 The assertion $\mathcal{F}_x \simeq \mathcal{F}_x^+$ for any $x \in X$ may be verified directly. It follows from the more general fact that, for any Leray sheaf $E \xrightarrow{p} X$, the stalk of $G(E)$ over a point x is canonically isomorphic to the fiber $p^{-1}(x)$. (this is a consequence of p being a local homeomorphism.)

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- 2 One possible way to define the map $\tilde{\varphi} : \mathcal{F}^+ \rightarrow \mathcal{G}$ is by defining a map $\varphi^+ : \mathcal{F}^+ \rightarrow \mathcal{G}^+$, by setting

$$\tilde{\varphi}_U(s)(x) = (x, \varphi_x(s(x))) \quad (s \in \mathcal{F}(U), U \text{ open}),$$

where φ_x denotes the induced homomorphism $\mathcal{F}_x \rightarrow \mathcal{G}_x$. To get $\tilde{\varphi}$, compose φ^+ with the isomorphism $\mathcal{G}^+ \xrightarrow{\sim} \mathcal{G}$.

Another construction – a remark

There is another construction of the sheafification which is independent of choosing points. Let \mathcal{F} be a presheaf over a top space X .

① Given U open and an open cover $U = \bigcup V_\alpha$, we can define

$$\mathcal{F}_{U=\bigcup V_\alpha}^\oplus(U) := \{(\xi_\alpha)_\alpha \mid \xi_\alpha \in \mathcal{F}(V_\alpha) \text{ and } \xi_\alpha|_{V_\alpha \cap V_\beta} = \xi_\beta|_{V_\alpha \cap V_\beta}\}.$$

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$$\mathcal{F}^\oplus(U) := \lim_{U=\bigcup V_\alpha} \mathcal{F}_{U=\bigcup V_\alpha}^\oplus(U).$$

- ③ If \mathcal{F} has the identity axiom then $\mathcal{F}^\oplus \simeq \mathcal{F}^+$ is a sheaf. Otherwise \mathcal{F}^\oplus has identity, and then $\mathcal{F}^{\oplus\oplus}$ is a sheaf, isomorphic to \mathcal{F}^+ .

Operations on sheaves

Let $f : X \rightarrow Y$ a continuous map of topological spaces.

Definition (Direct image sheaf)

Given a sheaf \mathcal{F} on X , we can define a new sheaf on Y by setting

$$f_*\mathcal{F}(V) = \mathcal{F}(f^{-1}(V)),$$

for any $V \subseteq Y$ open.

Exercise

Let $f : X \rightarrow Y$ be a finite covering map and $y \in Y$. What is $(f_*\mathcal{F})_y$?

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$$\begin{aligned}(f_*\mathcal{F})_y &= \lim_{y \in W \text{ open}} (f_*\mathcal{F})(W) = \lim_{y \in W \subseteq V} \mathcal{F}(f^{-1}(W)) \\ &= \lim_{y \in W \subseteq V} \mathcal{F}\left(\bigsqcup_i (f^{-1}(W) \cap U_i)\right) = \lim_{y \in W \subseteq V} \prod_i \mathcal{F}((f|_{U_i})^{-1}(W)) \\ &= \prod_{i=1}^n \lim_{x_i \in U' \subseteq U} \mathcal{F}(U') = \prod_{x \in f^{-1}(y)} \mathcal{F}_x.\end{aligned}$$



Operations on sheaves – Pullback

Now let \mathcal{F} be a sheaf on Y and $f : X \rightarrow Y$ a continuous map. We want to obtain a sheaf $f^{-1}(\mathcal{F})$ on X . We describe it in the context of L -sheaves.

Recall that, given another continuous map $p : E \rightarrow Y$, the fiber product $X \times_Y E$ is defined by $\{(x, e) \mid f(x) = p(e)\} \subseteq X \times E$, with the subspace topology.

Lemma

Let $E \xrightarrow{p} Y$ be an L -sheaf over Y . Then $X \times_Y E \rightarrow X$ is an L -sheaf on X , wrt projection onto the first coordinate.

Pullback in L-sheaves

Proof of lemma.

Let π_1 denote the projection $X \times_Y E \rightarrow X$ and $(x, e) \in X \times_Y E$.

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Let π_1 denote the projection $X \times_Y E \rightarrow X$ and $(x, e) \in X \times_Y E$. Assume $e \in U \subseteq E$ is open such that $p|_U$ is a homeomorphism. Put $W = f^{-1}(p(U))$. Then $x \in W$, since $f(x) = p(e) \in p(U)$. Let $V := (W \times U) \cap (X \times_Y E)$.

Claim. $\pi_1|_V$ is a homeomorphism

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Proof of lemma.

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Claim. $\pi_1|_V$ is a homeomorphism It is continuous and open, as the restriction of a continuous open map.

Pullback in L-sheaves

Proof of lemma.

Let π_1 denote the projection $X \times_Y E \rightarrow X$ and $(x, e) \in X \times_Y E$. Assume $e \in U \subseteq E$ is open such that $p|_U$ is a homeomorphism. Put $W = f^{-1}(p(U))$. Then $x \in W$, since $f(x) = p(e) \in p(U)$. Let $V := (W \times U) \cap (X \times_Y E)$.

Claim. $\pi_1|_V$ is a homeomorphism It is continuous and open, as the restriction of a continuous open map. to verify that $\pi_1|_V$ is injective, note that

$$\pi_1(x_1, e_1) = \pi_1(x_2, e_2) \quad \Rightarrow \quad x_1 = x_2 \quad \Rightarrow \quad f(x_1) = f(x_2),$$

which, for $(x_i, e_i) \in X \times_Y E$ implies $p(e_1) = p(e_2)$. Since $p|_U$ is bijective, this implies $e_1 = e_2$ as well.

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which, for $(x_i, e_i) \in X \times_Y E$ implies $p(e_1) = p(e_2)$. Since $p|_U$ is bijective, this implies $e_1 = e_2$ as well. Therefore π_1 is a local homeomorphism.



Pullback in G-sheaves

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Note that, given $W \subseteq X$ open, $f(W) \subseteq V \subseteq Y$ open and a section $s : V \rightarrow E$ of p , we can cook-up a section $\tilde{s} : W \rightarrow X \times_Y E$ by the formula $\tilde{s}(w) = (w, s \circ f(w))$.

$$\begin{array}{ccc} X \times_Y E & \longrightarrow & E \\ \tilde{s} \downarrow \swarrow & \pi_1 \downarrow & p \downarrow \searrow s \\ X & \xrightarrow{f} & Y \end{array} .$$

We get an inclusion $\lim_{f(W) \subseteq V} G(E)(V) \hookrightarrow G(X \times_Y E)(W)$.

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Definition (Pullback of a sheaf)

Given $f : X \rightarrow Y$ a continuous map and \mathcal{F} a sheaf on Y , the *pullback sheaf* $f^{-1}(\mathcal{F})$ is the sheaf obtained by sheafifying the presheaf

$$U \mapsto \lim_{f(U) \subseteq V \text{ open}} \mathcal{F}(V).$$

Adjointness of pullback and direct image

Exercise

Let $f : X \rightarrow Y$ be a continuous map, \mathcal{F} a sheaf on X and \mathcal{G} a sheaf on Y . Then there exists a natural bijection

$$\mathrm{Hom}_{\mathbf{Sh}(X)}(f^{-1}\mathcal{G}, \mathcal{F}) \simeq \mathrm{Hom}_{\mathbf{Sh}(Y)}(\mathcal{G}, f_*\mathcal{F}).$$

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Proof.

We construct natural maps going in both directions, and verify that their compositions are equivalent to identity (some details are left as exercises).

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$$F(\varphi)_V = \varphi_{f^{-1}(V)} \in \text{Hom}(\mathcal{G}(V), f^*\mathcal{F}(V)).$$

The definition $F(\varphi)$ is compatible with restrictions, and therefore $F(\varphi)$ is a morphism of sheaves (Ex).



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- $G : \text{Hom}(\mathcal{G}, f^*\mathcal{F}) \rightarrow \text{Hom}(f^{-1}\mathcal{G}, \mathcal{F})$: Given $\psi : \mathcal{G} \rightarrow f^*\mathcal{F}$, $U \subseteq X$ open and $f(U) \subseteq V \subseteq Y$ open, we have a map $g_{V,U} : \mathcal{G}(V) \rightarrow \mathcal{F}(U)$, given by the composition

$$\mathcal{G}(V) \xrightarrow{\psi_V} f^*\mathcal{F}(V) = \mathcal{F}(f^{-1}V) \xrightarrow{\text{res}_{f^{-1}(V),U}} \mathcal{F}(U).$$

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$$g_U = \lim_{V \supseteq f(U)} g_{V,U} : \lim_{V \supseteq f(U)} \mathcal{G}(V) \rightarrow \mathcal{F}(U),$$

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Proof.

- Given $\psi : \mathcal{G} \rightarrow f^*\mathcal{F}$ and $V \subseteq Y$ open, we have $(FG\psi)_V = (G\psi)_{f^{-1}(V)}$, where the RHS is given by a direct limit over open sets containing $f(f^{-1}(V)) = V$.

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- The equivalence $(GF\varphi)_U = \varphi_U$ for all $\varphi : f^{-1}\mathcal{G} \rightarrow \mathcal{F}$ follows similarly, by unfolding the definitions (Ex).

