

| Introductory sub-table (I)(a) | | | |
|--|--------------------------------------|--|---|
| Name of the Company: | SHAKTI PUMPS INDIA LIMITED | | |
| Script Code, Name of the scrip, class of security: | 531431 | | |
| Quarter ended: | 30/09/2015 | | |
| Partly paid-up shares:- | | | |
| Held by promoter/promoter group | NIL | No. of partly paid-up shares | As a % of total no. of partly paid-up shares |
| Held by public | NIL | | As a % of total no. of shares of the company |
| Total - D | NIL | | |
| Outstanding convertible securities:- | | | |
| | No. of outstanding securities | As a % of total no. of outstanding convertible securities | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
| Held by promoter/promoter group | NIL | | |
| Held by public | 1636363 | 100 | 8.9 |
| Total - E | 1636363 | 100 | 8.9 |
| Warrants:- | | | |
| | No. of warrants | As a % of total no. of warrants | As a % of total no. or shares of the company, assuming full conversion of warrants |
| Held by promoter/promoter group | NIL | NIL | NIL |
| Held by public | NIL | NIL | NIL |
| Total - F | NIL | NIL | NIL |
| Total paid-up capital of the company, assuming full conversion of warrants and convertible securities | 18380156 | | |



| Company Name: SHAKTI PUMPS INDIA LIMITED | | Statement Showing Shareholding Pattern | | | | | |
|--|---|--|------------------------|--|--|---------------------------|--|
| Category | Category of Shareholder | Table (I)(a) | | | | | |
| | | Number of Shareholder s | Total number of shares | Number of shares held in dematerialized form | Total shareholding as a percentage of total number of shares | As a percentage of shares | Shares Pledged or otherwise encumbered |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) = (VII)/(IV)*100 |
| (A) | Shareholding of Promoter and Promoter Group2 | | | | | | |
| 1 | Indian | | | | | | |
| (a) | Individuals/ HUF | 0 | 0 | 0 | 0.00 | 0.00 | 0.00 |
| (b) | Central Govt./ State Govt.(s) | 0 | 0 | 0 | 0.00 | 0.00 | 0.00 |
| (c) | Bodies Corporate | 1 | 37500 | 37500 | 0.22 | 0.22 | 0.00 |
| (d) | Financial Institutions/ Banks | 0 | 0 | 0 | 0.00 | 0.00 | 0.00 |
| (e) | Any Others(Specify) | | | | | | |
| (e-i) | Directors Relatives | 6 | 3266000 | 3266000 | 19.51 | 19.51 | 1167600 |
| (e-ii) | Directors | 2 | 5083688 | 5083688 | 30.36 | 30.36 | 1006000 |
| | Sub Total(A)(1) | 9 | 8387188 | 8387188 | 50.09 | 50.09 | 2173600 |
| | 2 Foreign | | | | | | 25.92 |
| a | Individuals (NRI/Foreign Individuals) | 0 | 0 | 0 | 0.00 | 0.00 | 0.00 |
| b | Bodies Corporate | 0 | 0 | 0 | 0.00 | 0.00 | 0.00 |
| c | Institutions | 0 | 0 | 0 | 0.00 | 0.00 | 0.00 |
| d | Qualified Foreign Investor | 0 | 0 | 0 | 0.00 | 0.00 | 0.00 |
| e | Any Others(Specify) | 0 | 0 | 0 | 0.00 | 0.00 | 0.00 |
| | Sub Total(A)(2) | 0 | 0 | 0 | 0.00 | 0.00 | 0.00 |
| | Total Shareholding of Promoter and P | 9 | 8387188 | 8387188 | 50.09 | 50.09 | 2173600 |
| (B) | Public shareholding | | | | | | 25.92 |
| | 1 Institutions | | | | | | |



| | | | | | | | | |
|------------|---|--------------|-----------------|-----------------|---------------|---------------|----------------|--------------|
| (a) | Mutual Funds/ UTI | 3 | 828709 | 828709 | 4.95 | 4.95 | 0 | 0.00 |
| (b) | Financial Institutions / Banks | 3 | 17751 | 17751 | 0.11 | 0.11 | 0 | 0.00 |
| (c) | Central Government/ State Government(s) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| (d) | Venture Capital Funds | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| (e) | Insurance Companies | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| (f) | Foreign Institutional Investors | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| (g) | Foreign Venture Capital Investors | 1 | 40000 | 40000 | 0.24 | 0.24 | 0 | 0.00 |
| (h) | Qualified Foreign Investor | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| (i) | Any Other (specify) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| | Sub-Total (B)(1) | 7 | 886460 | 886460 | 5.29 | 5.29 | 0 | 0.00 |
| B 2 | Non-institutions | | | | | | | |
| (a) | Bodies Corporate | | | | | | | |
| (b) | Individuals | 376 | 3390631 | 3390631 | 20.25 | 20.25 | 0 | 0.00 |
| I | Ind-Hold nominal shr capital upto Rs.1L | 10391 | 2770489 | 2690681 | 16.55 | 16.55 | 0 | 0.00 |
| II | Ind-Hold nominal shr capital in excess of Rs.1L | 42 | 993850 | 969050 | 5.94 | 5.94 | 0 | 0.00 |
| (c) | Qualified Foreign Investor | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| (d) | Any Other (specify) | | | | | | | |
| (d-i) | Clearing member | 98 | 36867 | 36867 | 0.22 | 0.22 | 0 | 0.00 |
| (d-ii) | NRIs | 192 | 278308 | 278308 | 1.66 | 1.66 | 0 | 0.00 |
| | Sub-Total (B)(2) | 11099 | 7470145 | 7365537 | 44.61 | 44.61 | 0 | 0.00 |
| (B) | Total Public Shareholding (B) = (B)(1) + (B)(2) | 11106 | 8356605 | 8251997 | 49.91 | 49.91 | 0 | 0.00 |
| | TOTAL (A)+(B) | 11115 | 16743793 | 16639185 | 100.00 | 100.00 | 2173600 | 12.98 |
| (C) | Shares held by Custodians and against which Depository Receipts have been issued | | | | | | | |
| 1 | Promoter and Promoter Group | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| 2 | Public - | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| | Sub-Total (C) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 |
| | GRAND TOTAL (A)+(B)+(C) | 11115 | 16743793 | 16639185 | 100.00 | 100.00 | 2173600 | 12.98 |



(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| Sr. No. | Name of the shareholder | Details of Shares held | Encumbered shares (*) | | Details of warrants | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital | | |
|--------------|-------------------------|------------------------|-----------------------|-----------------------------------|---------------------|------------------|--|-------------------------|--|---------------------------|---------------------------|
| | | | Number of shares held | As a % of grand total (A)+(B)+(C) | No. | As a percent age | As a % of grand total (A)+(B)+(C) of sub-clause (I)(a) | Number of warrants held | As a % of warrants held | Number of securities held | As a % of securities held |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) |
| 1 | AISHWARYA PATIDAR | 385400 | 2.30 | 277600 | 72.03 | 1.66 | 0 | 0.00 | 0 | 0.00 | 2.10 |
| 2 | ANKIT PATIDAR | 1500000 | 8.96 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 8.16 |
| 3 | DINESH PATIDAR | 3521488 | 21.03 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 19.16 |
| 4 | GEETA PATIDAR | 610800 | 3.65 | 444000 | 72.69 | 2.65 | 0 | 0.00 | 0 | 0.00 | 3.32 |
| 5 | INDIRA PATIDAR | 334000 | 2.00 | 170000 | 50.90 | 1.02 | 0 | 0.00 | 0 | 0.00 | 1.82 |
| 6 | PALLAVI PATIDAR | 341800 | 2.04 | 182000 | 53.25 | 1.09 | 0 | 0.00 | 0 | 0.00 | 1.86 |
| 7 | SEEMA PATIDAR | 94000 | 0.56 | 94000 | 100.00 | 0.56 | 0 | 0.00 | 0 | 0.00 | 0.51 |
| 8 | SUNIL PATIDAR | 1562200 | 9.33 | 1006000 | 64.40 | 6.01 | 0 | 0.00 | 0 | 0.00 | 8.50 |
| 9 | VINTEX TOOLS PRIVATE | 37500 | 0.22 | 0 | 0.00 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0.20 |
| TOTAL | | 8387188 | 50.09 | 2E+06 | 25.92 | 12.98 | 0 | 0.00 | 0 | 0.00 | 45.63 |

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



(I)(c) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. No. | Name of the shareholder | Number of shares held | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Details of | | Details of | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------------|--|-----------------------|--|----------------------------------|---------------------------------------|----------------------------------|---------------------------------------|--|
| | | | | As a % of Total Number of shares | Number of convertible securities held | As a % of Total Number of shares | Number of convertible securities held | |
| 1 | FRANKLIN INDIA SMALL CAP FUND | 328709 | 1.96 | 0 | 0.00 | 0 | 0 | 1.79 |
| 2 | FRANKLIN TEMPLETON FUND | 400000 | 2.39 | 0 | 0.00 | 0 | 0 | 2.18 |
| 3 | ML SECURITIES AND FINANCIAL SERVICES LTD | 1837383 | 10.97 | 0 | 0.00 | 0 | 0 | 10.00 |
| 4 | RAJAL SECURITIES PRIVATE LIMITED | 463400 | 2.77 | 0 | 0.00 | 0 | 0 | 2.52 |
| 5 | SNEHAL BHUPENDRA SINGH | 174500 | 1.04 | 0 | 0.00 | 0 | 0 | 0.95 |
| 6 | VINAY SECURITIES PRIVATE LIMITED | 363000 | 2.17 | 0 | 0.00 | 0 | 0 | 1.97 |
| a | AF HOLDINGS | 0 | 0.00 | 0 | 0.00 | 1636363 | 100.00 | 8.90 |
| TOTAL | | 3566992 | 21.30 | 0 | 0.00 | 1636363 | 100.00 | 28.31 |



(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

| Sr. No. | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Details of warrants | | Details of convertible | | Total shares (including underlying shares assuming full Conversion of warrants and convertible securities) as a % of diluted share capital | |
|---------|---|------------------|--|---------------------|--------------------------------------|---------------------------------------|--|--|-------|
| | | | | As a % Total | Number of warrants of the same Class | Number of convertible securities held | % w.r.t Total Number of convertible securities of the same Class | | |
| 1 | M L SECURITIES AND FINANCE PVT LTD | 1837383 | 10.97 | 0 | 0.00 | 0.00 | 0 | 10.00 | 10.00 |
| | TOTAL | 1837383 | 10.97 | 0 | 0.00 | 0.00 | 0 | | |

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(I)(d) Statement showing details of locked-in shares

| Sr. No. | Name of the shareholder | Number of locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (II)(a) above} | Promoter / Group / Public |
|---------|-------------------------|----------------------------|---|---------------------------|
| 1 | ANKIT PATIDAR | 1500000 | 8.96 | |
| | TOTAL | 1500000 | 8.96 | |



(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---------|---|---------------------------|---|---|
| 1 | | 0 | 0 | 0 |
| | TOTAL | 0 | 0 | 0 |

