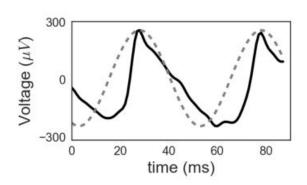
# The waveform shape of brain oscillations

Scott Cole

Voytek Lab

18 May 2017

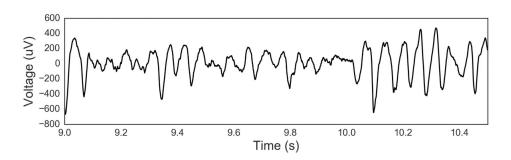
NGP Research rounds

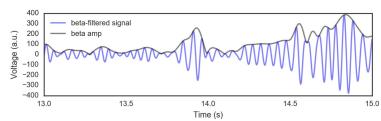


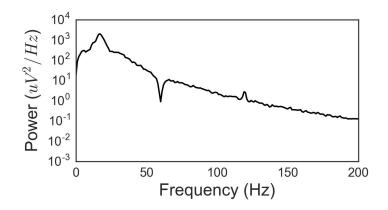
#### Outline

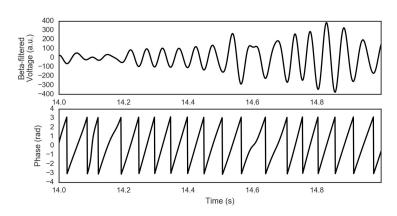
- Why waveform shape?
- Past results: What information might be contained in an oscillation's waveform shape?
- Current results: How do local hippocampal spiking patterns relate to the theta waveform shape?

#### Oscillations: Amplitude, frequency, phase

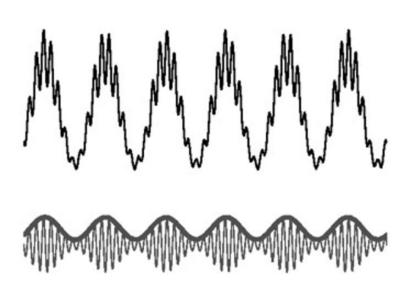




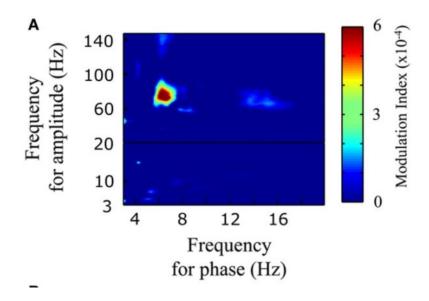




#### Phase-amplitude coupling



Tort et al., 2010, J Neurophys

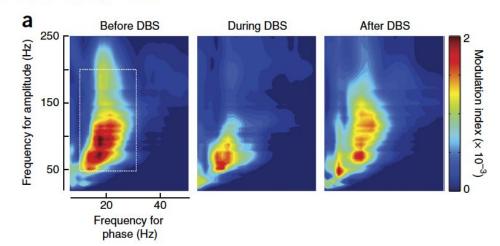


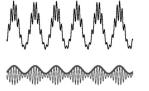
Morillon et al., 2012, Front. Psych.



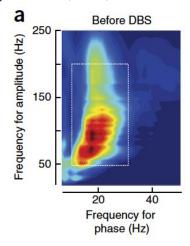
# Therapeutic deep brain stimulation reduces cortical phase-amplitude coupling in Parkinson's disease

Coralie de Hemptinne<sup>1</sup>, Nicole C Swann<sup>1</sup>, Jill L Ostrem<sup>2</sup>, Elena S Ryapolova-Webb<sup>1</sup>, Marta San Luciano<sup>2</sup>, Nicholas B Galifianakis<sup>2</sup> & Philip A Starr<sup>1,3</sup>



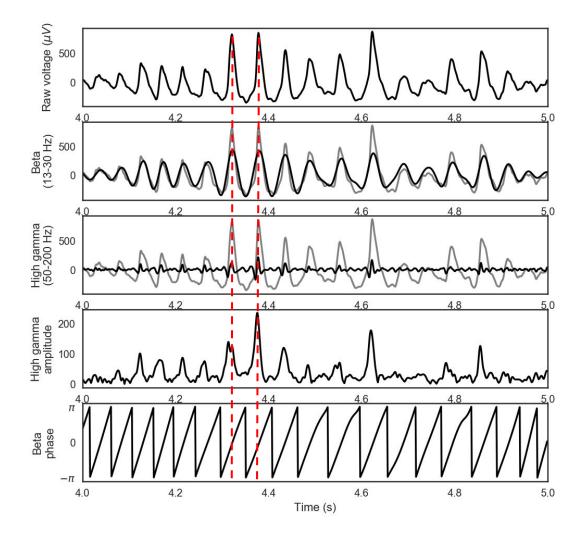


de Hemptinne et al., 2015, Nat Neuro

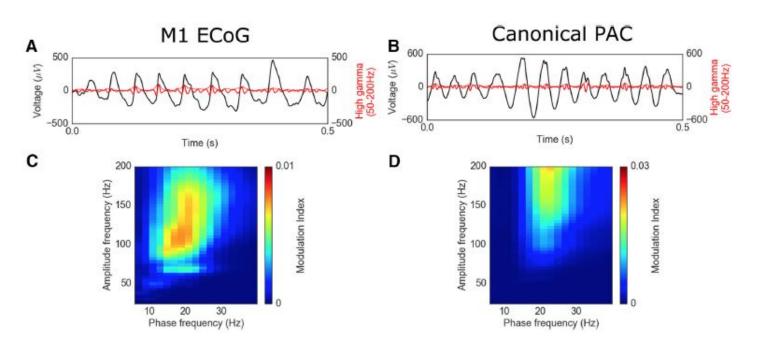


2 processes (beta nigh gamma)

1 (nonsinusoidal) beta

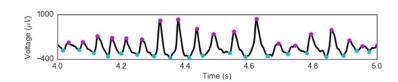


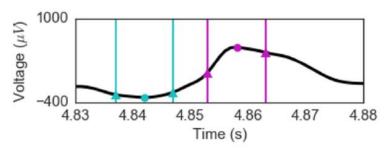
# Sharp oscillations and "true PAC" yield similar PAC statistics



# Does beta waveform shape change with DBS?

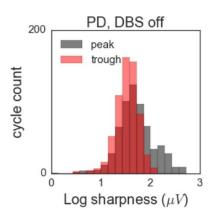
#### Quantify waveform shape





$$\begin{split} \mathrm{Sharp}_{peak} \; &= \; \frac{(V_{peak} - V_{peak-5ms}) \; + \; (V_{peak} - V_{peak+5ms})}{2} \\ &= \; \frac{(531 \mu V - 106 \mu V) \; + \; (531 \mu V - 444 \mu V)}{2} = 256 \mu V \end{split}$$

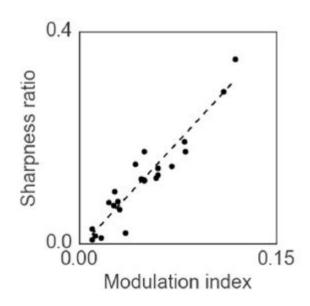
Sharp<sub>trough</sub> = 
$$\frac{(V_{trough-5ms} - V_{trough}) + (V_{trough+5ms} - V_{trough})}{2}$$
$$= \frac{(-268\mu\text{V} + 313\mu\text{V}) + (-226\mu\text{V} + 313\mu\text{V})}{2} = 66\mu\text{V}$$



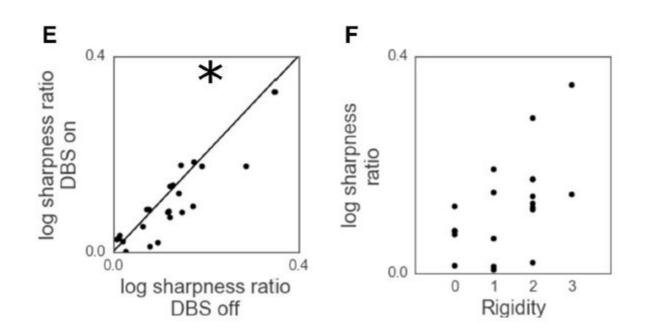
sharpness ratio

$$= \max \left( \frac{\frac{1}{N_{\text{peaks}}} \sum_{\text{peaks}} \text{Sharp}_{\text{peak}}}{\frac{1}{N_{\text{troughs}}} \sum_{\text{troughs}} \text{Sharp}_{\text{trough}}}, \frac{\frac{1}{N_{\text{troughs}}} \sum_{\text{troughs}} \text{Sharp}_{\text{trough}}}{\frac{1}{N_{\text{troughs}}} \sum_{\text{peaks}} \text{Sharp}_{\text{peak}}} \right)$$

# PAC is, essentially, sharpness

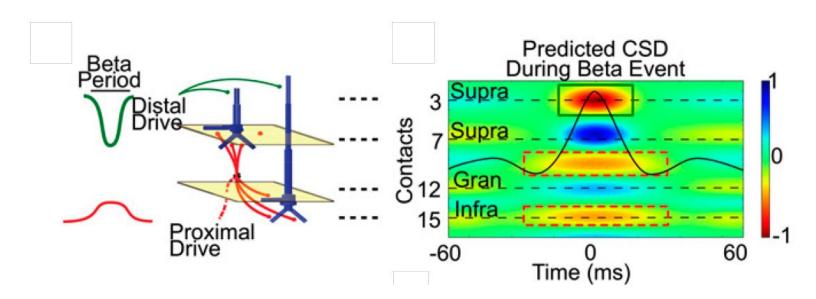


#### DBS makes M1 beta oscillations more symmetric

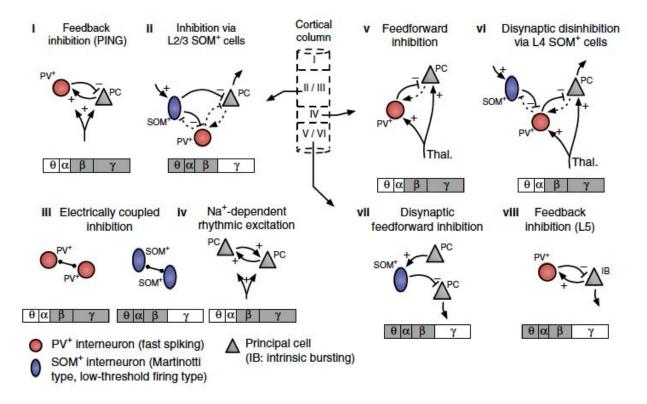


#### What does an increased sharpness ratio mean?

- Field potentials reflect synaptic activity
- Sharp transients may reflect synchronous synaptic activity



#### What information would waveform shape provide?



#### Part 1 take-away

- Typical sine wave-based analyses may indirectly measure changes in waveform shape.
- The waveform shape of motor cortical beta oscillations changes with DBS treatment in PD

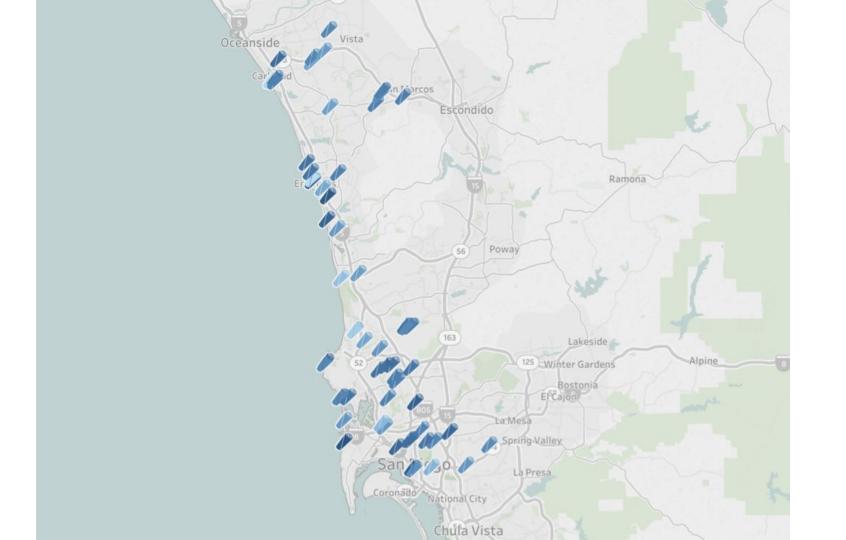
Part 2.

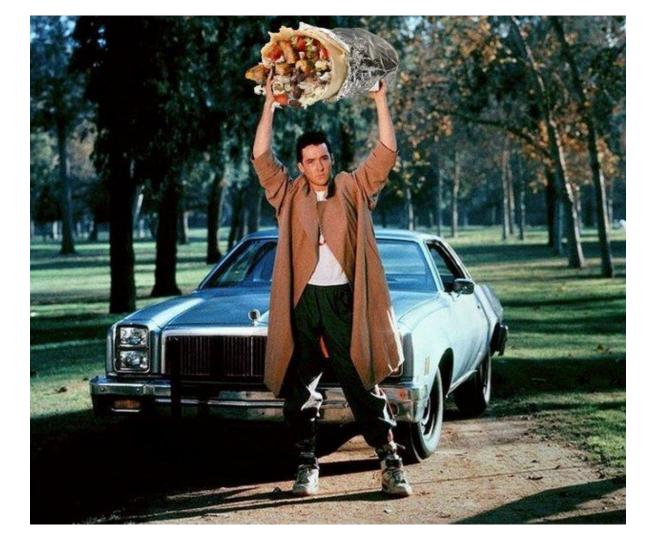




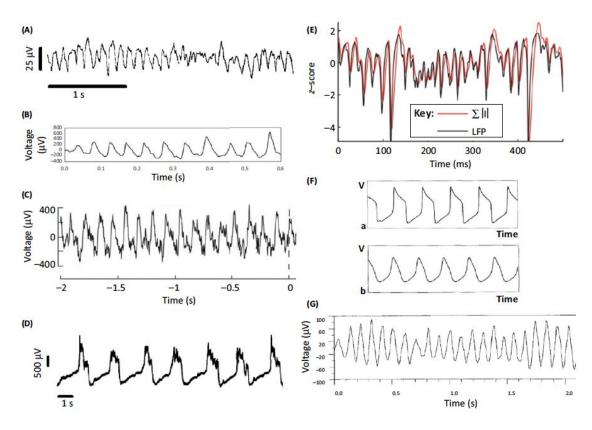
	0007 1 N &	00_123 - Arial -	10 -	В	1 5	A . A	- H -		= - 1	-	8.	00	M ▼ - 2	Σ -								
fx	19		1 2			-			1000		at the second											
j.z	Α	8	C 4	• J	К 4	► M	· N	0	p	Q	R	5	т	U	v	w	X	Y	Z AA	AB	AC	AD
1	Location	-	Date	1000	Hunger	2000000			Tortilla				Meat:filling						- 1	r Notes	Unreliable	-
216	Lucha Libre North Park	California - Pork Adobada	8/30/2016	7.5	2	19	25	0.94	4	5	5	4	4.5	4.5		4.5	4		Yes Erin			
	Lucha Libre North Park	California - Chicken	8/30/2016	7.5	4	20	23.5	0.88	3.5	25	3	4.5	3	9.0	5	4.5	3.5	7.000				
218	Lucha Libre North Park	California - Chicken	8/30/2016	7.5	3	20	22	0.77	3.5	5	3.5	4.0	3	3	-	2.5	5	3		larne ht fe	els good in my	hands
	Lucha Libre North Park	Holy Moly	8/30/2016	7.5	5			0.11	3.5	2	3.5	3.5	3	4		2	4	3.5	Sam A	a go, or re-	a good in my	
220	Lucha Libre North Park	Holy Moly	8/30/2016	7.5	3	17	21	0.6	2.5	2.5	3	2	2	- 1	5	- 1	5	1.5	No Sage	all meat at	bottom 1/8th	
221	Lucha Libre North Park	Holy Moly	8/30/2016	7.5	4	17.5	21.2	0.63	5	3	5	5	3	2		3	5		Yes Aaron S			
222	Lucha Libre North Park	Holy Moly	8/30/2016	7.5	5	19	21	0.67	5	4	2	1	4	3	5	4	2	2	Jaeyoun	Ordered a	specialty burni	to and g
223	Lucha Libre North Park	Holy Moly	8/30/2016	7.5	4.5	19.5	19	0.56	4	4	3.5	4.5	4	4	5	4.5	4	4	Yes Matteo			
224	Tacos La Bala	Pastor	9/5/2016	4.99	3.5				2.5	2.5	4	2.5	1	1	2	4	0	2.5	No Scott	Everything	is in spanish.	X
225	Tacos La Bala	Barbacoa	9/5/2016	4.99	2.5	16.5	22	0.64	2	2.5	3.5	2	3	2.5	2	2.5	2.5	2.4	No Emily		in half, felt lik	
226	Cancun Mexican & Seafood	Adobada	9/9/2016	6.45	3	19.5	21.5	0.72	4	5	3.5	3	3.5	3.5	4	2.5	4	3.5	Yes Scott	Free salad		
227	Cancun Mexican & Seafood	California	9/9/2016	6.99	4	19.5	22	0.75	3	4.5	4	4	3.5	3	4	4	5	4	Yes Emily			
228	Roberto's Taco Shop Clairemont	California	9/11/2016	5.79	4				3	4.5	4	4	4	5	2.5	3	5	3.6	Yes Scott	Fries are re	ally good but	the over
229	Roberto's Taco Shop Clairemont	California	9/11/2016	5.79	4				4	3	4	2.5	3.5	4	2	2.5	5	3	No Benni			
230	Roberto's Taco Shop Clairemont	California + Guac + sour cr	9/11/2016	7.29	3.7				3.8	4.7	4	3.5	4.5	4	4	4.5	5	4	Yes Luis			
231	Roberto's Taco Shop Clairemont	Carne asada	9/11/2016	5.69	3				4	3	1.5	3	4	4.5	3.5	2	4.5	3	No Ricardo			
232	Chipotle	Camitas	9/13/2016	7.15	4	16.5	26.5	0.92	2.5	2.5	3	2.8	2.5	3.5		3	3.5	2.75	Yes Emily			
233	Taco Stand	Al Pastor	9/16/2016	6.99	4				4.5	4	4.5	4.5	4.5	4.5	4.5	5	4	4.5	Yes Mike			
234	Taco Stand	California	9/16/2016	7.49	4.1				4	4.5	4.5	4.5	4.7	4.5	4.2	4.5	5	4.7	Yes Luis			
235	Los Tacos	California	9/18/2016	7.9	3.5	20.5	23	0.86	4	5	4	4	3	4	3.5	4	4	4.2	Yes Scott	Tortila is b	ımt a bit but g	good. Pic
236	Los Tacos	Local	9/18/2016	7.9	3.5	22	23	0.93	4	3.5	4.5	4.5	3.5	3.5	3.5	4	3	4.3	Yes Emily	Melted che	ese is good. C	Clantro i
237	El Cuervo	California	9/22/2016	6.95	3.5	20	21.5	0.74	3.5	3.5	3	4	3	3	4.5	3.5	2	3.6	Yes Scott	Alfajores di	essert and hor	chata ar
238	El Cuervo	Pollo adobado	9/22/2016	5.95	4	20.5	20	0.65	3.5	5	4.5	3.5	4	4.5	4.5	4	5	4	Yes Richard	First burnito	I enjoyed fro	m top to
239	El Torrito Foods	Asada	9/25/2016	5.49	4	23.5	19	0.68	3	5	3	3	2.5	4	3.5	3	5	3.1	Yes Scott	Good beca	use so cheap	
	El Torrito Foods	Adobada	9/25/2016	3.99	4.5	22.5	19.5	0.68	3.5	4	3	2.5	2.8	4	-		5	2.6		tamale isn't	Part of the Assessment Control	
241	La Morena Taco Shop and Seafood		9/22/2016	6.35	4				4	2.5	4	3	4.5	2	-	3	4	150	No Erin		a, good amou	nt of foo
242	Taco Vilia	Carnitas	9/26/2016	5.99	2.5	18.5	20	0.59	3.2	3.8	4.5	2.8	4	2	-		5	4	Yes Scott	Camitas ve	ry good	
243	Rigoberto's Taco Shop	Campeon	9/27/2016	7.65	4.5	26	24.5	1.24	3.5	4.5	2.5	2.5	- 1	1.5		2.5	3	2.7		The big siz	was too big	of an ap
244	Rigoberto's Taco Shop	California	9/27/2016	6.6	3.5	22	23	0.93	4	4.5	4	4	4.5	5	_	4.5	4.5	4.25				
245	Rigoberto's Taco Shop	Bacon breakfast	9/27/2016	6.3	4	22	22	0.85	4	3.6	4	4	4	4		4	4		Yes Luis			
246	Rigoberto's Taco Shop	Camitas	9/27/2016	6,6	3			177	4	4	5	5	4.5	3	2		4		Yes Elynn			
247	Taco Vila	Al pastor	9/29/2016	5.99	4	18	20	0.57	3.5	3.5	2	3	4.5	4.5	-		5	3.2		get the can		
248	Valentines Mexican Food	California	10/1/2016	7.9	4	20	22	0.77	4	4	4	4	4.5	4		4	2.5		Yes Scott		ita. Fries are	
249	Valentines Mexican Food	California Chipotle	10/1/2016	7.9	3	18.5	22	0.71	4	4	3.5	4.5	4	3.5			1.5		Yes Emily	Chips really	good, really	good bu
250	Matador Mexican Food	Camitas	10/2/2016	6.6	3.2				1.4	3.7	3	3.2	4.8	2.4		2.9	3	174	No Scott	Out.		
251	Matador Mexican Food	Carne asada	10/2/2016	6.6	4	44	- 00	0.00	4	3.5	3.5	3.5	4	3	-		4.5	3	Brent	Salsa too s	picy	
252 253	Jose's Taco	California	10/5/2016	6.1	4	22	22	0.85	3.5	3.5	2	3.5	4.5	3.5		3.5	4	3.3				
	Cortez Mexican Food	California	10/7/2016	6.25	4	22.5	18	0.58	3.5	4	2.5	3	1.5	2.5	-	2.8	5	3.2		Thereas	lastical harries	
254 255	California Burrito Company		10/12/2016	6.5 5.5		19.5	21	0.68	3.5	2.5	2.5	3	3.5	3.5		3	5	3.3			looked beaut	uji when
256	California Burrito Company		10/12/2016		2		-	0.64	3.5	5	3	3	3.5	3.5	4		4	1737				
257	California Burrito Company Taco Villa		10/12/2016	5.5 4.95	3	20	23	0.64	3.5	5	4	4	3.78	2		4.5	5	3.5			f rice burrito.	niemolie w
257	Taco Vila		10/12/2016	6.99	3.5	19	20	0.6	3.5	3	3	3	3.78	3.5	3.8	4.7	5	3.5				writing 8
259 259	Storehouse Kitchen		10/17/2016	0.99	3.5	19	20	0.6	4	5	4.5	4.2	4.7	3.5		4.9	5	4.6		Pretty dece		
260	California Burrito Company		10/18/2016	6.5	3.5	18.5	20.5	0.62	3	45	3.5	1,5	1.5	9,4	3.2	2	4.5	2.2	and the second second second		t cooked eno	unh
261	California Burrito Company	CALCULATE AND ADDRESS OF THE PARTY OF THE PA	10/18/2016	5.5	3	17	20.5	0.65	3	4.5	4.5	3	2		4.5	3.5	4.5	3.5		Very plain t		
	Taco Vila		10/18/2016	4.99	4	- 17	LL	0.00	4.5	5	4.5	3	3	2.5	-	2.5	4.5		yes Sage		tarriio tard breakfast	







# Part 2. Review of neural oscillation waveform shape

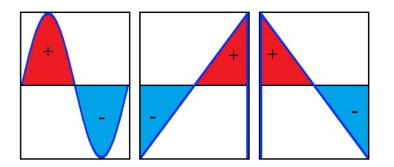


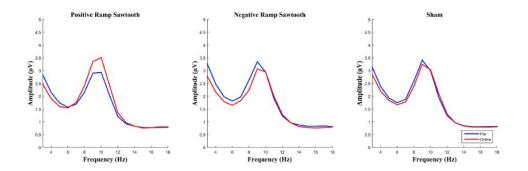
# Disambiguating multiple oscillators in same frequency band

- EEG: Alpha rhythm and mu rhythm (e.g. Pfurscheller et al., 1997, *Neuroimage*)
- Slow oscillations and "sawtooth waves" (e.g. Pearl et al., 2002, *Sleep Med.*)
- 3 distinct alpha rhythms in gustatory cortex (Tort et al., 2010, *J. Neuro.*)

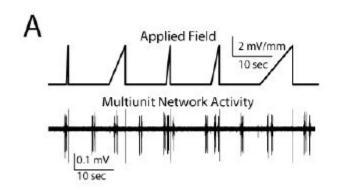
#### Oscillatory neurostimulation

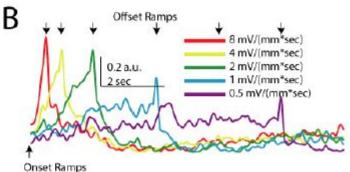
Electroconvulsive therapy Sine waves vs. rectangular wave stim. (Weiner et al., 1986, *Ann. N. Y. Acad. Sci.*)





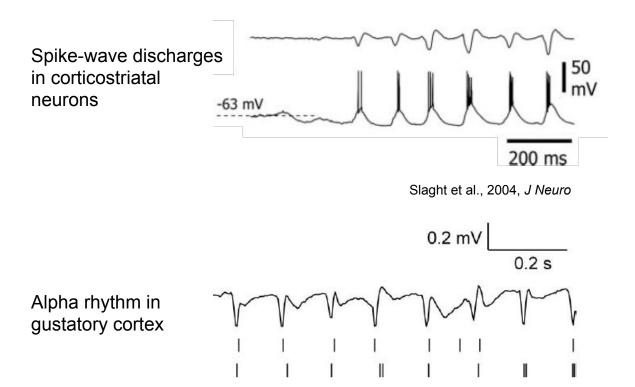
Dowsett & Herrmann, 2016, Front. Hum. Neuro.



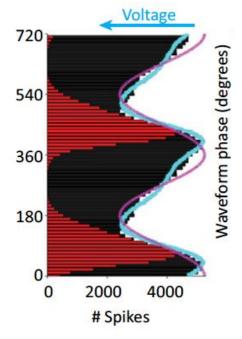


Frohlich & McCormick, 2010, Neuron

# Waveform shape and spiking



Fontanini & Katz, 2005, J Neurophys



Belluscio et al., 2012, J Neuro

#### Slow oscillations and glia

Electroencephalography and clinical Neurophysiology 107 (1998) 69-83

#### Invited review

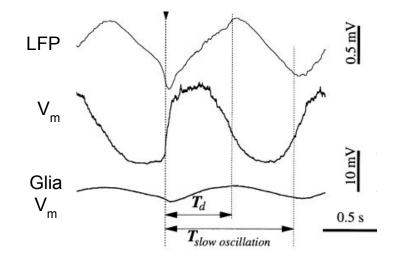
Electrophysiological correlates of sleep delta waves<sup>1</sup>

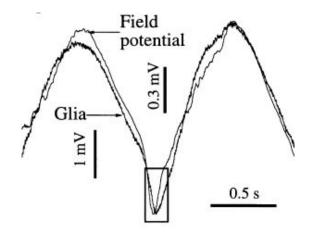
#### F. Amzica, M. Steriade

Laboratoire de Neurophysiologie, Faculté de Médecine, Université Laval, Québec, G1K 7P4 Canada

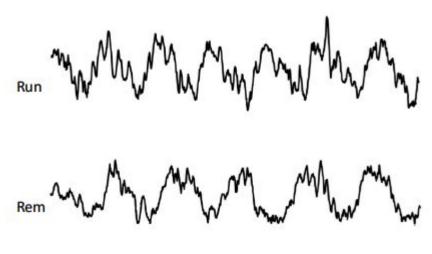
Accepted for publication: 28 February 1998

We believe that analyses of EEG data should take into consideration the actual aspect of waves and, if possible, their relationship with the state of the cellular aggregates of the corticothalamic network. Obviously this is not possible by merely a spectral approach. Fourier spectra are not able to discriminate between periodic phenomena and waves with a given shape, i.e. with a given spectral content.

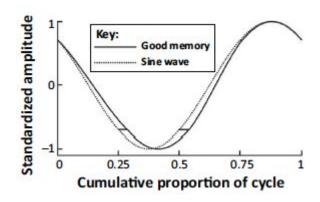


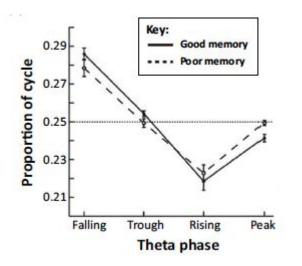


### Waveform shape and behavior



Belluscio et al., 2012, J Neuro





Trimper et al., 2014, Hippocampus

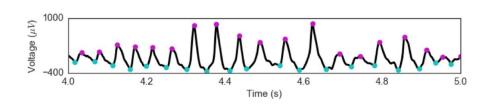
# Oscillations as a series of events

#### THE INTERPRETATION OF POTENTIAL WAVES IN THE CORTEX.

BY E. D. ADRIAN AND B. H. C. MATTHEWS.

(From the Physiological Laboratory, Cambridge.)

(Received April 4, 1934.)



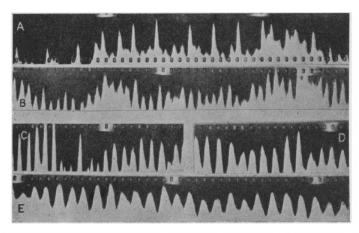


Fig. 7. Evolution of injury discharge in cat (c. and E. anæsthesia). Puncture of cortex by fine wire. A, large monophasic waves 30 per sec.; B, later, frequency 76 per sec.; C, later, frequency 72 per sec.; D, later, frequency 56 per sec.; E, later, frequency 48 per sec. Time marker gives 1/4 sec. intervals.

#### Part 2 take-away

- Neural oscillation waveform shape is diverse
- Waveform shape can differentiate distinct oscillators
- Waveform shape can relate to spiking statistics or glial membrane voltage
- Waveform shape can relate to behavior

# Part 3: Waveform shape and spiking statistics

Data (crcns.org)

Simultaneous extracellular spiking (CA1, CA3) and

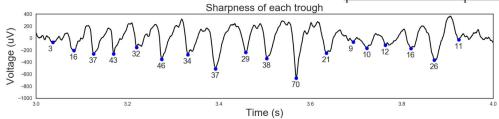
Theta oscillations in hippocampus (CA1)

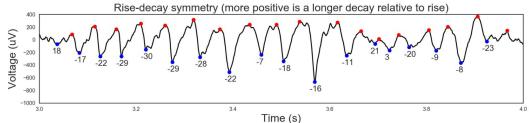
#### **Hypothesis**

Theta waveform shape relates to local spiking statistics

# Cycle-by-cycle analysis approach

	amp_mean	period	ptsym_time	rdsym_time	spike_main_time	t2t_Nspikes	t2t_spikerate	sync_time	sync_strength	sync_neu_weight
65	33.885516	80	-30	18	0.090361	6.0	45.253012	0.090361	1.943417	1.943417
66	150.330740	47	-5	-17	0.328431	2.0	12.274510	0.328431	1.000000	1.000000
67	190.075717	44	10	-22	0.717742	10.0	100.967742	0.596774	2.000000	2.000000
68	166.715680	51	7	-29	0.878205	8.0	64.205128	0.653846	2.000000	2.000000
69	174.285019	50	3	-30	0.737288	4.0	42.440678	0.703390	1.923211	1.923211





### 1. Does trough sharpness relate to spike synchrony?

Model-based approach:

$$y_i = \beta_0 + \beta_1 x_{i,1} + \beta_2 x_{i,2} + \dots + \beta_n x_{i,n} + \varepsilon_i$$

Synchrony strength ~ amplitude + period + trough sharpness

#### Hypothesis

- Adding trough sharpness to the model will increase R<sup>2</sup> Not really
- Trough sharpness will have a positive coefficient

Feature set	CA1 pyramidal	CA1 interneuron	CA3 pyramidal	CA3 interneuron
Amplitude, frequency	.022	.030	.004	.022
+ Trough sharpness	.030	.036	.006	.030
All shape features (symmetry, sharpness)	.044	.067	.012	.059

### 2. Does rise-decay symmetry relate to spike timing?

#### Model-based approach:

Synchrony time ~ amplitude + period + rise-decay symmetry

#### Hypothesis

- Adding rise-decay symmetry to the model will increase R<sup>2</sup>
- Rise-decay symmetry will have a positive coefficient (trough-centered) p = 0.002

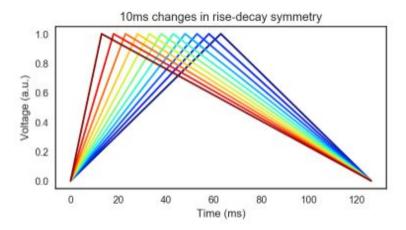
Feature set	CA1 pyramidal	CA1 interneuron	CA3 pyramidal	CA3 interneuron
Amplitude, frequency	.007	.011	.003	.003
+ Rise-decay symmetry	.010	.014	.005	.008
All shape features (symmetry, sharpness)	.017	.019	.009	.012

# 2. Does rise-decay symmetry relate to spike timing?

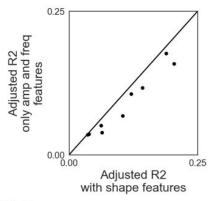
#### **Effect size**

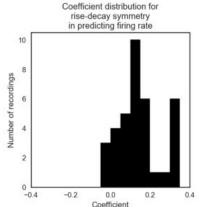
For every <u>10ms</u> difference between rise and decay time: <u>0.7ms</u> difference in synchronized spike time.

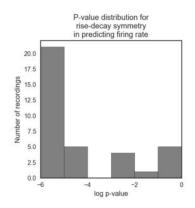
Faster rise → Sooner synchrony time



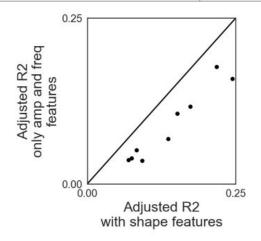
#### 3. Waveform shape and CA1 interneuron firing rate







Feature set	R <sup>2</sup> (adjusted)
Amplitude, frequency	.087
+ Rise-decay symmetry	.107
All shape features (symmetry, sharpness)	.138

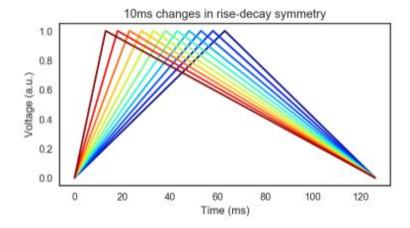


### 3. Waveform shape and CA1 interneuron firing rate

#### **Effect size**

For every <u>10ms</u> difference between rise and decay time: <u>1.5% change</u> in interneuron firing rate.

Faster rise → Faster firing



# Summary

- 1. Traditional Fourier-based metric may indirectly reflect waveform shape
- 2. Waveform shape of oscillations may reflect physiology in a variety of cases
- 3. Hippocampal theta waveform shape provides scant information about local and upstream spiking

## **Future directions**

- More rats
- Compare CA1 LFP to spiking in upstream projection from entorhinal cortex (layer 3)
- Integrate running speed into the model
- More rigorous inclusion criteria
  - Currently judge whether or not a cycle is really in an oscillation
  - o Minimum number of spikes
- Single neuron analysis (bursting)
- Alternative statistical analysis
  - Allows for arbitrary time lags

# Acknowledgements

### Voytek lab

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- Roemer van der Meij
- Torben Noto

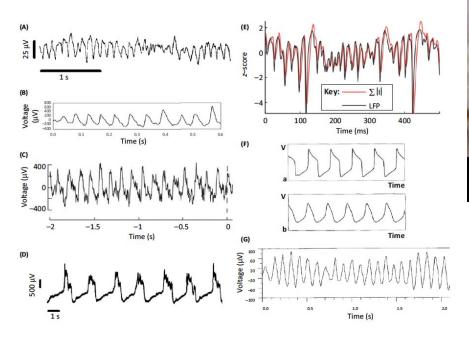
#### Thesis committee

- Eran Mukamel
- Eric Halgren
- Lara Rangel



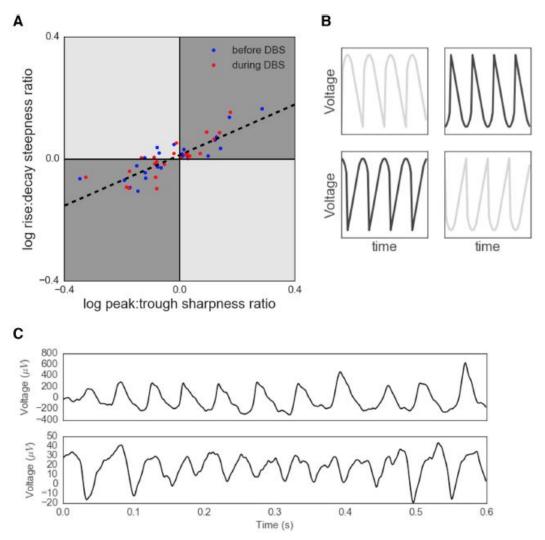


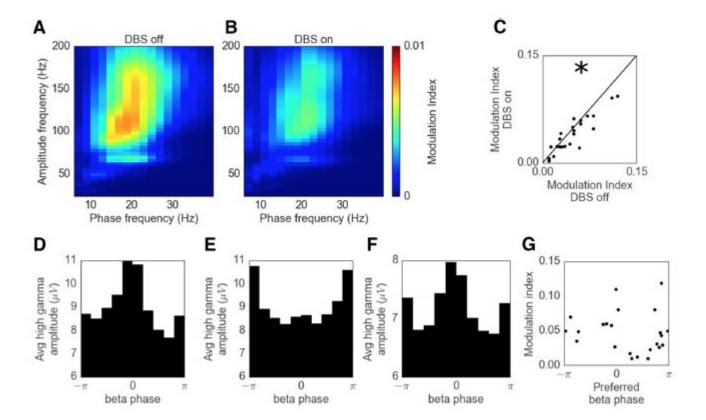
## Questions?

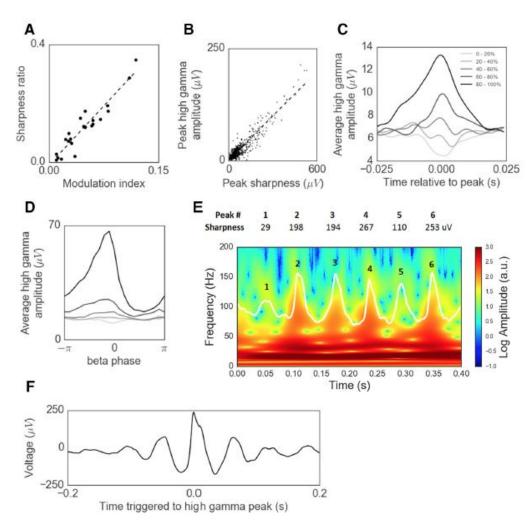


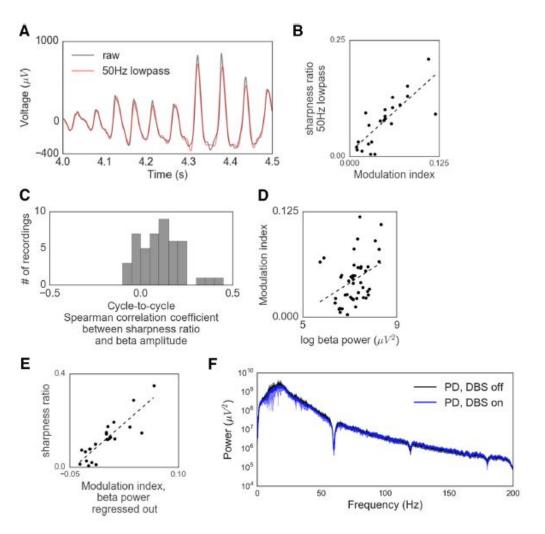


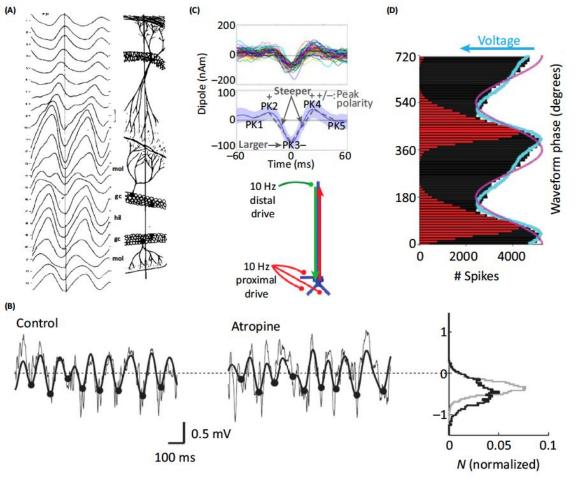
# Appendix

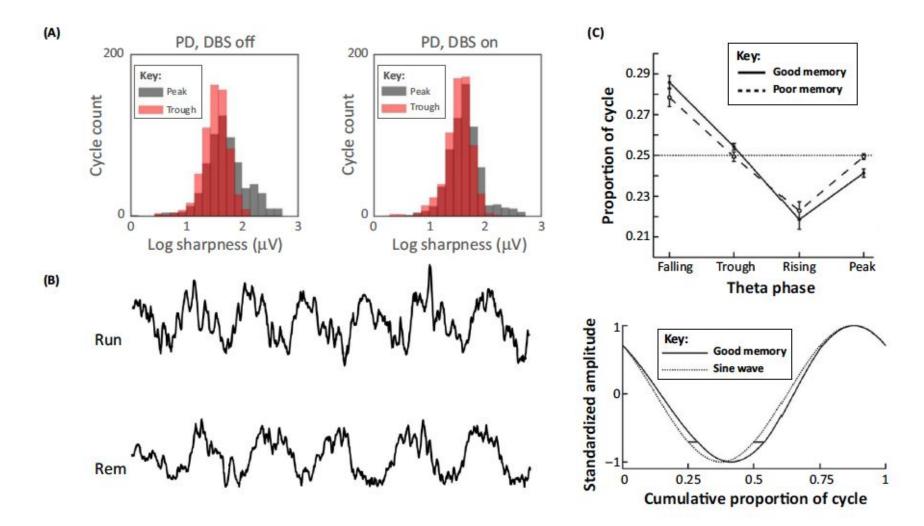




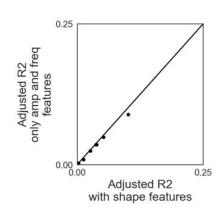


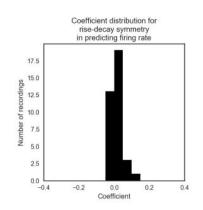


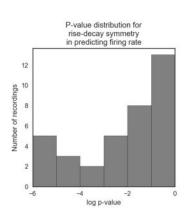




# 3. Does waveform shape and <u>CA1 pyramidal firing rate</u>







Feature set	R <sup>2</sup> (adjusted)
Amplitude, frequency	.027
+ Rise-decay symmetry	.030
All shape features (symmetry, sharpness)	.049