Final Project: Heart Disease Severity Detection

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In Class Presentation Link (View only):

https://1drv.ms/p/c/a9318f007f6e648c/EV2JXko9SSRNvOA-lkGmH1gBUYcvxtEkywBqk 5HecQi3_g

ML MODEL DESCRIPTION

• Goal and Objective:

The goal of this project is to predict the severity of heart disease using patient medical data, minimizing false negatives to ensure that individuals at risk are identified accurately.

Why is ANN the best fit?

Artificial Neural Network (ANN) is the best fit for this project as it can handle nonlinear relationships between input features and outcomes. Heart disease risk depends on multiple factors(e.g. Age, cholesterol levels, blood pressure and other relevant medical tests results) and ANN's dense layers are designed to learn these complex patterns effectively.

Additionally, ANN works great with multi-class classification problems, such as our project, where the output is a severity range from 0 to 4. The network's ability and flexibility in learning hierarchical patterns ensures that various correlations between input features are captured for accurate results.

Data Description(https://www.kaggle.com/datasets):

The dataset includes patient metrics (e.g. blood pressure levels, age, sex, cholesterol etc.). The dataset source is Kaggle. The target feature is called "num" in the dataset whose values range from 0 to 4. This "num" value represents the severity level of heart disease for a given patient.

Column Descriptions:

- 1. id (Unique id for each patient)
- 2. age (Age of the patient in years)
- 3. origin (place of study)
- 4. sex (Male/Female)
- 5. cp chest pain type ([typical angina, atypical angina, non-anginal, asymptomatic])
- 6. trestbps resting blood pressure (resting blood pressure (in mm Hg on admission to the hospital))
- 7. cho1 (serum cholesterol in mg/dl)
- 8. fbs (if fasting blood sugar > 120 mg/dl)
- 9. restecg (resting electrocardiographic results)
- -- Values: [normal, stt abnormality, lv hypertrophy]
- 10. thalach: maximum heart rate achieved
- 11. exang : exercise-induced angina (True/ False)
- 12. oldpeak : ST depression induced by exercise relative to rest
- 13. slope : the slope of the peak exercise ST segment
- 14. ca : number of major vessels (0-3) colored by fluoroscopy
- 15. thal: [normal; fixed defect; reversible defect]
- 16. num: the predicted attribute

17]:	ic																			
		d age		ex c	lataset		ср	trestbps	chol	fbs	re	stecg	thalch	exang o	ldpeak	slo	ре са		thal	num
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		2 67	М	ale Cle	eveland	asympto	omatic	160.0	286.0	False I	v hyper	trophy	108.0	True		f	lat 3.0		normal	
		3 67	М	ale Cle	eveland	asympto	omatic	120.0	229.0	False I	v hyper	trophy	129.0	True	2.6	f	lat 2.0	reve	rsable defect	
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		5 4	Fem	ale Cle	eveland	atypical	angina	130.0	204.0	False I	v hyper	trophy	172.0	False	1.4	upslopi	ng 0.0		normal	
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	5 6	tre:	tbps		ion-nul ion-nul		at64 at64													
	7																			
	8	fbs			ion-nul ion-nul		ect													
	9		ecg				ect													
	10	tha			ion-nul ion-nul		at64 ect													
	11	exa:			ion-nu l ion-nu l		ect at64													
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ML MODEL PROGRAM DESCRIPTION:

MODEL	TRAINING STYLE	DESCRIPTION
Artificial Neural Network (ANN)	Supervised Learning	The ANN predicts heart disease risk by classifying individuals into one of the severity categories based on the medical metrics. It uses labeled training data for supervised classification.

- The model enables classification of patients into five levels of heart disease risk using medical data. The goal is to make these predictions as accurate as possible in order to streamline and help the healthcare system productivity.
- It minimizes the likelihood of false negatives, ensuring the individuals at high risk are not missed. The model achieves this by focusing on maximizing Recall and penalizing false negatives during training.
- The ANN uses two hidden layers (128 and 64 neurons) with ReLU activation function and an output layer with 5 classes (because severity levels are from 0 to 4) and softmax activation function.

MATHEMATICAL METHODS AND ALGORITHMS

MATHEMATIC S	ALGORITHMS	FORMULA	DESCRIPTION
Gradient Descent	Adam(adaptive moment estimation)	Equation 11-9. Adam algorithm $ \begin{array}{ccc} 1. & \mathbf{m} \leftarrow \beta_1 \mathbf{m} - (1-\beta_1) v_{\mathfrak{g}} J\left(\mathbf{\theta}\right) \\ 2. & \mathbf{s} \leftarrow \beta_2 \mathbf{s} + (1-\beta_2) v_{\mathfrak{g}} J\left(\mathbf{\theta}\right) \otimes v_{\mathfrak{g}} J\left(\mathbf{\theta}\right) \\ 3. & \widehat{\mathbf{m}} \leftarrow \frac{\mathbf{m}}{1-\beta_1^{\ t}} \\ 4. & \hat{\mathbf{s}} \leftarrow \frac{\mathbf{s}}{1-\beta_2^{\ t}} \\ 5. & \mathbf{\theta} \leftarrow \mathbf{\theta} + \eta \widehat{\mathbf{m}} \oslash \sqrt{\hat{\mathbf{s}} + \varepsilon} \end{array} $ In this equation, t represents the iteration number (starting at 1).	The optimizer minimizes the categorical cross-entropy loss function by iteratively updating weights using backpropagation and gradient descent.

Categorical Cross Entropy (CCE)	Loss Function	For a single data point i , the CCE loss is calculated as: $L_i = -\sum_{j=1}^C y_{ij} \cdot \log(\hat{y}_{ij})$ • C : The number of classes in the datas of. • y_{ij} : The actual (true) label for class j for the i -th sample (one-hot encoded: $y_{ij} = 1$ for the true class, 0 otherwise). • \hat{y}_{ij} : The predicted probability for class j for the i -th sample (output of the softmax layer). For a batch of N samples, the total loss is averaged over the batch: $L = \frac{1}{N} \sum_{i=1}^{N} L_i = -\frac{1}{N} \sum_{i=1}^{N} \sum_{j=1}^{C} y_{ij} \cdot \log(\hat{y}_{ij})$	Calculates the difference between predicted probabilities and actual class labels. It ensures the model focuses on accurate class prediction.
ReLU	Activation Function	The rectified linear unit function: ReLU(z) = max(0 , z) The ReLU function is continuous but unfortunately not differentiable at z = 0 (the slope changes abruptly, which can make gradient descent bounce around), and its derivative is 0 for z < 0. In practice, however, it works very well and has the advantage of being fast to compute, so it has become the default. Importantly, the fact that it does not have a maximum output value helps reduce some issues during gradient descent (we will come back to this in Chapter 11).	ReLU is applied in the hidden layer (128 and 64 neurons each). This ensures dense layers learn the complex relationships. It also prevents vanishing gradient problem and facilitates backpropagation in deeper networks.
Softmax	Activation Function	Equation 4-20. Softmax function $\widehat{p}_k = \sigma \mathbf{s}(\mathbf{x}))_k = \frac{\exp \ s_k(\mathbf{x}))}{\sum_{j=1}^K \exp \ s_j(\mathbf{x})}$ In this equation: • K is the number of classes. • $\mathbf{s}(\mathbf{x})$ is a vector containing the scores of each class for the instance \mathbf{x} . • $\sigma(\mathbf{s}(\mathbf{x}))_k$ is the estimated probability that the instance \mathbf{x} belongs to class k , given the scores of each class for that instance.	

Standard Scaler	Pre-processing technique to standardize features	The Standard Scaler transforms each feature x in the dataset using the following formula: $z=\frac{x-\mu}{\sigma}$ Where: • z : The standardized value. • x : The original value of the feature. • μ : The mean of the feature in the training dataset. • σ : The standard deviation of the feature in the training dataset. This formula centers the data around 0 (mean) and ensures all features have a standard deviation of 1, which standardizes the range of the data.	A preprocessing technique used to standardize features by removing the mean and scaling them to unit variance.
L2 Regularization (weight decay)	Regularization Technique	In L2 regularization, a penalty term is added to the loss function to penalize large weights. The modified loss function becomes: $\mathcal{L}(\theta) = \mathcal{L}_{\text{original}} + \lambda \sum_{i=1}^n w_i^2$ Where: $\mathcal{L}(\theta) \text{: Total loss with L2 regularization.}$ $\mathcal{L}_{\text{original}} \text{: Original loss (e.g., categorical cross-entropy).}$ $\lambda \text{: Regularization factor (also called weight decay), which controls the strength of the penalty.}$ $w_i \text{: Weights of the model.}$ $n \text{: Number of weights in the model.}$	Helps manage the issue of overfitting by penalizing large weights and thereby improves generalization.

VALUE OF THE ML MODEL:

> Significance:

The model predicts heart disease risk, addressing a critical healthcare need. Early detection allows medical professionals to intervene and reduce mortality rates.

> Impact:

Missing high-risk (false negatives) could lead to severe outcomes. The model emphasizes recall to ensure individuals at risk are not overlooked, balancing precision and accuracy effectively.

> Application:

This model can be deployed in hospitals, urgent care facilities, Emergency rooms or even clinics to assist doctors in evaluating heart disease risk, especially in case we are dealing with limited resources.

ML MODEL TRAINING AND VALIDATION:

1) MODEL TRAINING AND VALIDATION (start with preprocessing then training and finally validating)

> Preprocessing:

This project has two parts: data preprocessing to prepare the dataset for successful ANN predictions and model implementation.

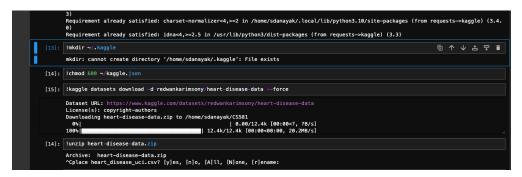
Data preprocessing (FinalProjectCS581.ipynb)

This is a crucial step, which will prepare our dataset in accordance with our ANN.

This step is crucial in the context of this healthcare-focused project, as it requires a thorough understanding of the features, their correlations, and proper handling of missing data to ensure accurate and reliable outcomes.

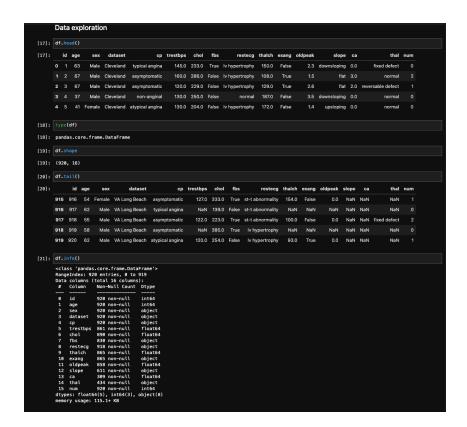
1. Download the dataset:

Many ways to download, I connected using Kaggle API Key



2. Dataset at a glance:

Meaning we will see how it looks, what features are there, how many features, any apparent correlations, is the feature relevant to the project, statistics etc.



Inference made:

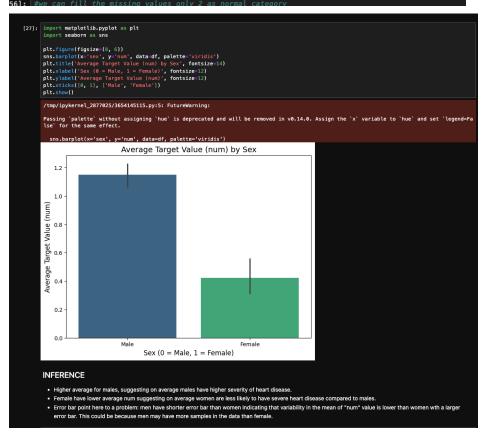
- Rows(observations): 920.
- columns(features): 16.
- Target Variable: num (severity of heart disease).
- **About target variable (num):** has all 920 non-null values that means no missing values, data type=integer.

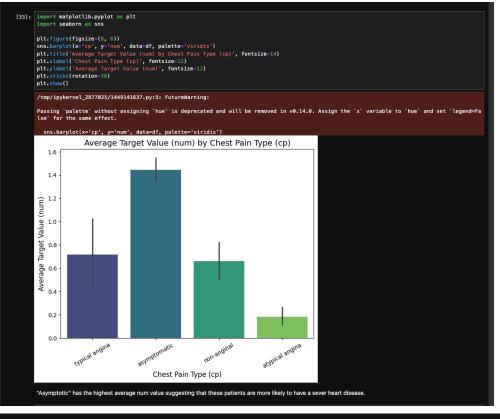
Potential Challenges inferred:

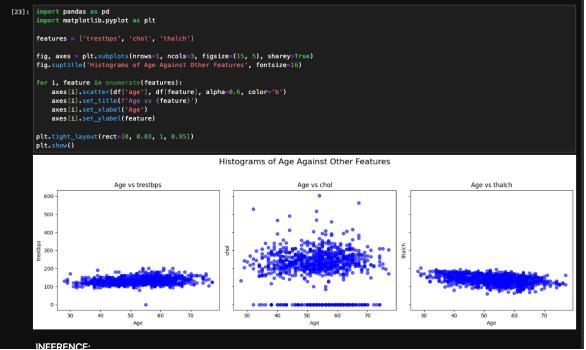
- Class Imbalance num ranges (0-4): Maybe have to use class weights.
- Handling Missing Data
- Conversion of object-type to numerical?
- how to handle features like chol it is in mg/dl like some Scaler, sex from male/female to 0/1?
- **3) Feature by feature data exploration:** Feature by feature exploration is important as at a glance some features might seem irrelevant but they may have correlation with other features making them important for target variable "num" predictions. Eg. Resting blood pressure, sex etc.

Visualizations are also used to understand the relationships.

• no apparent relationship between categories can be seen. 55]: # Count plot plt.figure(figsize=(8, 6)) ptt.Tigure(figsize=(8, 6)) sns.countplot(x='restecg', hue='num', data=df, palette='viridis') plt.title('Counts of Resting ECG Results (restecg) by Target Value (num)', fontsize=14) plt.xlabel('Resting ECG Results', fontsize=12) plt.ylabel('Count', fontsize=12) plt.legend(title='Target Value (num)') plt.xticks(rotation=30) plt.show() plt.show() Counts of Resting ECG Results (restecg) by Target Value (num) Target Value (num) 0 1 250 ____2 3 4 200 Count 150 100 50 st-t abnormality IV hypertrophy normal Resting ECG Results **INFERENCE** • for num=0 majority fall into category of normal. As expected from real world clinical observations • higher num values have a stronger representtaion in the remaining 2 categories







```
trestbps relationship with cp
# Filter for rows where the chest pain type is present
df_present = df_melted[df_melted['Presence'] == 1]
        import seaborn as sns
        import matplotlib.pyplot as plt
        plt.figure(figsize=(10, 6))
       protragate=10, 07)
sns.boxplot(x='Chest Pain Type', y='trestbps', data=df_present, palette='Set3')
plt.title('Boxplot of trestbps by Chest Pain Type')
plt.xlabel('Chest Pain Type')
plt.ylabel('Resting Blood Pressure (trestbps)')
        plt.xticks(rotation=15)
        plt.show()
        /tmp/ipykernel_2877025/484248324.py:14: FutureWarning:
        Passing `palette` without assigning `hue` is deprecated and will be removed in v0.14.0. Assign the `x` variable t lse` for the same effect.
          sns.boxplot(x='Chest Pain Type', y='trestbps', data=df_present, palette='Set3')
                                                  Boxplot of trestbps by Chest Pain Type
           200
                              0
                                                           0
                              8
                                                           0
                                                                                        0
           175
       0
            25
              0
                                                                                        0
                     cp_asymptomatic
                                                  cp_atypical angina
                                                                                                            cp_typical angina
                                                                                cp_non-anginal
                                                                 Chest Pain Type
```

After understanding the relationship of features in hand, how it is relevant to our project etc. I handle the issue of missing data. In this dataset from kaggle there is a huge chunk of data missing hence for accurate results that needs to be managed. There are 2 ways i tried impute the missing values:

 Create a sub ML model (linear regression, K-nearest neighbor etc.) based on the features relationship with other features in the dataset and impute the missing values by making predictions.

Advantage:

- avoids information loss.
- enhances model accuracy by reducing data bias,
- consistent feature scaling and correlation analysis.

Disadvantages:

- difficult to implement,
- Imputed values might inadvertently align with the target variable, causing overfitting
- can reduce the natural variability in the data, affecting the model's ability to generalize.
- 2. **Use of statistics:** Impute values using simple statistics such as mode, median etc. **Advantages:**
 - Easy to implement and computationally efficient
 - Median is robust to outliers, ensuring unbiased central value representation
 - Mode and median help maintain the dataset's original distribution.

Disadvantages:

- replacing missing values with a central tendency may introduce bias, especially in skewed distributions.
- It overlooks the relationships between variables, leading to less accurate imputations.
- Data leak may happen but can be prevented if properly divided the dataset into test, train and validate sets.
- 3. Make them a new category as unknown or drop the columns based on relevancy.

While all 3 ways were tried before making a decision, this project is completed using statistics to impute the values. Only one feature "oldpeak" for understanding purposes is done using a sub ML model (LinearRegression).

```
ca ca: number of major vessels (0-3) colored by fluoroscopy; missing values
[185]: print(modified_df['ca'].dtype) #already float type no need to encode
          train_df, temp_df = train_test_split(modified_df, test_size=0.3, random_state=42)
val_df, test_df = train_test_split(temp_df, test_size=0.5, random_state=42)
[188]: train_df.shape
[188]: (644, 20)
[189]: val_df.shape
[189]: (138, 20)
[190]: #calculate group mode for ca in training data
group_modes = train_df.groupby('num')['ca'].agg(lambda x: x.mode()[0] if not x.mode().empty else np.nan)
[191]: print(group_modes)
                0.0
0.0
1.0
2.0
3.0
          Name: ca, dtype: float64
[192]: ##apply to se
          def impute_ca(row, group_modes):
             if pd.isnull(row['ca']):
    return group_modes[row['num']]
return row['ca']
          # Step 4: Apply imputation to all sets using the calculated group modes
train_df['ca'] = train_df.apply(lambda row: impute_ca(row, group_modes), axis=1)
val_df['ca'] = val_df.apply(lambda row: impute_ca(row, group_modes), axis=1)
test_df['ca'] = test_df.apply(lambda row: impute_ca(row, group_modes), axis=1)
[200]: train_df['ca'].isnull().sum()
[200]: np.int64(0)
[201]: val_df['ca'].isnull().sum()
[201]: np.int64(0)
          combined_df = pd.concat([train_df, val_df, test_df])
[203]: combined_df['ca'].isnull().sum()
[203]: np.int64(0)
[204]: combined_df.to_csv('heart_disease_final_modified_filled_with_oldpeak_slope_ca.csv', index=False)
```

Also techniques like label- encoder and one-hot encoding are used to convert object data type to numerical values depending upon its relationship with target variable as well as with other features.

```
[268]: #one hot encoding
    thal_one_hot = pd.get_dummies(modified_df['thal'], prefix='thal', drop_first=False)
    thal_one_hot = thal_one_hot.astype(int)

[269]: # Combine one-hot encoded columns with the original dataset
    modified_df = pd.concat([modified_df, thal_one_hot], axis=1)
    # Drop the original 'thal' column (optional)
    modified_df.drop(columns=['thal'], inplace=True)
    modified_df.head()
    modified_df.info()
```

```
Name: slope, dtype: object

[173]: from sklearn.model_selection import train_test_split
    from sklearn.preprocessing import LabelEncoder

# Encode using label because data has a ordinal relationship
    label_encoder = LabelEncoder()
    modified_df['slope'] = label_encoder.fit_transform(modified_df['slope'].astype(str))
    print("Encoded slope values:", modified_df['slope'].unique())

Encoded slope values: [2 1 3 0]
```

Final modified dataset:

```
[180]: combined_df.info()
       <class 'pandas.core.frame.DataFrame'>
       Index: 920 entries, 363 to 428
       Data columns (total 20 columns):
            Column
                                     Non-Null Count Dtype
        0
                                     920 non-null
                                                    int64
           age
        1
                                     920 non-null
                                                    int64
           sex
        2
           trestbps
                                     920 non-null
                                                    float64
        3
           chol
                                     920 non-null
                                                    float64
            fbs
                                     920 non-null
                                                    int64
        5
           thalch
                                     920 non-null
                                                    float64
                                                    int64
        6
                                     920 non-null
            exang
        7
            oldpeak
                                     920 non-null
                                                    float64
        8
            slope
                                     920 non-null
                                                     int64
        9
                                     309 non-null
                                                    float64
            ca
        10 thal
                                     434 non-null
                                                    object
                                     920 non-null
        11 num
                                                    int64
                                     920 non-null
                                                    int64
        12 cp_asymptomatic
        13 cp_atypical angina
                                   920 non-null
                                                    int64
        14 cp_non-anginal
                                   920 non-null
                                                    int64
        15 cp_typical angina 920 non-null
                                                    int64
        16 restecg_lv hypertrophy 920 non-null
                                                    int64
        17
           restecg_normal
                                     920 non-null
                                                    int64
           restecg_st-t abnormality 920 non-null
                                                    int64
        18
                                     920 non-null
           slope_encoded
                                                    int64
       dtypes: float64(5), int64(14), object(1)
       memory usage: 150.9+ KB
```

> Model Definition:

Model is implemented using the final modified dataset generated after the preprocessing step "heart_disease_For_ANN.csv".

Below are the steps explaining code:

1. **One-hot encoding to transform target column "num"** into a format that accurately represents independent classes for Multi Class-Classification num(0-4).

2. Split the dataset into test, train and validate set

3. "StandardScaler"

A preprocessing technique used to standardize features by removing the mean and scaling them to unit variance. It is part of the sklearn.preprocessing module in Python's Scikit-learn library.

- **Result of scaling:** The mean of each feature becomes 0, The standard deviation of each feature becomes 1.
- Benefits of using Scaler for ANN: optimization algorithms (e.g., Gradient Descent) to minimize the error during training. It performs better with similar scales.
- Features with larger ranges or magnitudes can dominate the training process, leading the ANN to give them more importance. Scaling removes this bias by making all features comparable.

4. Clear and set Random seed:

```
(21): #Clear any previous session
tf.keras.backend.clear_session()
tf.random.set_seed(42)

Why clear and set random seed?

.clear_session() resets TensorFlow backend state and clears any existing computation graphs, layers or models. Tensorflow maintains global state of computation graphs, layers etc. if ran multiple times could lead to memory leaks, error "layer already exists" etc.
```

5. Model Definition:

```
[22]: from tensorflow.keras.regularizers import l2

[28]: # Step 2: Create the model using the Sequential API
model = tf.keras.Sequential([
    # Input layer
    tf.keras.layers.Input(shape=(X_train_scaled.shape[1],)),

# Hidden layers
    tf.keras.layers.Dense(128, activation="relu",kernel_regularizer=l2(0.01)),
    tf.keras.layers.Dense(64, activation="relu",kernel_regularizer=l2(0.01)),

# Output layer
    tf.keras.layers.Dense(5, activation="softmax") # 5 classes for multi-class classification
])
```

EXPLANATION:

 model = tf.keras.Sequential(): used to define a neural network as a linear stack of layers.

```
# Input layer
tf.keras.layers.Input(shape=(X_train_scaled.shape[1],))
```

- → It initializes the input pipeline and ensures the model is compatible with the input data dimensions.
- → Specifies the shape of the input data to the model.
- → X_train_scaled.shape[1] represents the number of features in the input data, 18 in our case.

- → X_train_scaled.shape[1] is set to 1 indicating the model to dynamically extract the number of features from the training dataset.
- First Hidden Layer:

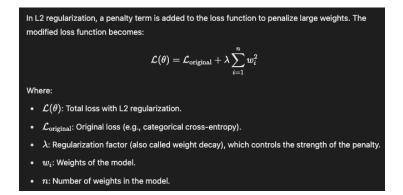
```
tf.keras.layers.Dense(128,
activation="relu",kernel_regularizer=12(0.01)),
```

- → Fully connected (dense) layer where every neuron in this layer is connected to every neuron in the previous layer.
- → 128 neurons in this layer. The higher the number of neurons, more complex data can be captured.
- → activation= "relu" Rectified Linear Unit. Prevents vanishing gradients and allows the network to learn complex relationships efficiently.

```
The rectified linear unit function: ReLU(z) = max(0, z)
```

The ReLU function is continuous but unfortunately not differentiable at z=0 (the slope changes abruptly, which can make gradient descent bounce around), and its derivative is 0 for z<0. In practice, however, it works very well and has the advantage of being fast to compute, so it has become the default. Importantly, the fact that it does not have a maximum output value helps reduce some issues during gradient descent (we will come back to this in Chapter 11).

→ L2 Regularization: Adds a penalty proportional to the square of the weights during training.



Second Hidden Layer:

```
tf.keras.layers.Dense(64,
activation="relu"
,kernel_regularizer=12(0.01)),
```

- → 64 number of neurons for this layer. It is reduced compared to the first layer to gradually decrease the model's complexity.
- → Activation function used is still ReLU
- → L2 Regularization is also the same as layer one.
- → This layer further refines the learned features by learning intermediate representations between input features and the final output.
- Output Layer:

```
# Output layer
tf.keras.layers.Dense(5, activation="softmax")
```

- → 5 number of output neurons. This is for the number of classes in this multi class classification problem (severity levels 0,1,2,3,4).
- → Activation function used: Softmax activation converts scores into probabilities. The sum of these probabilities is 1 across the 5 classes.

Equation 4-20. Softmax function

$$\widehat{p}_k = \sigma \mathbf{s}(\mathbf{x}))_k = \frac{\exp \ s_k(\mathbf{x}))}{\sum_{j=1}^K \exp \ s_j(\mathbf{x}))}$$

In this equation:

- *K* is the number of classes.
- s(x) is a vector containing the scores of each class for the instance x.
- σ(s(x))_k is the estimated probability that the instance x belongs to class k, given the scores of each class for that instance.

6. MODEL TRAINING

```
[29]:
       model.compile(
            optimizer=tf.keras.optimizers.Adam().
            loss=tf.keras.losses.CategoricalCrossentropy(),
            metrics=['accuracy']
[30]: model.summary()
       Model: "sequential_1"
        Laver (type)
                                        Output Shape
                                                                      Param #
        dense_3 (Dense)
                                                                      2432
                                         (None, 128)
        dense_4 (Dense)
                                         (None, 64)
        dense_5 (Dense)
                                         (None, 5)
                                                                      325
       Total params: 11,013
Trainable params: 11,013
Non-trainable params: 0
```

```
Now we fit the model with first 50 epochs
#Returns a History ob
history = model.fit(
     X_train_scaled, y_train, # input features and corresponding target labels
     \label{lem:val_data} \begin{tabular}{ll} val at a = (X_val_scaled, y_val), \\ epochs = 50, \# number of full passes through the training dataset during training. \\ \end{tabular}
     verbose=1
Epoch 1/50
21/21 [====
Epoch 2/50
21/21 [====
Epoch 3/50
21/21 [====
Epoch 4/50
21/21 [====
Epoch 5/50
21/21 [====
Epoch 6/50
21/21 [====
Epoch 6/50
21/21 [====
                                          ===] - 1s 18ms/step - loss: 2.3669 - accuracy: 0.4860 - val_loss: 2.1291 - val_accuracy: 0.5652
                                     ======] - 0s 4ms/step - loss: 1.9832 - accuracy: 0.5745 - val_loss: 1.8926 - val_accuracy: 0.6304
                                   =======] - 0s 4ms/step - loss: 1.7629 - accuracy: 0.6522 - val_loss: 1.7433 - val_accuracy: 0.6304
                                           ==] - 0s 4ms/step - loss: 1.6044 - accuracy: 0.6724 - val_loss: 1.6392 - val_accuracy: 0.6087
                                           ==] - 0s 4ms/step - loss: 1.4765 - accuracy: 0.7065 - val_loss: 1.5540 - val_accuracy: 0.6449
                                     ======] - 0s 6ms/step - loss: 1.3788 - accuracy: 0.7283 - val_loss: 1.4857 - val_accuracy: 0.6449
Epoch 7/50
21/21 [====
Epoch 8/50
21/21 [====
Epoch 9/50
21/21 [====
                                     ======] - 0s 5ms/step - loss: 1.2926 - accuracy: 0.7578 - val_loss: 1.4242 - val_accuracy: 0.6377
                                     ======] - 0s 7ms/step - loss: 1.2251 - accuracy: 0.7593 - val_loss: 1.3652 - val_accuracy: 0.6449
                                          ===| - 0s 7ms/step - loss: 1.1650 - accuracv: 0.7578 - val loss: 1.3159 - val accuracv: 0.6522
Epoch 10/50
21/21 [====
                                   =======] - 0s 7ms/step - loss: 1.1230 - accuracy: 0.7671 - val_loss: 1.2706 - val_accuracy: 0.6667
        11/50
 Epoch
21/21
                                        ====] - 0s 7ms/step - loss: 1.0766 - accuracy: 0.7811 - val_loss: 1.2391 - val_accuracy: 0.6884
        12/50
 Epoch
21/21
                                           ==] - 0s 11ms/step - loss: 1.0372 - accuracy: 0.7640 - val loss: 1.2069 - val accuracy: 0.6812
Epoch 13/50
21/21 [====
                                           ==] - 0s 11ms/step - loss: 1.0016 - accuracy: 0.7873 - val_loss: 1.1811 - val_accuracy: 0.6667
Epoch 14/50
21/21 [=====
Epoch 15/50
21/21 [=====
Epoch 16/50
21/21 [=====
        14/50
                                      ======] - 0s 11ms/step - loss: 0.9727 - accuracy: 0.7702 - val_loss: 1.1638 - val_accuracy: 0.6884
                                        ====] - 0s 12ms/step - loss: 0.9405 - accuracy: 0.7904 - val loss: 1.1392 - val accuracy: 0.6739
                                           ==] - 0s 11ms/step - loss: 0.9214 - accuracy: 0.7873 - val_loss: 1.1215 - val_accuracy: 0.6957
        17/50
Epoch 18/50
21/21 [===
                                          ===] - 0s 10ms/step - loss: 0.8995 - accuracy: 0.7842 - val_loss: 1.1056 - val_accuracy: 0.6884
                                 :=======] - 0s 13ms/step - loss: 0.8802 - accuracy: 0.7842 - val_loss: 1.0961 - val_accuracy: 0.6957
 Epoch 19/50
21/21 [====
                                            =] - 0s 12ms/step - loss: 0.8583 - accuracy: 0.7888 - val_loss: 1.0919 - val_accuracy: 0.6884
```

→ Adam optimizer

Stands for adaptive moment estimation. It combines momentum and adaptive learning rates for faster training.

Adam

Adam,²⁰ which stands for adaptive moment estimation, combines the ideas of momentum optimization and RMSProp: just like momentum optimization, it keeps track of an exponentially decaying average of past gradients; and just like RMSProp, it keeps track of an exponentially decaying average of past squared gradients (see Equation 11-9). These are estimations of the mean and (uncentered) variance of the gradients. The mean is often called the first moment while the variance is often called the second moment, hence the name of the algorithm.

Equation 11-9. Adam algorithm

```
 \begin{aligned} & \mathbf{1}. & & \mathbf{m} \leftarrow \beta_1 \mathbf{m} - (1 - \beta_1) v_{\theta} J\left(\theta\right) \\ & 2. & & \mathbf{s} \leftarrow \beta_2 \mathbf{s} + (1 - \beta_2) v_{\theta} J\left(\theta\right) \otimes v_{\theta} J\left(\theta\right) \\ & 3. & & \widehat{\mathbf{m}} \leftarrow \frac{\mathbf{m}}{1 - \beta_1^{\ t}} \\ & 4. & & \widehat{\mathbf{s}} \leftarrow \frac{\mathbf{s}}{1 - \beta_2^{\ t}} \\ & 5. & & \theta \leftarrow \theta + \eta \widehat{\mathbf{m}} \oslash \sqrt{\widehat{\mathbf{s}} + \varepsilon} \end{aligned}
```

In this equation, t represents the iteration number (starting at 1).

→ Categorical Crossentropy:

Loss function that measures the difference between predicted probabilities and the true labels. This ensures the model predicts probabilities closer to 1 for the correct class. For a single data point i, the CCE loss is calculated as:

$$L_i = -\sum_{i=1}^C y_{ij} \cdot \log(\hat{y}_{ij})$$

- C: The number of classes in the dataset.
- y_{ij} : The actual (true) label for class j for the i-th sample (one-hot encoded: $y_{ij}=1$ for the true class, 0 otherwise).
- \hat{y}_{ij} : The predicted probability for class j for the i-th sample (output of the softmax layer).

For a batch of N samples, the total loss is averaged over the batch:

$$L = rac{1}{N} \sum_{i=1}^{N} L_i = -rac{1}{N} \sum_{i=1}^{N} \sum_{j=1}^{C} y_{ij} \cdot \log(\hat{y}_{ij})$$

→ metrics= "accuracy"

Measures how many predictions the model got correct.

Accuracy= Number of Correct Predictions / Total Predictions

→ model.summary()

Provides overview of the model architecture, number of layers, type of layers etc.

MODEL TESTING FOR GENERALIZATION

1. Test Set Definition and Performance Metrics

```
[15]: X_train, X_temp, y_train, y_temp = train_test_split(X, y_encoded, test_size=0.3, random_state=42, stratify=y)
    X_val, X_test, y_val, y_test = train_test_split(X_temp, y_temp, test_size=0.5, random_state=42, stratify=np.argmax(y_temp, axis=1))
[16]: X_train.shape
[16]: (644, 18)
[17]: y_train.shape
[17]: (644, 5)
[18]: X_val.shape
[18]: (138, 18)
[19]: X_test.shape
[19]: (138, 18)
```

→ Training Set: 70% of the original dataset Validation Set: 15% of the original dataset

Test Set: 15% of the original

2. INFERENCE FROM RAN EPOCHS:

- → The model performs well on the training data, as indicated by high training accuracy and low loss but gap between training accuracy and validation accuracy suggests overfitting issue.
- → The validation metrics (accuracy and loss) indicate reasonable generalization, but further tuning may be required to improve validation accuracy and reduce overfitting.

Some steps taken to overcome the issue of overfitting:

- → **Regularization:** L2 regularization to the model to combat overfitting.
- → **Hyperparameter Tuning:** different learning rates, batch sizes, and architectures to improve validation accuracy.
- → Increase the size or diversity of the training dataset to improve generalization.

```
Trying Early stopping
[34]:
        from tensorflow.keras.callbacks import EarlyStopping
        early_stopping = EarlyStopping(
           patience=5,
restore_best_weights=True
[37]: #define model for training
           X_train_scaled, y_train,
validation_data=(X_val_scaled, y_val),
           epochs=50,
batch_size=32,
           verbose=1,
callbacks=[early_stopping] # Added the EarlyStopping callback
       Epoch 1/50
21/21 [====
Epoch 2/50
21/21 [====
Epoch 3/50
21/21 [====
Epoch 4/50
21/21 [====
Epoch 5/50
Epoch 6/50
                                               ===] - 0s 8ms/step - loss: 0.6332 - accuracy: 0.8370 - val_loss: 1.0100 - val_accuracy: 0.7246
                                            =====] - 0s 5ms/step - loss: 0.6272 - accuracy: 0.8354 - val_loss: 1.0090 - val_accuracy: 0.6884
                                                 =] - 0s 4ms/step - loss: 0.6188 - accuracy: 0.8525 - val_loss: 1.0235 - val_accuracy: 0.7029
                                                 =] - 0s 4ms/step - loss: 0.6168 - accuracy: 0.8432 - val loss: 1.0049 - val accuracy: 0.6957
       21/2.
Epoch 6/5.
21/21 [====
soch 7/50
                                        =======] - 0s 4ms/step - loss: 0.6158 - accuracy: 0.8401 - val_loss: 1.0221 - val_accuracy: 0.6957
                                                 =] - 0s 4ms/step - loss: 0.6140 - accuracy: 0.8478 - val_loss: 1.0286 - val_accuracy: 0.6812
                                          ======] - 0s 5ms/step - loss: 0.6062 - accuracy: 0.8478 - val loss: 1.0207 - val accuracy: 0.6957
        Epoch 8/50
21/21 [===
                                          ======] - 0s 5ms/step - loss: 0.6033 - accuracy: 0.8540 - val_loss: 1.0204 - val_accuracy: 0.6957
                                                ==] - 0s 6ms/step - loss: 0.6016 - accuracy: 0.8509 - val_loss: 1.0179 - val_accuracy: 0.6957
[38]: test_loss, test_accuracy = model.evaluate(X_test_scaled, y_test)
                 Test Accuracy: {test_accuracy:.2f}")
                                   ========] - 0s 5ms/step - loss: 0.9395 - accuracy: 0.6739
        Test Accuracy: 0.67
```

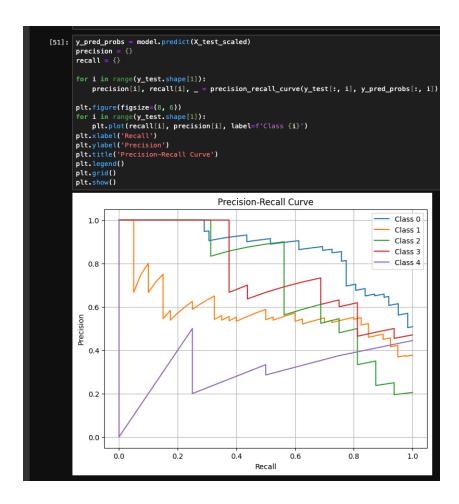
```
even worse results- let's try increasing patience parameter, and monitoring metric
[56]: early_stopping = EarlyStopping(
           monitor='val_accuracy',
patience=15,
            restore_best_weights=True
[57]: history = model.fit(
           X_train_scaled, y_train,
validation_data=(X_val_scaled, y_val),
           epochs=50,
           batch_size=32,
           verbose=1,
callbacks=[early_stopping] # Added the EarlyStopping callback
       Epoch 1/50
21/21 [====
Epoch 2/50
                                               ==] - 0s 6ms/step - loss: 0.1621 - accuracy: 0.9612 - val_loss: 1.2554 - val_accuracy: 0.6739
       21/21 [====
Epoch 3/50
                                                =] - 0s 3ms/step - loss: 0.1648 - accuracy: 0.9643 - val_loss: 1.2757 - val_accuracy: 0.6667
       21/21 [===
Epoch 4/50
                                                     0s 3ms/step - loss: 0.1486 - accuracy: 0.9705 - val_loss: 1.2952 - val_accuracy: 0.6667
       21/21 [====
Epoch 5/50
                                                =] - 0s 3ms/step - loss: 0.1437 - accuracy: 0.9658 - val_loss: 1.2888 - val_accuracy: 0.6594
                                                e] - 0s 4ms/step - loss: 0.1403 - accuracy: 0.9767 - val_loss: 1.3162 - val_accuracy: 0.6667
```

3. PERFORMANCE METRICS

Precision-Recall Curve:

Even though it is pretty clear from the detailed epoch results that the model is overfitting, checking Recall will benefit as in our project prioritizing recall minimizes the likelihood of undiagnosed cases, which aligns with ethical needs as well. In the healthcare system, diagnosing potential risks is often more important than avoiding false positives. Therefore Recall is a critical metric.

Test Accuracy: 0.66 Test Precision: 0.68 Test Recall: 0.64



Inference:

- → The visualization above shows the trade-off between precision and recall for a specific class.
- → Irregular curves point to the issue of class imbalance or difficulty predicting these classes. Eg. class 4 (highest severity)

Confusion Matrix:

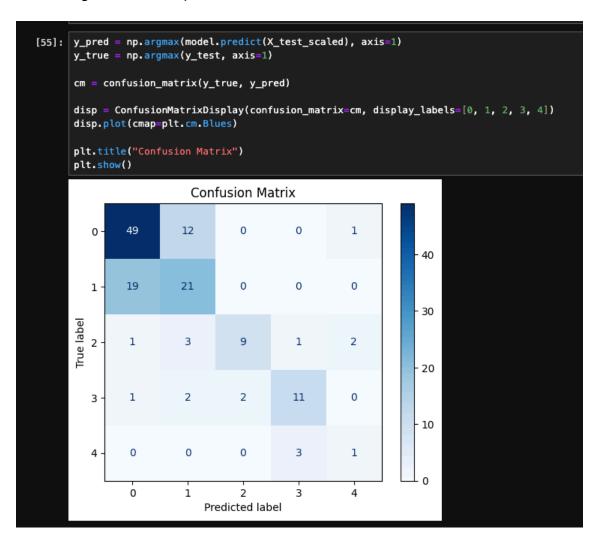
This will show how well the model performs for each class:

- > Diagonal elements: Correctly classified instances
- > Off-Diagonal elements: Misclassifications (type and count of errors)

Inference:

- 1. Most samples in class 0 are correctly predicted (49 instances). This can be due to the fact that number of instances for class 0 is high
- 2. Class 1 struggles as it has a high number of misclassifications; only 21 instances were correctly identified.
- 3. Class 2 and 3 had 9 and 11 instances correctly classified.
- 4. Class 4 had the worst performance. The predictions are sparse, showing poor performance for this class.

5. Overall: the model is performing worst on class 4 and that is our high priority target level. This points to the clear issue that arises with class imbalance.



4. MODEL INTEGRATION INTO APPLICATION OR SYSTEM

Due to the potential issue prevalent from the above implementation this step will be taken after the accurate performance metrics are achieved which is still steps away.

After the desired metrics are achieved the following are some ways to deploy the model:

1. **Cloud based Deployment:** platforms like AWS, Google Cloud Platform etc. can be used .

Upload the saved model to the cloud platform

Configure the serving endpoint

Cloud's API endpoint can be used to make predictions

- 2. TensorFlow Extended (TFX https://www.tensorflow.org/tfx):
 This includes tools for model serving, monitoring and updating models directly on production.
- ONNX (https://onnx.ai/):
 Convert the model to ONNX format using appropriate environments such as pytorch, Microsoft ML.NET etc.

DETAILED MODEL IMPLEMENTATION SUMMARY

note programming language used, lines of code, key functions, what you wrote and what you adapted and refactored, tools used, and system you used to host your model.

Programming Language used:

Python is used for its vast library support for machine learning. Some important libraries used in this project are TensorFlow, Pandas, Scikit-learn, matplotlib etc.

Code: Divided into 2 sections first "FinalProjectCS581.ipynb" and second "ANNImplementation.ipynb".

Environment Setup Requirements:

NOTE:

You will need to install python 3 for this project, tensorflow version 2.8.0, tensorboard=2.8.0 and tensorflow gpu=2.8.0.

→ Connect to the Global Protect VPN and ssh to CSCI gpu server. We will be using an A100 virtual machine.

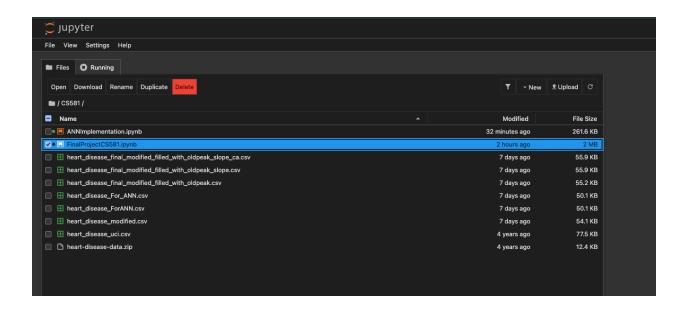
→ Create a virtual python environment and activate it. Use the following commands to create the venv,

```
conda create --name myenv python=3.x
conda activate myenv
conda deactivate myenv
```

- → Then install jupyter notebook and start it
- → You will have to port forward to localhost in order to be able to use and edit jupyter notebook locally on your browser,

```
📺 shambhavidanayak — sdanayak@cscigpu: ~ — ssh -L 8888:localhost:8888 sdanayak@cscigpu.csuchico.edu — 139×35
Last login: Thu Dec 19 19:09:01 on ttys000 (base) shambhavidanayak@gp-od-vpn-160-204 ~ % ssh -L 8888:localhost:8888 sdanayak@cscigpu.csuchico.edu
This system is the property of California State University, Chico. Use of this system is subject to the policies and standards set forth by the CSU system & Chico State at https://www.csuchico.edu/it/about/policies. Unauthorized access
or misuse of resources or disclosure of protected information may result in disciplinary or legal action. By continuing, you indicate your willingness to comply with applicable policies and standards.
sdanayak@cscigpu.csuchico.edu's password:
[Welcome to Ubuntu 22.04.5 LTS (GNU/Linux 5.15.0-126-generic x86_64)
  System information as of Thu Dec 19 07:09:09 PM PST 2024
  System load: 3.71
                                                      Processes:
                                                                                          474
   Usage of /home: 65.0% of 1006.85GB Users logged in:
   Memory usage: 5%
                                                       IPv4 address for ens192: 132.241.1.14
   Swap usage:
*** Livepatch has fixed vulnerabilities in the running kernel. If there is a new kernel available, upgrade and reboot ***
 -- Welcome to CSCI GPU SERVER --
Accounts and data for students will
be purged from this server before the start of the next semester so
 make sure to backup your files at
the end of the semester.
```

The picture below shows the file configuration of project for reference:



MODEL IMPLEMENTATION SUMMARY

Programming Language	System Used to Model & Train	% Code Reuse / % New	Description
Python 3	CSCIGPU access, NVIDIA A100, Local machine, Conda environment	 No code is re- used but the coursebook "Hands-On Machine Learning with Scikit-Learn, Keras, and TensorFlow, 3rd Edition" and relevant jupyter notebook code is used as a starter code. Reused standard ML libraries such as Tensorflow, Scikit-learn, pandas etc. for preprocessing, model building and evaluation. 	Information, study, formulae etc. to implement the model came from various sources such as course book HML3, documentation for libraries such as Scikit-learn, tensorflow etc.

FUTURE STEPS:

There is always a scope of improvement:

→ Addressing overfitting:

- This needs to be further investigated. Remember during Imputation of missing values I used simple statistics which may have skewed the results.
- Simple sub model to make predictions for important missing values such as fasting blood sugar (fbs), slope, thal etc. based on number of missing values and relevance in the project.

→ More balanced data:

- In the current dataset there is a huge class imbalance (less entries for severity level "num" = 4 compared to other classes 1,2 and 3) which is affecting the model accuracy to some extent. Since the goal is to prioritize recall we need to lower the false negatives. It is fine if the model results in some false positives but false negatives can be deadly.
- Focus on techniques such as oversampling, undersampling SMOTE etc. for minority classes.

→ Model Benchmarking:

Performance comparison with other model types such as LogisticRegression, Decision tree, SVM etc. This will help evaluate if ANN is indeed the best choice for this task or if simpler models can achieve comparable results.

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