



# Database Management System

## Syllabus

### Unit-1

#### Introduction :-

- ① Introduction to db.
- ② Characteristics of db
- ③ File system v/s. db system
- ④ Users of db system
- ⑤ Approaches of building a db
- ⑥ data models
- ⑦ dbms
- ⑧ data Independence
- ⑨ DBMS architecture
- ⑩ challenges in building a DBMS
- ⑪ Various Components of a DBMS

### Unit-2

#### ER-model :-

(5 classes)

- ① Conceptual data modeling - motivation
- ② entity, entity types, various types of attributes, relationships, relationship types, entity set types, participation constraints
- ③ ER notation
- ④ Extended ER model

### Unit-3

#### Relational data model :-

(4 classes)

- ① Concepts of relations
- ② Schema - instance distinction
- ③ keys

- ④ referential integrity and foreign keys
- ⑤ Converting the db specification in ER notation to the relational schema.

#### Unit-4

Relational Algebra & Calculus :- operators - selection, projection

(8 classes) cross product, various types of joins, division, set operations, example queries, tuple relational calculus, domain relational calculus.

Fundamentals of SQL (PLSQL) in last.

Standard can be executed on SQL provided by different vendors.

#### Unit-5

Relational db design :- ① Importance of a good schema design.

(10 days)

- ② Problems encountered with bad schema designs
- ③ motivation for normal forms
- ④ dependency theory - functional dependencies
- ⑤ Armstrong's axioms for FD's
- ⑥ closure of a set of FD's
- ⑦ minimal cover
- ⑧ Normalization - 1NF, 2NF, 3NF, 4NF, 5NF, BCNF
- ⑨ decomposition and desirable properties of them.
- ⑩ multi-valued dependencies, join dependency, concept of denormalization.

## Unit-6

Transaction Processing :-  
and  
Error Recovery

(7 classes)

- ① Concepts of TP
- ② ACID properties
- ③ Concurrency control
- ④ Serialization
- ⑤ Locking based protocols
- ⑥ Timestamp based protocols
- ⑦ Recovery and logging methods.

## Unit-7

Data Storage & Indexing :-

(8 classes)

- ① File Organisations
- ② Primary, Secondary Index Structure.
- ③ Various Index structures - hash-based, dynamic hashing techniques, multi level indices, B & B<sup>+</sup> trees.

Books :- Navathe, Silberschatz.

## Marks Distribution

Mid Sem :- 20 marks.

①

②

after completing  
Portions.

Activity :- 10 marks → Test 1 and Test 2

↳ (before mid)

(5 components)

10 marks → Assignment 1, Assignment 2

③

↳ End Sem paper

10 marks → 5 marks (attendance + notes)

5 marks (presentation)

↳ group activity

⑤

Class-2

## Introduction

Basic Concepts of db systems.

What is data?

A → It is a fact that can be recorded and has some implicit meaning. Eg:- 15 (I know the meaning) → someone else cannot.

What is database?

A → Collection of related data \*[individually each one is a fact]  
Ex:- 1 A Btech Kit (usual fact about a real world obj)

What is DBMS? (software)

A → Set of programs → written in any programming lang, which helps us to create databases, manipulate data in an efficient way.

What is db System?

A → (database) + DBMS + storage application programs. (actual data stored on the disk)

①

Now a days

Information + Computing techniques → cater to the need of various fields like

Engineering, economics  
Science etc.

## Data

## Data-base

1  
A  
Btech  
Kiit

105686  
M  
Mtech  
Kiit

I A Btech Kiit → student db.

105686 M Mtech Kiit → faculty db.

(Data is always stored as relation (table)) → So, the name relational db.

### DBMS.

provides software to create db,  
manipulate.

DB system = Database + DBMS. + stored data  
→ application programs

data stored can be electronically accessed  
through a computer.

DBMS Examples :- mySQL, oracle, dBase, Microsoft  
Access, SQL Server.

What all can be done with DBMS?

- ① Defining the database
- ② Constructing the database
- ③ Manipulating db for various applications

Defining :- what should be there in the table?,  
how many columns, data-type?  
constraints.

Constructing :- create table, insert data

manipulating :- with the requirement of application,  
we will have to select a part of data,  
delete, alter.

We have applications like chartrip, MMT

(we will be storing basic data) remaining  
is done by the application.

# Database System Environment

Users / programmers

Database system.

Application Programs / Queries

DBMS

S/w to process Queries / Programs

S/w to access stored data

Stored data  
base definition  
(meta-data)

Stored  
database

(Stored on disk)

Data dictionary → info about data stored

(actual data)

Data dictionary and meta-data :-

Data dictionary :- is set of files / files that contains database's metadata.

② Data dictionary is a crucial component of any relational database, as it is invisible to database users. Typically only the database administrator interacts with the data dictionary. ✎

Meta-data :- ① names of all the tables w.r.t databases and the owners

② columns that are indexed

③ constraints (PK, FK, Null), data types

Ex:- Oracle db software has to read and write to an Oracle db, can only be done via the data dictionary created for that particular db.

Data dictionary  
(metadata)

:- (w.r.t Employee data  
database)

col	data type	description
e_id	int	primary key of a table
F-name	varchar(50)	Employee first name
L-name	varchar(50)	Employee last name
dept_id	int	Employee department Ref: Department (table)
gender	char(1)	M = male, F = female, Null = unknown

actual data

e-id	Fname	L-name	dept_id	gender
1	A	X	1	M
2	B	M	1	F
3	C	O	2	F
4	D	F	3	F
5	Q	T	4	M

### Data dictionary

Active

if any changes done in the db, it is the responsibility of the DBMS to automatically update the changes in the data dictionary.

Passive

Contrarily here the data dictionary has to be manually updated by the administrator.

should be careful otherwise db and data dictionary are out of sync.

Database Objects :- a db is made up of various objects each having specific responsibility for managing data.

Ex:- a table object → stores records.

b) view object → creates a virtual table for a specific user.

## Data Dictionary and System Catalog

- ① We create a lot of tables, views, constraints, indexes in a database, difficult for the developer / user to remember all of them.
- ② Hence every database stores every information about structure, definition, storage, no of columns, records, dependencies, access rights, owner will be stored. → This is called meta-data.
- ③ Meta-data is also stored in a table.
- ④ Collection of all these metadata is stored in data dictionary or system catalog.
- ⑤ System catalogs → accessed by DBMS to perform various transactions
- ⑥ Data dictionary → has the user accessible views that are accessed by the developer / designer.

Basically database about database objects.

active data dictionary :- system catalog (users have no access rights)

Schema :- refers to organization of data / blueprint of how the db is created.

### Order item

order\_id int  
 product\_id int  
 quantity int

### Orders

id int  
 user\_id int  
 status varchar  
 created\_at varchar

### products

id int  
 merchant\_id int  
 name varchar  
 price int  
 status varchar  
 created\_at varchar

### merchant

id int  
 merchant\_name varchar  
 admin\_id int  
 country\_code int  
 created\_at varchar

### users

id int  
 name varchar  
 email varchar  
 gender varchar  
 dob date  
 country\_code int  
 created\_at varchar

### countries

code int  
 name varchar  
 continent\_name varchar

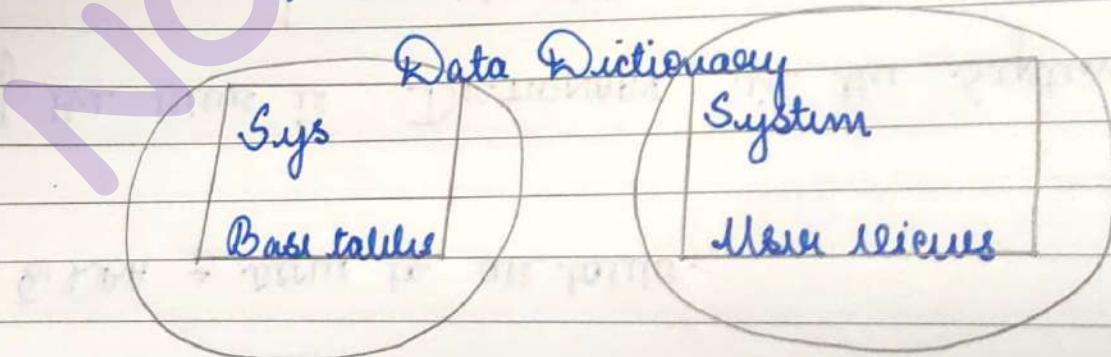
Sys Schema 8. is a read only database that contains all system objects.

Tables, views, procedures, functions, constraints, log, default, → One row for each.

⑦ In order to know info about table, data dictionary / system catalog has to be reviewed. For this reason select rights are given to the users.

⑧ DD tables are created in the Sys Schema no access privileges are given to the users. Only RDMIS access it.

⑨ Aliens of these tables are given <sup>created</sup> in the System Schema where users only have select rights.



- ⑩ depending on access rights we have 3 users.
- ① User → tables / views created by that user.
  - ② all → all tables / views owned by the users.
  - ③ DBA → access to all tables.

One of the views is DICTIONARY in the System schema

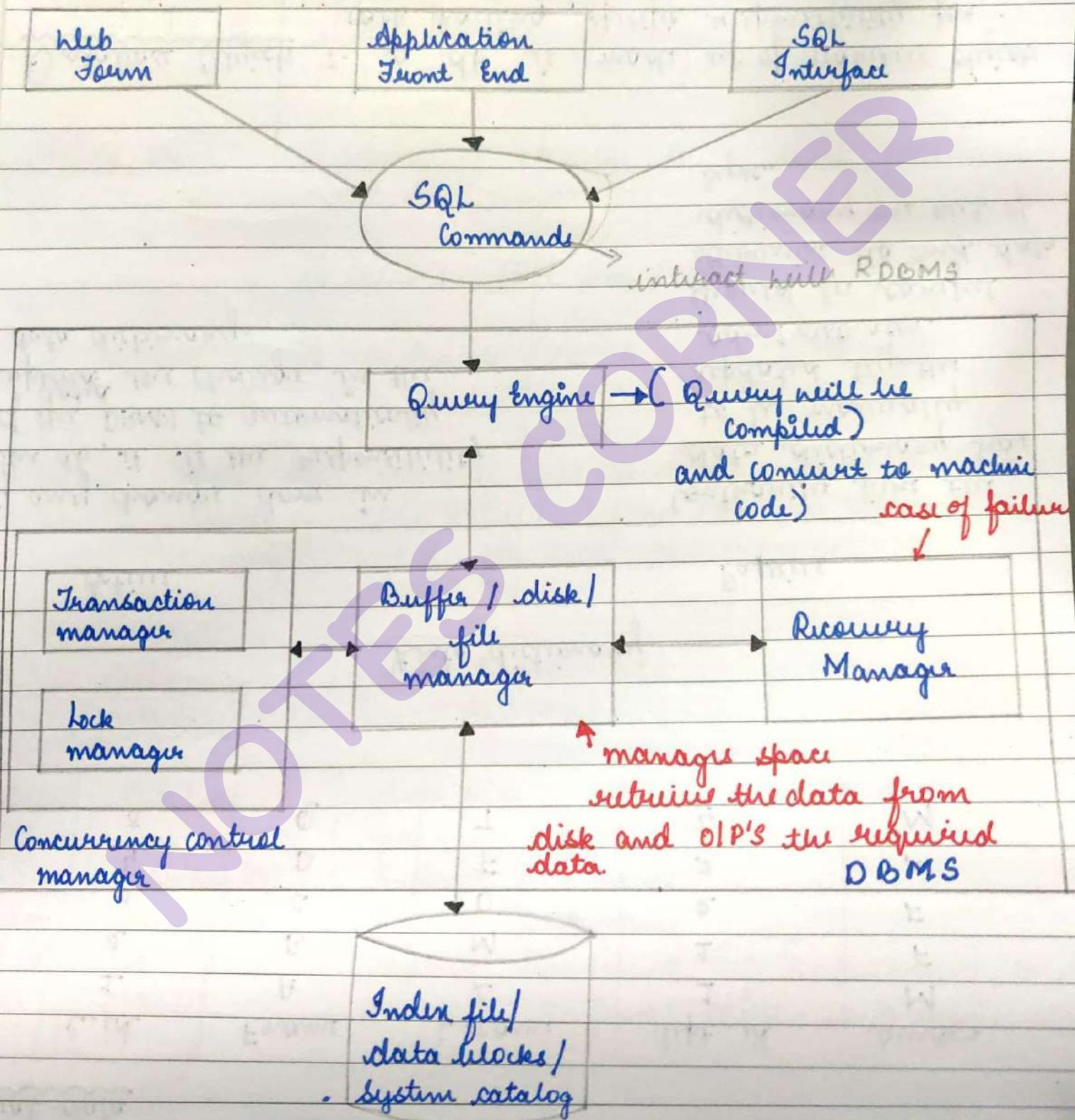
↳ provides details of all its objects in the db system.

table_name	comments

Select \* from DICTIONARY;

Class 3 :-

## DBMS Modules (Architecture)



Compiled

steps

(ordered steps used to access the data on db)

- ↳ check for syntax.
- ↳ generate a query base plan
- ↳ select the best plan (query optimization)

↓  
convert to machine code.  
↓  
to access data.

File system

The first model that was suggested for storing the data was 'Flat File Model'.

here all the data was just dumped into a single file.

Table :- (Car Insurance Company)

Name	contact	income	car reg no	model	name of car	place of accident	date of accident	insurance
S	.....	10,000	MH-05-1318	2004	...	Bandra	31-5-2005	5000
S	.....	10,000	MH-05-1318	2004	...	Bentelli	30-8-2006	5000
S	.....	10,000	MH-05-1318	2004	....	Null	Null	5000
R	.....	5000	KA-05-1515	2009	....	Null	Null	2000
R	.....	5000	KA-05-1515	2009	....	Null	Null	2000

## Disadvantages :-

- ① Data Redundancy :- repetition of the data  
(we can see tuples/rows having same data or just minimal difference)
- ② Data Inconsistency :- change done to 1 row, should be replicated to other rows which has some data. Otherwise the data would lose its integrity.
- ③ Data Insensitivity :- either the entire file is given access or the entire file is not given access. There is no tuple / attribute level access.
- ④ Concurrent access :- not allowed.  
(multiple users trying to access the file).
- ⑤ Memory Utilization :- Since few tuples will have null values w.r.t attributes, wastage of memory.
- ⑥ Flexibility :- concurrent access not provided, memory utilization is poor so, it's not flexible.

hence 'flat file model' was discarded and RDBMS came to use.

### (2marks) Characteristics of DataBase Management system

- ① Reduces redundancy and provides security.
- ② self describing nature
- ③ Supports multiple view of the same data.
- ④ sharing data and multiuser transaction processing.
- ⑤ DBMS follows ACID properties.

### Difference between DBMS and Flat File

#### DBMS

- ① multi user access.
- ② design to fulfill the needs of small & large businesses.
- ③ Reduces redundancy preserves integrity and integrity issues.
- ④ Expensive
- ⑤ handles complicated transactions.

#### Flat File

- does not support.

- ② only small businesses.

- ③ redundancy and integrity issues.

- ④ cheap

- ⑤ do not support

① actually use & control the db content (act as on the scene)

db designers, db administrators

→ define the content, structure, constraints, functions, transactions → communicate & understand the needs

Name

② design, develop & maintain (act as behind the scene)

task

① Application Programmers  
(writing a C code to fetch the details of employees working in a particular department)

write programs in various languages to interact with database.

Embedded SQL query in C program.

② Database Administrators  
(authorize access, coordinate & monitor its use, acquire hardware resources)

helps to manage the entire DBMS.

③ End-Users  
casual native.  
(access the db occasionally)

interact with dbms.

Perform various operations on db like retrieving, updating, deleting.

④ Sophisticated Users

(designers & developers of DBMS)

business analyst, scientist, engineers know all system.  
work with stored db.

a) write SQL queries (to select, insert / delete / update data).

b) do not use any application program to access the db.

Ex: scientists, engineers, analyst.

⑤ Specialized Users

developers who develop the complex programs to the requirement.

## ⑥ Stand-alone users

Scientist visiting db for his own experiments.

User maintaining address book

use stand-alone db for personal use. Basically these db's will have menus and GUI.

## ⑦ Native Users

users who use the existing application to interact with the db.

Ex:- online library system, ticket booking site. User to interact with the database to fulfill their requests.

## Database Administrators :-

① The life cycle of db starts from designing, implementing to administration of it.

② The db grows as the data grows in the database due to which its performance comes down, also accessing the data becomes challenging.

③ The administration and maintenance of db is taken care by database administrator -DBA.

A good performing db is in the hands of a DBA.

## ① Installing and upgrading the DBMS Servers :-

- a) DBA is responsible for installing a new DBMS Server for the new projects.
- b) As the new versions comes up, upgrading of the servers should take place.
- c) Also should update the service packs, patches to the DBMS servers.

## ② Design and Implementation :-

decision about proper memory management, file organization, error handling, log maintenance etc for the db.

## ③ Performance tuning :- Since data in database is quite voluminous, there would be variation in the performance. DBA should ensure that all the queries, programs work in fraction amount of time.

## ④ Migrate db servers :- Sometimes users using oracle would like to shift to SQL Server. It is the responsibility of DBA to make sure that the migration happens without any failure and there is no data loss.

⑤ Backup and recovery :- proper recovery and back up programs needs to be developed by DBA. This is an important responsibility. Data/db object should be backed up regularly so that if there is any crash, it should be recovered without much effort and data loss.

⑥ Security :- DBA is responsible for creating various users and giving them different levels of access rights.

⑦ Documentation :- DBA should properly document all his activities so that if he quits or any new DBA comes in, he should be able to understand the db without any effort. He should basically maintain all :-  
a) installations , b) recovery and backup update  
c) security methods followed a) reports about db performance

## Class 4

### Approaches of building a database

In order to remove all limitations of the file based approach, a new approach was required that must be more effective known as 'Database Approach'.

- ① The db is a shared collection of logically related data, designed to meet the information needs of an organization.
- ② A db is a computer based record keeping system whose purpose is to record and maintain information.
- ③ A db is a single, large repository of data, which can be used simultaneously by many departments and users. Instead of disconnected files with redundant data, all data items are integrated.
- ④ The db not only holds organizations operational data but also a description of this data (metadata), for this reason db is also defined as self describing collection of integrated records.

In the DBMS approach, application program written in some programming language can be Java etc uses database connectivity to access the db stored in the disk with the help of OS file management system.

end user

end user

Application program

Application prog

Interface designed in lang  
like C, C++, Java

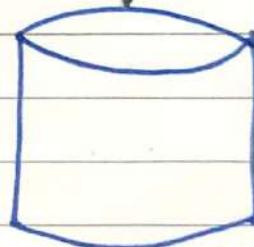
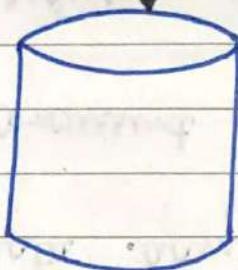
Interface designed in  
lang like C/C++/Java

OS

file management system

DBMS

OS file management  
system



File - System Interface

DBMS Interface

Building blocks of a db :-

- ① columns / attributes
  - ② Rows / tuples
  - ③ tables :- logical collection of columns
- \* There should be no duplicate data.

## Characteristics of db (4 marks)

The data in a db should have

Advantages of using DBMS

- ① Data Independence
- ② Efficient data access  
(accuracy and consistency of data)
- ③ Data integrity and security
- ④ data administration
- ⑤ concurrent access and crash recovery
- ⑥ reduced application development time.

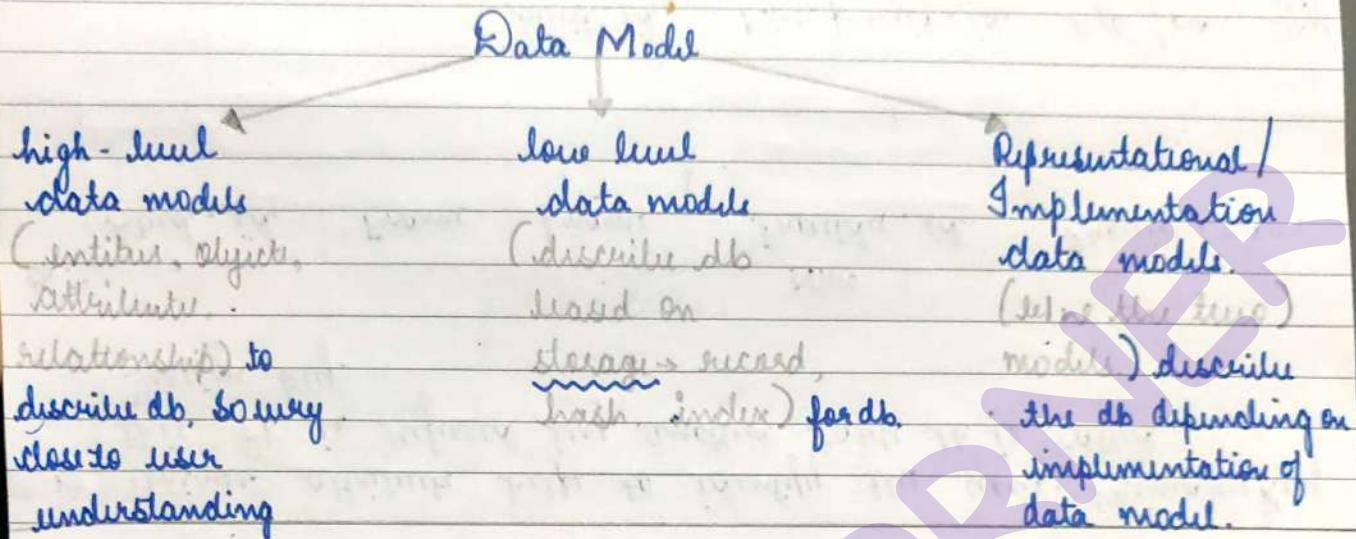
Data Model (used to represent the structure of data in the db)

A data model is a collection of concepts that can be used to describe the structure of the database.

Structure means :-

- a) data type
- b) relationships
- c) constraints etc.

DBMS allows a user to define data to be stored in terms of data model.



### Relational Model (Implementation data model)

The central data description construct in this model is a relation.

relation = set of records.

Schema :- description of data in terms of a data model is called schema.

(data model

↳ contains data

A relation schema specifies :-

- the name of the relation
- fields
- type etc (data type)

describing data is called schema.

Ex:- Student (sid: string; name: string; age: integer)

\* each row follows the schema of the relation.

High level data model	Representational data model	Low level data model
→ ER model → EER model	→ N/w model → Hierarchical model → Relational model → Object data model → Object relational model	(how the data is stored) [disk, file, record]

### Description of Data models.

- ① Relational model :- model sorts the data into table.  
(also called as relation)
- (Ex:- Oracle, Mysql, Sql server)
- column → attributes  
rows → records / tuples.
  - Unique attribute helps to identify the rows - Primary key.  
this PK is referred by another table it is called Foreign key.

Ex:-

Stud-id	Fname	Lname	N/w Provider-id	Provider name

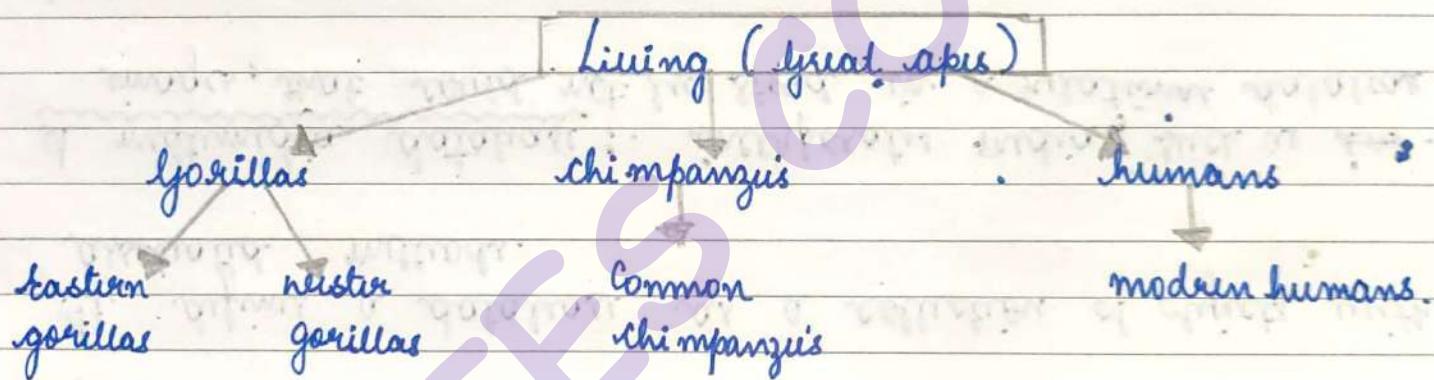
Stud-id	N/w.provider id	type of plan	start date

Relational databases are typically written in SQL. The model was introduced by E.F Codd in 1970.

② Hierarchical Model :- Organizes data into a tree like structure, each record has a single parent or root. Sibling records are sorted in a particular order.

Good for describing many real world relationships.

primarily used by IBM's Information management system in 60's and 70's. (Ex:- IBM's IMS in late 60's)  
(Example:- Student and course, a student can have multiple courses)

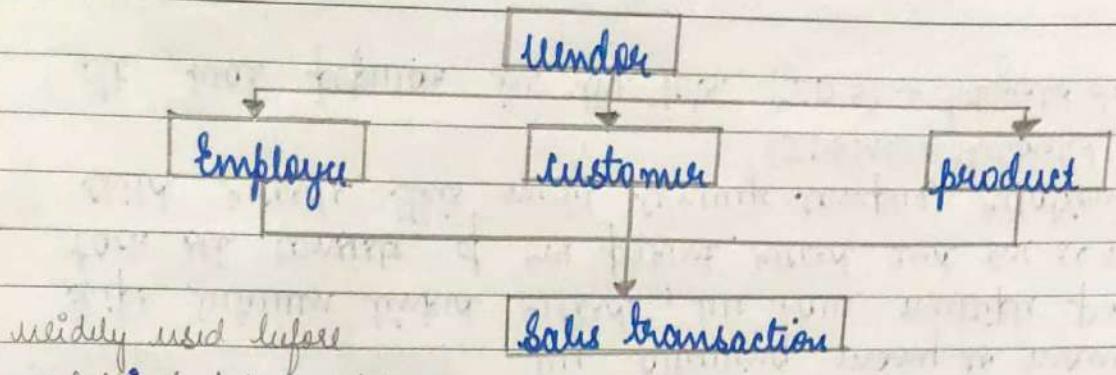


③ Network model :- builds on hierarchical model  
(data organised as graph) by allowing many to many relationships between linked records, we have multiple parent record. Each set consists of one parent record and one or more child records. This model conveys complex relationships.

(IDBMS) → Integrated

It was popular in the 70's. (IDS) → Integrated data store.

[can have more than 1 parent] [more relationships are established, so accessing data is easier and fast] [data is more related]



(Ex: GE's integrated data store (IDS) early 60's)

#### Object-Oriented database model

It defines a database as a collection of objects with associated methods.

A multimedia database :- incorporates media, such as ~~int-~~ images, that could not be stored in a relational database.

A hyperlink database :- allows any object to link to any other object.

It is ideal for organising data, but not ideal for numerical analysis.

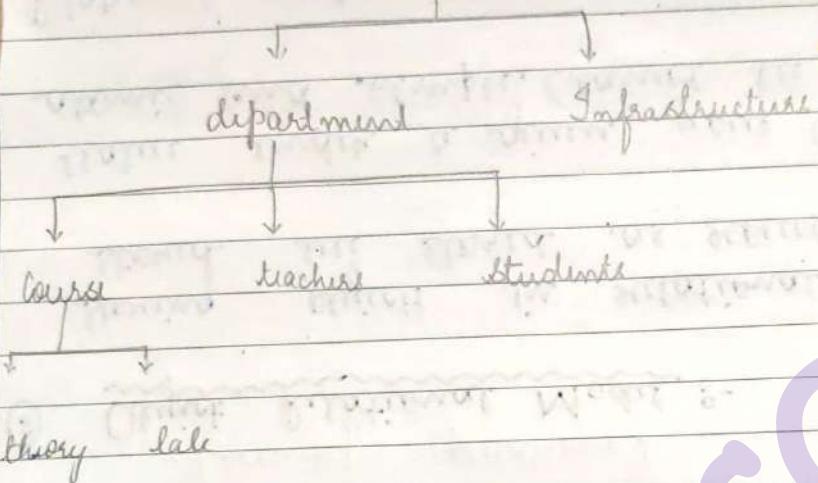
#### Object 1: Sales report

month		01-15-16
Product code		54
Vendor		154-234
Revenue		\$ 887

#### Object 2: Sales activity

Customer	
Product code	
Product name	
Sales associate	
Date of sale	
Price	

hierarchical model → Organises data in the form of a tree  
College



Data Model :-  
Collection of concepts used to describe the database.

## ⑤ Object Data Model :-

We create objects using some programming language (C/C++/Java) with its actual complex structure, we try to store in the database.

'Object Query language' → is used to query ODM.

Ex:- Object Store, Ontos, Port etc.

(scientific applications)

## ⑥ Object Relational Model :- (values should be simple, atomic)

Storing objects in relational data model, constraint is stored in stored as rows and columns.

Value under a given row and column should be atomic and simple. (cannot be complex / multivalued)

Relational model was not allowing that, so new model was introduced ORM.

Ex:- Oracle (8i and onwards), Informix.

Relational, Network and hierarchical are all based on records, how we maintain the relationships between the records is different.

## Customer records

## Account records

101	Gopi	Hyd		10	10,000
103	Mehan	che		20	2000
108	Kiran	che		30	17000
112	John	Del		40	5000

1 customer can have multiple accounts, and 1 account can have multiple customers (join account).

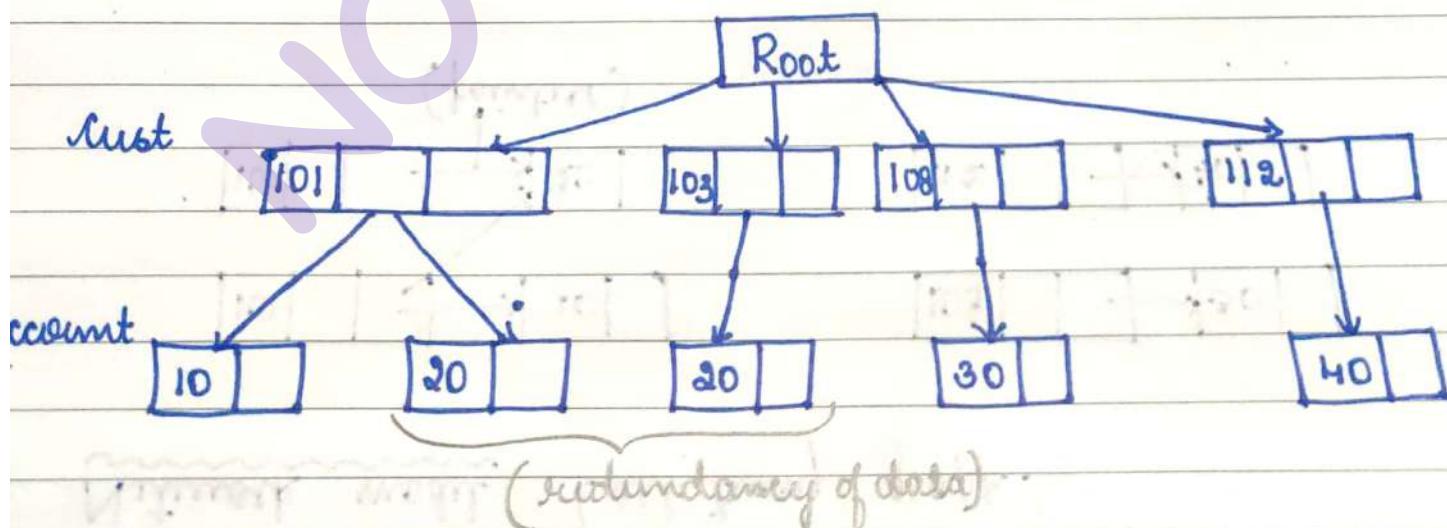
a) Customer (YK) account.

(Arranged as table)

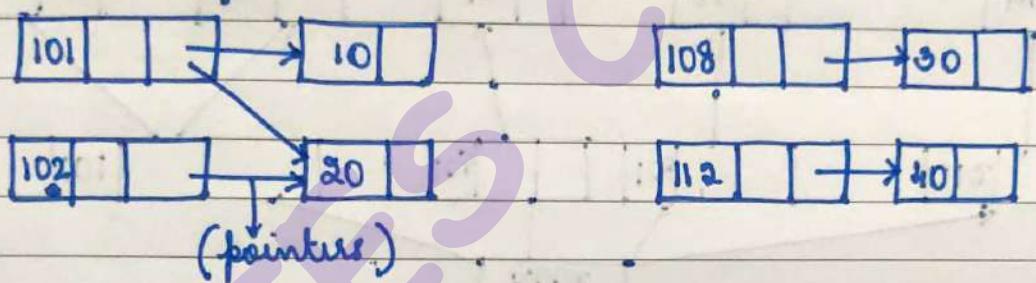
Cust#	Name	City	Ac#	Ac#	Balance
101			10	10	10K
101			20	20	2K
103			20	30	17K
108			30	40	5K
112			40		

Relational model.

b) Hierarchical data model :-



Network model (arbitrary graph)



## Database Schema

Scheme :- plan to describe something.

DB Schema :- description of the database.

(using data model)

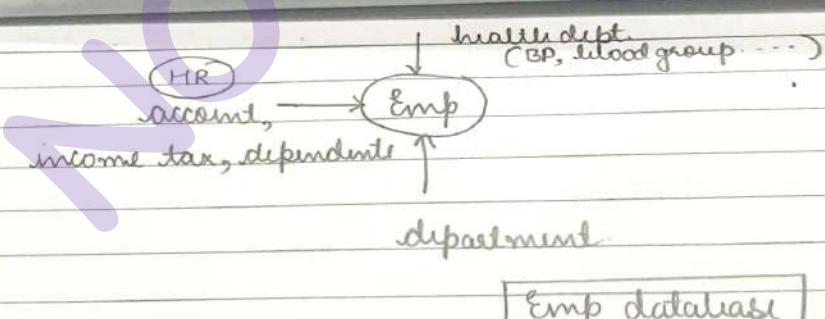
## Three Schema Architecture

A db can be described using three different levels of abstractions  
→ focus on required things and hide the un-necessary things

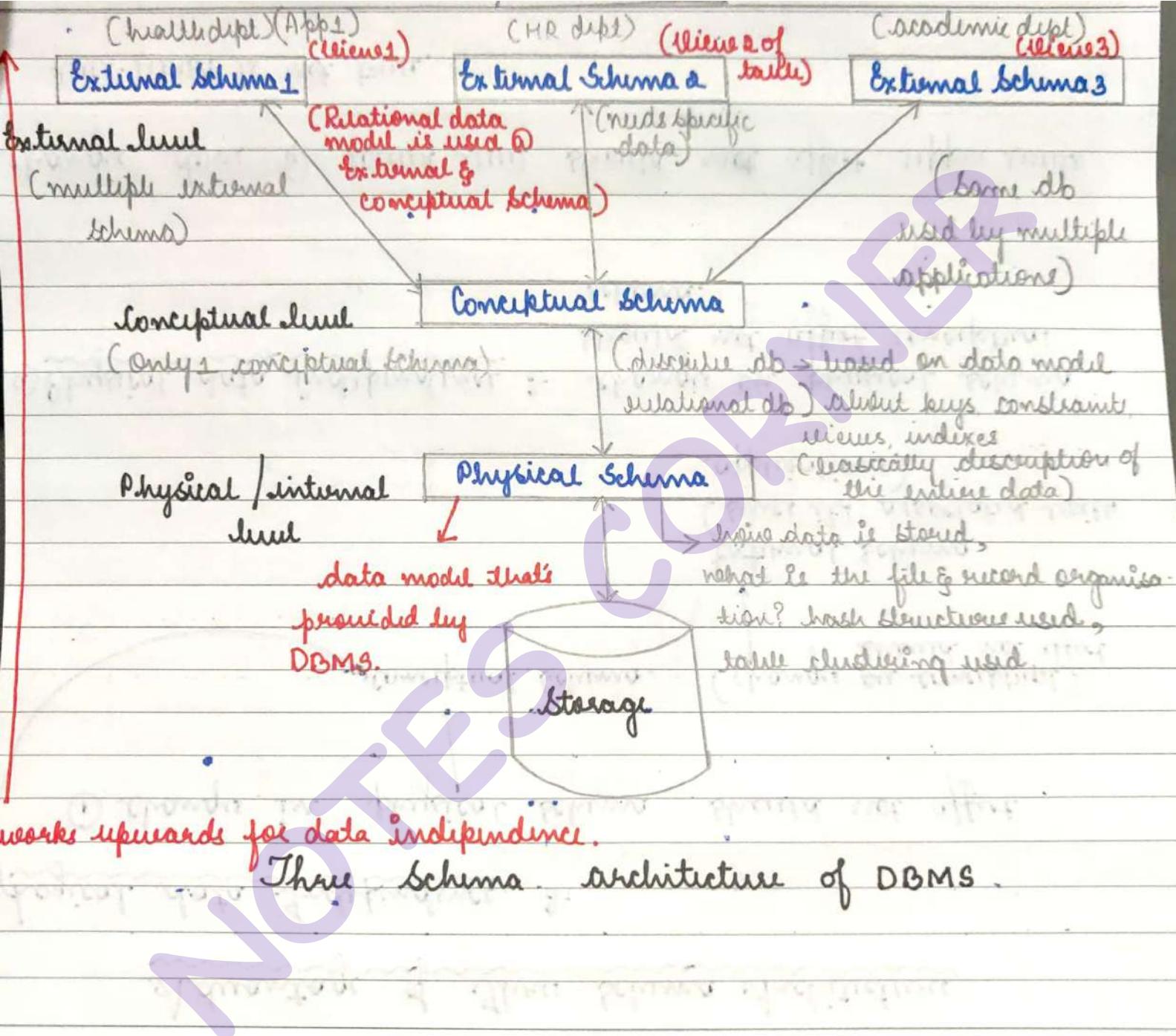
Description at each level can be defined by a schema.  
For each abstraction we focus on one of the specific issues such as user views, concepts, storage etc.

1. External Schema
2. Conceptual Schema (logical Schema)
3. Physical Schema.

} viewing db @  
different levels/  
angles.



} (different abstraction)  
each dept concentrate  
on related Emp  
aspect, while  
hiding the other  
details



## Advantage of Three Schema Architecture

### Logical data Independence :-

① change in physical schema should not affect organization  
↳ stored on tape or disk / spanned or unspanned record

↳ conceptual schema. (changes on conceptual)  
should not affect

↳ external schema.  
(since its associated with applications)

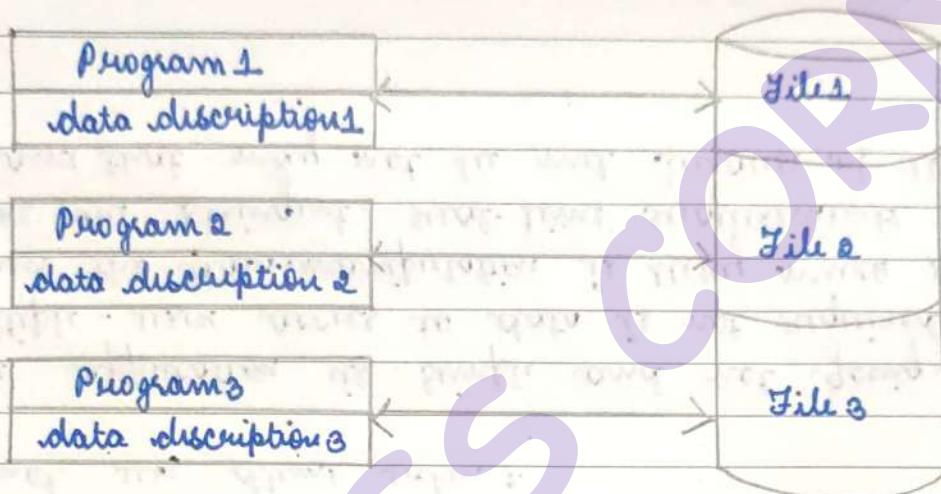
a) Physical data independence :- changes on physical schema  
should not affect conceptual schema.

changes done @ lower level should not affect upper levels

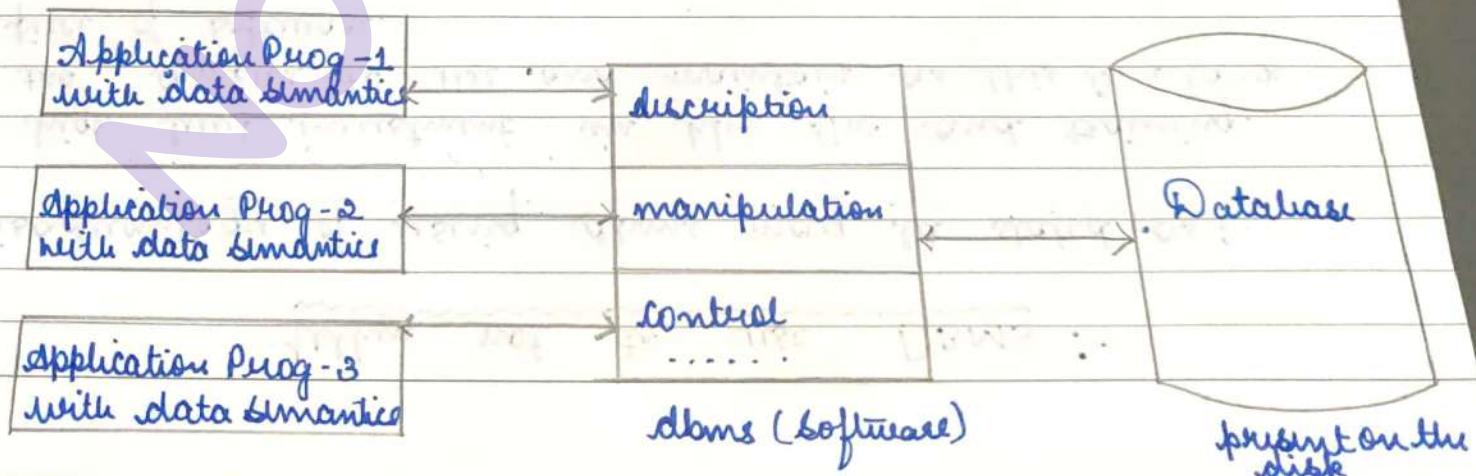
vice-versa is not true.

## Characteristics of the database Approach.

- ① Individual programs, have their own file (where they can open, save and close). So each program will have individual files.
- ② Suppose a program wants to search a piece of information need to open and search the entire file. (too time consuming)



Database Approach :- Independent of the system dependent code and can achieve program-data independence.



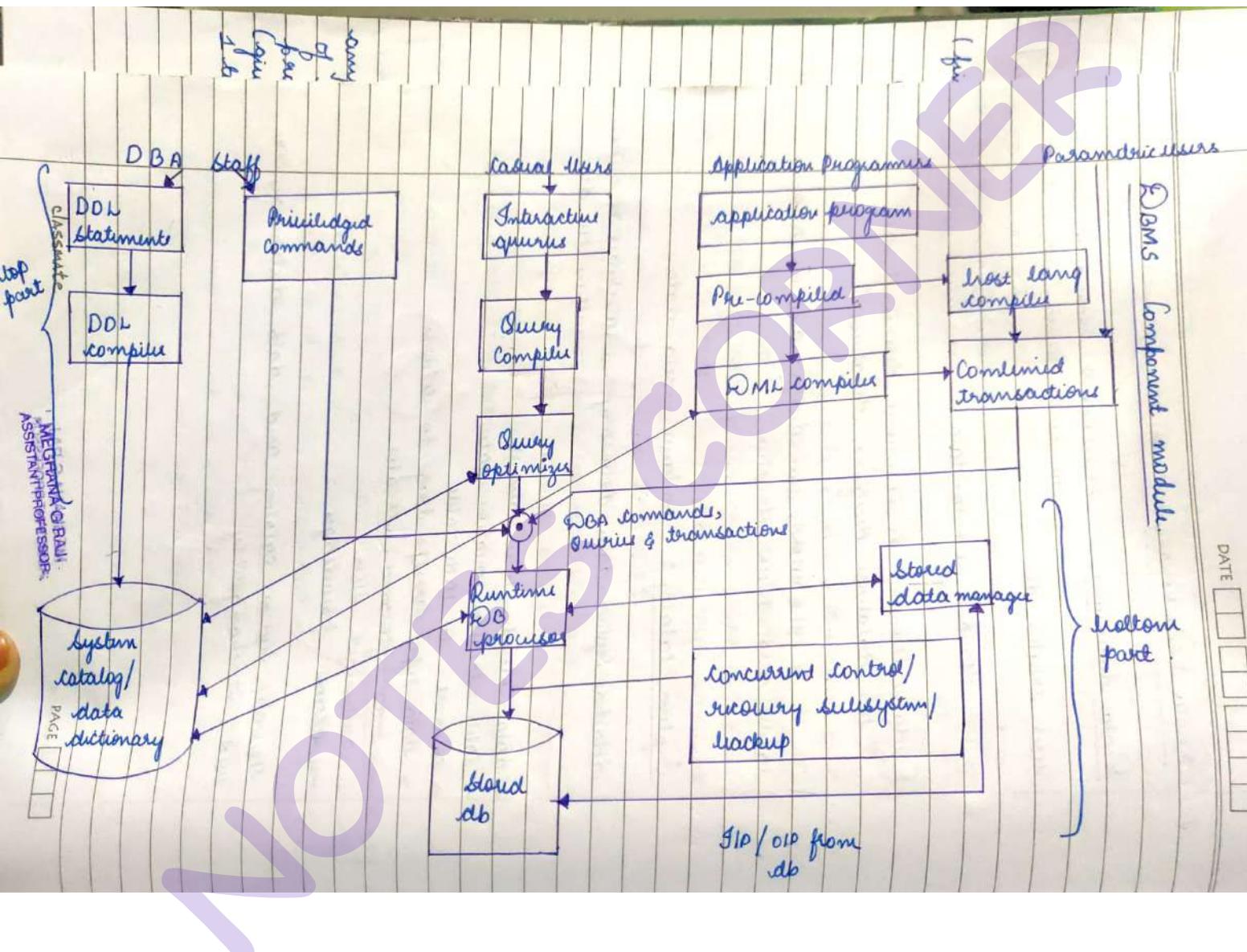
When not to use DBMS :-

Disadvantages of using dbms may be stated as :-

- a) high initial investment in hardware and training.
- b) too complex to use and maintain as this is a large piece of software.
- c) Overhead for providing security, concurrency control, recovery and integrity functions.

Do not use dbms when :-

- a) The application is simple and not going to change often.
- b) multiple-user access to data is not required.
- c) when the data manipulation is very much specialized.
- d) There are stringent real-time requirements for some programs that may not be met because of dbms overhead.



① Fig divided into two parts

Top part :- various users of the dbms and their interfaces.

ad vice:-  
training  
is a long  
y contact.

Lower part :- internal of the DBMS responsible for storage of data and processing of transaction.

change often  
"good  
more  
reduced."

② Database + DBMS → stored on disk catalog

③ access to the disk controlled by OS, which schedules read/write.

④ many DBMS have their own 'buffer management' module to schedule disk read/write, because this has a considerable effect on performance.

⑤ Stored data manager :- controls access to DBMS info that is stored on the disk, whether part of db or the catalog.

Top part description :-

① DBA staff :- works on defining the db and tuning it by making changes to its definition using ddl / other privileged commands.

② casual users :- work with interactive interface to formulate queries

③ application programmers :- create some programs using some host programming lan

④ Parametric user:- do the data entry work by supplying parameters to predefined transactions.

Working :-

① DDL compiler - proves schema definition specified by DDL

↓  
Stores the description of schema (meta-data) in the DOMS catalog.

② Catalog includes,

- a) info such as name & size of files
- b) data types
- c) storage details of files
- d) constraints
- e) also contains info needed by the DOMS module.

③ Casual user → interactive query interface

↓  
query are parsed and validated for correctness of query syntax.

(by query compiler)

↓  
compiles to

internal query → subject to  
Query optimization

- ④ Query optimizer concerned with
- a) reordering of operations.
  - b) elimination of redundancies.
  - c) use of correct algo during execution.

a) consult the system catalog → statistical / physical info about stored data



generate executable code.

performs necessary operations for the query, makes calls on runtime processor.

⑤ App programmers → write code in C/C++/Java



Submitted to a pre-compiler

⑥ Pre-compiler → extract the DML command from an application prog

DML commands sent to  
DML compiler.

compilation into object code for data access.



rest of the prog sent to lang compiler

⑦ Object code for the (linked)  
DML commands + rest of the  
program

- ①
- ②
- ③
- ④
- ⑤
- ⑥
- ⑦

→ named transaction → executable code includes calls to the runtime db processor.

→ named inst → executed repeatedly by Parameteric users

Eg: bank withdrawal transaction where the account number and the amount may be supplied as parameter.

Lowest part description :-

⑧ Runtime db processor executes

- a) privileged instructions
- b) executable query plans
- c) named transaction with runtime parameters.

→ works with the system catalog.  
also works with stored data manager

→ uses basic operating system services for carrying out low-level I/O (read/write) operations from disk and main memory.

DATE

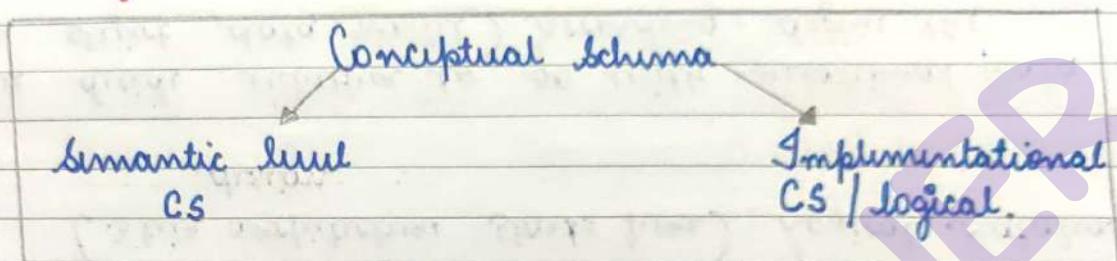
- ⑨ Runtime db processor handles data transfer, management of buffer in chan the mm.

Some DBMS's have their own buffer management module while others depend on the OS buffer management.

- ⑩ Concurrency control  
+ work on   
Backup recovery runtime db processor for purpose of transaction management.

Unit-2E-R and EER models

Question :- Is logical and conceptual schema same?



\* In 3 schema architecture we meant (logical) Implementation specific conceptual schema.

\* Semantic level (high level representation) → ER/EER

ER/EER fall into the category of semantic level (high level conceptual schema)

constraints are nothing but business rules.

Ex:- While designing a db for a project  
constraints can be:-

- 1) Skill set should know Java.
  - 2) age should be less than 40 years.
- } examples

## Major Steps in database design process.

### ① Step 1 :- Requirement Analysis

with  
respect to  
the application

- a) Understanding the domain
- b) Identifying the data to be stored
- c) Identifying the constraints. (as explained in the previous page)

### ② Step 2 :- Conceptual database design

Semantic (high-level) nothing to do with data model

ER modeling / UML.

### ③ Step 3 :- (3-tier architecture starts here) Logical database design

(here we decide whether to go with relational data model or object data model) according define the

SCHEMA

\* (we decide the table structure and nothing to do with the data.)

## Step 4 :- Refinement of Schema

(here we concentrate on normalising the table)

## Step 5 :- Physical Database design

(Even though the db designer has no much role since this is taken care by the DBMS) to some extent changes can be done.

- taken care by the dbms software we choose.
- { a) Indexing (externally can be specified)
  - b) Clustering (placing related tables in the same file which would help easy retrieval of data)
  - c) Storage formats.

What is E-R modeling?

- ① E-R model is a popular high-level (conceptual data model)
- ② It is an approach to designing semantic conceptual schema of a database.
- ③ ER model allows us to describe the data involved in a real-world environment in terms of objects and

thus "relationships", which are widely used in design of database.

③ER model provides preliminary concept or idea about the data representation which is later modified to achieve final detailed design.

- ① ER model (graphical representation of db)
- ② Steps in database design process
- ③ Entities, attributes and associations
- ④ ER notations
- ⑤ Enhanced ER modeling
- ⑥ Super class and sub class representation.

### ER-model (step 2) :-

- ① Is a popular high-level (conceptual) data model.
- ② It is an approach to designing semantic conceptual schema of a database.
- ③ ER model allows us to describe the data involved in a real-world environment in terms of objects and their relationships, which are readily used in design of database.
- ④ ER model provides preliminary concept or idea about the data representation which is later modified to achieve final detailed design.

### Notions

→ nouns (thing in a real world)

Entity :- any object in the real world can be seen as entity

attribute :- reflect the property of an entity

entity type :- strong and weak entity types.

Relationships :- (nubs) (works for) association like relation entity.

Constraints :- cardinality, degree, participation, min-max

Hierarchies (super/sub)

Entity set.

elements →  $\left\{ \begin{array}{c} \vdots \\ \vdots \end{array} \right\}$  name of set → entity type  
form entity

DATE

## Entity types and entity sets:

- ① DB contain group of entities that are similar.

Eg:- company hires group of employees

② all employee details are stored together.

③ employee → share the same attributes

④ value for each entity is different.

Person (age, name, add)

discusses entity type :- collection of entities that have same attributes.

⑤ described by its name and attributes.

⑥ Entity type name Employee (name, age, salary)  
company (name, headquarters, president)

Entity set :- the collection of all entities of a particular entity type in the db at any point

extension of the entity type in time is called an entity set.

Employee (name, age, salary) → entity type

name	age	salary	entity set.
A	20	10,000	
B	25	20,000	

Entity :- instance (extension) (elements in entity type → called state of entity)  
(A, 25, Bang)

CLASSMATE

MEGHANA G RAJ.  
ASSISTANT PROFESSOR

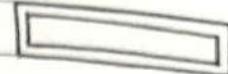
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Proper naming of Schema constructs.

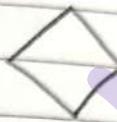
① entity



② weak entity



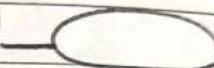
③ relationship



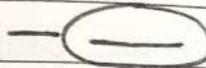
④ Identifying relationship



⑤ attribute



⑥ key attribute



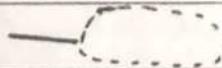
⑦ multivalued attribute



⑧ composite attribute



⑨ derived attribute



Attributes :- describe entity.

(3)

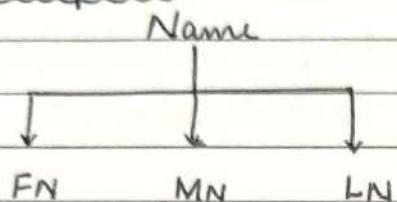
Classification :-

Eg:-

Person (Name, age, add, phone)

Simple and composite :- a) Simple (atomic) cannot be divided.

b) Composite :- can be divided further.



If value does not exist  $\rightarrow$  no phone (so no number)  
or

if attribute not applicable  $\rightarrow$  no middle name

in such case insert null value.

Composite  $\rightarrow$  collection of simple value attributes.

Single valued and multi valued :-

multi valued attribute :- will have more than 1 value.

Eg:- (a person can have multiple phone numbers)

single valued :- will have single value.

Eg:- age.

③ Stored and derived attribute :-

Store :- Date of birth stored

derive :- derive age from DOB.

Eg: Name :- composite attribute and single value.

age :- single value and derived.

add :- multi valued attribute (defined)

(More than 1 add) +  
composite.

Complex Attribute :- composite + multi-valued.

### Relationship

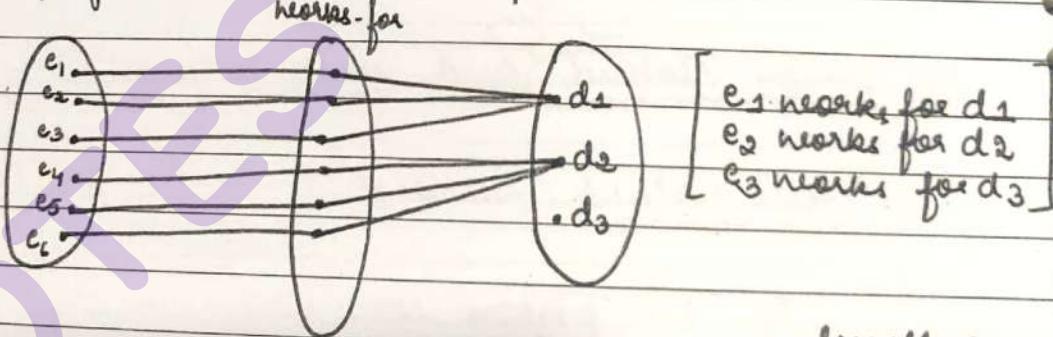
1 : M

① Association among entities

② Eg:- Employee works for department.

Employee

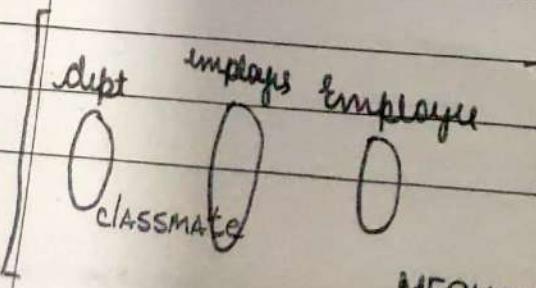
Department



Requirement analysis :-

- a) Every employee works for a department.
- b) Dept can have many employee.
- c) New dept need not have any employee.

Exactly one



depending on the arrangement of entity, we decide the relationship.

## Characteristic of relationship :-

① Degree :- how many entities (entity types) are participating.

above example it's binary relationship. (2 tables)

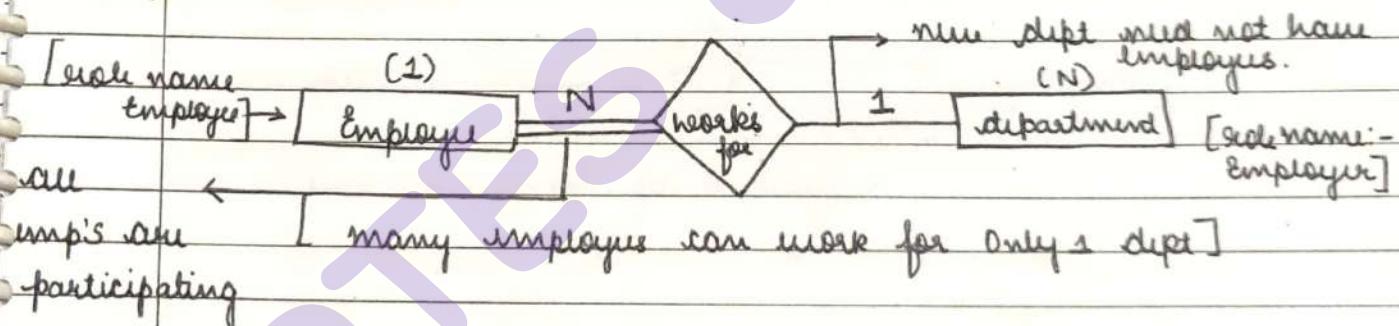
② Cardinality ratio :- what is the max no of relationships in which an entity can participate.

Eg:- employee can work only in 1 department (1)  
department can have any no of employees (m)

③ Participation / Existence constraint : (min no of relationships an entity can participate)  
minimum cardinality / existence constraint  
total participation

Eg:- min (employee) = 1 (employee has to work for 1 dept)  
min (department) = 0. (not necessary for department to have employees).

[depending on verbal description]



Some of the entities not participating in relation.

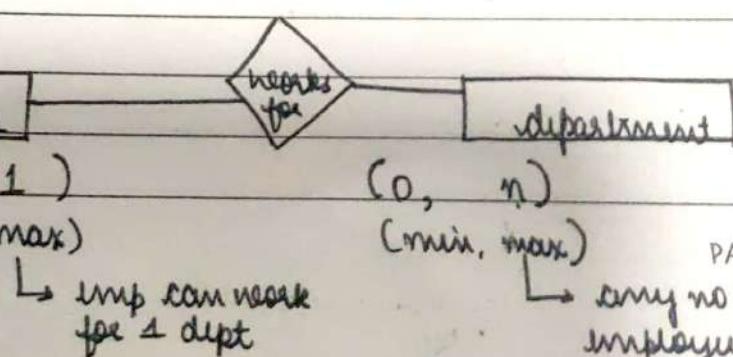
more information

min-max

representation :- Employee

[Ex:- if emp has to min work for dept then min, max] (1, 1)

[min → 1]



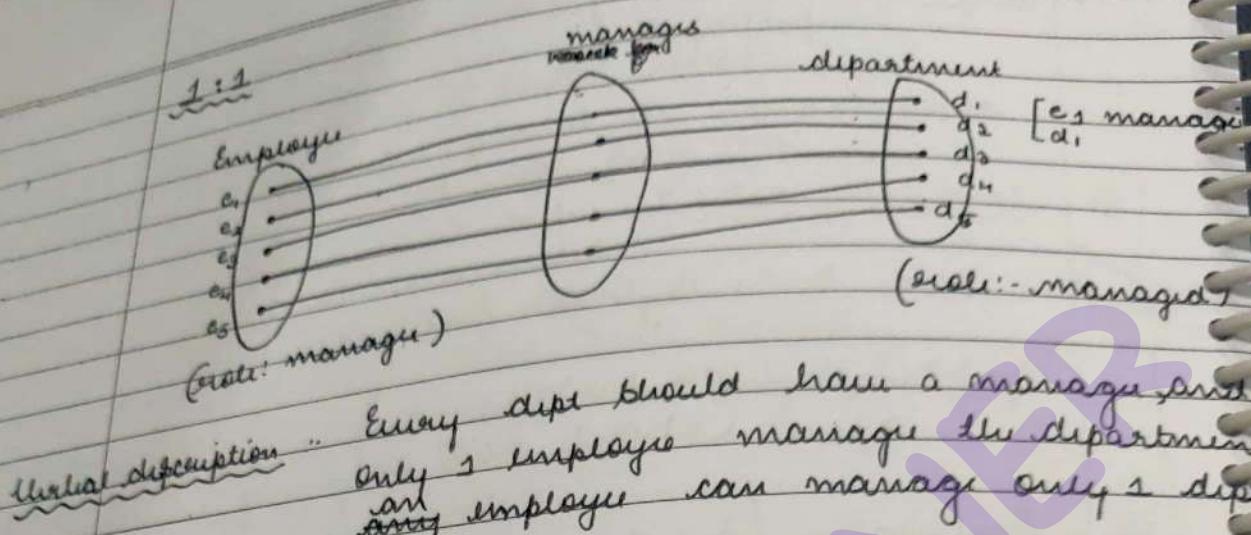
(0, n)

(min, max)

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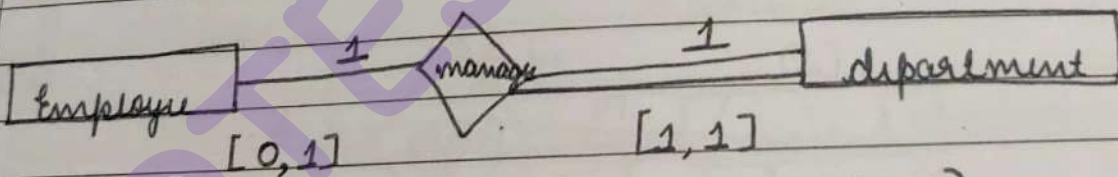
any no of employees.



Employee :- ① degree :- 2 (2 distinct entities are participating)

② cardinality ratio (max) :- 1

③ Participation :- total on ~~dept~~ side (can have emp who not manager)



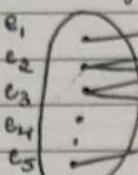
↳ (no need of line required)

M:N :-

working

 $P_1 = e_1, e_2, e_3$  $P_2 = e_2, e_3$  $P_3 = e_5$  $P_4 = e_3, e_4, e_5$ 

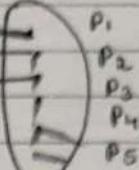
employee



work.on



Project

(relation  $\subseteq$  more project)

discipline :-

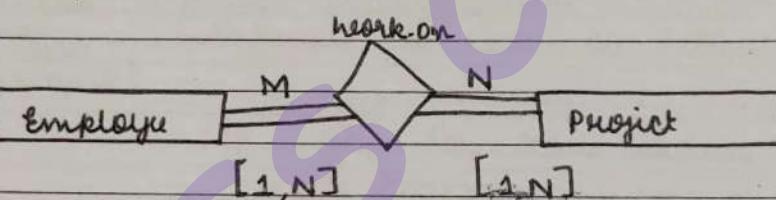
Every employee should work on 1 project or many project.

Every project should have <sup>at least</sup> 1 or more employee.

degree :-

(max) cardinality :- many

(min) participation :- total

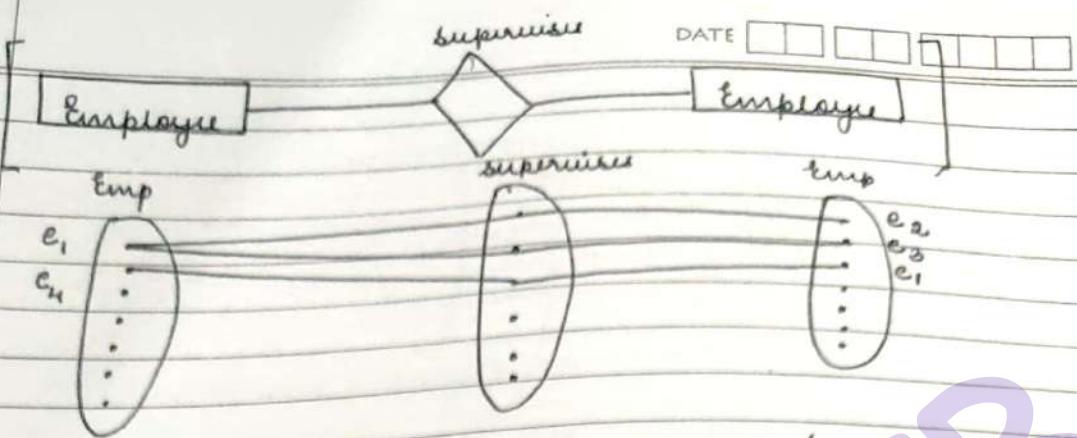
Recursive :-

An employee reports to supervisor

and employee must have a supervisor.

CEO does not report, can have employee who do not report to anyone]

(same table we consider)



(role: supervisor)

more

$e_2$  supervises  $e_3$ ,  
 $e_4$  supervises  $e_1$ .

degree :- 1

cardinality (max) :-

participation (min) :-

(role: supervisor)

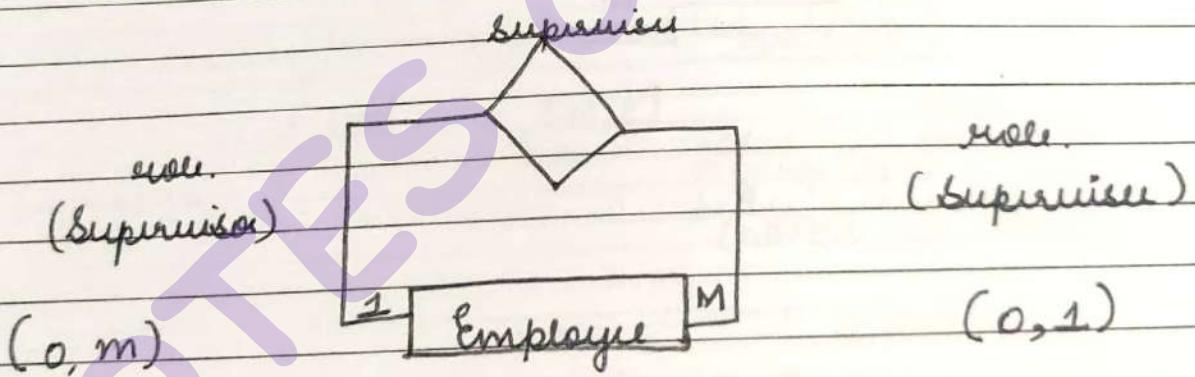
(management layer)

supervisor ( $\frac{1}{1}$ )

supervisor (many)

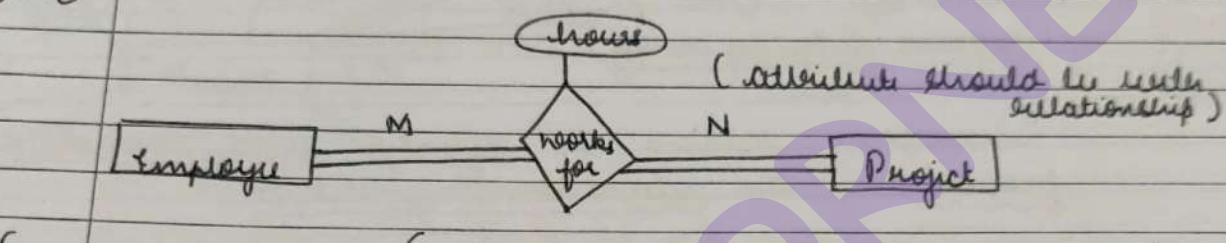
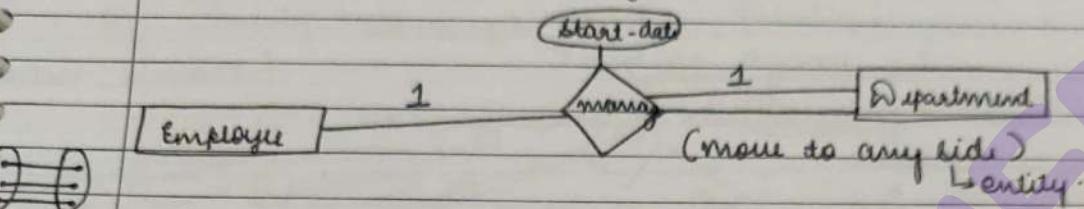
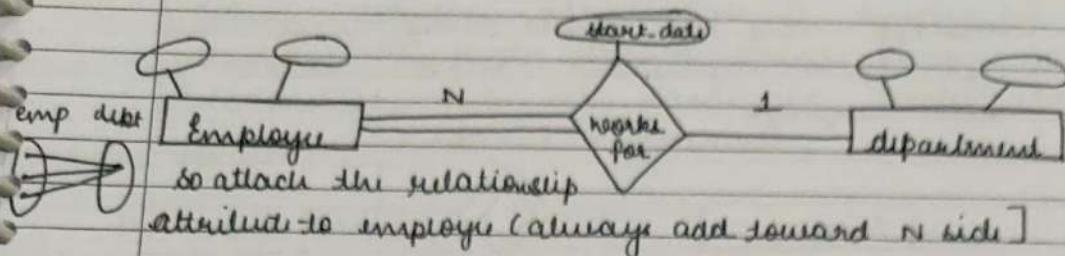
supervisor (0)

supervisor (0)



Employee can have Only 1 supervisor  
a supervisor supervises many employees

Attributes for relationship :-



(Employee works in many project)

(difficult to move on either attribute)

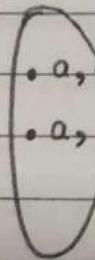
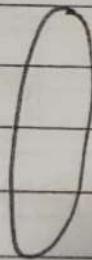
if info like how many hours did employee work on project)

### Weak Entity

→ Entity which do not have a key to uniquely identify the tuple (row).

temp (strong entity)

dependants (weak entity)



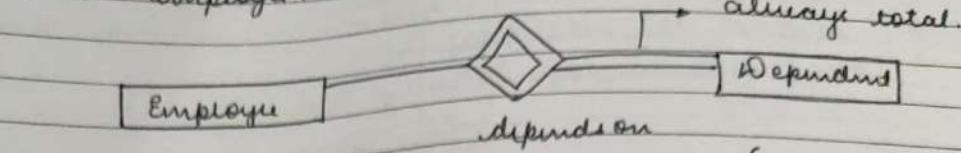
• a, 25, son 7 can have  
• a, 25, son 5 same  
name and  
same age of  
some other  
employee.

classmate weak entity related  
to strong entity

→ Relationship : identifying relation.

this is the  
page if there is no  
key of its own.

Employee may not have any dependents  
But, for a dependent it should be associated with employee.



(owning entity)  
take key attribute of employee to  
dependent.

(owned entity)

### Problem Solving on ER.

Description related to research and sponsored projects in an educational institution.

- a) Institute has some sponsored projects.
- b) Projects will <sup>have</sup> one faculty as Principal Investigator (PI), and can have one or more faculty members as co-PI's
- c) A project has Project ID (unique), Project name, Budget, duration as attributes.
- d) Faculty are identified by Faculty ID (FID) (unique) and have name, dept, designation as other attributes.
- e) A project is funded by only one funding agency (like UGC, DIT, DST) which has - agency name (unique).
- f) Head location (with street, city and state as sub-components)
- g) A faculty, as a PI can have zero to any number of projects.
- h) Similarly a faculty as a co-PI can have zero to any number of projects.
- i) A funding agency might have funded one or more projects.

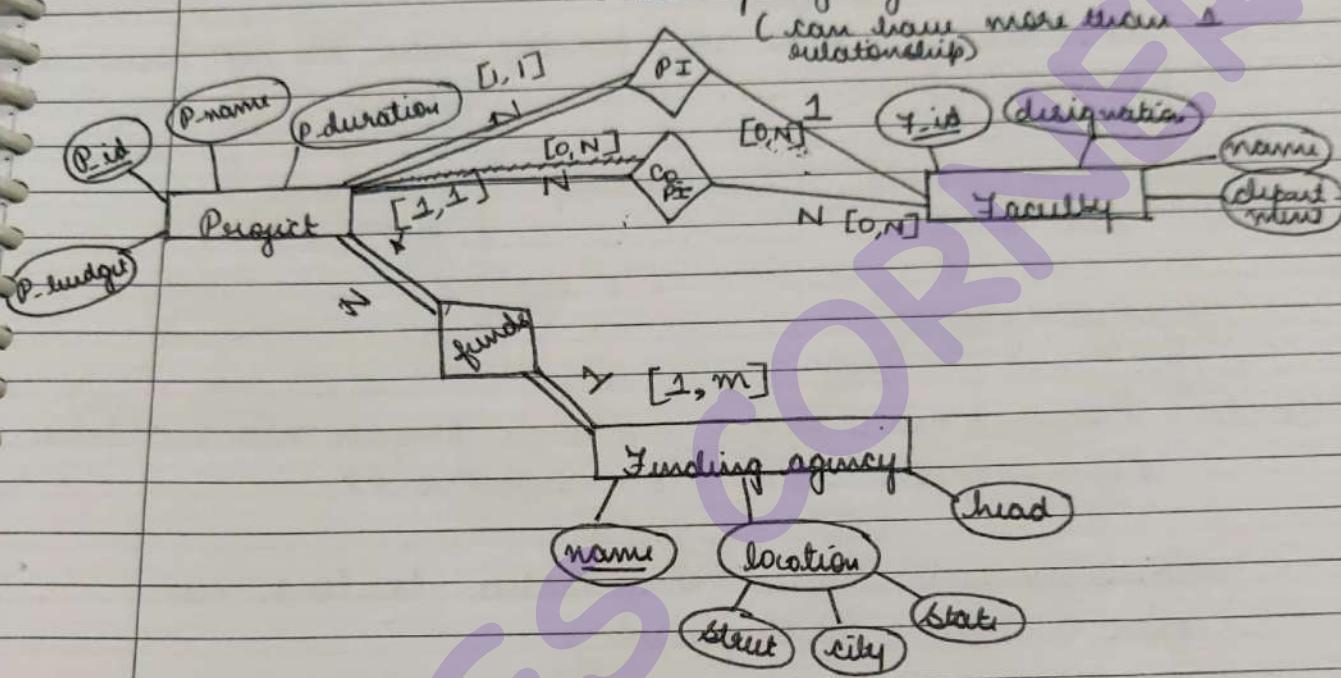
classmate

✓: \* [Here PK or FK does not come into picture, that's only in relational model. Here entity type can have any no of Keys.]

DATE [ ]

Draw an ER diagram for the above requirement.  
Assume necessary data which is missing in the question. The model should include Entity types, relationships, min-max, cardinality, participation and other relevant constraints.

- ① Entity type :- project, Faculty, Funding agency.



- ① All are strong entities.  
② Project & funding agency relation :-

(only if it funds project)  $\rightarrow [1 \underline{N}]$  1 FA can fund N projects  
1 Project can be funded by only 1 FA.

$\forall A [n] : P[1]$

③ Project  $\xrightarrow{\text{PI}}$  Faculty.  
 $\xi$   
(Co-PI)

1 project can have 1 PI  
1 project can have 1 or more Co-PI

Faculty can be PI/Co-PI for many projects.

Faculty  
[N:1]  
↑

project.

considering: there are faculty who do not have any project.

Project [min, max]  
(PI) [1, 1]  
↓  
(1-pi)

Faculty [min, max]  
[0, 1]

(Co-PI) Project [min, max]  
[0, N]

Some projects need not have any Co-PI.

wherever we have min value to be 1, we give a total participation.

Participation :-

- Every project should have a funding agency and every funding agency will fund a project.  
So → total participation.
  - Every project must have a PI and not necessary that all Faculty are PI. partial.
  - Every project it's not compulsory to have a Co-PI (so partial dependency.)
- Participation

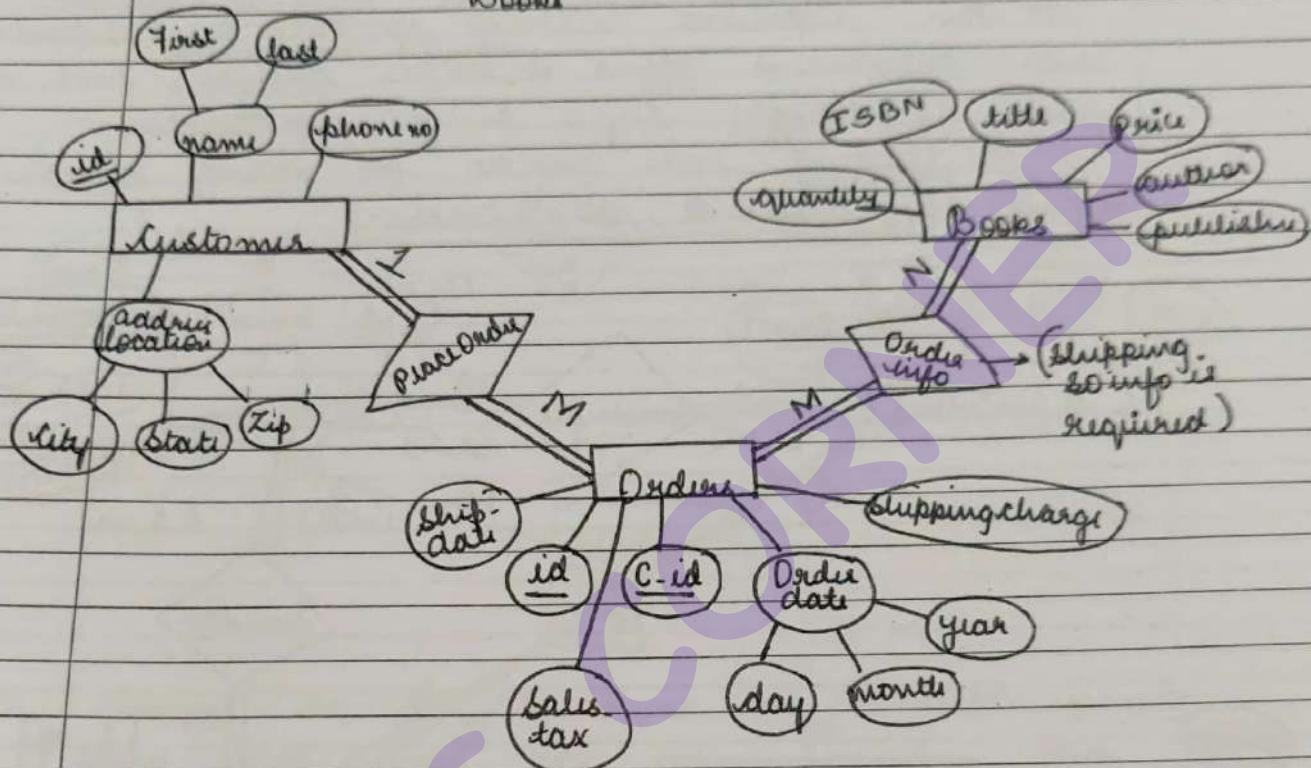
## 2. Eunest book database

Eunest books business revolves around customers placing orders for books and Eunest books shipping the books specified in the order.

Entity types = Customer,

Orders,

Books



Cust Rule assumed.

1, m ① A customer can place multiple orders, but an order can be associated with only one customer.

② An order can have multiple books, and a book can have multiple orders.

cannot lead to unique solution - can change with different designer.

classmate

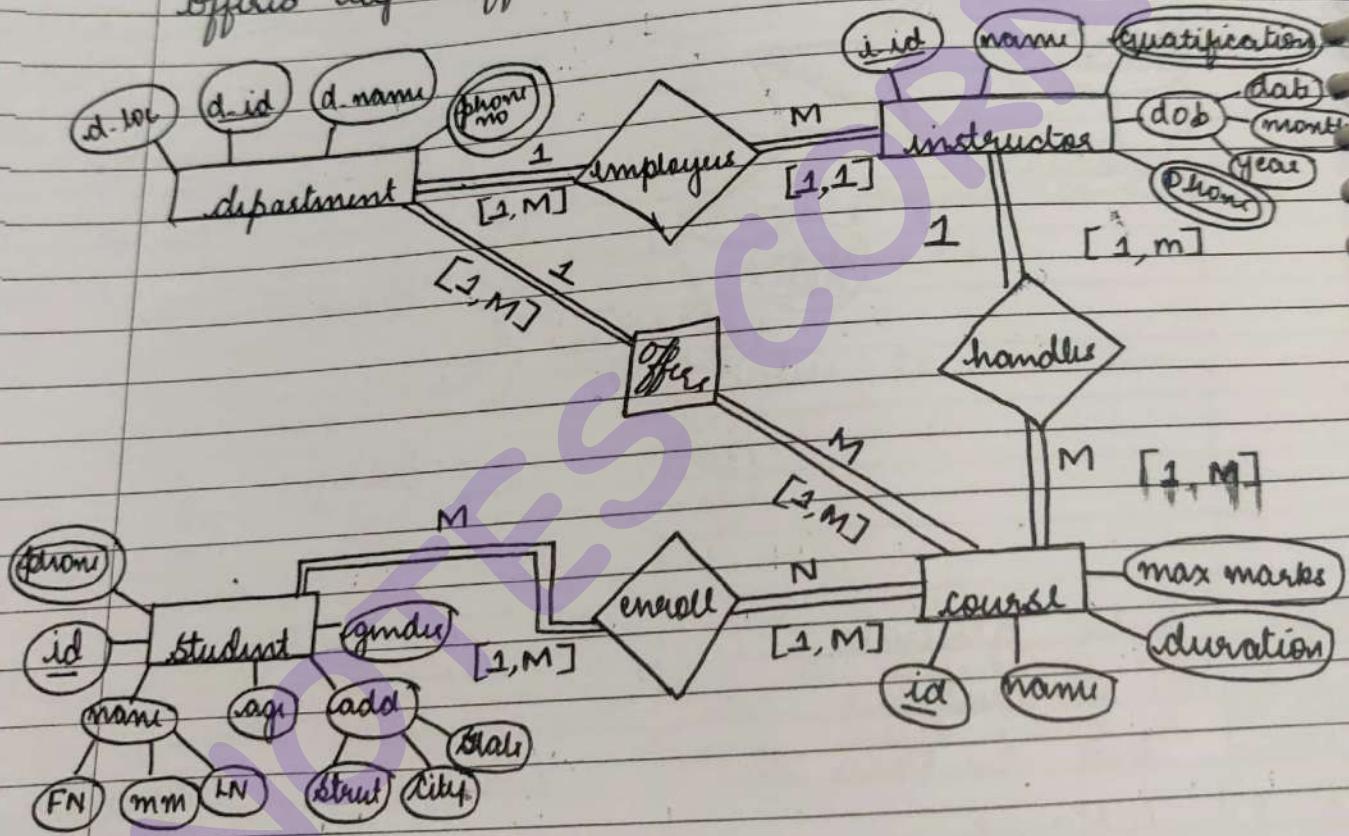
MEGHANA GRAJ  
ASSISTANT PROFESSOR  
MCA

PAGE

Condition: that all entity types must participate  
(so total participation).

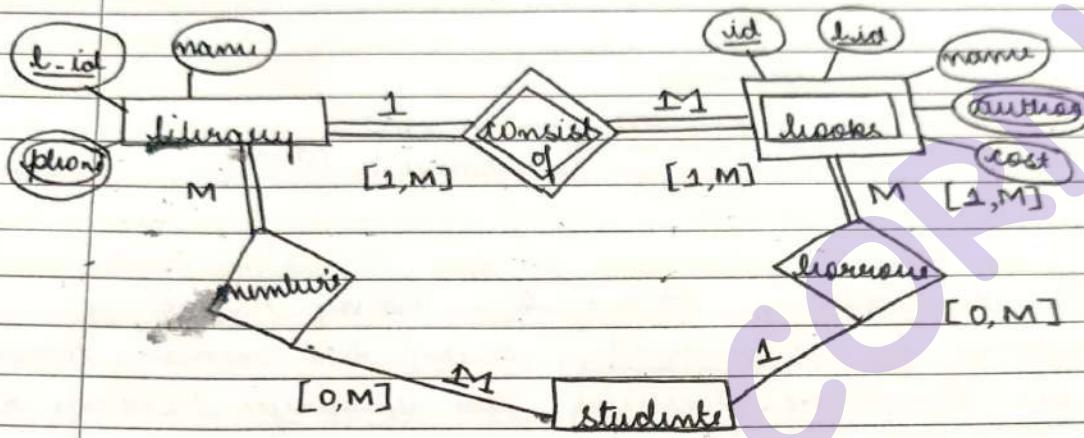
3. University data base has the following requirement specification

- a) a university has many departments
- b) each department has multiple instructors
- c) an instructor belongs to only one department
- each department offers multiple courses, each of which is taught by a single instructor
- d) a student can enrol for many courses offered by different departments.



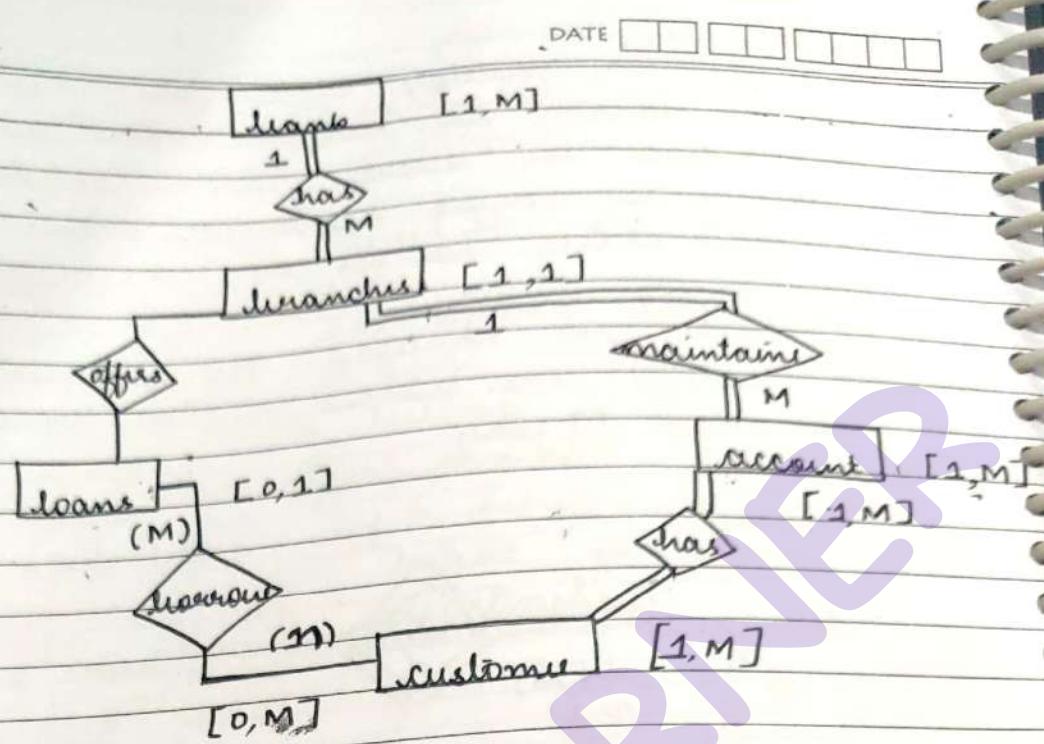
4. In the university

- a) there are multiple libraries
- b) each library has multiple student numbers.
- c) students can become members to multiple libraries by paying appropriate membership fee.
- d) each library has its own set of books within the library.
- e) books are identified by unique no.
- f) students can borrow multiple books from the subscribed library.

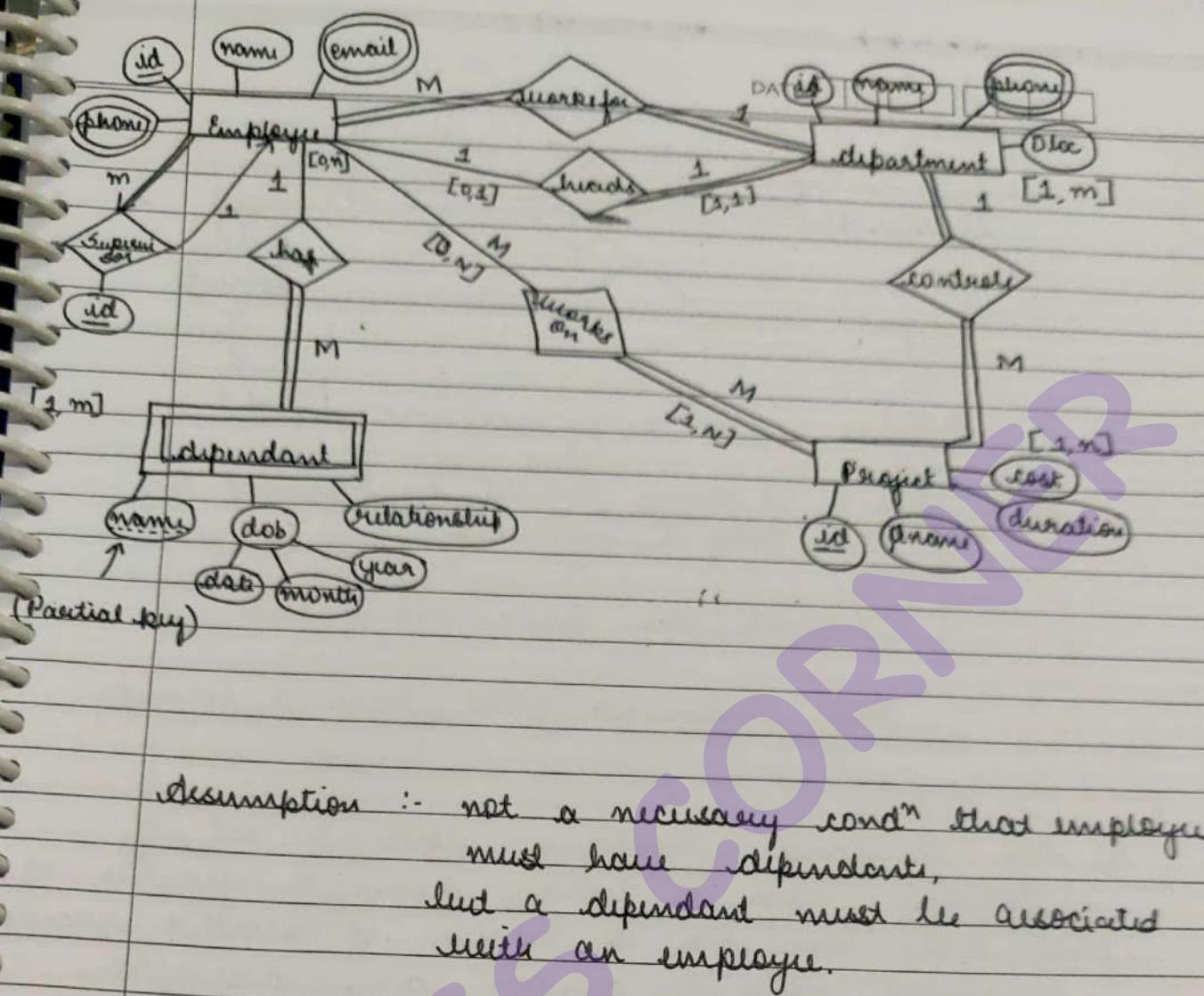


5. Assume in a city there are multiple banks and each bank has many branches, each branch has multiple customers.

- a) customer has various types of accounts
- b) some customers also have different types of loans from the bank branches.
- c) One customer can have multiple accounts and loans.



6. In a company there are many employees working for departments, there are many departments in the company however an employee belongs to only one department.
- each department controls many projects, however every project belongs to only one department, many employees work on a project.
  - the employees dependent details also must be present.
    - employee can work on more than 1 project.
    - One amongst the employees would be a supervisor.
    - every department is managed by a head.

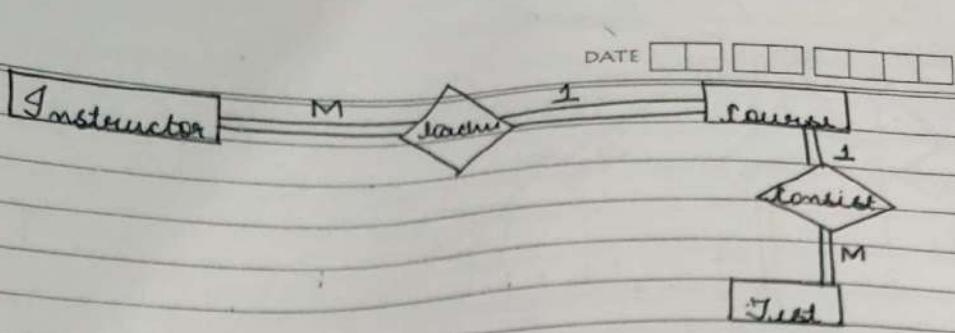


'M' → total participation.

'1' → partial participation.

Various assumptions are made while drawing the ER model.

- ⑦ Establish cardinality and participation for the following section of the ER diagram. Mention the assumptions made explicitly.

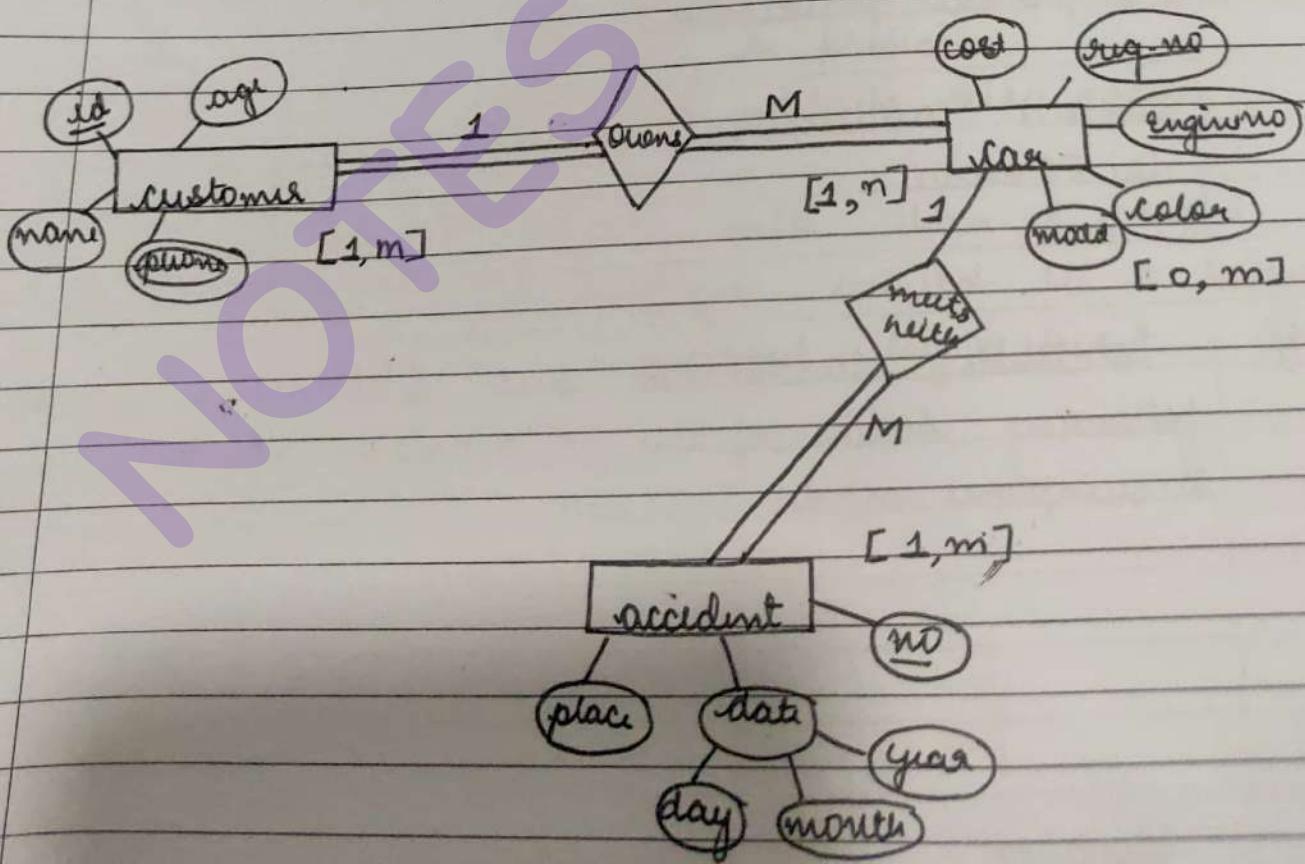


Assumption: 1 course ~~can be~~ should be handled by only 1 instructor.

1 course can have many tests.

Each instructor must teach a course.

- ⑧ Car insurance company keeps a set of customers each of whom owns a number of cars, each car has a number of recorded accidents associated with it, mention any assumption made explicitly.



⑨ ER for bus reservation system.

⑩ Med

Entities

M:M

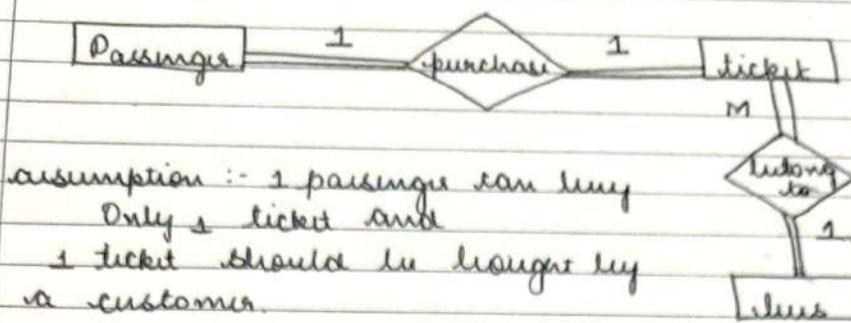
same

and it's

will be

M:M is  
as a  
industry  
by me

1:M is  
industry



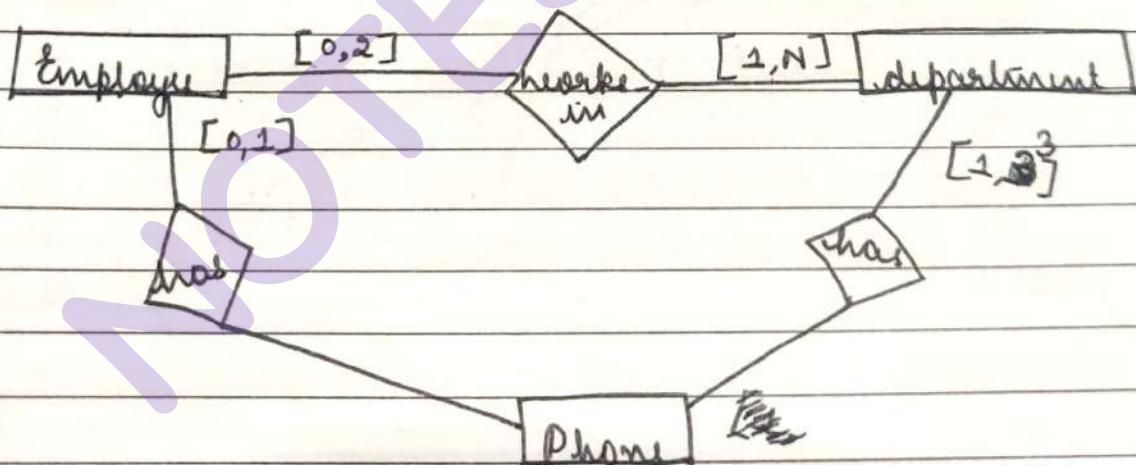
assumption :- 1 passenger can buy  
Only 1 ticket and  
1 ticket should be bought by  
a customer.

⑩ ① Assume that an employ may work in upto  
bus departments or may not be assigned to any  
department.

② Assume that each department must have one and  
may have one and upto three phone numbers.

Supply min, max constraints.

Any assumption made explain explicitly.



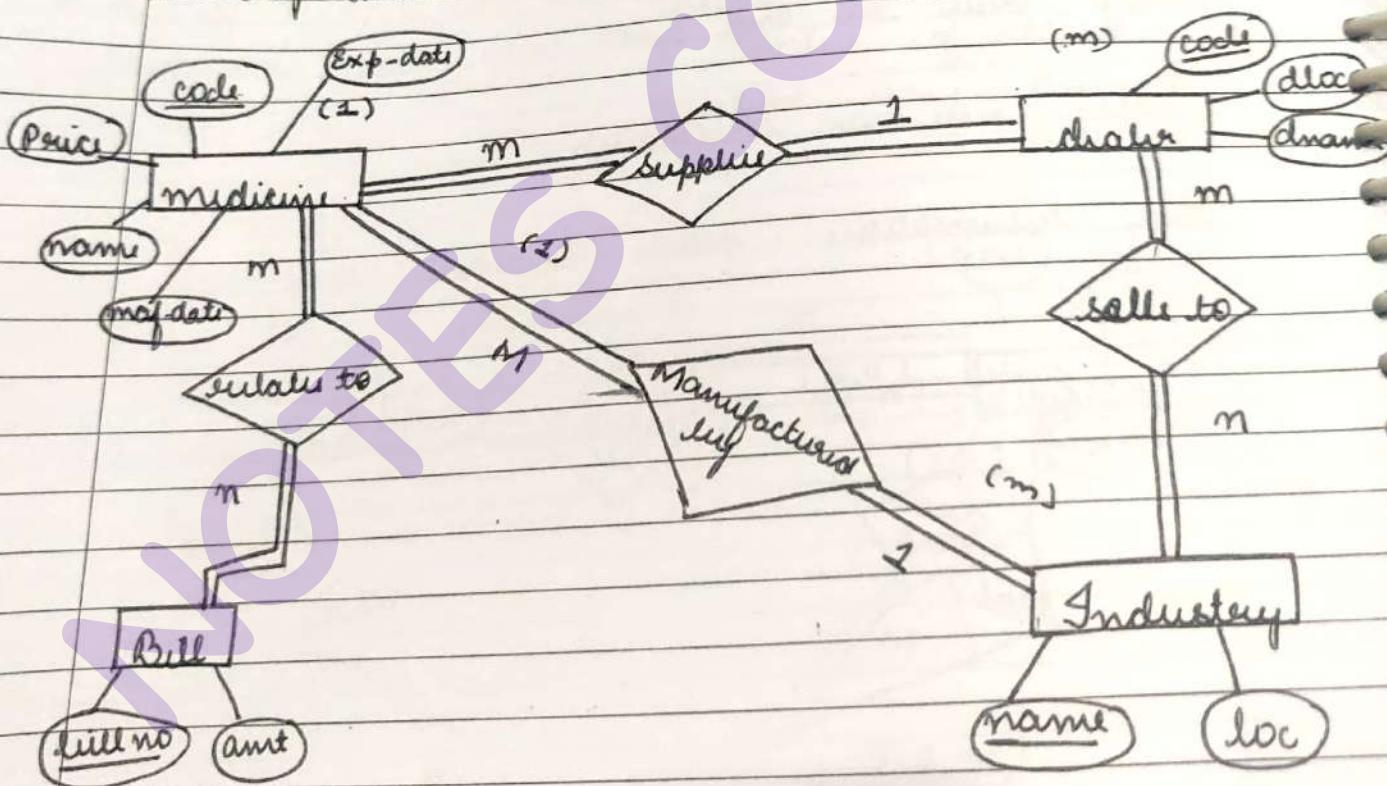
⑪ Medicine transaction system.

Entities :- medicine, dealer, industry, bill.

M:M exists between medicine and bill, as in the same bill no. more than 1 medicine can be sold and the same medicine can be sold in the more than one bill in the same date or different dates.

M:M relationship also exists b/w dealer and industry as a dealer keeps medicine of more than one manufacturer industry and the medicine of one industry can be sold by more than one dealer.

1:m relationship exist between dealer and medicine, industry and medicine.

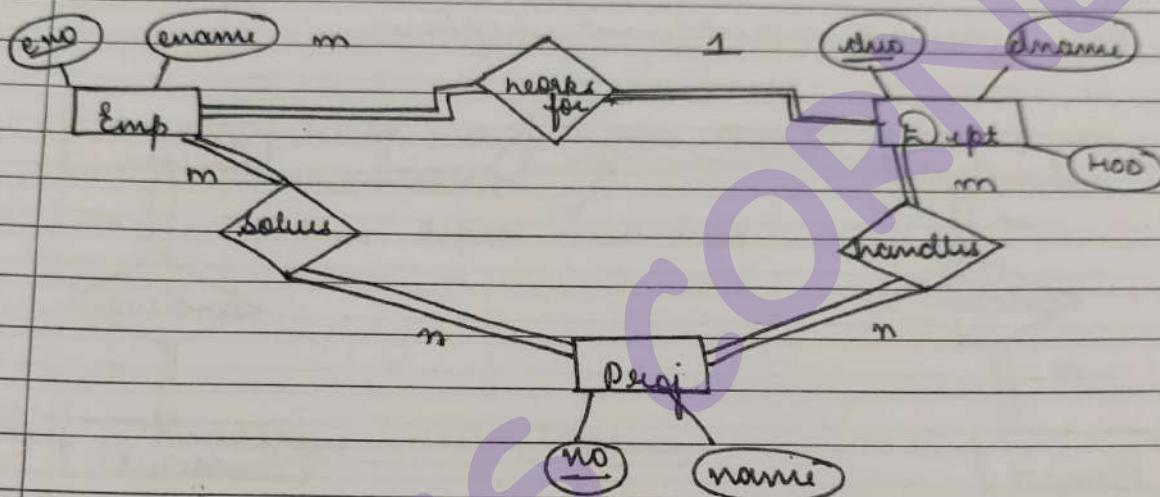


(12) Company ER

Procedure :- m:m relationship b/w dept and Proj as a dept can handle more than one project and same project can involve more than 1 department.

Emp and Proj as an employee can handle more than one project and a project can be executed by more than one employee.

1 to m relationship exists b/w dept and emp.

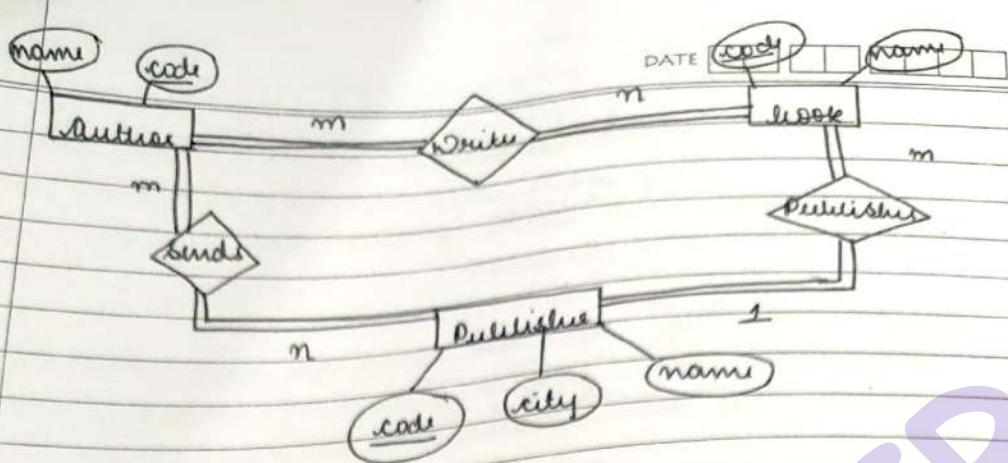


## (13)

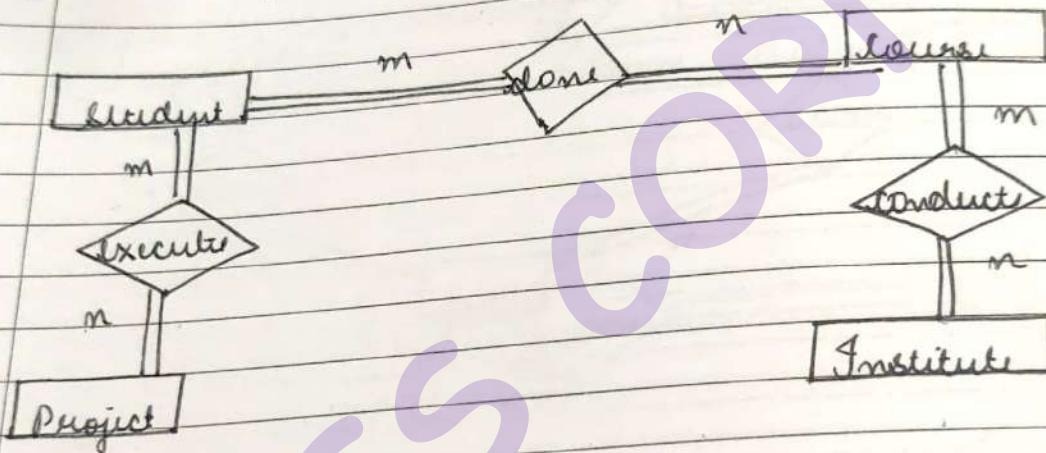
Publication management system

m:m between (author and book)  
(author and publisher)

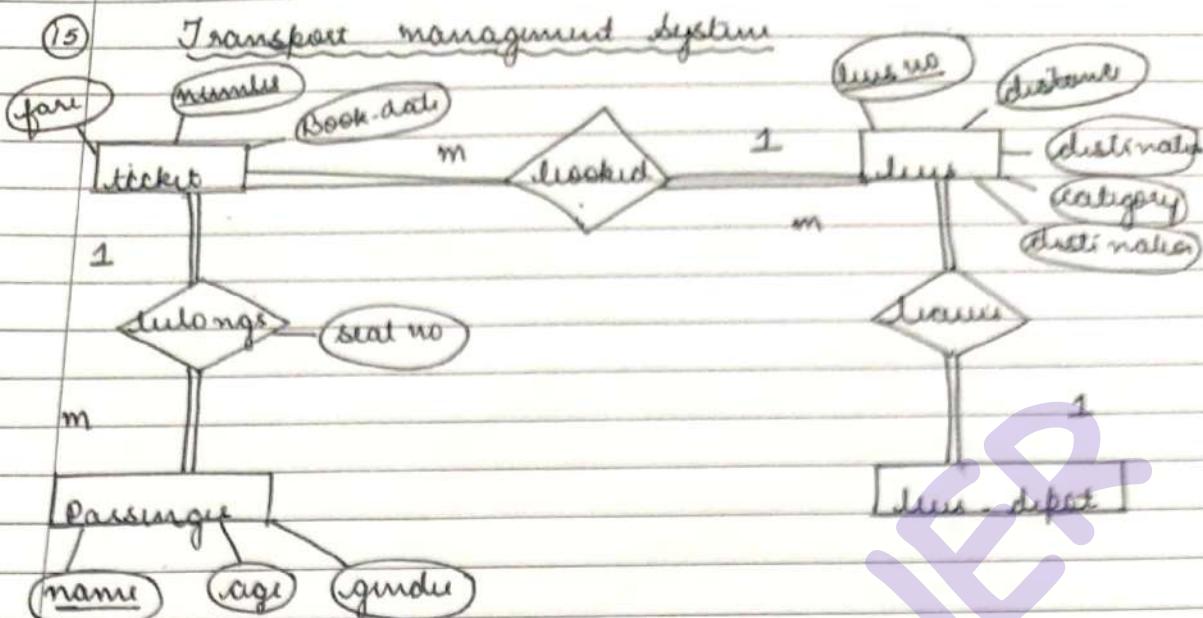
1:m relationship exists between (publisher and book)



#### (14) Training management system.



$m:n$  denotes student and course  
student and project  
course and institute.



1:m relationship between ticket and passenger  
 bus & ticket  
 bus depot and bus.

(16) Employee info system

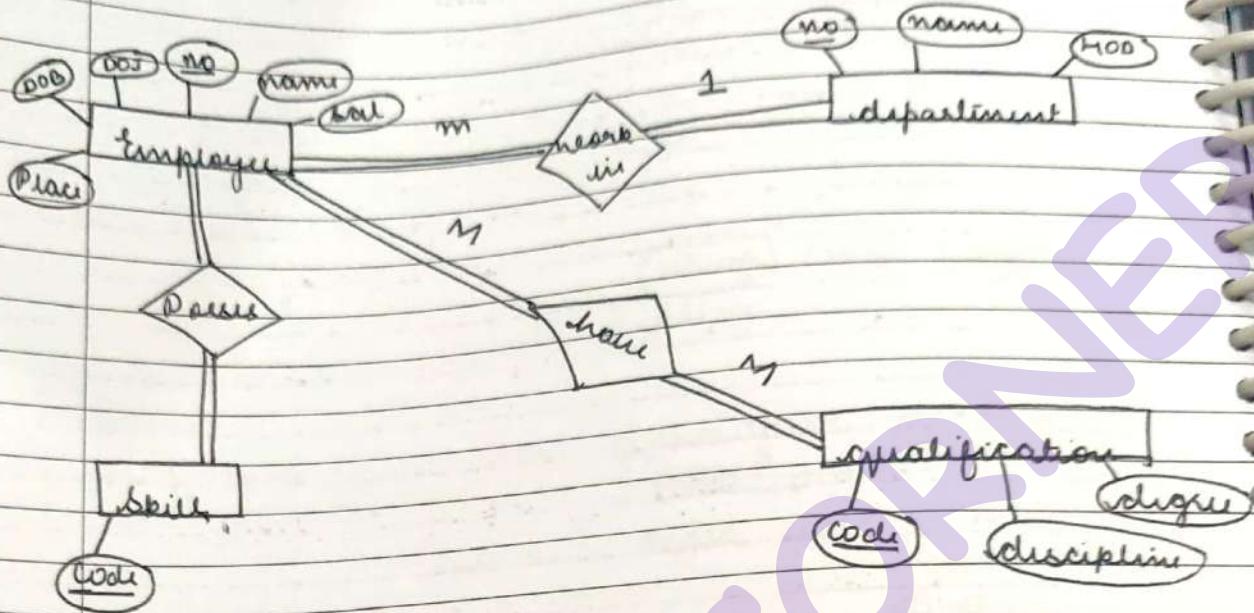
1:m relationship exist between department and employee (dept has more than 1 employee).

Employee can possess more than 1 skill and also more than 1 employee can have the same skill resulting in m:n relationship between employee and skill.

An employee can have more than 1 qualification and the same qualification can be required by more than 1 employee.

resulting in m:m relationship b/w emp and qualification.

1:m exists between department and employee.



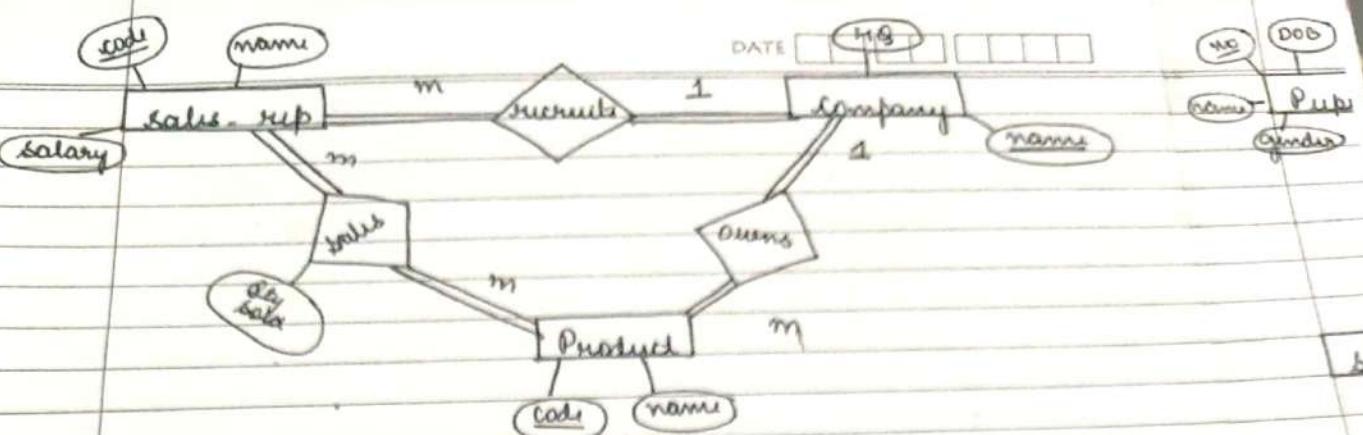
cond<sup>n</sup> imposed on the employee should work in any of the departments.

#### 14 Sales tracking system

Problem :- a company can have more than 1 type of products and in the same fashion same type of products can be launched by more than one company, resulting in m:m relationship b/w company and product.

A product is marketed by more than one sales representative and in the similar fashion a sales representative can market more than 1 product resulting in (m to m) relationship b/w product and sales rep.

CLASSMATE



m:m define sales rep and products  
product and company

1: m define company and take step.

18

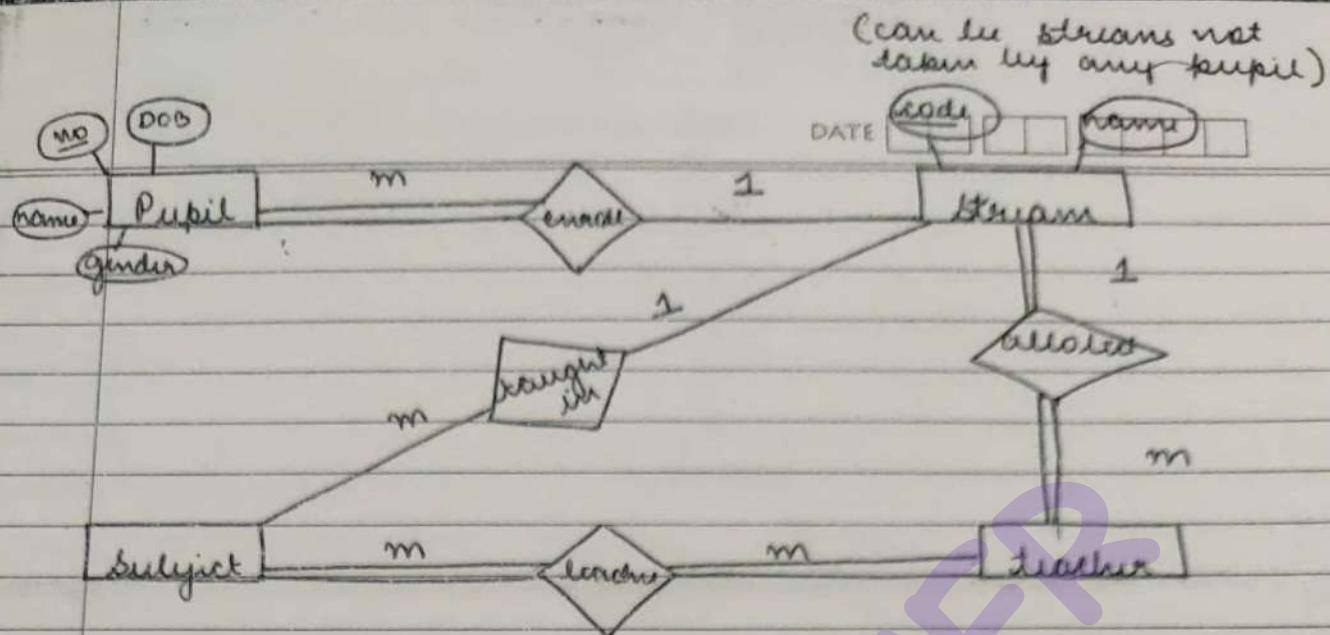
## Teaching activity information system

1 : m line stream and pupil  
(can have many pupil)

1: m new stream and backwater

1 : m line stream and subject

A teacher can teach more than 1 or more subjects and different subjects topics can be taught by more than 1 teacher creating many to many relationship b/w teacher and subject.



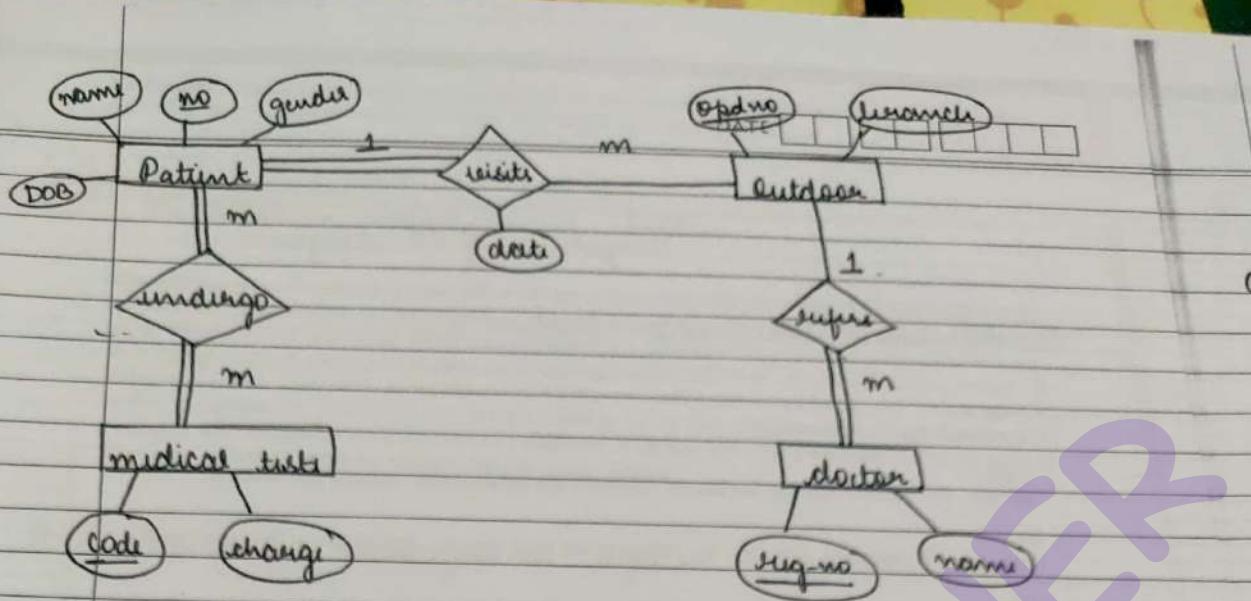
(19)

### Patient diagnostic info system

1:m line outside and patient  
 ↑  
 (more than 1 patient is treated)

1:m line outside and doctor.  
 ↑  
 (more than 1 doctor registered to treat patient)

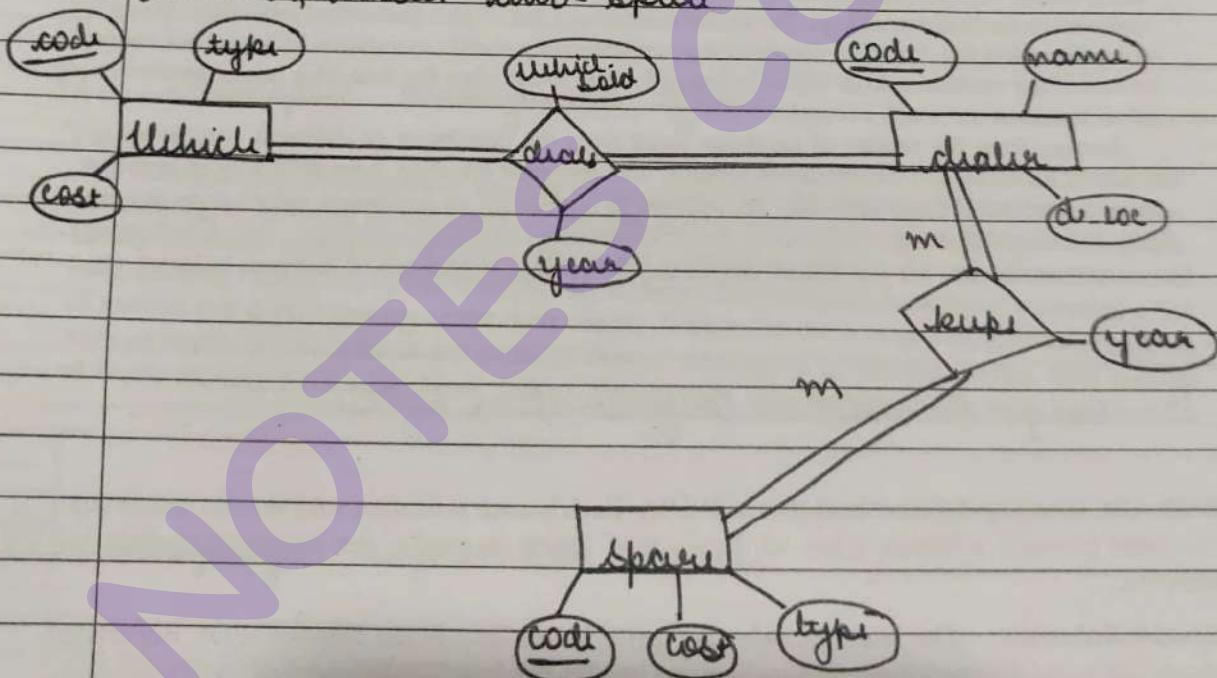
m:m line patient and medical test.  
 a patient can undergo more than a test and some test can be done by more than a patient resulting in m:m line patient and medical test.



- ① Med
- Step 1 Read the
- Step 2 Identify t
- Step 3 Draw th
- Step 4 Create
- Step Solv

### Q2) Automobile sales tracking system

m : m relationship exists between vehicle and dealer, dealer and spare



m : m between dealer and vehicle.  
dealer and spare.

(enhanced)

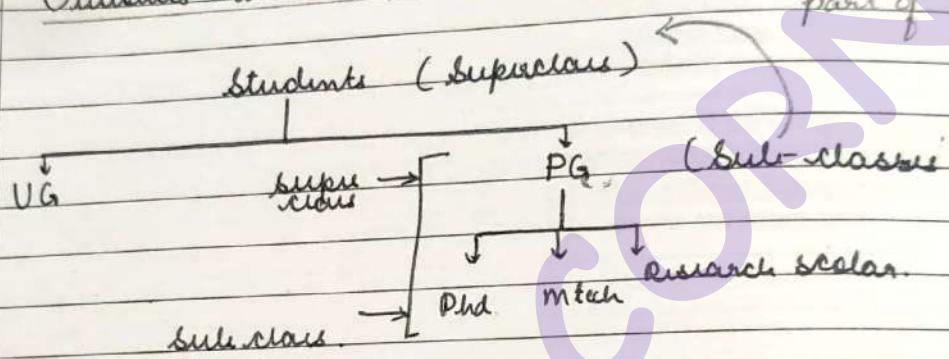
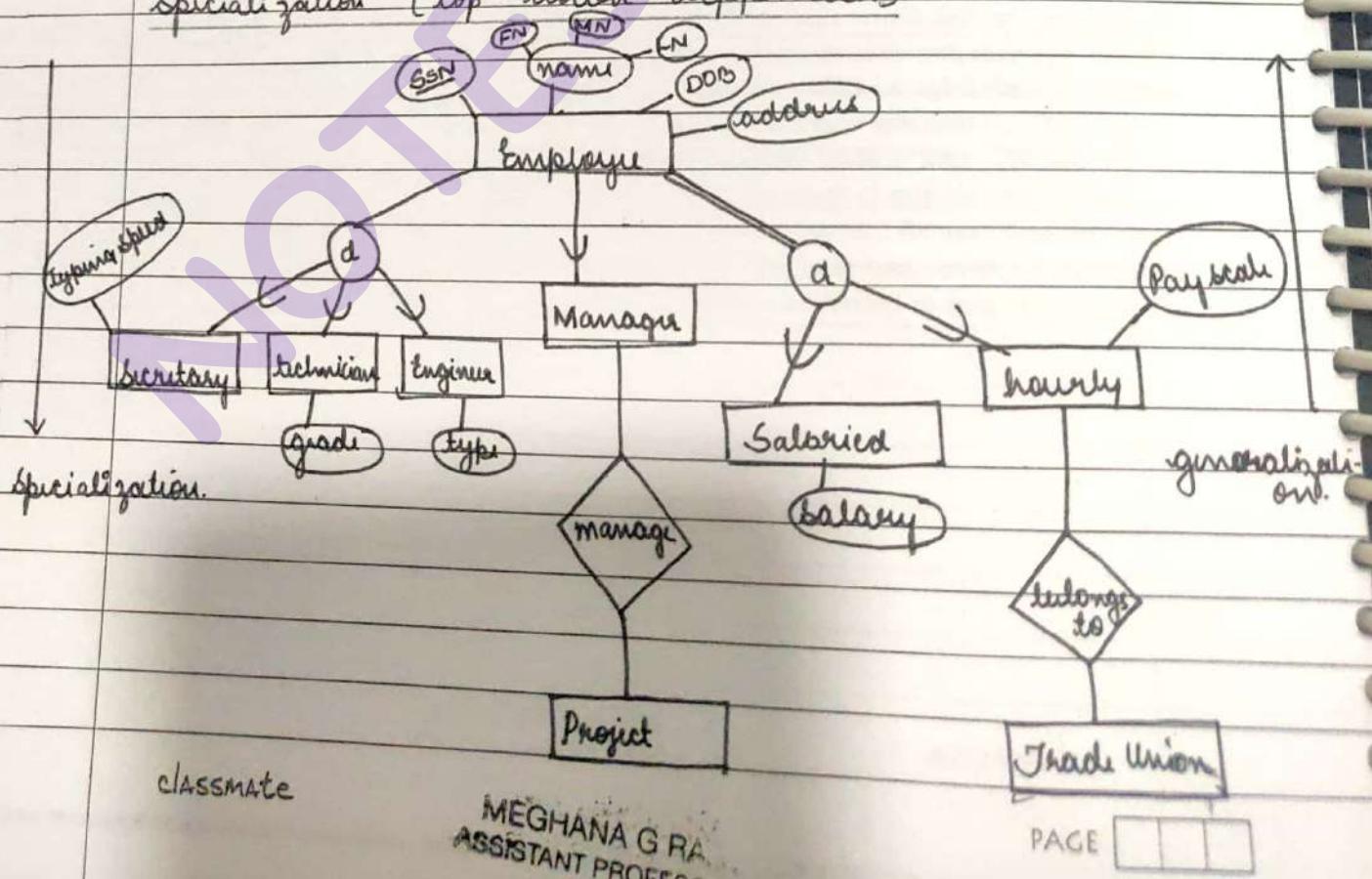
Extended Entity Relationship diagram.

Helps EER? → used to design complex application like telecom, scientific applications.

- Additional concepts used in EER
- (designing)
    - a) Subclass / super class
    - b) Specialization / generalization hierarchy
    - c) Attribute and relationship

## a) Inheritance

fundamentals of conceptual modeling.

Subclass and Superclass.Specialization (top down approach)

Definition :- [ By arrow position / direction ]

Basic

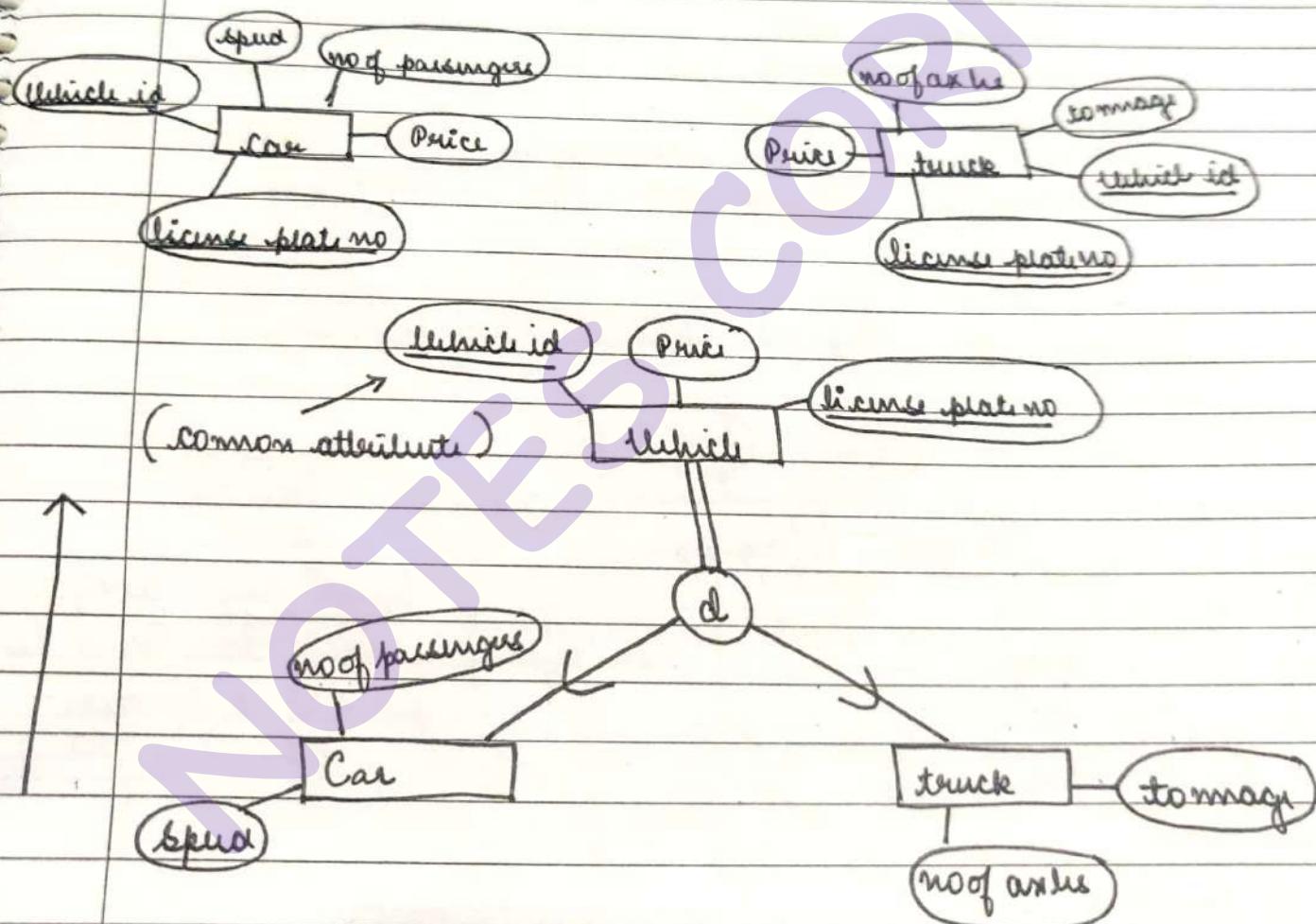
- ① Specialization :- is the process of defining a set of subclasses of a superclass.
- ② Generalization :- is the reverse of the specialization process. Several classes with common features are generalized into a superclass. Original classes become its subclass (bottom up approach).

The  
toa) Dis  
b) Comp

Science

a) d  
b) c  
c)  
d)

Generalization (bottom-up approach)



Basic constraints.

The two basic constraints that can be applied to specialization/generalization:-

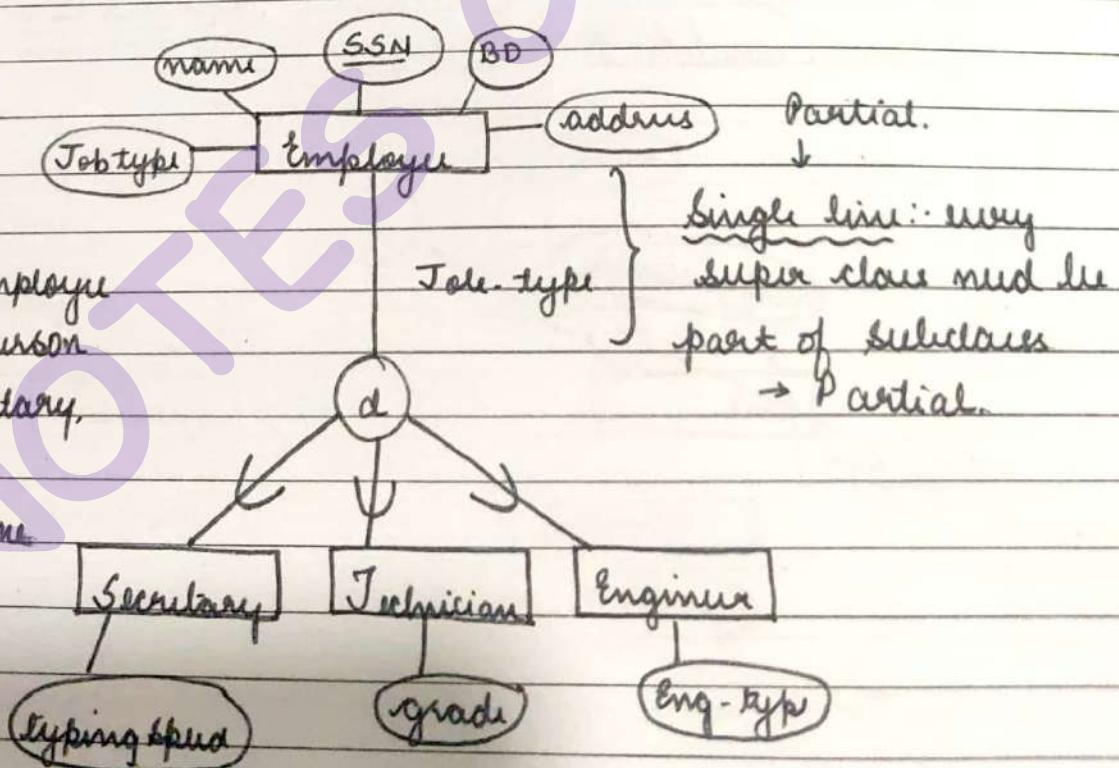
- Disjointness constraint
- Completeness constraint

Hence, we have 4 types of specialization/generalization:

- disjoint, total
- disjoint, partial
- overlapping, total
- overlapping, partial.

Note:- generalization usually is total because the superclass is derived from the subclasses.

Example for disjoint partial specialization.

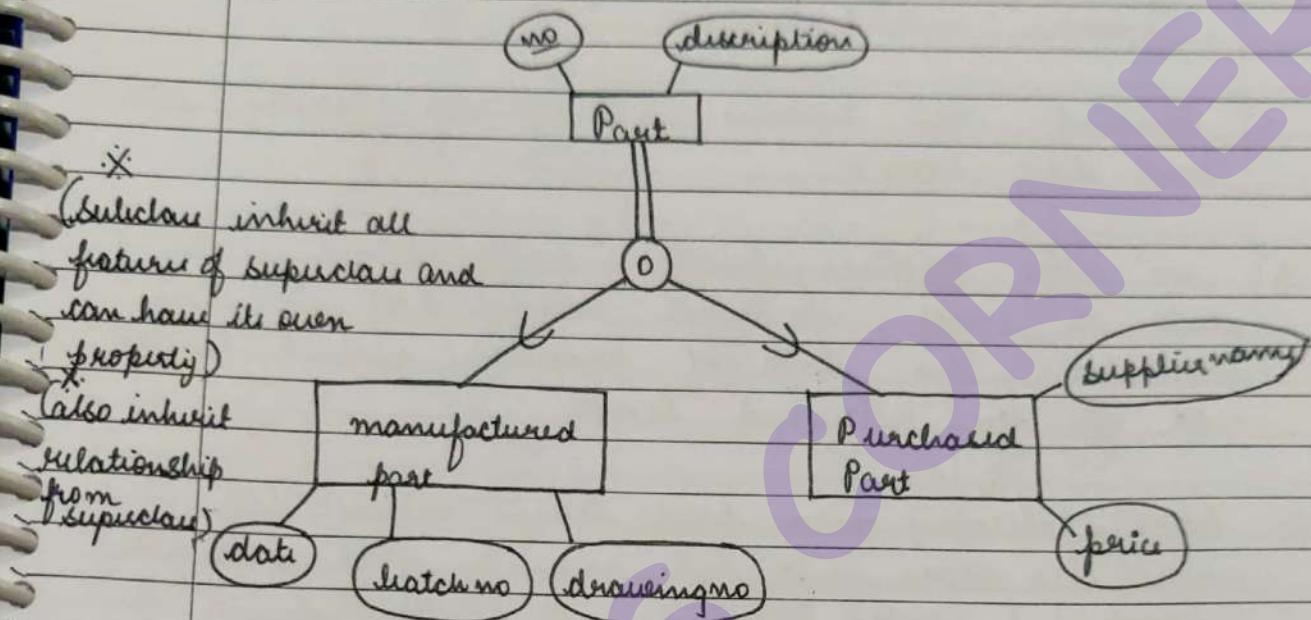


Total participation :- that means any one instance of employee have to belong to either of 3 categories. (anyone, not all).

Overlapping total specialization

total :- there is no instance in the superclass that does not belong to any of the categories.

overlap :- a part can be manufactured and purchased.



Example :-

For a library of a college, we need to design a database. The business rules are as follows:

- library users can be student / faculty / staff (non-teaching). A user can borrow books from the library. User can belong to atleast one category and some times more than one.
- each book belongs to a category like Eng / management / arts / science (only one category)

classmate

- c) Each book has title, bookid, isbn#, publisher, editor, no of pages, price, no volumes, copies available, for issue etc.
- a) Books are available in racks and each rack has a unique ID and location like:  
ground floor / first floor / second floor and rack type  
(wooden / metal / synthetic)
- e) Students can borrow upto 3 books, faculty upto 5 and staff upto 2.
- f) We also capture books issued details with date of issue, expected return date etc. We store only the info of currently issued books, not for the returned books.
- g) Each library user will have a user id, user info like name, add, category (student / staff / faculty). A student will have branch, faculty will have department and designation, non-teaching staff will have post from and division as specific attributes.

Draw EER diag as appropriate for the above description. Indicate - cardinality, keys, attributes min max and participation constraints for entity types involved in the relationships

Assume necessary data.

num → entity type.

- \* if no attributes have to be captured for subjects, no use in having them as an entity
- classmate

(d)

disjoint :- Instance of superclass, may not be part of multiple groups. (or may not be part of any subclass)

participation :- partial (Instance of employee need not belong to any of subclasses)

total (Every instance in superclass belongs to ~~at least one~~ and ~~among~~ one subclass)

Employee	Total participation	partial participation
101 A	Secretary	Secretary
102 B	Engineer	-
103 C	Technician	-
104 D	Engineer	-

Overlapping :- ① Superclass instance may belong to more than 1 subclass.

partial :- not all instances belong to this or more subclasses.

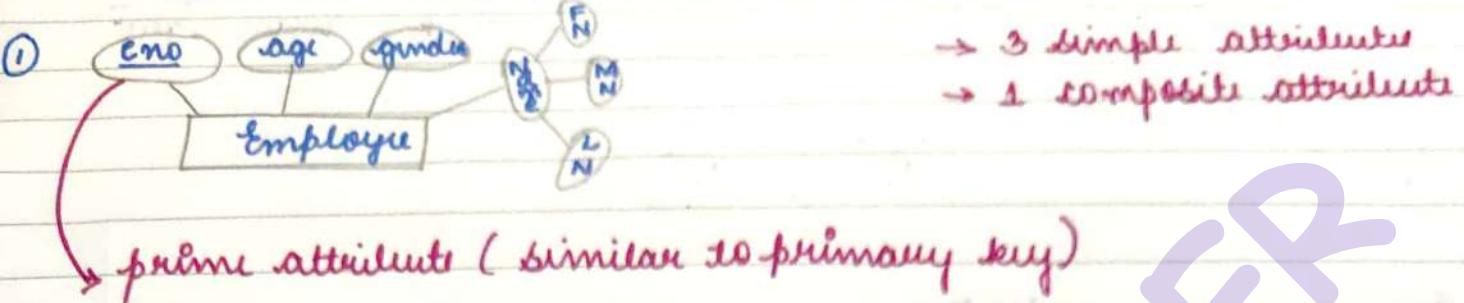
total :- all instances in superclass belong to this or more subclasses.

Employee	partial	total
101 A	Engineer, Secretary	Engineer, Sec
102 B		Sec, Technician
103 C	Secretary, Technician	Sec, Technician
104 D		Eng, Technician

attribute :- inherit from superclasses & can also have their own attributes.

relationship :- if superclass has relationship, that is also inherited by the subclasses as well.

## Conversion of ER diagram to table



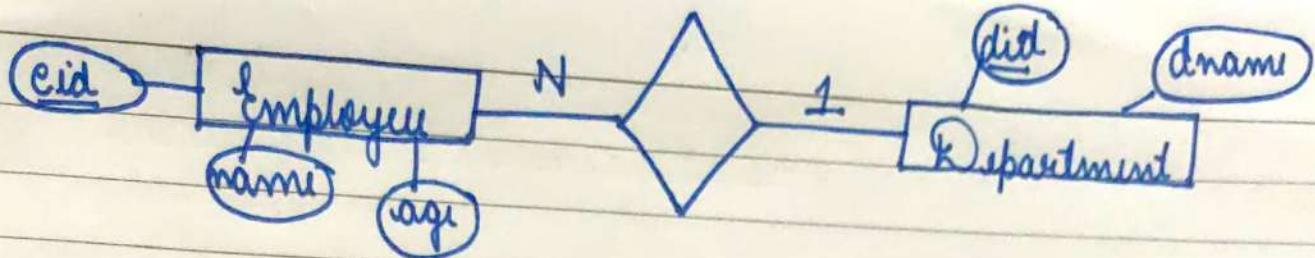
Employee (relation)

e\_no    age    gender    first name    middle name    last name

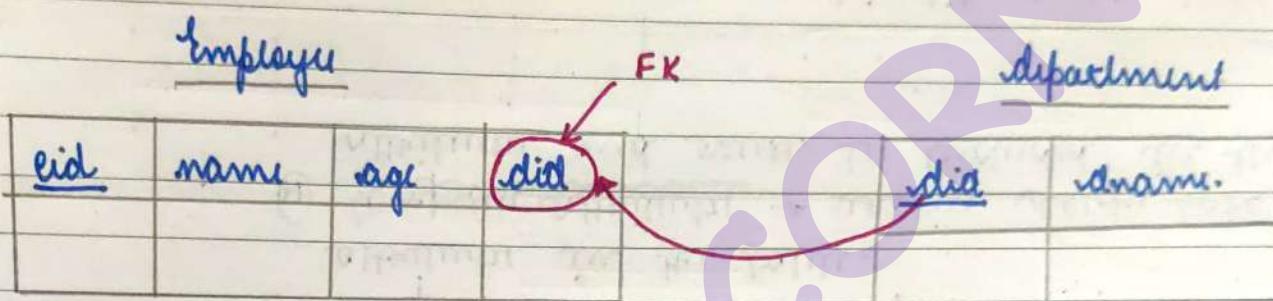
Rules :-

- ① Convert every entity will be made a relation
  - ② the prime attribute is made as - primary key
  - ③ simple attributes are made as individual attribute in the table.
- ④ Composite attributes → broken down into simple attributes and must be included in the table.

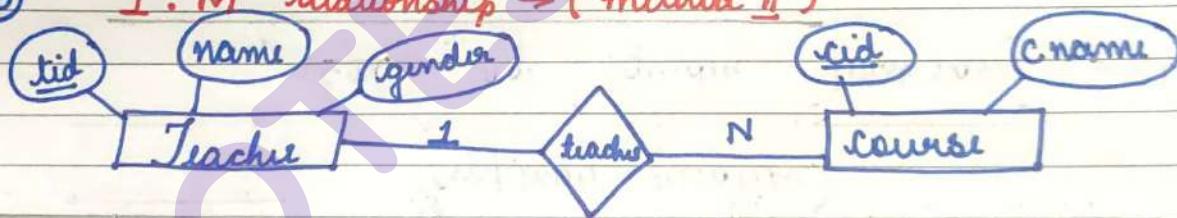
⑤ Conversion of 1:M cardinality ratio into relational model.



Rule :- always move the prime attribute (either the side is 1 side or N side).



③ 1 : M relationship → (method II)



a)	<u>tid</u>	name	gender

<u>cid</u>	cname	<u>tid</u>

→ min no  
of tuples  
required  
is '2'.

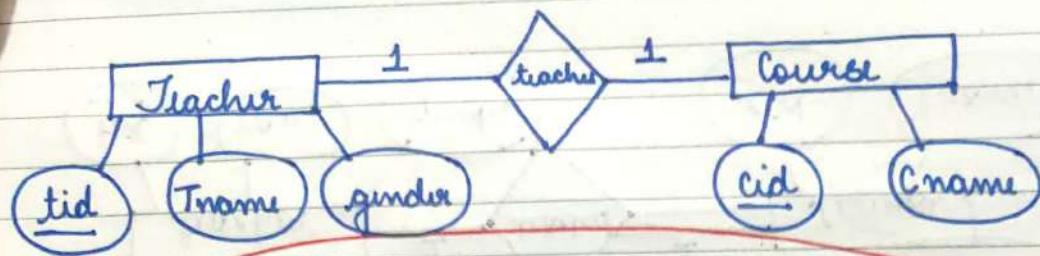
b)	<u>tid</u>	<u>cid</u>

← max no of  
tuples required is 3.

<u>tid</u>	name	gender

<u>cid</u>	cname

Converting 1:1 relationship



a) tid Tname gender

<u>tid</u>	Tname	gender

cid Cname tid

<u>cid</u>	Cname	tid

b) tid Tname gender cid

<u>tid</u>	Tname	gender	<u>cid</u>

cid Cname

<u>cid</u>	Cname

min nof  
tables 2.

Converting 1:1 relationship (method II)

maximum no of  
tables 3

tid Tname gender cid Cname

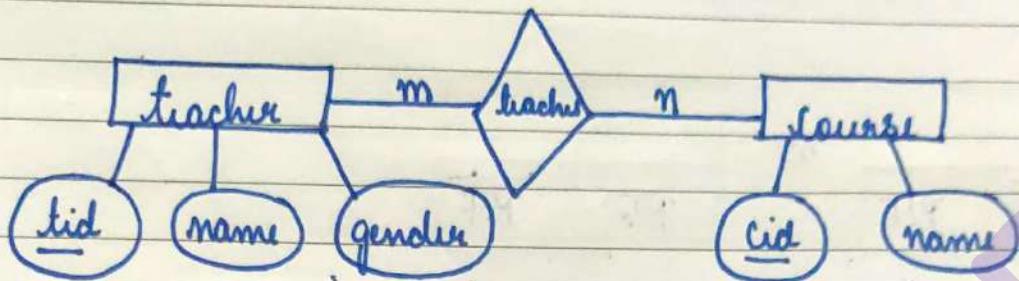
<u>tid</u>	Tname	gender	<u>cid</u>	Cname

tid cid tid cid

<u>tid</u>	<u>cid</u>	<u>tid</u>	<u>cid</u>

4 ways to represent 1:1 relationship

Converting m:n relationship



<u>tid</u>	name	gender

<u>cid</u>	name

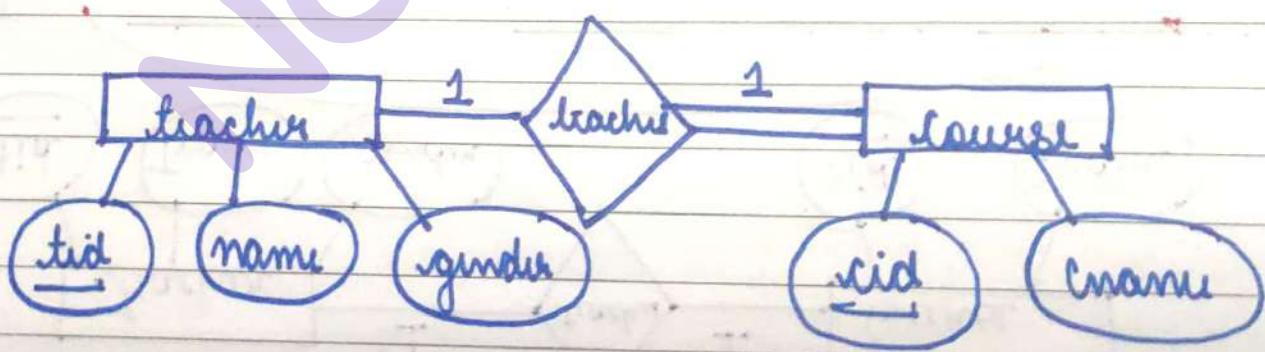
(reference  
tid)

<u>tid</u>	<u>cid</u>

↑  
reference  
(cid)

(min no of tables  
required is 3)

Converting 1:1 relationship with total participation on one side (applicable only to 1:1)



<u>tid</u>	name	gender
------------	------	--------

<u>cid</u>	ename	tid
------------	-------	-----

(good approach and not a rule)

Note :- We always give importance to cardinality,  
participation does not really matter.

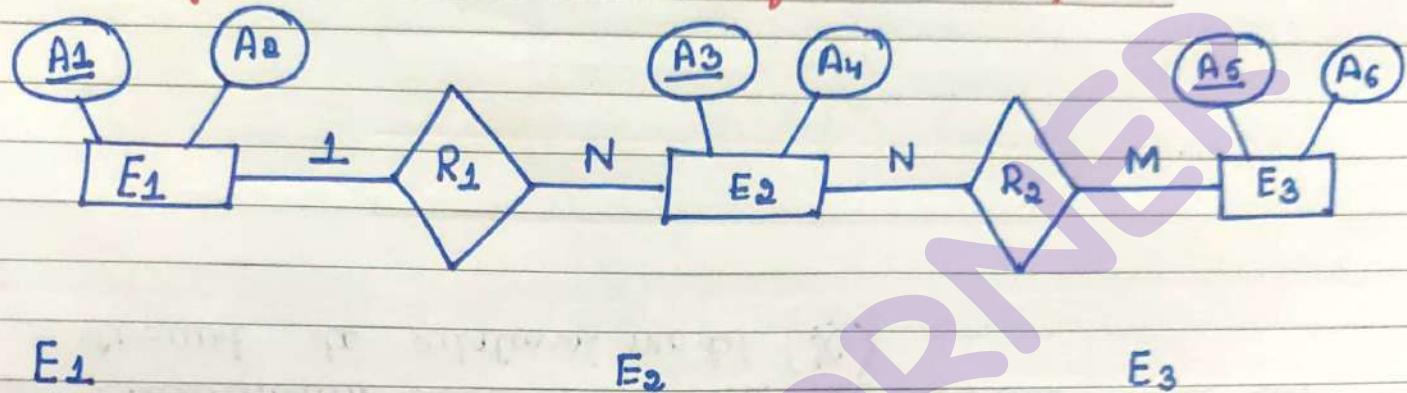
\* ((m:1) or (m : n)) follow the same procedure, participation  
is not considered)

### Multivalued attributes

- ① Multivalued attributes are allowed in entity relationship diagram.
- ② Multivalued attributes are not allowed when we convert to relational model (X)

## Problems

Finding minimum number of tables required.



E<sub>1</sub>

E<sub>2</sub>

E<sub>3</sub>

A <sub>1</sub>	A <sub>2</sub>

A <sub>3</sub>	A <sub>4</sub>

A <sub>5</sub>	A <sub>6</sub>

A <sub>1</sub>	A <sub>2</sub>

A <sub>3</sub>	A <sub>4</sub>	A <sub>1</sub>

A <sub>3</sub>	A <sub>4</sub>

A <sub>5</sub> A <sub>6</sub>

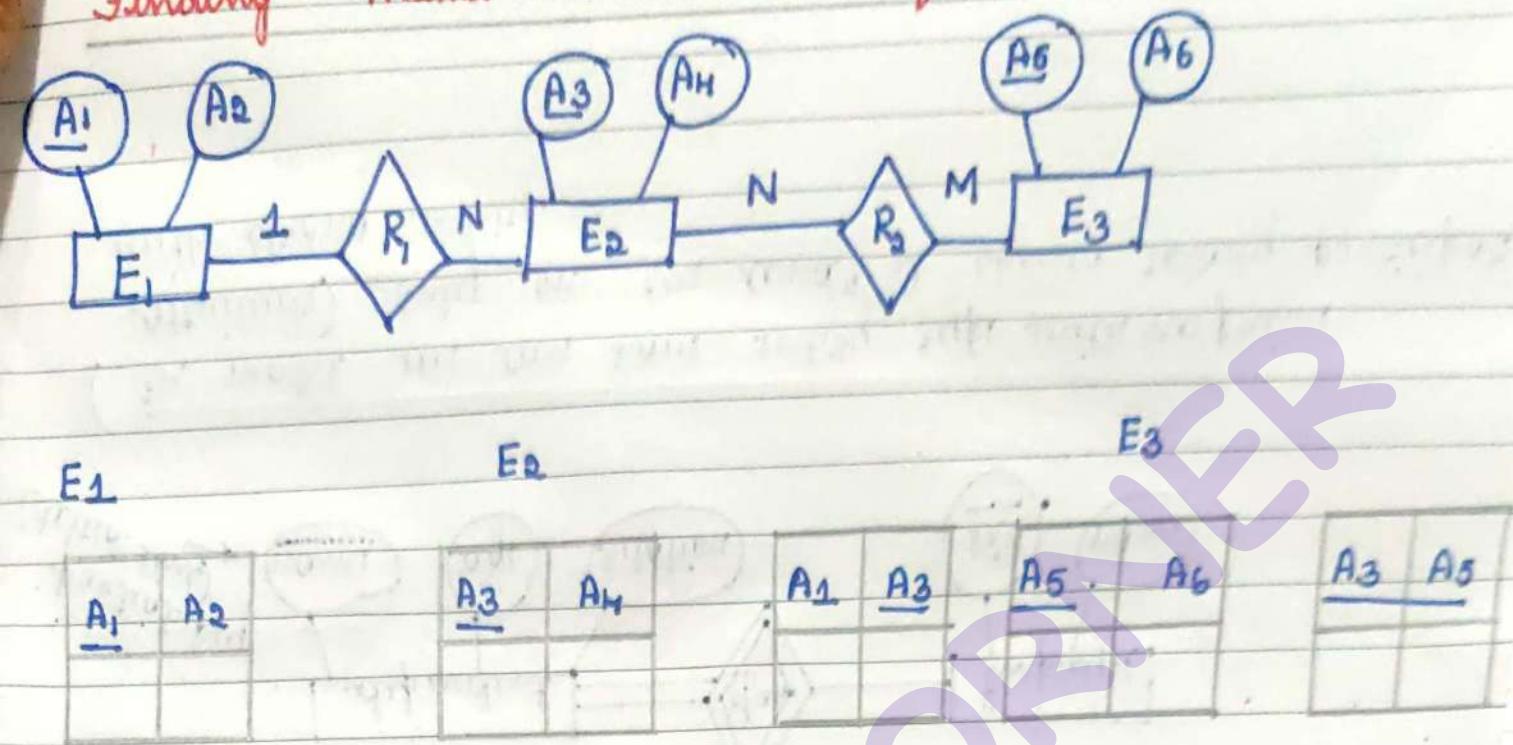
A <sub>3</sub> A <sub>5</sub>

(not necessary)

minimum no of tables required are

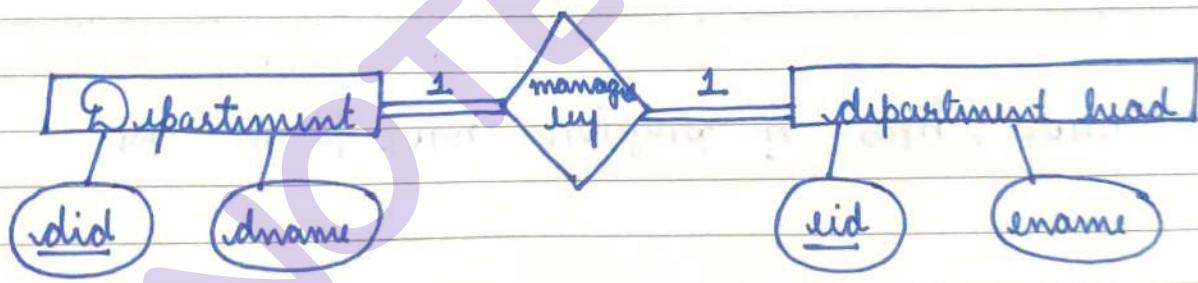
4

Finding maximum number of tables required



maximum no of tables required is 5.

1:1 relationship with total participation on both the sides



minimum no of tables should be considered.

→ no of tuples in department = no of tuples in department head table.

<u>did</u>	dname	<u>sid</u>	sname

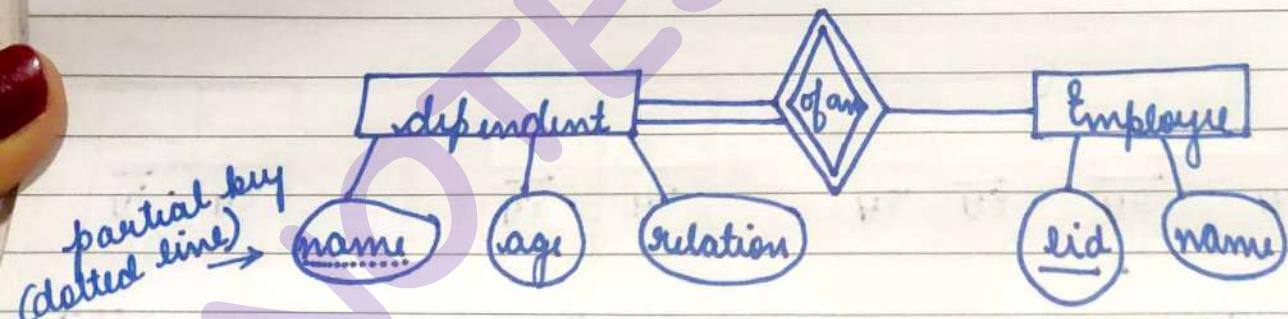
<u>did</u>	dname	<u>Eid</u>	tname

(just one  
table)

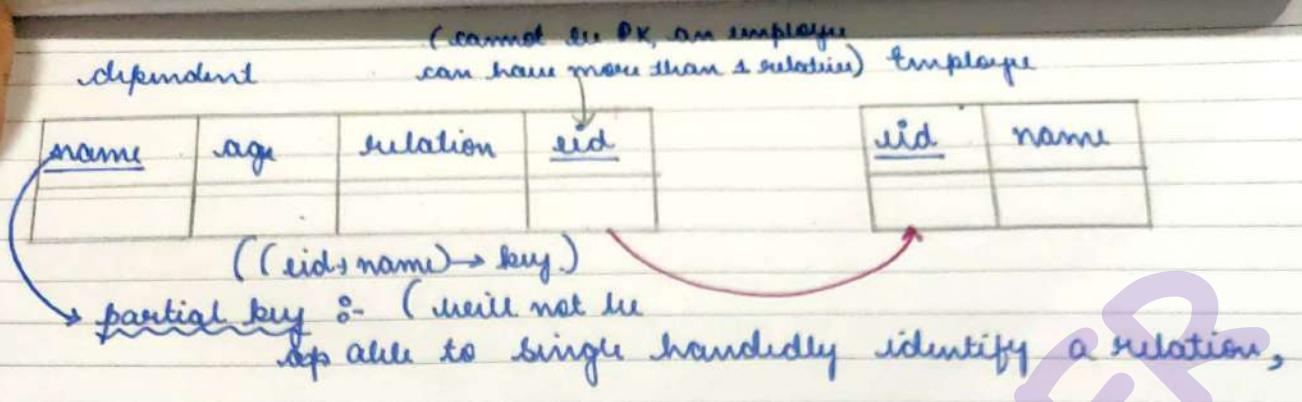
min no of table required is only 1 table.

### Weak vs Strong Entity - difference

An entity with no prime attribute is called a weak entity



In this model we can have entity type with no prime attribute) only on one cond<sup>n</sup> it should totally participate with strong entity.



Given an emp id he cannot have more than 1 relation with the same name (this is the reason name is called a partial key)

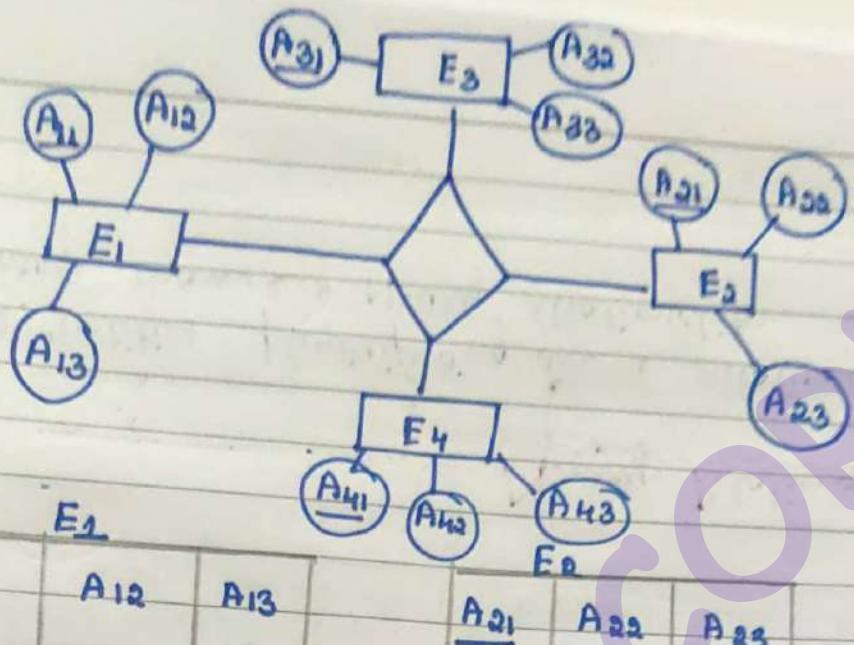
\* A weak entity should have partial key.

Example :- 1 sam } not allowed X  
1 sam

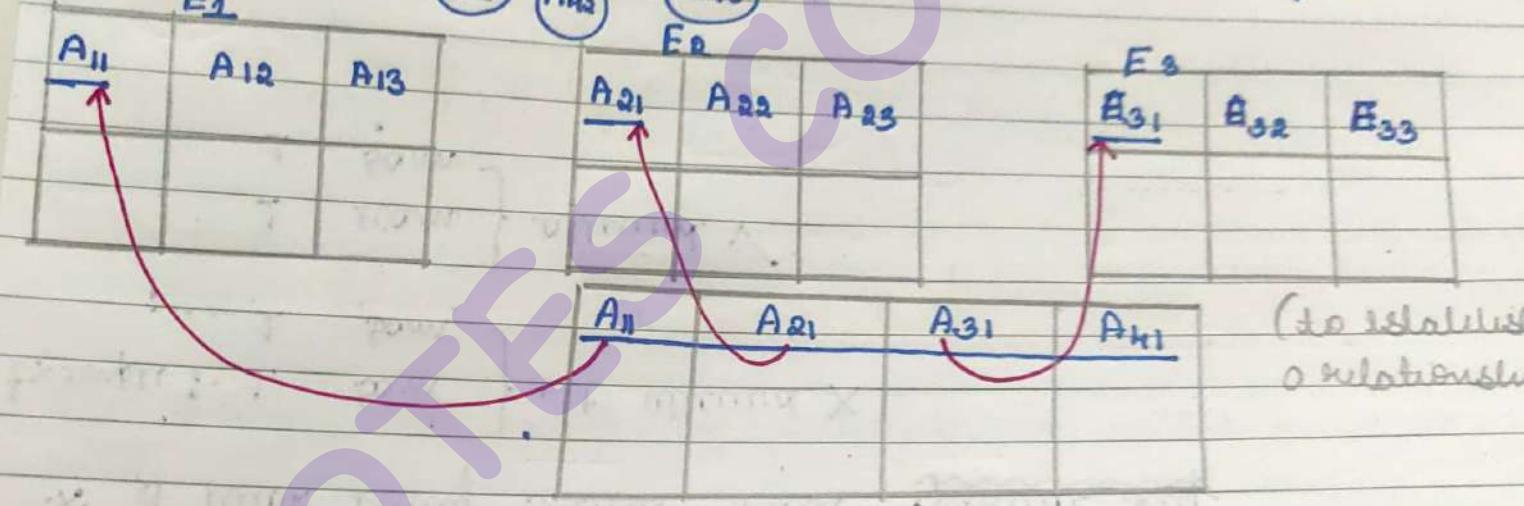
1 ram } allowed ✓  
1 sam

### N-ary Relationship

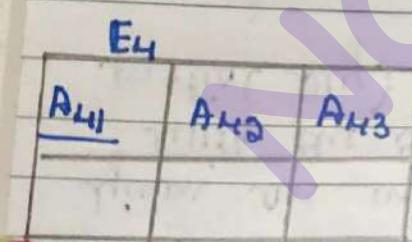
2 entities participating in a relationship  $\rightarrow$  binary.  
more than 2  $\rightarrow$  N-ary relationship.



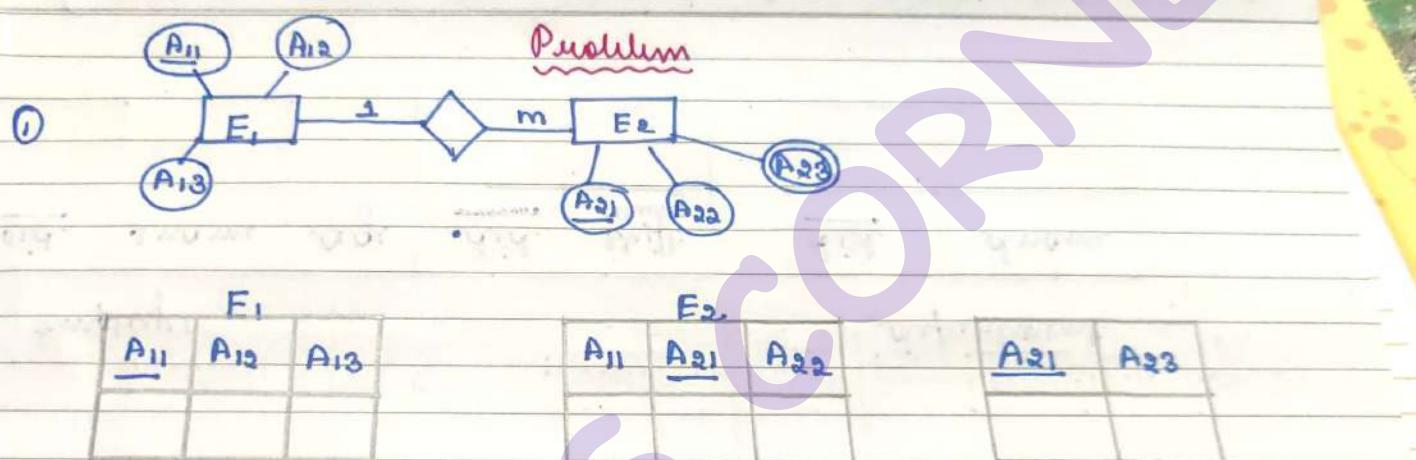
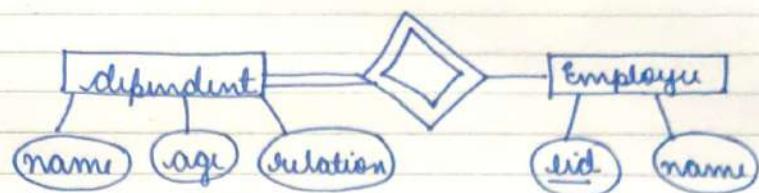
(more than  
2 identity types)



(to illustrate  
relationships)



### Identifying relationship



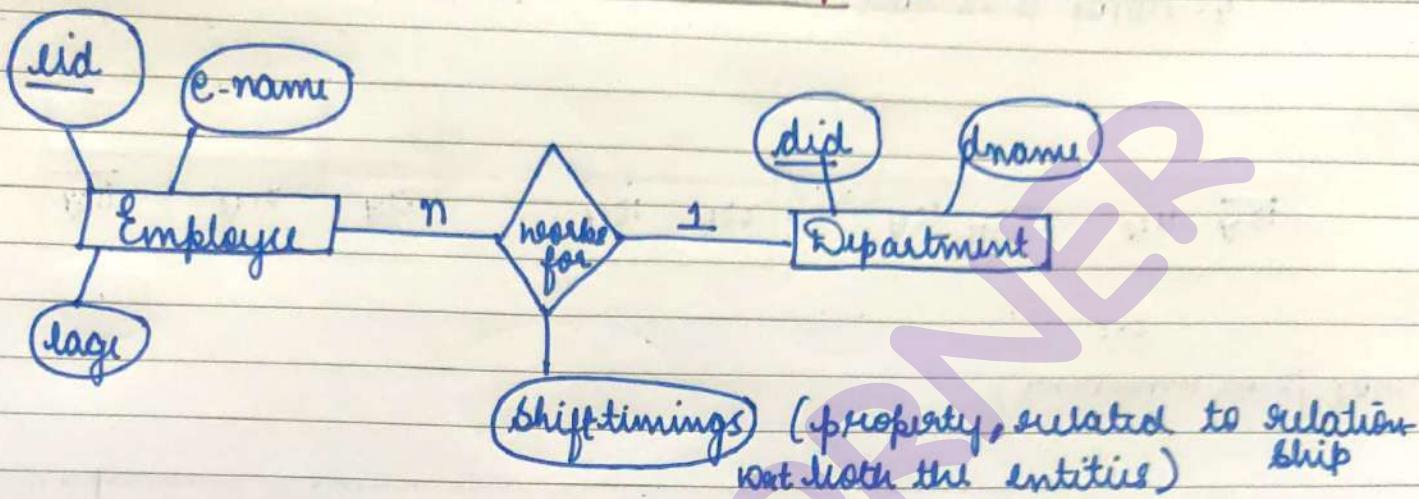
(minimum no of tables)  
= 3

Three empty 3x3 grids representing tables:

- A<sub>11</sub>**: Columns A<sub>11</sub>, A<sub>12</sub>, A<sub>13</sub>.
- A<sub>21</sub>**: Columns A<sub>21</sub>, A<sub>22</sub>.
- A<sub>21</sub> A<sub>23</sub>**: Columns A<sub>21</sub>, A<sub>23</sub>.

max no of tables = 4

## Attributes to relationship (1:m)



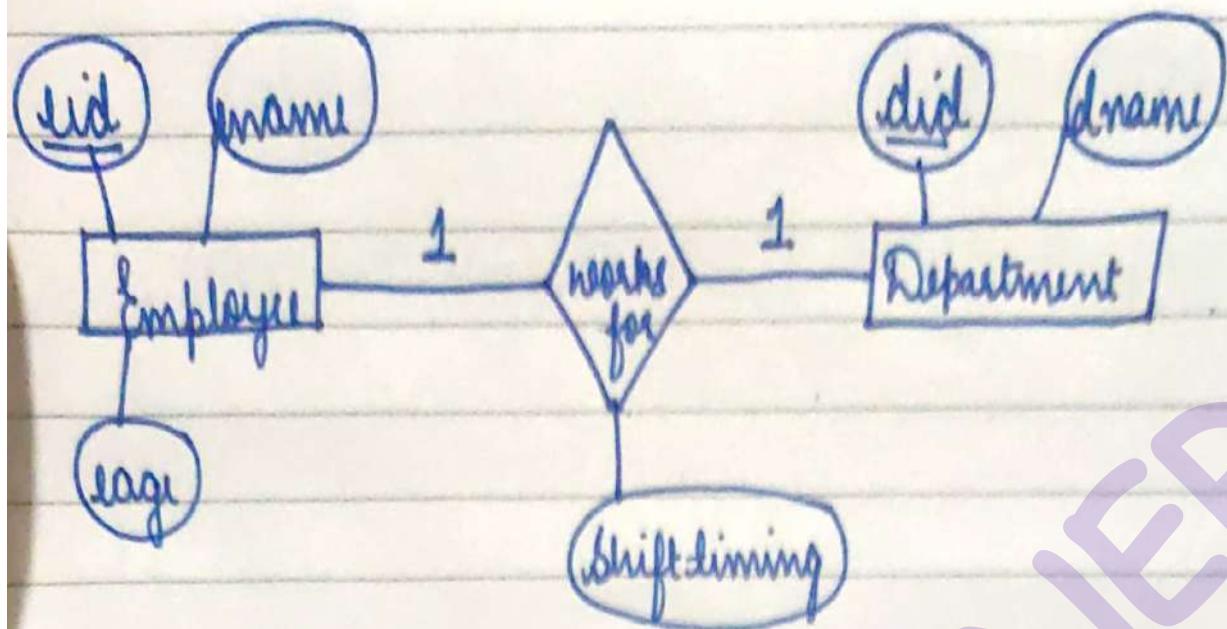
Employee

Department

<u>eid</u>	e-name	age	<u>did</u>	shift timing	<u>did</u>	dname

(Note :- move the attributes of the relationship towards the 'N' side) (to avoid multi valued entities)

## Attributes to relationship (1:1)

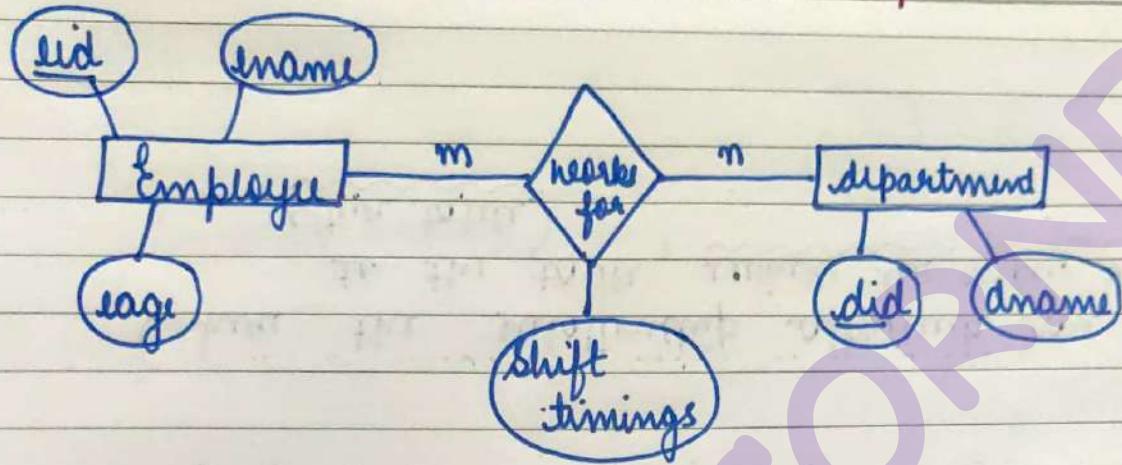


<u>sid</u>	ename	age	did	shift timing	did	dname

<u>sid</u>	ename	age		<u>did</u>	dname	shift timing

(here the relationship attribute can be added to the table, where we have the PK of the other table)

## Attributes to relationship (m:m)

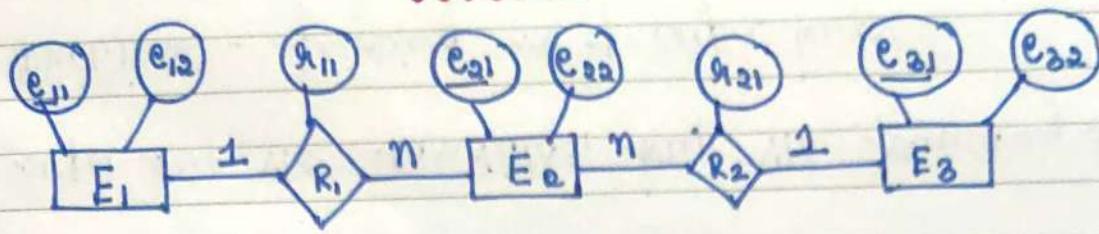


<u>eid</u>	name	<u>age</u>

<u>did</u>	<u>dname</u>

<u>eid</u>	<u>did</u>	shift timings

Problem



$E_1$

$E_2$

$E_3$

$e_{11}$	$e_{12}$	$e_{31}$	$e_{11}$	$e_{21}$	$e_{22}$	$e_{11}$	$e_{21}$	$e_{31}$	$e_{32}$
----------	----------	----------	----------	----------	----------	----------	----------	----------	----------

minimum no of  
values = 3.

\* Always move the relationship attribute to  
the table, where we move the PK.

Introduction to RA :-

A language (or) set of operations that can be used to manipulate data in the relational model.  
 ↳ (insert, delete, modify)

Employee

Eid	name	Age
1	ABC	35
2	XYZ	36
3	MNO	25
4	ABC	36

- 1) RA is more a theoretical language.  
 2) SQL is a practical language.

→ (to avoid duplicate)

Select distinct Name  
 From Employee;

$\pi_{\text{name}}(\text{Employee})$   
 (RA operators remove  
 duplicate values)

Select name from employee;

(Sql will not  
 remove duplicates  
 automatically)

Name
ABC
XYZ
MNO
ABC

Name
ABC
XYZ
MNO

## Selection Operation (horizontal partition)

- ① Used to select a subset of tuples from a relation.
- ② ' $\sigma$ ' symbol.
- ③ We select subset of rows from relation R by applying a condition

temployee

Eid	Eage	Mid	Mage
1000	35	1001	45
1001	45	1002	25
1002	25	1003	65
1003	65	Null	Null

$\left[ \begin{array}{c} \sigma \\ \text{(Selection condition)} \\ \downarrow \\ \text{(Median expression)} \end{array} \right] (R)$

displays Empage > 35

$\sigma_{Eage > 35} (\text{temployee})$

please dont include 35.

Eid	Eage	Mid	Mage
1000	35	1001	45
1001	45	1002	25
1002	65	Null	Null

$\rightarrow 35 > 35$  (False)  $\rightarrow 45 > 35$  (True)

→  $35 > 35$  (False) →  $45 > 35$  (True)

' $\sigma$ ' → no. of tuples can decrease depending on condition.

Selection Condition :-

- a) can be like attribute name and constant ( $age > 35$ )
- b) attribute name and attribute name.

Ex:-  $\sigma_{(age > Mag)}$  (employee)

Eid	Age	Mid	Mag
1001	45	1002	25

\* No. of attributes in the OLP always all the attributes will be displayed.

$\sigma_{age > 25} \left( \sigma_{mag > 25} (employee) \right)$

②

$$\sigma_{eage > 25} \left( \begin{array}{cccc} \text{Eid} & \text{Eage} & \text{Mid} & \text{Mage} \\ \hline 1000 & 35 & 1001 & 45 \\ 1002 & 85 & 1003 & 85 \end{array} \right)$$

↓

$$\begin{array}{cccc} \text{Eid} & \text{Eage} & \text{Mid} & \text{Mage} \\ \hline 1000 & 35 & 1001 & 45 \end{array}$$

\* Selection Condition is Commutative

$\sigma_{mage > 25} (\sigma_{eage > 25} (\text{Employee}))$

\*  $\sigma_{eage > 25 \text{ AND } mage > 25} (\text{Employee})$   
 $(\wedge)$

\* minimum cardinality of  $\sigma_C(R)$  is 0.  
(no of tuples)

Ex:-  $\sigma_{eage > 100} (\text{Employee})$ ;

Eid Eage . Mid Mage .

\* maximum cardinality of  $\sigma_C(R)$  is "t"

$t \rightarrow$  no of tuples in relation R.

## Projection Operation

- ① Used to select subset of the columns from the relation.
- ② also called vertical partitioning.

$\Pi_{\text{sid, age}}$

tid	age
1	25
2	45
3	35
4	25

$\Pi_{\text{attribute}}(R)$

\*  $\Pi \rightarrow$  always eliminates duplicates.

$\Pi_{\text{name, age}}(\text{Employee})$

name	age
ABC	25
XZY	45
MNO	85

Note :-

i) Let it be the no of tuples in relation R.

$t \geq$  no of tuples in  $\Pi_{\text{attribute}}(R)$   
(no of tuples in the  
projected relation)

Ex:- Emp

tid	age
1	23
2	25
3	23
4	23
5	23

$\Pi_{\text{tid}}$

1
2
3
4
5

$\Pi_{\text{age}}$

23
25

i) If the attribute is a superkey.

$t =$  no of tuples in  $\Pi_{\text{attribute}}(R)$   
(no of tuples in the  
original  
relation) = no of tuples in the  
projected relation.

ii) If attribute list is not a superkey,

$t >$  no of tuples in  $\Pi_{\text{attribute}}(R)$

3) projection operation is not commutative.

$$\Pi_A (\Pi_{A,B}(R)) \neq \Pi_{A,B} (\Pi_A(R))$$

A is a subset of AB.

## Rename

Used to rename a relation (or) attribute of a relation.

⑤ Represented as 'P'

\*  $P_{(\text{newname})} (\text{Old name})$

$P_{\text{emp-data}} (\text{Employee}) \rightarrow \text{table name}$   
will be changed  
to emp-data.

\* to change attribute name.

$P_{(\text{id, age, gender})} (\text{Emp-data})$

→ instead of a,b,c the attribute would be renamed.

\* to change the table name and attribute together.

$P_{\text{Employee-data}} (\text{id, age, gender}) (\text{emp-data})$

## Union Operator

- ① Union of 2 relations,  $R_1 \cup R_2$ , will contain the tuples which are present in either  $R_1$  or  $R_2$  or both.

$R_1$			$R_2$		
$Id$	$Age$	$Gender$	$tid$	$Age$	$gender$
1	45	M	1	45	M
2	46	F	4	46	M
3	47	M	5	48	F

(my convention  
we usually take  
the attribute name  
from  $R_1$ )

$R_1 \cup R_2$		
$Id$	$Age$	$Gender$
1	45	M
2	46	F
3	47	M
4	46	M
5	48	F

(no duplicate values)

Two relations should be union compatible :-

- ① no of attributes in both relation ( $R_1$  &  $R_2$ ) should be same.
- ② the domain of corresponding attributes should be same.

Note :- corresponding attributes need not have the same name.

## Intersection

① Intersection of 2 relations  $R_1 \cap R_2$  will contain the tuples which are present in both  $R_1$  &  $R_2$ .

$R_1$

Id	Age	Gender
1	45	M
2	46	F
3	47	M

$R_2$

Eid	Age	Gender
1	45	M
4	46	M
5	48	F

$R_1 \cap R_2$

Id	Age	Gender
1	45	M

Intersection Compatibility (same as union compatibility)

## Set Difference

Minus between two relations,  $R_1$  and  $R_2$  ( $R_1 - R_2$ ) is nothing but the tuples which are present in  $R_1$  and not  $R_2$ .

$R_1$

Id	Age	gender
1	45	M
2	65	M
3	36	F

$R_2$

Id	Age	gender
1	45	M
2	65	F
4	45	M

$R_1 - R_2$

Id	Age	gender
3	36	F

here also compatibility is checked.

$$R_1 \cup R_2 = R_2 \cup R_1 \quad } \text{commutative property}$$

$$R_1 \cap R_2 = R_2 \cap R_1 \quad }$$

$$R_1 - R_2 \neq R_2 - R_1$$

### Cartesian Product (Cross product)

① Denoted by  $\times$ .

$R_1$

	A <sub>1</sub>	A <sub>2</sub>	A <sub>3</sub>
1	ABC	55	
2	MNO	53	
3	XYZ	21	

$R_2$

	A <sub>4</sub>	A <sub>5</sub>
100	AXY	
101	MGH	

$R_1 \times R_2$

Attributes 8-

$R_1(A_1, A_2, A_3) +$   
 $R_2(A_4, A_5)$

we add the  
attribute.

A <sub>1</sub>	A <sub>2</sub>	A <sub>3</sub>	A <sub>4</sub>	A <sub>5</sub>
1	ABC	55	100	AXY
1	ABC	55	101	MGH
2	MND	53	100	AXY
2	MND	53	101	MGH
3	XYZ	21	100	AXY
3	XYZ	21	101	MGH

} multiply  
the tables  
tuples.

②

Generally, cross product is not used alone, we mostly use selection ( $\sigma$ ) along with cross product.

Cross product ( $\times$ ) + Selection ( $\sigma$ ) = Join

### Join Operation

Syntax :-

$R_1 \bowtie R_2$

(Join condition)

Employee

Employeeid	Age	Gender
1	45	M
2	56	M
3	60	F

dependent

Id	Fname
1	Ram
2	Sam

Employee  $\bowtie$  Dependent

EmployeeId = Id (domain should be same)

(attribute are same  
we do not delete)

Eid	Eage	E gender	Id	Fname
1	45	M	1	Ram
1	45	M	2	Sam
2	56	M	1	Ram
2	56	M	2	Sam
3	60	F	1	Ram
3	60	F	2	Sam.

a) find father name of all Male employees?

### Important Points of Join

① If relation  $R_1$  has  $t_1$  tuples and relation  $R_2$  has  $t_2$  tuples, then no of tuples in :-

a)  $R_1 \times R_2 = t_1 \times t_2$

b)  $R_1 \bowtie R_2 = O(\min(tuples)) / (t_1 \times t_2) (\max tuples)$   
(Join cond)

② If relation  $R_1$  has  $a_1$  attributes and relation  $R_2$  has  $a_2$  attributes, then no of attributes in :-

a)  $R_1 \times R_2 = a_1 + a_2$

b)  $R_1 \bowtie_{(\text{Join Cond})} R_2 = a_1 + a_2$

### Natural Join

Employee

tid	tag	Egender
1	45	M
2	56	M
3	60	F

Employee father

Id	name
1	Ram
2	Sam

Employee  $\bowtie$  Employee's father

$$tid = Id$$

(Join we do not delete the attribute since attribute name is different)

Natural Join :- here the join condition will not be mentioned.

→ Condition :- We need to have the name of the attribute we are comparing to have the same name.

Employee

tid	age	gender
1	45	M
2	56	M
3	60	F

Employee-father

tid	Ename
1	Ram
2	Sam

Employee  $\bowtie$  Employee-father

tid	age	gender	tid	Ename
1	45	M	1	Ram
1	45	M	2	Sam
2	56	M	1	Ram
2	56	M	2	Sam
3	60	F	1	Ram
3	60	F	2	Sam

which have the same name.

filter out attributes which have the same name.

(delete the common attribute)

Join (Even if we do not have attributes with same name, we can go ahead)

Natural Join :- (two attributes should have the same name.)

O/P of natural Join would be ≈ cartesian product  
(If attribute with same name does not exist)

O/P of natural Join would be ≈ cartesian product  
(If attributes with same name does not exist)

Cartesian product v/s Join v/s Natural Join

Employee

eid	ename	age
1	Ram	45
2	Bob	43

Department

eid	Department
1	Accounts
2	HR

Cartesian product :- Employee  $\times$  department.

Employee eid	Employee ename	Employee age	Department eid	Department Department
1	Ram	45	1	Accounts
1	Ram	45	2	HR
2	Bob	43	1	Accounts
2	Bob	43	2	HR

Join :-

Employee  $\bowtie$  Department

Employee.eid = department.eid (both have the same name, so we use same-name)

Employee eid	Employee ename	Employee age	Department eid	Department Department
1	Ram	45	1	Accounts
2	Bob	43	2	HR

Natural Join :- Employee  $\bowtie$  department

'eid' is not repeated)

Employee eid	Employee ename	Employee age	Department Department
1	Ram	45	Accounts
2	Bob	43	HR

## Left Outer Join and Right Outer Join

Employee

Eid	ename	age
1	A	45
2	B	43
3	C	46

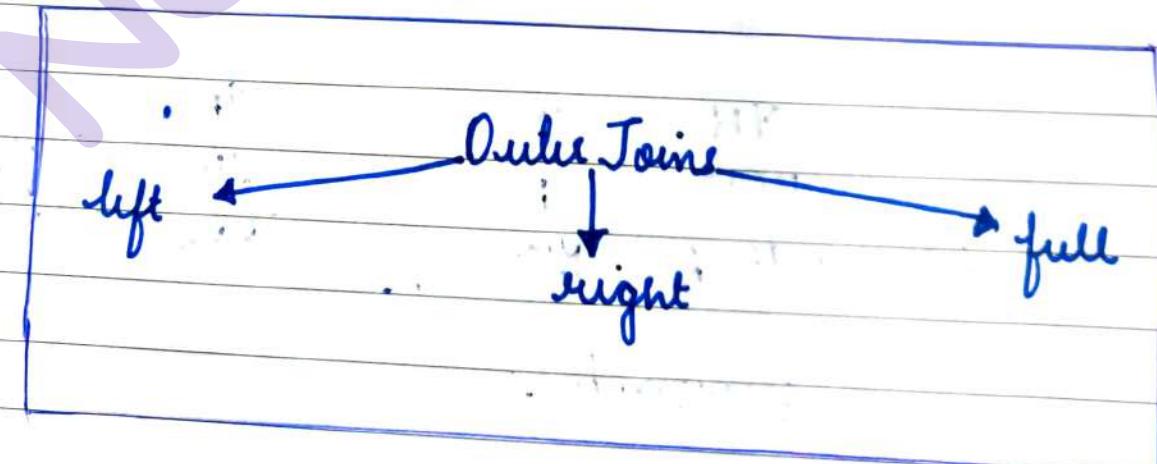
Projects

ProjID	ManagerID
1000	1
1001	2
1002	Null

Employee      Projects  
 Eid = ManagerID

Eid	ename	age	ProjID	ManagerID
1	A	45	1000	1
2	B	43	1001	2
3	C	46	Null	Null

(Join → means inner joins)



- Steps :-
- ① Include the symbol ( $\Delta$ ) along with cond<sup>n</sup>.
  - ② Perform cartesian product
  - ③ make the selection based on condition.
  - ④ Include remaining tuples of left most table.  
the matching right table will have null values.

### Right Outer Join

Employee  $\Delta E$  Project  
fid = mangid

eid	tname	age	Project ID	managed
1	A	45	1000	1
2	B	43	1001	2
Null	Null	Null	1002	Null

### Full Outer Join

Employee  $\Delta E$  Project  
fid = mangid

fid	tname	age	Project id	managed
1	A	45	1000	1
2	B	43	1001	2
3	C	46	Null	Null
Null	Null	Null	1002	Null

Inner Join vs Left Outer Join vs Right Outer Join

vs Full Outer Join

$R_1$

$A_{11}$	$A_{12}$
1	3
2	4
3	5

$R_2$

$A_{21}$	$A_{22}$
1	5
2	6
4	8

Inner Join.

$R_1 \bowtie R_2$

$$A_{11} = A_{21}$$

$A_{11}$	$A_{12}$	$A_{21}$	$A_{22}$
1	3	1	5
2	4	2	6

Left Outer Join

$R_1 \bowtie R_2$

$$A_{11} = A_{21}$$

$A_{11}$	$A_{12}$	$A_{21}$	$A_{22}$
1	3	1	5
2	4	2	6
3	5	Null	Null

## Right Outer Join

A <sub>11</sub>	A <sub>12</sub>	A <sub>21</sub>	A <sub>22</sub>
1	3	1	5
2	4	2	6
Null	Null	4	8

## Full Outer Join

A <sub>11</sub>	A <sub>12</sub>	A <sub>21</sub>	A <sub>22</sub>
1	3	1	5
2	4	2	6
3	5	Null	Null
Null	Null	4	8

# Minimum and Maximum Number of tuples possible

$R_1 \rightarrow m'$  tuples

$R_2 \rightarrow n$  tuples

Minimum no of tuples

Maximum no of tuples

X

$n \times m$

$n \times m$

~~X~~ (Join cond)

0

$n \times m$

X

0

$n \times m$

~~X~~ (Join cond)

$R_1 \Delta R_2$

m

$n \times m$

$R_1 \setminus R_2$

n

$n \times m$

~~X~~ (Join cond)

$R_1 = 3$  tuples,  $R_2 = 5$  tuples.

$\max(m, n)$

$n \times m$

~~X~~ (Join cond)

$R_1 = 3$  tuples     $R_2 = 5$  tuples

Suppose  $\rightarrow Q$  is common; add remaining tuples from  $R_2$ .  
 $\max(R_1, R_2) = \max(m, n)$

$m + n$

U

0

$\min(m, n)$

Π

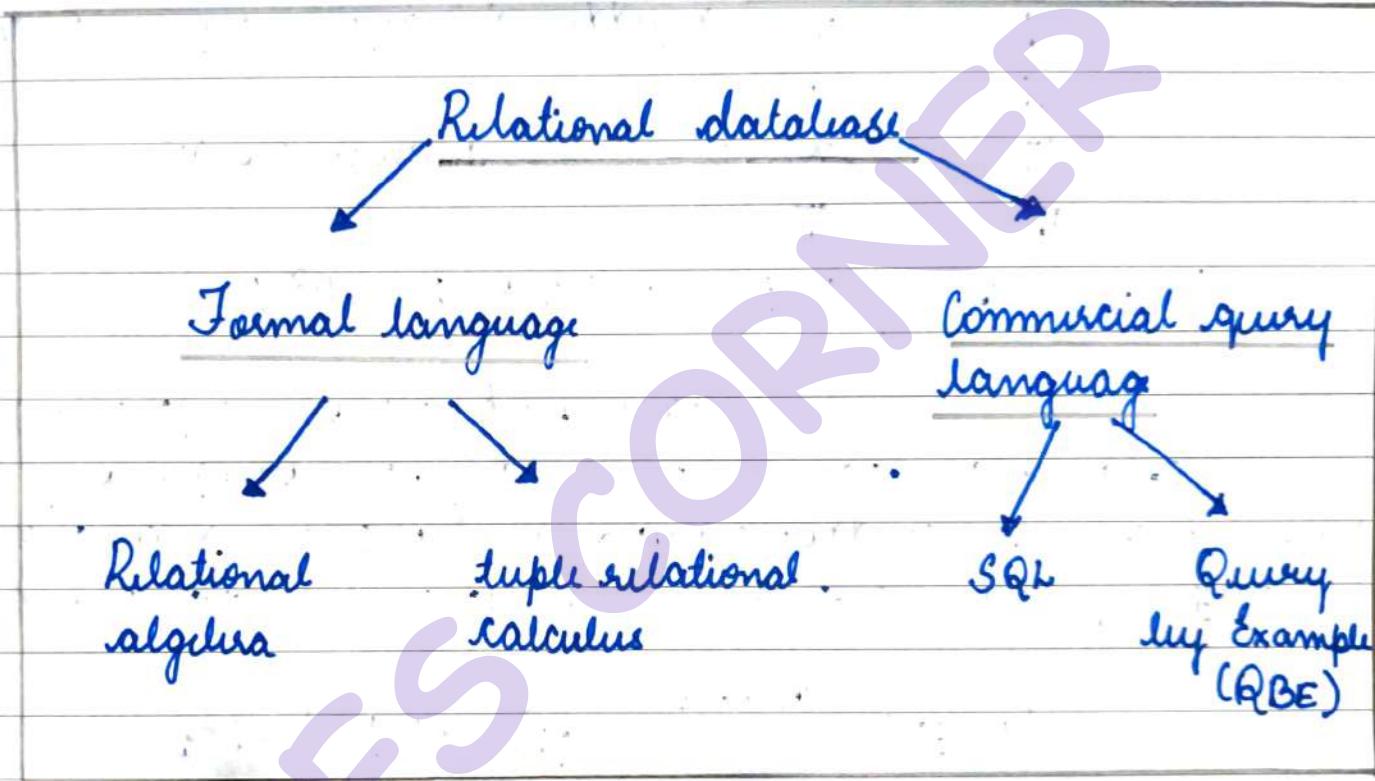
$(R_1 - R_2) \Rightarrow 0$      $(R_1 - R_2) \subseteq R_1$ ,  $(R_1 - R_2) \Rightarrow m$  tuples  
 $(R_2 - R_1) \Rightarrow \# 0$      $(R_2 - R_1) \Rightarrow n$  tuples

-

# Problems on Relational Algebra.

Set Operations :- Union, Intersection, difference, cartesian product.

Relational Operations :- Select, project, join, division.



Division :-  $(\frac{R}{S})$

R	B
a <sub>1</sub>	b <sub>1</sub>
a <sub>1</sub>	b <sub>2</sub>
a <sub>1</sub>	b <sub>3</sub>
a <sub>2</sub>	b <sub>2</sub>
a <sub>2</sub>	b <sub>3</sub>
a <sub>3</sub>	b <sub>1</sub>
a <sub>3</sub>	b <sub>2</sub>
a <sub>3</sub>	b <sub>3</sub>
a <sub>4</sub>	b <sub>2</sub>

S	B	A
	b <sub>1</sub>	a <sub>1</sub>
	b <sub>2</sub>	a <sub>1</sub>
	b <sub>3</sub>	a <sub>3</sub>

satisfy all

properties (b<sub>1</sub>, b<sub>2</sub>, b<sub>3</sub>)

$$T = R \frac{o}{S}$$

$(R-S) = A$  column.

$$(AB)-B = A$$

## Additional Relational Operations

Aggregate functions :- Sum, Average, Max, Min, count.

Grouping function :-  $\exists$  (script F)

The tuples of a relation are first grouped by the value of some attribute and then aggregate functions are applied on individual groups.

Eg:- a) Dno  $\exists$  count(ssn) (Emp)

The above expression first groups the tuples in Emp table based on Dno, and then applies count function on individual groups this will output no of employees in each department.

Result Relation  $\Rightarrow$

(10 department)

Dno	Count(ssn)
1	10
2	10
3	5
4	6

[Aggregate function will be applied after grouping.]

b) Dno, age  $\exists$  count(ssn) (Emp)

(more than 10 groups)

Dno	Age	Count (SSN)
1	34	5
1	36	6
2	35	4
3	41	10
3	45	4

## Multiple Tables :- Joins and Set Operations

- ① Design queries based on multiple tables.
- ② Retrieve related data from various tables in a database.
- ③ Various types of database joins.
- ④ Set operations :- Union, Union all, Intersect and Minus.

### Join

- ① When the required data are in more than one table, related tables are joined using a join condition.
- ② The join condition combines a row in one table with a row in another table based on the same values in the common columns.
- ③ In most cases (but not always), the common columns are the primary key in one table and a foreign key in another.

### Cartesian product

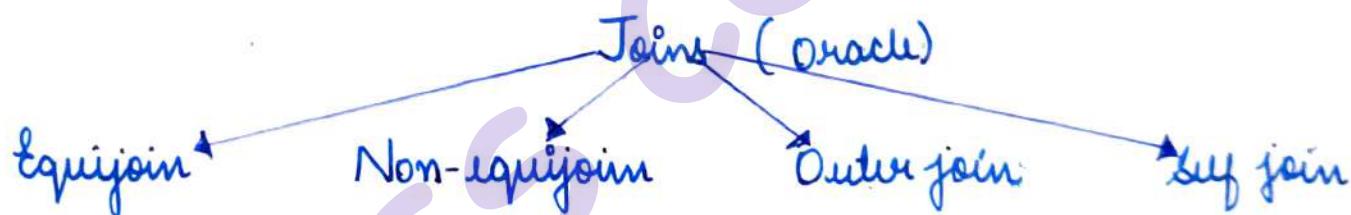
A cartesian product results from a multitable query that does not have a where clause. The product operation joins each row in the first table with each row in the second table. The product normally results in an

output with a large number of rows and is not very useful.

table 1	table 2	total no of rows
m	n	$m \times n$ rows.

$$\text{Student (6 rows)} \times \text{Faculty (8 rows)} = 48 \text{ rows.}$$

Remember :- that the number of joins conditions is one less than the number of table names used in the from clause.



Equijoin :- is a join with a join condition involving common columns from two tables.

Ex :- Select student.last ||',|| student.first

student, faculty.Name Faculty,  
faculty.phone Telephone from  
student, faculty

where

student.facultyid = faculty.facultyid  
(same attribute name)