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# IBM Research Report

## Failure Recovery in Resilient X10

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# Failure Recovery in Resilient X10

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Cloud computing has made the resources needed to execute large-scale in-memory distributed computations widely available. Specialized programming models, e.g., MapReduce, have emerged to offer transparent fault tolerance and fault recovery for specific computational patterns, but they sacrifice generality. In contrast, the Resilient X10 programming language adds *failure containment* and *failure awareness* to a general purpose, distributed programming language. A Resilient X10 application spans over a number of places. Its formal semantics precisely specify how it continues executing after a place failure. Thanks to failure awareness, the X10 programmer can in principle build redundancy into an application to recover from failures. In practice however, correctness is elusive as redundancy and recovery are often complex programming tasks.

This technical report further develops Resilient X10 to shift the focus from failure awareness to failure recovery both from a theoretical and practical standpoint. We formalize the distinction between recoverable and catastrophic failures. We revisit the *happens-before invariance* principle and its implementation. We shift most of the burden of redundancy and recovery from the programmer to the runtime system and standard library. We make it easy to protect critical data from failure using resilient stores and harness elasticity—dynamic place creation—to persist not just the data but also its spatial distribution.

We demonstrate the flexibility and practical usefulness of Resilient X10 by building several representative high-performance in-memory parallel application kernels and frameworks. These codes are 10x to 25x larger than previous Resilient X10 benchmarks. We empirically establish that the runtime overhead of resiliency is less than 8%. By comparing application kernels written in the Resilient X10 and Spark programming models we demonstrate that Resilient X10's more general programming model can enable significantly better application performance for resilient in-memory distributed computations.

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## 1 INTRODUCTION

The explosive growth of compute, memory, and network capacity that is economically available in cloud computing infrastructures has begun to reshape the landscape of Big Data. The design and implementation of the initial wave of Big Data frameworks such as Google's MapReduce (Dean and Ghemawat 2004) and the open-source Hadoop system (Cutting and Baladeschwieler 2007; White 2009) were driven by the need to orchestrate mainly disk-based workflows across large clusters of unreliable and relatively low-performance nodes. Driven by increasing system capability and new compute and data intensive workloads, new programming models and frameworks have begun to emerge focusing on higher performance, in-memory distributed computing. Systems such as HaLoop (Bu et al. 2010) and M3R (Shinnar et al. 2012) enhanced the performance of MapReduce by enabling in-memory caching of data in iterative MapReduce workflows. Specialized systems such as Pregel (Malewicz et al. 2010), GraphLab (Low et al. 2012), MillWheel (Akidau et al. 2013), and many others were built to optimize the performance and programmability of specific application domains. More recently, the Apache Spark system (Zaharia et al. 2012) and its underlying Resilient Distributed Dataset (RDD) abstraction and data-parallel functional programming model

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have gained significant traction. The Spark programming model is significantly more general-purpose than prior Big Data frameworks. However, by design, Spark still presents a heavily restricted programming model. Spark focuses on functional data-parallel operations over immutable RDDs and declarative SQL-like operations over DataFrames (Armbrust et al. 2015). Spark hides scheduling, distribution and communication decisions from the application programmer, and provides a single built-in approach to fault tolerance.

While transparent fault tolerance has obvious benefits, the one-size-fits-all approach has drawbacks too. Many applications can take advantage of domain-specific strategies for fault management that translate into all kinds of savings, e.g., time, memory, disk, network, power, etc. Some applications can evaluate or estimate the loss of precision resulting from a fault and decide to accept this loss. Scientific simulations can often rely on conservation laws—mass, volume—to fill gaps in data sets. The architecture of an application can also influence the choice of a fault tolerance approach. For instance, global checkpoints are well suited for bulk synchronous algorithms, whereas MapReduce workloads are better served by per-task checkpoints.

The Asynchronous Partitioned Global Address Space (APGAS) programming model (Saraswat et al. 2010) has been demonstrated to enable both scalable high performance (Milthorpe et al. 2015; Tardieu et al. 2014) and high productivity (Richards et al. 2014) on a variety of High Performance Computing (HPC) systems and distributed applications. Although originally developed in the context of the X10 language (Charles et al. 2005), the core concepts of the APGAS programming model can be found in a number of other HPC programming systems including Chapel (Cha 2016), Habanero (Cavé et al. 2011; Kumar et al. 2014), Co-Array Fortran 2.0 (Yang et al. 2013), and UPC++ (Zheng et al. 2014). Recent work on Resilient X10 (Crafa et al. 2014; Cunningham et al. 2014) enhanced APGAS with failure containment and failure awareness. An X10 application spans over a number of places, typically realized as separate operating system processes and distributed over a network of computers. When places fail, tasks running at surviving places continue to execute. Lost places and tasks are reported to survivors via software exceptions. Application programmers can implement exception handlers to react to place failures and take corrective actions. The order of execution of the surviving tasks cannot diverge from the failure-free execution, even in case of *orphan tasks*, i.e., tasks that have lost their parent task. This *happens-before invariance* principle is crucial to preclude races between orphan tasks and failure handling code. But it does not come for free as it requires the runtime system to maintain its control state using fault-tolerant algorithms and data structures.

Despite these advances, programming fault tolerance in Resilient X10 remains challenging. There is no built-in redundancy outside of the happens-before invariance implementation. Tasks at failed places cannot be respawned magically. Data at failed places is lost. Lost places are no longer available to host tasks or data, creating holes in the address space. In short, programming fault tolerance is rather difficult and error-prone. Moreover, there is little point to the exercise if the resilient code is significantly slower than the original. In most scenarios, running the non-resilient code repeatedly until success is a better trade-off. Beyond these practical concerns, there are also foundational issues. The formal failure model of Resilient X10 is too permissive: all the places can fail at once. The guarantees of Resilient X10 are formally valid in this scenario. But there is no way for an application to recover from such a catastrophic failure. While the Resilient X10 programmer can persist data by using an external data store, this is a priori a recipe for disaster as the happens-before invariance does not encompass foreign libraries.

In this technical report, we revisit Resilient X10 to extend, improve, or revise aspects of the language, its semantics, and implementation to establish a practical general framework for efficient in-memory distributed computing with programmable fault tolerance. Our goal is to evolve Resilient X10 so that it not only enables failure recovery code to exist in theory, but makes the development of recovery code a *rewarding* experience. Our work is driven primarily by our experience in porting existing realistic applications, frameworks, and class libraries to Resilient X10 and in developing new applications. Our contributions provide dramatic increases to programmers' productivity and applications' performance:

- We formalize resilient data stores and revise the failure model and happens-before invariance principle to accommodate them. We implement two resilient data stores with different trade-offs: a resilient store based

on Hazelcast and a resilient store implemented in pure Resilient X10. With them, application programmers can trivially protect from failure application data deemed critical.

- We augment the language, its semantics, and runtime system to permit the dynamic creation of places. The combination of dynamic place creation with generalized indirect place addressing in the standard library enables non-shrinking recovery, which significantly reduce the complexity of failure recovery code.
- We identify and address performance bottlenecks in the existing open-source implementation of the happens-before invariance principle that caused up to 1000x slowdowns on common code patterns.
- We implement and empirically evaluate a suite of representative Resilient X10 application kernels including typical Big Data problems from the machine learning domain, scientific simulations, and global dynamic load balancing. Most are based on pre-existing X10 applications with small localized code changes for resiliency. These codes comprise a significantly more realistic corpus of APGAS programs—10x to 25x code size increase—than any prior evaluation of Resilient X10. Across all our application kernels, the overhead imposed by resiliency on non-failing runs was under 8%, and often well under.<sup>1</sup>
- Where possible, we compare the performance of the X10 kernels to equivalent kernels written using the Spark programming model to demonstrate that the additional flexibility provided by the APGAS programming model can yield significant performance benefits.

Section 2 presents the fundamental capabilities that the Resilient X10 system provides to the programmer; it includes a brief review of the APGAS programming model to provide necessary background. Section 3 illustrates how these capabilities can be combined to build resilient applications and frameworks. Section 4 describes key aspects of our implementation. Section 5 presents some of the application kernels we built to gain practical experience with Resilient X10 and provides an empirical evaluation of their performance. Finally, Section 6 covers additional related work and Section 7 concludes.

## 2 PROGRAMMING MODEL

This section presents an overview of the Resilient X10 programming model. The base X10 programming model (2.1) and the semantics of resilient control (2.4) are not new contributions of this technical report. The failure model (2.2) follows from prior work but is refined for this technical report. Non-shrinking recovery (2.3) and resilient stores (2.5) are new contributions.

### 2.1 X10 Background

The X10 programming language (Charles et al. 2005) has been developed as a simple, clean, but powerful and practical programming model for scale out computation. Its underlying programming model, the APGAS (Asynchronous Partitioned Global Address Space) programming model (Saraswat et al. 2010), is organized around the two notions of *places* and *asynchrony*.

Asynchrony is provided through a single block-structured control construct, **async** S. If S is a statement, then **async** S is a statement that executes S in a separate *task* (logical thread of control). Dually, **finish** S executes S, and waits for all tasks spawned (recursively) during the execution of S to terminate, before continuing. Exceptions escaping from S or tasks spawned by S are combined in a `MultipleExceptions` instance that is thrown by **finish** upon termination. Constructs are provided for unconditional (**atomic** S) and conditional (**when** (c) S) atomic execution.

A place is an abstraction of shared, mutable data and worker threads operating on the data, typically realized as an operating system process. A single APGAS computation may consist of hundreds or potentially tens of thousands of places. The **at** (p) S permits the current task to change its place of execution to p, execute S at p and

<sup>1</sup>This number does not include the application-level checkpointing overhead, which can be decided arbitrarily and should reflect the expected mean time between failures (MTBF).

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return, leaving behind tasks that may have been spawned during the execution of *S*. The termination of these tasks is detected by the **finish** within which the **at** statement is executing. The object graphs reachable from the final variables used in *S* but defined outside *S* are serialized, transmitted to *p*, and de-serialized to reconstruct a binding environment in which *S* is executed. The snippet below shows how **finish**, **async**, and **at** can be combined to print a message from each place:

```
1 val msg = "Hello World";
2 finish for (p in Place.places())
3   at (p) async
4     Console.OUT.println(here+" says "+msg);
5 Console.OUT.println("GoodBye!");
```

The messages from each place will be printed in an arbitrary order, but **finish** ensures they will appear before "GoodBye!" is printed.

Variables in one place can contain references (*global refs*) to objects at other places. `GlobalRef(obj)` constructs a global ref to *obj* in the local heap. A global ref can only be dereferenced at the place of the target object.

Places are assigned numbers starting from zero. The application `main` method is invoked at place zero. The method `Place.places()` returns the set of places at the time of invocation; **here** evaluates to the current place.

## 2.2 Failure Model

Resilient X10 (Crafa et al. 2014; Cunningham et al. 2014) builds on X10 by exploiting the strong separation provided by places to provide a coherent semantics for execution in the presence of failures. It assumes a fail-stop failure model where the unit of failure is the place. A place *p* may fail at any time, with the instantaneous loss of its heap and tasks. The failure is *contained*. Running tasks and heaps at other places are not affected by the failure of place *p*. In particular, if  $q \neq p$ , any **at** (*q*) *S* initiated from place *p* or any other place before the failure of place *p* will execute to completion (see 2.4). Surviving tasks are made *aware* of failed places as follows. Any **at** (*p*) *S* executing at a place *q* will throw a `DeadPlaceException` (DPE). Any attempt to launch an **at** (*p*) *S* from place *q* will also throw a DPE. Global refs pointing to objects hosted at *p* now “dangle”, but they cannot be dereferenced since an **at** (*p*) *S* will throw a DPE.

While this failure model makes it possible to reason about execution in the presence of failures, we need more to reason about failure recovery. Obviously an application cannot recover from a scenario where all places have failed at once. There is no place left to run recovery code. In other words, not all failures can be recovered from. We have to draw a line between *catastrophic failures* and *recoverable failures*.

For this work, we extend Resilient X10 with the concept of a resilient data store. A resilient store is a safe haven for data (see 2.5). It is designed to transparently overcome place failures to avoid data loss. A store fails if and only if it loses data. The condition for a failure depends on the store implementation (see 4.2) and the actual content. For example, a store can be implemented to tolerate up to *n* concomitant place failures by maintaining replicas of each data element in *n*+1 places. A store can survive any number of infrequent failures over time if it rebuilds redundancy after each place failure. An empty store never fails. A place failure is defined to be catastrophic if it causes the failure of a resilient store instance.

Execution of an X10 program begins by executing the `main` method in a single task in place zero. As a result, X10 programs are typically structured with place zero containing a master task that coordinates overall execution. Therefore, Resilient X10 treats the failure of place zero as a catastrophic failure. This model is not unusual; for example Spark can recover from failed executors but a failure of the driver process (a Spark program’s `main`) is a catastrophic failure. In Resilient X10 however, there is no requirement that place zero be a master place for all aspects of the execution, e.g., scheduling tasks, maintaining directories.

Our runtime and resilient store implementations do not assume that place zero cannot fail (see 4). While one of our implementation of the **finish** construct in Resilient X10 does, we offer an alternative that does not. Except for this special, opt-in implementation, the runtime state and resilient data are replicated and distributed uniformly across all the places to protect from the failure of any place including place zero and ensure scalability. In principle, our implementation supports running X10 as a service<sup>2</sup> where a failure of place zero is not considered catastrophic, but we have not experimented with this configuration.

In summary, a place failure is catastrophic if and only if (i) the failed place is place zero or (ii) the place failure triggers the failure of a resilient store instance (data loss). In the remainder of this technical report, we only consider recoverable, i.e., non-catastrophic failures. Thanks to this definition, we can decompose the failure recovery problem into two independent subproblems: avoiding data loss by means of resilient data store (see 2.5 and 4.2) and preserving application behavior assuming no data loss (see 3 and 5).

## 2.3 Non-Shrinking Recovery

*All problems in computer science can be solved by another level of indirection. – D. Wheeler*

Many APGAS applications contain structured data and structured communication patterns where places exchange specific data blobs with specific collections of other places. For example, row/column based broadcasts in distributed matrix operations or boundary data exchange with “neighbors” in a k-dimensional grid in scientific simulations. Prior work on Resilient X10 (Cunningham et al. 2014) only supported *shrinking* recovery. When a place fails, an application can reorganize to continue running with fewer places. However, for X10 applications with substantial distributed state, this reorganization often incurred a productivity and a performance cost. The programmer had to code the data movements explicitly and provide algorithms that work with flexible place counts. Often these algorithms would only imperfectly tolerate reduced place counts, resulting in imbalance that degraded future performance. To improve productivity and performance, we add to Resilient X10 support for *non-shrinking* recovery, i.e., the ability to compensate for lost places with fresh places, therefore greatly reducing the algorithmic burden for the programmer.

To permit non-shrinking recovery, we have augmented Resilient X10 with *elasticity*—the ability to dynamically add places to a running application. Elasticity is also useful by itself in cloud infrastructures where the availability and cost of resources vary dynamically. New places may be created externally, or may be requested internally by the running application via asynchronous invocations of `System.addPlaces(n)` or synchronous invocations of `System.addPlacesAndWait(n)`. After joining is complete, calls to `Place.places()` will reflect the new place(s). Numeric place ids are monotonically increasing and dead place ids are not reused. Higher-level abstractions, such as the `PlaceManager` described below, use these runtime calls internally to dynamically manage places, automatically compensating for lost places.

Because numeric place ids are managed by the runtime system and affected by place failures, they should not be directly targeted by application programmers. Instead, they should use X10 standard library abstractions such as `PlaceGroup` and `Team`. The `PlaceGroup` class represents an indexed sequence of places and provides methods for enumerating the member places and mapping between places and their ordinal numbers in the group. The `Team` class offers MPI-like collective operations. As a concrete example, a place `p`’s neighbors in a structured grid are usually computed as a simple mathematical function of `p`’s assigned grid id. Instead of using the place’s actual numeric id, `p.id`, a Resilient X10 application should instead define a `PlaceGroup pg` containing all the constituent places of the grid and use `pg.indexOf(p)` as the grid id of `p`. In conjunction with the `PlaceManager` facility described below, consistent use of `PlaceGroup` indices in this way creates a level of indirection that is sufficient to enable the bulk of the application code to be used unchanged with non-shrinking recovery in Resilient

<sup>2</sup>X10 as a service accepts and runs X10 tasks submitted to any place belonging the X10 service instance.

X10. Many prior systems, including Bykov et al. (2011) and Chuang et al. (2013), have combined elasticity and logical naming to achieve similar high-level objectives.

The `PlaceManager` is a new addition to the X10 standard library that encapsulates place management for both shrinking and non-shrinking recovery. In essence, it implements a `PlaceGroup` that can be adjusted when place fails. It exposes two primary APIs to higher-level frameworks and applications. First, it exposes an *active* `PlaceGroup`. Second, it has a `rebuildActivePlaces()` method that should be invoked when a place failure is detected to rebuild the active `PlaceGroup`. Depending on configuration this method simply purges the dead places from the active `PlaceGroup`—for shrinking recovery—or replaces the dead places with fresh places—for non-shrinking recovery. The `PlaceManager` for non-shrinking recovery orchestrates the process of elastically requesting new places from the lower level X10 runtime system when necessary to replace dead places. It can be configured to keep an optional pool of “hot spare” places ready for immediate use. It uses hot spares if available (replenishing the pool asynchronously), or if none are available it waits for more places to be created. Finally, `rebuildActivePlaces()` returns a description of the places that were added/removed from the set of active places to enable application-level initialization of newly added places and updates.

While we could make the `PlaceManager` automatically react to place failures, in practice we observed that controlling the exact timing of the `rebuildActivePlaces()` invocation explicitly leads to cleaner code and simpler recovery logic than an implicit asynchronous invocation from the runtime system.

## 2.4 Resilient Control

X10 permits arbitrary nesting of **async/at/finish**. Hence when a place  $p$  fails it may be in the middle of running **at**  $(q)$   $S$  statements at other (non-failed) places  $q$ . The key design decision in Resilient X10 is defining how to handle these “orphan” statements. While  $S$  has lost its parent place, it still belongs to enclosing **finish** and **at** constructs, e.g.,

```
1 finish { ... at( $p$ ) { ... at ( $q$ )  $S$  ... } } T
```

In a failure-free program, the execution of  $S$  happens before the execution of  $T$ . Resilient X10 maintains the strong invariant that the *failure of a place will not alter the happens before relationship between statement instances at the non-failed places*. This guarantee permits the Resilient X10 programmer to write code secure in the knowledge that even if a place fails, changes to the heap at non-failed places will happen in the order specified by the original program as though no failure had occurred. Failure of a place  $p$  will cause loss of data and computation at  $p$  but will not affect the concurrency structure of the remaining code. In this example, if place  $p$  fails,  $S$  may execute or not depending on the timing of the failure. If  $S$  does execute, it will complete before  $T$  executes. Similarly, if place  $q$  fails,  $S$  may execute fully, partially, or not at all, but again (any surviving tasks spawned by)  $S$  will finish before  $T$  executes.

## 2.5 Resilient Store

In order to enable applications to preserve data in spite of place failures, we extend Resilient X10 with the concept of a resilient data store realized as a distributed, concurrent key-value map. Since the APGAS programming model enforces strong locality—each object belongs to one specific place—a resilient data store is also partitioned across places. Invocations of the `set(key, value)` and `get(key)` methods of a resilient store associate a value to a key or return the value for a key for the current place. Map operations on a given key  $k$  at a given place  $p$  are linearizable.

Applications may use a resilient store to checkpoint intermediate results or sets of tasks (completed, in progress, pending). Upon failure, an application is responsible to replace or reconstruct the lost data using the content of the resilient store.



A resilient store ranges over an active `PlaceGroup` as defined in the previous section. In non-shrinking recovery, if a fresh place `p` replaces a dead place `q`, the map entries for place `q` are seamlessly transferred to place `p`. In short, the store content for place `q` survives the failure of place `q` and place `p` takes ownership of this content. For shrinking recovery, we support querying the content of the store of a dead place from any surviving place via the `getRemote(place, key)` method.

The resilient store implementations (see 4.2) handle the data replication and/or data movement needed to preserve the data. Using a resilient store is semantically equivalent to transferring objects across places, i.e., an object retrieved from the store is a deep copy of the object put into the store.

Resilient stores must obey the happens-before invariance principle (see 2.4). Store operations must happen in the order specified by the failure-free program. In particular, an update operation initiated from a task interrupted by the death of the hosting place must not linger. It must either mutate the store before any finish waiting for the task completes or never mutate the store. This property is crucial to ensure that recovery code can be constrained to happen after any store operations coming from the place whose death triggered execution of the recovery code.

A resilient store implementation in Resilient X10 can of course build upon `async` and `finish` to achieve happens-before invariance trivially. In contrast, integration of an off-the-shelf in-memory data grid in Resilient X10 may require some additional work to fulfill the requirement, such as flushing operation queues before reporting the death of a place to the X10 application.

### 3 BUILDING RESILIENT APPLICATIONS

This section illustrates how the core programming model concepts of Section 2 can be combined to define higher-level fault-tolerant application frameworks. We implement non-shrinking checkpoint/restart, a well-known technique for transparent fault tolerance in iterative applications. While Resilient X10 is intended to enable innovation in software fault tolerance, we want to devote this section to the programming model, not the particulars of an original or atypical fault tolerance algorithm. Moreover, we will use this algorithm as well as variations of this algorithm to bring fault tolerance to some of the application kernels presented in Section 5.

#### 3.1 Resilient Control

An iterative application typically looks like the following:

```
1 while(!app.isFinished()) app.step();
```

The `step` and `isFinished` methods respectively specify the loop body and termination condition. Each step may entail a distributed computation over the active place group of a `PlaceManager pm`.

Using Resilient X10, we can rewrite this loop to make it fault tolerant. The `execute` method below takes an instance of an `IterativeApp` and executes it resiliently, i.e., using checkpoint/restart to protect from place failures:

```
1 def execute(app:IterativeApp) {
2   globalCheckpoint(app);
3   var err = false;
4   var i:Long = 1;
5   while(true) {
6     try {
7       finish {
8         if(err) { globalRestore(app); i=1; err=false; }
9         if(app.isFinished()) break;
10        app.step();
11        if(i % N == 0) globalCheckpoint(app);
```

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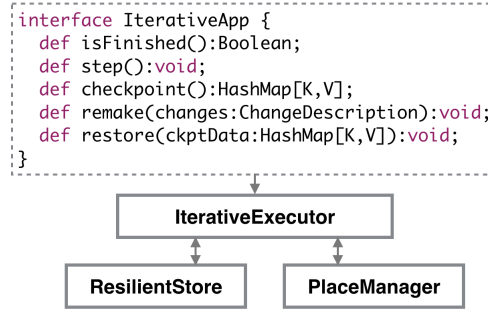


Fig. 1. X10 Resilient Iterative Framework

```

12    i++;
13  } } catch(e:MultipleExceptions) {
14    if(e.isDPE()) err = true; else throw e;
15  } } }
  
```

To invoke the `execute` method, the programmer must provide an instance of an `IterativeApp`, i.e., implement the methods listed in Figure 1. The code for `step` and `isFinished` is unchanged from the original non-fault-tolerant loop. The programmer must specify how to `checkpoint` and `restore` the *local* state of the application in between iterations. The `checkpoint` method should insert critical application data for the *current* place into a hash table. The `restore` method does the reverse. The programmer may also specify initialization code to run on dynamically created places by means of the `remake` method. Importantly, none of these methods need to handle data distribution of place failures. The `globalCheckpoint` and `globalRestore` methods implemented in the next section orchestrate the invocations of `app.checkpoint`, `app.restore`, and `app.remake` to checkpoint and restore the global application state.

We now explain how fault tolerance is implemented by the `execute` method in details. The code first checkpoints the initial application state. The loop code cannot recover from a place failure before the completion of this first checkpoint. This invocation of `globalCheckpoint` is not in the scope of the try-catch construct. However the application itself may be capable of replaying its initialization and invoke `execute` again.

The loop periodically makes checkpoints based on a configurable checkpointing interval  $N$ . It detects place failures and rolls back to the last checkpoint using a single exception handler. The handler distinguishes the dead place exceptions (using the `isDPE` helper method) that are transparently handled from other exceptions that abort the execution. The handler takes care of place failures at any stage of the loop, not only in `app.step` or `app.isFinished`, but also in `globalCheckpoint` and `globalRestore` using the same retry strategy for all failures. For instance, a place failure during the execution of `globalCheckpoint` sets `err` to `true`, which triggers the invocation of `globalRestore` when the while loop is reentered. The `globalCheckpoint` method implemented below uses double buffering to guard against incomplete checkpoints.

Together `execute`, `globalCheckpoint`, and `globalRestore` handle *any combination of non-catastrophic place failures* past the initial checkpoint. This includes not only failures during `app.step` or `app.isFinished`, but also during `globalCheckpoint` and `globalRestore`.

### 3.2 Resilient Data

The `globalCheckpoint` and `globalRestore` methods are implemented using the `PlaceManager` `pm` and a resilient store `rs`:

```

1 def checkpoint(app:IterativeApp) {
  
```

```

2  val k = key.equals("red") ? "black" : "red";
3  finish for(p in pm.activePlaces()) at(p) async rs.set(k, app.checkpoint());
4  key = k;
5  }
6  def restore(app:IterativeApp) {
7    val changes = pm.rebuildActivePlaces();
8    rs.recover(changes);
9    app.remake(changes);
10  finish for(p in pm.activePlaces()) at(p) async app.restore(rs.get(key));
11  }

```

The two methods respectively invoke `app.checkpoint` and `app.restore` in every active place to extract the local state to checkpoint or restore it. Double buffering defends against failures during checkpointing. The checkpointing key is mutated only after finishing successfully all the local checkpoints. If any of the `app.checkpoint()` invocation fails, the control is transferred from the enclosing `finish` to the exception handler, skipping over the `key = k` assignment. Before attempting to restore the last checkpoint, the `globalRestore` method makes sure to rebuild the place group—replace dead places with fresh places—and reorganizes the resilient store accordingly. It also invokes `app.remake` to give the application the opportunity to process the changes, e.g., initialize data structures at the newly added places.

### 3.3 Discussion

At first, the fault tolerant loop code may seem daunting. After all, we started from one line of code and ended up with two dozen lines for `execute`, `globalCheckpoint`, and `globalRestore` combined. Most of the code however—the checkpointing interval logic, the error flag, the while loop, the invocations of `step`, `isFinished`, `globalCheckpoint`, and `globalRestore`—would be similar in any checkpoint/restart implementation. The logic is subtle but orthogonal to Resilient X10. The Resilient-X10-specific code follows a single pattern: the try-catch construct and the `finish` construct immediately inside of it. This pattern is enough to cover all non-catastrophic failure scenarios. Because it is so simple, it is easy to write, read, and maintain. In short, it is robust.

Moreover, the loop code in Resilient X10 can be refined or customized easily *ad infinitum*, whereas off-the-shelf checkpoint/restart frameworks typically offer a finite set of configuration flags or parameters. For instance, the initial checkpoint often has a broader scope than subsequent checkpoints because of immutable data (see 5). The input data may be reloaded or recomputed instead of checkpointed in memory. The X10 code can be adjusted to account for these variations. In contrast with off-the-shelf frameworks for transparent fault tolerance, Resilient X10 provides the means to tailor fault-tolerance schemes to specific workloads or application domains with benefits such as reduced performance overheads, reduced memory footprint, or improved recovery times. We discuss one such variant in the next section.

### 3.4 Resilient Iterative Executors

We added this checkpoint/restart framework to X10's standard library and used it to implement several application kernels discussed in Section 5. The `IterativeExecutor` class exposes an `execute` method that is essentially the same as the one presented here. We refer to this executor as a *global* executor; it can be used for algorithms that perform arbitrary communications as well as regular SPMD-style computations. For SPMD computations, the `step` method must start remote tasks at each active place, each task performing a single iteration. We implement an `SPMDIterativeExecutor` to better support this application pattern. This executor distributes the computation over the set of active places. It creates parallel remote tasks that run multiple iterations (up to the checkpointing

interval) of the `isFinished` and `step` methods, which are no longer in charge of distributing the computation. By doing so, the SPMD executor eliminates the overhead of creating remote tasks at each step.

## 4 IMPLEMENTATION HIGHLIGHTS

A feature of the X10 system is that a single X10 program can be compiled for execution on a wide variety of platforms and network transports with varying performance characteristics. X10 is implemented with two backends. On the *managed* backend, X10 compiles into Java and runs on (a cluster of) JVMs; on the *native* backend, X10 compiles into C++ and generates a native binary for execution on scale-out systems. X10's communication layer can use multiple underlying network transports including TCP/IP sockets, MPI, and PAMI. Resilient execution over MPI is supported using MPI User Level Failure Mitigation (ULFM) (Hamouda et al. 2016). This diversity of implementation is valuable: different combinations are best suited for specific application domains or deployment scenarios. Therefore, our implementation of Resilient X10 includes both native and managed X10, three network transports (Java sockets, native sockets, MPI), and full support for Linux, Windows, and macOS.

The key implementation challenge in providing Resilient X10's happens-before invariant for resilient control is making X10's `finish` construct resilient. This entails adjusting the distributed termination algorithm used by `finish` to be failure aware and storing its distributed state in a (potentially specialized) resilient store. Logically, the resilient store used for `finish` is distinct from the resilient store used for application data. Three implementations of resilient finish were described in Cunningham et al. (2014): one that stored all finish state at place zero, one that used ZooKeeper (Hunt et al. 2010) as an external resilient store, and one that used a custom resilient distributed store for finish state implemented in X10. The place zero approach is not scalable to large place counts. The use of a custom store was motivated by results showing that the ZooKeeper-based store was impractically slow, but the prototype custom store implementation could only survive a single place failure.

In this technical report, we revisit the viability of using an off-the-shelf external distributed store. We implement resilient finish and the resilient store API on top of the Hazelcast in-memory data grid (Hazelcast, Inc. 2014). The X10 places are joined into an Hazelcast cluster. We instantiate several Hazelcast distributed fault-tolerant maps to safeguard both the resilient application state and the runtime state. At this time, this implementation is only available with the managed backend.

We also continue to develop a pure X10 implementation of Resilient X10. We improved the place zero resilient finish performance. We developed a scalable resilient store in X10 now capable of rebuilding redundancy on the fly, hence survive multiple place failures. These artifacts are usable with both backends. In contrast to the Hazelcast implementation the place zero finish cannot survive the failure of place zero. The resilient store however has no such limitation when instantiated in combination with Hazelcast finish.

The remainder of this section describes the major enhancements and extensions we have made over the system of Cunningham et al. (2014). All have already been contributed back to the X10 open source project and will be included in the next X10 release.

### 4.1 Resilient Control

All three prior implementations of resilient finish imposed a significant performance penalty on task creation. As a result, common X10 programming idioms that utilize fine-grained tasks would incur crippling overheads under Resilient X10 (see Table 1 below). This greatly reduced the practical usefulness of resilient finish by preventing the unmodified reuse of existing X10 frameworks and applications.

We addressed this weakness by exploiting the insight that only a subset of the tasks actually need to be tracked resiliently to provide the full Resilient X10 semantics. In particular, the exact number and identity of tasks that were executing in a failed place is not observable in the surviving places. This insight allows a non-resilient place-local counter to be used to cheaply track the lifetime of each incoming task and its locally spawned descendants. The counter starts with a value of one to indicate the liveness of the already started incoming task; it is incremented

when local children are spawned and decremented when tasks it is tracking complete. Interactions with a resilient store are only required when (i) a new remote task is spawned or (ii) when a local counter reaches zero, indicating termination of its local fragment of the task tree. Similarly, the existence of a finish does not need to be resiliently recorded until it (transitively) contains a non-local task. The combination of these two optimizations virtually eliminates the performance penalty of resiliency for fine-grained concurrency within a place.

In non-resilient X10, spawning a remote task is mostly asynchronous: the parent task is not stalled waiting for the remote task to begin executing. More precisely, the parent task continues its own execution as soon as it has initiated the message send requesting the remote task creation and recorded the initiation of a remote task in the local portion of the distributed (non-resilient) state of its controlling finish. In all three original resilient finish implementations, spawning a remote task entailed synchronous interactions with a resilient store. Synchronization with the store ensured that the termination of the parent task could not be observed by the resilient store before it observed the initiation of the remote child task. However, as shown in Table 1 below, the additional synchronization greatly increased the cost of fan out communication patterns. An important additional benefit of the local termination optimization described above is that it also provides a simple path to supporting asynchronous spawning of remote tasks that is independent of the implementation details of the resilient store. By incrementing and decrementing the local counter to simulate the creation of a synthetic additional local task whose lifetime spans the now asynchronous interactions with the resilient store, the parent task can be allowed to continue (and even terminate) without the possibility of its termination being prematurely reported to the resilient store resulting in incorrect early exit from the finish. This recovers the mostly asynchronous spawning of remote tasks enjoyed by non-resilient X10.

An additional optimization can be applied to the place zero resilient finish to reduce the communication traffic during the spawning of a remote task. If the serialized data for the task is relatively small, the spawning place can send the task and data to place zero which can update the resilient finish state and then transmit the task and data to the destination place (2 messages). The original protocol sent the task data only once directly from the source to destination places, but required a request/response interaction with place zero by both the source and destination places to update the resilient finish state (5 messages). This optimization is not generally applicable to distributed resilient stores because it relies on the strong invariant that place zero processes each message exactly once.

Table 1. Performance cost of resilient finish for important communication and concurrency patterns at small and medium scale. Each number is the slowdown vs. non-resilient finish to perform the same operation with the same number of places (1.0 indicates no slowdown).

Scenario	Slowdown factor vs. non-resilient finish							
	PPoPP'14 place zero		Current place zero		PPoPP'14 distributed		Hazelcast	
	8 places	80 places	8 places	80 places	8 places	80 places	8 places	80 places
Local work	945.4	909.9	1.1	1.1	32.9	1149.0	1.0	1.0
Single remote activity	5.8	6.6	4.0	3.9	-	-	17.0	30.8
Fan out, message back	19.2	42.6	3.5	3.9	-	-	13.5	15.2
Fan out, local work	201.1	297.8	3.0	2.6	-	-	11.4	11.9
Fan out, fan out	9.0	192.9	4.8	2.0	-	-	10.4	1.2
Tree fan out	6.3	25.1	3.7	7.6	-	-	15.4	19.1

Using the microbenchmark suite from Figure 6 of Cunningham et al. (2014) as updated in the X10 2.6.0 release<sup>3</sup>, we studied the performance and scalability of resilient finish. Table 1 compares the performance of the PPoPP'14 resilient finish implementations as found in X10 2.4.1 (as cited in Cunningham et al. (2014)) to our current

<sup>3</sup>see x10.dist/samples/resiliency/BenchMicro.x10

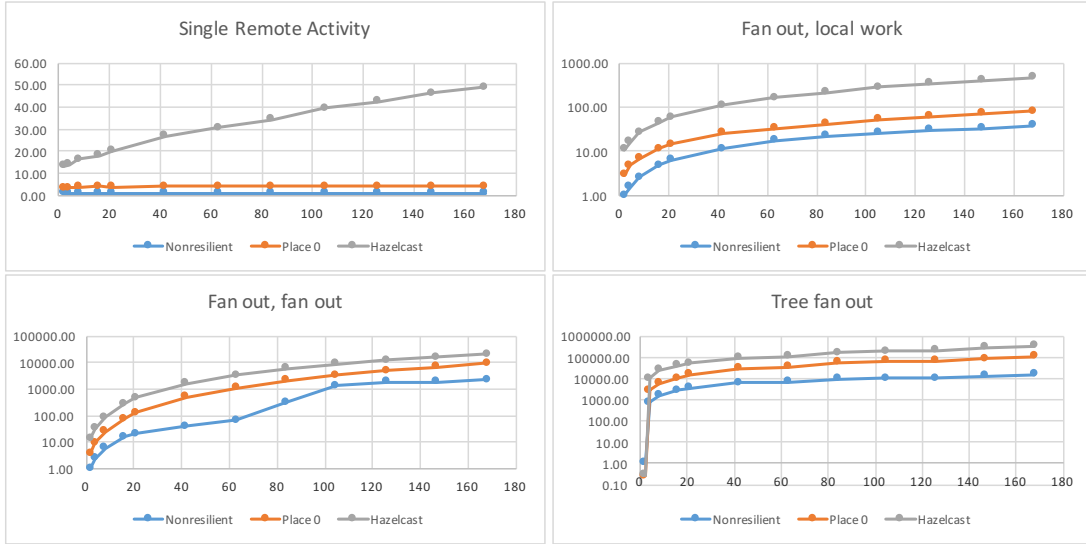


Fig. 2. Finish microbenchmarks with trivial task bodies. The graphs show the relative worst-case performance of our implementation of non-resilient, place zero, and Hazelcast finishes for four important concurrency/distribution patterns. The y-axis of each graph is the slowdown relative to non-resilient finish at 2 places; the x-axis is the number of places. For all but the top left graph, the amount of work increases with the number of places and the y-axis is logarithmic.

implementations. The first and fourth rows demonstrate the effectiveness of our enhancements to eliminate resiliency overheads for purely local concurrency. Rows three through six show the impact of mostly asynchronous spawning of remote tasks. Unfortunately, we were unable to fully reproduce the prior distributed resilient finish results using the X10 2.4.1 release. All of the microbenchmarks containing remote activities failed to run correctly with its distributed resilient finish implementation. Even with the assistance of the authors of Cunningham et al. (2014), we were unable to resolve the failures before the submission deadline. We do note that the PPOP’14 distributed resilient finish implementation was later removed in the X10 2.5.0 release.

Figure 2 shows the scaling graphs for our enhanced place zero and Hazelcast resilient finishes compared to non-resilient finish at 2 places. The top left graph shows the cost of a single remote task. The message reductions optimizations to place zero finish enable overhead of less than 4x at all scales; overheads for Hazelcast increase from 13x to 49x. The remaining three graphs represent commonly occurring APGAS work distribution patterns. Here place zero stays within 10x of the non-resilient finish and Hazelcast within an additional 2x to 5x of the place zero finish. While these numbers remain high in the absolute, our experimental study demonstrates that they are now good enough to support the programming model in practice. The overhead of resiliency including resilient finish but excluding application-level checkpointing remains below 8% for all applications considered (see 5).

## 4.2 Resilient Stores

We experimented with a number of approaches and decided to focus on two implementations: a resilient store based on Hazelcast and a resilient store implemented in X10.

We provide a common store API so that the store implementation can be decided at application startup time. The core API consists of the `get(key)`, `set(key, value)`, and `getRemote(place, key)` methods discussed in Section 2.

**4.2.1 Hazelcast-based store.** This store is implemented using a distributed Hazelcast map. The resilient store `get` and `set` methods are mapped to Hazelcast’s homonymous methods by appending the place index in the active place group to the key. Method `getRemote` also simply maps to Hazelcast’s `get` method.

Catastrophic failures depends on the Hazelcast configuration. In our experiments, we configure Hazelcast with one synchronous backup, i.e., one level of redundancy. The store can survive multiple place failures as long as the failures are distant enough in time for Hazelcast to rebuild its redundancy in-between failures.

**4.2.2 X10 Resilient Store.** We implement a resilient store in X10 by maintaining two replicas of the data. The key value pairs at place `p` (master) are transparently replicated at the next place in the active place group (slave). Store read operations only access the master replica (local). Write operations require updating both the master and the slave as follows:

```
1 finish at (slave) async slaveStore.set(key, value);
2 masterStore.set(key, value);
```

The resilient `finish` ensures the slave is updated successfully before the master, thus guaranteeing that no value can be read from the store before being replicated. If the slave dies before or during the update, the write fails with a DPE. A lock (not represented) ensures no two writes can overlap.

The store is constructed over the set of active places in a `PlaceManager`. It has a `recover(changes)` method that should be invoked when a process failure is detected. The `changes` parameter is obtained from the `PlaceManager`; it includes the new set of active places, as well as the set of added/removed places since the last invocation for the `PlaceManager`’s `updateActivePlaces()` method. The store replaces each removed place with an added place at the same ordinal location. Each removed place had previously held a master replica for its own data, and a slave replica for its left neighbor. These replicas are now lost, however, copies of them are available at other places, assuming no catastrophic failure happened that caused the loss of two consecutive active places. The copies are fetched. They provide the initial state of the store at the fresh places.

Like the Hazelcast store, this store can survive any number of place failures, provided failures happen one at time, with enough time in-between for the store to rebuild the lost replicas.

The store is implemented with less than 500 lines of X10 code, and can be considered an application study in its own right which demonstrates the expressiveness of the Resilient X10 model. It supports a much richer API than the core API we discuss in this technical report. In particular, it handles local transactions, where a series of keys are accessed or updated at once (all in the same place).

**4.2.3 Transactions.** One of our applications (see 5.2) requires the ability to simultaneously update the local store and a remote store. We implement the method `set2(key1, value1, place2, key2, value2)` using a simple transaction log. The application is such that no conflicting updates can ever occur. The transactions in progress (logged) are replayed after a place failure, before accessing the store to restore the application state. The log itself is also implemented as a resilient store.

## 4.3 Elasticity

Enabling elasticity required enhancements to all levels of the X10 implementation stack: the launching infrastructure that creates the initial processes, the network transports that bind them together, the core runtime that implements the PGAS abstractions, and a variety of standard library classes that are built on top of the PGAS abstractions. Additionally, in a cloud environment, acquiring the necessary computational resources to execute the additional processes that will become the new places requires negotiation with cluster management software.

Our current implementation fully supports elasticity for Managed X10 including an integration with the Apache Hadoop YARN (Vavilapalli et al. 2013) cluster resource manager. With a single additional command line argument, Managed X10 applications can be launched on a YARN-managed cluster and the implementation of



`System.addPlaces(n)` will automatically acquire new containers from YARN and launch the new places within them.

Although much of the runtime implementation is shared by Managed and Native X10, elasticity support for Native X10 is not yet complete. The primary gap is at the X10RT network layer: none of Native X10's X10RT implementations support the dynamic addition of new places after initial application launch. Adding such support to Native X10's TCP/IP-based `x10rt_sockets` transport could be done with modest development effort.

## 5 APPLICATION STUDIES

We developed a number of resilient application kernels to assess the flexibility of the Resilient X10 programming model and the capabilities of our implementations. Most codes are derived from existing X10 kernels and frameworks that were extended to make them resilient.<sup>4</sup> This section presents four such resilient application kernels—Unbalanced Tree Search, KMeans, PageRank, LULESH—chosen to illustrate how different aspects of the programming model can be combined to achieve flexible resiliency solutions that best meet application needs. Each subsection describes the kernel, the design decisions made to make it resilient, and experimental results including direct comparisons with Spark-based implementations for the first three kernels.

### 5.1 Experimental Setup

All experiments were conducted on a 23-node compute cluster. Each node contains two quad-core AMD Opteron 2356 processors and 12 GiB-16 GiB memory. The cluster is connected via a 4×DDR Infiniband network using IP over IB. The compute nodes run RHEL 6.9 and the cluster is managed using Apache YARN 2.6.0. For comparisons with Spark, we used Apache Spark 2.0.1 with `--master yarn`. Our X10 implementation is a modified version of X10 2.6.0, the most recent open source release of X10. The JVM for both Managed X10 and Spark was Oracle Java HotSpot Server version 1.8.0\_101.

For each application, we are primarily interested in three scenarios: non-resilient execution, failure-free resilient execution, and resilient execution with three place failures during a single run. Application parameters were chosen to achieve runs lasting approximately five minutes. This gives sufficient time to amortize application and JVM warmup while being short enough to permit a large number of runs to be completed. We inject failures by killing processes with a timer to guarantee that there is no correlation between the failure time and the ongoing computation. Failures are spaced by at least 30s to ensure no catastrophic failure occurs. Of course this failure scenario is unrealistic. Mean time between failures (MTBF) is typically much longer. Our experimental protocol is intended to stress the runtime system and demonstrate its reliability more effectively than a single-failure scenario would.

For Resilient Managed X10, we use Hazelcast as the underlying store for both resilient finish and the resilient data store. This represents a scalable solution based on a production-level fully-distributed store. In the three failure scenario, Resilient Managed X10 is configured to maintain one “hot spare” place; the `PlaceManager` will asynchronously replace the spare place after each failure to minimize future recovery time. For Resilient Native X10, we use the place zero resilient finish and the X10 resilient store of Section 4.2.2. Because Native X10 does not support elasticity, the three failure scenario requires starting with three spare places. Therefore, unless otherwise noted, all experiments use 20 nodes (160 cores) for application execution. For X10, this corresponds to 20 active X10 places, each with `X10.NTHREADS=8`. For Spark it corresponds to 20 executors, each with 8 cores. This enables apples-to-apples comparison of application throughput across all configurations.

Unless otherwise stated, all execution times are the mean of at least 15 runs and the 95% confidence intervals are less than 1% of the computed averages for X10. Spark performance on 15 runs is less predictable with 95% confidence intervals ranging from 1% to 7% of the mean.

<sup>4</sup>We have provided URLs for all the application code in the non-anonymous supplemental material for the submission



## 5.2 Global Load Balancing: UTS

Lifeline-based global load balancing (GLB (Saraswat et al. 2011; Zhang et al. 2014)) is a technique for scheduling large irregular workloads over distributed memory systems. The Unbalanced Tree Search benchmark (UTS (Olivier et al. 2007)) is the canonical benchmark for GLB. An X10 implementation of UTS using the GLB approach has been shown to scale to petaflops systems with tens of thousands of cores (Tardieu et al. 2014). Our baseline UTS implementation is similar but uses multiple threads/workers per place so we can fully utilize a node with a single place. It is only intended for the managed backend as it uses Java’s MessageDigest API for computing cryptographic hashes.

UTS measures the rate of traversal of a tree generated on the fly using a splittable random number generator. A sequential implementation of UTS maintains a queue of pending tree nodes to visit initialized with the root node. It repeatedly pops a node from the queue, computes and pushes back the children ids if any, until the queue is empty.

The distributed implementation divides this queue among many worker threads by dynamically migrating node ids from busy workers to idle workers using a combination of stealing and dealing. There is no central scheduler. An idle worker can request work from a random peer. The code has a simple structure. At the top a finish waits for all the workers to terminate. Requests and responses are implemented with remote tasks. There is more to the load balancing than random work stealing, but this does not fundamentally affect the fault tolerance problem.

To add resilience to UTS, the workers checkpoint their progress to a resilient store. Each worker stores how many nodes it processed so far, as well as the node ids in its queue. The lack of a central scheduler and global synchronization is important for the performance of the non-resilient algorithm. We want to preserve this property in the resilient code. Therefore workers independently decide when to checkpoint based on individual progress and idleness. Before sending work to an idle worker, the sender updates the checkpoints of both the sender and receivers in one transaction (see 4.2). While the collection of checkpoints is constantly changing and may never reflect the progress of all workers at one specific point in time, it is always correct, i.e., the aggregated node count is consistent with the aggregated pending node lists. Upon place failure, all workers abort (possibly doing a last checkpoint) and fresh workers load the checkpoint and resume the traversal.

For comparison purposes, we have implemented UTS in Spark using a map/reduce strategy. The tree traversal is divided into rounds. In each round the global pending node list is split into  $p$  fragments producing to  $p$  independent tasks that can be scheduled in parallel. Each task traverses up to  $n$  tree nodes before returning the updated node counts and lists to the global scheduler. We tuned  $p$  and  $n$  to achieve the best performance for our benchmark configuration.

Table 2. UTS execution times (seconds) and throughput (Mnodes/s) using Managed X10 and Spark

	Depth	Time	Throughput
Sequential X10	14	164.8	6.43
Non-resilient X10	18	267.7	1011.3
Resilient X10	18	268.4	1008.4
Resilient X10 + 3 Failures	18	277.3	976.1
Spark	18	376.8	718.8

*Evaluation.* Table 2 compares the execution time and the rate of traversal expressed in million nodes per second per worker of the sequential X10 code, the distributed non-resilient code, the resilient code without and with three place failures, and the Spark code. We run with managed X10. At scale, we use a tree of about 270M nodes (fixed

geometric law with seed 19 and depth 18). For the sequential code, we reduce the depth to 14. The throughput of the sequential code does not depend on the depth.

The sequential code achieves 6.43Mnodes/s in average. The distributed code achieves 98% of the sequential code efficiency. Adding fault-tolerance adds less than 1% overhead. Each place lost reduces throughput by about 1.1%. The failure-free resilient execution takes 268.4s in average. Each loss increases execution time by about 3s. Roughly half of the 3s is taken to detect the place failure and recover: updating the active place group and initializing the workers. We attribute the other half to lost work, startup cost, and the cost of rebuilding redundancy. While the spare place pool mitigates the startup latency, the fresh JVMs have not been trained to run the UTS code. Hazelcast rebuilds the resilient map redundancy in a few seconds taking resources away from the tree traversal and increasing the latency of the resilient store. Without a spare place pool, the recovery time increases to 14s per failure.

In comparison, the Spark implementation only achieves about 70% of the efficiency of the sequential X10 code (without node failures). This is not surprising. We observe that the generated tasks complete in anywhere between a few tens of milliseconds to a few seconds leading to a lot of imbalance. Overdecomposition does not improve this result.

### 5.3 KMeans Clustering

KMeans clustering is a commonly used kernel for unsupervised learning. We implement a distributed version of Lloyd’s iterative algorithm (Lloyd 2006) in X10. Our base implementation contains 220 lines of code. Implementing checkpoint/restore, adding resiliency testing scaffolding, and conforming to the `IterativeApp` interface of the global resilient executor framework of Section 3.4 required modifying 15 existing lines of code and adding 70 new lines. We use KMeans to demonstrate how the Resilient X10 programming model supports application kernels with substantial immutable distributed data structures (the input data) and modestly sized but rapidly changing mutable data (the current estimate of the cluster centroids). Thus, the initial checkpoint must persist GBs of input data while subsequent checkpoints need save very little state. The X10 code checkpoints after every iteration of the algorithm, since checkpointing time was just under 1% of the iteration time.

For comparison we use two variants of the KMeans algorithm from Spark’s MLLib. The first is the unchanged algorithm, which is capable of handling input data containing both sparse or dense vectors. The second is a hand-specialization of the default algorithm to only handle dense vectors, which is a fairer comparison to our X10 implementation. For both Spark variants we persisted the RDD containing the input data with `StorageLevel.MEMORY_ONLY_2` to match X10’s in-memory persistence strategy for this data.

Table 3. KMeans execution times (seconds)

	Total Time	Single Step
Managed X10	283.4	5.64
Resilient Managed X10	318.7	5.79
Resilient Managed X10 + 3 Failures	389.5	5.90
Native X10	195.9	3.90
Resilient Native X10	199.4	3.91
Resilient Native X10 + 3 Failures	229.9	3.90
Spark MLLib	473.6	8.92
Spark DenseVector	368.2	6.81

*Evaluation.* Table 3 shows the total execution times<sup>5</sup> and single step times for 50 steps of the KMeans algorithm configured to find 300 clusters over an input of 20,000,000 30-dimensional points represented as dense vectors. The initial checkpoint averaged 21.8 seconds for Resilient Managed X10 and 3.1 seconds for Resilient Native X10. Spark averaged 27 seconds to persist the input RDD. Checkpointing time accounts for 27.2 of the 35.3 second gap between Managed X10 and Resilient Managed X10. Runtime overheads, primarily that of the Hazelcast-based resilient finish, account for the remaining 8.1 seconds (3%) of overhead. These results also illustrate the advantage of Native X10 for numerically intensive kernels: it significantly outperforms Managed X10, which in turn outperforms Spark. On the runs with three failures, there is an average 70.8 second (23.6 per failure) performance drop for Resilient Managed X10. As with UTS, approximately 2 seconds can be attributed to failure detection and recovering the X10 runtime system. Restoring the application level state from a checkpoint averages 13 seconds a failure. We attribute the remaining 9 seconds to loss work (50% of an iteration is 3 seconds) and JVM warmup of the newly added place (which takes 3-5 iterations to reach peak performance). Since KMeans is an SPMD-style algorithm, performance is gated by the slowest place.

#### 5.4 Global Matrix Library: PageRank

The X10 Global Matrix Library (GML) implements distributed linear algebra operations over matrices in a variety of dense and sparse formats (Hamouda et al. 2015). It includes a set of benchmark codes using common algorithms for data analytics and machine learning. The core GML library consists of 20,500 lines of X10, 2,100 lines of C++ and 250 lines of Java. To support resilience in GML, snapshot and restore methods were implemented for the key matrix and vector classes.

We evaluate the cost of resilience for the GML PageRank benchmark using the SPMD resilient executor described in Section 3.4. Approximately 50 lines of codes were added or modified from the original implementation to conform with the `IterativeApp` interface. In contrast, Cunningham et al. (2014) were not able to base their resilient SpMV kernel on the existing GML code base; they wrote 536 lines of new custom code. We compare with the Spark/GraphX (Xin et al. 2014) PageRank SynthBenchmark implementation.

Table 4. PageRank execution times (seconds)

	Total Time	Single Step
Managed X10	292.6	9.75
Resilient Managed X10	440.8	10.4
Resilient Managed X10 + 3 Failures	684.1	14.9
Spark/GraphX	996.8	33.2

*Evaluation.* We measured the time to compute 30 iterations of PageRank for a randomized link matrix with 5 million pages and 633 million edges using a log-normal distribution of edges with  $\mu$  4 and  $\sigma$  1.3 as per Malewicz et al. (2010). For Spark/GraphX, the number of edge partitions `numEParts` was set to twice the total number of cores.

Table 4 shows the total time and time per iteration.<sup>6</sup> The times for Resilient X10 include the time required to checkpoint data. The first checkpoint for PageRank is very slow at 82.0s, as it includes the immutable link matrix (about 10GiB for this problem size). Subsequent checkpoints are much faster at 5.1s as they only store the mutable

<sup>5</sup>For KMeans, the 95% confidence interval for Resilient Managed X10 is 1.5% of the mean and 3.5% for Resilient Managed X10 with failures.

<sup>6</sup>For PageRank, the 95% confidence interval is 1.6% of the mean for Resilient X10 and 2.9% for Resilient X10 with failures.

PageRank vector (40MiB). Excluding the checkpointing time, the overhead of resiliency is about 6% over the non-resilient execution time.

Using a checkpoint time of 5.1s, we used Young’s formula to approximate the optimum checkpoint interval for each problem size:  $\sqrt{2 * t_{\text{checkpoint}} * \text{MTBF}}$ , where MTBF is the mean time to failure (Young 1974). Assuming a high failure probability—MTBF of 60 seconds for the full cluster—the optimum checkpoint interval is 24.7s or approximately 3 iterations.

Resilient Managed X10 is around 2.3× faster than Spark/GraphX. For comparison, Kabiljo et al. (2016) report an Apache Giraph implementation of PageRank is 2× to 4× faster than Spark/GraphX (for a large Twitter graph).

On the runs with three failures, there is an average 244 second performance drop for Resilient Managed X10 (81s per failure). Approximately 2s per failure can be attributed to failure detection and recovering the X10 runtime system. Restoring the application-level state from a checkpoint averages 31.8s per failure. Another 21s is attributable to the loss of an average of two iterations per failure. We conjecture the significant slowdown of the average iteration time results from the combination of a cold JVM—GML PageRank is a much larger body of code than, say, KMeans—and the overhead of the memory management associated with the large amount of resilient data. Even with 3 failures, Resilient Managed X10 remains around 30% faster than Spark/GraphX running with no failures.

## 5.5 Scientific Simulations: LULESH

The LULESH proxy application (Karlin et al. 2013) simulates shock hydrodynamics on an unstructured mesh. Each place holds a rectangular block of elements, as well as the nodes that define those elements. At each time step, a series of stencil operations are applied to update node-centered kinematic variables and element-centered thermodynamic variables. As the stencil operations require element- or node-centered values from a local neighborhood, it is necessary to exchange boundary or ghost regions between neighboring processes. The ghost region exchange is implemented between neighbors using global references to pre-arranged communication buffers and pair-wise synchronized asynchronous get and put operations. LULESH also includes a spectrum of intra-place concurrent loops that rely on local **finish/async** patterns. Each iteration, all places agree on an adaptive time step using a collective allreduce operation. The X10 implementation of LULESH exploits both intra- and inter-node parallelism, and is around 10% faster than the reference implementation using C++/OpenMP/MPI across a range from 125 to 4,096 places (750 to 24,576 cores) (Milthorpe et al. 2015).

We modify LULESH to use logical places and the SPMD resilient executor described in Section 3.4, and added support for checkpoint/restore of all of its per-place data structures. LULESH contains approximately 4,100 lines of code; supporting resiliency entailed adding 106 new lines and modifying 94 other lines. Our LULESH code is a significantly more realistic example of a scientific simulation than the 175 line Heat Transfer kernel used in Cunningham et al. (2014).

*Evaluation.* Table 5 shows the execution time in seconds using Native X10. We do not report times for LULESH on Managed X10 because LULESH heavily relies on stack allocation of worker-local temporary arrays for performance in its parallel for loops. Since Managed X10 does not support this Native X10 feature, LULESH performs quite poorly on it.

We use a problem size of  $35^3$  elements per place running with 8 places.<sup>7</sup> At this problem size, LULESH has an average checkpoint time of 0.097 seconds. Applying Young’s formula and assuming MTBF of 60 seconds yields an optimal checkpoint interval of 3.4 seconds, which corresponds to checkpointing every 38 steps. For 8 places and  $35^3$  elements per place, the simulation takes a total of 2,402 time steps. Resilient X10 takes 6.4 seconds (3%) longer than non-resilient. Of this, 6 seconds is checkpointing and 0.4 is attributable to resilient finish (0.2%). On the runs

<sup>7</sup>LULESH requires a cubic number of places; to be consistent with our other experiments we run one place per node and thus have a max of 8 places possible on our 23 node cluster.

Table 5. LULESH execution times (seconds)

	Total Time	Single Step
Native X10	210.2	0.0875
Resilient Native X10	216.6	0.0875
Resilient Native X10 + 3 Failures	233.1	0.0890

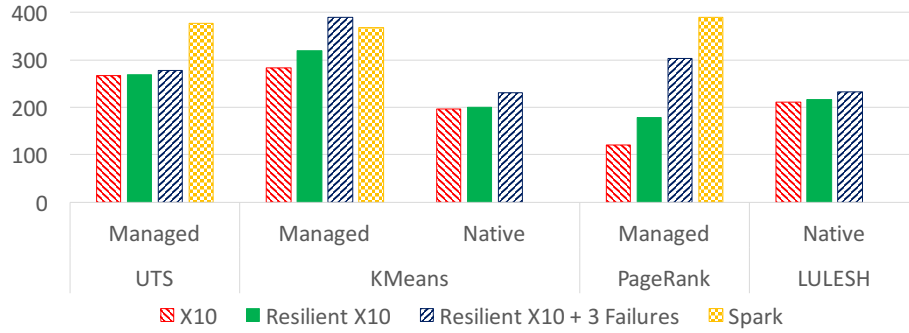


Fig. 3. Execution times for all the benchmarks (seconds)

with three failures, there is an average 16.5 second (5.5 per failure) performance drop. Approximately 1.5 seconds can be attributed to failure detection and recovery of the X10 runtime system, 0.5 seconds to application-level recovery, and the remaining 3.5 seconds to lost work.

## 5.6 Summary

Figure 3 summarizes the performance results across all the benchmarks and configurations. We observe that Resilient X10 always outperforms Spark. This confirms two things. First, the expressivity and level of control offered by the Resilient X10 programming model does not come at the expense of performance. Even for application kernels for which the Spark programming model is well suited, e.g., KMeans, Resilient X10 can match or exceed Spark performance. Second, Resilient X10 can deliver much higher levels of efficiency for applications that are not as well suited for Spark, e.g., UTS. In UTS, Resilient X10 has an overhead of less than 3% compared the sequential throughput, Spark is much higher at 30%. Moreover, with X10 there is the opportunity to go native, and for computationally intensive codes this is often a clear win as illustrated by the KMeans benchmark.

While some applications have higher resiliency overheads than others, these overheads are almost entirely due to application-level checkpointing. We have compared the checkpointing costs for instance for KMeans between Resilient X10 and Spark and found them to be comparable.

Moreover, the experimental setup we have chosen—3 failures in 5-minute runs—over-emphasizes the checkpointing costs. First, the initial checkpoint is often very expensive but it is only needed once (and alternative implementations could be considered such as reloading input data from disk). With our configuration, the initial checkpoint is not amortized and amounts to a significant fraction of the execution time. Second, we implemented very frequent checkpoints to optimize for very frequent failures. With a MTBF of one day instead of one minute, the checkpointing interval (respectively overhead) would be multiplied (respectively divided) by 38. Concretely, across all four benchmarks, for a 2-hour long run with a checkpointing interval adjusted for a 24-hour MTBF, the

checkpointing overhead drops below 1%. In short, in real-world use cases, we expect the resilient code to be barely slower than the non-resilient code.

Finally, we have shown that, across all the benchmarks, the downtime consecutive to a place failure never exceeds 2 seconds. In other words, 2 seconds after a failure the application code is already busy restoring data from the resilient store or even computing.

## 6 OTHER RELATED WORK

The relationship between Resilient X10 and Big Data frameworks such as MapReduce and Spark was discussed in Section 1. The relationship to previous work on Resilient X10 was covered in Sections 2 and 4. Due to space constraints, we do not repeat those discussions here.

HPC applications have long relied on coordinated checkpoint/restart both as a mechanism for resiliency and to decompose long-running applications into more scheduleable units of work (Elnozahy et al. 2002; Sato et al. 2012). Resilient X10 naturally supports a checkpoint/restart model by providing a resilient store abstraction and the APGAS control constructs needed to synchronize checkpoint/restart tasks across all involved Places. In response to increasing system scale, more loosely synchronized approaches have been explored based on message logging and deterministic replay (Guermouche et al. 2011; Jonathan Lifflander et al. 2014).

Partially fault-tolerant implementations of GLB and UTS have been described in (Fohry and Bungart 2016; Fohry et al. 2015). But these implementations can still fail even after a single place failure if the failure hits at the worst possible time.

Approximate computing represents an alternative approach to resiliency that simply suppresses some failures based on the observation that some computations are inherently approximate or probabilistic. In some cases, analysis can be applied to obtain bounds on the distortion of discarding the results of failed tasks (Rinard 2006). Because Resilient X10 enables the application programmer to control their fault tolerance and recovery strategies, various approximate computing approaches as well as algorithmic-based fault tolerance (Bosilca et al. 2009) can be naturally expressed in Resilient X10 as illustrated in the original Resilient X10 paper (Cunningham et al. 2014).

## 7 CONCLUSIONS

This technical report describes the evolution of Resilient X10 into a powerful and practical programming framework for implementing high performance distributed and resilient applications. While the Resilient X10 semantics remain the foundation of this work, the lack of data resilience in the original programming model design drastically limited its usefulness. Conversely in-memory data grids such as Hazelcast lack a rich tasking model capable of orchestrating parallel and distributed computations. In this work, we combine the two in a seamless way: the data and control semantics obey the happens-before invariance principle; heap and resilient stores are organized along the same PGAS abstraction.

New capabilities such as elasticity, logical places, and non-shrinking recovery provide powerful new options to the application programmer. These capabilities significantly reduce the complexity of implementing stateful applications designed to survive failure and preserve the core productivity and performance benefits of the APGAS programming model.

As further developed in this technical report, the Resilient X10 programming model naturally supports Big Data paradigms such as those supported by MapReduce or Spark. In addition, Resilient X10 also supports classes of applications with complex distributed communication patterns, shared mutable distributed state, and dynamic fine-grained work generation. The Resilient X10 model also enables a spectrum of recovery techniques ranging from checkpoint/restart, to resilient data structures, to approximate computing and algorithmic fault tolerance. We strongly believe this generality and flexibility is essential to accelerate the adoption of datacenter-scale computing infrastructure in an ever-increasing number of application domains.

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