
MODULE *AsyncFinishReplication*

EXTENDS *Integers*

CONSTANTS *CLIENT_NUM*, the number of clients
 MAX_KILL maximum allowed kill events

VARIABLES *state*, the program state, running or terminated
 clients, clients sending value update requests to
 master and backup
 master, pool of master instances, only one is active
 backup, pool of backup instances, only one is active
 msgs, in-flight messages
 killed, number of invoked kill actions to master or
 backup
 tmp

Vars \triangleq $\langle tmp, state, clients, master, backup, msgs, killed \rangle$

C \triangleq INSTANCE *Commons*

TypeOK \triangleq
Variables type constrains
 $\wedge clients \in [C!CLIENT_ID \rightarrow C!Client]$
 $\wedge master \in [C!INSTANCE_ID \rightarrow C!Master]$
 $\wedge backup \in [C!INSTANCE_ID \rightarrow C!Backup]$
 $\wedge state \in \{ "running", "terminated", "fatal" \}$
 $\wedge msgs \subseteq C!Messages$
 $\wedge killed \in 0 .. MAX_KILL$

StateOK \triangleq
State invariants:
- master *version* \geq backup *version*
- upon termination, the final *version* = the number of clients
- if a fatal error occurred, this must indicate the failure of both the master and the backup known by the client

LET *curMaster* \triangleq *C!LastKnownMaster*
 curBackup \triangleq *C!LastKnownBackup*
IN $\wedge curMaster.version \geq curBackup.version$
 \wedge IF *state* = "terminated"
 THEN $\wedge curMaster.version = CLIENT_NUM$
 $\wedge curBackup.version = CLIENT_NUM$
 ELSE $\wedge curMaster.version \leq CLIENT_NUM$
 $\wedge curBackup.version \leq CLIENT_NUM$
 \wedge IF *state* = "fatal"
 THEN $\exists c \in C!CLIENT_ID :$
 $\wedge clients[c].phase = C!PH2_COMPLETED_FATAL$

$$\begin{aligned}
& \wedge \text{master}[\text{clients}[c].\text{masterId}].\text{status} = C!\text{INST_STATUS_LOST} \\
& \wedge \text{IF } \text{clients}[c].\text{backupId} \neq C!\text{UNKNOWN_ID} \\
& \quad \text{THEN } \text{backup}[\text{clients}[c].\text{backupId}].\text{status} = C!\text{INST_STATUS_LOST} \\
& \quad \text{ELSE TRUE} \\
& \text{ELSE TRUE}
\end{aligned}$$

MustTerminate \triangleq

The program must terminate by having all clients complete their update actions on both master and backup

$\Diamond(\text{state} \in \{\text{"terminated"}, \text{"fatal"}\})$

Init \triangleq

Initialiaze variables

$\wedge \text{state} = \text{"running"}$

$\wedge \text{clients} = [i \in C!\text{CLIENT_ID} \mapsto [id \mapsto i, \text{phase} \mapsto C!\text{PH1_PENDING},$
 $\text{value} \mapsto i, \text{masterId} \mapsto C!\text{FIRST_ID}, \text{backupId} \mapsto C!\text{UNKNOWN_ID}]]$

$\wedge \text{backup} = [i \in C!\text{INSTANCE_ID} \mapsto$

IF $i = C!\text{FIRST_ID}$

THEN $[id \mapsto C!\text{FIRST_ID}, \text{masterId} \mapsto C!\text{FIRST_ID}, \text{status} \mapsto C!\text{INST_STATUS_ACTIVE},$
 $\text{value} \mapsto 0, \text{version} \mapsto 0]$

ELSE $[id \mapsto i, \text{masterId} \mapsto C!\text{UNKNOWN_ID}, \text{status} \mapsto C!\text{INST_STATUS_NULL},$
 $\text{value} \mapsto 0, \text{version} \mapsto 0]]$

$\wedge \text{master} = [i \in C!\text{INSTANCE_ID} \mapsto$

IF $i = C!\text{FIRST_ID}$

THEN $[id \mapsto C!\text{FIRST_ID}, \text{backupId} \mapsto C!\text{FIRST_ID}, \text{status} \mapsto C!\text{INST_STATUS_ACTIVE},$
 $\text{value} \mapsto 0, \text{version} \mapsto 0]$

ELSE $[id \mapsto i, \text{backupId} \mapsto C!\text{UNKNOWN_ID}, \text{status} \mapsto C!\text{INST_STATUS_NULL},$
 $\text{value} \mapsto 0, \text{version} \mapsto 0]]$

$\wedge \text{msgs} = \{\}$

$\wedge \text{killed} = 0$

$\wedge \text{tmp} = \{\}$

AtLeastOneClientStarted \triangleq

We use this condition to prevent killing a master or backup before at least one client starts

$\vee \wedge \text{killed} > 0$

$\vee \wedge \text{killed} = 0$

$\wedge \exists c \in C!\text{CLIENT_ID} : \text{clients}[c].\text{phase} \neq C!\text{PH1_PENDING}$

KillMaster \triangleq

Kill the active master instance.

$\wedge \text{state} = \text{"running"}$

$\wedge \text{AtLeastOneClientStarted}$

$\wedge \text{killed} < \text{MAX_KILL}$

\wedge LET $activeM \triangleq C!FindMaster(C!INST_STATUS_ACTIVE)$
 IN $\wedge activeM \neq C!NOT_MASTER$
 $\wedge master' = [master \text{ EXCEPT } ![activeM.id].status = C!INST_STATUS_LOST]$
 $\wedge killed' = killed + 1$
 \wedge UNCHANGED $\langle tmp, state, clients, backup, msgs \rangle$

$KillBackup \triangleq$

Kill the active backup instance.

$\wedge state = \text{"running"}$
 $\wedge AtLeastOneClientStarted$
 $\wedge killed < MAX_KILL$
 \wedge LET $activeB \triangleq C!FindBackup(C!INST_STATUS_ACTIVE)$
 IN $\wedge activeB \neq C!NOT_BACKUP$
 $\wedge backup' = [backup \text{ EXCEPT } ![activeB.id].status = C!INST_STATUS_LOST]$
 $\wedge killed' = killed + 1$
 \wedge UNCHANGED $\langle tmp, state, clients, master, msgs \rangle$

$C_Start \triangleq$

Client start the replication process by sending "do" to master

$\wedge state = \text{"running"}$
 \wedge LET $client \triangleq C!FindClient(C!PH1_PENDING)$
 IN $\wedge client \neq C!NOT_CLIENT$
 $\wedge C!SendMsg([from \mapsto \text{"c"},$
 $to \mapsto \text{"m"},$
 $clientId \mapsto client.id,$
 $masterId \mapsto client.masterId,$
 $backupId \mapsto C!UNKNOWN_ID,$
 $value \mapsto client.value,$
 $tag \mapsto \text{"masterDo"}])$
 $\wedge clients' = [clients \text{ EXCEPT } ![client.id].phase = C!PH2_WORKING]$
 \wedge UNCHANGED $\langle tmp, state, master, backup, killed \rangle$

$M_HandleDo \triangleq$

Master receiving "do", updating value, and sending "done"

$\wedge state = \text{"running"}$
 \wedge LET $msg \triangleq C!FindMessageToWithTag(\text{"m"}, C!INST_STATUS_ACTIVE, \text{"masterDo"})$
 IN $\wedge msg \neq C!NOT_MESSAGE$
 $\wedge master' = [master \text{ EXCEPT } ![msg.masterId].value = master[msg.masterId].value + msg.value,$
 $![msg.masterId].version = master[msg.masterId].version + 1]$
 $\wedge C!ReplaceMsg(msg, [from \mapsto \text{"m"},$
 $to \mapsto \text{"c"},$
 $clientId \mapsto msg.clientId,$
 $masterId \mapsto msg.masterId,$
 $backupId \mapsto master[msg.masterId].backupId,$
 $value \mapsto 0,$

$C_HandleBackupDone \triangleq$

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Client receiving "done" from backup. Replication completed
 $\wedge state = \text{"running"}$ 
 $\wedge \text{LET } msg \triangleq C!FindMessageToClient(\text{"b"}, \text{"backupDone"})$ 
  IN  $\wedge msg \neq C!NOT\_MESSAGE$ 
       $\wedge C!RecvMsg(msg)$ 
       $\wedge clients' = [clients \text{ EXCEPT } ![msg.clientId].phase = C!PH2\_COMPLETED]$ 
 $\wedge \text{UNCHANGED } \langle tmp, state, master, backup, killed \rangle$ 

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$Sys_NotifyMasterFailure \triangleq$

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System notifying client of a dead master
 $\wedge state = \text{"running"}$ 
 $\wedge \text{LET } msg \triangleq C!FindMessageTo(\text{"m"}, C!INST\_STATUS\_LOST)$ 
  IN  $\wedge msg \neq C!NOT\_MESSAGE$ 
       $\wedge \text{LET } notifyTag \triangleq \text{IF } msg.tag = \text{"masterDo"}$ 
          THEN  $\text{"masterDoFailed"}$ 
          ELSE IF  $msg.tag = \text{"masterGetNewBackup"}$ 
              THEN  $\text{"masterGetNewBackupFailed"}$ 
              ELSE  $\text{"INVALID"}$  this should be unreachable
      IN  $\wedge notifyTag \neq \text{"INVALID"}$ 
           $\wedge C!ReplaceMsg(msg,$ 
               $[from \mapsto \text{"sys"},$ 
               $to \mapsto \text{"c"},$ 
               $clientId \mapsto msg.clientId,$ 
               $masterId \mapsto C!UNKNOWN\_ID,$ 
               $backupId \mapsto C!UNKNOWN\_ID,$ 
               $value \mapsto 0,$ 
               $tag \mapsto notifyTag])$ 
           $\wedge \text{UNCHANGED } \langle tmp, state, clients, master, backup, killed \rangle$ 

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$Sys_NotifyBackupFailure \triangleq$

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System notifying client of a dead backup
 $\wedge state = \text{"running"}$ 
 $\wedge \text{LET } msg \triangleq C!FindMessageTo(\text{"b"}, C!INST\_STATUS\_LOST)$ 
  IN  $\wedge msg \neq C!NOT\_MESSAGE$ 
       $\wedge \text{LET } notifyTag \triangleq \text{IF } msg.tag = \text{"backupDo"}$ 
          THEN  $\text{"backupDoFailed"}$ 
          ELSE IF  $msg.tag = \text{"backupGetNewMaster"}$ 
              THEN  $\text{"backupGetNewMasterFailed"}$ 
              ELSE  $\text{"INVALID"}$  this should be unreachable
      IN  $\wedge notifyTag \neq \text{"INVALID"}$ 
           $\wedge C!ReplaceMsg(msg,$ 
               $[from \mapsto \text{"sys"},$ 
               $to \mapsto \text{"c"},$ 

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$clientId \mapsto msg.clientId,$
 $masterId \mapsto C!UNKNOWN_ID,$
 $backupId \mapsto C!UNKNOWN_ID,$
 $value \mapsto 0,$
 $tag \mapsto notifyTag])$
 $\wedge \text{UNCHANGED } \langle tmp, \text{ state}, clients, master, backup, killed \rangle$

$C_HandleMasterDoFailed \triangleq$

Client received the system's notification of a dead master, and is requesting the backup to return the new master info

$\wedge \text{state} = \text{"running"}$
 $\wedge \text{LET } msg \triangleq C!FindMessageToClient(\text{"sys"}, \text{"masterDoFailed"})$
 $\quad knownBackup \triangleq \text{IF } msg \neq C!NOT_MESSAGE$
 $\quad \quad \text{THEN } C!SearchForBackup$
 $\quad \quad \text{ELSE } C!NOT_BACKUP$
 $\text{IN } \wedge msg \neq C!NOT_MESSAGE$
 $\quad \wedge \text{IF } knownBackup = C!NOT_BACKUP$
 $\quad \quad \text{THEN } \wedge C!RecvMsg(msg)$
 $\quad \quad \quad \wedge \text{state}' = \text{"fatal"}$
 $\quad \quad \quad \wedge clients' = [clients \text{ EXCEPT } ![msg.clientId].phase = C!PH2_COMPLETED_FATAL]$
 $\quad \quad \text{ELSE } \wedge C!ReplaceMsg(msg, [from \mapsto \text{"c"},$
 $\quad \quad \quad to \mapsto \text{"b"},$
 $\quad \quad \quad clientId \mapsto msg.clientId,$
 $\quad \quad \quad \text{send the client's master knowledge,}$
 $\quad \quad \quad \text{to force the backup to not respond until rereplication}$
 $\quad \quad \quad masterId \mapsto clients[msg.clientId].masterId,$
 $\quad \quad \quad backupId \mapsto knownBackup.id,$
 $\quad \quad \quad value \mapsto 0,$
 $\quad \quad \quad tag \mapsto \text{"backupGetNewMaster"}])$
 $\quad \wedge \text{state}' = \text{state}$
 $\quad \wedge clients' = clients$
 $\wedge \text{UNCHANGED } \langle tmp, \text{ master}, backup, killed \rangle$

$C_HandleBackupDoFailed \triangleq$

Client received the system's notification of a dead backup, and is requesting the master to return the new backup info

$\wedge \text{state} = \text{"running"}$
 $\wedge \text{LET } msg \triangleq C!FindMessageToClient(\text{"sys"}, \text{"backupDoFailed"})$
 $\text{IN } \wedge msg \neq C!NOT_MESSAGE$
 $\quad \wedge C!ReplaceMsg(msg, [from \mapsto \text{"c"},$
 $\quad \quad to \mapsto \text{"m"},$
 $\quad \quad clientId \mapsto msg.clientId,$
 $\quad \quad masterId \mapsto clients[msg.clientId].masterId,$
 $\quad \quad \text{send the client's backup knowledge,}$
 $\quad \quad \text{to force the master to not respond until rereplication}$

$backupId \mapsto clients[msg.clientId].backupId,$
 $value \mapsto 0,$
 $tag \mapsto \text{"masterGetNewBackup"})$

$\wedge \text{UNCHANGED } \langle tmp, \quad state, clients, master, backup, killed \rangle$

$M_HandleGetNewBackup \triangleq$

Master responding to client with updated backup identity

$\wedge state = \text{"running"}$
 $\wedge \text{LET } msg \triangleq C!FindMessageToWithTag(\text{"m"}, C!INST_STATUS_ACTIVE, \text{"masterGetNewBackup"})$
 $\text{IN } \wedge msg \neq C!NOT_MESSAGE$
 $\quad \text{master must not respond until it recovers the dead backup}$
 $\wedge msg.backupId \neq master[msg.masterId].backupId$
 $\wedge C!ReplaceMsg(msg, [from \mapsto \text{"m"},$
 $\quad to \mapsto \text{"c"},$
 $\quad clientId \mapsto msg.clientId,$
 $\quad masterId \mapsto msg.masterId,$
 $\quad backupId \mapsto master[msg.masterId].backupId,$
 $\quad value \mapsto 0,$
 $\quad tag \mapsto \text{"newBackupId"}])$
 $\wedge \text{UNCHANGED } \langle tmp, \quad state, clients, master, backup, killed \rangle$

$B_HandleGetNewMaster \triangleq$

Backup responding to client with updated master identity

$\wedge state = \text{"running"}$
 $\wedge \text{LET } msg \triangleq C!FindMessageToWithTag(\text{"b"}, C!INST_STATUS_ACTIVE, \text{"backupGetNewMaster"})$
 $\text{IN } \wedge msg \neq C!NOT_MESSAGE$
 $\quad \text{backup must not respond until it recovers the dead master}$
 $\wedge msg.masterId \neq backup[msg.backupId].masterId$
 $\wedge C!ReplaceMsg(msg, [from \mapsto \text{"b"},$
 $\quad to \mapsto \text{"c"},$
 $\quad clientId \mapsto msg.clientId,$
 $\quad masterId \mapsto backup[msg.backupId].masterId,$
 $\quad backupId \mapsto msg.backupId,$
 $\quad value \mapsto 0,$
 $\quad tag \mapsto \text{"newMasterId"}])$
 $\wedge \text{UNCHANGED } \langle tmp, \quad state, clients, master, backup, killed \rangle$

$C_HandleBackupGetNewMasterFailed \triangleq$

The client handling the failure of the backup, when the client asked the backup to return the new master identity. The client manually searches for the master. If manual search does not find a master, a fatal error occurs. Otherwise, the client updates its *masterId* and eventually restarts. Restarting is safe because this action is reached only if "masterDo" fails

$\wedge state = \text{"running"}$
 $\wedge \text{LET } msg \triangleq C!FindMessageToClient(\text{"sys"}, \text{"backupGetNewMasterFailed"})$

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    searchManually  $\triangleq$   $msg \neq C!NOT\_MESSAGE$ 
    foundMaster  $\triangleq$   $C!SearchForMaster$ 
IN    $\wedge msg \neq C!NOT\_MESSAGE$ 
       $\wedge searchManually$ 
       $\wedge C!RecvMsg(msg)$ 
       $\wedge$  IF  $foundMaster = C!NOT\_MASTER$  no live master found
        THEN  $\wedge state' = \text{"fatal"}$ 
           $\wedge clients' = [clients \text{ EXCEPT } ![msg.clientId].phase = C!PH2\_COMPLETED\_FATAL]$ 
        ELSE  $\wedge state' = state$ 
          at this point, the live master must have been changed
           $\wedge foundMaster.id \neq clients[msg.clientId].masterId$ 
          change status to pending to be eligible for restart
           $\wedge clients' = [clients \text{ EXCEPT } ![msg.clientId].masterId = foundMaster.id,$ 
             $![msg.clientId].phase = C!PH1\_PENDING]$ 
       $\wedge$  UNCHANGED  $\langle tmp, \quad master, \quad backup, \quad killed \rangle$ 

C_HandleMasterGetNewBackupFailed  $\triangleq$ 
The client handling the failure of the master when the client asked the master to return the new backup identity. The failure of the master is fatal. If a recovered master exists we should not search for it, because it may have the old version before masterDone.
 $\wedge state = \text{"running"}$ 
 $\wedge$  LET  $msg \triangleq C!FindMessageToClient(\text{"sys"}, \text{"masterGetNewBackupFailed"})$ 
IN    $\wedge msg \neq C!NOT\_MESSAGE$ 
       $\wedge state' = \text{"fatal"}$ 
       $\wedge clients' = [clients \text{ EXCEPT } ![msg.clientId].phase = C!PH2\_COMPLETED\_FATAL]$ 
       $\wedge C!RecvMsg(msg)$ 
 $\wedge$  UNCHANGED  $\langle tmp, \quad master, \quad backup, \quad killed \rangle$ 



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C_UpdateBackupId  $\triangleq$ 
 $\wedge state = \text{"running"}$ 
 $\wedge$  LET  $msg \triangleq C!FindMessageToClient(\text{"m"}, \text{"newBackupId"})$ 
IN    $\wedge msg \neq C!NOT\_MESSAGE$  receive new backup identity, and complete request, don't restart, master is alive and up to date
       $\wedge C!RecvMsg(msg)$ 
       $\wedge clients' = [clients \text{ EXCEPT } ![msg.clientId].backupId = msg.backupId,$ 
         $![msg.clientId].phase = C!PH2\_COMPLETED]$ 
 $\wedge$  UNCHANGED  $\langle tmp, \quad state, \quad master, \quad backup, \quad killed \rangle$ 

C_UpdateMasterIdAndRestart  $\triangleq$ 
Client receiving a new master identify from a live backup and is preparing to restart
 $\wedge state = \text{"running"}$ 
 $\wedge$  LET  $msg \triangleq C!FindMessageToClient(\text{"b"}, \text{"newMasterId"})$ 
IN    $\wedge msg \neq C!NOT\_MESSAGE$ 
       $\wedge C!RecvMsg(msg)$ 

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$M_DetectBackupLost \triangleq$

Master detected backup failure and is getting ready to recovery it

$\wedge state = \text{"running"}$

$\wedge \text{LET } activeM \triangleq C!FindMaster(C!INST_STATUS_ACTIVE)$

$liveB \triangleq C!LiveBackup$

IN $\wedge activeM \neq C!NOT_MASTER$ master is active

$\wedge liveB = C!NOT_BACKUP$ backup is lost

$\wedge master' = [master \text{ EXCEPT } ![activeM.id].status = C!INST_STATUS_BUSY]$

$\wedge \text{UNCHANGED } \langle tmp, state, clients, backup, msgs, killed \rangle$

$M_RecoverBackup \triangleq$

Master creating a new backup using its own state. Master does not process any client requests during recovery

$\wedge state = \text{"running"}$

$\wedge \text{LET } busyM \triangleq C!FindMaster(C!INST_STATUS_BUSY)$

$lostB \triangleq C!LastLostBackup$

IN $\wedge lostB \neq C!NOT_BACKUP$ a lost backup exists

$\wedge busyM \neq C!NOT_MASTER$ master is busy recovering master

$\wedge \text{LET } newBackupId \triangleq lostB.id + 1$

IN $\wedge newBackupId \leq C!MAX_INSTANCE_ID$

$\wedge backup' = [backup \text{ EXCEPT } ![newBackupId].status = C!INST_STATUS_ACTIVE,$

$![newBackupId].masterId = busyM.id,$

$![newBackupId].value = busyM.value,$

$![newBackupId].version = busyM.version]$

$\wedge master' = [master \text{ EXCEPT } ![busyM.id].status = C!INST_STATUS_ACTIVE,$

$![busyM.id].backupId = newBackupId]$

$\wedge \text{UNCHANGED } \langle tmp, state, clients, msgs, killed \rangle$

$B_DetectMasterLost \triangleq$

Backup detected master failure and is getting ready to recover it

$\wedge state = \text{"running"}$

$\wedge \text{LET } liveM \triangleq C!SearchForMaster$

$activeB \triangleq C!FindBackup(C!INST_STATUS_ACTIVE)$

IN $\wedge liveM = C!NOT_MASTER$ master is not active

$\wedge activeB \neq C!NOT_BACKUP$ backup is active

$\wedge backup' = [backup \text{ EXCEPT } ![activeB.id].status = C!INST_STATUS_BUSY]$

$\wedge \text{UNCHANGED } \langle tmp, state, clients, master, msgs, killed \rangle$

$B_RecoverMaster \triangleq$

Backup creating a new master using its own state. Backup does not process any client requests during recovery

$\vee \text{ TerminateSuccessfully}$

$Liveness \triangleq$

- $\wedge \text{WF}_{Vars}(\text{KillMaster})$
- $\wedge \text{WF}_{Vars}(\text{KillBackup})$
- $\wedge \text{WF}_{Vars}(\text{C_Start})$
- $\wedge \text{WF}_{Vars}(\text{M_HandleDo})$
- $\wedge \text{WF}_{Vars}(\text{C_HandleMasterDone})$
- $\wedge \text{WF}_{Vars}(\text{B_HandleDo})$
- $\wedge \text{WF}_{Vars}(\text{C_HandleBackupDone})$
- $\wedge \text{WF}_{Vars}(\text{Sys_NotifyMasterFailure})$
- $\wedge \text{WF}_{Vars}(\text{Sys_NotifyBackupFailure})$
- $\wedge \text{WF}_{Vars}(\text{C_HandleMasterDoFailed})$
- $\wedge \text{WF}_{Vars}(\text{C_HandleBackupDoFailed})$
- $\wedge \text{WF}_{Vars}(\text{M_HandleGetNewBackup})$
- $\wedge \text{WF}_{Vars}(\text{B_HandleGetNewMaster})$
- $\wedge \text{WF}_{Vars}(\text{C_HandleBackupGetNewMasterFailed})$
- $\wedge \text{WF}_{Vars}(\text{C_HandleMasterGetNewBackupFailed})$
- $\wedge \text{WF}_{Vars}(\text{C_UpdateBackupId})$
- $\wedge \text{WF}_{Vars}(\text{C_UpdateMasterIdAndRestart})$
- $\wedge \text{WF}_{Vars}(\text{M_DetectBackupLost})$
- $\wedge \text{WF}_{Vars}(\text{M_RecoverBackup})$
- $\wedge \text{WF}_{Vars}(\text{B_DetectMasterLost})$
- $\wedge \text{WF}_{Vars}(\text{B_RecoverMaster})$
- $\wedge \text{WF}_{Vars}(\text{TerminateSuccessfully})$

Specification

$Spec \triangleq \text{Init} \wedge \square[Next]_{Vars} \wedge Liveness$

THEOREM $Spec \Rightarrow \square(\text{TypeOK} \wedge \text{StateOK})$

\ * Modification History
 \ * Last modified Mon Mar 19 20:30:28 AEDT 2018 by u5482878
 \ * Last modified Sat Mar 17 16:42:36 AEDT 2018 by shamouda
 \ * Created Mon Mar 05 13:44:57 AEDT 2018 by u5482878