
MODULE *AsyncFinishReplication*

EXTENDS *Integers*

CONSTANTS *CLIENT_NUM*, the number of clients
 MAX_KILL maximum allowed kill events

VARIABLES *state*, the program state, running or terminated
 clients, clients sending value update requests to master and backup
 master, pool of master instances, only one is active
 backup, pool of backup instances, only one is active
 msgs, in-flight messages
 killed number of invoked kill actions to master or backup

Vars \triangleq (*state*, *clients*, *master*, *backup*, *msgs*, *killed*)

C \triangleq INSTANCE *Commons*

TypeOK \triangleq
Variables type constrains
 $\wedge \textit{clients} \in [C!CLIENT_ID \rightarrow C!Client]$
 $\wedge \textit{master} \in [C!INSTANCE_ID \rightarrow C!Master]$
 $\wedge \textit{backup} \in [C!INSTANCE_ID \rightarrow C!Backup]$
 $\wedge \textit{state} \in \{\text{"running"}, \text{"terminated"}, \text{"fatal"}\}$
 $\wedge \textit{msgs} \subseteq C!Messages$
 $\wedge \textit{killed} \in 0 \dots MAX_KILL$

StateOK \triangleq
State invariants :
– *master version* \geq *backup version*
– upon termination, the final *version* = the number of *clients*
– if a fatal error occurred, this must indicate the failure of both the master and the backup known by the client

LET *curMaster* \triangleq *C!LastKnownMaster*
 curBackup \triangleq *C!LastKnownBackup*

IN $\wedge \textit{curMaster.version} \geq \textit{curBackup.version}$
 \wedge IF *state* = "terminated"
 THEN $\wedge \textit{curMaster.version} = CLIENT_NUM$
 $\wedge \textit{curBackup.version} = CLIENT_NUM$
 ELSE $\wedge \textit{curMaster.version} \leq CLIENT_NUM$
 $\wedge \textit{curBackup.version} \leq CLIENT_NUM$
 \wedge IF *state* = "fatal"
 THEN $\exists c \in C!CLIENT_ID$:
 $\wedge \textit{clients}[c].\textit{phase} = C!PH2_COMPLETED_FATAL$
 $\wedge \textit{master}[\textit{clients}[c].\textit{masterId}].\textit{status} = C!INST_STATUS_LOST$
 \wedge IF *clients*[*c*].*backupId* \neq *C!UNKNOWN_ID*
 THEN *backup*[*clients*[*c*].*backupId*].*status* = *C!INST_STATUS_LOST*

ELSE TRUE
ELSE TRUE

$\text{MustTerminate} \triangleq$

The program must terminate by having all clients complete their update actions on both master and backup

$\Diamond(\text{state} \in \{\text{"terminated"}, \text{"fatal"}\})$

$\text{Init} \triangleq$

Initialiaze variables

$\wedge \text{state} = \text{"running"}$

$\wedge \text{clients} = [i \in C! \text{CLIENT_ID} \mapsto [id \mapsto i, \text{phase} \mapsto C! \text{PH1_PENDING},$
 $\text{value} \mapsto i, \text{masterId} \mapsto C! \text{FIRST_ID}, \text{backupId} \mapsto C! \text{UNKNOWN_ID}]]$

$\wedge \text{backup} = [i \in C! \text{INSTANCE_ID} \mapsto$

IF $i = C! \text{FIRST_ID}$

THEN $[id \mapsto C! \text{FIRST_ID}, \text{masterId} \mapsto C! \text{FIRST_ID}, \text{status} \mapsto C! \text{INST_STATUS_ACTIVE},$
 $\text{value} \mapsto 0, \text{version} \mapsto 0]$

ELSE $[id \mapsto i, \text{masterId} \mapsto C! \text{UNKNOWN_ID}, \text{status} \mapsto C! \text{INST_STATUS_NULL},$
 $\text{value} \mapsto 0, \text{version} \mapsto 0]]$

$\wedge \text{master} = [i \in C! \text{INSTANCE_ID} \mapsto$

IF $i = C! \text{FIRST_ID}$

THEN $[id \mapsto C! \text{FIRST_ID}, \text{backupId} \mapsto C! \text{FIRST_ID}, \text{status} \mapsto C! \text{INST_STATUS_ACTIVE},$
 $\text{value} \mapsto 0, \text{version} \mapsto 0]$

ELSE $[id \mapsto i, \text{backupId} \mapsto C! \text{UNKNOWN_ID}, \text{status} \mapsto C! \text{INST_STATUS_NULL},$
 $\text{value} \mapsto 0, \text{version} \mapsto 0]]$

$\wedge \text{msgs} = \{\}$

$\wedge \text{killed} = 0$

$\text{AtLeastOneClientStarted} \triangleq$

We use this condition to prevent killing a master or backup before at least one client starts

$\vee \wedge \text{killed} > 0$

$\vee \wedge \text{killed} = 0$

$\wedge \exists c \in C! \text{CLIENT_ID} : \text{clients}[c].\text{phase} \neq C! \text{PH1_PENDING}$

$\text{KillMaster} \triangleq$

Kill the active master instance.

$\wedge \text{state} = \text{"running"}$

$\wedge \text{AtLeastOneClientStarted}$

$\wedge \text{killed} < \text{MAX_KILL}$

$\wedge \text{LET } \text{activeM} \triangleq C! \text{FindMaster}(C! \text{INST_STATUS_ACTIVE})$

IN $\wedge \text{activeM} \neq C! \text{NOT_MASTER}$

$\wedge \text{master}' = [\text{master} \text{ EXCEPT } ![\text{activeM}.id].\text{status} = C! \text{INST_STATUS_LOST}]$

$\wedge \text{killed}' = \text{killed} + 1$

$\wedge \text{UNCHANGED } \langle state, clients, backup, msgs \rangle$
 $KillBackup \triangleq$
 Kill the active backup instance.
 $\wedge state = \text{"running"}$
 $\wedge AtLeastOneClientStarted$
 $\wedge killed < MAX_KILL$
 $\wedge \text{LET } activeB \triangleq C!FindBackup(C!INST_STATUS_ACTIVE)$
 IN $\wedge activeB \neq C!NOT_BACKUP$
 $\wedge backup' = [backup \text{ EXCEPT } ![activeB.id].status = C!INST_STATUS_LOST]$
 $\wedge killed' = killed + 1$
 $\wedge \text{UNCHANGED } \langle state, clients, master, msgs \rangle$
 $C_Start \triangleq$
 Client start the replication process by sending "do" to master
 $\wedge state = \text{"running"}$
 $\wedge \text{LET } client \triangleq C!FindClient(C!PH1_PENDING)$
 IN $\wedge client \neq C!NOT_CLIENT$
 $\wedge C!SendMsg([from \mapsto \text{"c"},$
 $to \mapsto \text{"m"},$
 $clientId \mapsto client.id,$
 $masterId \mapsto client.masterId,$
 $backupId \mapsto C!UNKNOWN_ID,$
 $value \mapsto client.value,$
 $tag \mapsto \text{"masterDo"}])$
 $\wedge clients' = [clients \text{ EXCEPT } ![client.id].phase = C!PH2_WORKING]$
 $\wedge \text{UNCHANGED } \langle state, master, backup, killed \rangle$
 $M_HandleDo \triangleq$
 Master receiving "do", updating value, and sending "done"
 $\wedge state = \text{"running"}$
 $\wedge \text{LET } msg \triangleq C!FindMessageToWithTag(\text{"m"}, C!INST_STATUS_ACTIVE, \text{"masterDo"})$
 IN $\wedge msg \neq C!NOT_MESSAGE$
 $\wedge master' = [master \text{ EXCEPT } ![msg.masterId].value = master[msg.masterId].value + msg.value,$
 $![msg.masterId].version = master[msg.masterId].version + 1]$
 $\wedge C!ReplaceMsg(msg, [from \mapsto \text{"m"},$
 $to \mapsto \text{"c"},$
 $clientId \mapsto msg.clientId,$
 $masterId \mapsto msg.masterId,$
 $backupId \mapsto master[msg.masterId].backupId,$
 $value \mapsto 0,$
 $tag \mapsto \text{"masterDone"}])$
 $\wedge \text{UNCHANGED } \langle state, clients, backup, killed \rangle$
 $C_HandleMasterDone \triangleq$
 Client receiving "done" from master, and forwarding action to backup

IN $\wedge msg \neq C!NOT_MESSAGE$
 $\wedge C!RecvMsg(msg)$
 $\wedge clients' = [clients \text{ EXCEPT } ![msg.clientId].phase = C!PH2_COMPLETED]$
 $\wedge \text{UNCHANGED } \langle state, master, backup, killed \rangle$

Sys_NotifyMasterFailure \triangleq

System notifying client of a dead master

$\wedge state = \text{"running"}$
 $\wedge \text{LET } msg \triangleq C!FindMessageTo(\text{"m"}, C!INST_STATUS_LOST)$
 IN $\wedge msg \neq C!NOT_MESSAGE$
 $\wedge \text{LET } notifyTag \triangleq$ IF $msg.tag = \text{"masterDo"}$
 THEN "masterDoFailed"
 ELSE IF $msg.tag = \text{"masterGetNewBackup"}$
 THEN $\text{"masterGetNewBackupFailed"}$
 ELSE "INVALID" this should be unreachable
 IN $\wedge notifyTag \neq \text{"INVALID"}$
 $\wedge C!ReplaceMsg(msg,$
 $[from \mapsto \text{"sys"},$
 $to \mapsto \text{"c"},$
 $clientId \mapsto msg.clientId,$
 $masterId \mapsto C!UNKNOWN_ID,$
 $backupId \mapsto C!UNKNOWN_ID,$
 $value \mapsto 0,$
 $tag \mapsto notifyTag])$
 $\wedge \text{UNCHANGED } \langle state, clients, master, backup, killed \rangle$

Sys_NotifyBackupFailure \triangleq

System notifying client of a dead backup

$\wedge state = \text{"running"}$
 $\wedge \text{LET } msg \triangleq C!FindMessageTo(\text{"b"}, C!INST_STATUS_LOST)$
 IN $\wedge msg \neq C!NOT_MESSAGE$
 $\wedge \text{LET } notifyTag \triangleq$ IF $msg.tag = \text{"backupDo"}$
 THEN "backupDoFailed"
 ELSE IF $msg.tag = \text{"backupGetNewMaster"}$
 THEN $\text{"backupGetNewMasterFailed"}$
 ELSE "INVALID" this should be unreachable
 IN $\wedge notifyTag \neq \text{"INVALID"}$
 $\wedge C!ReplaceMsg(msg,$
 $[from \mapsto \text{"sys"},$
 $to \mapsto \text{"c"},$
 $clientId \mapsto msg.clientId,$
 $masterId \mapsto C!UNKNOWN_ID,$
 $backupId \mapsto C!UNKNOWN_ID,$
 $value \mapsto 0,$

$tag \mapsto notifyTag])$
 $\wedge \text{UNCHANGED } \langle state, clients, master, backup, killed \rangle$

$C_HandleMasterDoFailed \triangleq$

Client received the system's notification of a dead master, and is requesting the backup to return the new master info

$\wedge state = \text{"running"}$
 $\wedge \text{LET } msg \triangleq C!FindMessageToClient(\text{"sys"}, \text{"masterDoFailed"})$
 $\quad knownBackup \triangleq \text{IF } msg \neq C!NOT_MESSAGE$
 $\quad \quad \text{THEN } C!SearchForBackup$
 $\quad \quad \text{ELSE } C!NOT_BACKUP$
 $\text{IN } \wedge msg \neq C!NOT_MESSAGE$
 $\quad \wedge \text{IF } knownBackup = C!NOT_BACKUP$
 $\quad \quad \text{THEN } \wedge C!RecvMsg(msg)$
 $\quad \quad \quad \wedge state' = \text{"fatal"}$
 $\quad \quad \quad \wedge clients' = [clients \text{ EXCEPT } ![msg.clientId].phase = C!PH2_COMPLETED_FATAL]$
 $\quad \quad \text{ELSE } \wedge C!ReplaceMsg(msg, [from \mapsto \text{"c"},$
 $\quad \quad \quad to \mapsto \text{"b"},$
 $\quad \quad \quad clientId \mapsto msg.clientId,$
 $\quad \quad \quad \text{send the client's master knowledge,}$
 $\quad \quad \quad \text{to force the backup to not respond until rereplication}$
 $\quad \quad \quad masterId \mapsto clients[msg.clientId].masterId,$
 $\quad \quad \quad backupId \mapsto knownBackup.id,$
 $\quad \quad \quad value \mapsto 0,$
 $\quad \quad \quad tag \mapsto \text{"backupGetNewMaster"}])$
 $\quad \quad \wedge state' = state$
 $\quad \quad \wedge clients' = clients$
 $\wedge \text{UNCHANGED } \langle master, backup, killed \rangle$

$C_HandleBackupDoFailed \triangleq$

Client received the system's notification of a dead backup, and is requesting the master to return the new backup info

$\wedge state = \text{"running"}$
 $\wedge \text{LET } msg \triangleq C!FindMessageToClient(\text{"sys"}, \text{"backupDoFailed"})$
 $\text{IN } \wedge msg \neq C!NOT_MESSAGE$
 $\quad \wedge C!ReplaceMsg(msg, [from \mapsto \text{"c"},$
 $\quad \quad to \mapsto \text{"m"},$
 $\quad \quad clientId \mapsto msg.clientId,$
 $\quad \quad masterId \mapsto clients[msg.clientId].masterId,$
 $\quad \quad \text{send the client's backup knowledge,}$
 $\quad \quad \text{to force the master to not respond until rereplication}$
 $\quad \quad backupId \mapsto clients[msg.clientId].backupId,$
 $\quad \quad value \mapsto 0,$
 $\quad \quad tag \mapsto \text{"masterGetNewBackup"}])$
 $\wedge \text{UNCHANGED } \langle state, clients, master, backup, killed \rangle$

$M_HandleGetNewBackup \triangleq$

Master responding to client with updated backup identity

$\wedge state = \text{"running"}$
 $\wedge \text{LET } msg \triangleq C!FindMessageToWithTag(\text{"m"}, C!INST_STATUS_ACTIVE, \text{"masterGetNewBackup"})$
 $\text{IN } \wedge msg \neq C!NOT_MESSAGE$
 master must not respond until it recovers the dead backup
 $\wedge msg.backupId \neq master[msg.masterId].backupId$
 $\wedge C!ReplaceMsg(msg, [from \mapsto \text{"m"},$
 $to \mapsto \text{"c"},$
 $clientId \mapsto msg.clientId,$
 $masterId \mapsto msg.masterId,$
 $backupId \mapsto master[msg.masterId].backupId,$
 $value \mapsto 0,$
 $tag \mapsto \text{"newBackupId"}])$
 $\wedge \text{UNCHANGED } \langle state, clients, master, backup, killed \rangle$

$B_HandleGetNewMaster \triangleq$

Backup responding to client with updated master identity

$\wedge state = \text{"running"}$
 $\wedge \text{LET } msg \triangleq C!FindMessageToWithTag(\text{"b"}, C!INST_STATUS_ACTIVE, \text{"backupGetNewMaster"})$
 $\text{IN } \wedge msg \neq C!NOT_MESSAGE$
 backup must not respond until it recovers the dead master
 $\wedge msg.masterId \neq backup[msg.backupId].masterId$
 $\wedge C!ReplaceMsg(msg, [from \mapsto \text{"b"},$
 $to \mapsto \text{"c"},$
 $clientId \mapsto msg.clientId,$
 $masterId \mapsto backup[msg.backupId].masterId,$
 $backupId \mapsto msg.backupId,$
 $value \mapsto 0,$
 $tag \mapsto \text{"newMasterId"}])$
 $\wedge \text{UNCHANGED } \langle state, clients, master, backup, killed \rangle$

$C_HandleBackupGetNewMasterFailed \triangleq$

The client handling the failure of the backup, when the client asked the backup to return the new master identity. The client manually searches for the master. If manual search does not find a master, a fatal error occurs. Otherwise, the client updates its *masterId* and eventually restarts. Restarting is safe because this action is reached only if "masterDo" fails

$\wedge state = \text{"running"}$
 $\wedge \text{LET } msg \triangleq C!FindMessageToClient(\text{"sys"}, \text{"backupGetNewMasterFailed"})$
 $searchManually \triangleq msg \neq C!NOT_MESSAGE$
 $foundMaster \triangleq C!SearchForMaster$
 $\text{IN } \wedge msg \neq C!NOT_MESSAGE$
 $\wedge searchManually$
 $\wedge C!RecvMsg(msg)$

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    ∧ IF foundMaster = C!NOT_MASTER no live master found
    THEN ∧ state' = "fatal"
        ∧ clients' = [clients EXCEPT ![msg.clientId].phase = C!PH2_COMPLETED_FATAL]
    ELSE ∧ state' = state
        at this point, the live master must have been changed
        ∧ foundMaster.id ≠ clients[msg.clientId].masterId
        change status to pending to be eligible for restart
        ∧ clients' = [clients EXCEPT ![msg.clientId].masterId = foundMaster.id,
            ![msg.clientId].phase = C!PH1_PENDING]
    ∧ UNCHANGED ⟨master, backup, killed⟩

C_HandleMasterGetNewBackupFailed ≜
The client handling the failure of the master when the client asked the master to return the new backup identity. The failure of the master is fatal. If a recovered master exists we should not search for it, because it may have the old version before masterDone.
    ∧ state = "running"
    ∧ LET msg ≜ C!FindMessageToClient("sys", "masterGetNewBackupFailed")
    IN  ∧ msg ≠ C!NOT_MESSAGE
        ∧ state' = "fatal"
        ∧ clients' = [clients EXCEPT ![msg.clientId].phase = C!PH2_COMPLETED_FATAL]
        ∧ C!RecvMsg(msg)
    ∧ UNCHANGED ⟨master, backup, killed⟩



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C_UpdateBackupId ≜
    ∧ state = "running"
    ∧ LET msg ≜ C!FindMessageToClient("m", "newBackupId")
    IN  ∧ msg ≠ C!NOT_MESSAGE receive new backup identity, and complete request, don't restart, master is alive and up to date
        ∧ C!RecvMsg(msg)
        ∧ clients' = [clients EXCEPT ![msg.clientId].backupId = msg.backupId,
            ![msg.clientId].phase = C!PH2_COMPLETED]
    ∧ UNCHANGED ⟨state, master, backup, killed⟩



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C_UpdateMasterIdAndRestart ≜
Client receiving a new master identify from a live backup and is preparing to restart
    ∧ state = "running"
    ∧ LET msg ≜ C!FindMessageToClient("b", "newMasterId")
    IN  ∧ msg ≠ C!NOT_MESSAGE
        ∧ C!RecvMsg(msg)
        ∧ clients' = [clients EXCEPT ![msg.clientId].masterId = msg.masterId,
            ![msg.clientId].phase = C!PH1_PENDING]
    ∧ UNCHANGED ⟨state, master, backup, killed⟩



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M_DetectBackupLost ≜

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Master detected backup failure and is getting ready to recovery it

$\wedge state = \text{"running"}$
 $\wedge \text{LET } activeM \triangleq C!FindMaster(C!INST_STATUS_ACTIVE)$
 $\quad liveB \triangleq C!LiveBackup$
 $\text{IN } \wedge activeM \neq C!NOT_MASTER \quad \text{master is active}$
 $\quad \wedge liveB = C!NOT_BACKUP \quad \text{backup is lost}$
 $\quad \wedge master' = [master \text{ EXCEPT } ![activeM.id].status = C!INST_STATUS_BUSY]$
 $\wedge \text{UNCHANGED } \langle state, clients, backup, msgs, killed \rangle$

$M_RecoverBackup \triangleq$

Master creating a new backup using its own state. Master does not process any client requests during recovery

$\wedge state = \text{"running"}$
 $\wedge \text{LET } busyM \triangleq C!FindMaster(C!INST_STATUS_BUSY)$
 $\quad lostB \triangleq C!LastLostBackup$
 $\text{IN } \wedge lostB \neq C!NOT_BACKUP \quad \text{a lost backup exists}$
 $\quad \wedge busyM \neq C!NOT_MASTER \quad \text{master is busy recovering master}$
 $\wedge \text{LET } newBackupId \triangleq lostB.id + 1$
 $\quad \text{IN } \wedge newBackupId \leq C!MAX_INSTANCE_ID$
 $\quad \wedge backup' = [backup \text{ EXCEPT } ![newBackupId].status = C!INST_STATUS_ACTIVE,$
 $\quad \quad \quad ![newBackupId].masterId = busyM.id,$
 $\quad \quad \quad ![newBackupId].value = busyM.value,$
 $\quad \quad \quad ![newBackupId].version = busyM.version]$
 $\quad \wedge master' = [master \text{ EXCEPT } ![busyM.id].status = C!INST_STATUS_ACTIVE,$
 $\quad \quad \quad ![busyM.id].backupId = newBackupId]$
 $\wedge \text{UNCHANGED } \langle state, clients, msgs, killed \rangle$

$B_DetectMasterLost \triangleq$

Backup detected master failure and is getting ready to recover it

$\wedge state = \text{"running"}$
 $\wedge \text{LET } liveM \triangleq C!SearchForMaster$
 $\quad activeB \triangleq C!FindBackup(C!INST_STATUS_ACTIVE)$
 $\text{IN } \wedge liveM = C!NOT_MASTER \quad \text{master is not active}$
 $\quad \wedge activeB \neq C!NOT_BACKUP \quad \text{backup is active}$
 $\quad \wedge backup' = [backup \text{ EXCEPT } ![activeB.id].status = C!INST_STATUS_BUSY]$
 $\wedge \text{UNCHANGED } \langle state, clients, master, msgs, killed \rangle$

$B_RecoverMaster \triangleq$

Backup creating a new master using its own state. Backup does not process any client requests during recovery

$\wedge state = \text{"running"}$
 $\wedge \text{LET } lostM \triangleq C!LastLostMaster$
 $\quad busyB \triangleq C!FindBackup(C!INST_STATUS_BUSY)$
 $\text{IN } \wedge lostM \neq C!NOT_MASTER \quad \text{a lost master exists}$
 $\quad \wedge busyB \neq C!NOT_BACKUP \quad \text{backup is busy recovering master}$

$\wedge \text{WF}_{Vars}(C_Start)$
 $\wedge \text{WF}_{Vars}(M_HandleDo)$
 $\wedge \text{WF}_{Vars}(C_HandleMasterDone)$
 $\wedge \text{WF}_{Vars}(B_HandleDo)$
 $\wedge \text{WF}_{Vars}(C_HandleBackupDone)$
 $\wedge \text{WF}_{Vars}(Sys_NotifyMasterFailure)$
 $\wedge \text{WF}_{Vars}(Sys_NotifyBackupFailure)$
 $\wedge \text{WF}_{Vars}(C_HandleMasterDoFailed)$
 $\wedge \text{WF}_{Vars}(C_HandleBackupDoFailed)$
 $\wedge \text{WF}_{Vars}(M_HandleGetNewBackup)$
 $\wedge \text{WF}_{Vars}(B_HandleGetNewMaster)$
 $\wedge \text{WF}_{Vars}(C_HandleBackupGetNewMasterFailed)$
 $\wedge \text{WF}_{Vars}(C_HandleMasterGetNewBackupFailed)$
 $\wedge \text{WF}_{Vars}(C_UpdateBackupId)$
 $\wedge \text{WF}_{Vars}(C_UpdateMasterIdAndRestart)$
 $\wedge \text{WF}_{Vars}(M_DetectBackupLost)$
 $\wedge \text{WF}_{Vars}(M_RecoverBackup)$
 $\wedge \text{WF}_{Vars}(B_DetectMasterLost)$
 $\wedge \text{WF}_{Vars}(B_RecoverMaster)$
 $\wedge \text{WF}_{Vars}(TerminateSuccessfully)$

Specification

$Spec \triangleq Init \wedge \Box[Next]_{Vars} \wedge Liveness$

THEOREM $Spec \Rightarrow \Box(TypeOK \wedge StateOK)$

\ * Modification History
 \ * Last modified Tue Mar 20 15:30:27 AEDT 2018 by u5482878
 \ * Last modified Sat Mar 17 16:42:36 AEDT 2018 by shamouda
 \ * Created Mon Mar 05 13:44:57 AEDT 2018 by u5482878