

Multivariable knot polynomials, the V_n -polynomials, and their patterns

Shana Y. Li

University of Illinois, Urbana-Champaign

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Joint work with Stavros Garoufalidis

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- 1 Garoufalidis & Kashaev's multivariable knot polynomials
- 2 Computation of the V_n -polynomials
- 3 Patterns of the V_n -polynomials

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 - Rigidity: the *partial transposes*
$$\widetilde{R^{\pm 1}} := (\varepsilon \otimes I \otimes I) \circ (I \otimes R^{\pm 1} \otimes I) \circ (I \otimes I \otimes \eta)$$
 are invertible.
 $\varepsilon: V \otimes V \rightarrow \mathbb{F}$ and $\eta: \mathbb{F} \rightarrow V \otimes V$: the evaluation and coevaluation maps.

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 $\varepsilon: V \otimes V \rightarrow \mathbb{F}$ and $\eta: \mathbb{F} \rightarrow V \otimes V$: the evaluation and coevaluation maps.
- Reshetikhin–Turaev functor: a functor (determined by R) from the category of tangles to the category of vector spaces.

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Given a braided Hopf algebra with automorphisms, one can construct a rigid R -matrix.

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Hopf algebra

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The procedure:

$$\left\{ \begin{array}{l} \text{Braided} \\ \text{Hopf algebras} \\ \text{with autos} \end{array} \right\} \rightarrow \left\{ \begin{array}{l} \text{Braided} \\ \text{Yetter-Drinfel'd} \\ \text{modules with autos} \end{array} \right\} \rightarrow \left\{ \begin{array}{l} \text{Rigid} \\ R\text{-matrices} \end{array} \right\}$$

Summary: a systematic source of knot invariants:

$$\left\{ \begin{array}{l} \text{Braided} \\ \text{Hopf algebras} \\ \text{with autos} \end{array} \right\} \xrightarrow{\text{G \& K, 2023}} \left\{ \begin{array}{l} \text{Rigid} \\ R\text{-matrices} \end{array} \right\} \xrightarrow{\text{K, 2019}} \{\text{Knot invariants}\}$$

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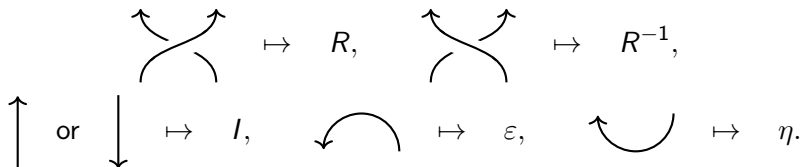
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Reshetikhin–Turaev functor: tangles \mapsto vector spaces



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$$\begin{array}{ccc}
 \begin{array}{c} \nearrow \\ \searrow \\ \text{---} \end{array} & \mapsto R, & \begin{array}{c} \nearrow \\ \swarrow \\ \text{---} \end{array} \mapsto R^{-1}, \\
 \uparrow \text{ or } \downarrow & \mapsto I, & \curvearrowright \mapsto \varepsilon, & \curvearrowleft \mapsto \eta.
 \end{array}$$

For local extrema going from left to right: (normalization)

$$\begin{array}{ccc}
 \curvearrowright & \rightsquigarrow & \begin{array}{c} \curvearrowright \\ \text{---} \\ \searrow \end{array}, & \curvearrowleft & \rightsquigarrow & \begin{array}{c} \swarrow \\ \text{---} \\ \curvearrowleft \end{array}, \\
 \begin{array}{c} \swarrow \\ \searrow \\ \nearrow \end{array} & \mapsto & (R^{-1})^{-1}, & \begin{array}{c} \swarrow \\ \searrow \\ \searrow \end{array} & \mapsto & (\tilde{R})^{-1}.
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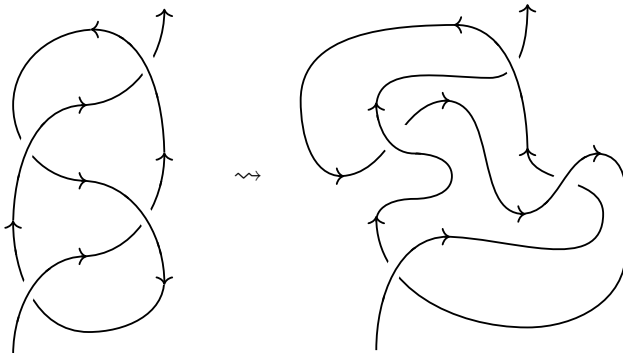
$$\begin{array}{ccc}
 \begin{array}{c} \nearrow \\ \searrow \end{array} & \mapsto R, & \begin{array}{c} \nearrow \\ \swarrow \end{array} & \mapsto R^{-1}, \\
 \uparrow \text{ or } \downarrow & \mapsto I, & \text{curved arrow} & \mapsto \varepsilon, & \text{cup} & \mapsto \eta.
 \end{array}$$

For local extrema going from left to right: (normalization)

$$\begin{array}{ccc}
 \text{cup} & \rightsquigarrow & \text{cup with arrows} \\
 \text{cross} & \mapsto & (\widetilde{R^{-1}})^{-1}, & \text{cross} & \mapsto & (\widetilde{R})^{-1}.
 \end{array}$$

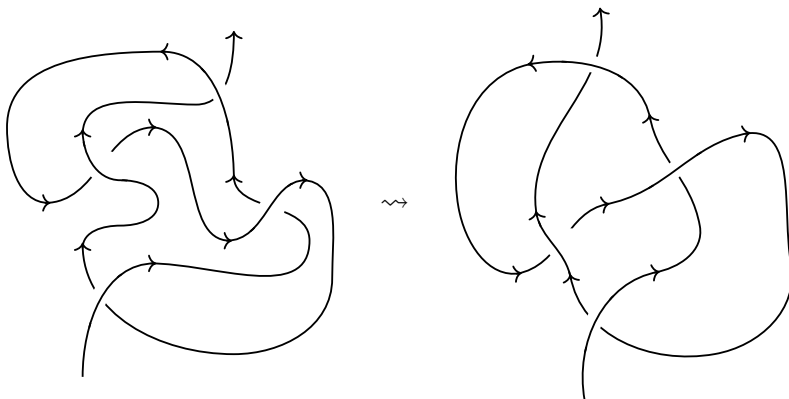
For V_n -polynomials, $\varepsilon \circ (\widetilde{R^{-1}})^{-1} = (\widetilde{R^{-1}})^{-1} \circ \eta = \varepsilon \circ (\widetilde{R})^{-1} = (\widetilde{R})^{-1} \circ \eta$ is a diagonalizable matrix with only ± 1 's on the diagonal.

Reshetikhin–Turaev: tangles \mapsto normalized tangles \mapsto vector spaces
Example: the 4_1 knot



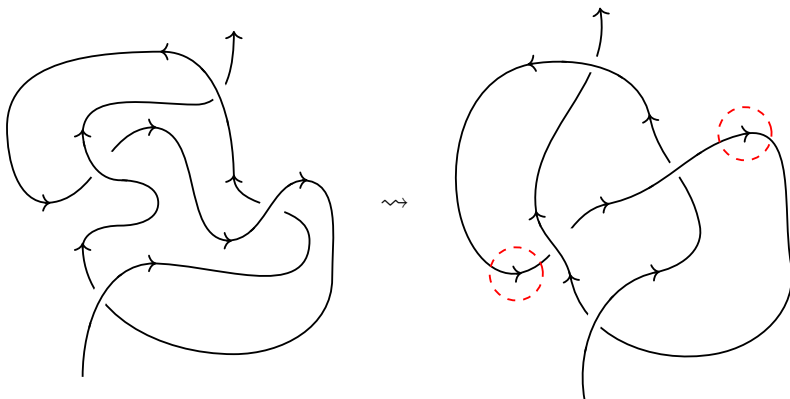
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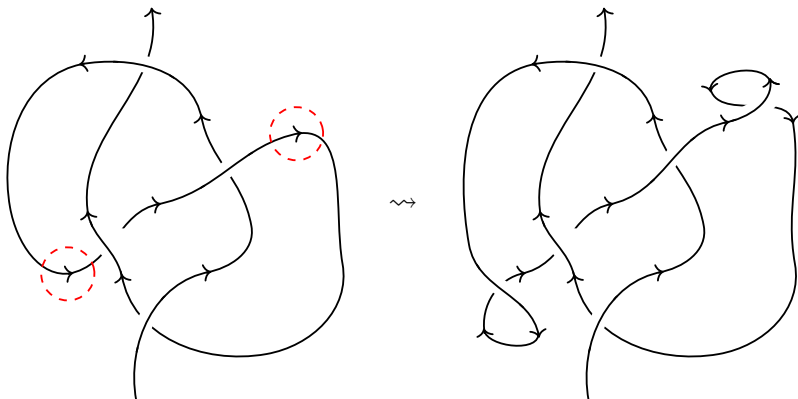
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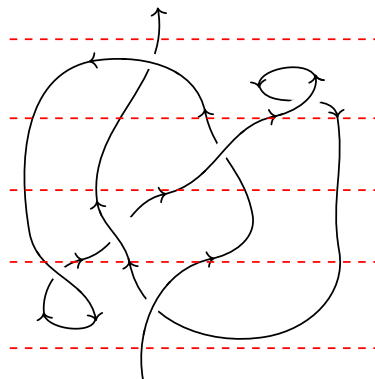
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$$\uparrow \quad \left((\varepsilon \otimes I) \circ (I \otimes R^{-1}) \right) \otimes \left(\varepsilon \circ (\tilde{R})^{-1} \right)$$

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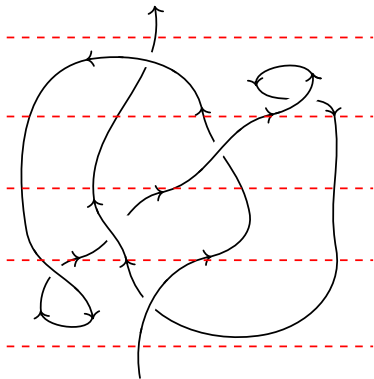
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Fact

For V_n -polynomials, the endomorphism on V we obtain is a scalar multiple of 1_V . The scalar gives our polynomial invariant.

Fix a basis $\mathcal{B} := \{e_i\}$ of V , $R^{\pm 1} \in \text{Aut}(V \otimes V)$ become matrices whose entries can be denoted by $(R^{\pm 1})_{e_i \otimes e_j}^{e_k \otimes e_l}$.

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To compute the eigenvalue of the $\text{End}(V)$ -valued invariant is to evaluate a sum of the form

$$\sum_{\substack{a_1, \dots, a_{2c-1} \in \mathcal{B} \\ a_0 = a_{2c} = 1}} \pm \underbrace{(R^{\pm 1})_{a_0 \otimes a_1}^{a_2 \otimes a_3} \cdots (R^{\pm 1})_{a_{2c-3} \otimes a_{2c-2}}^{a_{2c-1} \otimes a_{2c}}}_{\text{a product of length } c},$$

where c is the number of crossings of the knot. This sum is the so called *state sum*.

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Therefore, it requires

$$c \cdot (\dim V)^{2c-1}$$

times of computations to compute the eigenvalue.

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Worse, the entries $(R^{\pm 1})_{e_i \otimes e_j}^{e_k \otimes e_l}$ are polynomials in two variables, instead of scalars. We computed the V_2 -polynomials for all knots with ≤ 15 crossings, and more.

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- The R -matrix is sparse: a divide and conquer method sees the 0's at each step and eliminates a lot of terms.

n	Nonzero elements (%)	# R
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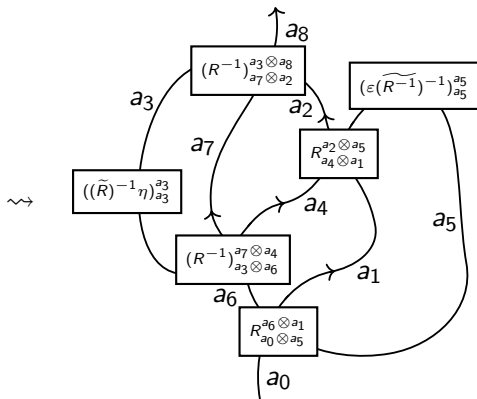
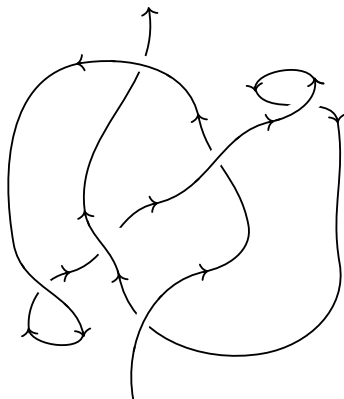
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- Use optimized tensor contraction path.

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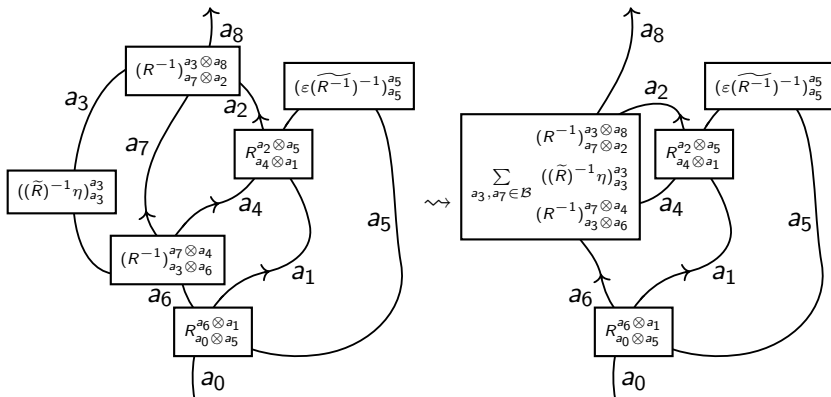


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■ Genus bound (conjecturally):

$$\deg_t V_{K,n}(t, q) \leq 4g(K)$$

where $g(K)$ is Seifert genus of K .

Theorems

- (GKKST) The V_1 -polynomial is the Links–Gould polynomial.
- (KT) The Links–Gould polynomial satisfies both the specialization and the genus bound.
- $V_{K,2}$ is determined by

$$V_{K,2}(t^2, q^2) = c_{2,0}(t, q)V_{K(2,1),1}(t, q) + c_{2,-1}(t, q)V_{K,1}(t^2q^{-1}, q) + c_{2,1}(t, q)V_{K,1}(t^2q, q)$$

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Conjecturally, V_n -polynomials satisfy relations similar to the one above.

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We call knots satisfying eq. (1) *tight*, and others *loose*.

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crossings	11	12	13	14	15	16
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Table: Knot counts, up to mirror image

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polynomial	V_1	V_2	V_3	V_4
Knots	≤ 15	≤ 15	≤ 11	≤ 10
Loose knots	≤ 16	≤ 16		

Table: Computed knots for each V_n

There are no loose knots with ≤ 10 crossings.

crossings	11	12	13	14	15	16
Knots	552	2176	9988	46972	253293	1388705
Loose knots	7	29	208	1220	6319	48174

Table: Knot counts, up to mirror image

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Knots	≤ 15	≤ 15	≤ 11	≤ 10
Loose knots	≤ 16	≤ 16		

Table: Computed knots for each V_n

crossings	11	12	13	14	15	16
V_1 genus bound $<$	7	20	173	974	5025	37205
V_2 genus bound $<$	0	0	0	0	0	0

Table: Non-sharp genus bound counts

Theorem (Garoufalidis & L., 2024)

The genus bound inequality is an equality for V_2 -polynomials for all 1,701,936 knots with ≤ 16 crossings.

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Question

Does the V_2 -polynomials *actually* detect the genus of knots? Why?

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When do two knots have equal V_2 polynomial?

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crossings	≤ 11	12	13	14	15
pairs	0	3	25	187	2324
triples	0	0	0	1	38

Table: Number of V_2 -equivalence classes of size more than 1 (up to mirror image).

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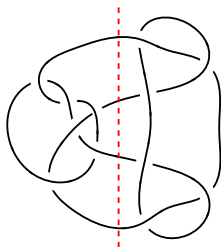
All knots with ≤ 15 crossings in the same V_2 -equivalence classes

- have equal HFK and equal Khovanov Homology,
- are Conway mutant knots to each other, in particular they have equal volumes, trace field, colored Jones polynomials, ADO polynomials and HOMFLY polynomial.

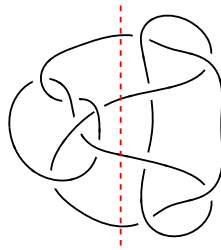
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12n364



$\overline{12n365}$

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crossings	11	12	13	14	15
V_2 -equiv classes	0	3	25	188	2362
mutant classes	16	75	774	4435	29049

Table: Number of nontrivial V_2 -equiv classes versus Conway mutant classes.

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Are V_2 -equivalent knots always Conway mutant? Do they always have equal HFK and equal Khovanov Homology? Why?

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A partial answer: most of them are HFK-thin and Khovanov-thin, for which equal HFK and equal Khovanov Homology follows given the mutant condition.

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total	tight & thin	tight & thick	loose & thick
2578	1877	457	244

Table: Number of nontrivial V_2 -equiv classes in each flavor, up to 15 crossings.

A Conspiracy Theory:

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Proposition

For all alternating knots with ≤ 15 crossings, we have

$$V_1(t, -q), V_2(t, -q) \in \mathbb{Z}_{\geq 0}[t^{\pm 1}, q^{\pm 1}].$$

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Question

Does this indicate a categorification of V_1 and V_2 ?