

## Depth-integrated transport in the Ekman layer

Key idea: friction is a response to the imposed wind stress

Diagram illustrating the Ekman layer structure. The vertical axis is depth  $z$ , with  $z=0$  at the surface and  $z=-h_E$  at the base of the Ekman layer. At the surface, the wind stress is  $\tau_w = A \left. \frac{\partial u}{\partial z} \right|_{z=0}$ . The shear stress within the layer is denoted  $\tau(z)$ . At the base of the layer,  $\tau(-h_E) = 0$ . The friction force per unit area is given by  $f_{\text{fric}} = \frac{\partial \tau}{\partial z} = A \frac{\partial^2 u}{\partial z^2}$ . The model for the shear stress is  $\tau = A \frac{\partial u}{\partial z}$ .

Integrate over depth:

depth average  $\rightarrow$

$$\overline{f_{\text{fric}}} = \frac{\Delta \tau}{h_E} = \frac{\tau_w - 0}{h_E} = \frac{\tau_w}{h_E}$$

Shallow water model of Ekman layer

Horizontal momentum balance in Ekman layer

$$\underline{f} \times \underline{u} = -g \nabla_z \eta + \frac{\tau_w}{h_E}$$

for simplicity use  $\underline{f} = f_0 \hat{z}$  (c.f. next lecture)

Decompose the flow:

$$\underline{u} = \underline{u}_g + \underline{u}_E$$

geostrophic component  $\uparrow$  "Ekman flow" (driven by wind stress)

where we define

$$\underline{f} \times \underline{u}_g = -g \nabla_z \eta.$$

geostrophic

$$\underline{f} \times \underline{u}_E = \frac{\underline{\tau}_w}{h_E}$$

ageostrophic

Use identity

$$\underline{\hat{z}} \times (\underline{\hat{z}} \times \underline{u}) = -\underline{u}$$

and  $\underline{f} = f_0 \underline{\hat{z}}$

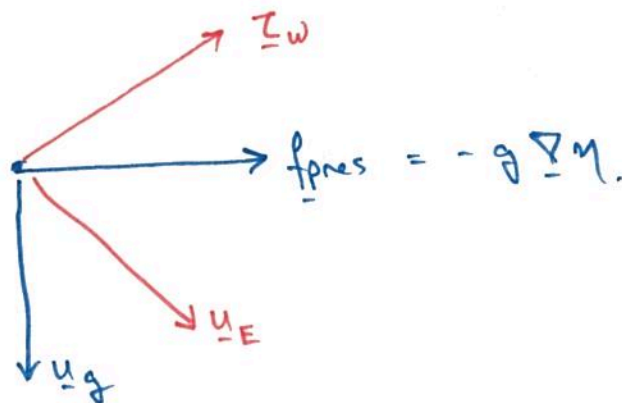
$$-\underline{\hat{z}} \times \left( f_0 \underline{\hat{z}} \times \underline{u}_g \right) = \underline{u}_g = \frac{g}{f_0} \underline{\hat{z}} \times \nabla \eta.$$

$$-\underline{\hat{z}} \times \left( f_0 \underline{\hat{z}} \times \underline{u}_E \right) = \underline{u}_E = -\frac{1}{f_0} \underline{\hat{z}} \times \frac{\underline{\tau}_w}{h_E}$$

$$\underline{u}_g = -\frac{1}{f_0} \underline{\hat{z}} \times \underline{f}_{\text{pres}} \Rightarrow \underline{u}_g \perp \text{to pressure gradient force}$$

$$\underline{u}_E = -\frac{1}{f_0} \underline{\hat{z}} \times \underline{f}_{\text{fric}} \Rightarrow \underline{u}_E \perp \text{to wind stress / friction force.}$$

$$\boxed{f_0 > 0}$$



Ekman flow is to  $\begin{cases} \text{RIGHT} \\ \text{LEFT} \end{cases}$  of  $\underline{\tau}_w$  in  $\begin{cases} \text{N. HEMI} \\ \text{S. HEMI} \end{cases}$

# Ekman pumping (or suction)

Vertical velocity caused by converging / diverging Ekman flow:

$$\begin{aligned}\nabla_z \cdot \underline{u}_E &= - \frac{\partial w}{\partial z} \quad \xrightarrow{-\frac{\Delta w}{\Delta z}} \\ &\approx - \frac{\cancel{w(0)} - w(-h_E)}{h_E} \quad (\text{in SW model.}) \\ &= \frac{w_B}{h_E} \quad \leftarrow \text{upward flow thru the bottom of the Ekman layer.}\end{aligned}$$

$w_B = +ve$  for divergent flow ( $\nabla_z \cdot \underline{u}_E > 0$ )  
 $= -ve$  for convergent flow ( $\nabla_z \cdot \underline{u}_E < 0$ )

Use  $\underline{u} = \underline{u}_g + \underline{u}_E$

$$\begin{aligned}w_B &= h_E \nabla_z \cdot \left( - \frac{1}{f_0} \hat{z} \times \frac{\underline{\tau}_w}{h_E} \right) \\ &= - \frac{1}{f_0} \nabla_z \cdot (\hat{z} \times \underline{\tau}_w)\end{aligned}$$

Let  $\underline{\tau}_w = (\tau_w^x, \tau_w^y, 0)$

$$\begin{aligned}\nabla_z \cdot (\hat{z} \times \underline{\tau}_w) &= \nabla_z \cdot \left( \tau_w^x \hat{y} - \tau_w^y \hat{x} \right) \\ &= - \frac{\partial \tau_w^y}{\partial x} + \frac{\partial \tau_w^x}{\partial y} = - \text{curl}_z \tau_w\end{aligned}$$

$$\Rightarrow \boxed{w_B = f_0^{-1} \text{curl}_z \tau_w}$$

The vertical velocity at base of Ekman layer is proportional to curl of wind stress at upper surface

Consider

$$\begin{aligned} w_g &= h_E \nabla_z \cdot \underline{u}_g \\ &= h_E \nabla_z \cdot \left( \frac{g}{f_0} \hat{z} \times \nabla_z \eta \right) \end{aligned}$$

$$= \frac{gh_E}{f_0} \nabla_z \cdot (\hat{z} \times \nabla_z \eta)$$

$$\begin{aligned} \nabla_z \cdot (\hat{z} \times \nabla_z \eta) &= \nabla_z \cdot \left( \frac{\partial \eta}{\partial x} \hat{y} - \frac{\partial \eta}{\partial y} \hat{x} \right) \\ &= \frac{\partial^2 \eta}{\partial y \partial x} - \frac{\partial^2 \eta}{\partial x \partial y} = 0 \end{aligned}$$

$\Rightarrow$  no vertical velocity for geostrophic flow!

Shallow water model of the wind-driven circulation.

Single layer, depth  $H$ ,  $Ro \ll 1$ .

$$f \times u = -g \nabla_z \eta + \frac{\tau_w - \tau_b}{H}$$

$\nwarrow$  wind stress  
 $\swarrow$  bottom stress  
 $\nwarrow$  depth of the ocean

Use  $\beta$ -plane approximation:  $f = f_0 + \beta y$

$$-f v = -g \frac{\partial \eta}{\partial x} + \frac{\tau_w^x - \tau_b^x}{H} \quad (1)$$

$$f u = -g \frac{\partial \eta}{\partial y} + \frac{\tau_w^y - \tau_b^y}{H} \quad (2)$$

$$\frac{\partial (1)}{\partial y} \quad -f \frac{\partial v}{\partial y} - \beta v = -g \frac{\partial^2 \eta}{\partial y \partial x} + H^{-1} \left( \frac{\partial \tau_w^x}{\partial y} - \frac{\partial \tau_b^x}{\partial y} \right)$$

$$\frac{\partial (2)}{\partial x} \quad f \frac{\partial u}{\partial x} = -g \frac{\partial^2 \eta}{\partial x \partial y} + H^{-1} \left( \frac{\partial \tau_w^y}{\partial x} - \frac{\partial \tau_b^y}{\partial x} \right)$$

Subtract  $f \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) + \beta v = H^{-1} \text{curl}_z (\tau_w - \tau_b).$

$= 0$  (rigid lid approx).

$$\beta v = \frac{1}{H} \text{curl}_z (\tau_w - \tau_b)$$

Sverdrup balance (Harald Sverdrup 1888-1957)

Neglect bottom friction ( $\tau_B = 0$ )

$$\boxed{PV = \frac{1}{H} \text{curl}_z \tau_w} \quad \text{Sverdrup balance}$$

Balance between  $\beta$ -effect and wind-stress curl.

In the northern hemisphere:

- \* -ve wind stress curl.  $\Rightarrow$  downward Ekman pumping
- \* vortex squashing ( $H$  decreasing)
- \* conserve PV by moving water columns south to decrease planetary vorticity.

In both hemispheres:

A { anticyclonic } wind-stress curl. drives { equatorwards } flow  
      { cyclonic }

OR

A { negative } wind-stress curl. drives { southward } flow  
      { positive }



Wind-driven circulation from Sverdrup balance

$$\beta v = H^{-1} \text{curl}_z \tau_w$$

$u, v$  are divergenceless.

$\Rightarrow$  introduce stream function

$$u = -\frac{\partial \psi}{\partial y} \quad v = \frac{\partial \psi}{\partial x}$$

$$\Rightarrow \beta \frac{\partial \psi}{\partial x} = H^{-1} \text{curl}_z \tau_w.$$

Remove dimensions using

$$x = L \hat{x}, \quad y = L \hat{y}, \quad \tau_w = \tau_0 \hat{\tau}_w, \quad \psi = \Phi_0 \hat{\psi}$$

$$\beta \frac{\Phi_0}{L} \frac{\partial \hat{\psi}}{\partial \hat{x}} = \frac{\tau_0}{HL} \text{curl}_z \hat{\tau}_w$$

Both sides balance

$$\beta \frac{\Phi_0}{L} = \frac{\tau_0}{HL}$$

And non-dimensional

Sverdrup balance

$$\boxed{\frac{\partial \hat{\psi}}{\partial \hat{x}} = \text{curl}_z \hat{\tau}_w}$$

Sverdrup balance (Harald Sverdrup 1888-1957)

Neglect bottom friction  $\tau_B = 0$

$$\boxed{\beta v = \frac{1}{H} \text{curl}_z \tau_w} \quad \text{Sverdrup balance}$$

Balance between  $\beta$ -effect and wind-stress curl

In NH

- \* -ve wind-stress curl  $\Rightarrow$  down Ekman pumping
- \* vortex squashing ( $H$  decreases)
- \* conserve PV by moving south to decrease planetary vorticity  $f = f_0 + \beta y$ .

In both hemispheres

a  $\begin{cases} \text{negative} \\ \text{positive} \end{cases}$  wind-stress curl drives  $\begin{cases} \text{equatorward} \\ \text{poleward} \end{cases}$  flow.



Case 1 : no flow thru' the western boundary.

$$\hat{u} = -\hat{\psi}_y = 0 \quad \text{at} \quad \hat{x} = 0$$

$$\Rightarrow \hat{\psi}(0, \hat{y}) = \text{constant} = 0$$

compare with  $\hat{\psi}(\hat{x}, \hat{y}) - \hat{\psi}(0, \hat{y}) = \pi (c(\hat{y}) - \hat{x}) \sin \pi \hat{y}$

$$\Rightarrow 0 = \pi (c(\hat{y}) - 0) \sin \pi \hat{y}$$

$$\Rightarrow c(\hat{y}) = 0.$$

$$\Rightarrow \boxed{\hat{\psi}(\hat{x}, \hat{y}) = -\pi \hat{x} \sin \pi \hat{y}} \quad \text{no flow thru' WESTERN BDY}$$

Case 2 : no flow thru' the eastern boundary.

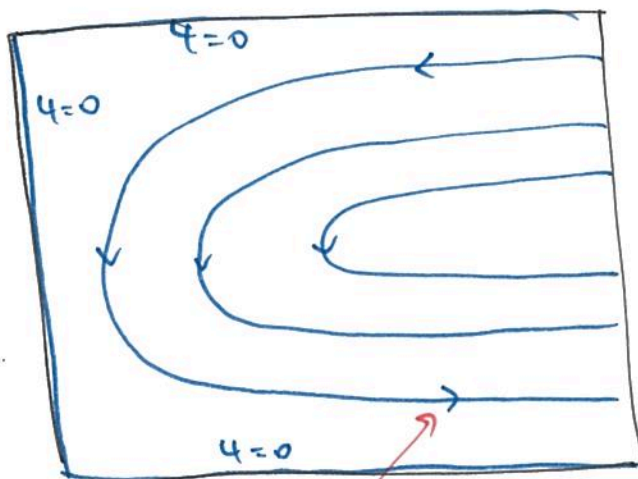
$$\hat{u} = -\hat{\psi}_y = 0 \quad \text{at} \quad \hat{x} = 1$$

$$\Rightarrow \hat{\psi}(1, \hat{y}) = \text{constant} = 0.$$

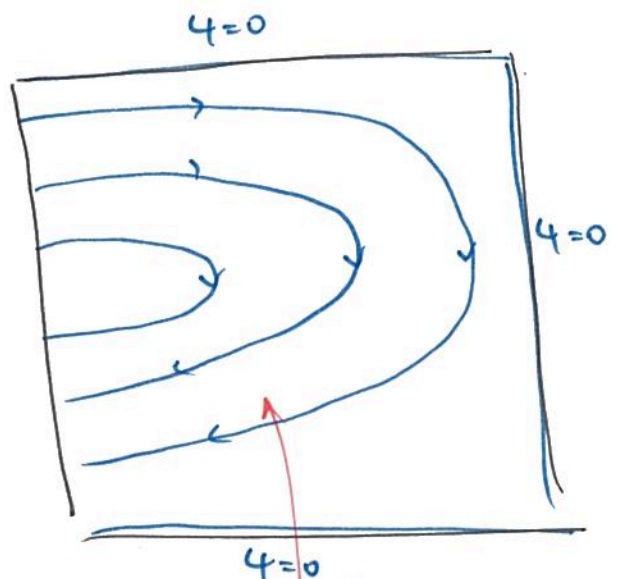
$$\Rightarrow c(\hat{y}) = 1$$

$$\boxed{\hat{\psi}(\hat{x}, \hat{y}) = \pi (1 - \hat{x}) \sin \pi \hat{y}} \quad \text{no flow thru' EASTERN BDY}$$

$$\boxed{f > 0}$$



Case 1 : no flow to west  
valid but  
unstable



Case 2 : no flow to east.  
physical

## Western boundary currents & the Stommel Problem

Stommel: boundary layer to close the circulation.

Do this by adding bottom friction in a thin boundary layer near one of boundaries.

Parameterize bottom stress:

$$\underline{\tau}_B = r \underline{u}$$

$$\Rightarrow \text{curl}_z \underline{\tau}_B = r \cdot \text{curl}_z \underline{u} = r \underline{\zeta} = r \nabla^2 \psi$$

Then  $\beta v = H^{-1} (\text{curl}_z \underline{\tau}_w - \text{curl}_z \underline{\tau}_B)$

$$\beta \frac{\partial \psi}{\partial x} = H^{-1} \text{curl}_z \underline{\tau}_w - H^{-1} r \nabla^2 \psi$$

Non-dimensionalize:

$$\epsilon \hat{\nabla}^2 \hat{\psi} + \frac{\partial \hat{\psi}}{\partial \hat{x}} = \hat{\text{curl}}_z \hat{\underline{\tau}}_w$$

$$\epsilon = \frac{r}{\beta H L}$$

increases the PDE to second order

## Asymptotic matching

Write full solution as

$$\hat{\psi} = \hat{\psi}_I + \hat{\psi}_B$$

↑ interior solution (Sverdrup)  
↑ boundary layer solution

For  $\hat{\underline{\tau}}_w = (-\cos \pi \hat{y}, 0)$

$C=0$  no flow to WB

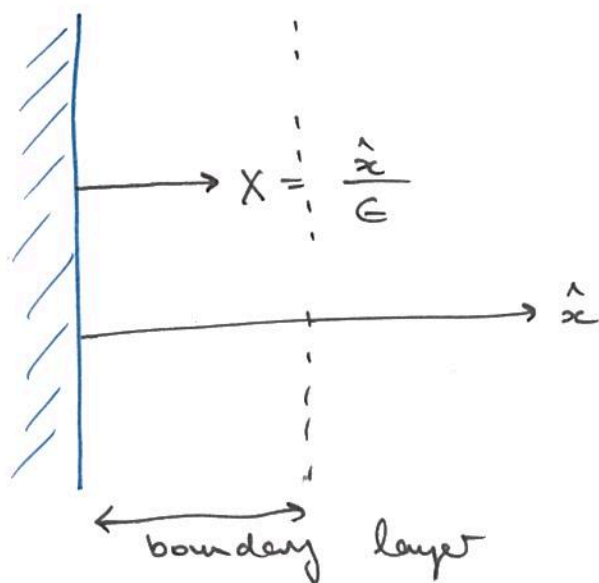
$$\Rightarrow \hat{\psi}_I = \pi (C - x) \sin \pi y$$

$C=1$  no flow to EB

Look at case of  $C = 1$  (try  $C = 0$  yourself!)

Introduce a "stretched" variable to deal with rapid variation of  $\hat{\psi}_B$  near the boundary

$$X = \frac{\hat{x}}{\epsilon}$$



$$\hat{\psi}_B = \hat{\psi}_B(X, y)$$

$$\frac{\partial \hat{\psi}_B}{\partial \hat{x}} = \frac{\partial X}{\partial \hat{x}} \frac{\partial \hat{\psi}_B}{\partial X} = \frac{1}{\epsilon} \frac{\partial \hat{\psi}_B}{\partial X}$$

$$\frac{\partial^2 \hat{\psi}_B}{\partial \hat{x}^2} = \frac{1}{\epsilon^2} \frac{\partial^2 \hat{\psi}_B}{\partial X^2}$$

Within the boundary layer :  $\hat{\psi} = \hat{\psi}_I + \hat{\psi}_B$

$$\epsilon \nabla^2 \hat{\psi} + \frac{\partial \hat{\psi}}{\partial \hat{x}} = \text{curl}_z \hat{\tau}_w$$

$$\epsilon \nabla^2 (\hat{\psi}_I + \hat{\psi}_B) + \frac{\partial \hat{\psi}_I}{\partial \hat{x}} + \frac{\partial \hat{\psi}_B}{\partial \hat{x}} = \text{curl}_z \hat{\tau}_w$$

$$\epsilon \nabla^2 \hat{\psi}_I + \epsilon \left[ \frac{1}{\epsilon^2} \frac{\partial^2 \hat{\psi}_B}{\partial X^2} + \frac{\partial^2 \hat{\psi}_B}{\partial \hat{y}^2} \right] + \frac{1}{\epsilon} \frac{\partial \hat{\psi}_B}{\partial X} = 0$$

$$\epsilon \nabla^2 \hat{\psi}_I + \frac{1}{\epsilon} \frac{\partial^2 \hat{\psi}_B}{\partial X^2} + \epsilon \frac{\partial^2 \hat{\psi}_B}{\partial \hat{y}^2} + \frac{1}{\epsilon} \frac{\partial \hat{\psi}_B}{\partial X} = 0$$

dominant terms.

To leading order :

$$\frac{\partial^2 \hat{\psi}_B}{\partial X^2} + \frac{\partial \hat{\psi}_B}{\partial X} = 0.$$

$$\hat{\psi}_B(X, \hat{y}) = A(\hat{y}) + B(\hat{y}) e^{-X}$$

$$\underline{\text{BC 1}} : \hat{\psi}_B \rightarrow 0 \quad \text{as } X \rightarrow \infty \quad \text{---12--}$$

$$\Rightarrow A(\hat{y}) = 0$$

$$\underline{\text{BC 2}} : \hat{\psi} = \hat{\psi}_I + \hat{\psi}_B = 0 \quad \text{at } \hat{x} = 0.$$

$$\Rightarrow \pi \sin \pi \hat{y} + B(\hat{y}) = 0$$

$$\Rightarrow B(\hat{y}) = -\pi \sin \pi \hat{y}$$

Full solution is

$$\begin{aligned} \hat{\psi}(\hat{x}, \hat{y}) &= \pi (1 - \hat{x} - e^{-X}) \sin \pi \hat{y} \\ &= \pi (1 - \hat{x} - e^{-\hat{x}/\epsilon}) \sin \pi \hat{y} \end{aligned}$$