

# PCFGs: Parsing & Evaluation

LING 571 — Deep Processing Techniques for NLP

October 14, 2020

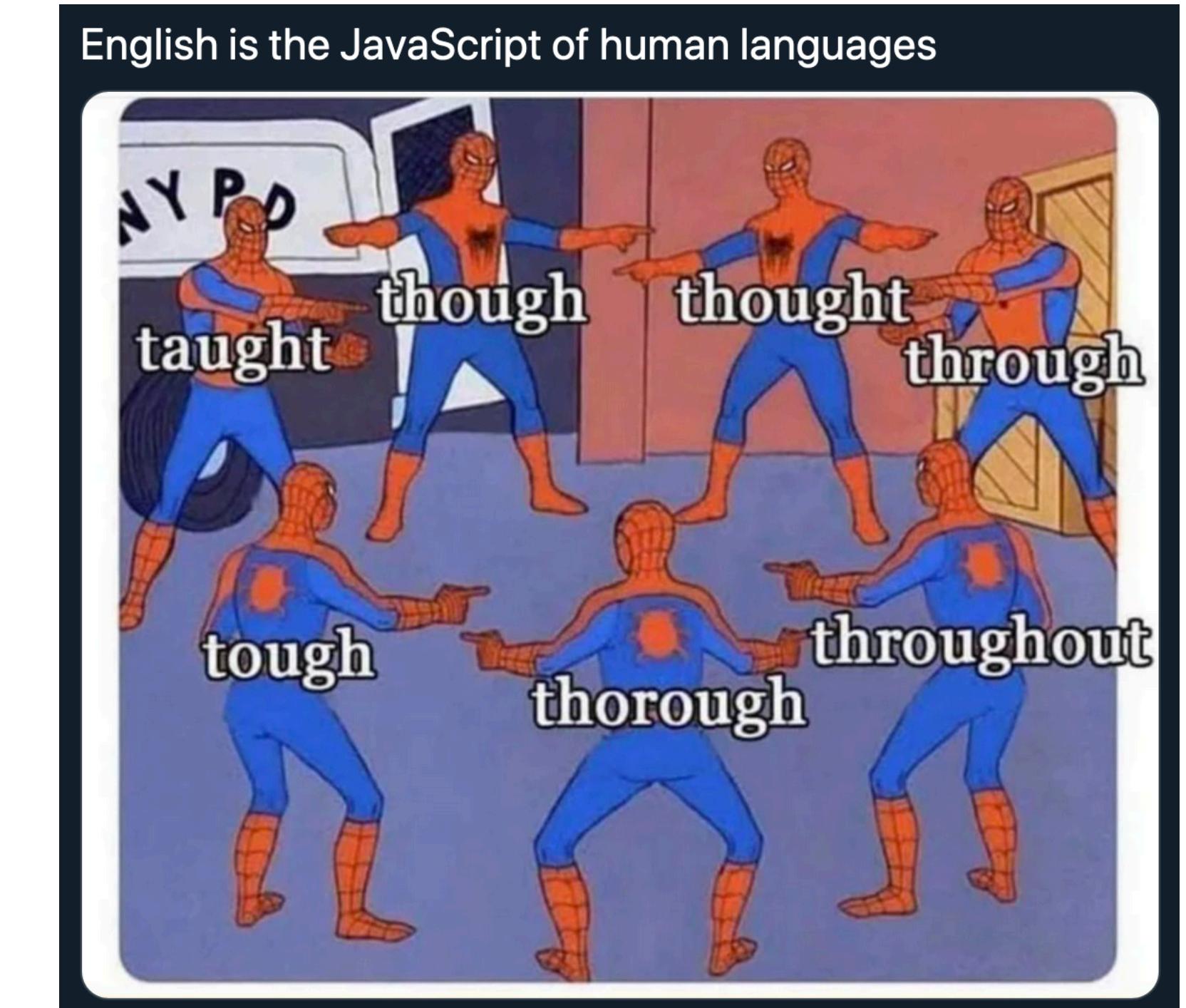
Shane Steinert-Threlkeld

# Announcements

- HW2 due today at 11pm
  - readme.{txt|pdf}
  - Separate upload to Canvas
  - NOT in hw2.tar.gz
  - Run `check_hw2.sh` before submitting!

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# Roadmap

- CKY + back-pointers
- PCFGs
- PCFG Parsing (PCKY)
- Inducing a PCFG
- Evaluation
- [Earley parsing]
- HW3 + collaboration

# CKY Parsing: Backpointers

# Backpointers

- Instead of list of possible nonterminals for that node, each cell should have:
  - Nonterminal for the node
  - Pointer to left and right children cells
  - Either direct pointer to cell, or indices

For example:

```
bp_2 = BackPointer()
bp_2.l_child = [X2, (1, 4)]
bp_2.r_child = [PP, (4, 6)]
```

# CKY Parser

- Pair each nonterminal with back-pointer to cells from which it was derived
- Last step:
  - construct trees from back-pointers in [ 0, n ]

<b>NP, Pronoun</b> [0,1]	<b>S</b> [0,2]		<b>S</b> [0,4]		
	<b>Verb, VP, S</b> [1,2]		<b>VP, X2, S</b> [1,4]		<b>VP</b> [1,6]
		<b>Det</b> [2,3]	<b>NP</b> [2,4]		<b>NP</b> [2,6]
			<b>Noun, Nom</b> [3,4]		<b>Nom</b> [3,6]
				<b>Prep</b> [4,5]	<b>PP</b> [4,6]
					<b>NNP, NP</b> [5,6]

$VP \rightarrow VP \text{ } PP$

$bp\_1 = \text{BackPointer}()$   
 $bp\_1.l\_child = [VP, (1, 4)]$   
 $bp\_1.r\_child = [PP, (4, 6)]$

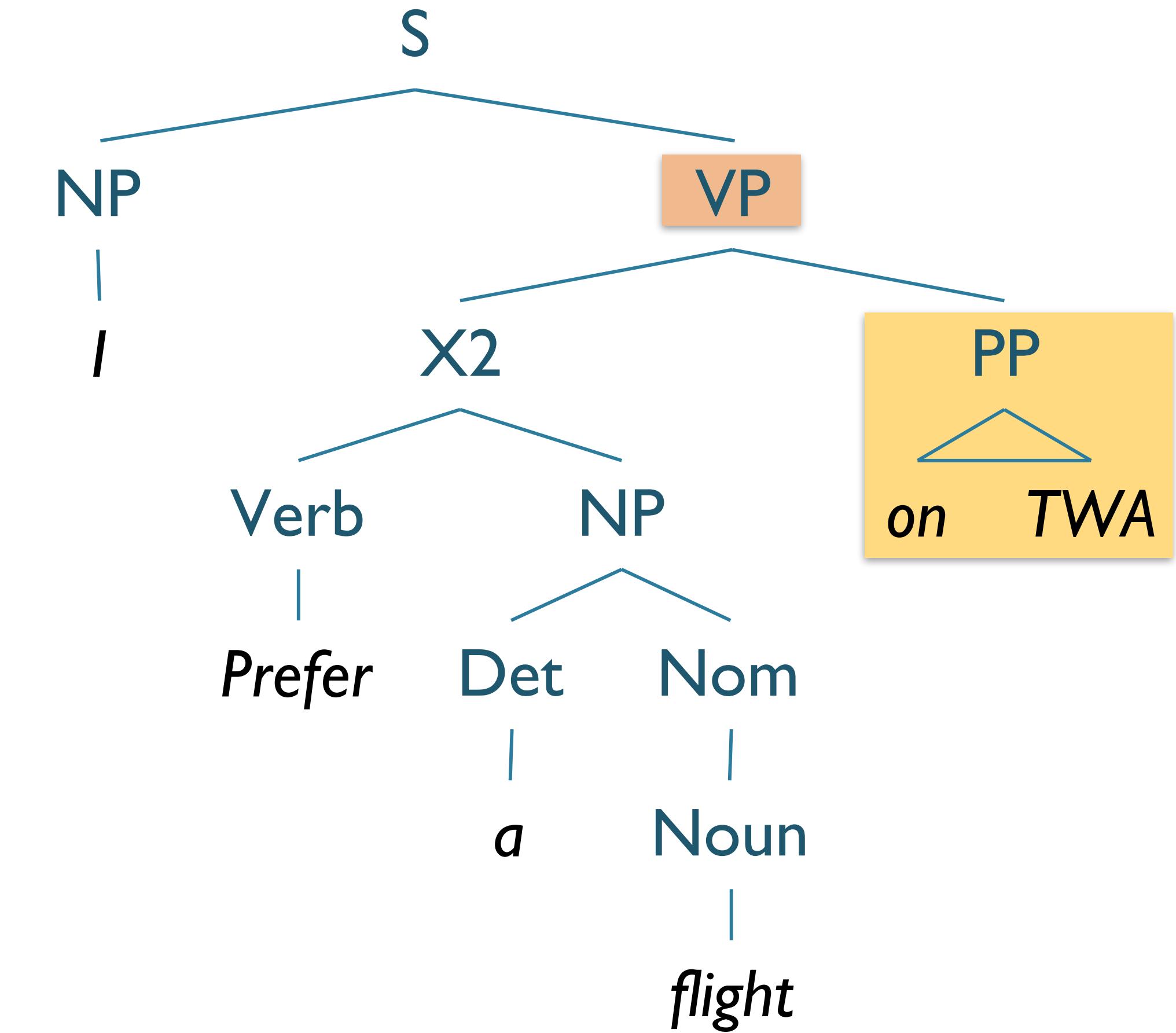
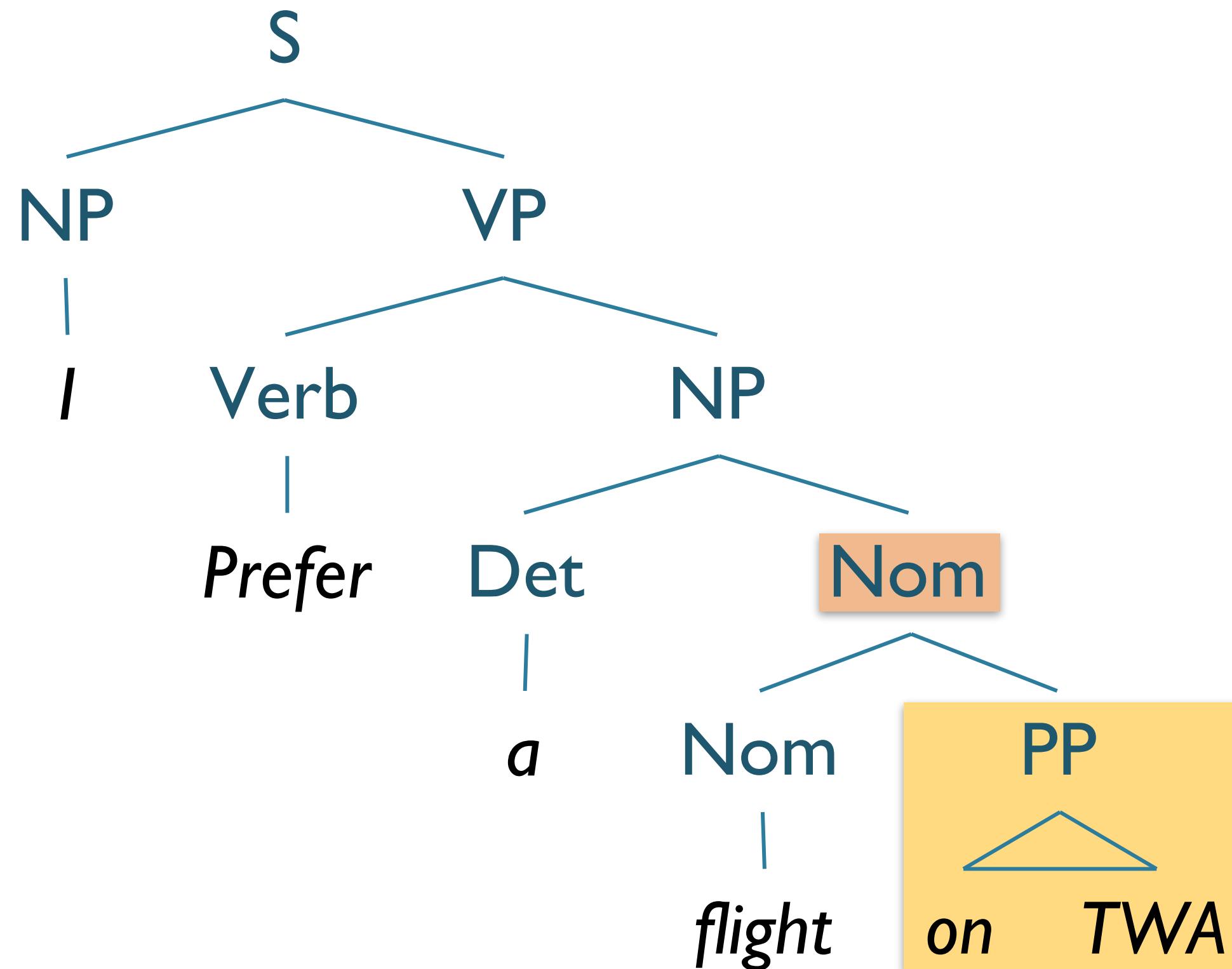
<b>NP, Pronoun</b> [0,1]	<b>S</b> [0,2]	[0,3]	<b>S</b> [0,4]	[0,5]	<b>VP</b>
	<b>Verb, VP, S</b> [1,2]	[1,3]	<b>VP, X2, S</b> [1,4]	[1,5]	<b>VP</b> [1,6]
		<b>Det</b> [2,3]	<b>NP</b> [2,4]	[2,5]	<b>NP</b> [2,6]
			<b>Noun, Nom</b> [3,4]	[3,5]	<b>Nom</b> [3,6]
				<b>Prep</b> [4,5]	<b>PP</b> [4,6]
					<b>NNP, NP</b> [5,6]

$VP \rightarrow X2 \text{ } PP$

$bp\_2 = \text{BackPointer}()$   
 $bp\_2.l\_child = [X2, (1, 4)]$   
 $bp\_2.r\_child = [PP, (4, 6)]$

<b>NP, Pronoun</b> [0,1]	<b>S</b> [0,2]	[0,3]	<b>S</b> [0,4]	[0,5]	<b>VP</b>
	<b>Verb, VP, S</b> [1,2]	[1,3]	<b>VP, X2, S</b> [1,4]	[1,5]	<b>VP</b> [1,6]
		<b>Det</b> [2,3]	<b>NP</b> [2,4]	[2,5]	<b>NP</b> [2,6]
			<b>Noun, Nom</b> [3,4]	[3,5]	<b>Nom</b> [3,6]
				<b>Prep</b> [4,5]	<b>PP</b> [4,6]
					<b>NNP, NP</b> [5,6]

# Resulting Parses



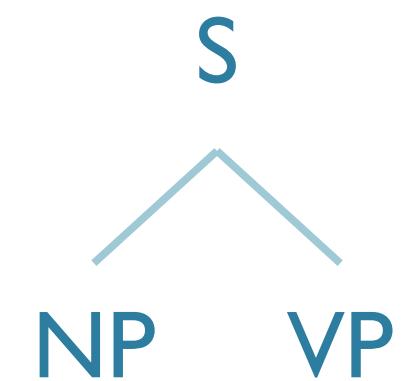
# CKY Discussion

- Running time:
  - $O(n^3)$  where  $n$  is the length of the input string
  - Inner loop grows as square of # of non-terminals
- Expressiveness:
  - As implemented, requires CNF
    - Weak equivalence to original grammar
    - Doesn't capture full original structure
    - Back-conversion?
      - Can do binarization, terminal conversion
      - Unit productions requires change in CKY

# CKY + Back-pointers Example

```
cky_table[0,6][S] = { (NP, (0,1),
                      VP, (1,6)) }
```

NP, Pronoun [0,1]	S [0,2]		S [0,4]		S [0,6]
Verb, VP, S [1,2]		VP, X2, S [1,3]		VP, X2, S [1,5]	VP, X2, S [1,6]
	Det [2,3]	NP [2,4]		NP [2,5]	NP [2,6]
		Noun, Nom [3,4]		Nom [3,5]	Nom [3,6]
			Prep [4,5]	PP [4,6]	PP [4,6]
				NNP, NP [5,6]	



I

prefer

a

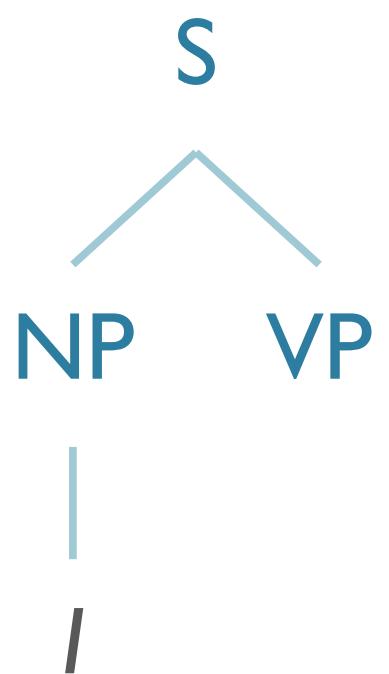
flight

on

TWA

`cky_table[0,6][S] = { (NP, (0,1),`  
`VP, (1,6) ) }`  
`cky_table[0,1][NP] = { ('I') }`

NP, Pronoun [0,1]	S [0,2]		S [0,4]		S [0,6]
	<b>Verb, VP, S</b> [1,2]		<b>VP, X<sub>2</sub>, S</b> [1,3]		<b>VP, X<sub>2</sub>, S</b> [1,6]
		<b>Det</b> [2,3]	<b>NP</b> [2,4]		<b>NP</b> [2,6]
			<b>Noun, Nom</b> [3,4]		<b>Nom</b> [3,6]
				<b>Prep</b> [4,5]	<b>PP</b> [4,6]
					<b>NNP, NP</b> [5,6]



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<code>cky_table[0,6][S] = { (NP, (0,1), VP, (1,6)) : NP, Pronoun [0,1]</code>	S [0,2]		S [0,3]	S [0,4]	S [0,5]	S [0,6]
<code>cky_table[0,1][NP] = { ('I') }</code>	<b>Verb, VP, S [1,2]</b>		<b>VP, X2, S [1,3]</b>	<b>NP [1,4]</b>	<b>NP [1,5]</b>	<b>NP [1,6]</b>
<code>cky_table[1,6][VP] = { (Verb, (1,2), NP, (2,6)), (X2, (1,4), PP, (4,6)) }</code>		<b>Det [2,3]</b>		<b>NP [2,4]</b>	<b>NP [2,5]</b>	<b>NP [2,6]</b>
			<b>Noun, Nom [3,4]</b>		<b>Nom [3,5]</b>	<b>Nom [3,6]</b>
				<b>Prep [4,5]</b>	<b>PP [4,6]</b>	<b>PP [4,6]</b>
					<b>NNP, NP [5,6]</b>	

```

graph TD
    S --- NP1[NP]
    S --- VP1[VP]
    NP1 --- I1[I]
    VP1 --- Verb1[Verb]
    VP1 --- NP2[NP]
    NP2 --- Space1[" "]
  
```

*I**prefer**a**flight**on**TWA*

```

cky_table[0,6][S] = { (NP, (0,1),
                      VP, (1,6))}

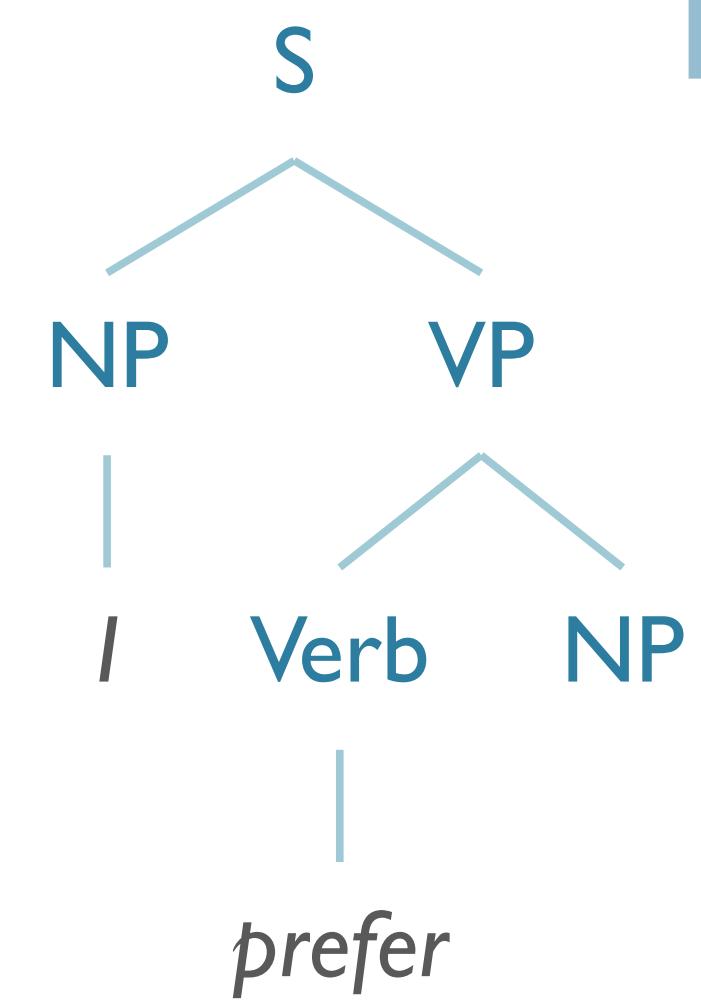
cky_table[0,1][NP] = { ('I') }

cky_table[1,6][VP] = { (Verb, (1,2),
                       NP, (2,6)),
                       (X2, (1,4),
                        PP, (4,6))}

cky_table[1,2][Verb] = { ('prefer') }

```

NP, Pronoun [0,1]	S [0,2]		S [0,3]	S [0,4]		S [0,5]	S [0,6]
Verb, VP, S [1,2]			VP, X2, S [1,3]	VP, X2, S [1,4]		VP, X2, S [1,5]	VP, X2, S [1,6]
		Det [2,3]		NP [2,4]		NP [2,5]	NP [2,6]
				Noun, Nom [3,4]		Nom [3,5]	Nom [3,6]
	<td></td> <td></td> <td></td> <td>Prep [4,5]</td> <td>PP [4,6]</td> <td>PP [4,6]</td>				Prep [4,5]	PP [4,6]	PP [4,6]
	<td></td> <td></td> <td></td> <td></td> <td>NNP, NP [5,6]</td> <td>NNP, NP [5,6]</td>					NNP, NP [5,6]	NNP, NP [5,6]



I prefer a flight on TWA

```

cky_table[0,6][S] = { (NP, (0,1),
                      VP, (1,6))}

cky_table[0,1][NP] = {('I')}

cky_table[1,6][VP] = { (Verb, (1,2),
                        NP, (2,6)),
                       (X2, (1,4)),
                       (PP, (4,6)))}

cky_table[1,2][Verb] = {'prefer'}

cky_table[2,6][NP] = {(Det, (2,3),
                      Nom, (3,6))}

```

NP, Pronoun [0,1]	S [0,2]		S [0,4]		S [0,6]
Verb, VP, S [1,2]	[1,3]	Det [2,3]	NP [2,4]	[1,5]	VP, X2, S [1,6]
Noun, Nom [3,4]					NP [2,6]
					Nom [3,6]
Prep [4,5]				PP [4,6]	
				NNP, NP [5,6]	

```

graph TD
    S --> NP1[NP]
    S --> VP[VP]
    NP1 --> I[I]
    VP --> Verb[Verb]
    VP --> NP2[NP]
    Verb --> prefer[prefer]
    NP2 --> Det[Det]
    NP2 --> Nom[Nom]
    Det --> a[a]
    Nom --> TWA[TWA]
  
```

I

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a

flight

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TWA

```

cky_table[0,6][S] = { (NP, (0,1),
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cky_table[0,1][NP] = { ('I')}

cky_table[1,6][VP] = { (Verb, (1,2),
                       NP, (2,6)),
                       (X2, (1,4)),
                       (PP, (4,6)))}

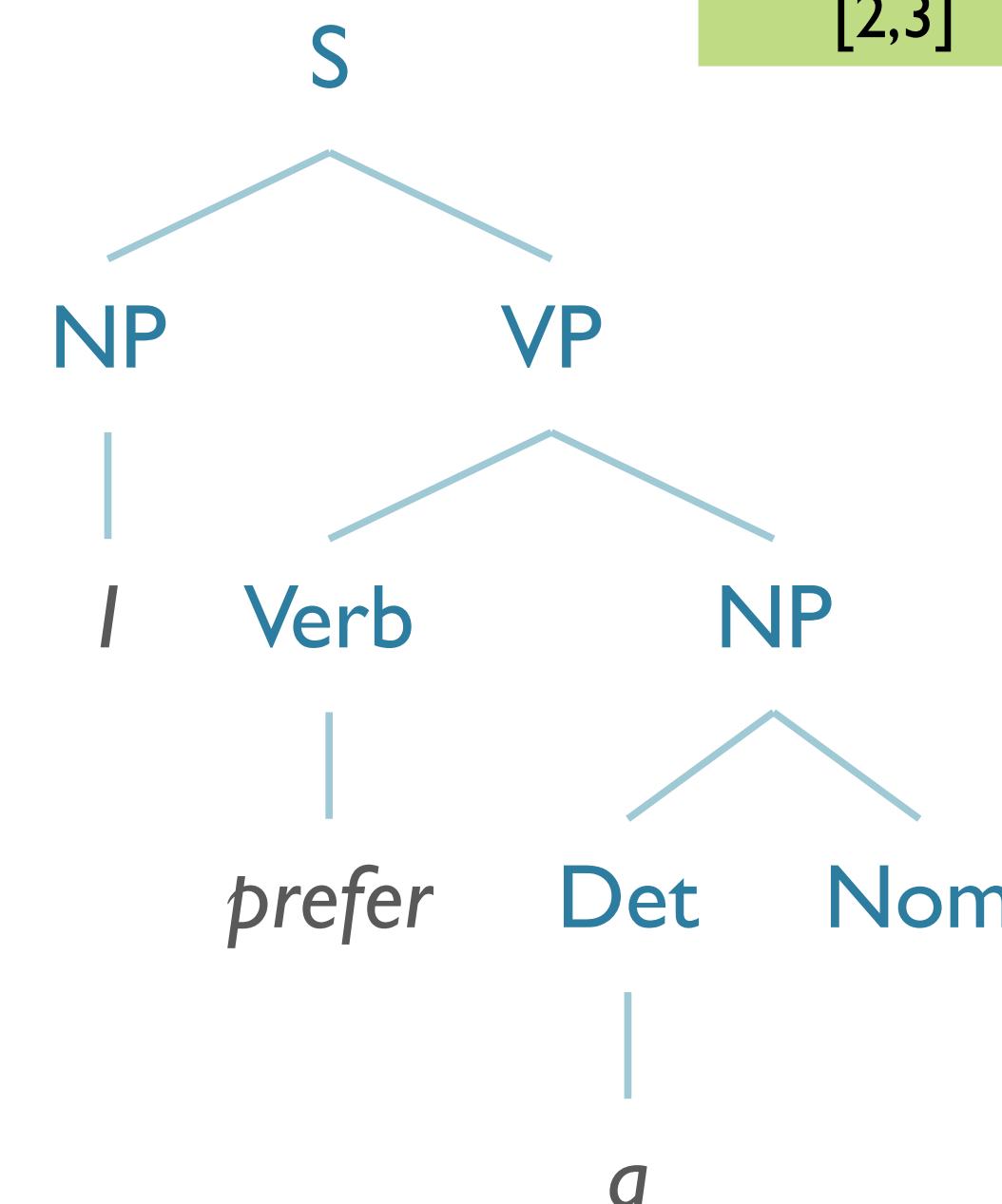
cky_table[1,2][Verb] = { ('prefer')}

cky_table[2,6][NP] = { (Det, (2,3),
                       Nom, (3,6))}

cky_table[2,3][Det] = { ('a')}


```

NP, Pronoun [0,1]	S [0,2]		S [0,4]		S [0,6]
Verb, VP, S [1,2]	[1,3]	Det [2,3]	NP [2,4]	[1,5]	VP, X2, S [1,6]
			Noun, Nom [3,4]	[2,5]	NP [2,6]
				[3,5]	Nom [3,6]
				Prep [4,5]	PP [4,6]
					NNP, NP [5,6]



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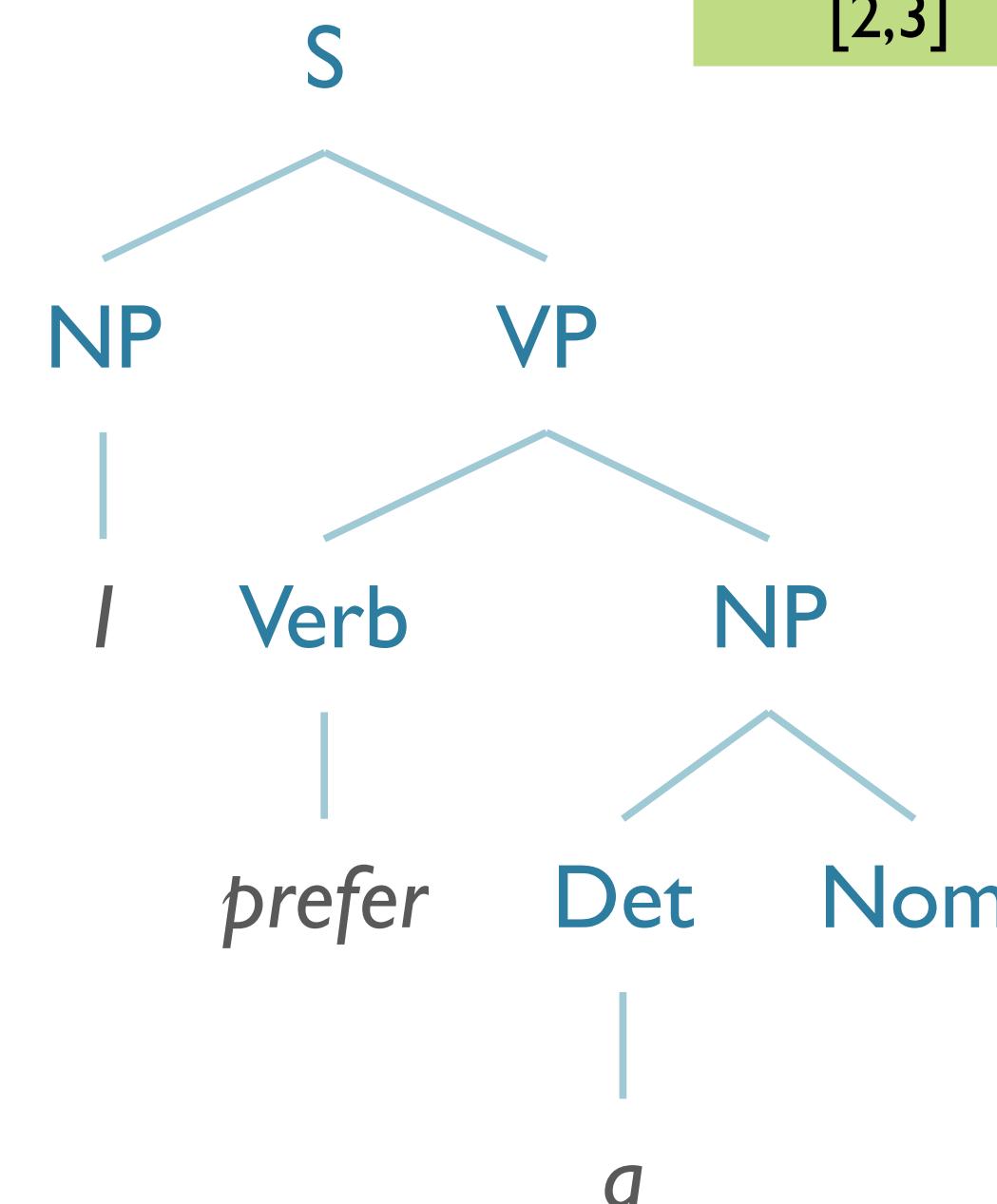
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cky_table[2,3][Det] = { ('a')}


```

NP, Pronoun [0,1]	S [0,2]		S [0,4]		S [0,6]
Verb, VP, S [1,2]	[1,3]	VP, X2, S [1,4]	[1,5]	VP, X2, S [1,6]	
	Det [2,3]	NP [2,4]	[2,5]	NP [2,6]	
		Noun, Nom [3,4]		Nom [3,6]	
			Prep [4,5]	PP [4,6]	
				NNP, NP [5,6]	



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```

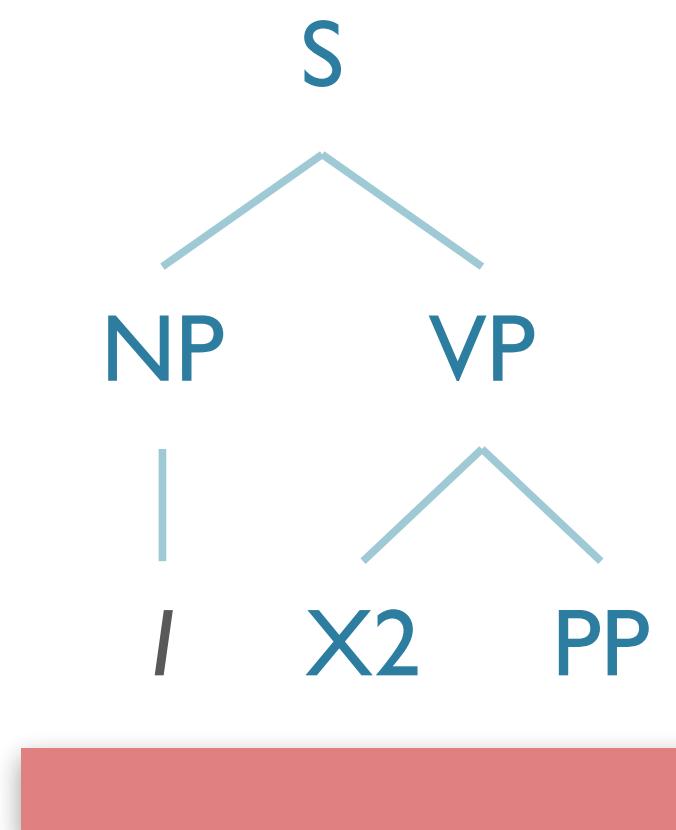
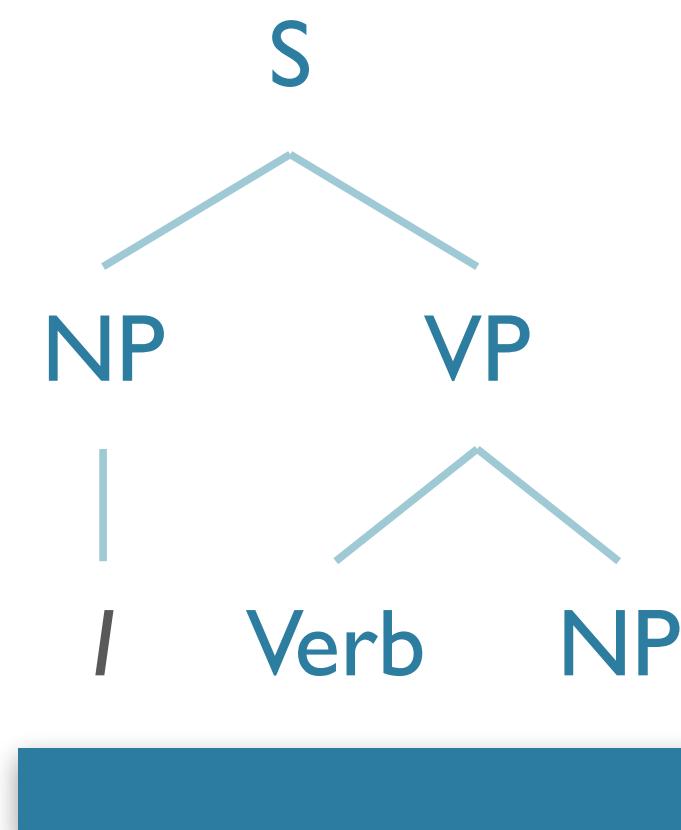
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                      VP, (1,6)) }

cky_table[0,1][NP] = { ('I') }

cky_table[1,6][VP] = { (Verb, (1,2),
                       NP, (2,6)),
                       (X2, (1,4),
                        PP, (4,6)) }

```

	NP, Pronoun [0,1]	S [0,2]		S [0,3]	S [0,4]		S [0,5]	S [0,6]
		Verb, VP, S [1,2]			VP, X2, S [1,4]			VP, X2, S [1,6]
			[1,3]			[1,5]		
			Det [2,3]		NP [2,4]			NP [2,6]
				Noun, Nom [3,4]			Nom [3,5]	
					Prep [4,5]		PP [4,6]	
						NNP, NP [5,6]		



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# Probabilistic Context-Free Grammars

# Probabilistic Context-free Grammars: Roadmap

Motivation: Ambiguity

Approach:

Definition

Disambiguation

Parsing

Evaluation

Enhancements

# Motivation

What about ambiguity?

Current algorithm can *represent* it

...can't resolve it.

# Probabilistic Parsing

- Provides strategy for solving disambiguation problem
  - Compute the probability of all analyses
  - Select the most probable
- Employed in language modeling for speech recognition
  - N-gram grammars predict words, constrain search
  - Also, constrain generation, translation

# PCFGs: Formal Definition

$N$

a set of **non-terminal symbols** (or **variables**)

---

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$R$

a set of rules of productions, each of the form  $A \rightarrow \beta[p]$ , where  $A$  is a non-terminal where  
 $A$  is a non-terminal,  $\beta$  is a string of symbols from the infinite set of strings  $(\Sigma \cup N)^*$  and  $p$   
is a number between 0 and 1 expressing  $P(\beta|A)$

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is a number between 0 and 1 expressing  $P(\beta|A)$

---

$S$

a designated **start symbol**

# PCFGs

- Augment each production with probability that LHS will be expanded as RHS
  - $P(A \rightarrow \beta)$
  - $P(A \rightarrow \beta | A)$
  - $P(\beta | A)$
  - $P(RHS | LHS)$
- NB: the first is often used; but the latter are what's really meant.

# PCFGs

- Sum over all possible expansions is 1

$$\sum_{\beta} P(A \rightarrow \beta) = 1$$

- A PCFG is ***consistent*** if sum of probabilities of all sentences in language is 1
- Recursive rules often yield inconsistent grammars ([Booth & Thompson, 1973](#))

# Example PCFG: Augmented $\mathcal{L}_1$

Grammar		Lexicon
$S \rightarrow NP\ VP$	[.80]	$Det \rightarrow that [.10] \mid a [.30] \mid the [.60]$
$S \rightarrow Aux\ NP\ VP$	[.15]	$Noun \rightarrow book [.10] \mid flight [.30] \mid meal [.15] \mid money [0.5]$
$S \rightarrow VP$	[.05]	$\mid flights [0.40] \mid dinner [.10]$
$NP \rightarrow Pronoun$	[.35]	$Verb \rightarrow book [.30] \mid include [.30] \mid prefer [.40]$
$NP \rightarrow Proper-Noun$	[.30]	$Pronoun \rightarrow I [.40] \mid she [.05] \mid me [.15] \mid you [.40]$
$NP \rightarrow Det\ Nominal$	[.20]	$Proper-Noun \rightarrow Houston [.60] \mid NWA [.40]$
$NP \rightarrow Nominal$	[.15]	$Aux \rightarrow does [.60] \mid can [.40]$
$Nominal \rightarrow Noun$	[.75]	$Preposition \rightarrow from [.30] \mid to [.30] \mid on [.20] \mid near [.15]$
$Nominal \rightarrow Nominal\ Noun$	[.20]	$\mid through [.05]$
$Nominal \rightarrow Nominal\ PP$	[.05]	
$VP \rightarrow Verb$	[.35]	
$VP \rightarrow Verb\ NP$	[.20]	
$VP \rightarrow Verb\ NP\ PP$	[.10]	
$VP \rightarrow Verb\ PP$	[.15]	
$VP \rightarrow Verb\ NP\ NP$	[.05]	
$VP \rightarrow VP\ PP$	[.15]	
$PP \rightarrow Preposition\ NP$	[1.0]	

# Example PCFG: Augmented $\mathcal{L}_1$

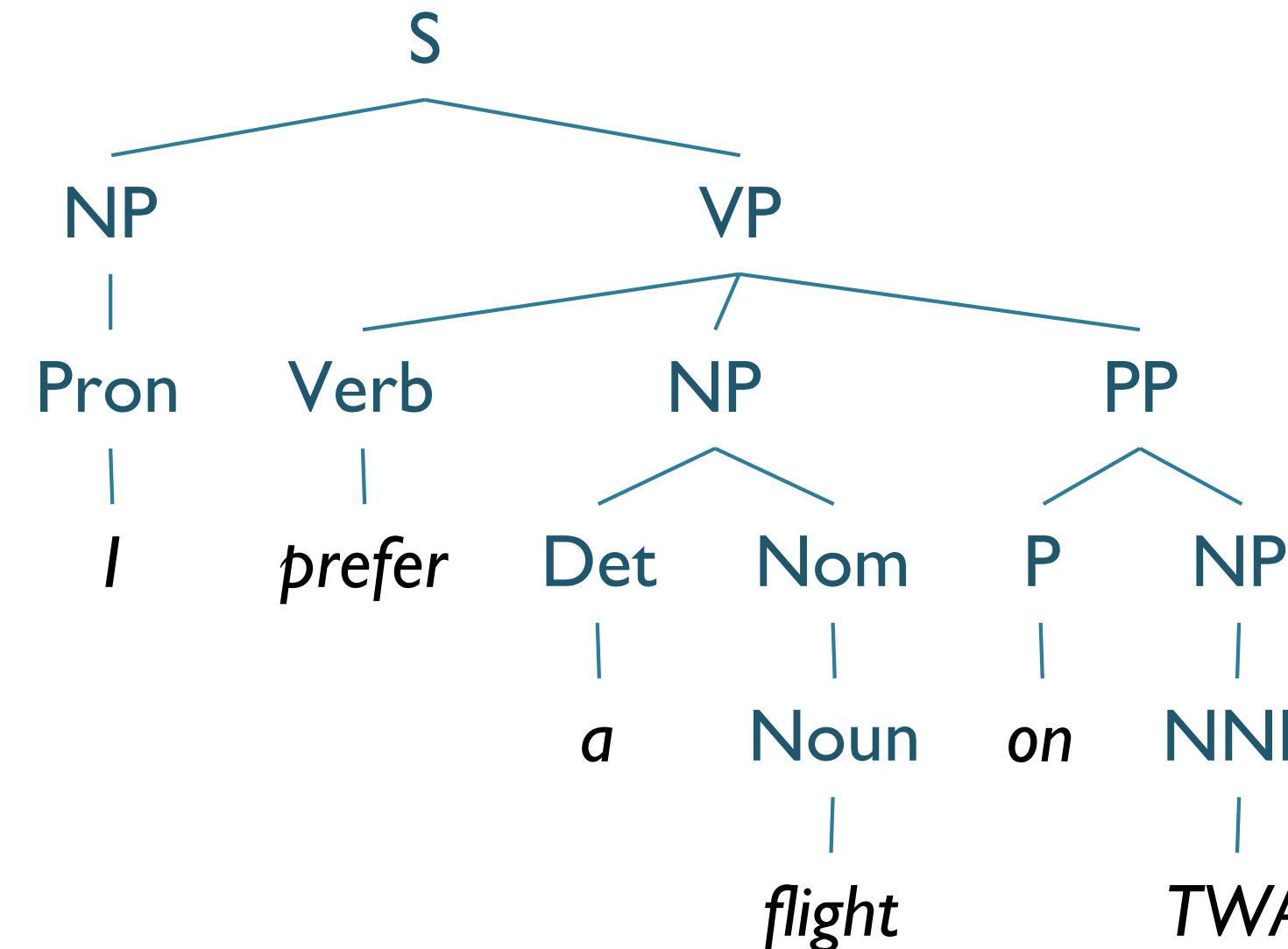
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# Disambiguation

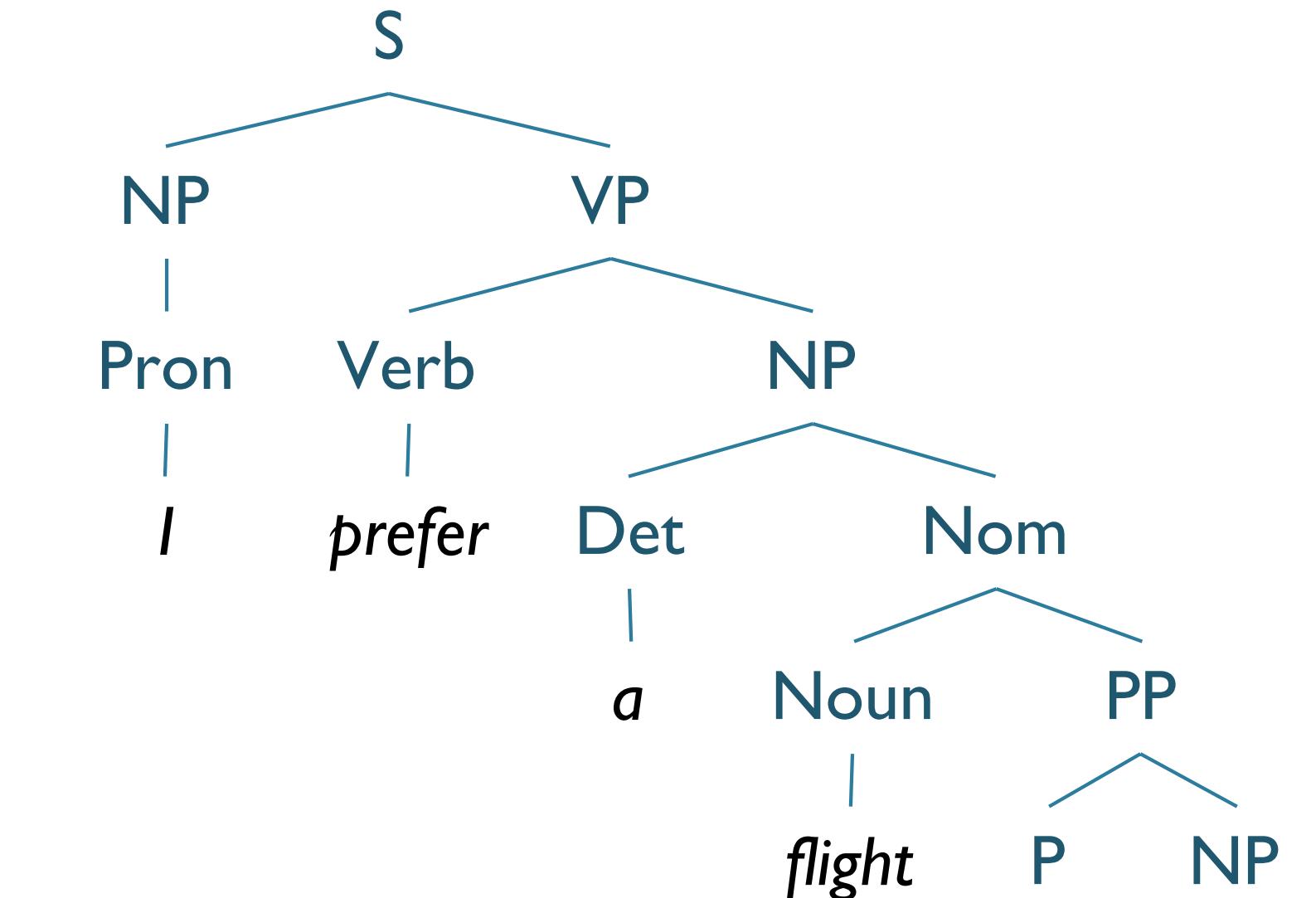
- A PCFG assigns probability to each parse tree  $T$  for input  $S$
- Probability of  $T$ : product of all rules used to derive  $T$

$$P(T, S) = \prod_{i=1}^n P(RHS_i \mid LHS_i)$$

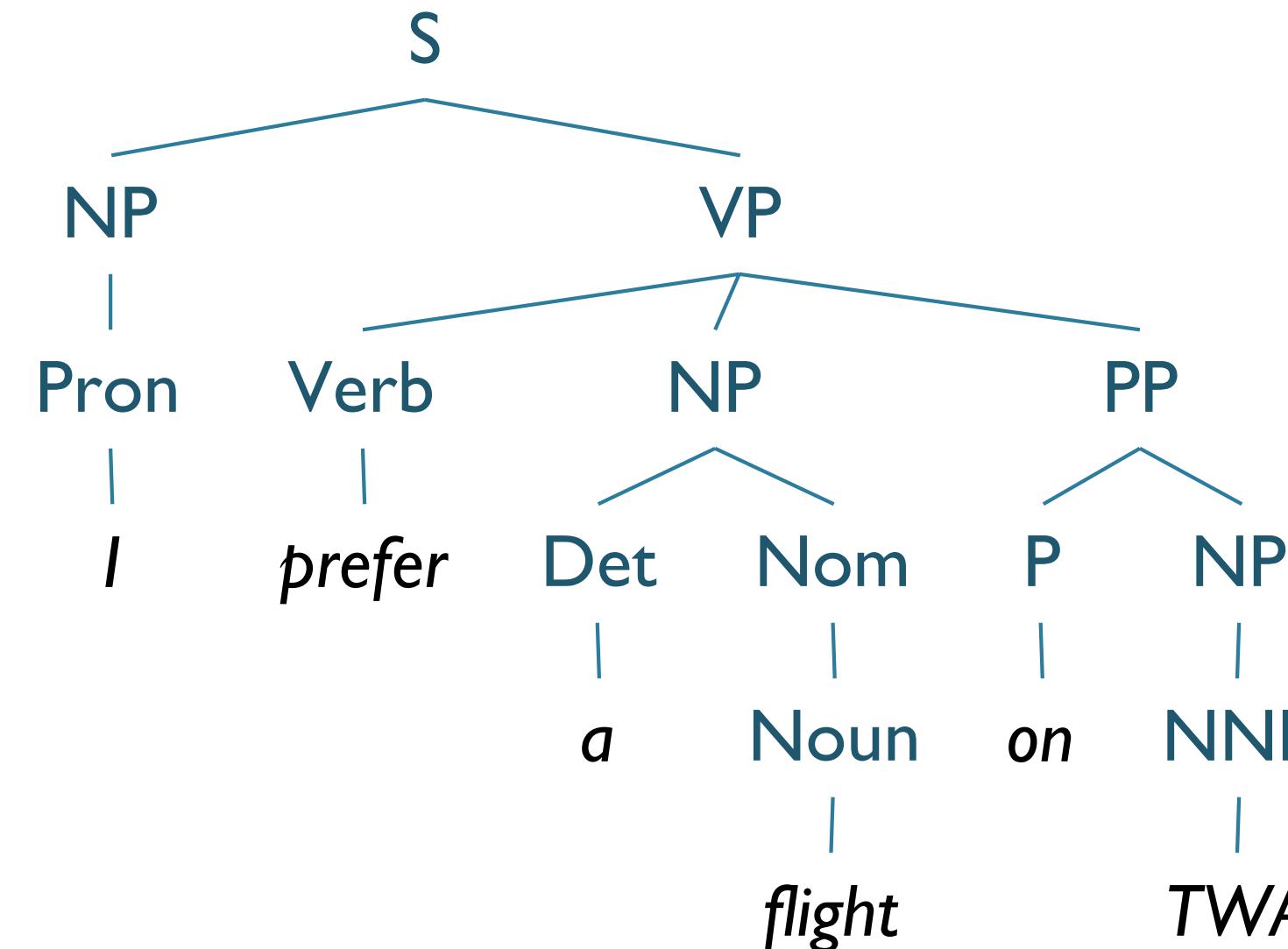
$$P(T, S) = P(T)P(S \mid T) = P(T)$$



$S \rightarrow NP VP$	[0.8]
$NP \rightarrow Pron$	[0.35]
$Pron \rightarrow I$	[0.4]
$VP \rightarrow V NP PP$	[0.1]
$V \rightarrow prefer$	[0.4]
$NP \rightarrow Det Nom$	[0.2]
$Det \rightarrow a$	[0.3]
$Nom \rightarrow N$	[0.75]
$N \rightarrow flight$	[0.3]
$PP \rightarrow P NP$	[1.0]
$P \rightarrow on$	[0.2]
$NP \rightarrow NNP$	[0.3]
$NNP \rightarrow NWA$	[0.4]

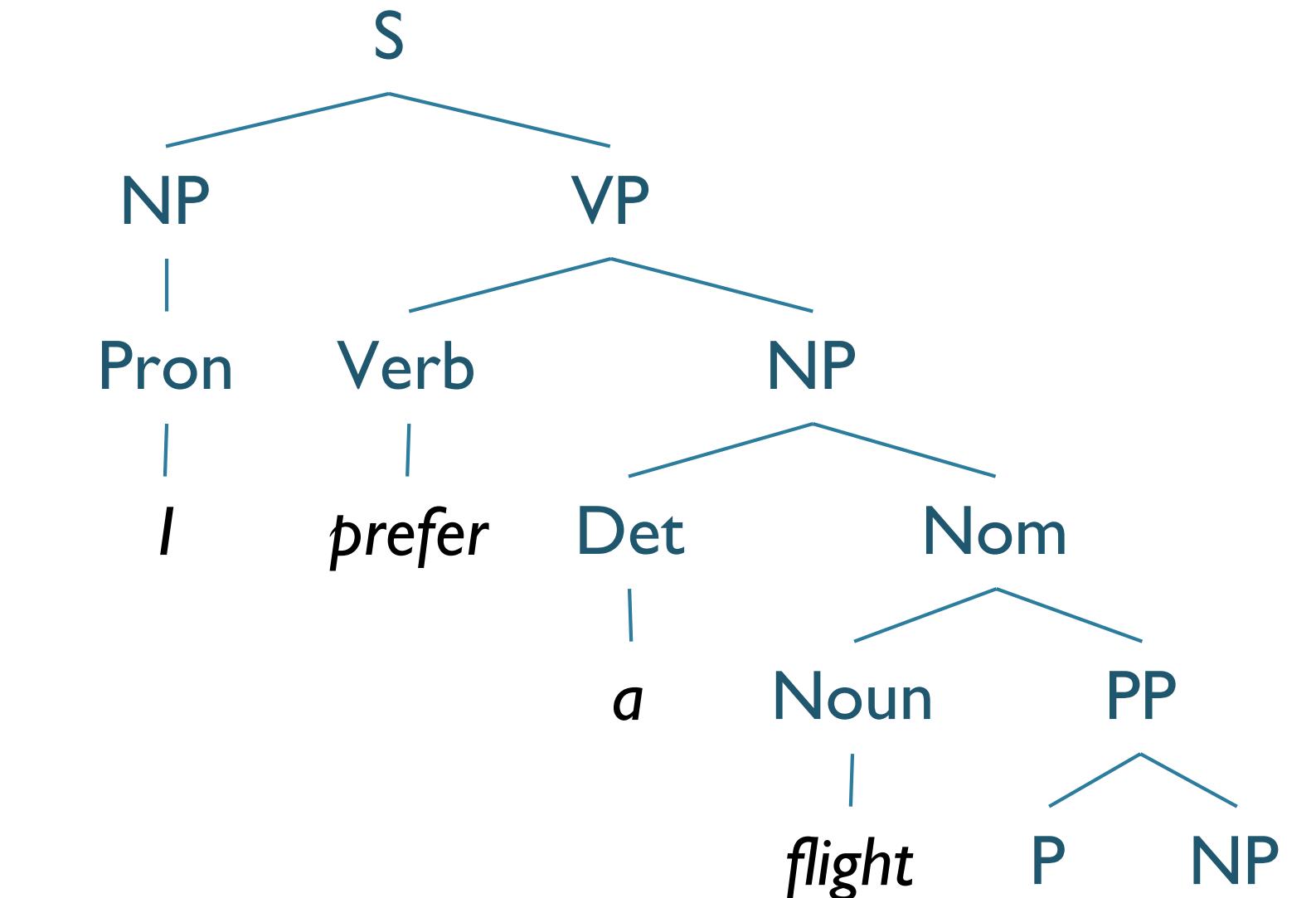


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$S \rightarrow NPVP$	[0.8]
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$PP \rightarrow P NP$	[1.0]
$P \rightarrow on$	[0.2]
$NP \rightarrow NNP$	[0.3]
$NNP \rightarrow NWA$	[0.4]

$\sim 1.452 \times 10^{-6}$



$S \rightarrow NPVP$	[0.8]
$NP \rightarrow Pron$	[0.35]
$Pron \rightarrow I$	[0.4]
$VP \rightarrow V NP$	[0.2]
$V \rightarrow prefer$	[0.4]
$NP \rightarrow Det Nom$	[0.2]
$Det \rightarrow a$	[0.3]
$Nom \rightarrow Nom PP$	[0.05]
$Nom \rightarrow N$	[0.75]
$N \rightarrow flight$	[0.3]
$PP \rightarrow P NP$	[1.0]
$P \rightarrow on$	[0.2]
$NP \rightarrow NNP$	[0.3]
$NNP \rightarrow NWA$	[0.4]

$\sim 1.452 \times 10^{-7}$

# Parsing Problem for PCFGs

- Select  $T$  such that (s.t.)

$$\hat{T}(S) = \operatorname{argmax}_{T \text{ s.t. } S=\text{yield}(T)} P(T)$$

- String of words  $S$  is *yield* of parse tree
- Select the tree  $\hat{T}$  that maximizes the probability of the parse

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- Model probability of *syntactically valid* sentences
  - Not just probability of sequence of words

# PCFGs: Parsing

# Probabilistic CKY (PCKY)

- Like regular CKY
  - Assumes grammar in Chomsky Normal Form (CNF)
    - $A \rightarrow B \ C$
    - $A \rightarrow w$
  - Represent input with indices b/t words:
    - $_0 \text{Book} \ _1 \text{that} \ _2 \text{flight} \ _3 \text{through} \ _4 \text{Houston} \ _5$

# Probabilistic CKY (PCKY)

- For input string length  $n$  and non-terminals  $V$ 
  - Cell  $[i, j, A]$  in  $(n+1) \times (n+1) \times V$  matrix
  - Contains probability that  $A$  spans  $[i, j]$

# PCKY Algorithm

```
function PROBABILISTIC-CKY-PARSE(words, grammar) returns most probable parse and its probability
for j  $\leftarrow$  from 1 to LENGTH(words) do
    for all  $\{ A \mid A \rightarrow words[j] \in grammar \}$ 
        table[ j-1, j, A ]  $\leftarrow P(A \rightarrow words[j])$ 
    for i  $\leftarrow$  from j-2 downto 0 do
        for k  $\leftarrow i + 1$  to j-1 do
            for all  $\{ A \mid A \rightarrow B C \in grammar,$ 
                and table[i, k, B] > 0 and table[ k, j, C ] > 0 }
                if (table[ i, j, A ] <  $P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C]$ ) then
                    table[ i, j, A ]  $\leftarrow P(A \rightarrow BC) \times table[i, k, B] \times table[k, j, C]$ 
                    back[ i, j, A ]  $\leftarrow \{ k, B, C \}$ 
    return BUILD_TREE(back[ 1, LENGTH(words), S ]), table[ 1, LENGTH(words), S ]
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# PCKY Grammar Segment

$S \rightarrow NP\ VP$  [0.80]

$NP \rightarrow Det\ N$  [0.30]

$VP \rightarrow V\ NP$  [0.20]

$Det \rightarrow \text{the}$  [0.40]

$Det \rightarrow \text{a}$  [0.40]

$V \rightarrow \text{includes}$  [0.05]

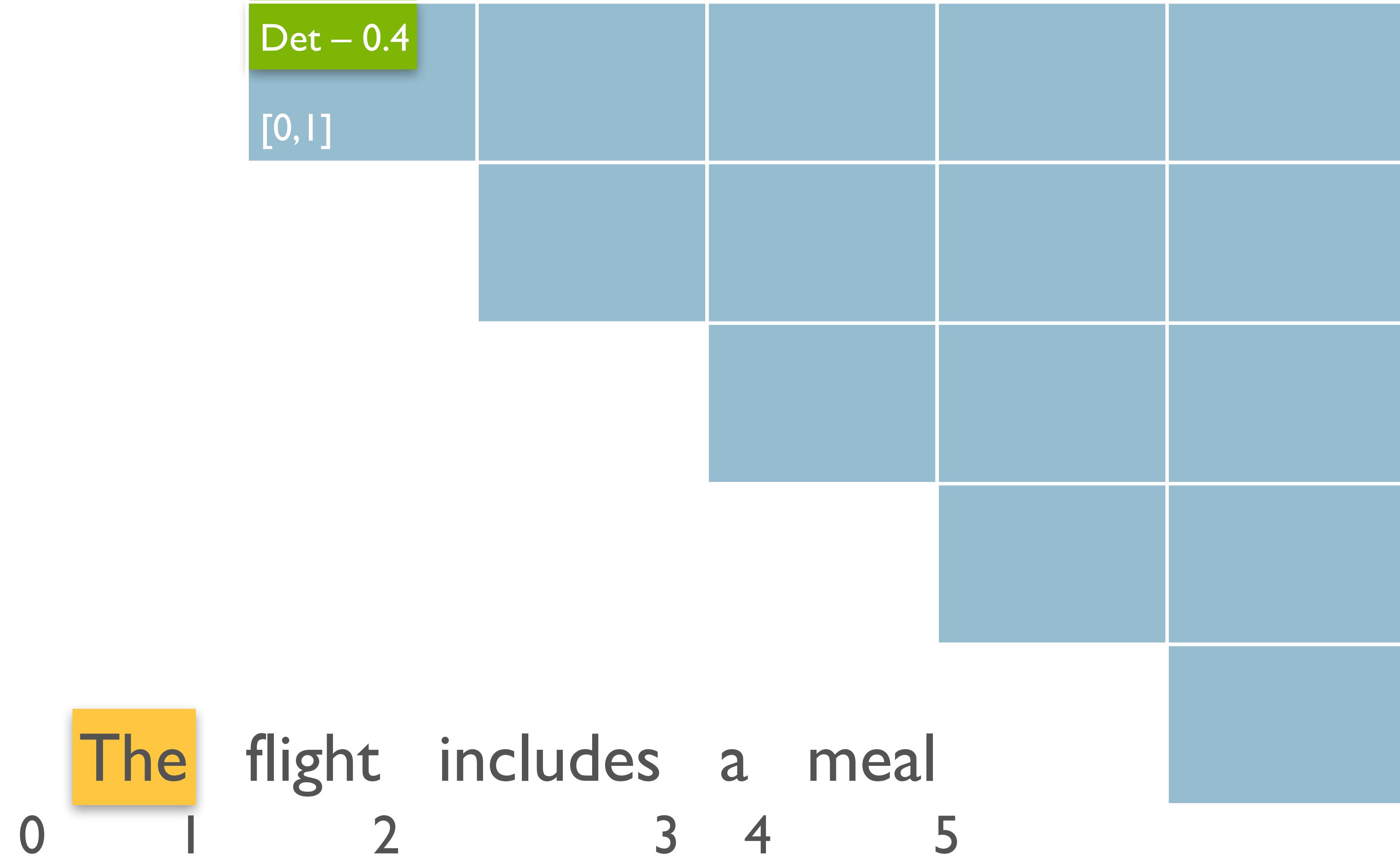
$N \rightarrow \text{meal}$  [0.01]

$N \rightarrow \text{flight}$  [0.02]

# PCKY Matrix

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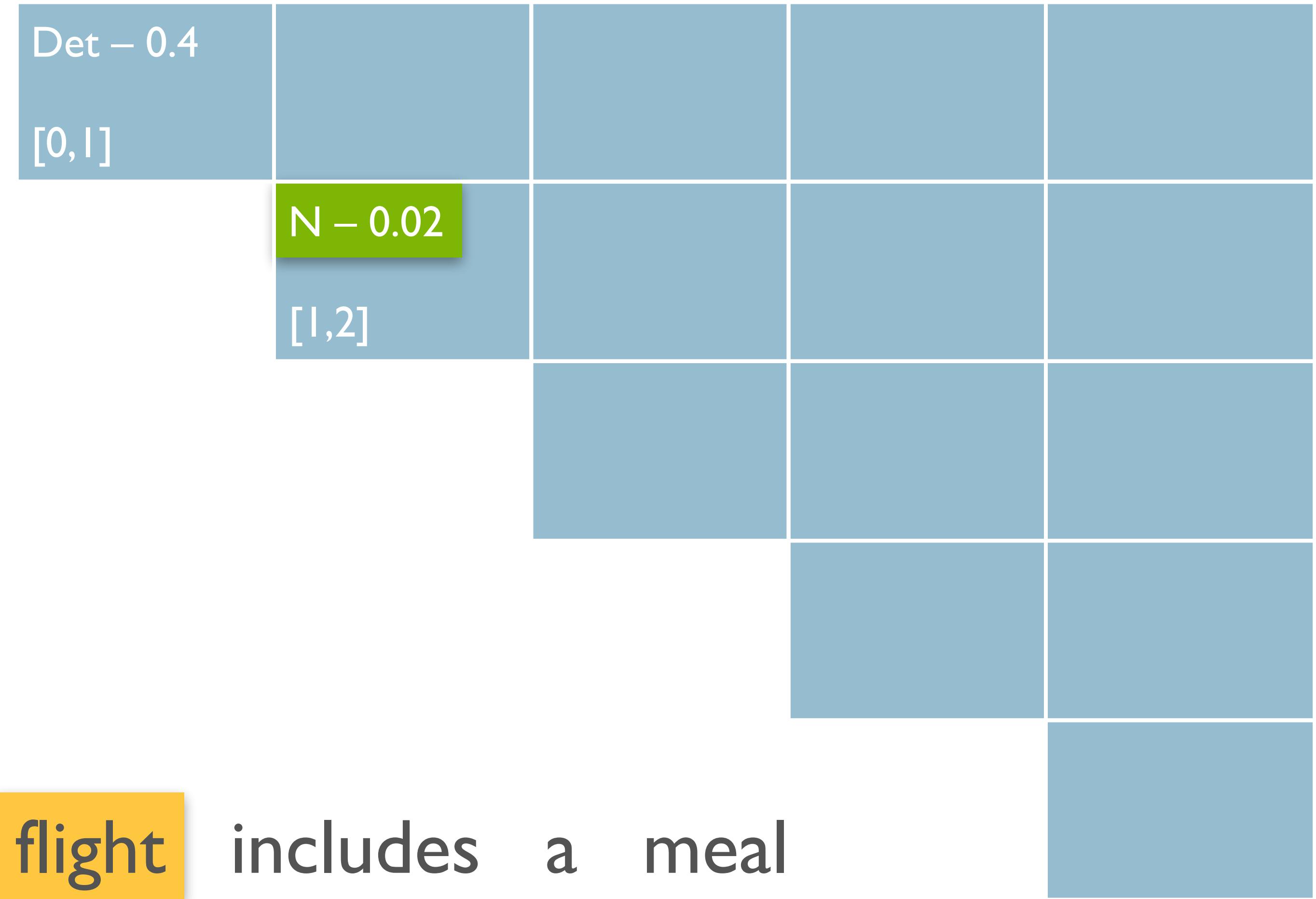
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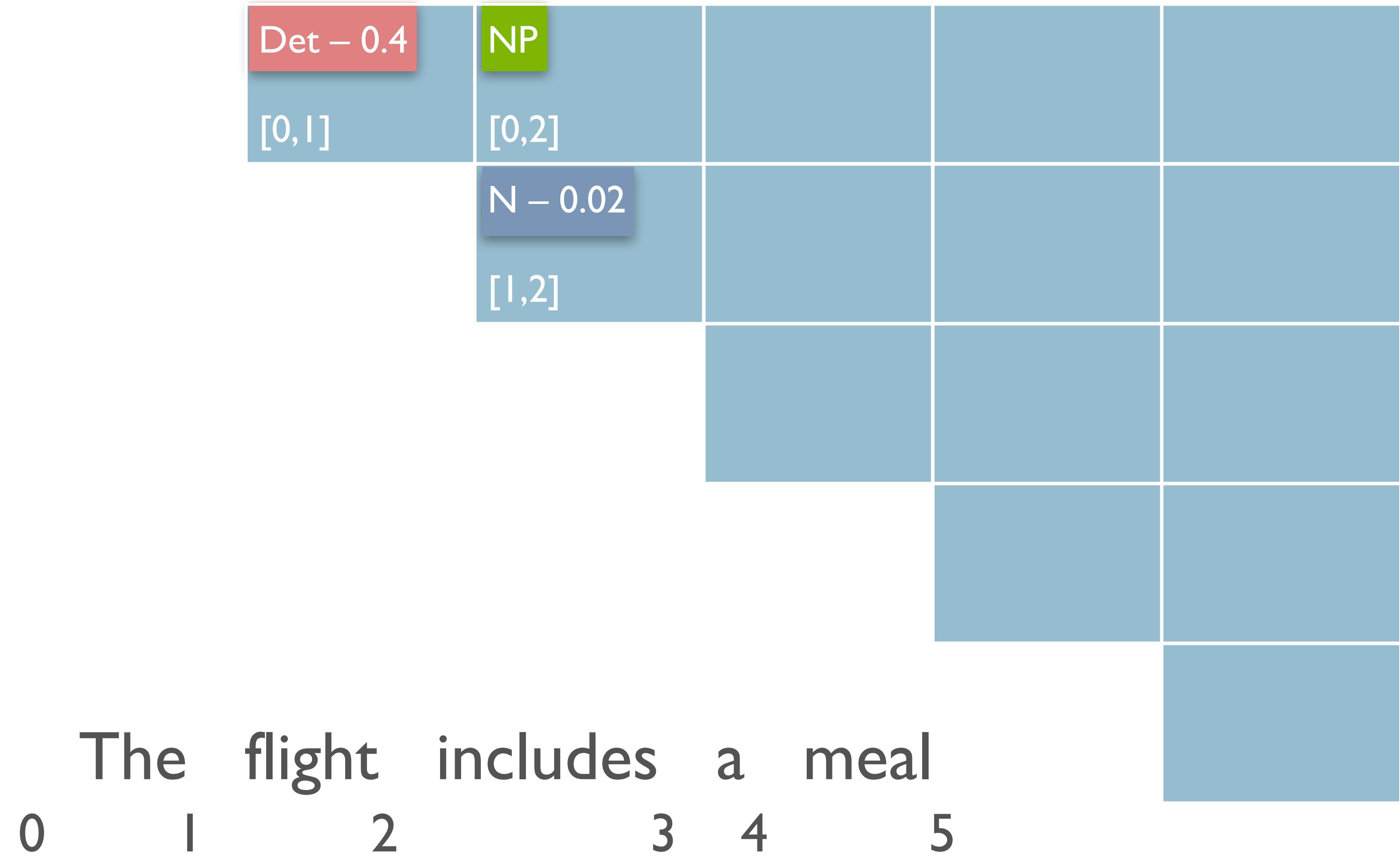


The **flight** includes a meal

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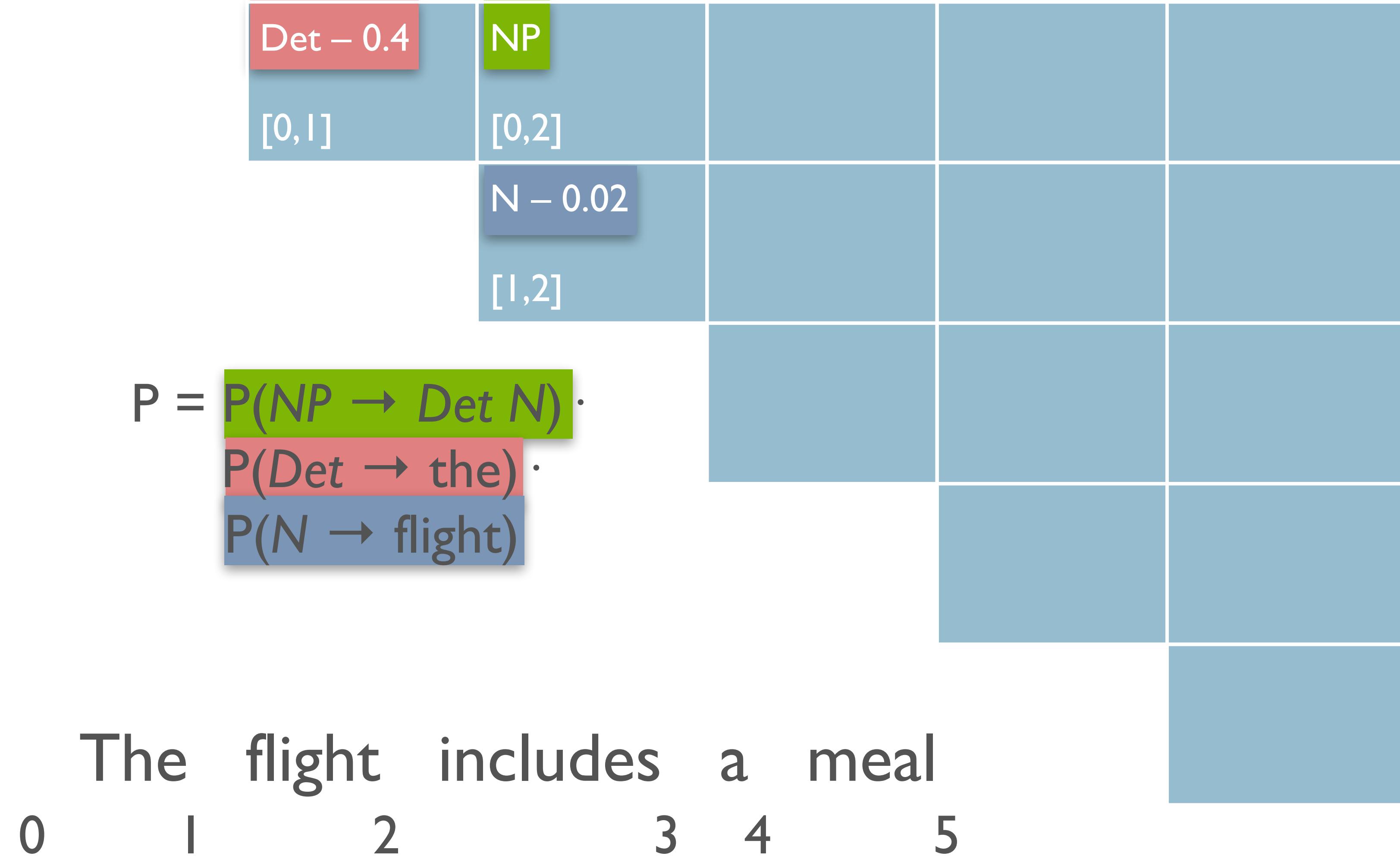


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$$P = P(NP \rightarrow Det N) \cdot \\ P(Det \rightarrow \text{the}) \cdot \\ P(N \rightarrow \text{flight})$$



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Det – 0.4 [0,1]	NP [0,2]			
	N – 0.02 [1,2]			

$$P = P(NP \rightarrow Det N) \cdot \\ P(Det \rightarrow \text{the}) \cdot \\ P(N \rightarrow \text{flight})$$

$$P = 0.3 \cdot 0.4 \cdot 0.02 = 0.00024$$

The flight includes a meal

0      1      2      3      4      5

# PCKY Matrix

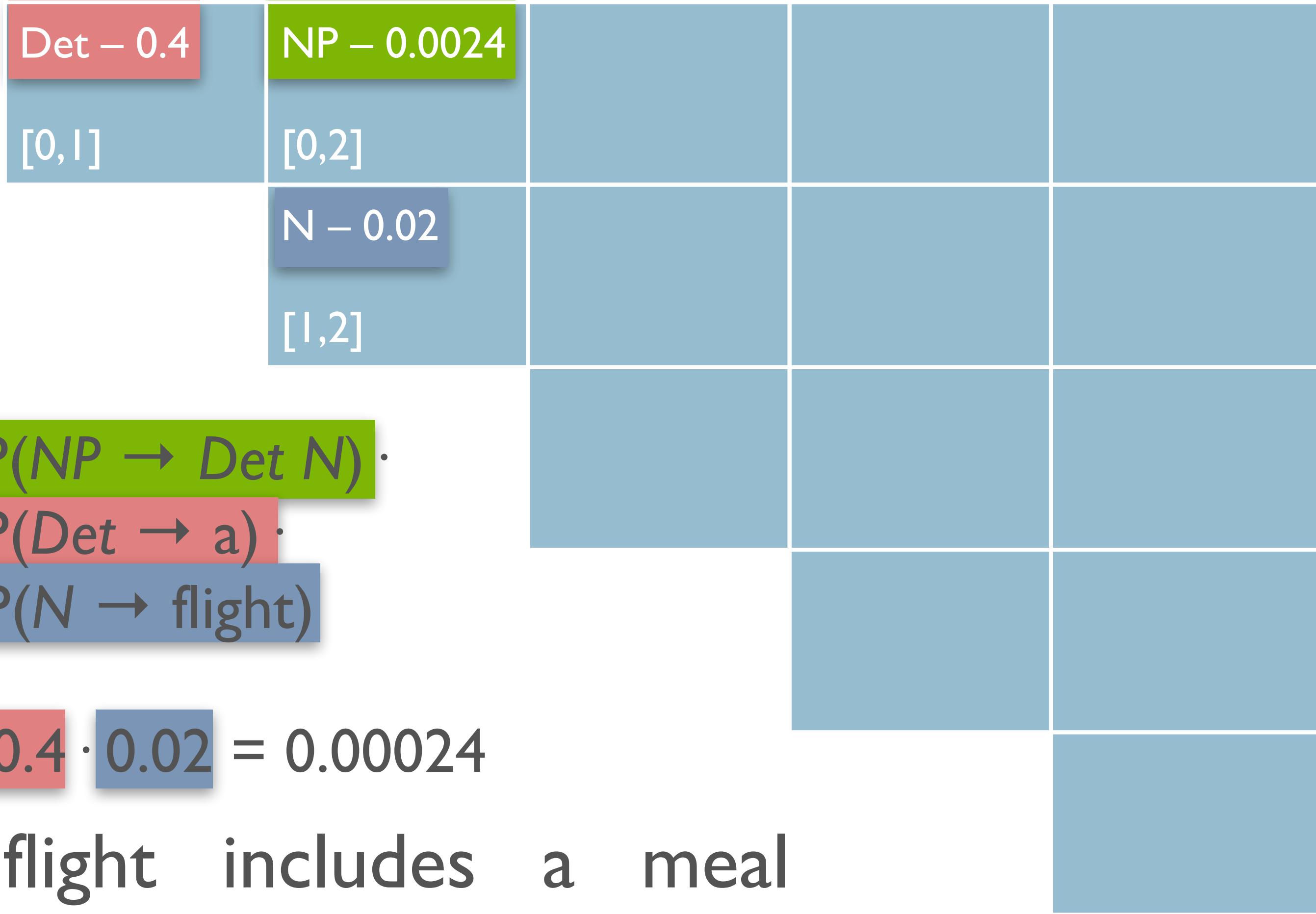
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Det – 0.4 [0,1]	NP – 0.0024 [0,2]	[0,3]	[0,4]	S – $2.304 \times 10^{-8}$ [0,5]
	N – 0.02 [1,2]	[1,3]	[1,4]	[1,5]
		V – 0.05 [2,3]	[2,4]	VP – $1.2 \times 10^{-5}$ [2,5]
			Det – 0.4 [3,4]	NP – 0.0012 [3,5]
				N – 0.01 [4,5]

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# Inducing a PCFG

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- Alternative: Learn probabilities by re-estimating
  - (Later)

# Probabilistic Parser Development Paradigm

	<b>Train</b>	<b>Dev</b>	<b>Test</b>
<b>Size</b>	Large (eg. WSJ 2–21, 39,830 sentences)	Small (e.g. WSJ 22)	Small/Med (e.g. WSJ, 23, 2,416 sentences)
<b>Usage</b>	Estimate rule probabilities	Tuning/Verification, Check for Overfit	Held Out, Final Evaluation

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    - Same start point, end point, non-terminal symbol

# Parseval

- How can we compute parse score from constituents?
- Multiple Measures:

$$\text{Labeled Recall (LR)} = \frac{\text{\# of \textbf{correct} constituents in \textbf{hypothetical} parse}}{\text{\# of \textbf{total} constituents in \textbf{reference} parse}}$$

$$\text{Labeled Precision (LP)} = \frac{\text{\# of \textbf{correct} constituents in \textbf{hypothetical} parse}}{\text{\# of \textbf{total} constituents in \textbf{hypothetical} parse}}$$

# Parseval

- **F-measure:**

- Combines precision and recall
- Let  $\beta \in \mathbb{R}$ ,  $\beta > 0$  that adjusts  $P$  vs.  $R$  s.t.  $\beta \propto \frac{R}{P}$

- $F_\beta$ -measure is then:

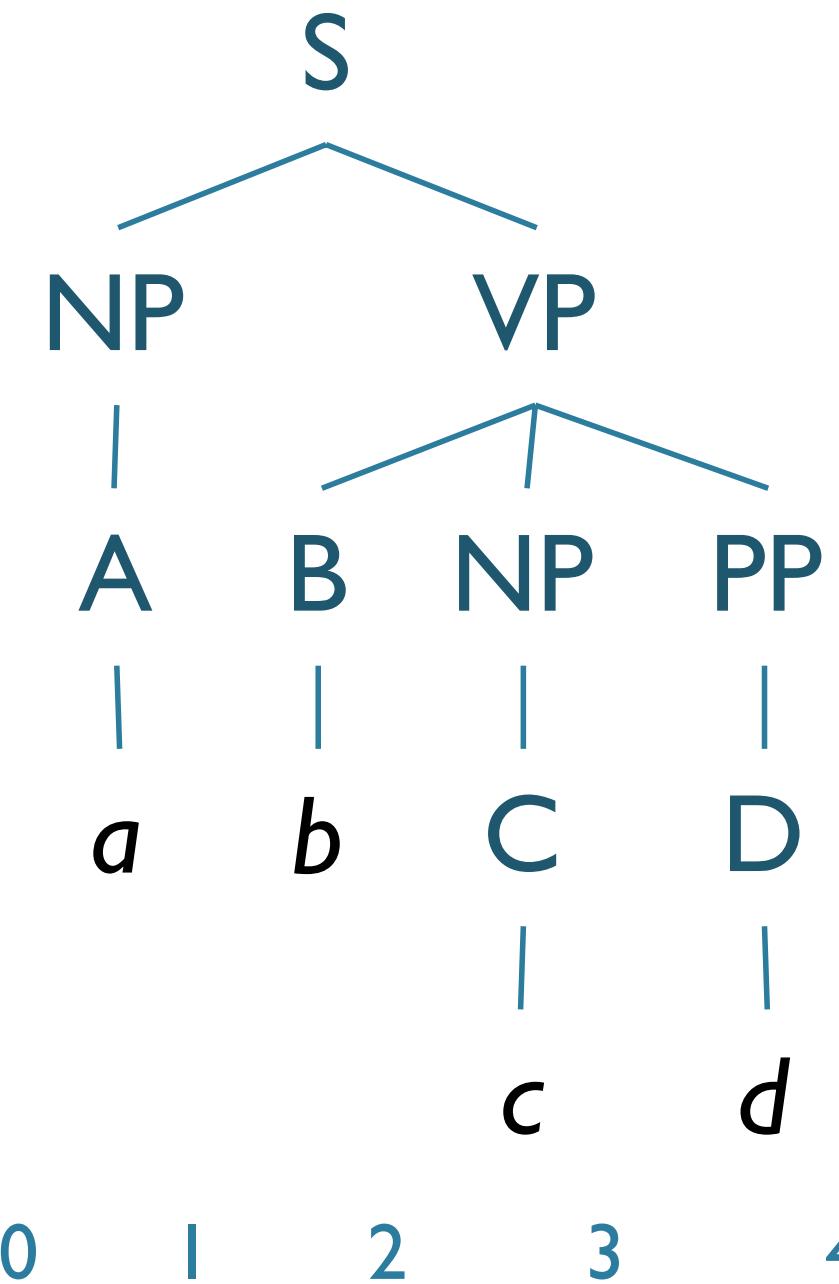
$$F_\beta = (1 + \beta^2) \cdot \frac{P \cdot R}{\beta^2 \cdot P + R}$$

- With F1-measure as

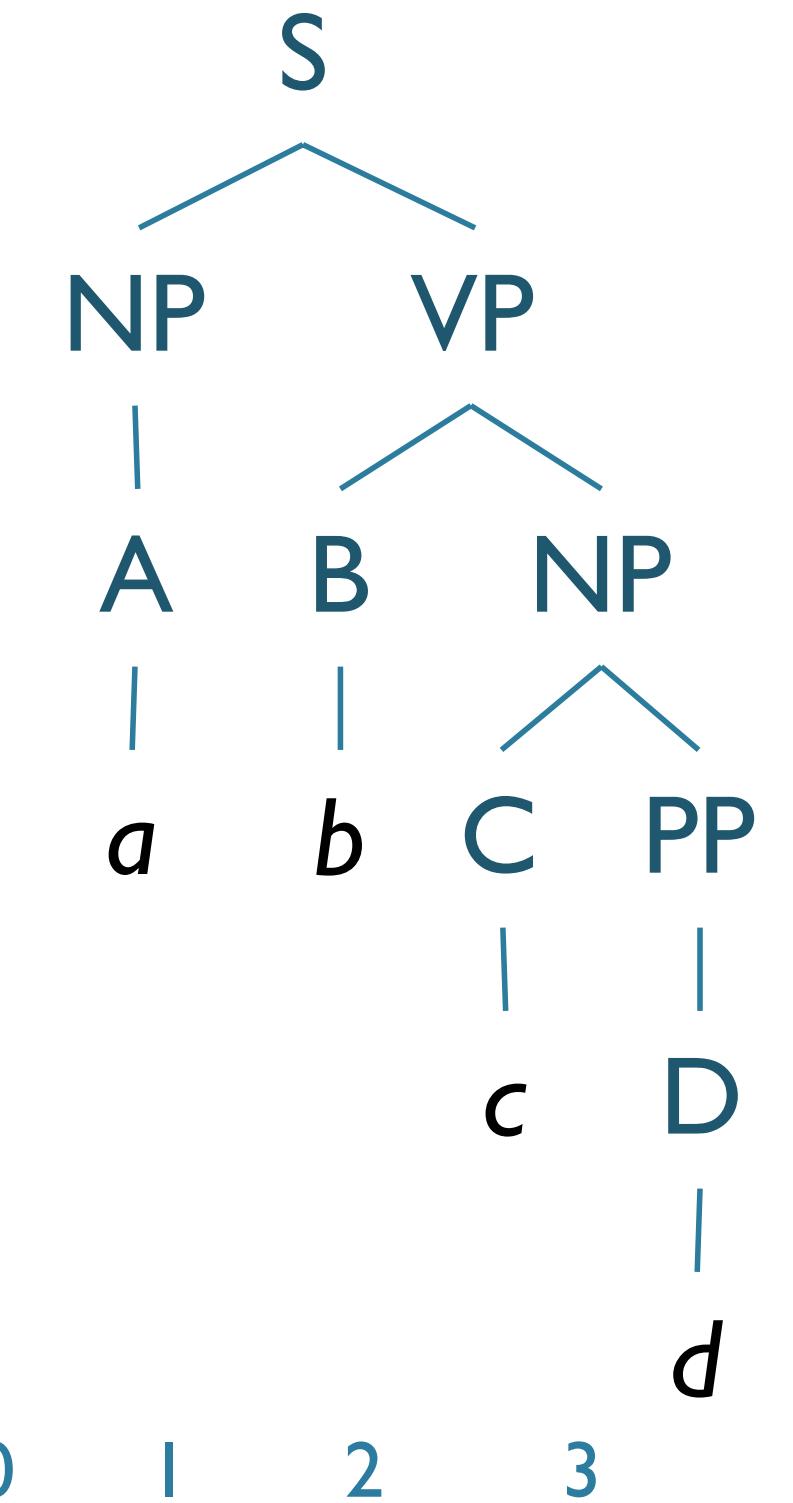
$$F_1 = \frac{2PR}{P + R}$$

# Evaluation: Example

Reference

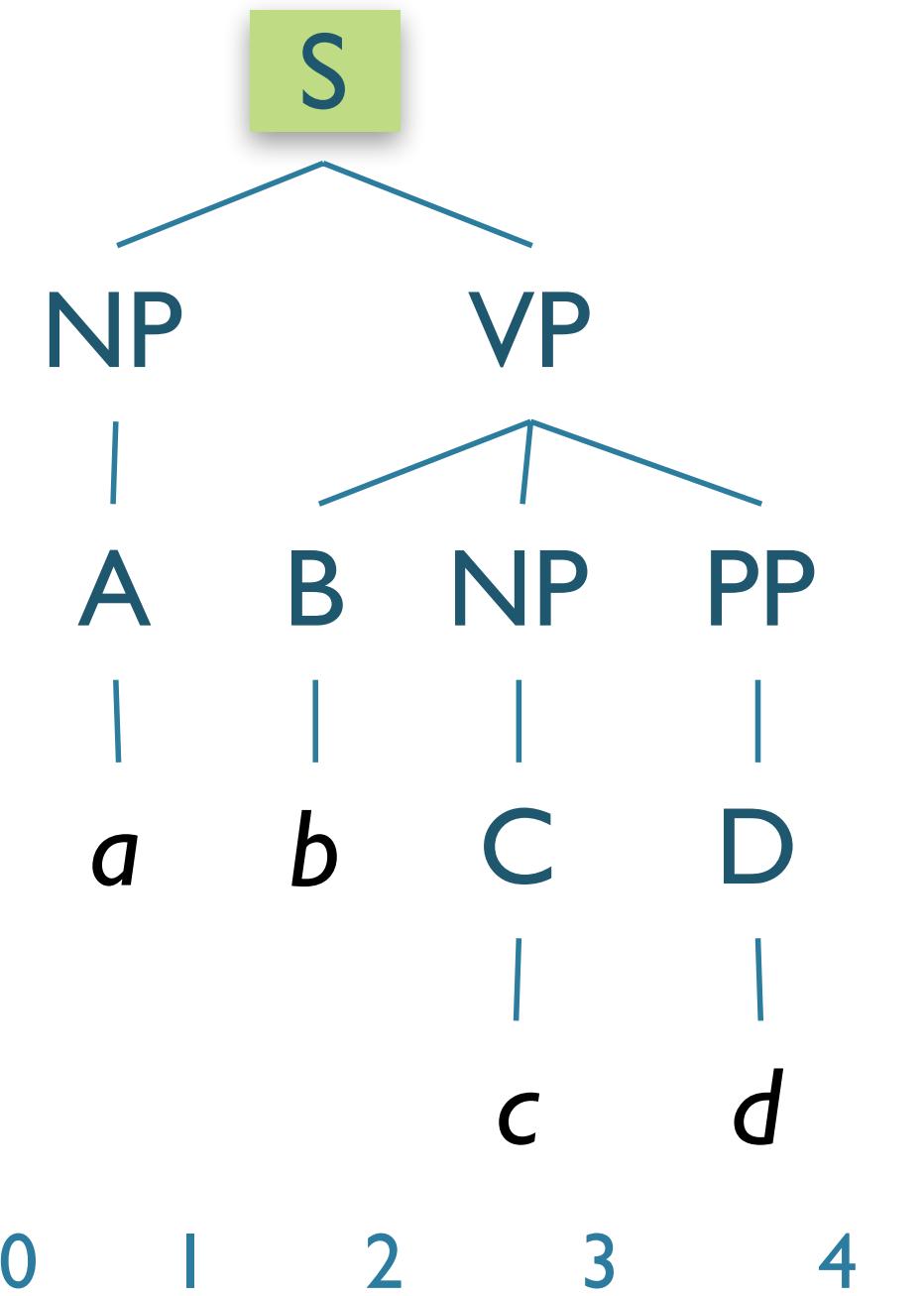


Hypothesis



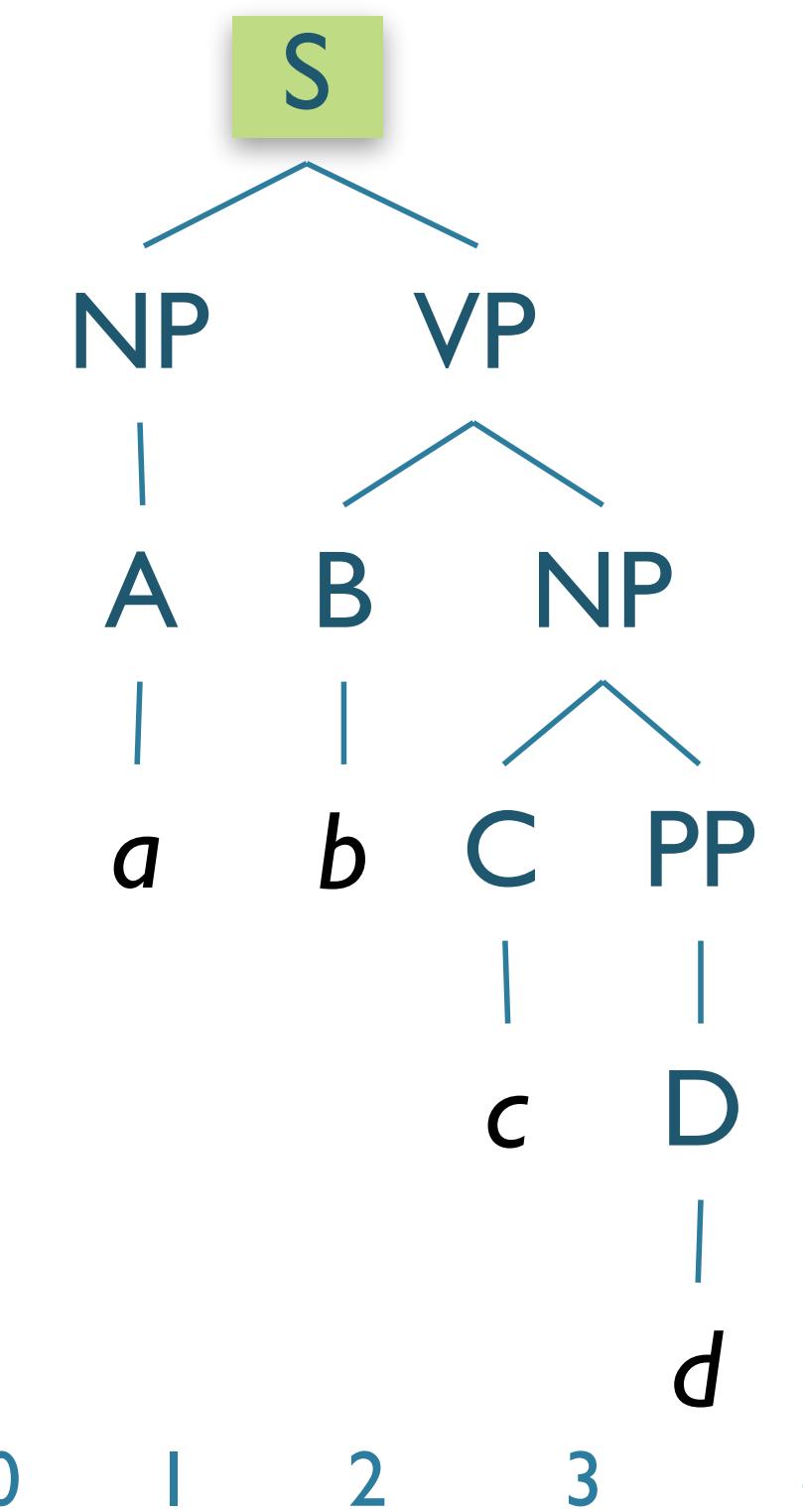
# Evaluation: Example

Reference



S(0,4)

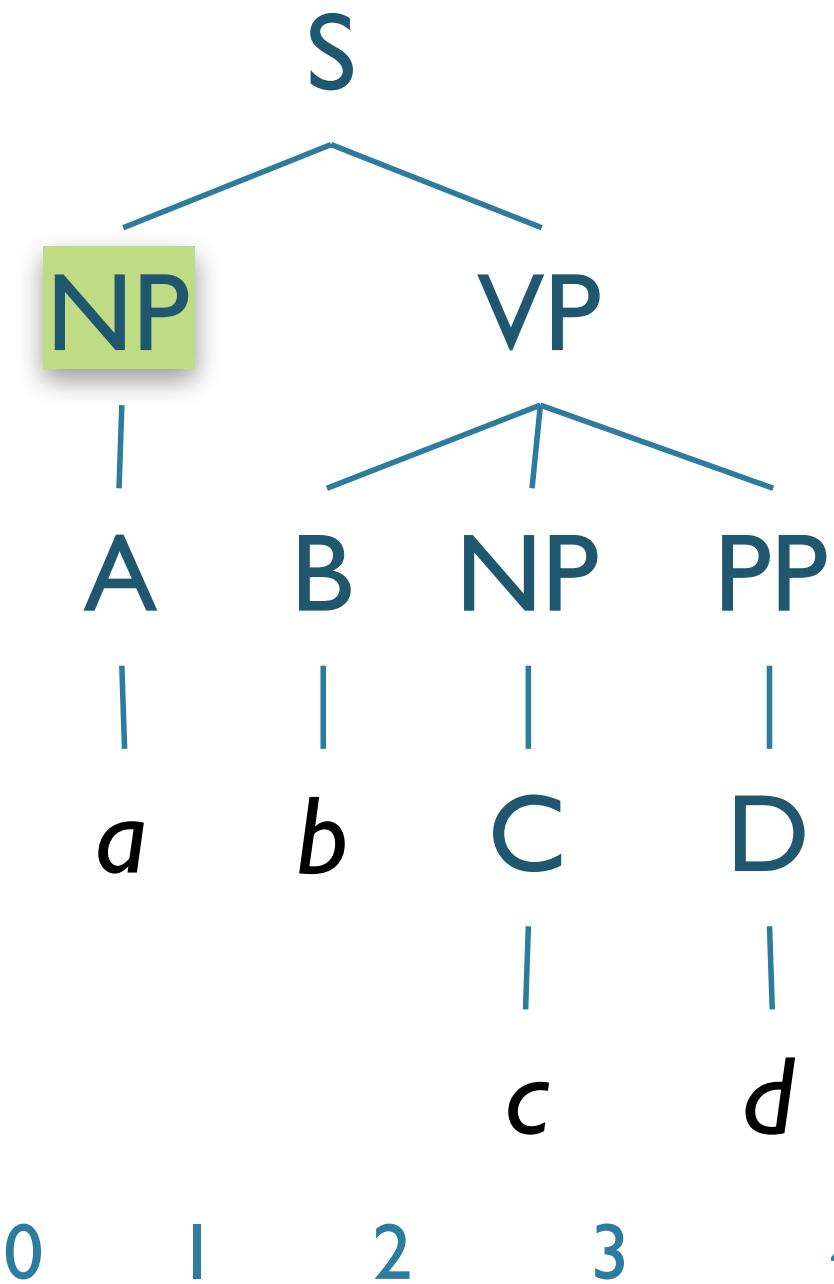
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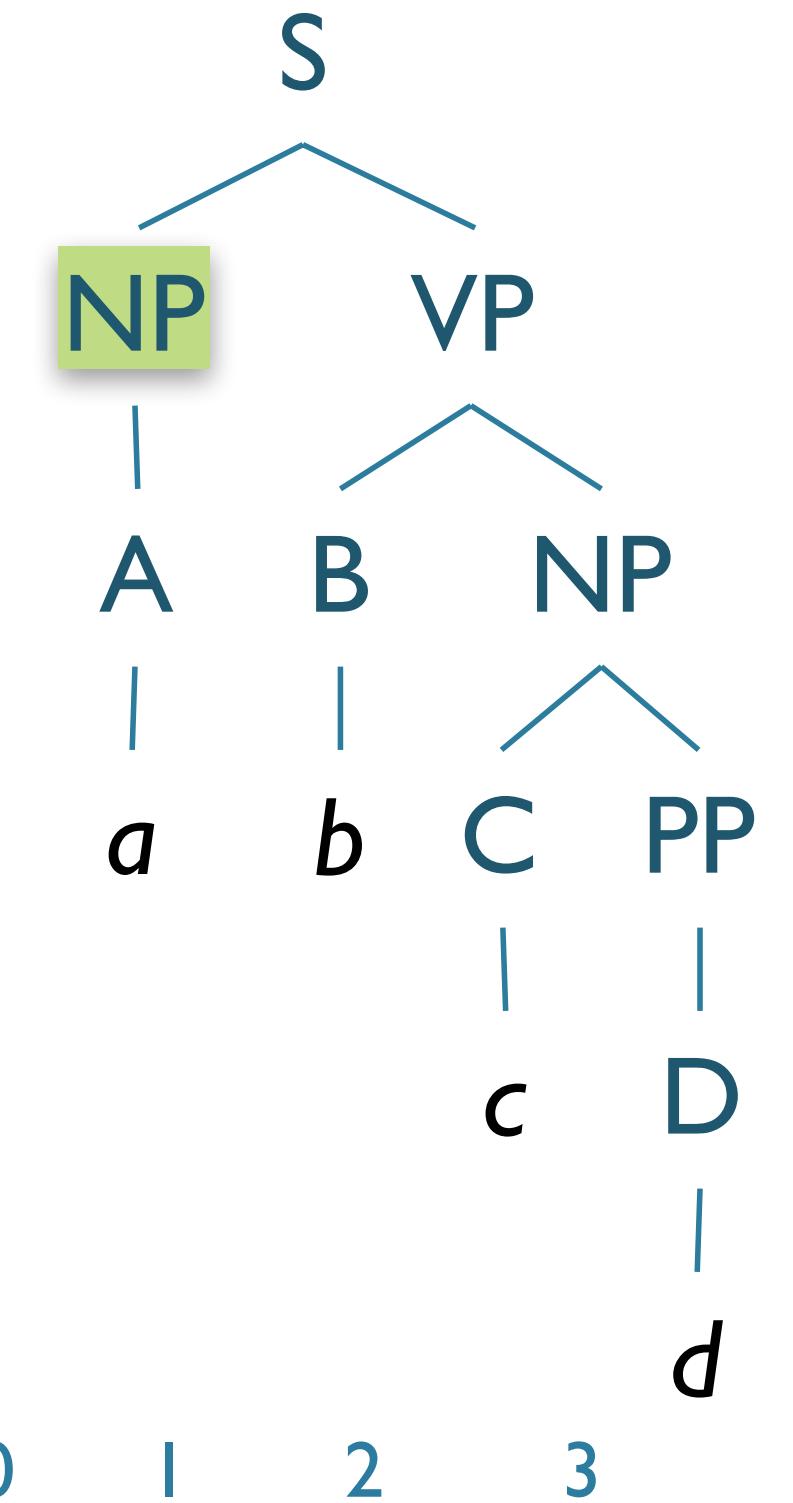
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Reference



S(0,4)  
NP(0,1)

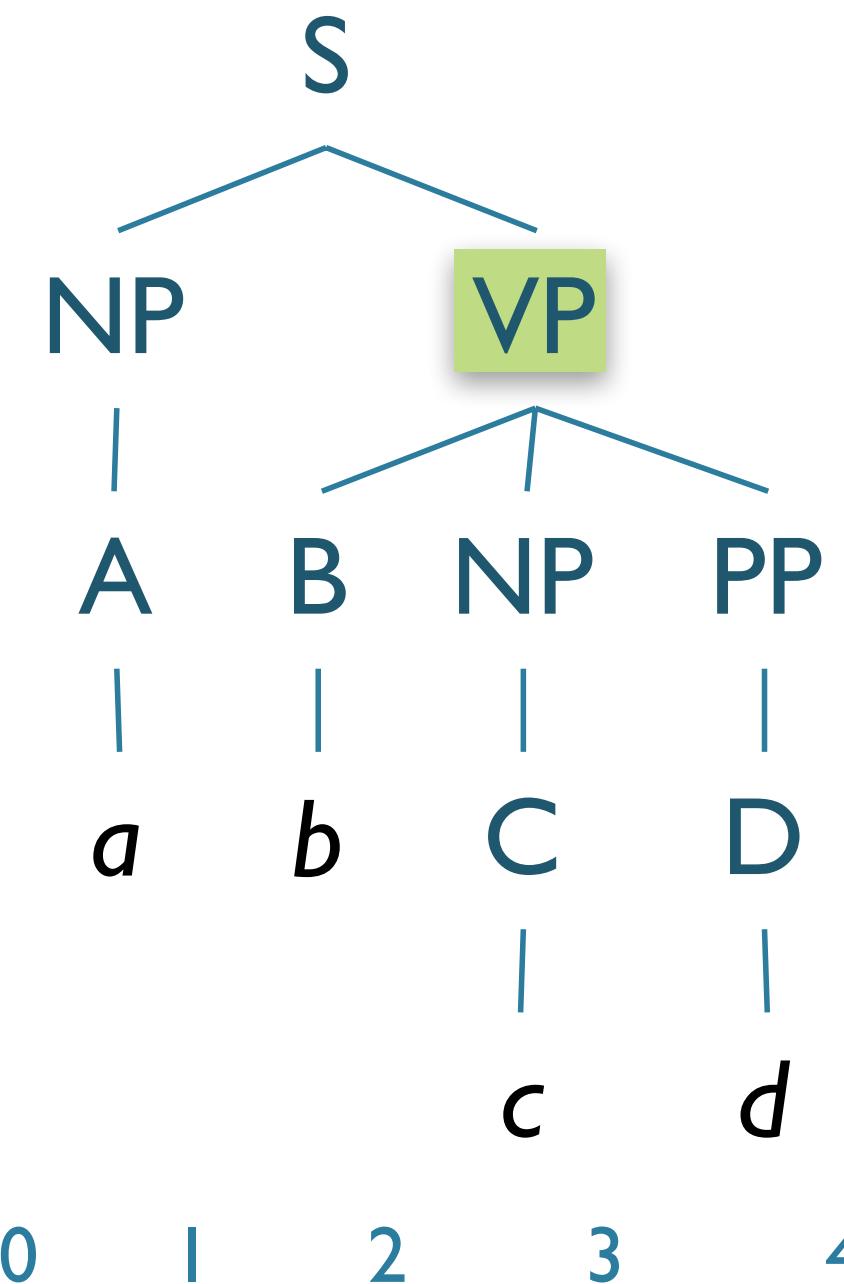
Hypothesis



S(0,4)  
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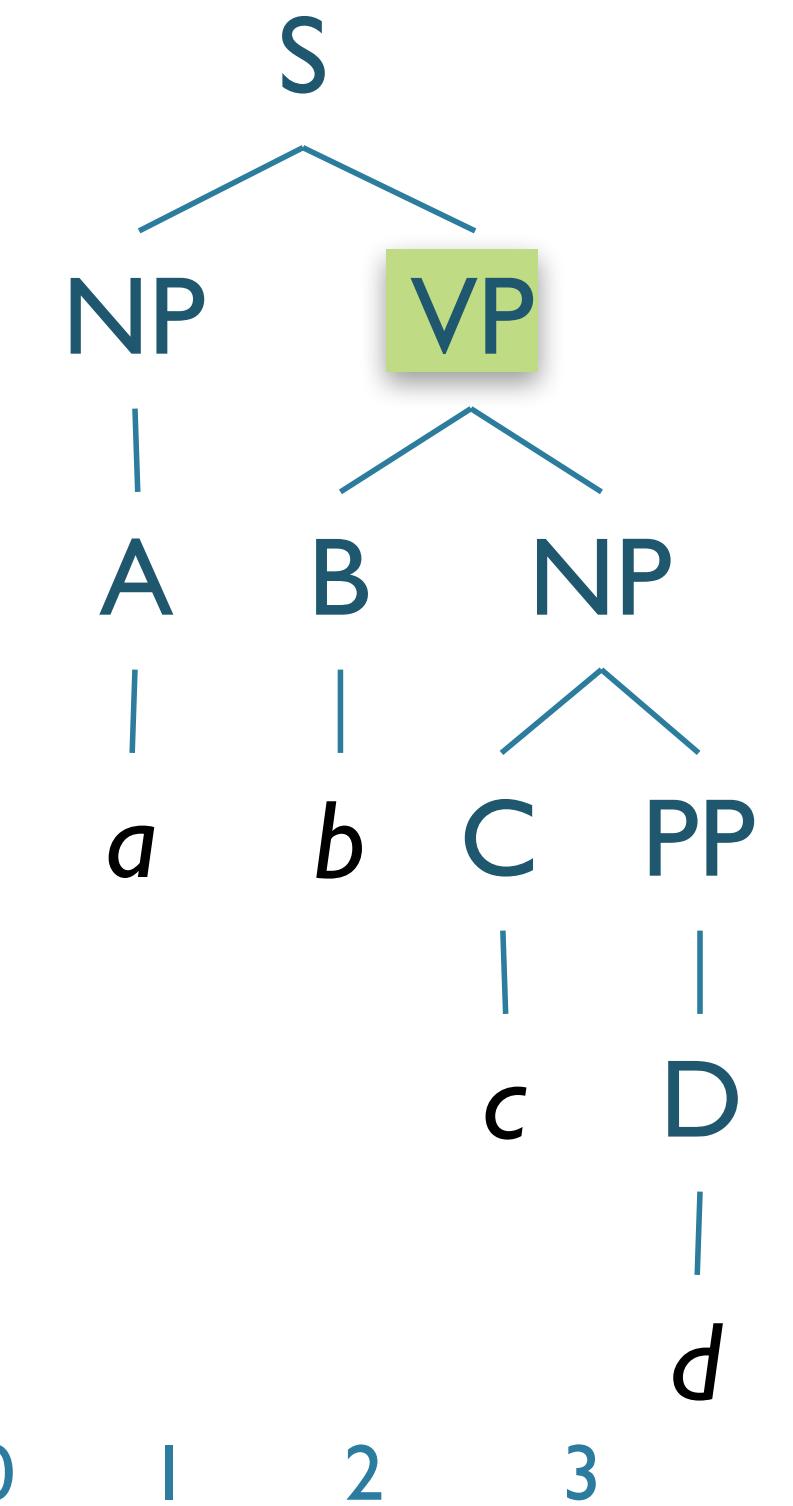
# Evaluation: Example

Reference



S(0,4)  
NP(0,1)  
VP(1,4)

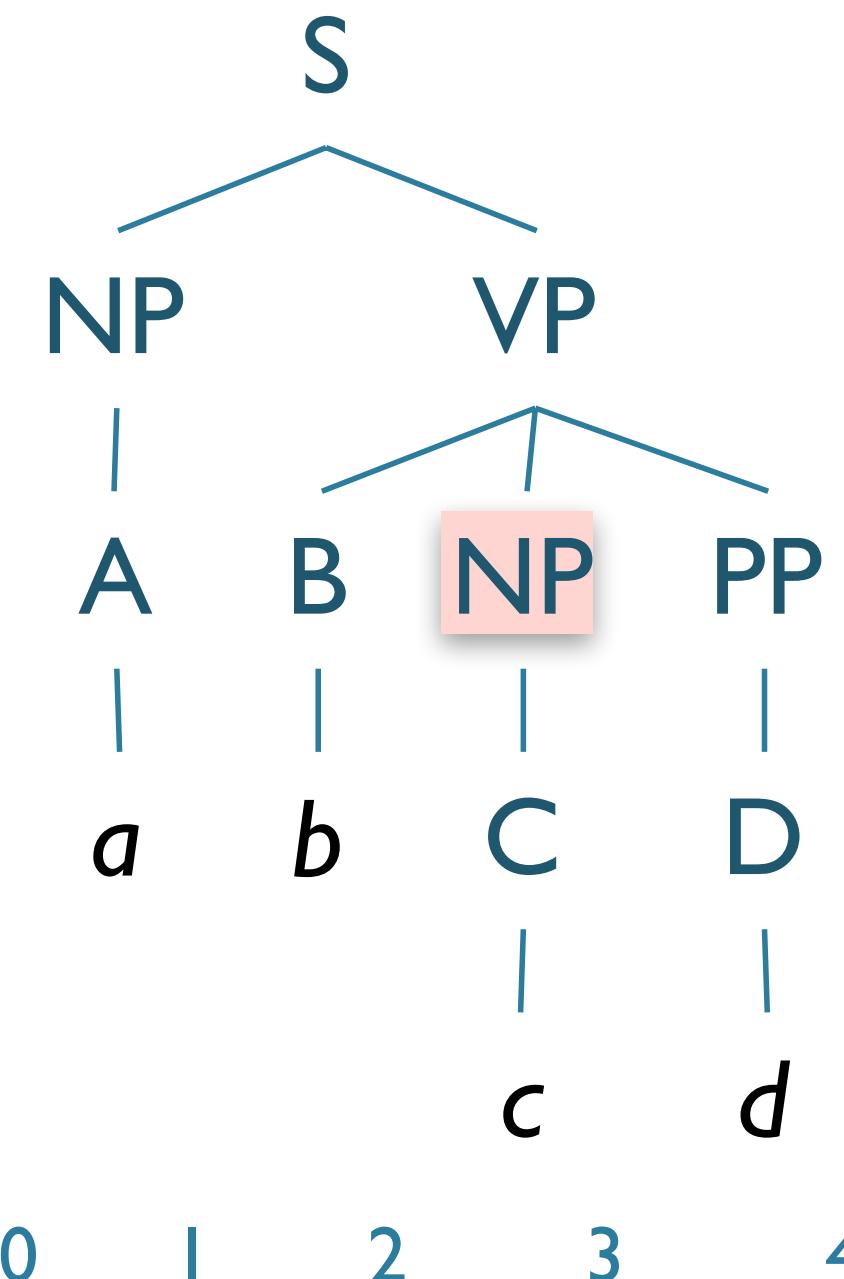
Hypothesis



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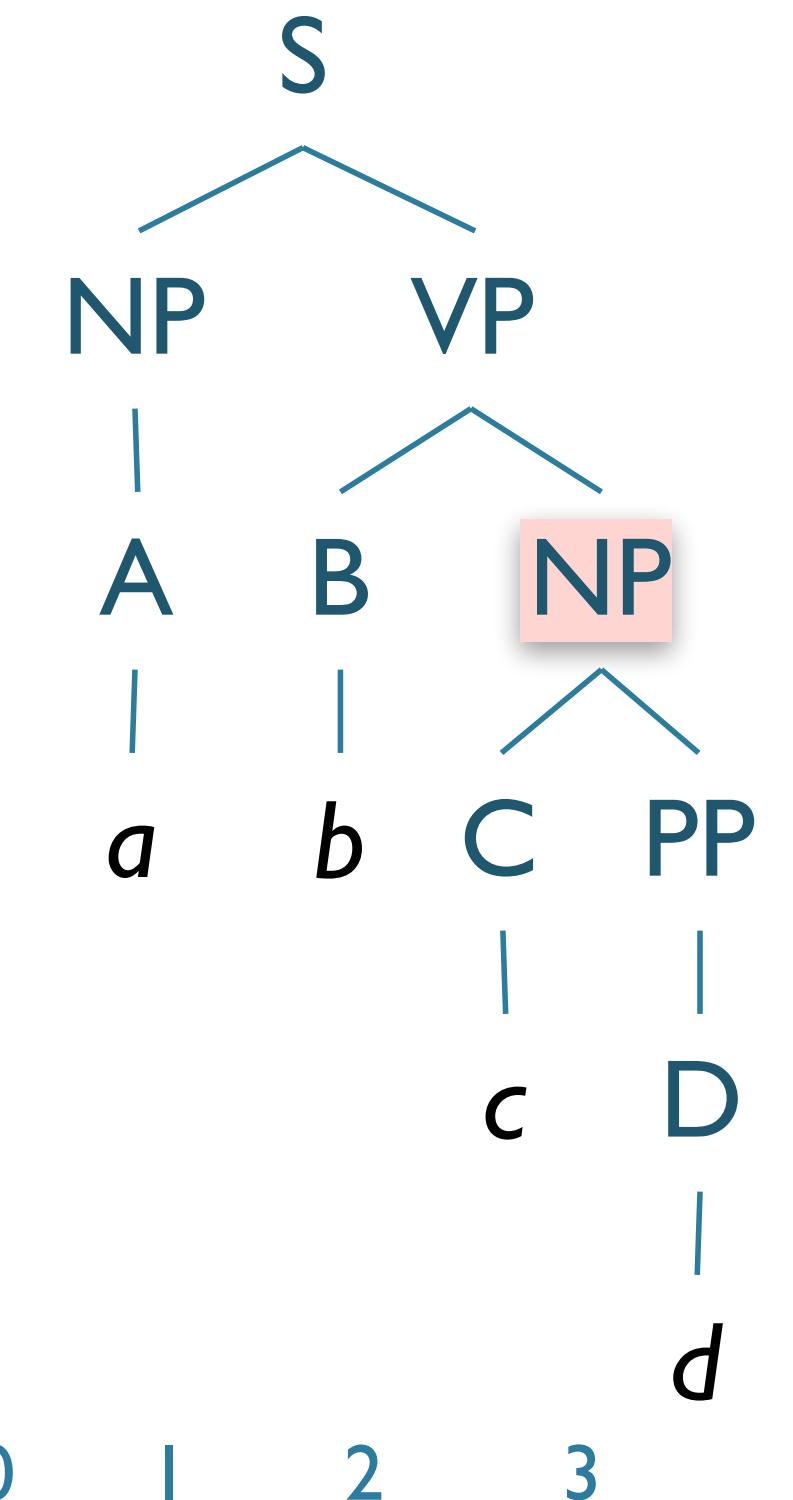
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NP(0,1)
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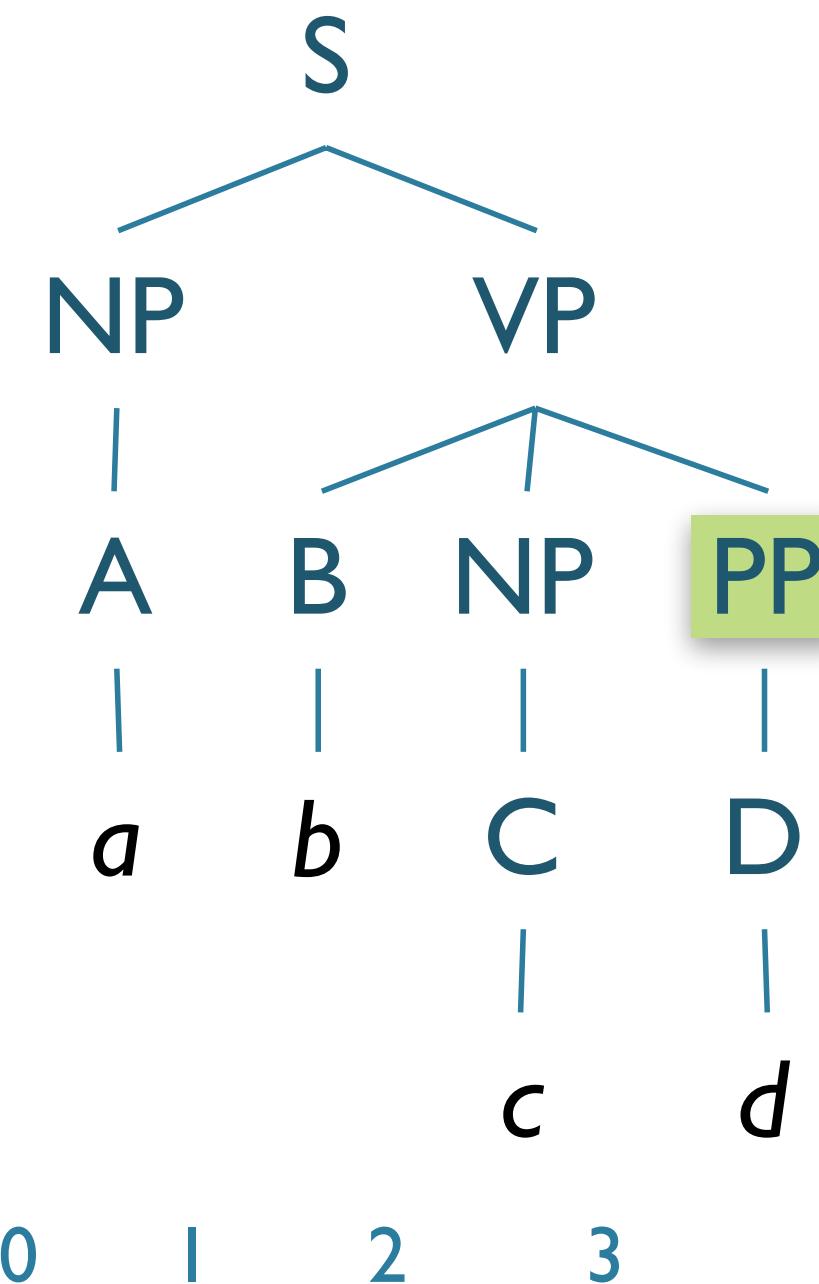
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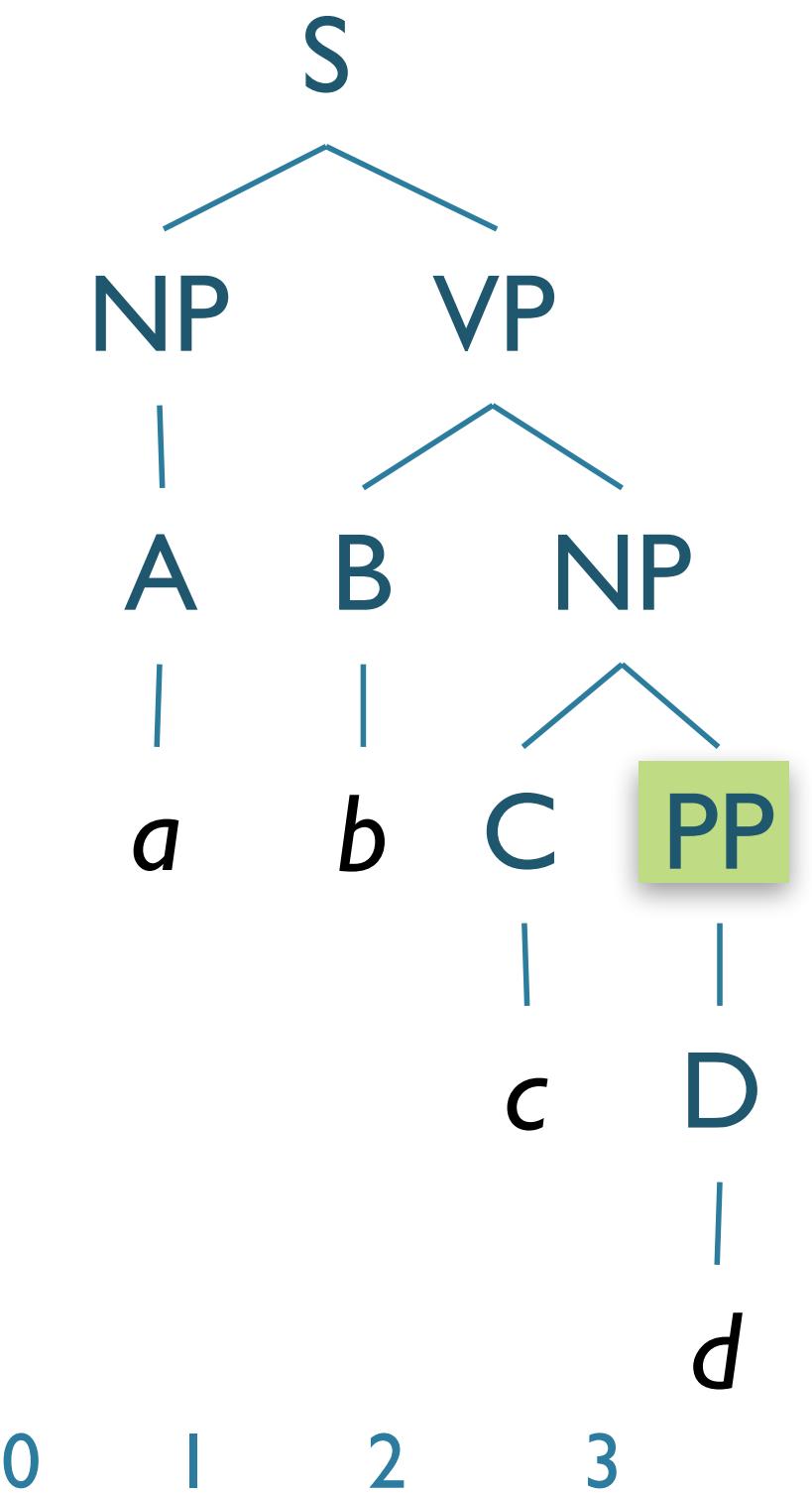
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Reference



S(0,4)
NP(0,1)
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NP(2,3)
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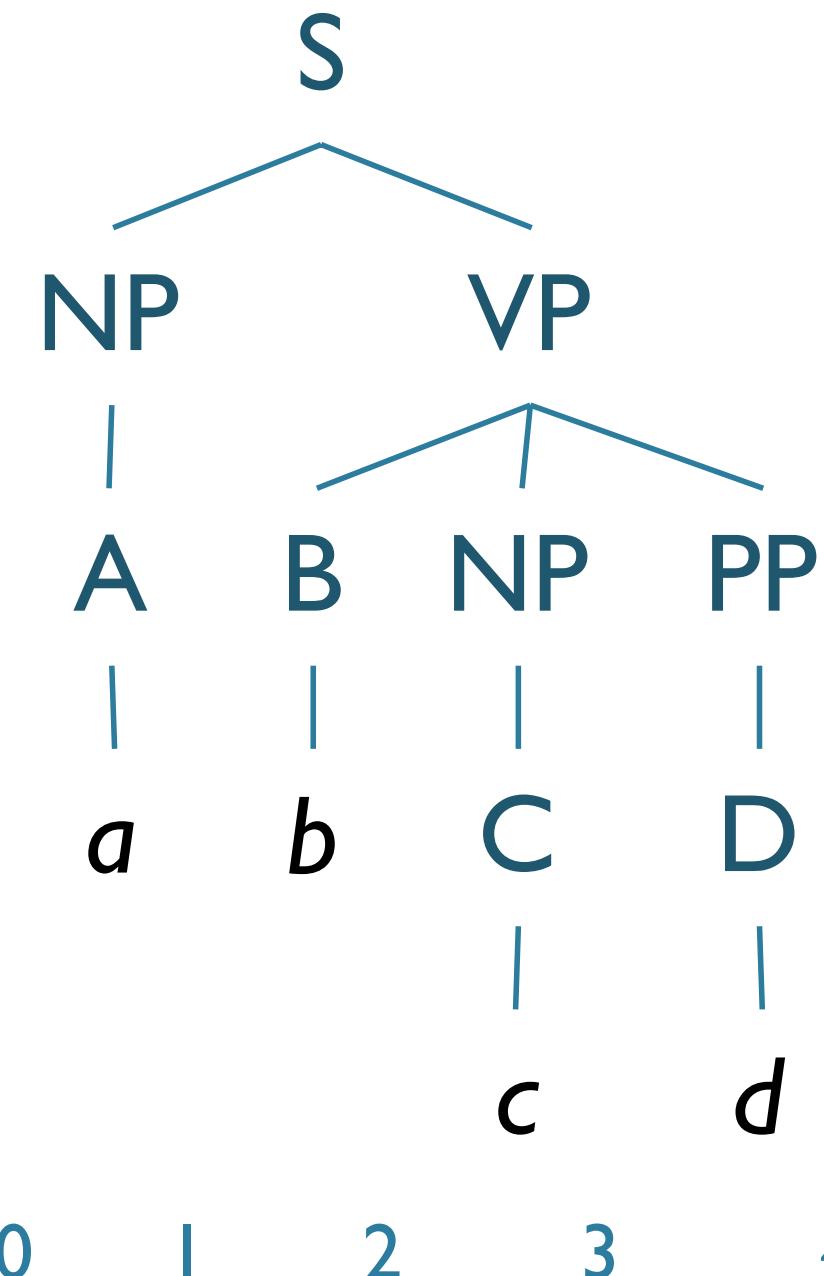
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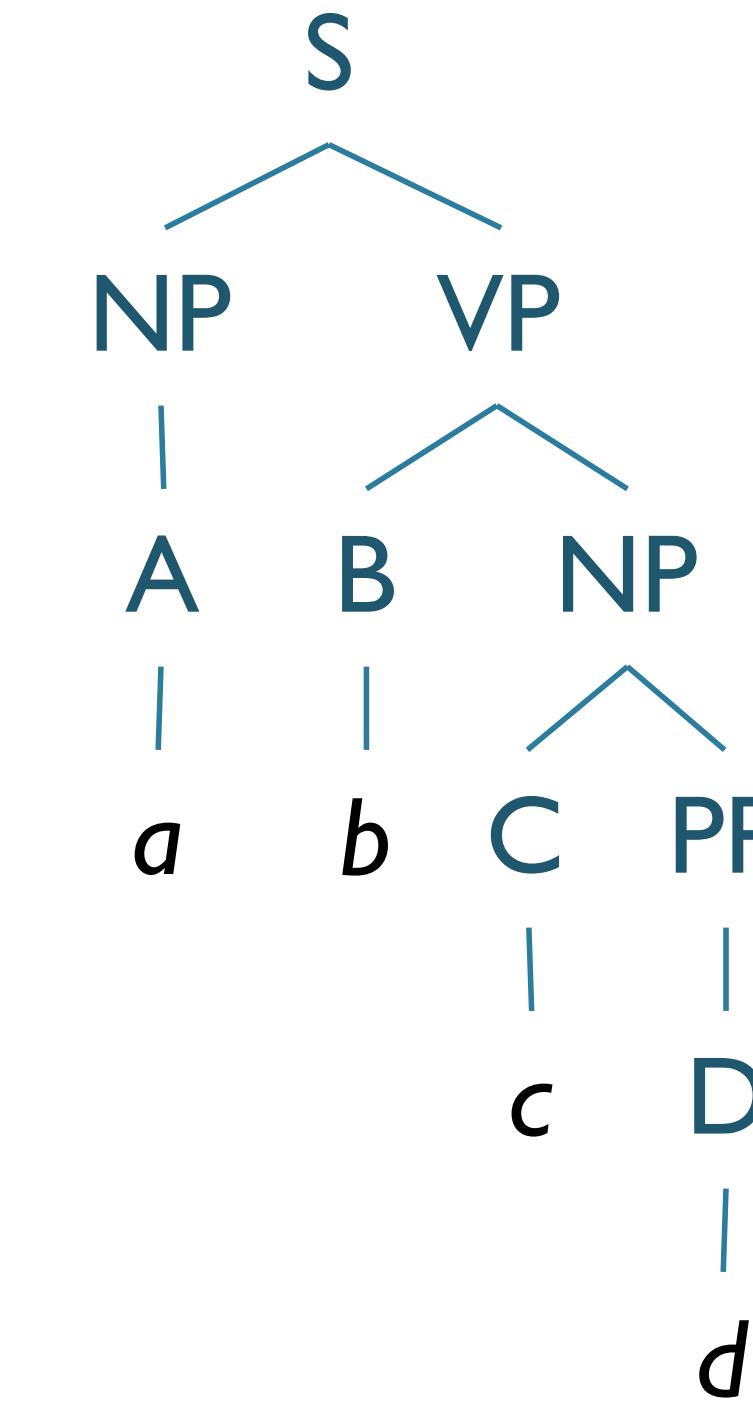
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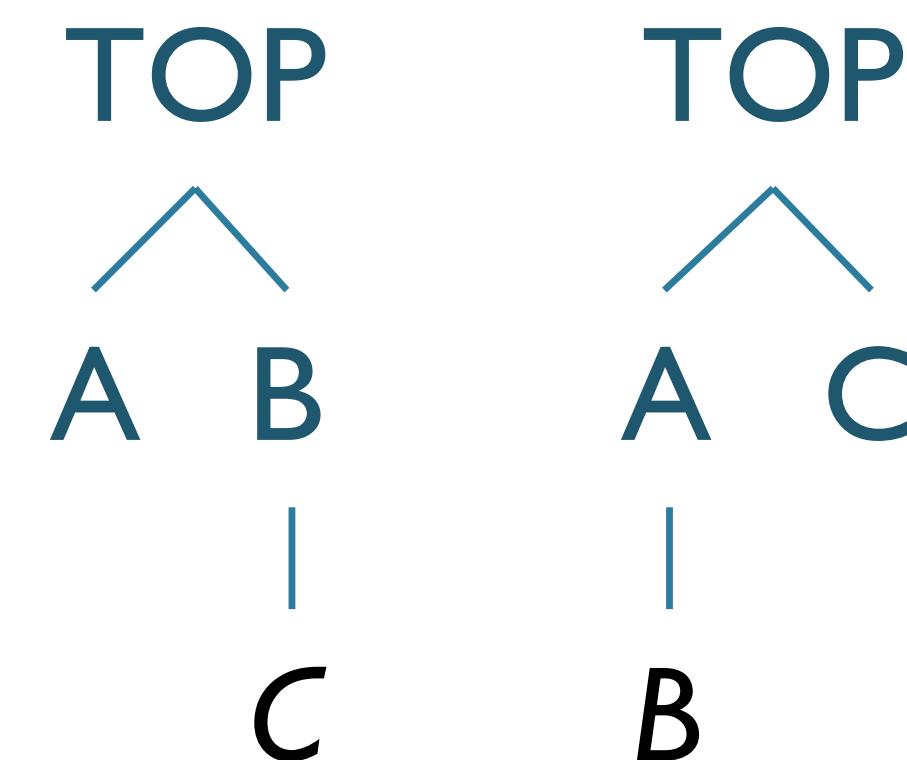


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NP(0,1)
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PP(3,4)

**LP:** 4/5  
**LR:** 4/5  
**F<sub>I</sub>:** 4/5

# Parser Evaluation

- Crossing Brackets:
  - # of constituents where produced parse has bracketings that overlap for the siblings:
  - $((A B) C) - \{ (0,2), (2,3) \}$  and hyp. has  
 $(A (B C)) - \{ (0,1), (1, 3) \}$



```
/* crossing is counted based on the brackets */
/* in test rather than gold file (by Mike) */
for(j=0;j<bn2;j++){
    for(i=0;i<bn1;i++){
        if(bracket1[i].result != 5 &&
           bracket2[j].result != 5 &&
           ((bracket1[i].start < bracket2[j].start &&
             bracket1[i].end   > bracket2[j].start &&
             bracket1[i].end   < bracket2[j].end) ||
           (bracket1[i].start > bracket2[j].start &&
             bracket1[i].start < bracket2[j].end &&
             bracket1[i].end   > bracket2[j].end))){

from evalb.c
```

# State-of-the-Art Parsing

- Parsers trained/tested on Wall Street Journal PTB
  - LR: 90%+;
  - LP: 90%+;
  - Crossing brackets: 1%
- Standard implementation of Parseval:
  - **evalb**

# Evaluation Issues

- Only evaluating constituency
- There are other grammar formalisms:
  - LFG (Constraint-based)
  - Dependency Structure
- **Extrinsic** evaluation
  - How well does getting the correct parse match the semantics, etc?

# Earley Parsing

# Earley vs. CKY

- CKY doesn't capture full original structure
  - Can back-convert binarization, terminal conversion
  - Unit non-terminals require change in CKY

# Earley vs. CKY

- CKY doesn't capture full original structure
  - Can back-convert binarization, terminal conversion
  - Unit non-terminals require change in CKY
- Earley algorithm
  - Supports parsing efficiently with arbitrary grammars
  - Top-down search
  - Dynamic programming
    - Tabulated partial solutions
  - Some bottom-up constraints

# Earley Algorithm

- Another dynamic programming solution
  - Partial parses stored in “chart”
  - Compactly encodes ambiguity
  - $O(N^3)$
- Chart entries contain:
  - Subtree for a single grammar rule
  - Progress in completing subtree
  - Position of subtree w.r.t. input

# Earley Algorithm

- First, left-to-right pass fills out a chart with  $N+1$  states
  - Chart entries — sit between words in the input string
  - Keep track of states of the parse at those positions
  - For each word position, chart contains set of states representing all partial parse trees generated so far
    - e.g. `chart[0]` contains all partial parse trees generated at the beginning of sentence

# Chart Entries

- Three types of constituents:
  - Predicted constituents
  - In-progress constituents
  - Completed constituents

# Parse Progress

- Represented by Dotted Rules
  - Position of  $\bullet$  indicates type of constituent
- $_0 \text{Book } _1 \text{that } _2 \text{flight } _3$ 
  - $S \rightarrow \bullet VP$  [0,0] (predicted)
  - $NP \rightarrow Det \bullet Nom$  [1,2] (in progress)
  - $VP \rightarrow V NP \bullet$  [0,3] (completed)
- $[x,y]$  tells us what portion of the input is spanned so far by rule
- Each state  $s_i$ : *<dotted rule>*, *<back pointer>*, *<current position>*

0 Book 1 that 2 flight 3

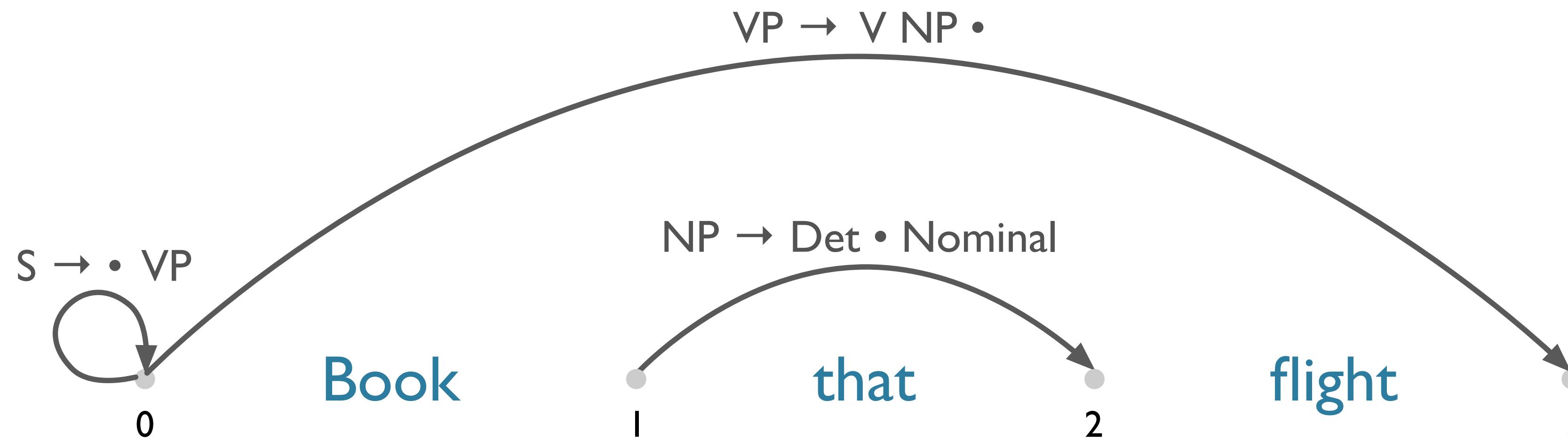
- $S \rightarrow \cdot VP, [0,0]$ 
  - First 0 means S constituent begins at the start of input
  - Second 0 means the dot is here too
  - So, this is a top-down prediction

0 Book 1 that 2 flight 3

- $S \rightarrow \cdot VP, [0,0]$ 
  - First 0 means S constituent begins at the start of input
  - Second 0 means the dot is here too
  - So, this is a top-down prediction
- $NP \rightarrow Det \cdot Nom, [1,2]$ 
  - the NP begins at position 1
  - the dot is at position 2
  - so, Det has been successfully parsed
  - Nom predicted next

# $0 \text{ Book } 1 \text{ that } 2 \text{ flight } 3$ (continued)

- $V \rightarrow VNP \bullet [0,3]$
- Successful VP parse of entire input



# Successful Parse

- Final answer found by looking at last entry in chart
- If entry resembles  $S \rightarrow a \cdot [0,N]$  then input parsed successfully
- Chart will also contain record of all possible parses of input string, given the grammar

# Parsing Procedure for the Earley Algorithm

- Move through each set of states in order, applying one of three operations:
  - **predictor**: add predictions to the chart
  - **scanner**: read input and add corresponding state to chart
  - **completer**: move dot to right when new constituent found
- Results (new states) added to current or next set of states in chart
- No backtracking and no states removed: keep complete history of parse

# Earley Algorithm

```
function EARLEY-PARSE(words, grammar) returns chart
    ENQUEUE(( $\gamma \rightarrow \bullet S, [0,0]$ ), chart[0])
    for i  $\leftarrow$  from 0 to LENGTH(words) do
        for each state in chart[i] do
            if INCOMPLETE?(state) and
                NEXT-CAT(state) is not a part of speech then
                    PREDICTOR(state)
                elseif INCOMPLETE?(state) and
                    NEXT-CAT(state) is a part of speech then
                    SCANNER(state)
                else
                    COMPLETER(state)
            end
        end
    return(chart)
```

# Earley Algorithm

```
procedure PREDICTOR(( $A \rightarrow a \bullet B \beta$  ,  $[i,j]$ ))
    for each ( $B \rightarrow \gamma$ ) in GRAMMAR-RULES-FOR( $B, \text{grammar}$ ) do
        ENQUEUE(( $B \rightarrow \bullet \gamma$ ,  $[j,j]$ ), chart[j])
    end

procedure SCANNER(( $A \rightarrow a \bullet B \beta$ ,  $[i,j]$ ))
    if  $B \in \text{PARTS-OF-SPEECH}(word[j])$  then
        ENQUEUE(( $B \rightarrow word[j] \bullet$ ,  $[j,j+1]$ ), chart[j+1] )

procedure COMPLETER(( $B \rightarrow \gamma \bullet$ ,  $[j,k]$ ))
    for each ( $A \rightarrow a \bullet B \beta$ ,  $[i,j]$ ) in chart[j] do
        ENQUEUE(( $A \rightarrow a B \bullet \beta$ ,  $[i,k]$ ), chart[k])
    end
```

# 3 Main Subroutines of Earley

- Predictor
  - Adds predictions into the chart
- Scanner
  - Reads the input words and enters states representing those words into the chart
- Completer
  - Moves the dot to the right when new constituents are found

# Predictor

- Intuition:
  - Create new state for top-down prediction of new phrase
  - Applied when non part-of-speech non-terminals are to the right of a dot:
  - $S \rightarrow \cdot VP [0,0]$
  - Adds new states to *current chart*
  - One new state for each expansion of the non-terminal in the grammar
    - $VP \rightarrow \cdot V [0,0]$
    - $VP \rightarrow \cdot V NP [0,0]$

# Chart[0]

S0	$\gamma \rightarrow \cdot S$	[0,0]	Dummy start state
S1	$S \rightarrow \cdot NP VP$	[0,0]	Predictor
S2	$S \rightarrow \cdot Aux NP VP$	[0,0]	Predictor
S3	$S \rightarrow \cdot VP$	[0,0]	Predictor
S4	$NP \rightarrow \cdot Pronoun$	[0,0]	Predictor
S5	$NP \rightarrow \cdot Proper-Noun$	[0,0]	Predictor
S6	$NP \rightarrow \cdot Det Nominal$	[0,0]	Predictor
S7	$VP \rightarrow \cdot Verb$	[0,0]	Predictor
S8	$VP \rightarrow \cdot Verb NP$	[0,0]	Predictor
S9	$VP \rightarrow \cdot Verb NP PP$	[0,0]	Predictor
S10	$VP \rightarrow \cdot Verb PP$	[0,0]	Predictor
S11	$VP \rightarrow \cdot VP PP$	[0,0]	Predictor

# Chart[1]

S12	$Verb \rightarrow book \cdot$	[0,1]	Scanner
S13	$VP \rightarrow Verb \cdot$	[0,1]	Completer
S14	$VP \rightarrow Verb \cdot NP$	[0,1]	Completer
S15	$VP \rightarrow Verb \cdot NP PP$	[0,1]	Completer
S16	$VP \rightarrow Verb \cdot PP$	[0,1]	Completer
S17	$S \rightarrow VP \cdot$	[0,1]	Completer
S18	$VP \rightarrow VP \cdot PP$	[0,1]	Completer
S19	$NP \rightarrow \cdot Pronoun$	[1,1]	Predictor
S20	$NP \rightarrow \cdot Proper-Noun$	[1,1]	Predictor
S21	$NP \rightarrow \cdot Det Nominal$	[1,1]	Predictor
S22	$PP \rightarrow \cdot Prep NP$	[1,1]	Predictor

# *Book that flight*

S0:  $\gamma \rightarrow \bullet S [0,0]$

$\gamma$   
|  
 $\bullet S$

# *Book that flight*

S0:  $\gamma \rightarrow \cdot S [0,0]$

S3:  $S \rightarrow \cdot VP [0,0]$



# *Book that flight*

S0:  $\gamma \rightarrow \bullet S [0,0]$

S3:  $S \rightarrow \bullet VP [0,0]$

S8:  $VP \rightarrow \bullet Verb\ NP [0,0]$



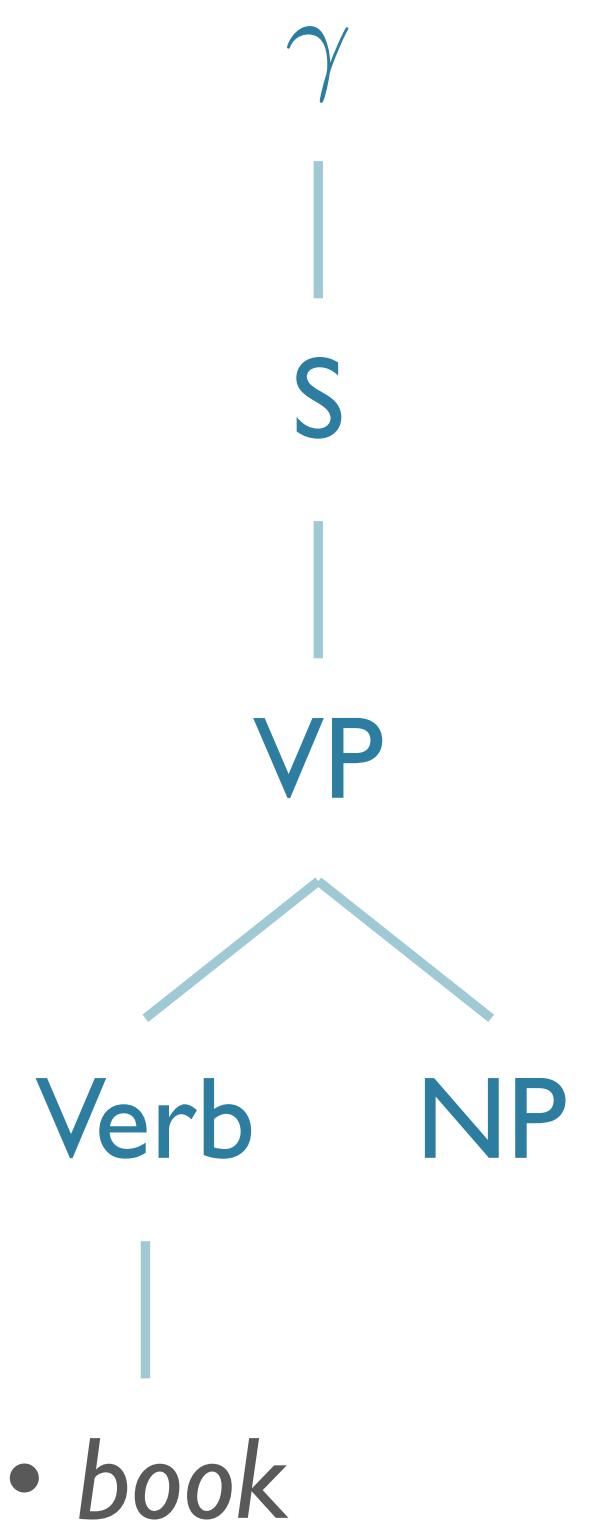
# *Book that flight*

S0:  $\gamma \rightarrow \cdot S [0,0]$

S3:  $S \rightarrow \cdot VP [0,0]$

S8:  $VP \rightarrow \cdot Verb\ NP [0,0]$

S12:  $Verb \rightarrow \cdot book [0,0]$



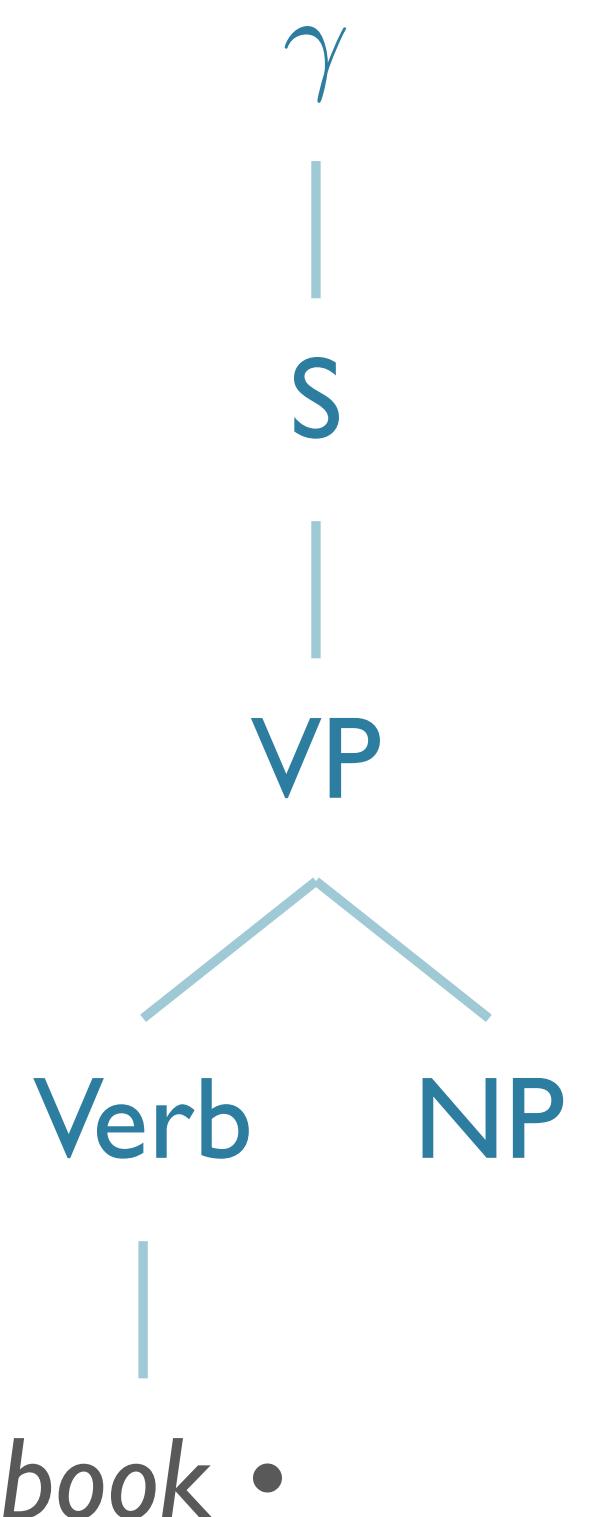
# *Book that flight*

S0:  $\gamma \rightarrow \cdot S [0,0]$

S3:  $S \rightarrow \cdot VP [0,0]$

S8:  $VP \rightarrow \cdot Verb\ NP [0,0]$

S12:  $Verb \rightarrow book \cdot [0,1]$

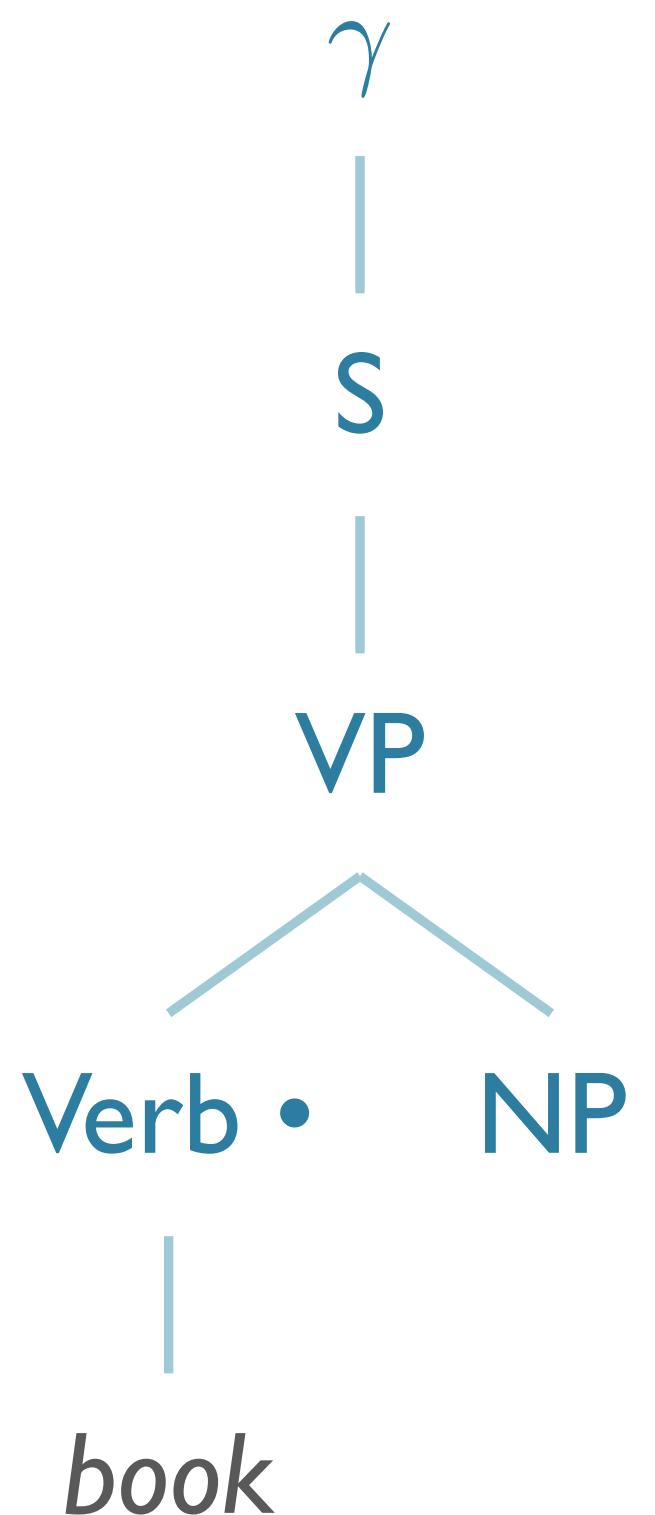


# *Book that flight*

S0:  $\gamma \rightarrow \cdot S [0,0]$

S3:  $S \rightarrow \cdot VP [0,0]$

S8:  $VP \rightarrow Verb \bullet NP [0,1]$

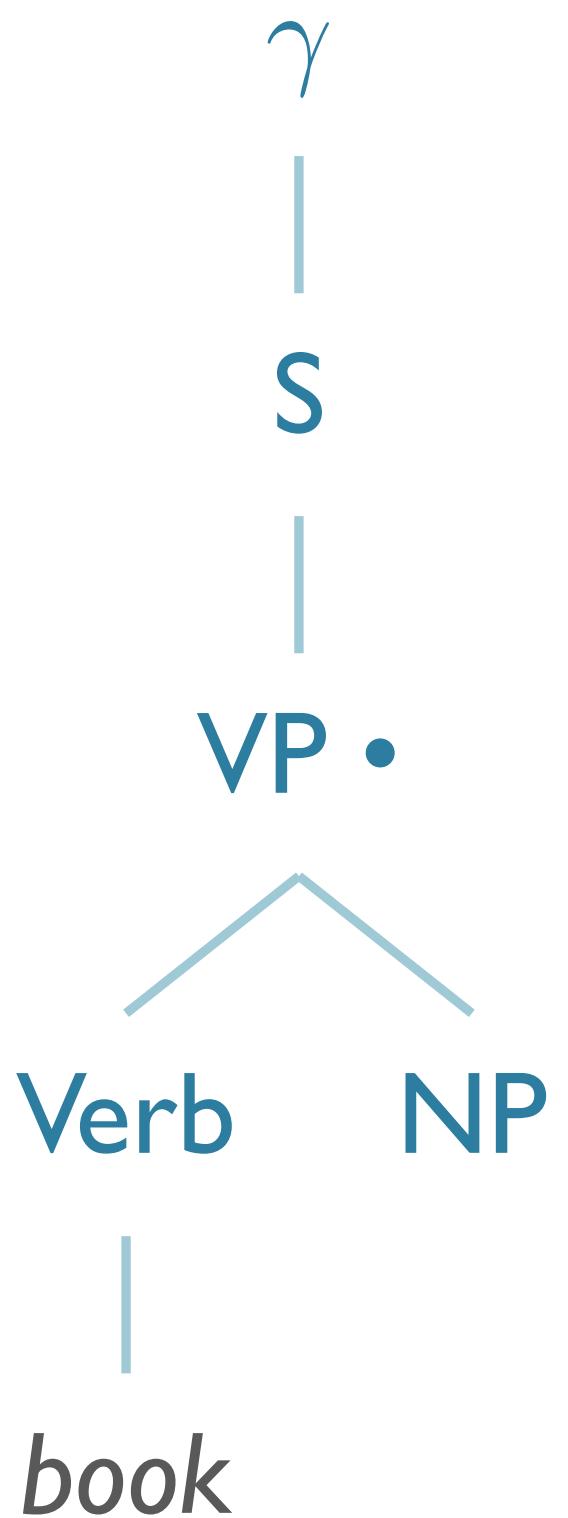


# *Book that flight*

S0:  $\gamma \rightarrow \bullet S [0,0]$

S3:  $S \rightarrow VP \bullet [0,1]$

S8:  $VP \rightarrow Verb \bullet NP [0,1]$



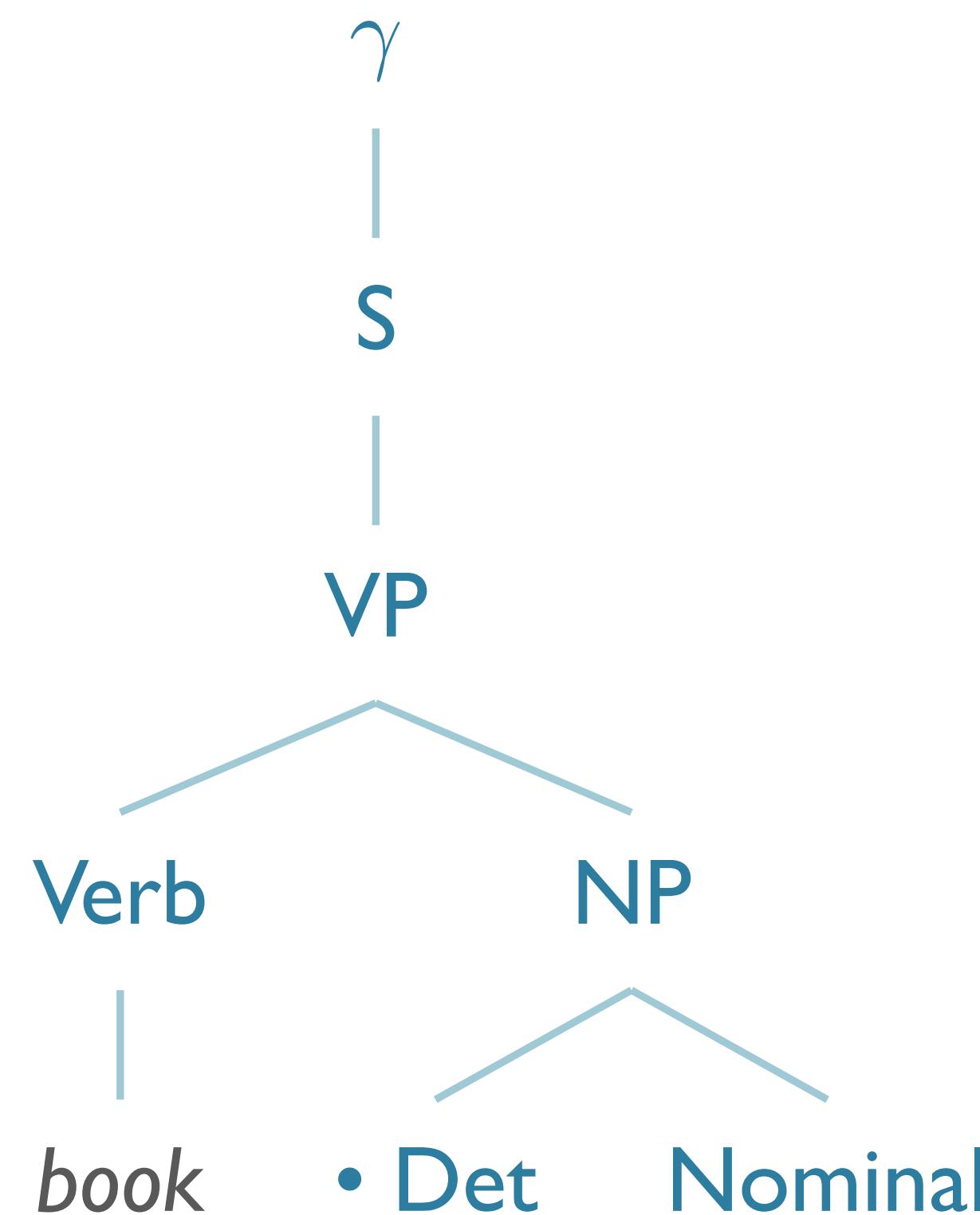
# *Book that flight*

S0:  $\gamma \rightarrow \bullet S [0,0]$

S3:  $S \rightarrow VP \bullet [0,1]$

S8:  $VP \rightarrow Verb \bullet NP [0,1]$

S21:  $NP \rightarrow \bullet Det Nominal [1,1]$



# *Book that flight*

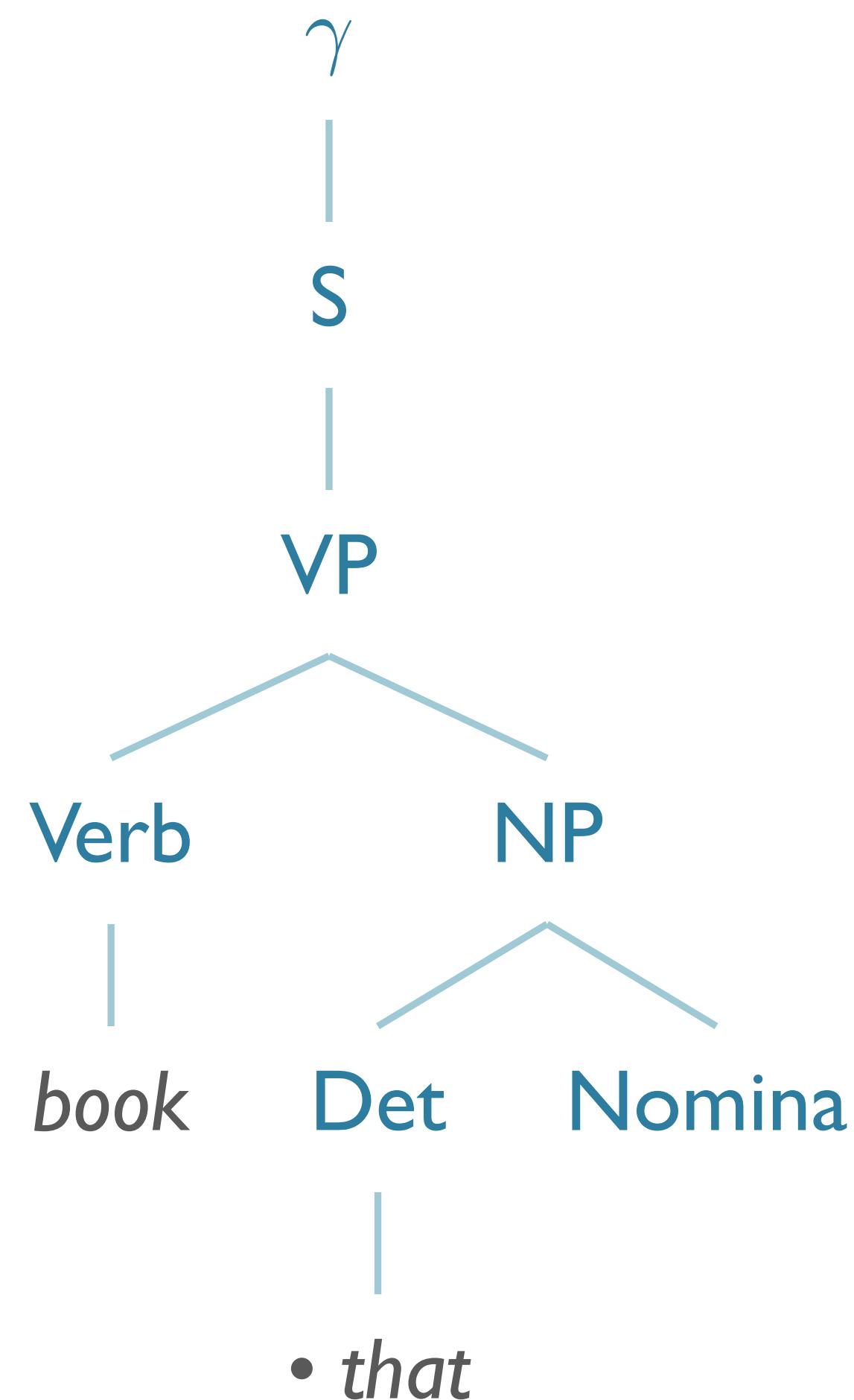
S0:  $\gamma \rightarrow \bullet S [0,0]$

S3:  $S \rightarrow VP \bullet [0,1]$

S8:  $VP \rightarrow Verb \bullet NP [0,1]$

S21:  $NP \rightarrow \bullet Det Nominal [1,1]$

S23:  $Det \rightarrow \bullet "that" [1,1]$



# *Book that flight*

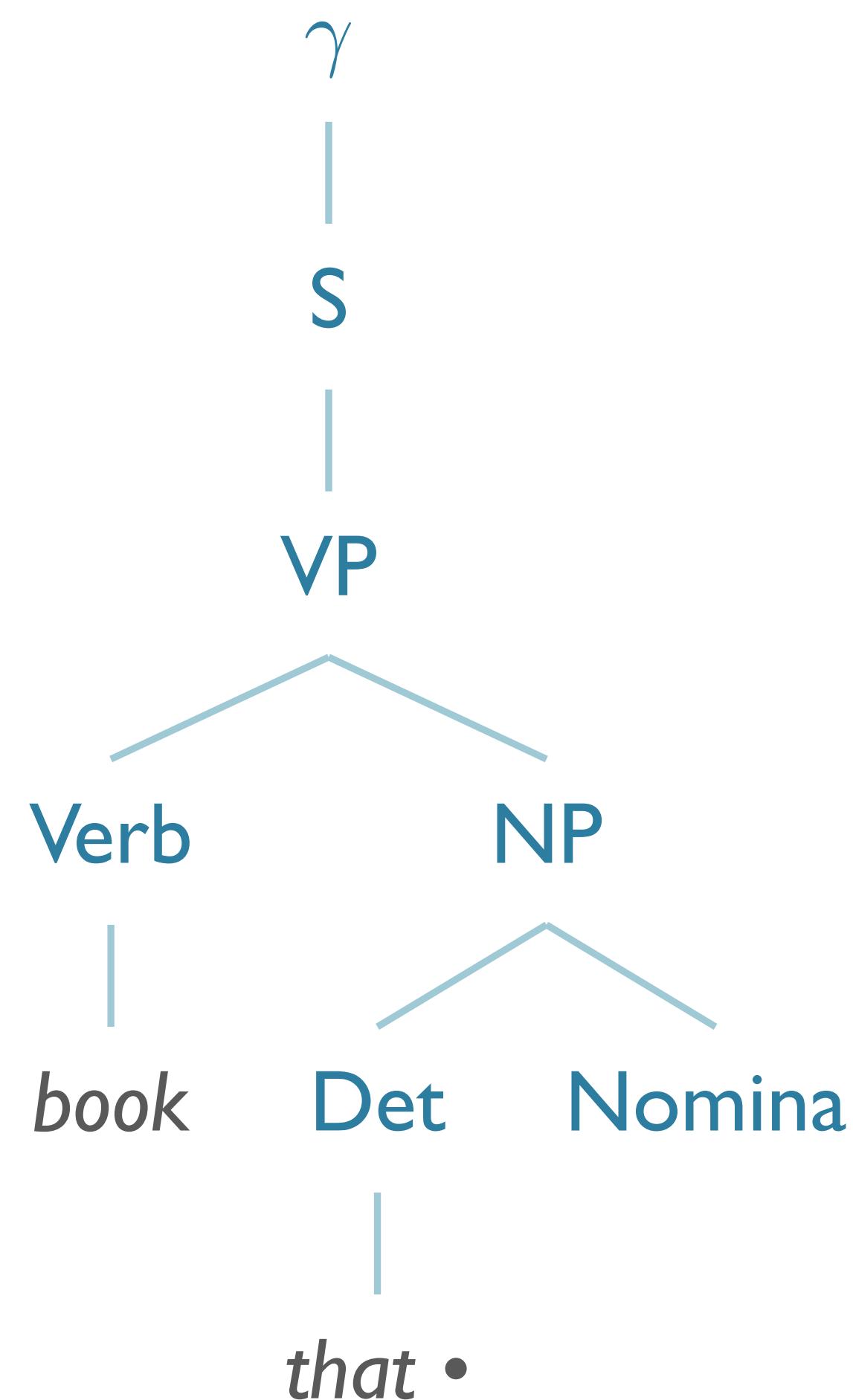
S0:  $\gamma \rightarrow \bullet S [0,0]$

S3:  $S \rightarrow VP \bullet [0,1]$

S8:  $VP \rightarrow Verb \bullet NP [0,1]$

S21:  $NP \rightarrow \bullet Det Nominal [1,1]$

S23:  $Det \rightarrow "that" \bullet [1,2]$



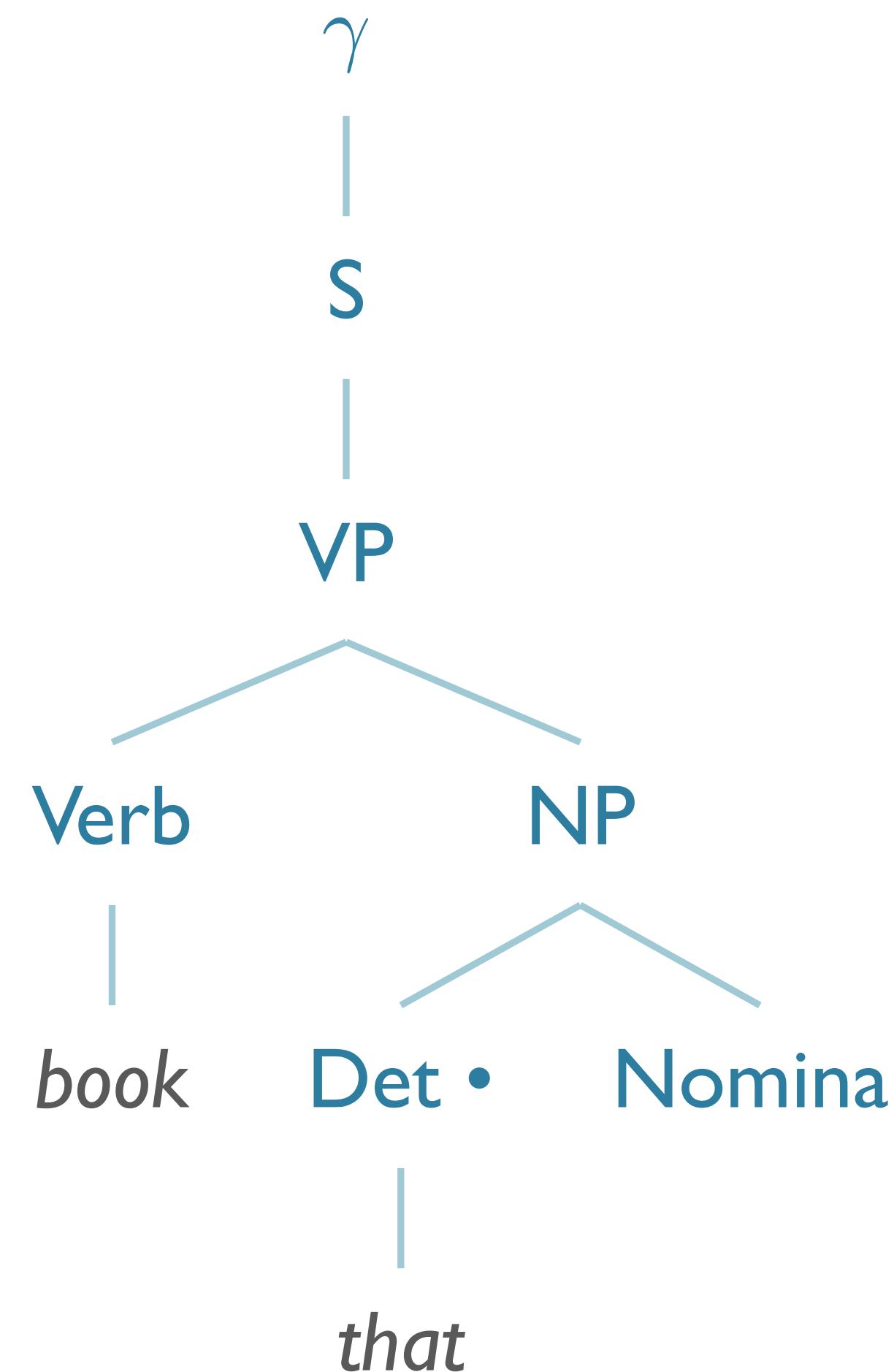
# *Book that flight*

S0:  $\gamma \rightarrow \bullet S [0,0]$

S3:  $S \rightarrow VP \bullet [0,1]$

S8:  $VP \rightarrow Verb \bullet NP [0,1]$

S21:  $NP \rightarrow Det \bullet Nominal [1,2]$



# *Book that flight*

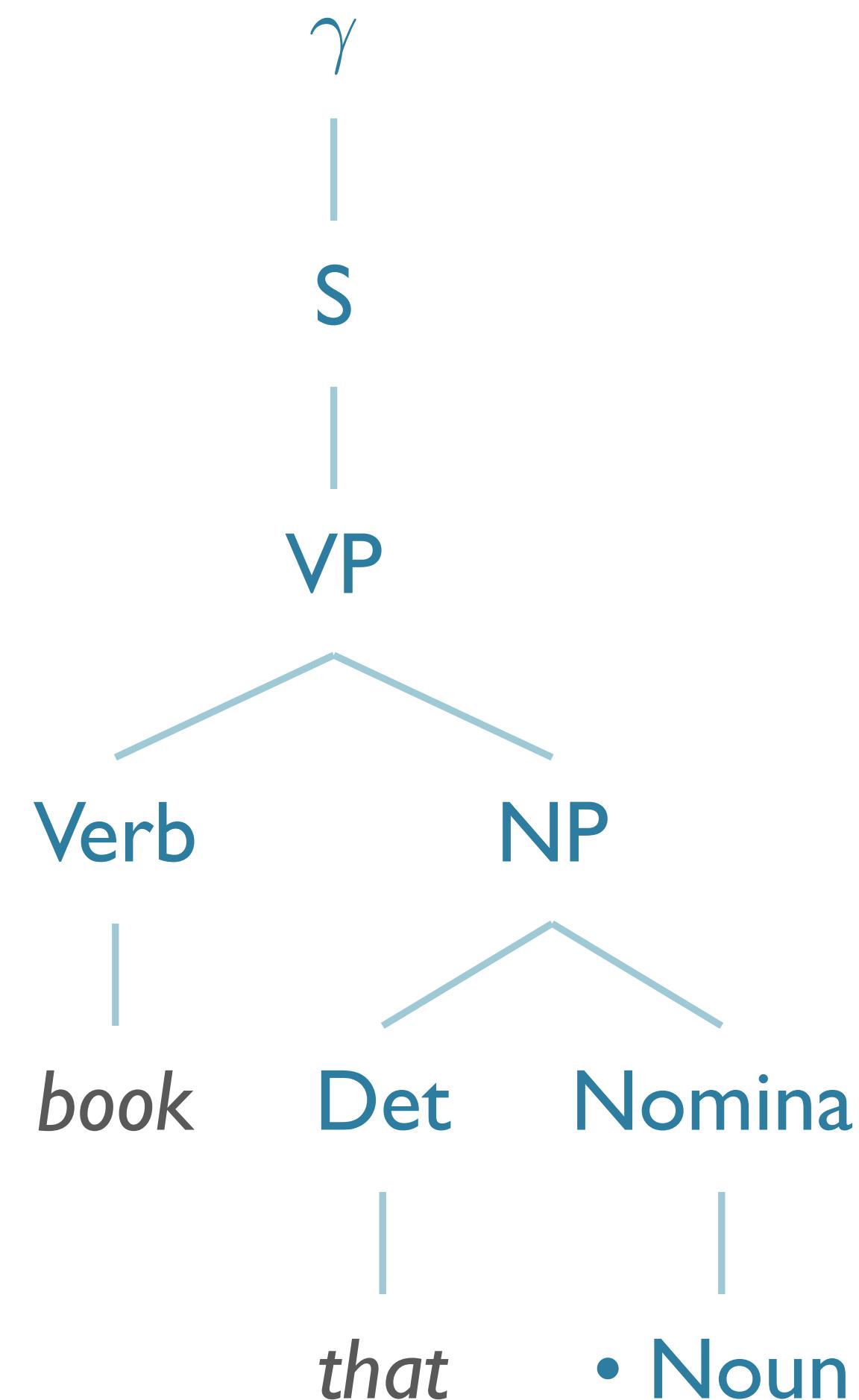
S0:  $\gamma \rightarrow \bullet S [0,0]$

S3:  $S \rightarrow VP \bullet [0,1]$

S8:  $VP \rightarrow Verb \bullet NP [0,1]$

S21:  $NP \rightarrow Det \bullet Nominal [1,2]$

S25:  $Nominal \rightarrow \bullet Noun [2,2]$



# *Book that flight*

S0:  $\gamma \rightarrow \bullet S [0,0]$

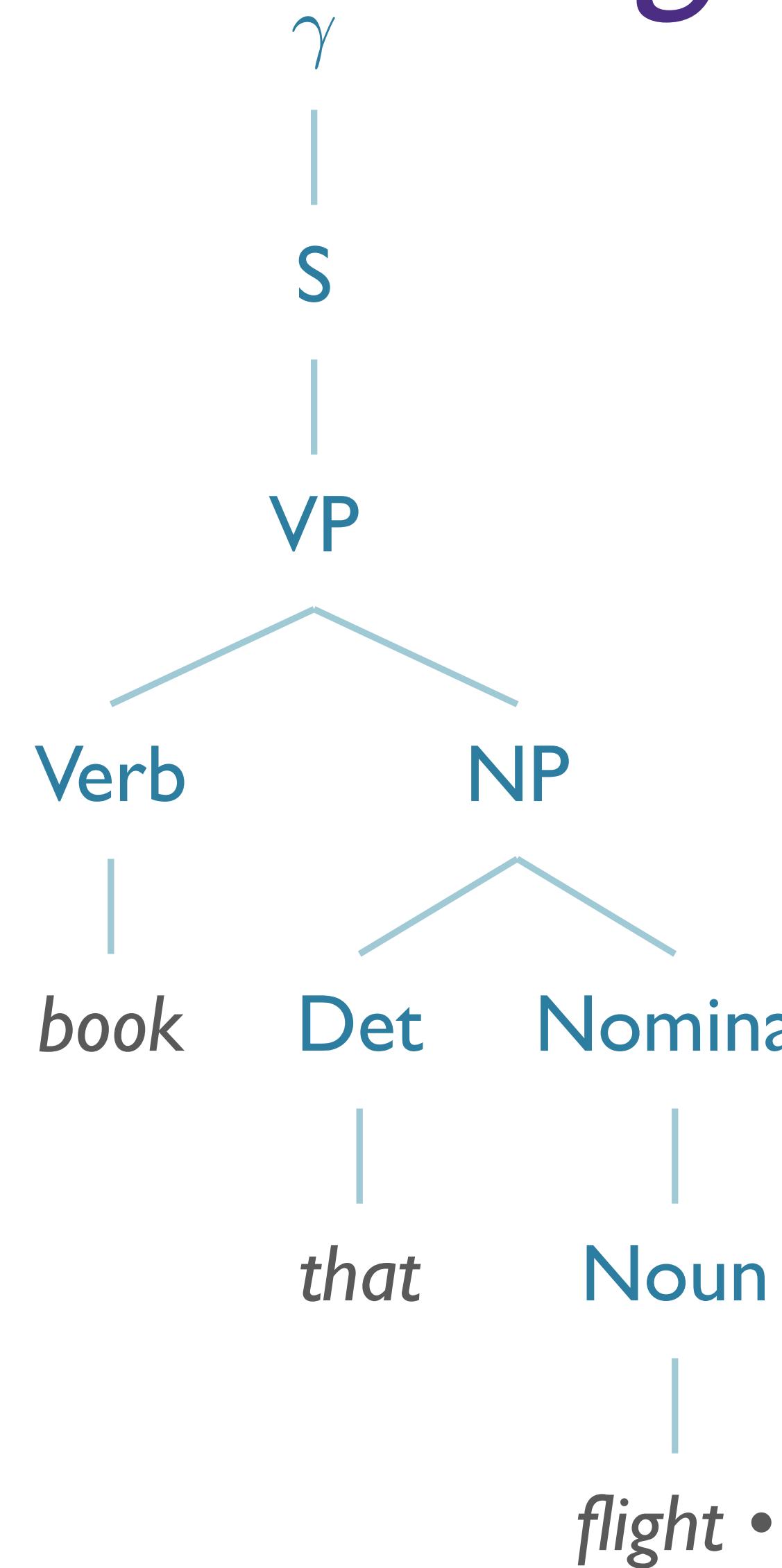
S3:  $S \rightarrow VP \bullet [0,1]$

S8:  $VP \rightarrow Verb \bullet NP [0,1]$

S21:  $NP \rightarrow Det \bullet Nominal [1,2]$

S25:  $Nominal \rightarrow \bullet Noun [2,2]$

S28:  $Noun \rightarrow "flight" \bullet [2,3]$



# *Book that flight*

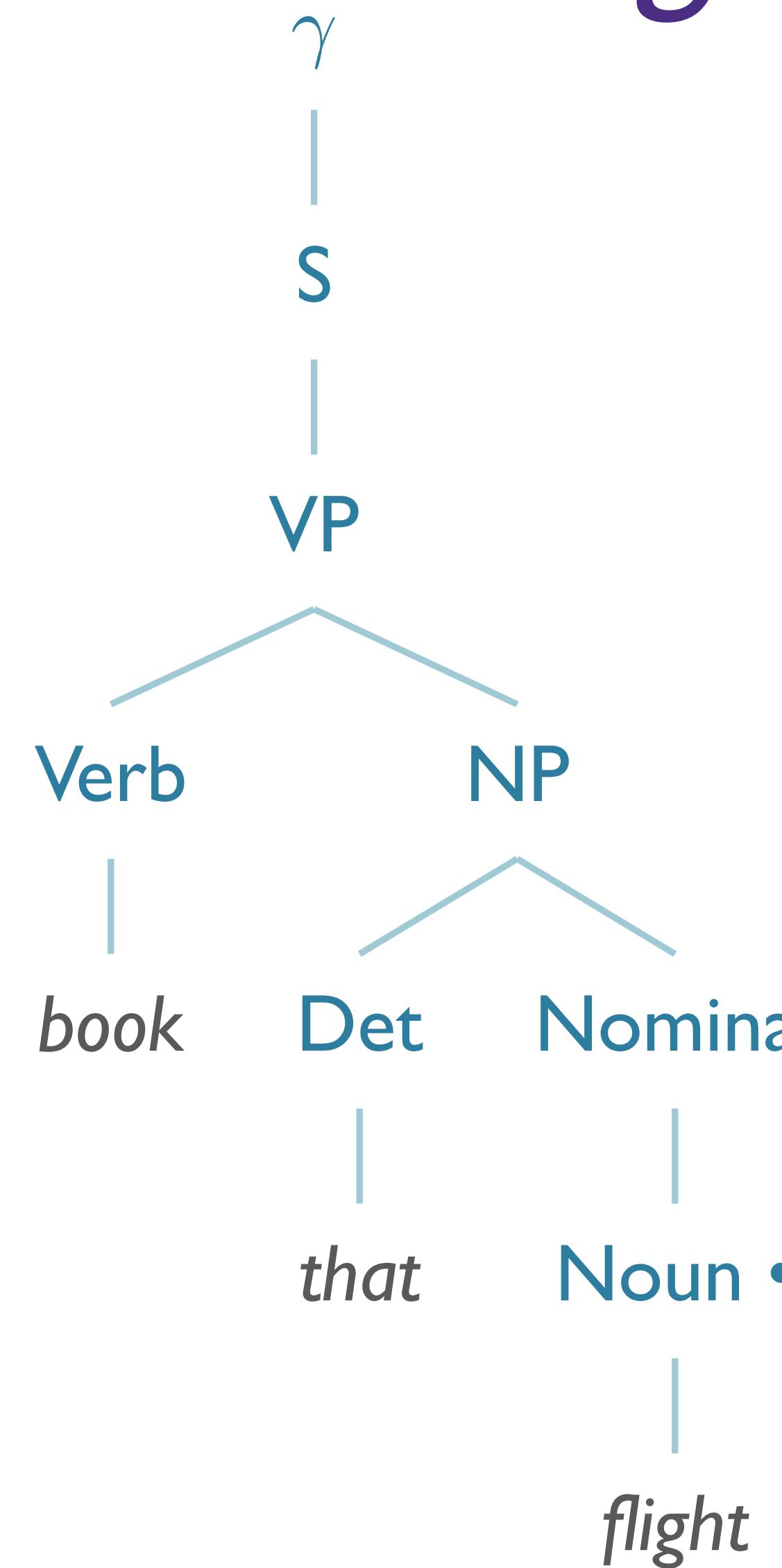
S0:  $\gamma \rightarrow \bullet S [0,0]$

S3:  $S \rightarrow VP \bullet [0,1]$

S8:  $VP \rightarrow Verb \bullet NP [0,1]$

S21:  $NP \rightarrow Det \bullet Nominal [1,2]$

S25:  $Nominal \rightarrow Noun \bullet [2,3]$



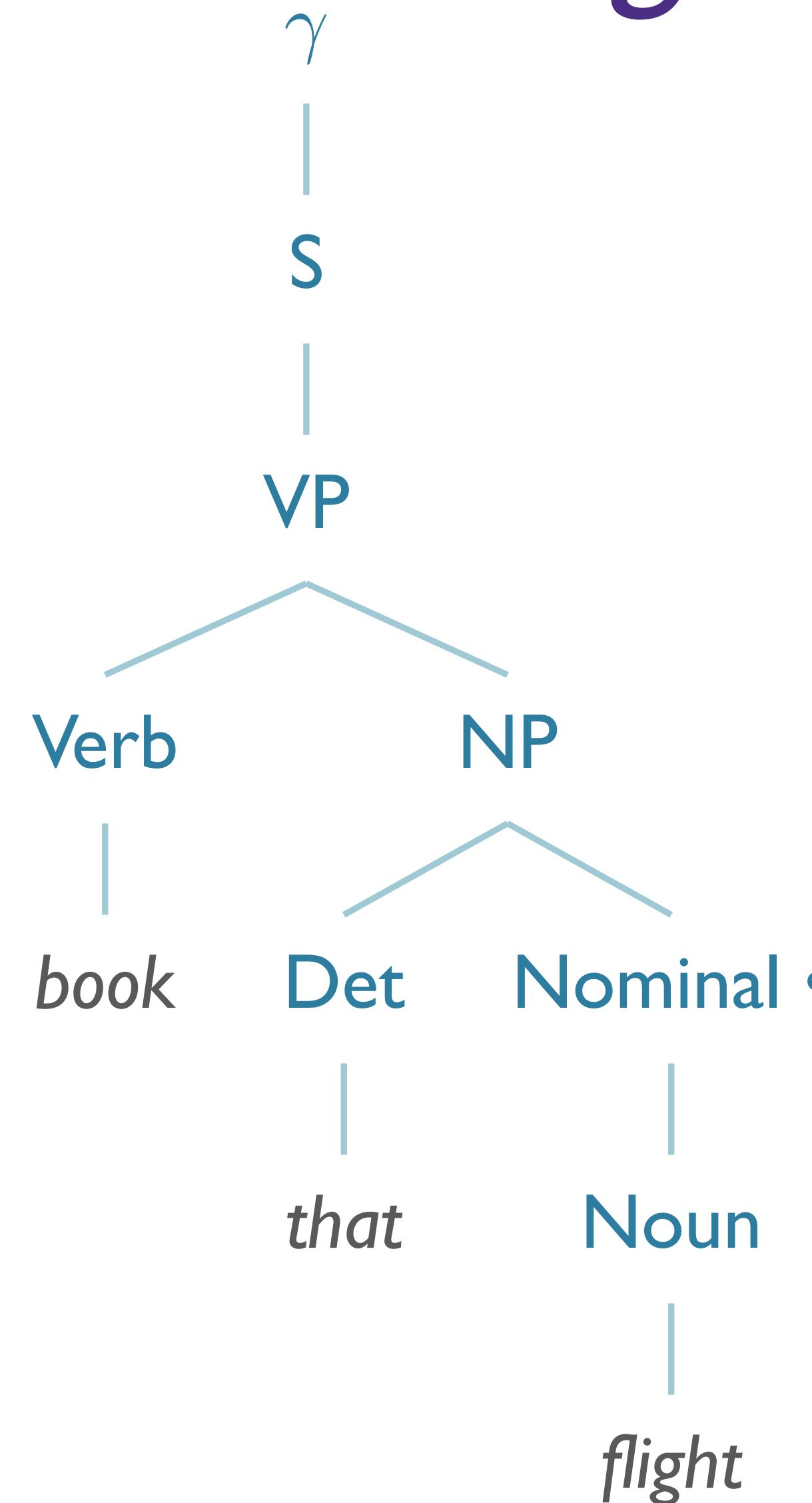
# *Book that flight*

S0:  $\gamma \rightarrow \bullet S [0,0]$

S3:  $S \rightarrow VP \bullet [0,1]$

S8:  $VP \rightarrow Verb \bullet NP [0,1]$

S21:  $NP \rightarrow Det Nominal \bullet [1,3]$

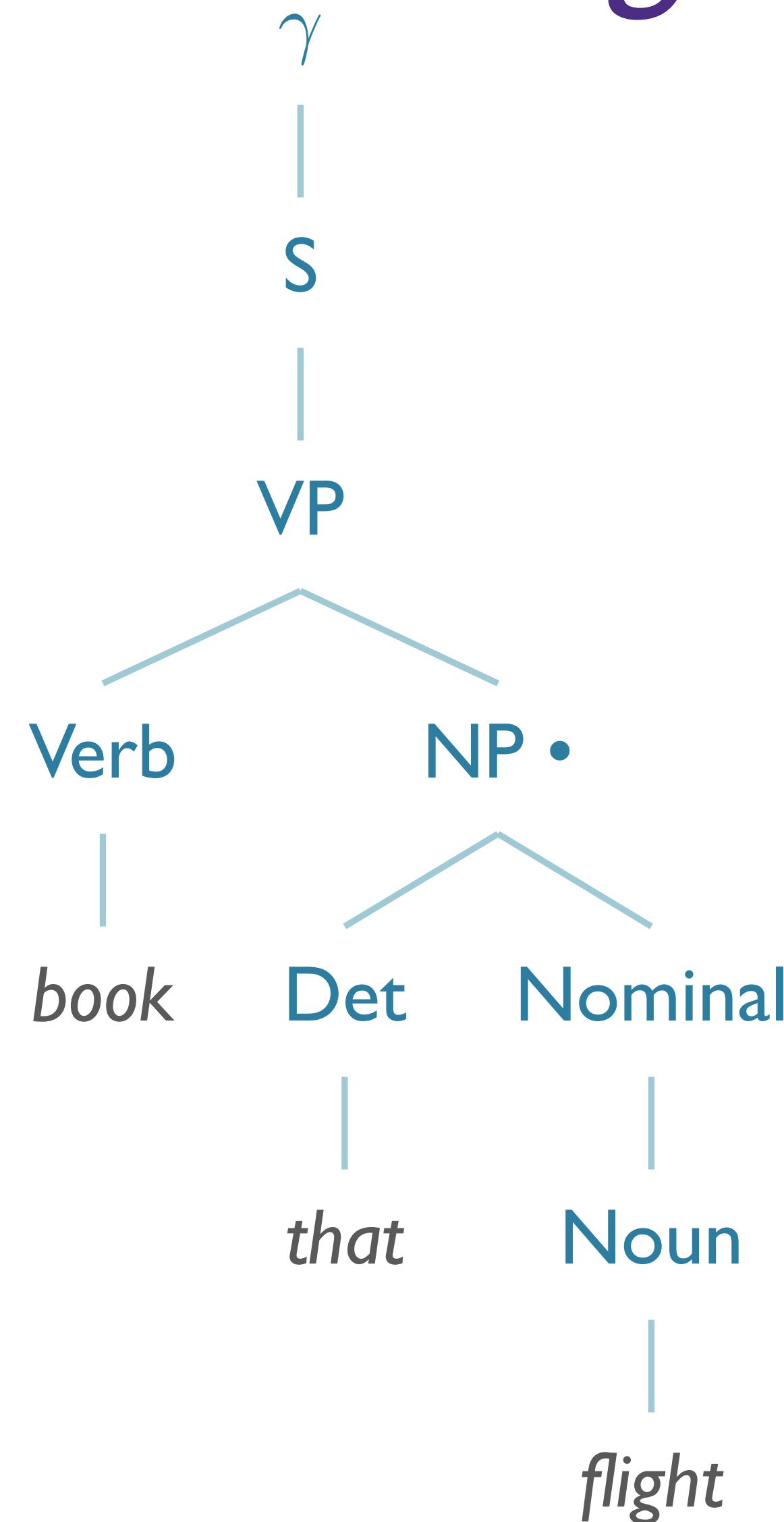


# *Book that flight*

S0:  $\gamma \rightarrow \cdot S [0,0]$

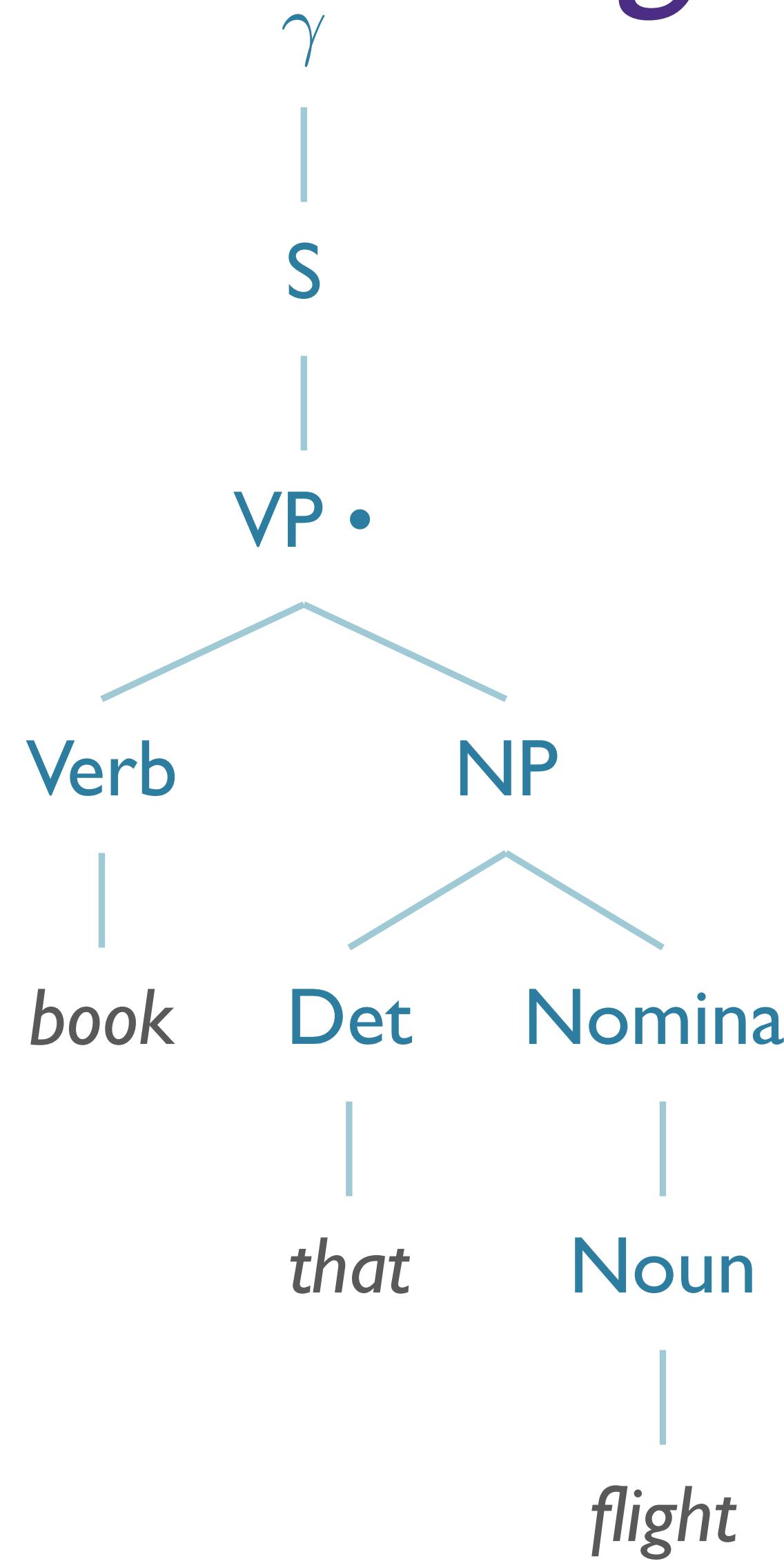
S3:  $S \rightarrow VP \cdot [0,1]$

S8:  $VP \rightarrow Verb\ NP \cdot [0,3]$



# *Book that flight*

S0:  $\gamma \rightarrow \cdot S [0,0]$   
S3:  $S \rightarrow VP \cdot [0,3]$



# What About Dead Ends?

# Book that flight

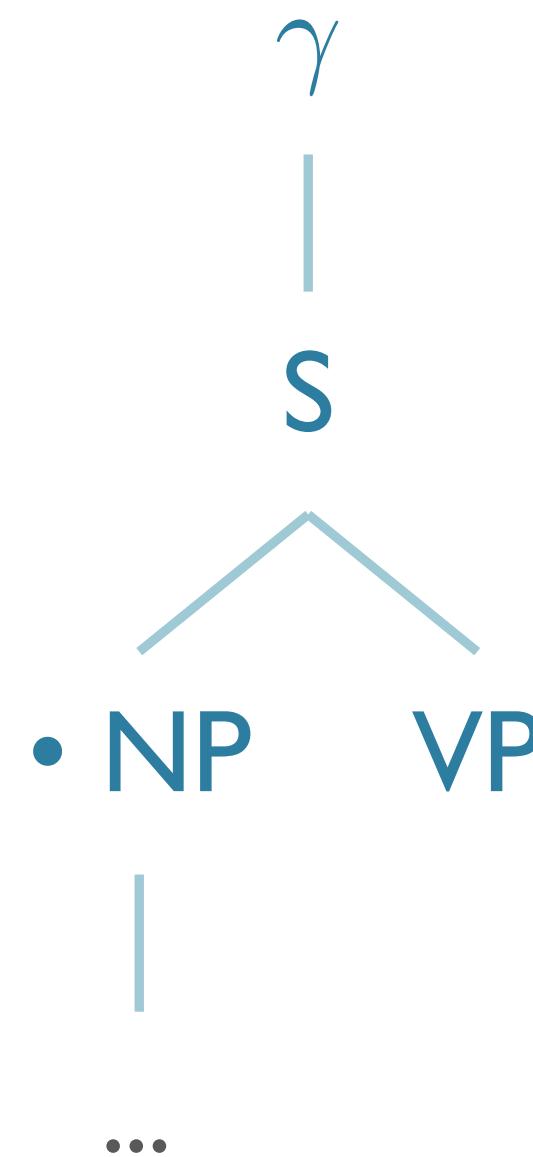
S0:  $\gamma \rightarrow \cdot S [0,0]$

S1:  $S \rightarrow \cdot NP VP [0,0]$

$NP \rightarrow \cdot Pronoun$

$NP \rightarrow \cdot Proper-Noun$

$NP \rightarrow \cdot Det Nominal$



# Book that flight

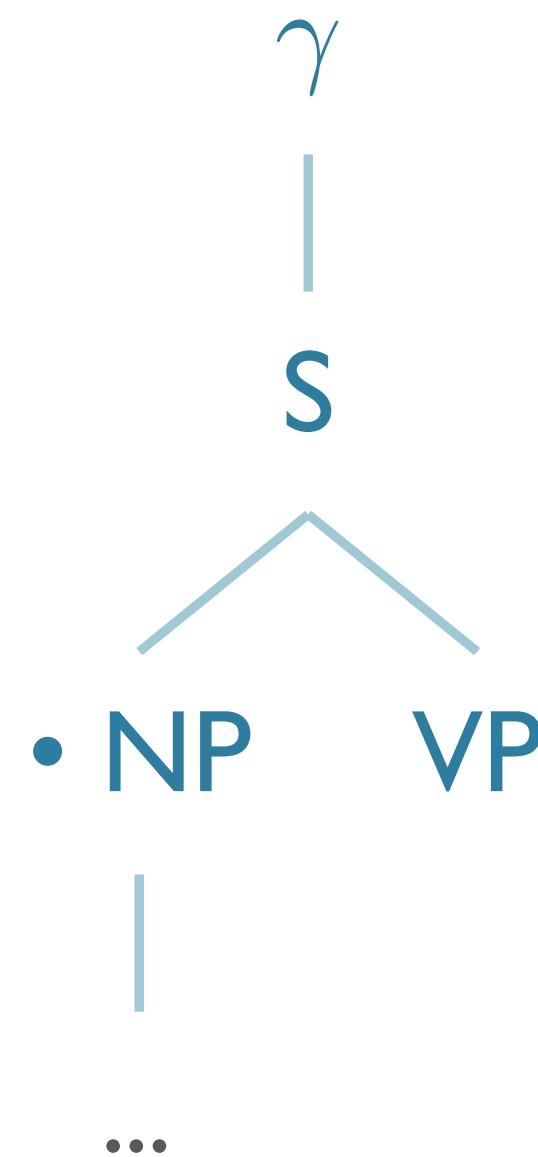
S0:  $\gamma \rightarrow \bullet S [0,0]$

S1:  $S \rightarrow \bullet NP VP [0,0]$

~~NP  $\rightarrow$  Pronoun~~

~~NP  $\rightarrow$  Proper-Noun~~

~~NP  $\rightarrow$   $\bullet$  Det Nominal~~



*book*

# What About Recursion?

# What about recursion?

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- We now have a top-down parser in hand. Does it enter infinite loops on rules like  $S \rightarrow S$  ‘and’  $S$ ?

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- We now have a top-down parser in hand. Does it enter infinite loops on rules like  $S \rightarrow S \text{ 'and' } S$ ?
- No!

```
procedure ENQUEUE(state, chart-entry)
    if state is not already in chart-entry then
        PUSH(state, chart-entry)
    end
```

# What about recursion?

- We now have a top-down parser in hand. Does it enter infinite loops on rules like  $S \rightarrow S \text{ 'and' } S$ ?
- No!

```
procedure ENQUEUE(state, chart-entry)
    if state is not already in chart-entry then
        PUSH(state, chart-entry)
    end
```

**Exercise:** parse ‘table and chair’ using the very simple grammar  
 $\text{Nom} \rightarrow \text{Nom} \text{ 'and' } \text{Nom} \mid \text{'table'} \mid \text{'chair'}$

# HW #3

# CKY Parsing: Goals

- Complete implementation of CKY parser
- Implement dynamic programming approach
- Incorporate/follow backpointers to recover parse

# Implementation

- Build full parser
- Can use any language, per course policies
- You may use existing data structures for rules, trees
  - e.g. NLTK has nice `tree` data structure
  - CKY algorithm must be your own
- Dynamic programming table filling crucial!
- Will use smaller grammar (similar to HW #1)
- Back to ATIS for HW #4

# Implementation

- For CKY Implementation:
  - NLTK's **CFG.productions()** method:
    - optional `rhs=` argument *only looks at first token of RHS*

# Notes

- Teams:

You may work in teams of two on this assignment

- Test grammar

Pre-converted to CNF

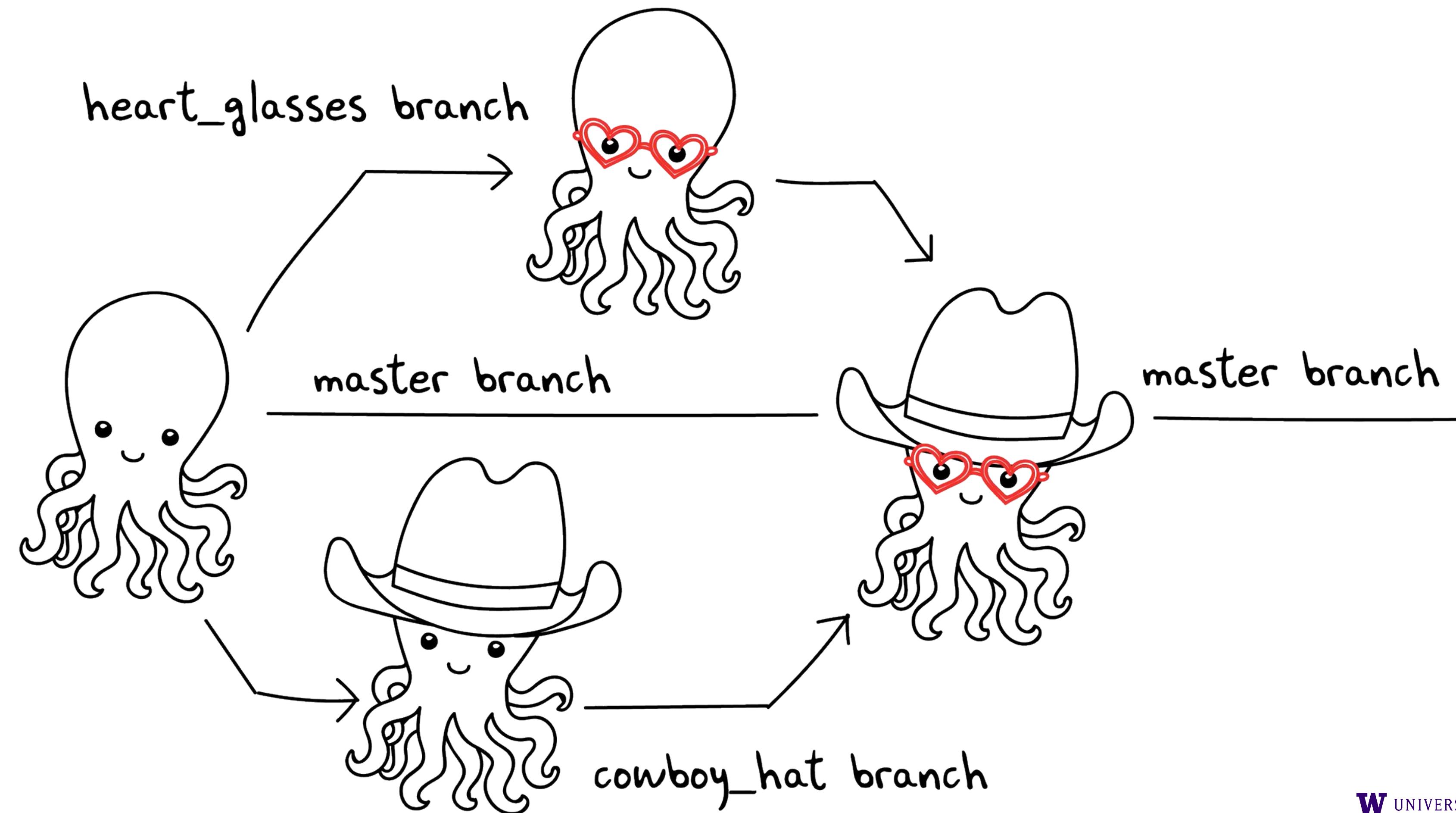
Start symbol: TOP

Parse should span input and be rooted at: TOP

# Some Collaboration Basics

# Git Branches

- Good for semi-isolating your development code from the shared, reviewed code



# Recommended Git Flow

- Initialize a git repository, with a `master` branch
  - (Create initial checkin, if necessary)
- Create a new branch, maybe “`adding_rule_objects`”
- Make regular checkins on your branch (like saving)
- Switch to `master` branch, and “pull”
- Merge your branch to `master`
- ...rinse & repeat
- If using GitHub (or GitLab, etc): **MUST BE PRIVATE REPO!**

# Communication: Check-ins

- For check-ins, three main points:
  - What have you been working on?
  - What do you plan to work on next?
  - Is there anything “blocking” you?
- In industry, these brief check-ins among small teams are often done daily

# Project Planning: Kanban Boards

- Before you start working:
  - Write out tasks on sticky notes.
  - Place in three columns:
    - To-Do
    - Doing
    - Done
  - As you work, you can move them from column to column
  - Add tasks as new issues come up
- [trello.com](https://trello.com) – has free online implementation of Kanban Boards

