#### Introduction

LING 571 — Deep Processing Techniques for NLP
September 30, 2020
Shane Steinert-Threlkeld

## Roadmap

- Motivation
- Language and Intelligence
- Knowledge of Language
- Course Overview
- Intro to Syntax and Parsing

## Motivation: Applications

- Applications of Speech and Language Processing
  - Call Routing
  - Information Retrieval
  - Question Answering
  - Machine Translation
  - Dialog Systems
  - Spell– and Grammar– Checking
  - Sentiment Analysis
  - Information Extraction
  - ...

# Building on Many Fields

- Linguistics: Morphology, phonology, syntax, semantics...
- Psychology: Reasoning, mental representations
- Formal Logic
- Philosophy (of Language)
- Theory of Computation: Automata theory
- Artificial Intelligence: Search, Reasoning, Knowledge Representation, Machine Learning, Pattern Matching
- Probability

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# Operationalizing Intelligence: The Turing Test (1950)

- Two contestants: Human vs. Computer
  - Judge: human
  - Test: interact via text questions
  - Question: Can judge tell which contestant is human?

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- Two contestants: Human vs. Computer
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  - Question: Can judge tell which contestant is human?
- Crucially:
  - Posits that passing requires language use and understanding

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User: You are like my father in some ways
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USER: You are not very aggressive

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- Simple pattern matching technique

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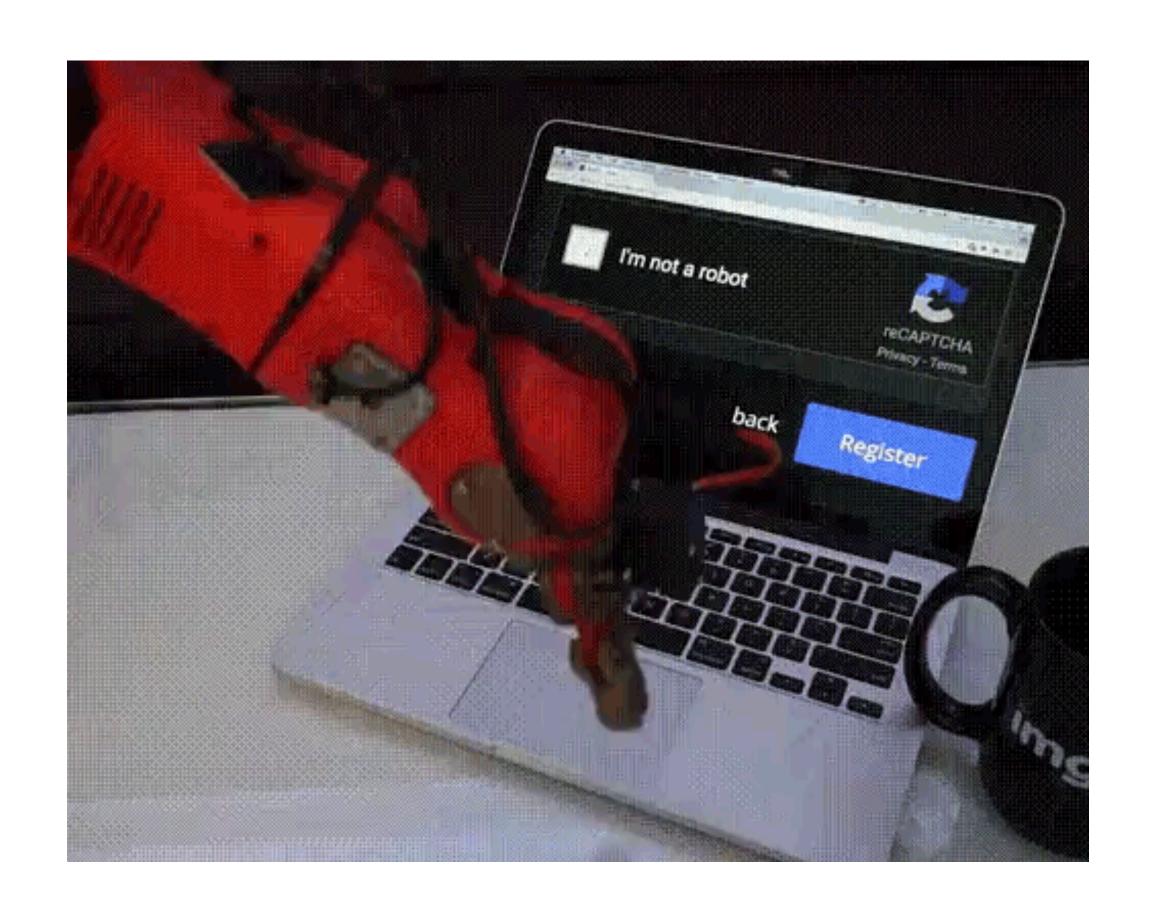
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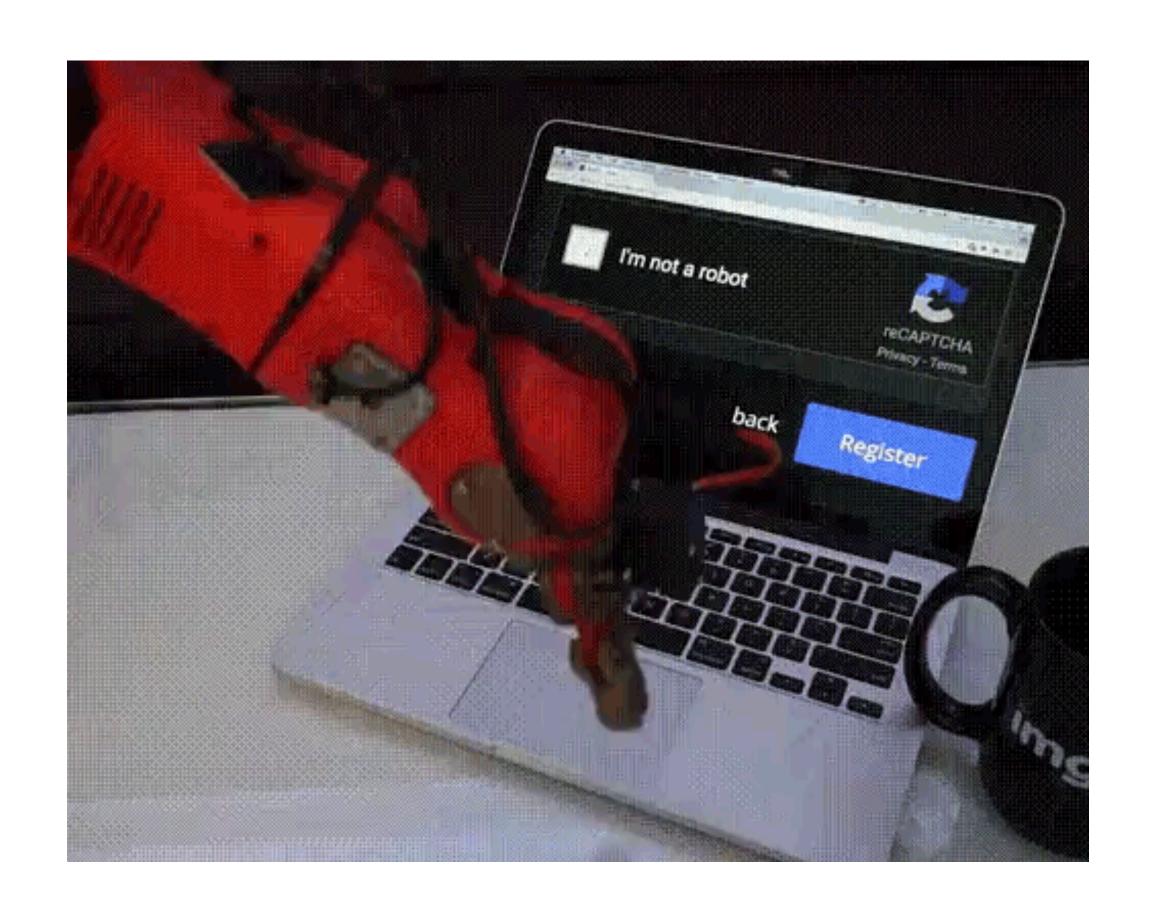
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  - Long-term: Inspires "arms race"

#### CAPTCHA arms race



#### CAPTCHA arms race

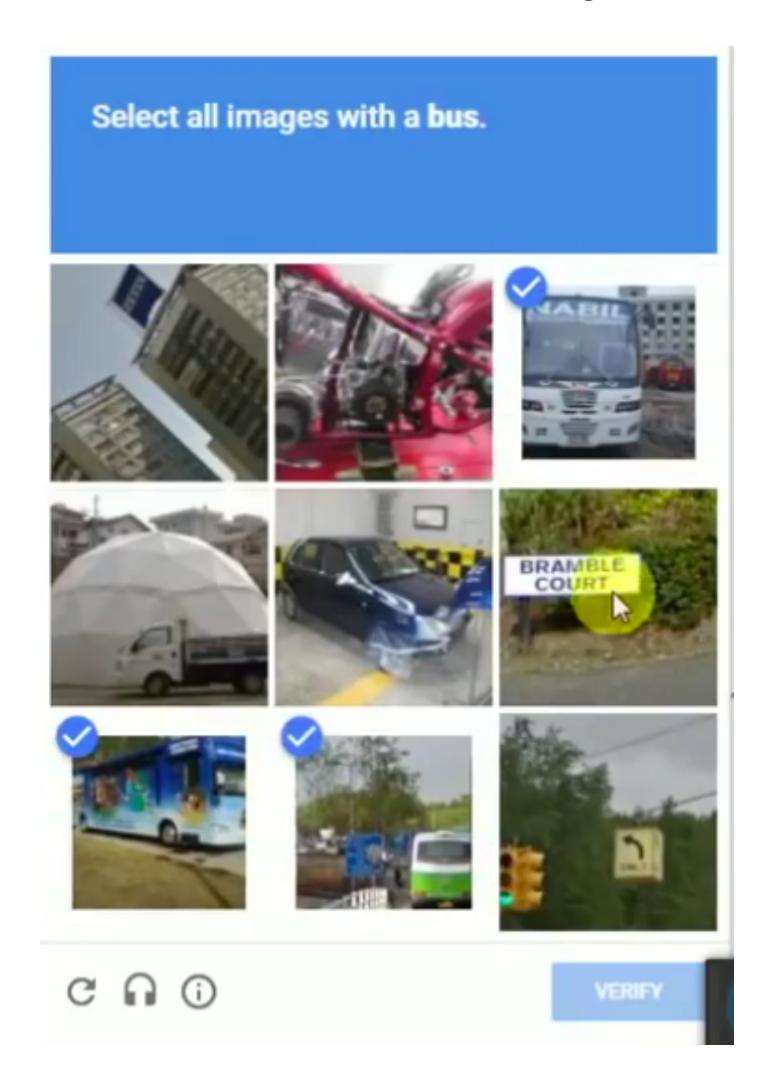


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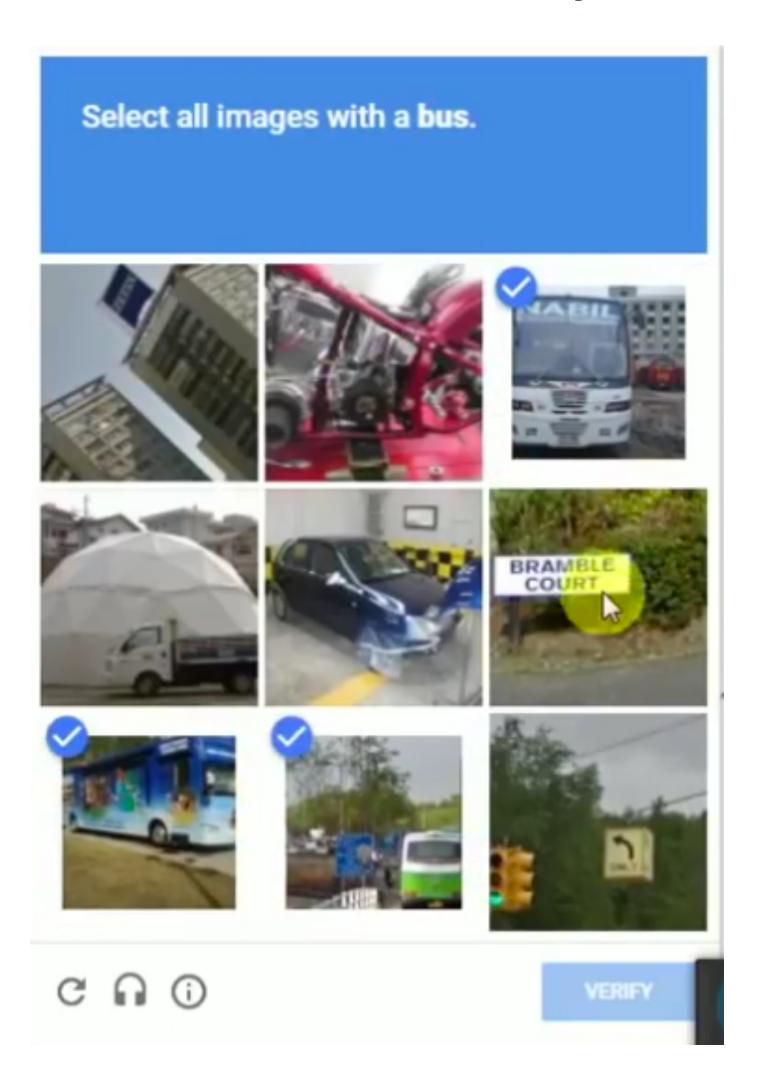
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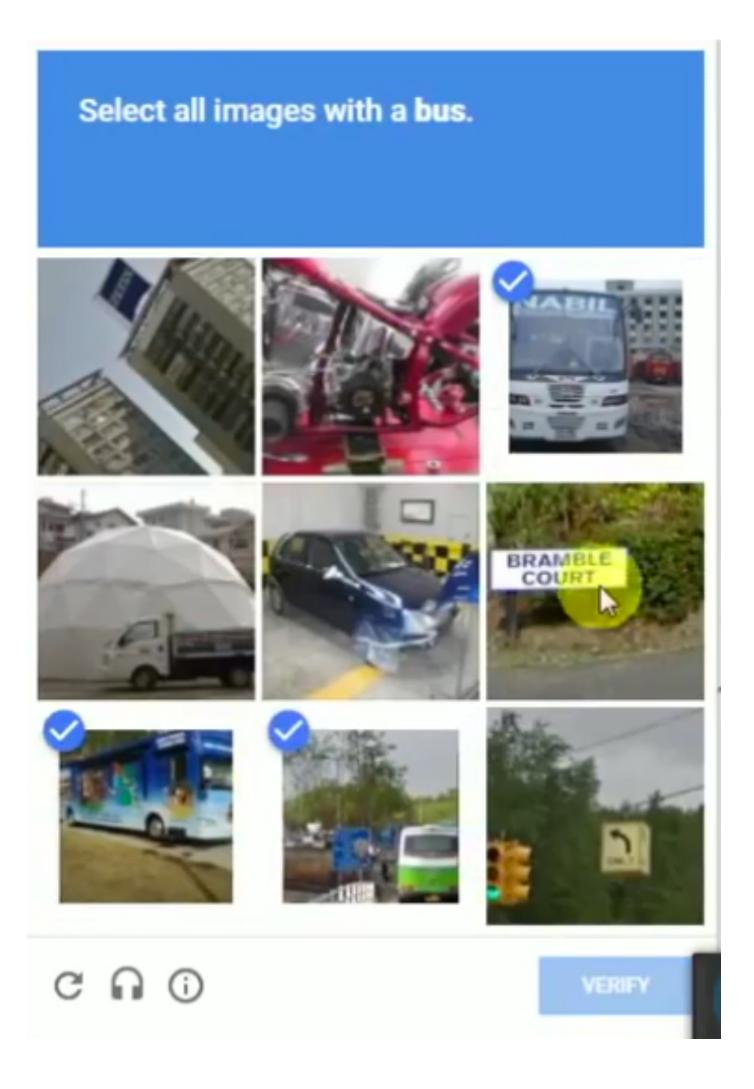
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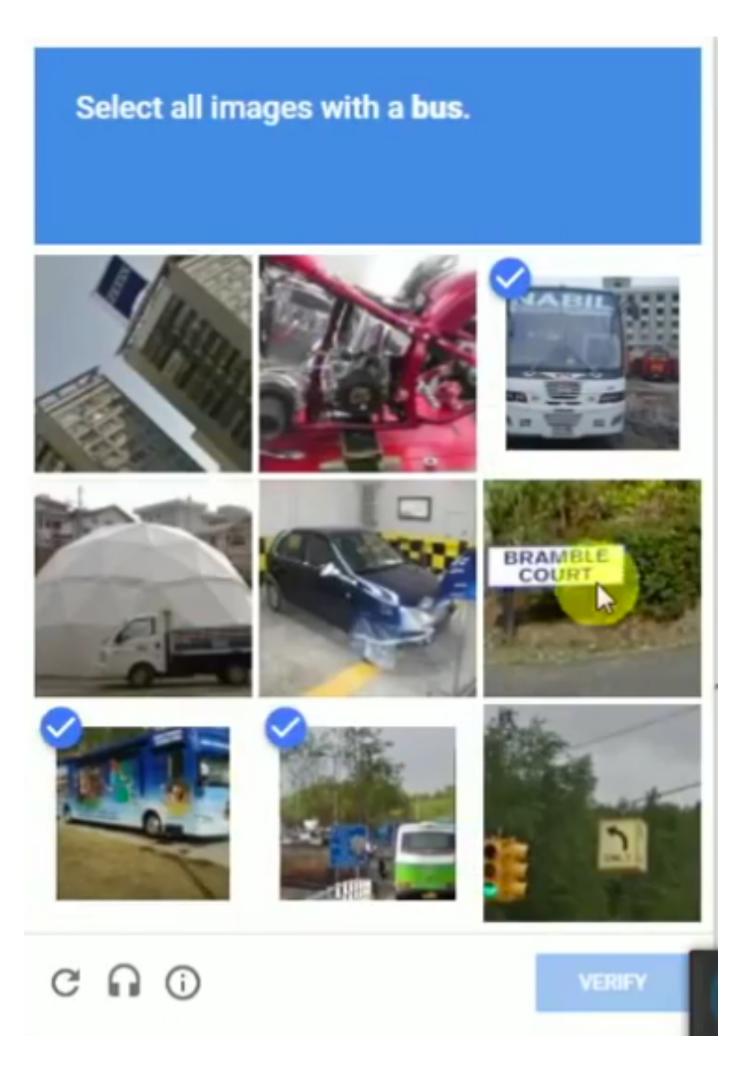
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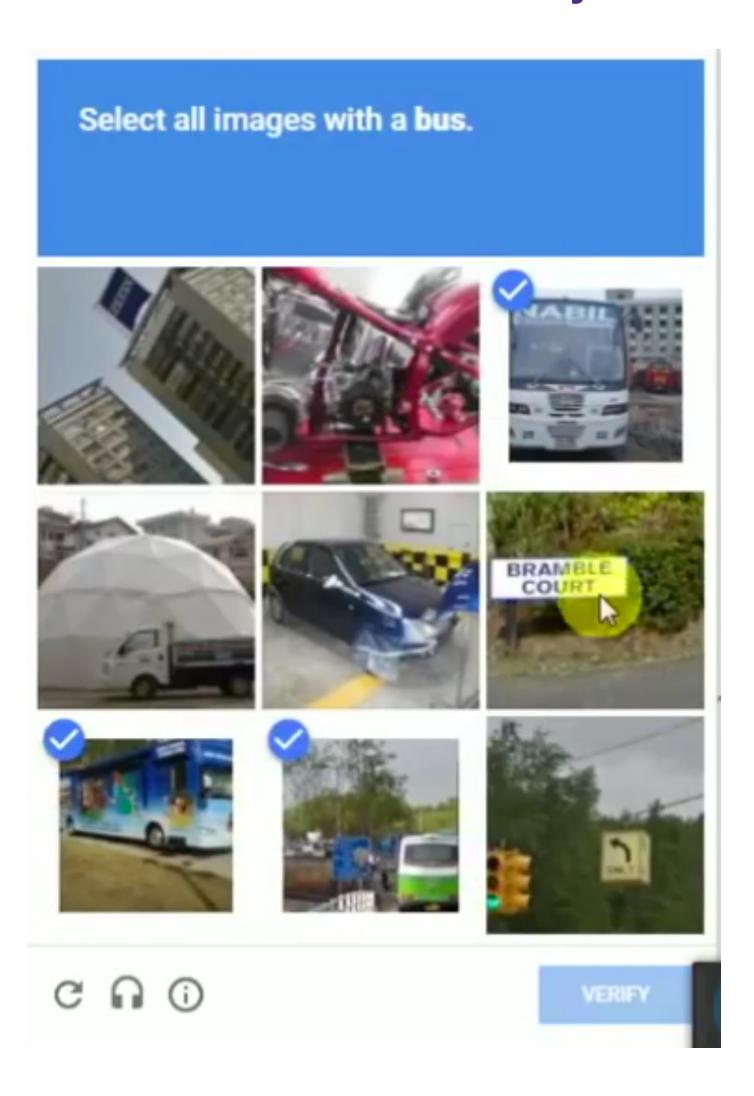
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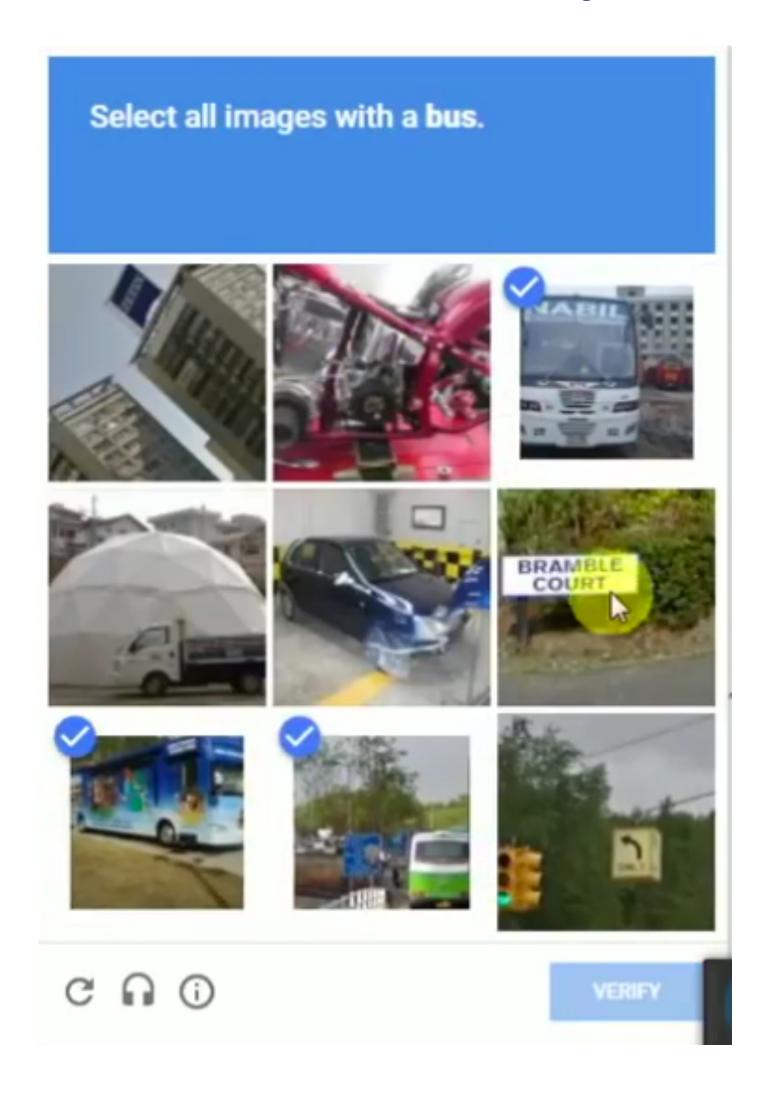
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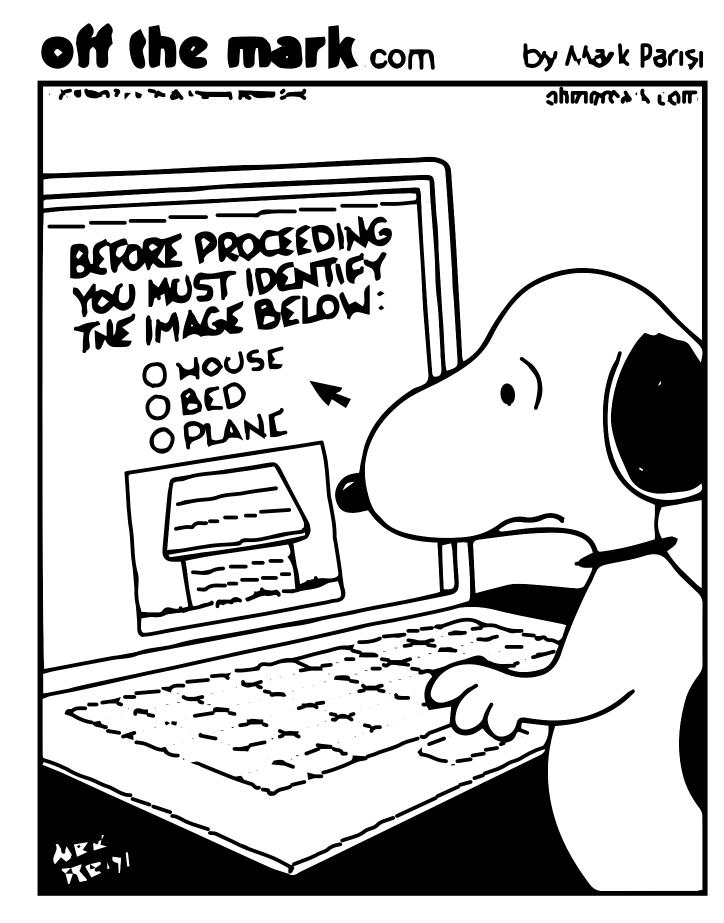


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  - bytes and lines → data processing
  - words → what do we mean by "word"?

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- Phonetics & Phonology (Ling 450/550)
  - Sounds of a language, acoustics
  - Legal sound sequences in words

• What does HAL (of 2001, A Space Odyssey) need to know to converse?

- Morphology (Ling 570)
  - Recognize, produce variation in word forms
  - Singular vs. plural:
    Door + sg → "door"
    Door + pl → "doors"
  - Verb inflection:
    be + 1st Person + sg + present → "am"

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- Part-of-speech Tagging (Ling 570)
  - Identify word use in sentence
  - Bay (Noun) Not verb, adjective

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Dave: Open the pod bay doors, HAL. HAL: I'm sorry, Dave. I'm afraid I can't do that.

#### Syntax

- (566: Analysis, 570: Chunking, 571: Parsing)
- Order and group words in sentence
  - cf. \*"I'm I do, sorry that afraid Dave I can't"

• What does HAL (of 2001, A Space Odyssey) need to know to converse?

- Semantics (Word Meaning)
  - Individual (lexical) + Combined (Compositional)
  - 'Open': AGENT cause THEME to become open;
    - 'pod bay doors' → doors to the 'pod bay' → the bay which houses the pods.

• What does HAL (of 2001, A Space Odyssey) need to know to converse?

Dave: Open the pod bay doors, HAL.

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  - Interpret utterances in context

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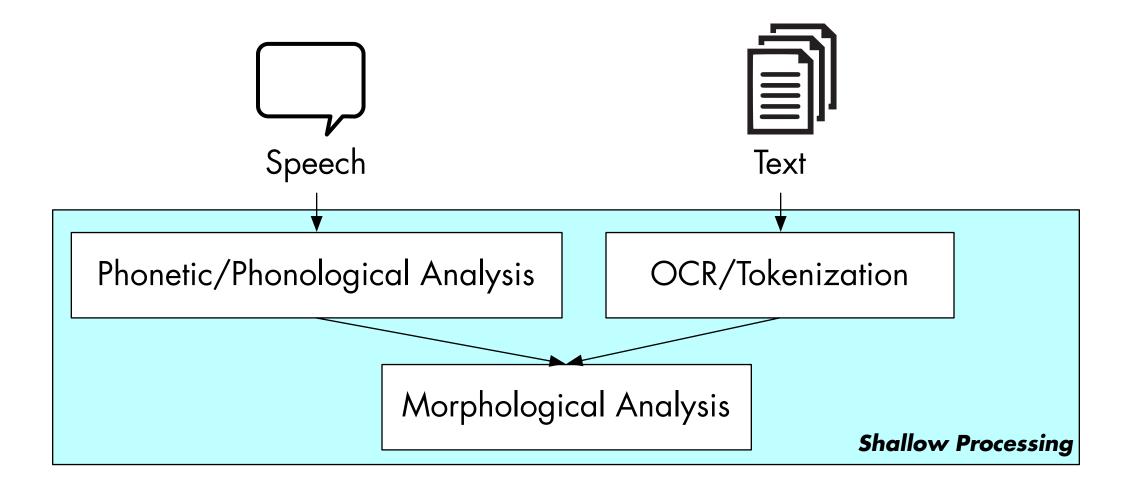
#### Course Overview: Shallow vs. Deep Processing

- Shallow processing (LING 570)
  - Less elaborate linguistic representations
    - Usually relies on surface forms (e.g. words)
  - Examples: HMM POS-tagging; FST morphology

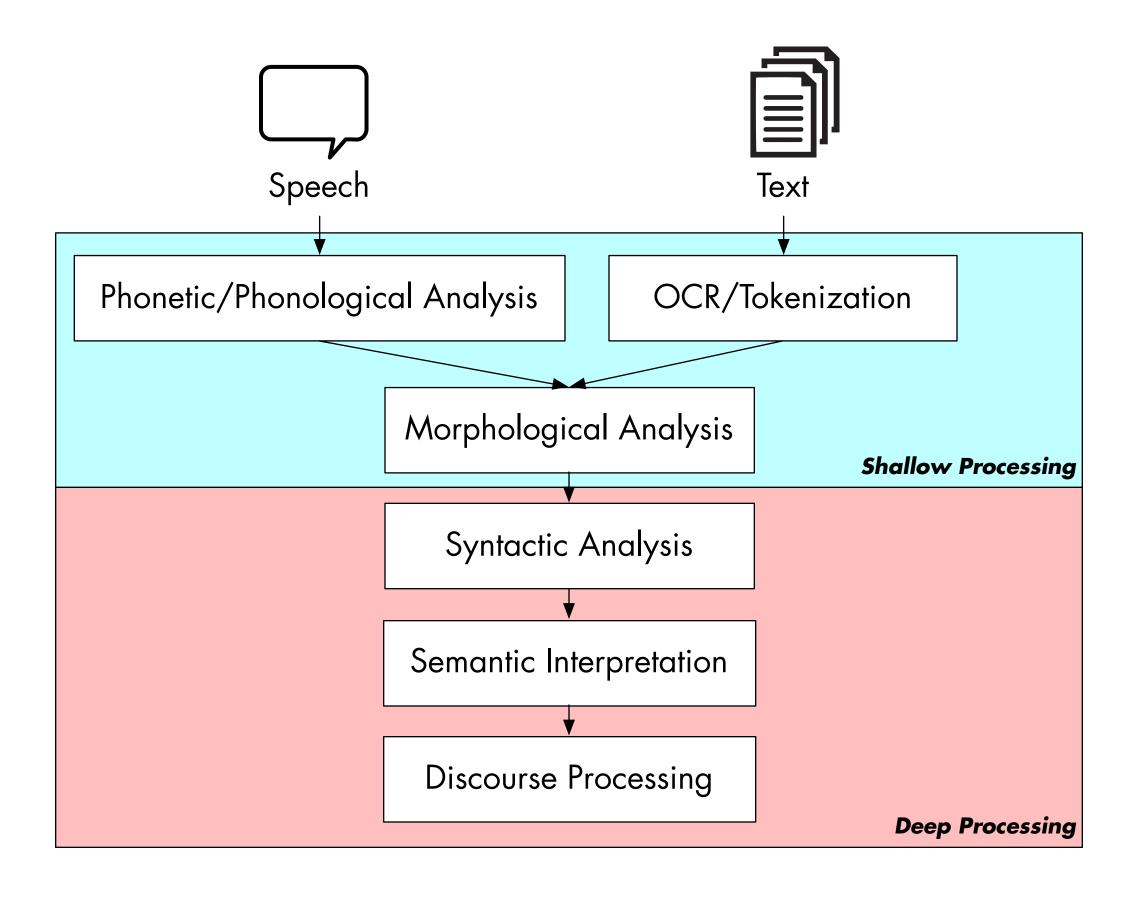
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- Deep processing (LING 571)
  - Relies on *more elaborate* linguistic representations
    - Deep syntactic analysis (Parsing)
    - Rich spoken language understanding (NLU)

## Language Processing Pipeline



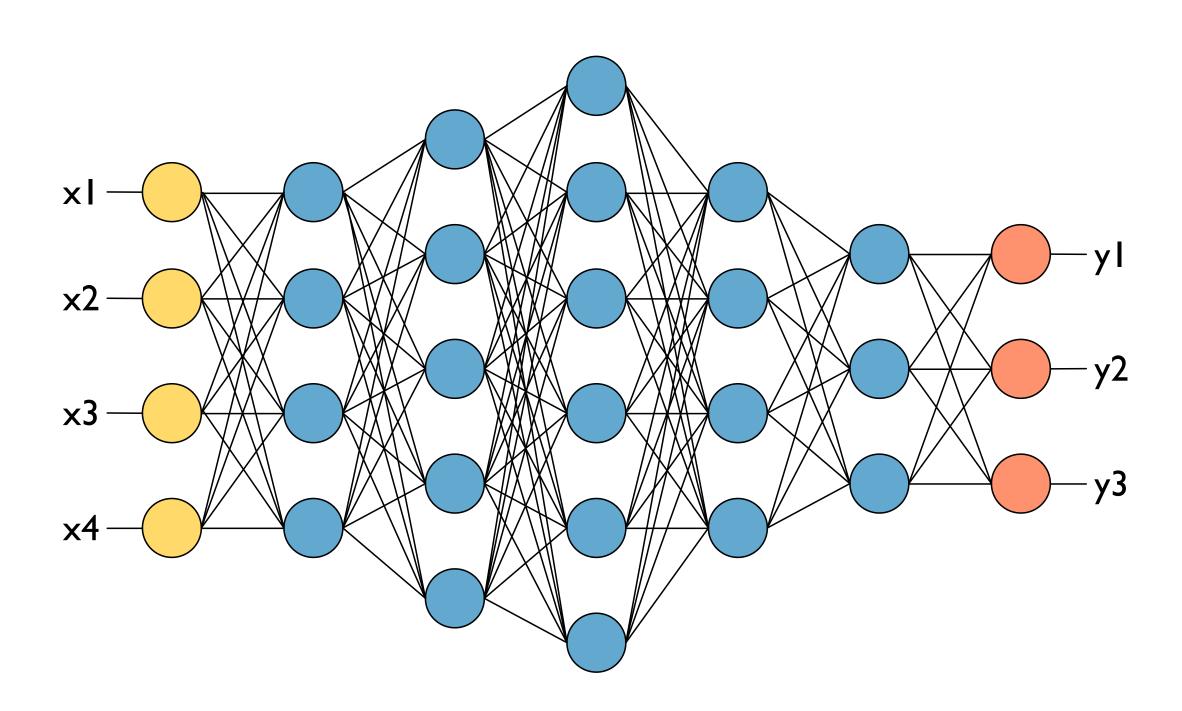
## Language Processing Pipeline



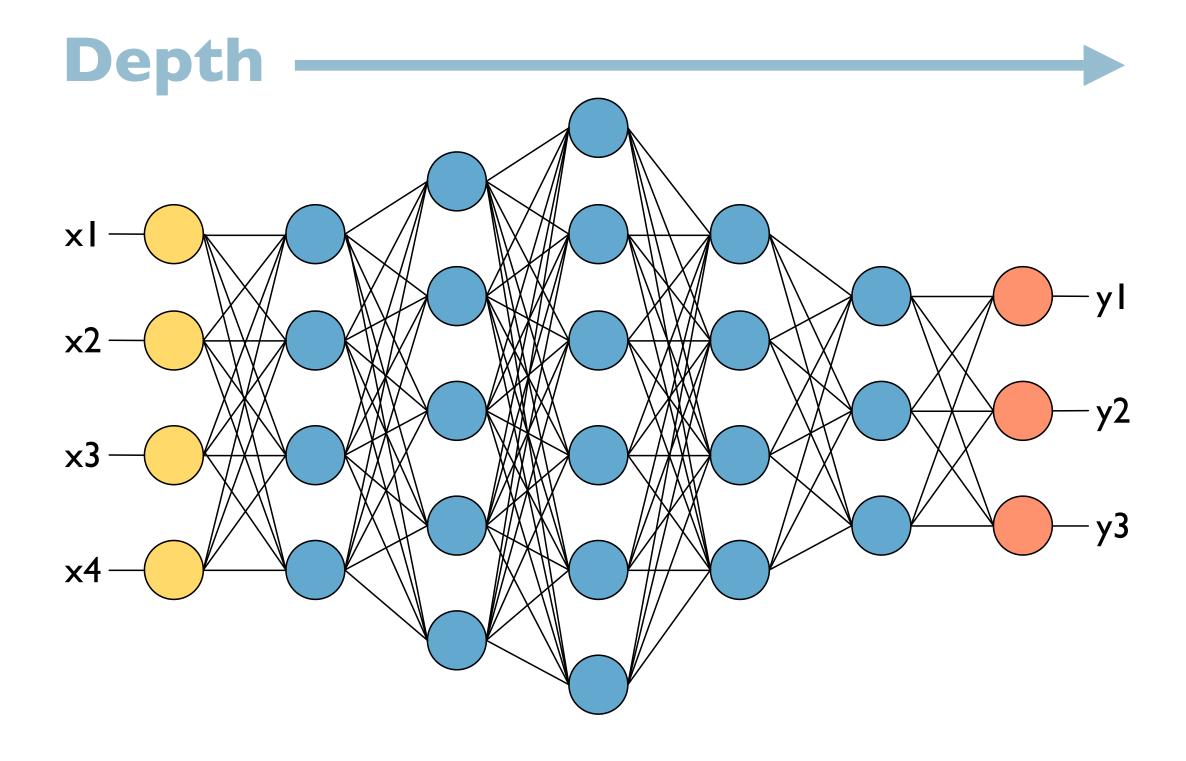
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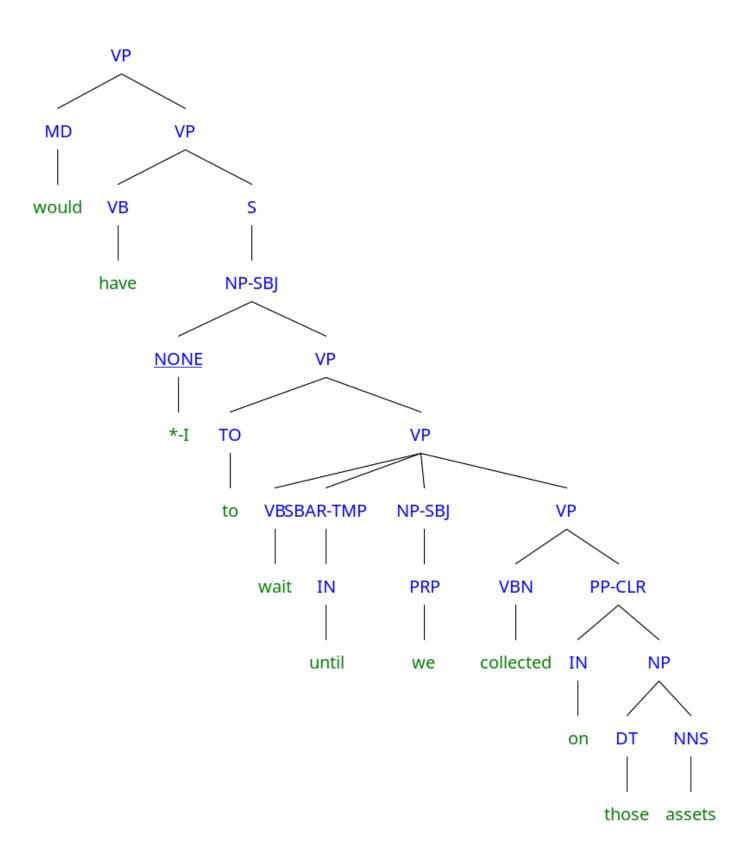
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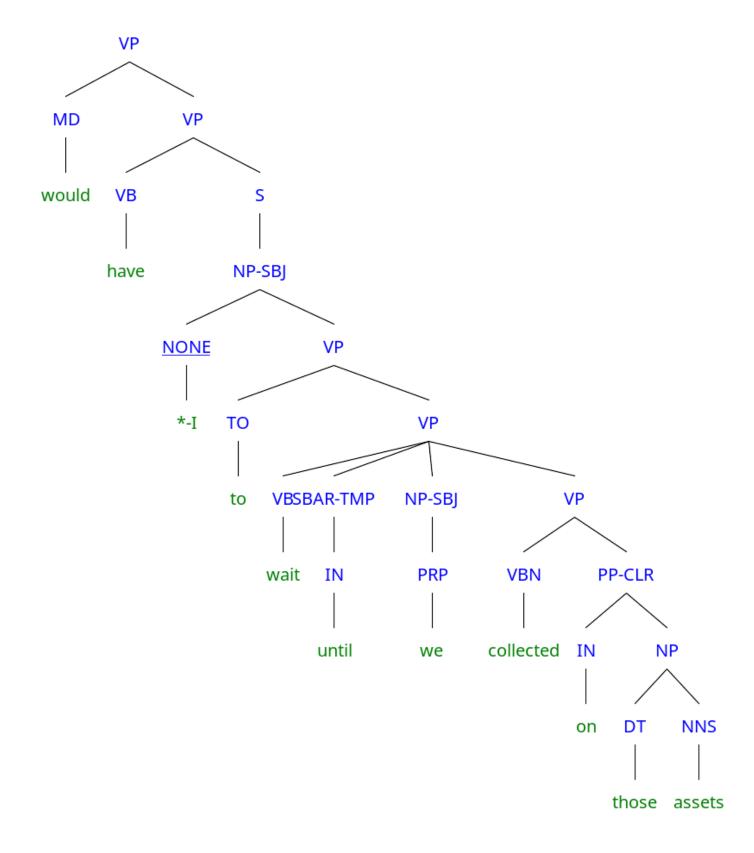
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"Deep Processing" ← "Depth" of Analysis (Amt. of Abstraction)

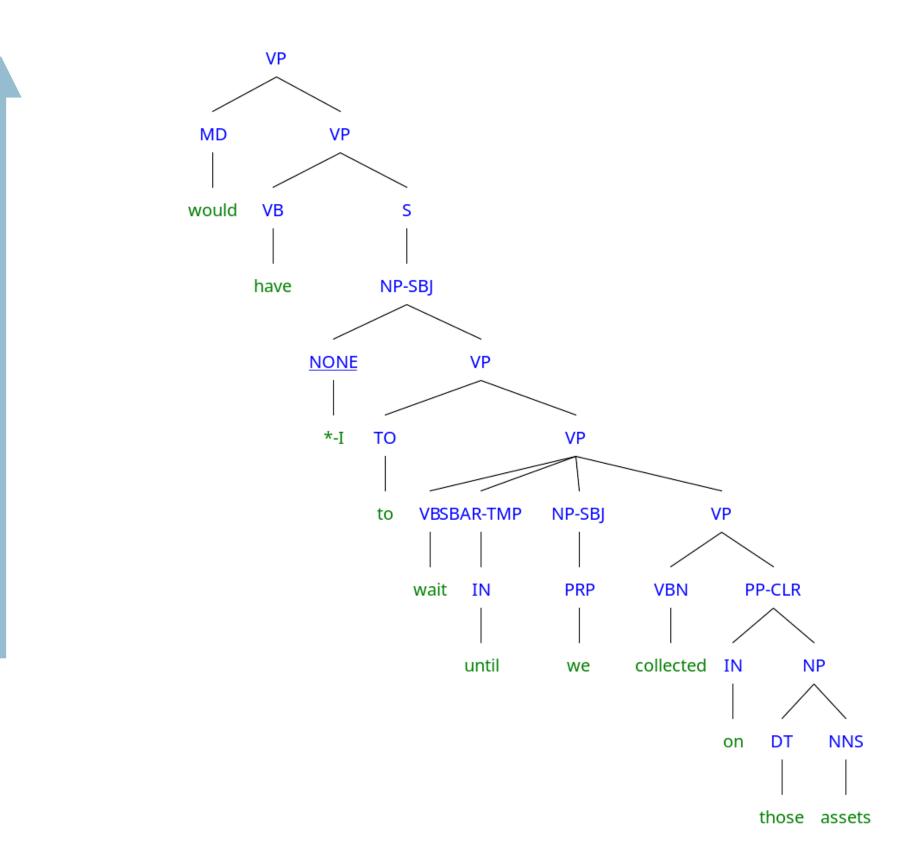


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- In both paradigms, graph depth aids, but ⇒ abstraction

## Cross-cutting Themes

- Ambiguity
  - How can we select from among alternative analyses?

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#### Evaluation

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#### Multilinguality

- Can we apply the same approach to other languages?
- How much must it be modified to do so?

• "I made her duck."

- "I made her duck."
- Could mean...
  - I caused her to duck down.
  - I made the (carved) duck she has.
  - I cooked duck for her.
  - I cooked a duck that she owned.
  - I magically turned her into a duck.

NOUN

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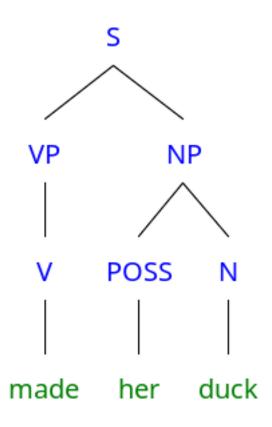
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**PRON** 

POSS

#### Ambiguity: Syntax

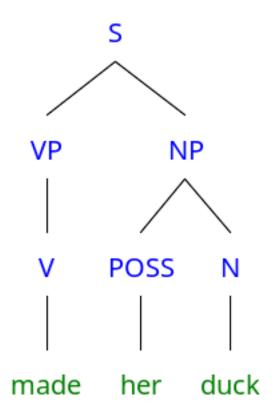
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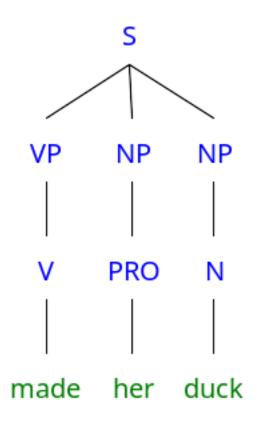


#### Ambiguity: Syntax

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# Ambiguity: Semantics

"I made her duck."

"I made her duck."

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made = [AG] cause [TH] [to\_do\_sth]

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I cooked duck for her

made = [AG] cook [TH] for [REC]
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I cooked the duck she owned

made = [AG] cook [TH]
```

```
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| Cooked duck for her | made = [AG] cook [TH] for [REC]
| Cooked the duck she owned | made = [AG] cook [TH]
| Cooked the duck she owned | made = [AG] cook [TH]
| Made the (carved) duck she has | duck = duck-shaped-figurine
```

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                           made = [AG] cook [TH] for [REC]
cooked duck for her
                           made = [AG] cook [TH]
cooked the duck she owned
                           made = [AG] sculpted [TH]
I made the (carved) duck she has
                           duck = duck-shaped-figurine
                           made = [AG] transformed [TH]
I magically turned her into a duck
                           duck = animal
```

Pervasive in language

30

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- Not a bug, a feature! (<u>Piantadosi et al 2012</u>)
- "I believe we should all pay our tax bill with a smile.
   I tried—but they wanted cash."
- What would language be like without ambiguity?

Challenging for computational systems

- Challenging for computational systems
- Issue we will return to again and again in class.

#### Course Information

- Website is main source of information: <a href="https://www.shane.st/teaching/571/aut20/">https://www.shane.st/teaching/571/</a>
  - slides, office hours, resources, etc
- Canvas: lecture recordings, homework submission / grading
  - Communication!!! Please use the discussion board for questions about the course and its content.
  - Other students have same questions, can help each other.
  - May get prompter reply. The teaching staff will not respond outside of normal business hours, and may take up to 24 hours.

#### Syntax Crash Course

LING 571 — Deep Processing Techniques for NLP September 30, 2020 Shane Steinert-Threlkeld

#### Roadmap

- Sentence Structure
  - More than a bag of words
- Representation
  - Context-free Grammars
    - Formal Definition

#### Applications

- Shallow techniques useful, but limited
- Deeper analysis supports:
  - Grammar checking and teaching
  - Question-answering
  - Information extraction
  - Dialogue understanding
  - ...

#### Grammar and NLP

- "Grammar" in linguistics is NOT prescriptive high school grammar
  - Explicit rules
  - "Don't split infinitives!" etc.

#### Grammar and NLP

- "Grammar" in linguistics is NOT prescriptive high school grammar
  - Explicit rules
  - "Don't split infinitives!" etc.
- "Grammar" in linguistics IS:
  - How to capture structural knowledge of language as a native speaker would have
  - Largely implicit
  - Learned early, naturally

#### More than a Bag of Words

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- Choice of structure can impact:
  - Meaning:
    - Dog bites man. vs. Man bites dog.
  - Acceptability:
    - \*Dog man bites

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- Single unit: type determined by "head"
  - e.g. N heads NP

- Basic Units
  - Phrases (NP, VP, etc...)
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    - Components expected by verbs
- Hierarchical

# Representation: Context-free Grammars

- CFGs: 4-tuple
  - A set of terminal symbols: Σ
    - [think: words]
  - A set of nonterminal symbols: N
    - [think: phrase categories]
  - A set of productions P:
    - of the form  $A \rightarrow \alpha$
    - Where A is a non-terminal and  $\alpha \in \{\Sigma \cup N\}^*$
  - A start symbol  $S \in N$

# Representation: Context-free Grammars

- Altogether a grammar defines a language L
  - $L = \{ w \in \Sigma^* \mid S \Rightarrow^* w \}$ 
    - The language *L* is the set of all words in which:
    - $S \Rightarrow^* w$ : w can be derived starting from S by some sequence of productions

#### CFG Components

#### • Terminals:

- Only appear as leaves of parse tree (hence the name)
- Right-hand side of productions (RHS)
- Words of the language
  - cat, dog, is, the, bark, chase...

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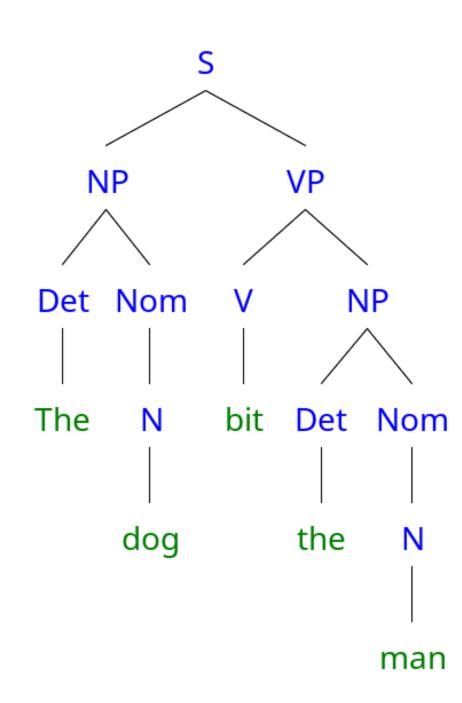
#### Non-terminals

- Do not appear as leaves of parse tree
- Appear on left or right side of productions
- Represent constituent phrases of language
- NP, VP, S[entence], etc...

#### Representation: Context-free Grammars

#### Partial example:

- Σ: the, cat, dog, bit, bites, man
- N: NP, VP, Nom, Det, V, N, Adj
- - S→NP VP;
  - NP→Det Nom;
  - Nom→N Nom I N;
  - VP→V NP;
  - $N \rightarrow cat$ ;  $N \rightarrow dog$ ;  $N \rightarrow man$ ;
  - Det → *the*;
  - V→bit; V→bites
- S: S



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- Acceptance
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    - Formally: rigid
    - Practically: degrees of acceptability

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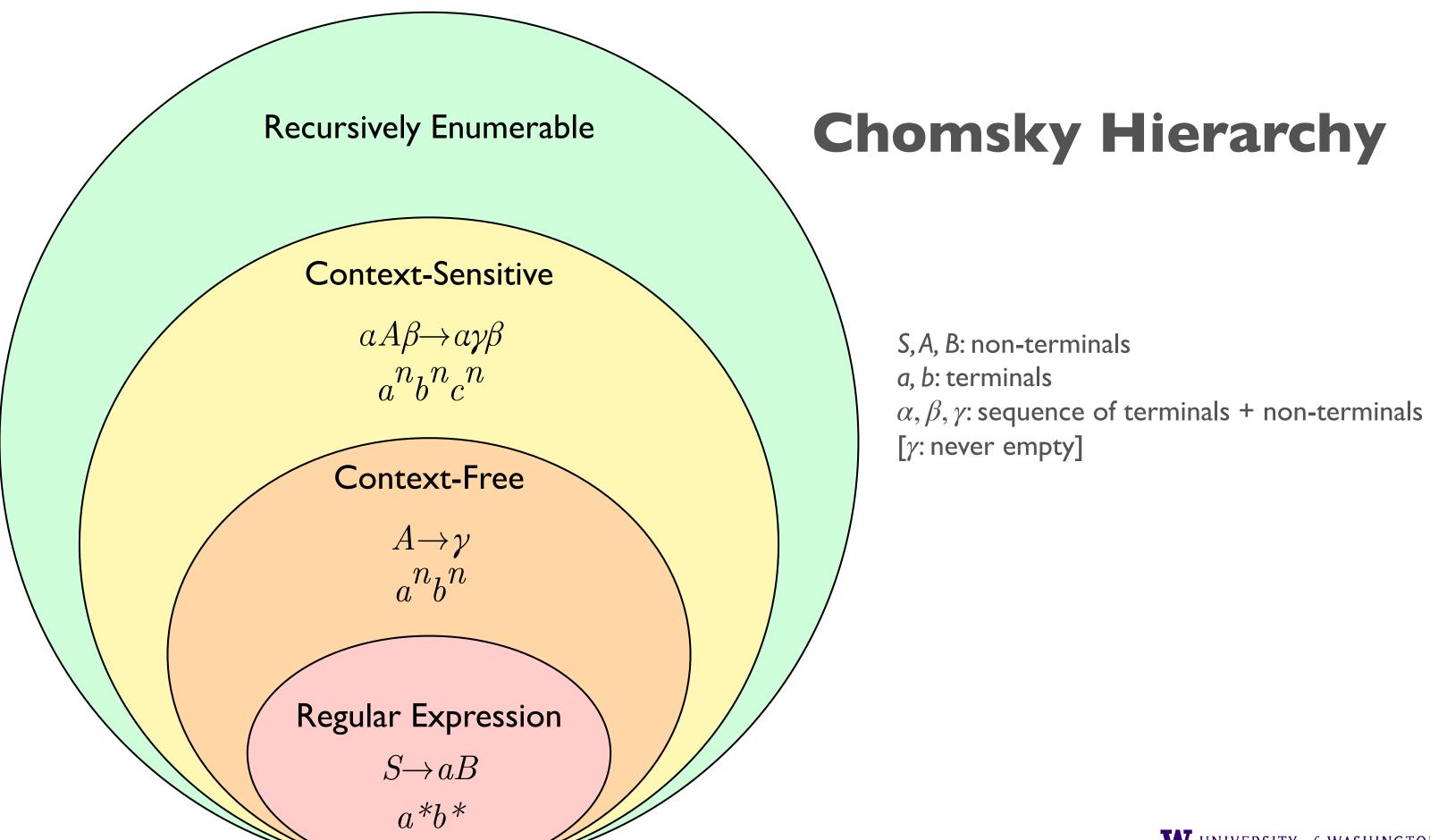
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- Acceptance
  - Legal string in language?
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    - Practically: degrees of acceptability
- Analysis
  - What structure produced the string
    - Produce one (or all) parses for the string
- Will develop techniques to produce analyses of sentences
  - Rigidly accept (with analysis) or reject
  - Produce varying degrees of acceptability

## Sentence-level Knowledge: Syntax

• Different models of language that specify the expressive power of a

formal language



- Why not just Finite State Models (Regular Expressions)?
  - Cannot describe some grammatical phenomena
  - Inadequate expressiveness to capture generalization

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    - The luggage that the passengers whom the storm delayed checked arrived

## Is Context-Free Enough?

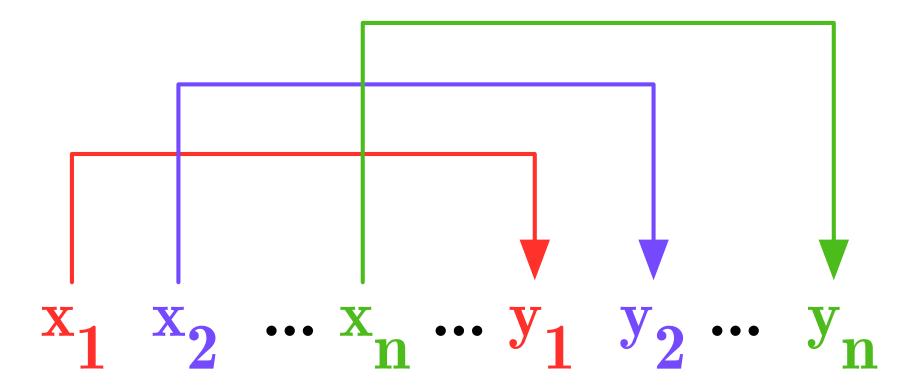
Natural language not finite state

## Is Context-Free Enough?

- Natural language not finite state
- ...but do we need context-sensitivity?
  - Many articles have attempted to demonstrate we do
  - ...many have failed.

# Is Context-Free Enough?

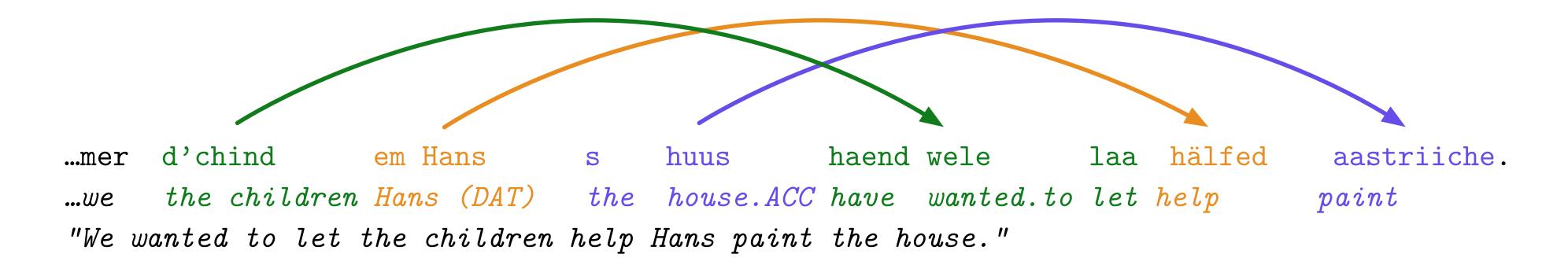
- Natural language not finite state
- ...but do we need context-sensitivity?
  - Many articles have attempted to demonstrate we do
  - ...many have failed.
- Solid proof for Swiss German: Cross-Serial Dependencies (Shieber, 1985)
  - aibicidi



## Context-Sensitive Example

- Verbs and their arguments must be ordered cross-serially
  - Arguments and verbs must match

```
...mer em Hans s huus hälfed aastriiche.
...we Hans (DAT) the house.ACC help paint
"We helped hans paint the house."
```



## Questions so far?

## HW#1 & Getting Started

LING 571 — Deep Processing Techniques for NLP September 30, 2020 Shane Steinert-Threlkeld

## Department Cluster

- Assignments are required to run on department cluster
  - If you don't have a cluster account, request one ASAP!
    - Link to account request form on Canvas or below:
    - vervet.ling.washington.edu/db/accountrequest-form.php
- You are not required to develop on the cluster, but code must run on it

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- Reminder: All but most simple tasks must be run via Condor

### Condor

- Parallel computing management system
- All homework will be run via condor
- See documentation on CLMS wiki for:
  - Construction of condor scripts
  - Link also on course page under "Course Resources"

# Programming

- For most assignments, we will be using NLTK in Python.
- For assignments where NLTK is not required, you *may* choose to use a different programming language.

## NLTK

- Natural Language ToolKit (NLTK)
  - Large, integrated, fairly comprehensive
    - Stemmers
    - Taggers
    - Parsers
    - Semantic analysis
    - Corpus samples
    - ...& More
  - Extensively documented
  - Pedagogically Oriented
    - Implementations Strive for Clarity
    - ...sometimes at the expense of efficiency.

## NLTK

- nltk.org
  - Online book
  - Demos of software
  - How-Tos for specific components
  - API information, etc.

## Python & NLTK

- NLTK is installed on the Cluster
  - Use Python 3.4+ with NLTK
  - N.B.: Python 2.7 is default
    - Use: python3 to run, not python
    - More versions in /opt/python-\*/bin/
    - You can make a personal alias, but your bash scripts will not run in your personal environment, so keep that in mind (e.g. use full path).
- Data is also installed:
  - /corpora/nltk/nltk-data
- Written in Python
  - Some introductions at:
    - python.org, docs.python.org

## Python & NLTK

Interactive mode allows experimentation, introspection:

```
patas$ python3
>>> import nltk
>>> dir(nltk)
['AbstractLazySequence', 'AffixTagger', 'AlignedSent',
'Alignment', 'AnnotationTask', 'ApplicationExpression',
'Assignment', 'BigramAssocMeasures', 'BigramCollocationFinder',
'BigramTagger', 'BinaryMaxentFeatureEncoding',...
>>> help(nltk.AffixTagger)
```

- Will be using Canvas' file submission mechanism
  - Quick how to at: <a href="https://community.canvaslms.com/docs/DOC-10663-421254353">https://community.canvaslms.com/docs/DOC-10663-421254353</a>

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- Generally, each assignment will include:
  - readme.{txt | pdf}
  - hwx.tar.gz
    - Where "X" is the assignment number
    - tar -cvzf hwX.tar.gz <hw\_path>

### HW #1

- Read in sentences and corresponding grammar
- Use NLTK to parse those sentences
- Goals:
  - Set up software environment for rest of course
  - Get familiar with NLTK
  - Work with parsers and CFGs

### HW #1: Useful Tools

- Loading data:
  - nltk.data.load(resource\_url)
    - Reads in and processes formatted CFG/FCFG/treebank/etc
    - Returns a grammar from CFG
    - examples:

```
nltk.data.load('grammars/sample_grammars/toy.cfg')
nltk.data.load('file://' + my_grammar_path)
```

(NB: absolute path!)

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```

- (NB: absolute path!)
- Tokenization:
  - nltk.word\_tokenize(mystring)
    - Returns array of tokens in string

### HW #1: Useful Tools

- Parsing:
  - parser = nltk.parse.EarleyChartParser(grammar)
    - Returns parser based on the grammar
  - parser.parse(token\_list)
    - Returns iterator of parses:

```
>>> for item in parser.parse(tokens):
>>> print(item)

(S (NP (Det the) (N dog)) (VP (V chased) (NP (Det the) (N cat))))
```