```
Evaluating NLI Models
 Using Formal Logic
 Sicong Huang, Shunjie Wang, Yuanbo Xu, Jize Cao
```

#### NLI

- Natural language Inference
- Textual entailment

Machines' capability of deep understanding of language that goes beyond what is explicitly expressed, rather relying on new conclusions inferred from knowledge about how the world works. (Bowman, Angeli, Potts, and Manning 2015)

#### Ex.

"Jack needed some money, so he went and shook his piggy bank. He was disappointed when it made no sound." (Minsky 2000)

#### Ex.

"Jack needed some money, so he went and shook his piggy bank. He was disappointed when it made no sound." (Minsky 2000)

Jack didn't find any money

#### Ex.

"Jack needed some money, so he went and shook his piggy bank. He was disappointed when it made no sound." (Minsky 2000)

He didn't find any money

- Linguistic knowledge.
- Commonsense knowledge

## Why

Besides "deep understanding of language"

Also helpful for

- Question answering
- Information extraction
- Summarization
- Machine translation evaluation
- ...

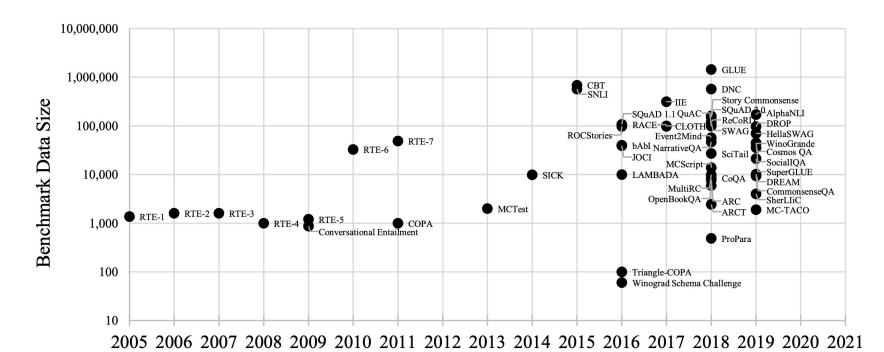
## Recognizing Textual Entailment (Dagan et al. 2005)

- Given two text fragments
- T (the entailing text)
- H (the entailed hypothesis)
- T entails H, if a human reading T would infer that H is most likely true

# RTE Examples

Text	Hypothesis	Label
Norway's most famous painting, "The Scream" by Edvard Munch, was recovered Saturday, almost three months after it was stolen from an Oslo museum.	Edvard Munch painted "The Scream".	True
Bush returned to the White House late Saturday while his running mate was off campaigning in the West.	Bush left the White House	False

## **Growing Number of Datasets**



Shorks, Gao, and Chai (2019)

## Motivation

#	Attacked Sentence
1	Mr. Tsai is a very <b>original</b> artist in his medium, and what time is it there?
2	Old-form moviemaking at its be st.
3	My reaction in a word: disapponitment.
4	a painfulily funtny ode to gbad behavior.

Learning to Discriminate Perturbations for Blocking Adversarial Attacks in Text Classification (Zhou et. al 2019)

#### **Motivation**

Original Text Prediction: **Entailment** (Confidence = 86%)

**Premise:** A runner wearing purple strives for the finish line.

**Hypothesis:** A runner wants to head for the finish line.

Adversarial Text Prediction: Contradiction (Confidence = 43%)

**Premise:** A runner wearing purple strives for the finish line.

**Hypothesis:** A racer wants to head for the finish line.

Generating Natural Language Adversarial Examples (Alzantot et. al 2018)

P: The dog did not eat all of the chickens.

H: The dog ate all of the chickens.

S: entails (score 56.5%)

P: The red box is in the blue box.

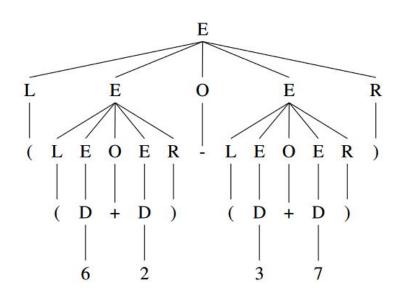
**H**: The blue box is in the red box.

S: entails (score 92.1%)

AdvEntuRe: Adversarial Training for Textual Entailment with Knowledge-Guided Examples (Kang et. al 2018)

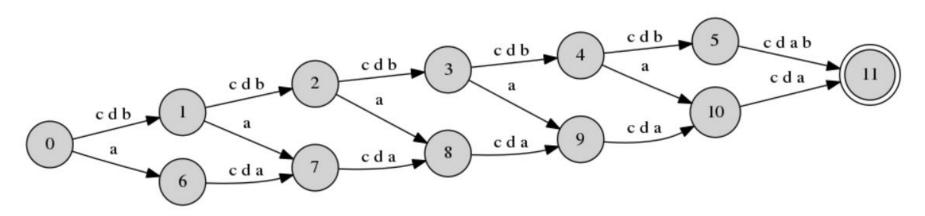
## Formal Languages in NN Evaluation

Syntax	Meaning
$E \to L E_1 O E_2 R$	$[E] = [O]([E_1], [E_2])$
$E \to D$	[E] = [D]
$O \rightarrow +$	$[O] = \lambda x, y.x + y \bmod 10$
$O \rightarrow -$	$[O] = \lambda x, y.x - y \mod 10$
$L \rightarrow ($	
$R \rightarrow )$	
D  o 0	[D] = 0
:	:
, D 0	
$D \rightarrow 9$	[D] = 9



Correlating Neural and Symbolic Representations of Language (Chrupała, Alishahi 2019)

## Formal Languages in NN Evaluation



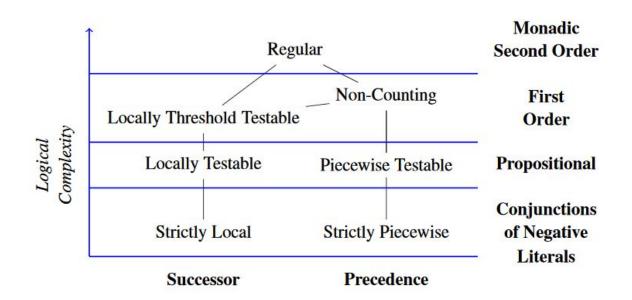
$$\Sigma = \{a, b, c, d\}$$

 $G_{SP2} = \{aa, ac, ad, ba, bb, bc, bd, ca, cb, cc, cd, da, db, dc, dd\}$ 

Using Regular Languages to Explore the Representational Capacity of Recurrent Neural

Architectures (Mahalunkar & Kelleher 2018)

## Formal Languages in NN Evaluation



**Subregular Complexity and Deep Learning (Avcu et al. 2017)** 

## **Propositional Logic**

```
If S is a sentence, \neg S is a sentence (negation)

If S_1 and S_2 are sentences, S_1 \wedge S_2 is a sentence (conjunction)

If S_1 and S_2 are sentences, S_1 \vee S_2 is a sentence (disjunction)

If S_1 and S_2 are sentences, S_1 \Rightarrow S_2 is a sentence (implication)

If S_1 and S_2 are sentences, S_1 \Leftrightarrow S_2 is a sentence (biconditional)
```

## **Propositional Logic**

```
\neg S
 is true iff S is false S_1 \wedge S_2 is true iff S_1 is true S_1 \vee S_2 is true iff S_1 is true S_1 \vee S_2 is true iff S_1 is false S_2 is true S_1 \Rightarrow S_2 is true iff S_1 is false S_2 is true iff S_1 is false S_2 is false S_1 \Leftrightarrow S_2 is true iff S_1 \Rightarrow S_2 is true S_2 \Rightarrow S_1 is true S_1 \Leftrightarrow S_2 \Rightarrow S_2 is true iff S_1 \Rightarrow S_2 \Rightarrow S_1 is true
```

Courtesy: AIMA Slides, Russell and Norvig

## **Propositional Logic**

P	Q	$\neg P$	$P \wedge Q$	$P \lor Q$	$P \Rightarrow Q$	$P \Leftrightarrow Q$
false	false	true	false	false	true	true
false	true	true	false	true	true	false
true	false	false	false	true	false	false
true	true	false	true	true	true	true

## First-Order Logic

```
\begin{array}{lll} \text{Constants} & KingJohn, \ 2, \ UCB, \dots \\ \text{Predicates} & Brother, \ >, \dots \\ \text{Functions} & Sqrt, \ LeftLegOf, \dots \\ \text{Variables} & x, \ y, \ a, \ b, \dots \\ \text{Connectives} & \land \ \lor \ \lnot \ \Rightarrow \ \Leftrightarrow \\ \text{Equality} & = \\ \text{Quantifiers} & \forall \ \exists \end{array}
```

## First-Order Logic: Atomic Sentences

```
Brother(KingJohn, RichardTheLionheart) \\ > (Length(LeftLegOf(Richard)), Length(LeftLegOf(KingJohn)))
```

## First-Order Logic: Complex Sentences

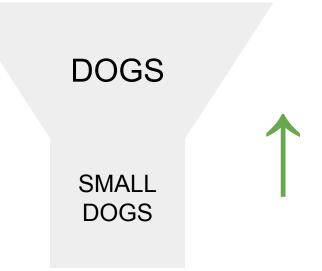
```
Sibling(KingJohn, Richard) \Rightarrow Sibling(Richard, KingJohn)
>(1,2) \lor \le (1,2)
>(1,2) \land \neg > (1,2)
```

# First-Order Logic: Universal and Existential Quantifiers

$$\forall x \ At(x, Berkeley) \Rightarrow Smart(x)$$

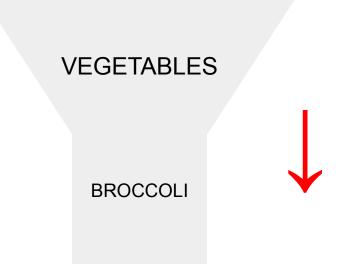
$$\exists x \ At(x, Stanford) \land Smart(x)$$

## Monotonicity: Upward Entailing



The cat chased a dog = The cat chased a small dog

## Monotonicity: Downward Entailing



I don't like vegetables ⇒ I don't like broccoli

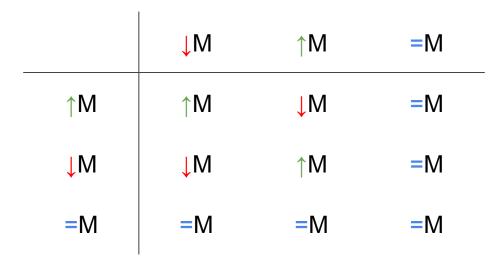
## Natural Logic Quantifiers

```
some \uparrow\uparrowall \downarrow\uparrowSome mammals flyAll ducks fly\Rightarrow Some animals fly\Rightarrow All mallards fly\Rightarrow Some mammals move\Rightarrow All ducks move
```

```
nonot everyNo dogs flyNot every bird flies\Rightarrow No poodles fly\Rightarrow Not every animal flies\Rightarrow No dogs hover\Rightarrow Not every bird hovers
```

Courtesy: Natural Logic for Textual Inference (MacCartney and Manning 2007)

## Compositionality



Courtesy: Natural Logic for Textual Inference (MacCartney and Manning 2007)

## A logical-based corpus for cross-lingual evaluation\*

Felipe Salvatore<sup>1</sup>, Marcelo Finger<sup>1†</sup> and R. Hirata Jr<sup>1‡</sup>
<sup>1</sup>Department of Computer Science, Instituto de Matemática e Estatística,

University of São Paulo, Brazil

{felsal, mfinger, hirata}@ime.usp.br

#### Lexical inference vs Structural inference

"A woman plays with my dog"

"A person plays with my dog"

2. "Jenny and Sally play with my dog"

"Jenny plays with my dog"

## Template Language

- A formal Language to generate instances
- Two basic entities:
  - o People (Pe)
  - o Place(*Pl*)
- Three basic relation:
  - V(x,y): x has visited y
  - x>y: x is taller than y
  - o x=y: x is as tall as y
- Realisation
  - o r(Pe) ∩ r(Pl) = ∅
  - r\_train(Pe) ∩ r\_test(Pe) = ∅
  - o r\_train(PI) ∩ r\_test(PI) =  $\emptyset$

#### Data Generation in 7 tasks

#### Simple Negation

- P: {V (x1, p1), V (x2, p2)} "Charles has visited Chile, Jos has visited Japan"
   H1: ¬V (x2, p2) "Charles didn't visit Japan"
   H2: ¬V (x, p) "Lana didn't visit France"
- Boolean Coordination
  - $\circ$  P: {V (x1, p)  $\wedge$  V (x2, p)  $\wedge$  V (x3, p)} "Felix, Ronnie, and Tyler have visited Bolivia"
  - H1: ¬V (x3, p) "Tyler didn't visit Bolivia"
  - H2: ¬V (x, p) "Bruce didn't visit Bolivia"
- Qualification
  - $\circ$  P:  $\{\forall x \forall p V (x, p)\}$  "Everyone has visited everyplace"
  - H1: ¬V (x, p) "Timothy didn't visit El Salvador"
  - ⇒ H2: ¬V (x, x1) "Timothy didn't visit Anthony"

#### Data Generation in 7 tasks

#### Definite Description

 $\circ$  P:  $\{x1 = y \forall p \lor (y, p), \lor (x1, x2)\}$ Carlos has visited John"

"Carlos is the person that has visited every place,

H1:  $\neg V (x1, p)$ 

"Carlos did not visit Germany"

○ H2: ¬V (x2, p)

"John did not visit Germany"

#### Comparatives

 $\circ$  P:  $\{x1 > x2, x2 > x3\}$ 

"Francis is taller than Joe, Joe is taller than Ryan"

 $\circ$  H1: x1 > x3

"Francis is taller than Ryan"

○ H2: x3 > x1

"Ryan is taller than Francis"

#### Counting

P:  $\{\exists = 3pV(x_1, p) \land \exists = 2xV(x_1, x)\}$  "Philip has visited only three places and only two people"

H1: V(x1, x2)

"Philip has visited John"

H2:  $V(x1, x2) \wedge V(x1, x3) \wedge V(x1, x4)$  "Philip has visited John, Carla, and Bruce"

Mixed: Combination of all 6 tasks above

## **Dataset statistics**

	Vocab	Vocab	Mean	Max
Task	0.0000000000000000000000000000000000000	inter-	input	input
	size	section	length	length
1 (Eng)	3561	77	230.6	459
2 (Eng)	4117	128	151.4	343
3 (Eng)	3117	70	101.5	329
4 (Eng)	1878	62	100.81	134
5 (Eng)	1311	25	208.8	377
6 (Eng)	3900	150	168.4	468
7 (Eng)	3775	162	160.6	466
1 (Pt)	7762	254	209.4	445
2 (Pt)	9990	393	148.5	388
3 (Pt)	5930	212	102.7	395
4 (Pt)	5540	135	91.8	140
5 (Pt)	5970	114	235.2	462
6 (Pt)	9535	386	87.8	531
7 (Pt)	8880	391	159.9	487

### Model

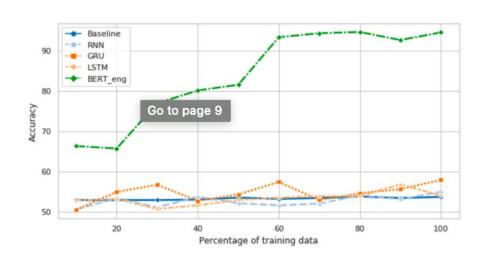
- Baseline: Random Forest with BOW input
- RNN
- GRU
- LSTM
- Bert\_eng, Bert\_mult, Bert\_chi

# **Experimental Setting**

Questions	Experimental setting
How the different models perform on the proposed tasks?	r_train(Pe)∩ r_test(Pe) = ∅ r_train(Pl) ∩ r_test(Pl) = ∅
How much each model rely on the occurrence of non-logical words?	r_train(Pe) = r_test(Pe) r_train(Pl) = r_test(Pl)
Can cross-lingual transfer learning be successfully used for the Portuguese realization of those tasks?	BERT_eng, BERT_mult, BERT_chi.
Is the dataset biased? Are the models learning some unexpected text pattern?	Noise label Premise only Hypothesis only

How the different models perform on the proposed tasks?

Task	Base	RNN	GRU	LSTM	BERT
1 (Eng)	52.1	50.1	50.6	50.4	99.8
2 (Eng)	50.7	50.2	50.2	50.8	100
3 (Eng)	63.5	50.3	66.1	63.5	90.5
4 (Eng)	51.0	51.7	52.7	51.6	100
5 (Eng)	50.6	50.1	50.2	50.2	100
6 (Eng)	55.5	84.4	82.7	75.1	87.5
7 (Eng)	54.1	50.9	53.7	50.0	94.6
Avg.	53.9	55.4	58.0	56.2	96.1
1 (Pt)	53.9	50.1	50.2	50.0	99.9
2 (Pt)	49.8	50.0	50.0	50.0	99.9
3 (Pt)	61.7	50.0	70.6	50.1	78.7
4 (Pt)	50.9	50.0	50.4	50.0	100
5 (Pt)	49.9	50.1	50.8	50.0	99.8
6 (Pt)	58.9	66.4	79.7	67.2	79.1
7 (Pt)	55.4	51.1	51.6	51.1	82.7
Avg.	54.4	52.6	57.6	52.6	91.4

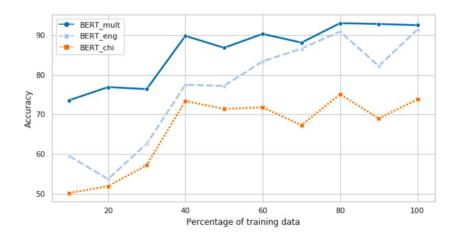


How much each model rely on the occurrence of non-logical words?

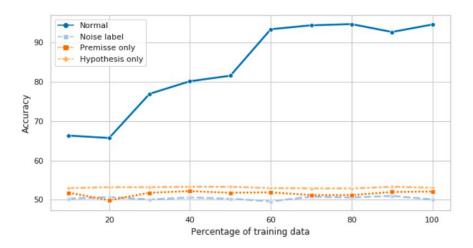
Model	Avg. improvement using (ii)
Baseline	17.6%
GRU	9.6%
BERT_eng	5.3%
LSTM	4.25%
RNN	1.3%

Recurrent models are relying more on noun phrases than Bert\*

 Can cross-lingual transfer learning be successfully used for the Portuguese realization of those tasks?



 Is the dataset biased? Are the models learning some unexpected text pattern?



#### **Probing Natural Language Inference Models through Semantic Fragments**

Kyle Richardson<sup>†</sup> and Hai Hu<sup>‡</sup> and Lawrence S. Moss<sup>‡</sup> and Ashish Sabharwal<sup>†</sup>

†Allen Institute for AI, Seattle, WA, USA
<sup>‡</sup>Indiana University, Bloomington, IN, USA
†{kyler,ashishs}@allenai.org, <sup>‡</sup>{huhai, lmoss}@indiana.edu

- Follow-up work on previous paper (December 2019)
- 2-value-logic -> 3-value-logic
- Monotonicity fragment

## 2-value-logic -> 3-value-logic

- ENTAILMENT: A -> B
- CONTRADICTION: A -> ¬B
- NEUTRAL: Neither entailment of contradiction

## Template generation

Logic Fragment	<b>Rule Template:</b> [ premise ], { hypothesis <sub>1</sub> , } $\Rightarrow$ label; Labeled Examples (simplified)
	[only-did-p(x)], $\neg p(x)$ $\Rightarrow$ CONTRADICTION Dave <sub>x</sub> has only visited Israel <sub>p</sub> , Dave <sub>x</sub> $\underline{\text{didn't}} \neg$ visit Israel <sub>p</sub>
Negation	[only-did-p(x)], $\neg p'(x)$ $\Rightarrow$ ENTAILMENT Dave <sub>x</sub> has only visited Israel <sub>p</sub> , Dave <sub>x</sub> $\underline{\text{didn't}} \neg \text{ visit Russia}_{p'}$
	[only-did-p(x)], $\neg p(x')$ $\Rightarrow$ NEUTRAL Dave <sub>x</sub> has only visited Israel <sub>p</sub> , Bill <sub>x</sub> $\underline{\text{didn't}}_{\neg}$ visit Israel <sub>p</sub>
	$ [p(x_1) \land \land p(x_n)] \text{, } \neg p(x_j) \qquad \Rightarrow \texttt{CONTRADICTION} \qquad \textbf{Dustin}_{x_1} \text{ , } \textbf{Milton}_{x_2} \text{ , have } \underline{\textbf{only}}  \textbf{visited Equador}_p \text{ ; } \textbf{Dustin}_{x_1}  \textbf{didn't} \neg  \textbf{visit Equador}_p $
Boolean	$[p_1(x_1) \land \land p_n(x_n)]$ , $\neg p_j(x') \Rightarrow \text{NEUTRAL}$ Dustin <sub>x</sub> only <u>visited</u> <sub>p</sub> Portugal <sub>1</sub> and Spain <sub>2</sub> ; James <sub>x'</sub> didn't¬ visit <sub>p</sub> Spain <sub>t</sub>
	$[p_1(x) \land \land p_n(x)]$ , $\neg p'(x)$ $\Rightarrow \text{ENTAILMENT}$ $\text{Dustin}_x$ $\text{only } \underline{\text{visited}_p}$ $\text{Portugal}_1$ and $\text{Spain}_2$ ; $\text{Dustin}_x$ $\text{didn't}_\neg$ $\text{visit}_p$ $\text{Germany}_r$
Conditional	
	$[\forall x. \forall y. \ p(x,y)], \ \exists x. \iota y. \ \neg p(x,y) \\ \Rightarrow \texttt{CONTRADICTION}  \texttt{Everyone}_{\forall x} \ \textit{visited}_p \ \textit{every}_{\forall} \ \textit{country}_y \ ; \\ \texttt{Someone}_{\exists x} \ \underline{\textit{didn't}}_{\neg} \ \textit{visit}_p \\ \end{bmatrix} \ \textit{Jordan}_{\iota y}$
Quantifier	$[\exists x. \forall y. \ \ p(x,y)\ ] \text{, } \iota x. \exists y. \ \{\neg p(x,y) \text{,} \ p(x,y)\} \qquad \Rightarrow NEUTRAL \qquad Someone_{\exists x} \ visited_p \ every_\forall \ person_y \ ; Tim_{\iota x} \ \underline{didn't}_\neg \ visit_p \ someone_{\exists y}$
	$[\exists x. \forall y. \ \mathtt{p}(x,y)\ \mathtt{]}, \ \exists x. \iota y. \ \mathtt{p}(x,y) \qquad \Rightarrow \mathtt{ENTAILMENT} \qquad Someone_{\exists x} \ visited_p \ every_\forall \ person_y \ ; Aperson_{\exists x} \ visited_p \ Mark_{\iota y}$

## Monotonicity Fragments

Upward entailing/monotone tokens: Entail somethings "greater or equal to them"

Downward entailing/monotone tokens: Entail somethings "less than them"

Premise: All<sub>↑</sub> dogs<sub>↓</sub> chased<sub>↑</sub> some<sub>↑</sub> cat<sub>↑</sub>

Hypothesis 1: All small dogs chased a cat. (ENTAILMENT)

Hypothesis 2: All mammals chased a cat. (NEUTRAL)

Hypothesis 3: ALL dogs don't chased some cat (CONTRADICTION)

(Generate fragment through substitution)

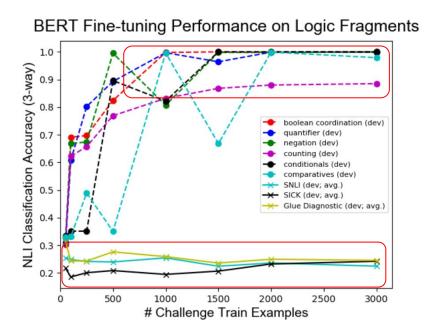
## Monotonicity Fragments

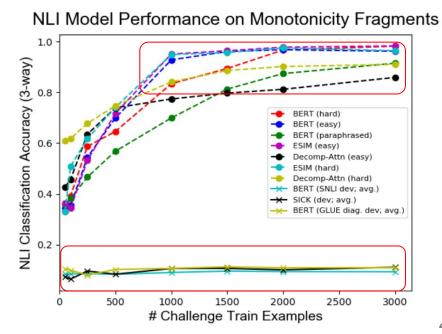
```
premise: All<sup>↑</sup> black mammals 
                    saw<sup>↑</sup> exactly<sup>=</sup> 5<sup>=</sup> stallions<sup>=</sup> who<sup>=</sup> danced<sup>=</sup>
   Some<sup>↑</sup> black<sup>↑</sup> mammal<sup>↑</sup>
                                                                                All<sup>↑</sup> black dogs
                                                                      saw<sup>↑</sup> exactly<sup>=</sup> 5<sup>=</sup> stallions<sup>=</sup>
saw<sup>↑</sup> exactly<sup>=</sup> 5<sup>=</sup> stallions<sup>=</sup>
            who danced
                                                                                   who = danced =
          Some<sup>↑</sup> mammal<sup>↑</sup>
                                                                             All<sup>↑</sup> black doodles
saw<sup>↑</sup> exactly<sup>=</sup> 5<sup>=</sup> stallions<sup>=</sup>
                                                                      saw<sup>↑</sup> exactly<sup>=</sup> 5<sup>=</sup> stallions<sup>=</sup>
                                                                                   who = danced =
            who = danced =
```

# **Experimental Setting**

Questions	Experimental setting
Is this particular fragment learnable from scratch using existing NLI architectures?	BERT  NLI models
How well do large state-of-the-art pre-trained NLI models?	BERT_SNLI BERT_SNLI+MNLI NLI models
Can existing models be quickly re-trained or re-purposed to be robust on these fragments?	Re-finetune models on both the original dataset and the challenge datasets

 Is this particular fragment learnable from scratch using existing NLI architectures (not pretrain on any NLI datasets)?





How well do large state-of-the-art pre-trained NLI models?

Model <sub>train_data</sub>	SNLI Test	Logic Fragments (Avg. of 6)	Mono. Fragments (Avg. over 2)	Breaking NLI		
Random/Trained Baselines						
Majority Baseline	34.2	34.6	34.0			
Hypothesis-Only biLSTM	69.0	49.3	56.7	-		
Premise-Only biLSTM	_	44.3	57.4	-		
Premise+Hyp. biLSTM	-	52.0	59.1	-		
Pre-Trained NLI Models						
BERT	91.0	-7 $-7$ $-7$ $-7$ $-7$ $-7$ $-7$ $-7$	62.8	95.8		
$\mathbf{BERT}_{\mathtt{SNLI}}$	90.7	46.1	56.8	94.3		
Decomp-Attn <sub>SNLI</sub>	86.4	42.1	48.4	49.9		
ESIM <sub>SNLI</sub>	88.5	44.3	62.8	68.7		
MNLI Dev (Avg.) Re-Trained Models with Fragments (frag)						
BERT <sub>SNLI+MNLI+frag</sub>	$ 8\overline{3}.7 (\downarrow \overline{1}.3)$	- <del></del> 9 <del>8</del> . <del>0</del>	<u> </u>			
ESIM <sub>MNLI+frag</sub>	$72.0 \qquad (\downarrow 5.9)$	86.4	96.5	-		
Decomp-Attn <sub>MNLI+frag</sub>	$66.1 \qquad (\downarrow 6.7)$	71.7	93.5	-		

Can existing models be quickly re-trained or re-purposed to be robust on these fragments?

