

# Introduction

LING 571 — Deep Processing Techniques for NLP

September 29, 2021

Shane Steinert-Threlkeld

# Roadmap

- **Motivation**
- Language and Intelligence
- Knowledge of Language
- Course Overview
- Intro to Syntax and Parsing

# Motivation: Applications

- Applications of Speech and Language Processing
  - Call Routing
  - Information Retrieval
  - Question Answering
  - Machine Translation
  - Dialog Systems
  - Spell– and Grammar– Checking
  - Sentiment Analysis
  - Information Extraction
  - ...

# Building on Many Fields

- **Linguistics:** *Morphology, phonology, syntax, semantics...*
- **Psychology:** *Reasoning, mental representations*
- **Formal Logic**
- **Philosophy (of Language)**
- **Theory of Computation:** *Automata theory*
- **Artificial Intelligence:** *Search, Reasoning, Knowledge Representation, Machine Learning, Pattern Matching*
- **Probability**

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# Operationalizing Intelligence: The Turing Test (1950)

- Two contestants: Human vs. Computer
  - Judge: human
  - Test: interact via text questions
  - Question: Can judge tell which contestant is human?

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  - **Judge**: human
  - **Test**: interact via text questions
  - **Question**: Can judge tell which contestant is human?
- *Crucially*:
  - Posits that passing requires language use and understanding

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- Simple pattern matching technique

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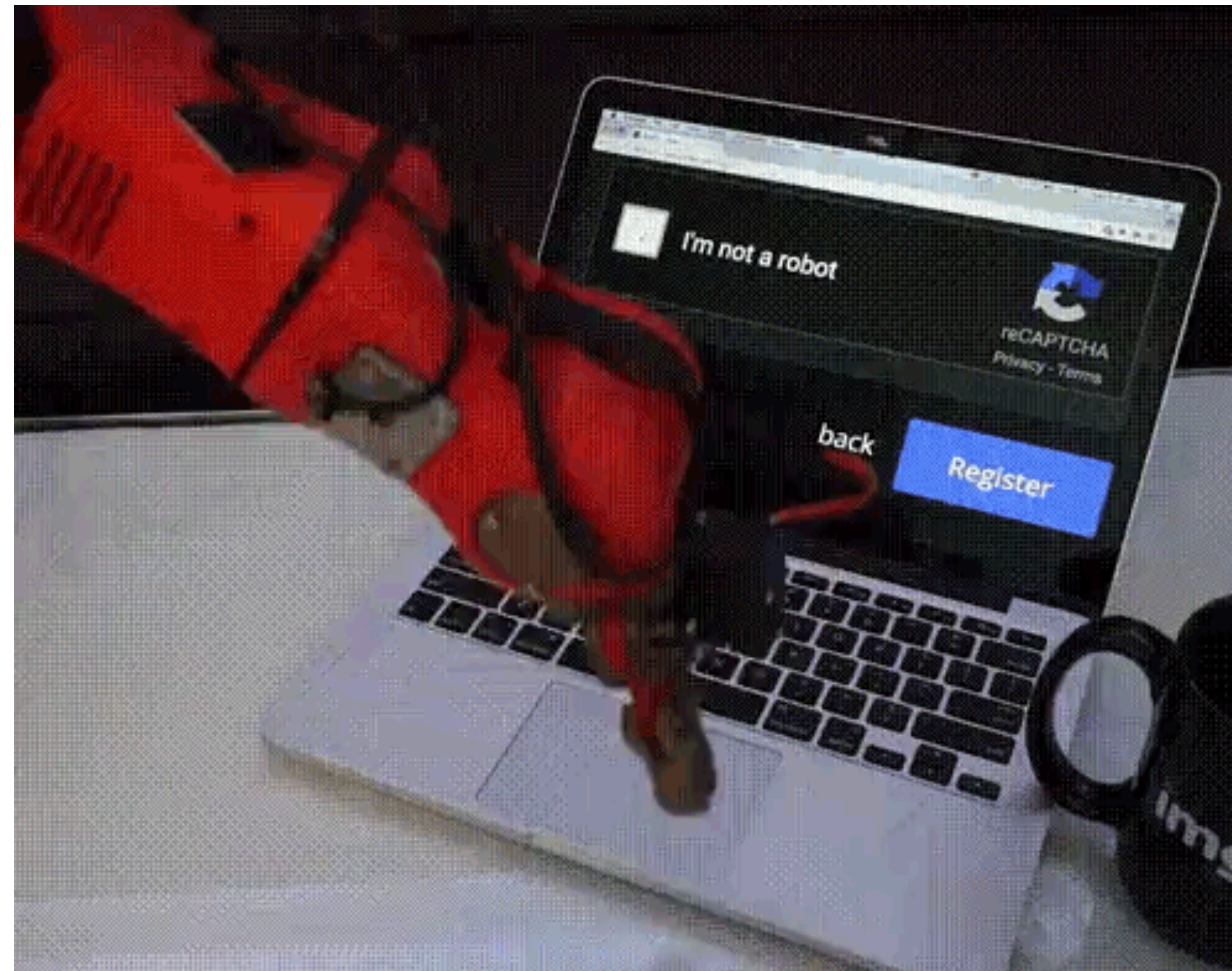
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  - Long-term: Inspires “arms race”

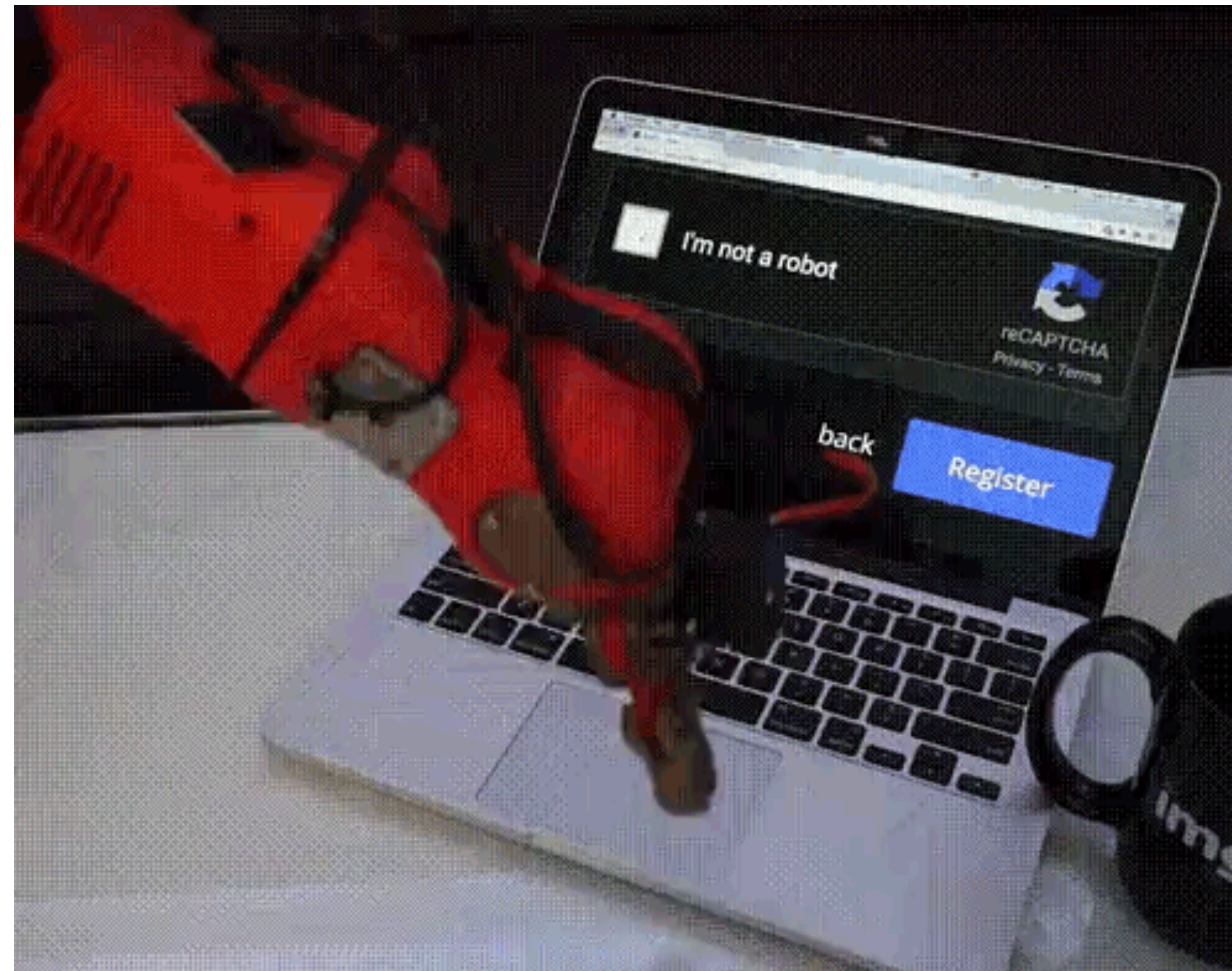


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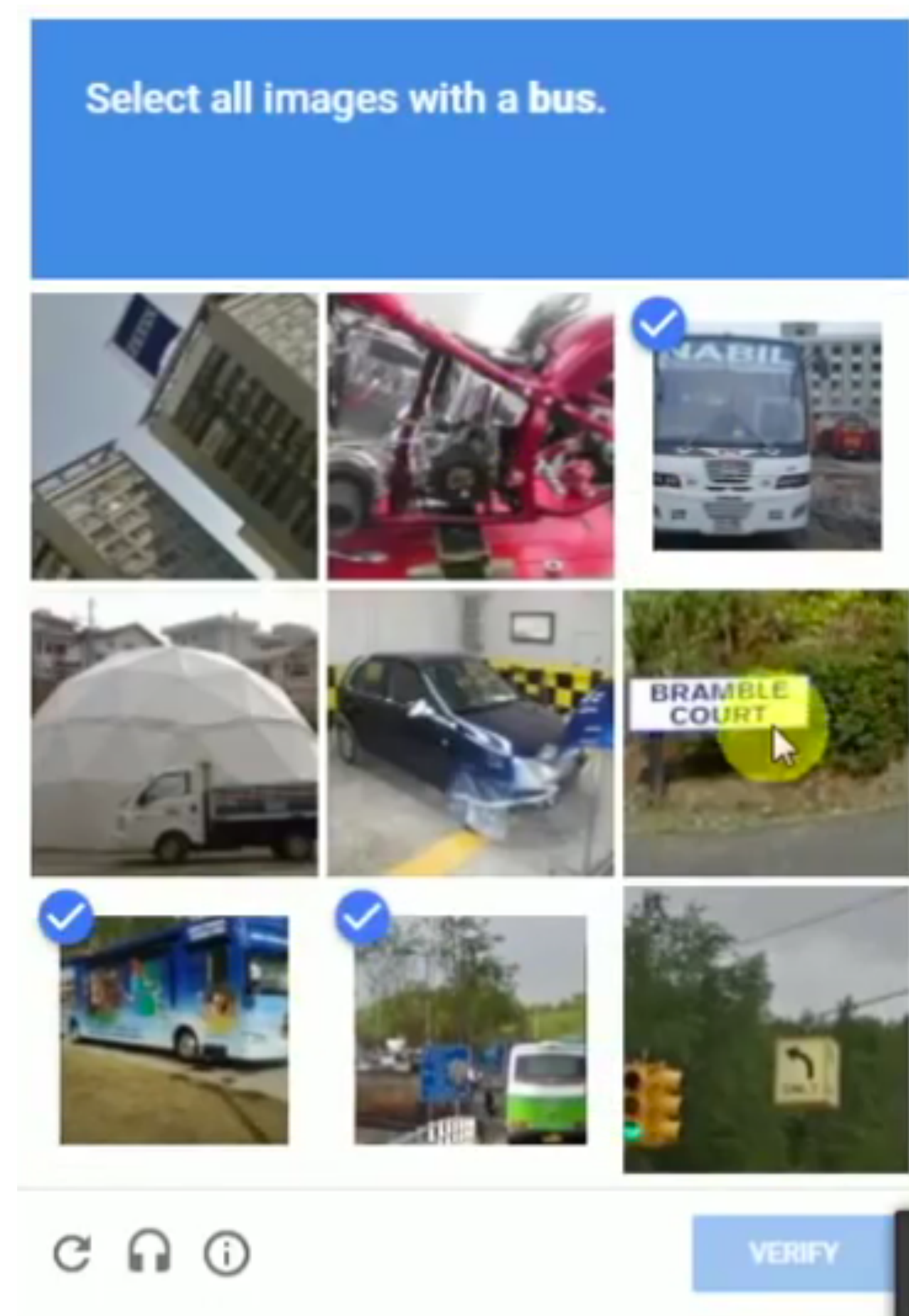
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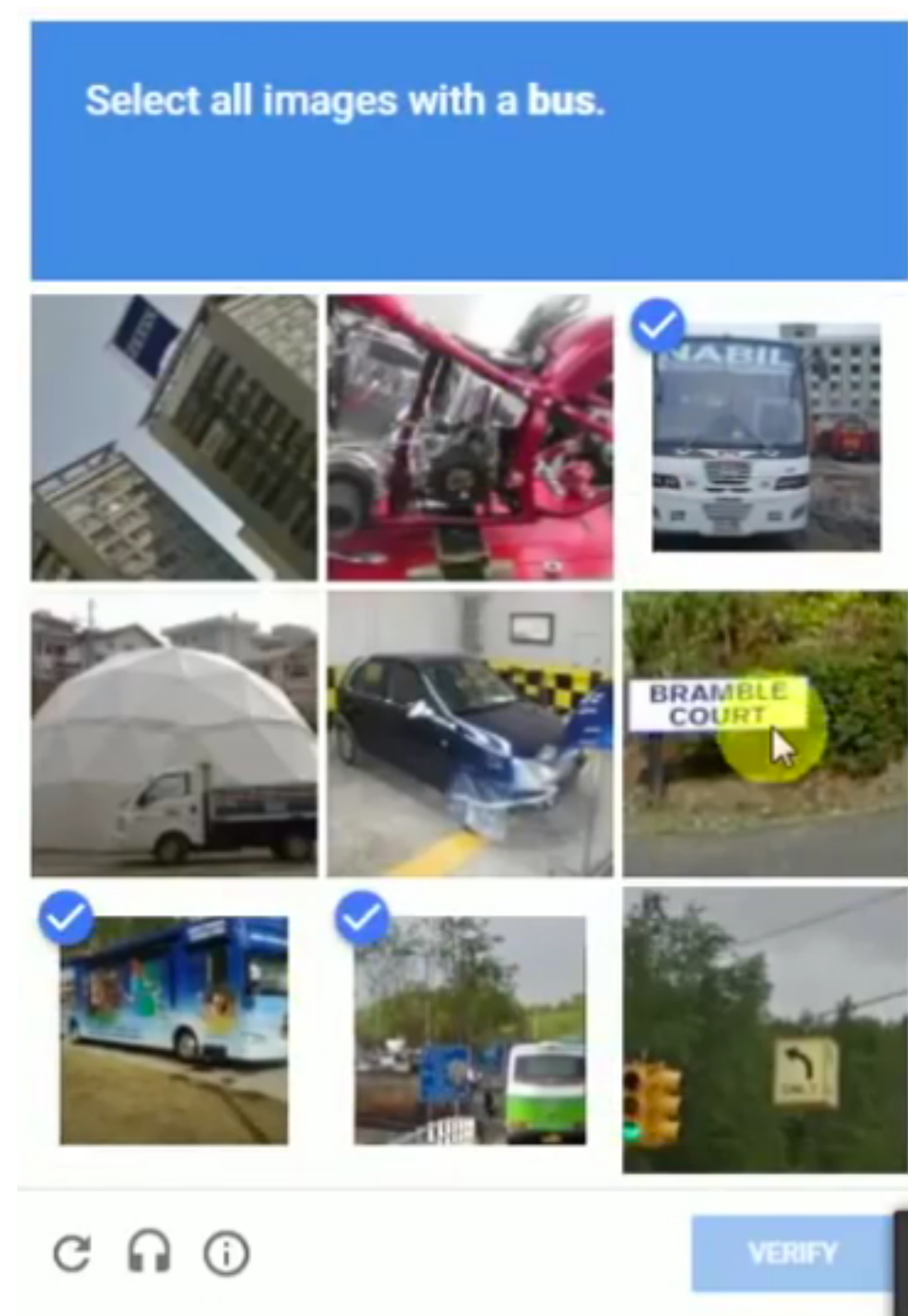
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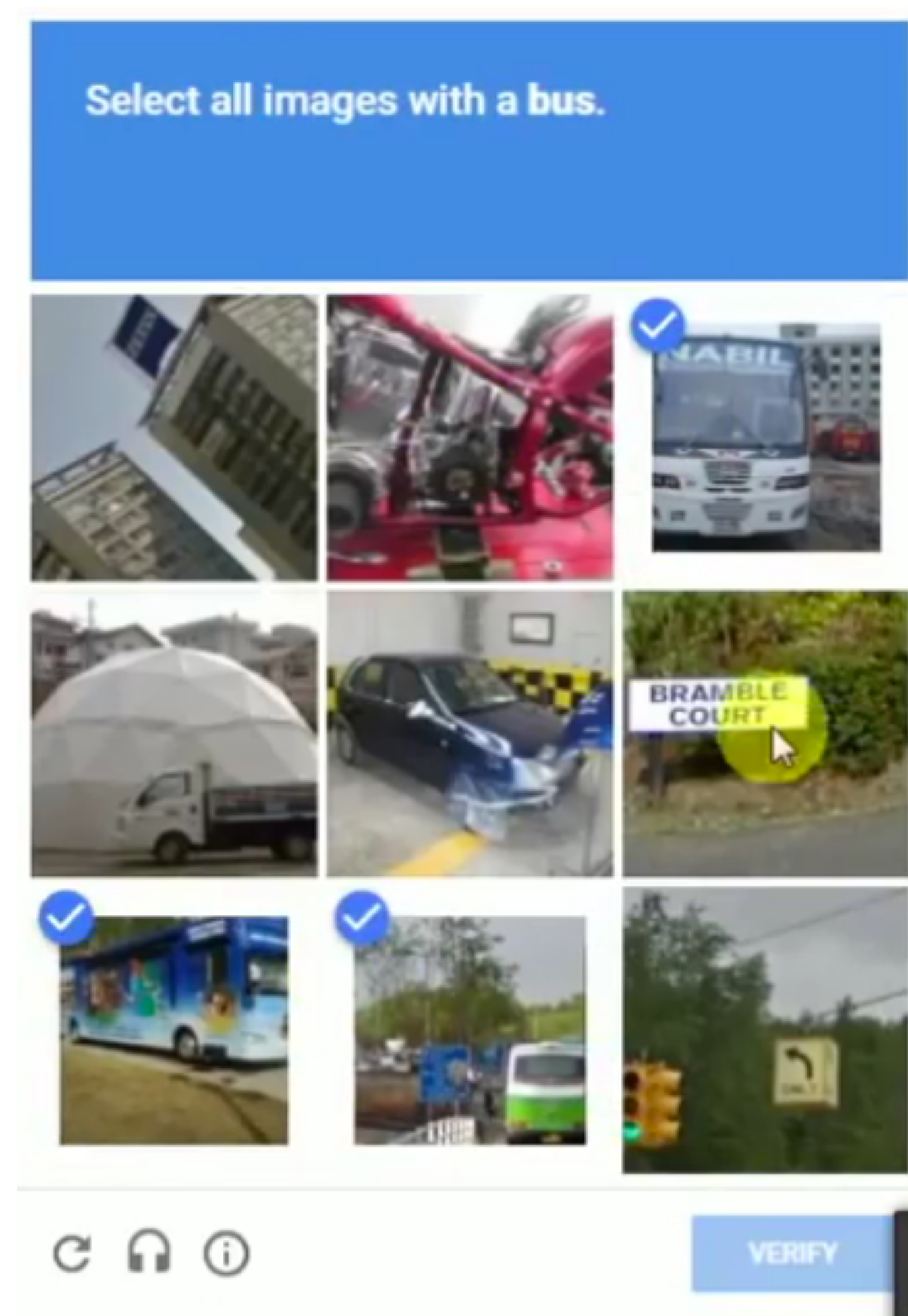




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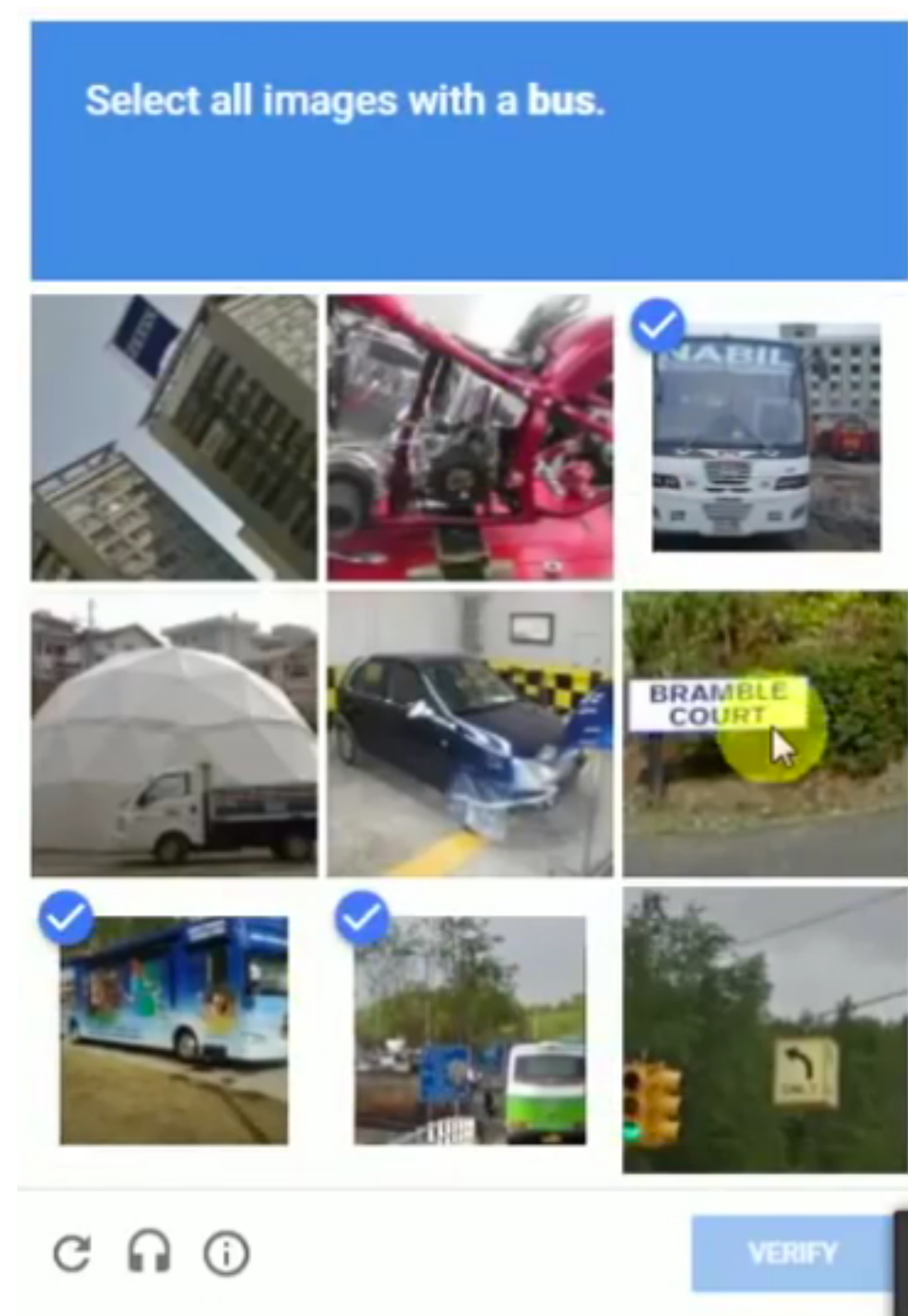
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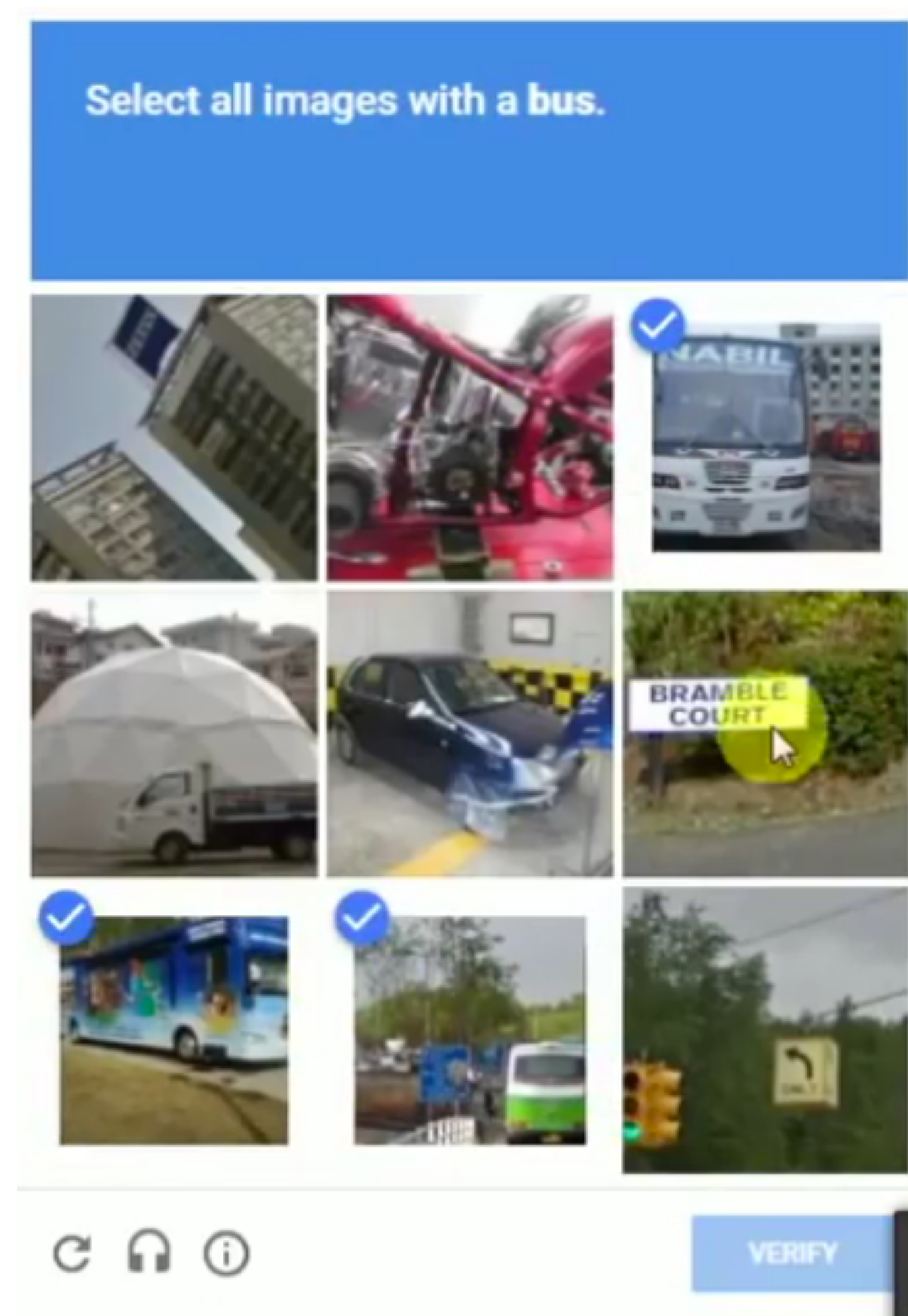




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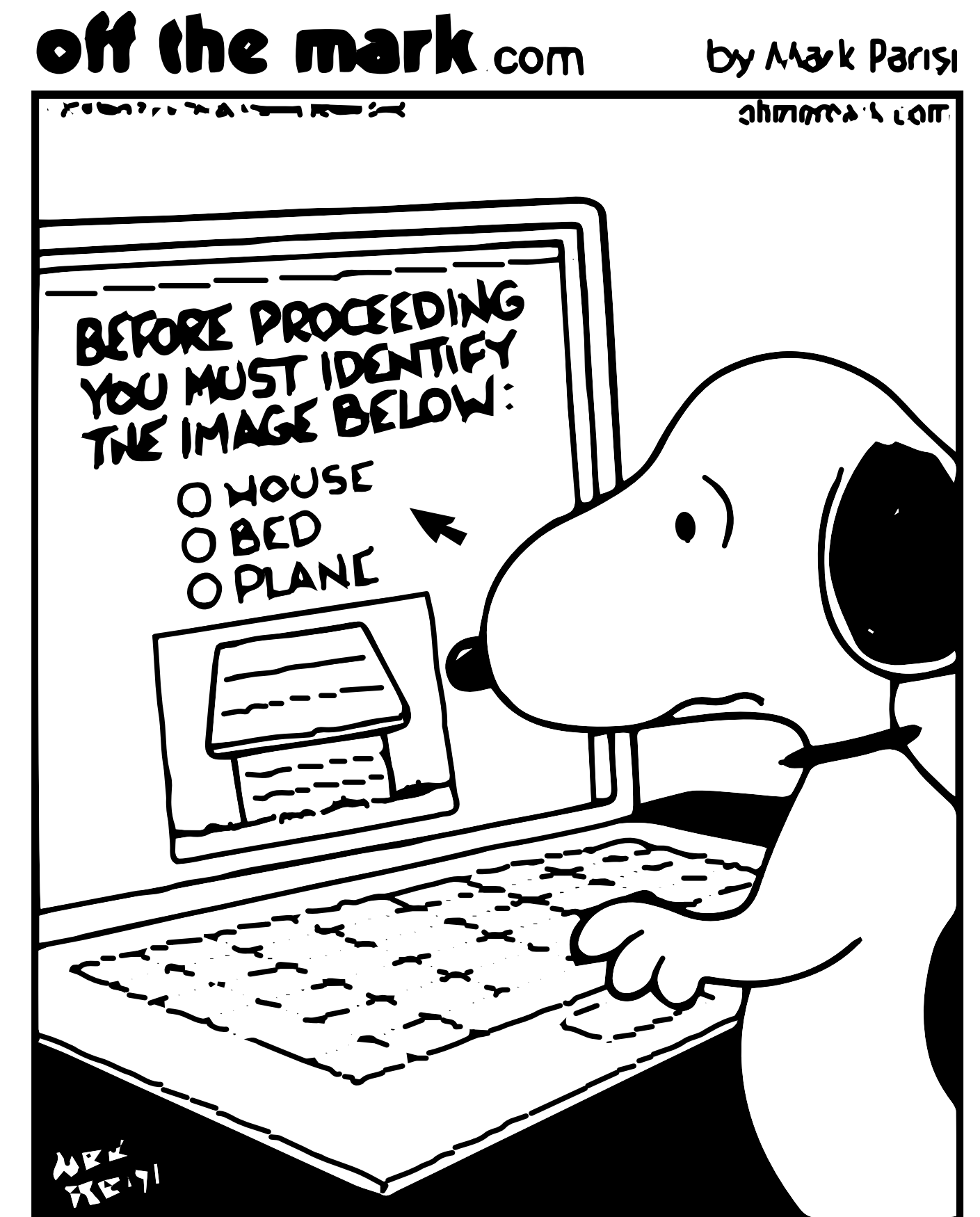
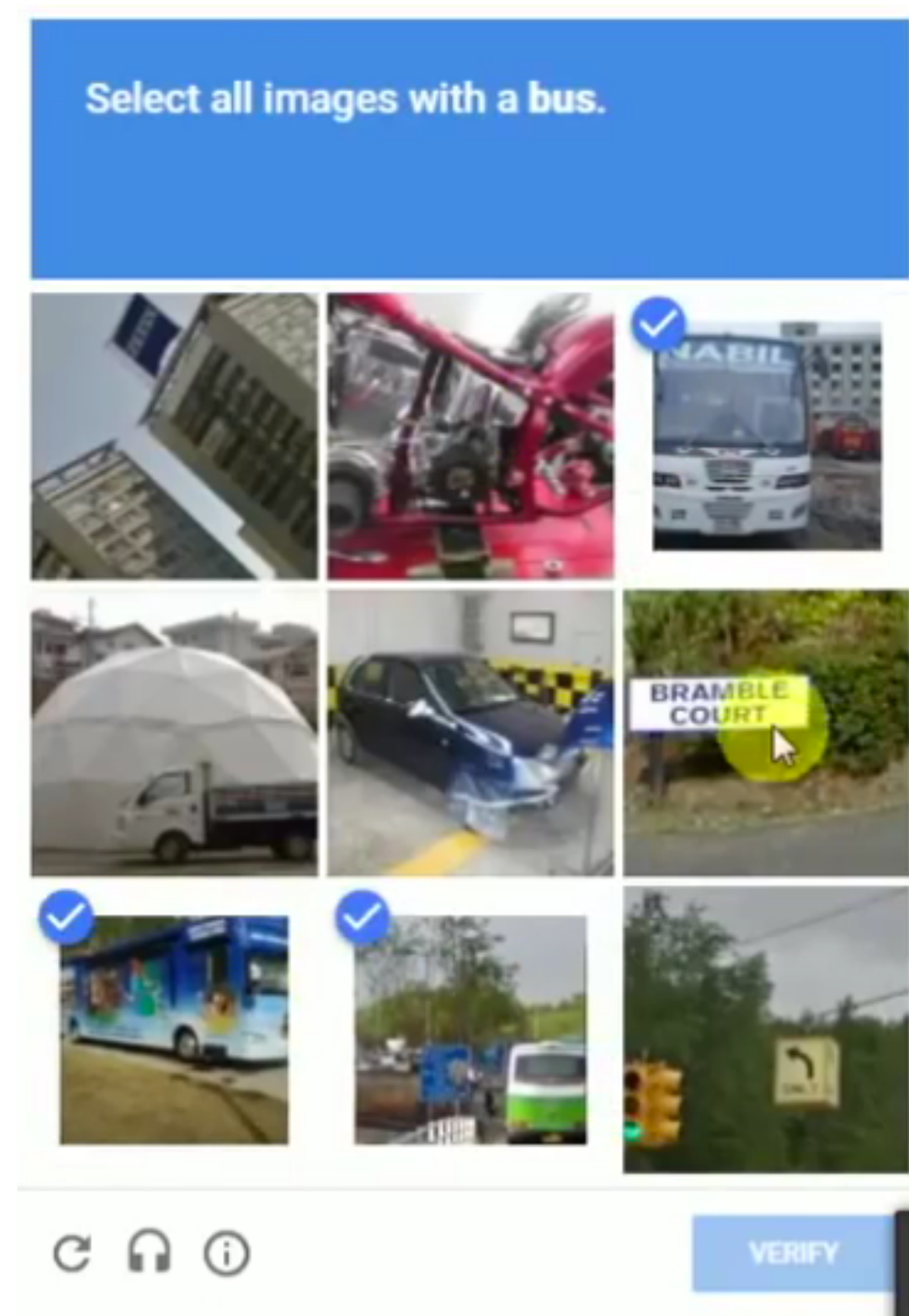
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- POSIX command “wc”
  - Counts total number of **bytes**, **words**, and **lines** in text file
  - **bytes** and **lines** → data processing
  - **words** → *what do we mean by “word”?*

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- **Phonetics & Phonology** (Ling 450/550)
  - Sounds of a language, acoustics
  - Legal sound sequences in words

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- **Morphology** (Ling 570)

- Recognize, produce variation in word forms

- Singular vs. plural:  $\text{Door} + \text{sg} \rightarrow \text{"door"}$      $\text{Door} + \text{pl} \rightarrow \text{"doors"}$

- Verb inflection:  $\text{be} + \text{1st Person} + \text{sg} + \text{present} \rightarrow \text{"am"}$

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- **Part-of-speech Tagging** (Ling 570)
  - Identify word use in sentence
  - Bay (Noun) — Not verb, adjective

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- **Syntax**
  - (566: Analysis, 570: Chunking, 571: Parsing)
  - Order and group words in sentence
    - cf. \**"I'm I do, sorry that afraid Dave I can't"*

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- **Semantics (Word Meaning)**
  - Individual (lexical) + Combined (Compositional)
  - 'Open' : AGENT **cause** THEME **to become** open;
  - 'pod bay doors' → doors to the 'pod bay' → the bay which houses the pods.



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  - Reference resolution: “I”=[ **HAL** ] ; “that”=[ **open...doors** ]
  - Politeness: “**I'm sorry, I'm afraid I can't...**”

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# Course Overview:

## Shallow vs. Deep Processing

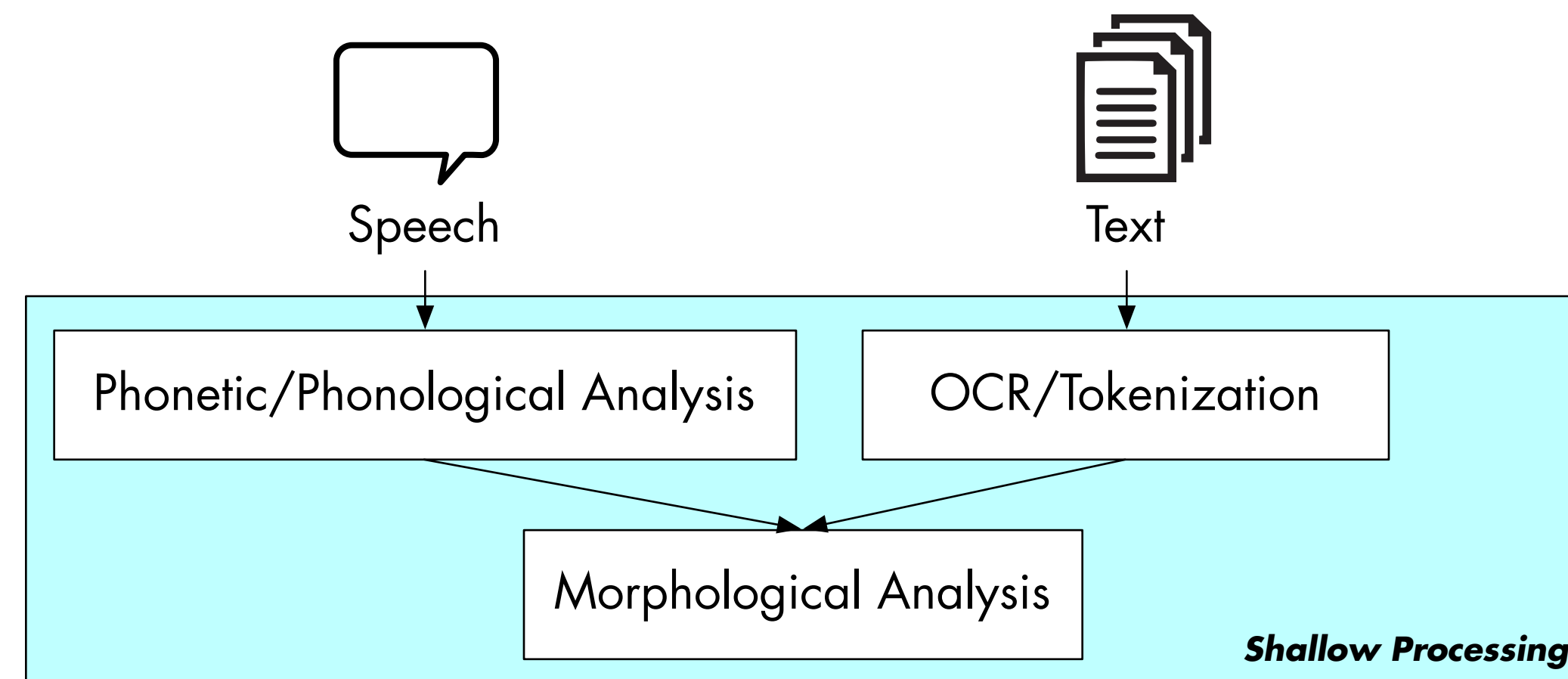
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  - Examples: HMM POS-tagging; FST morphology

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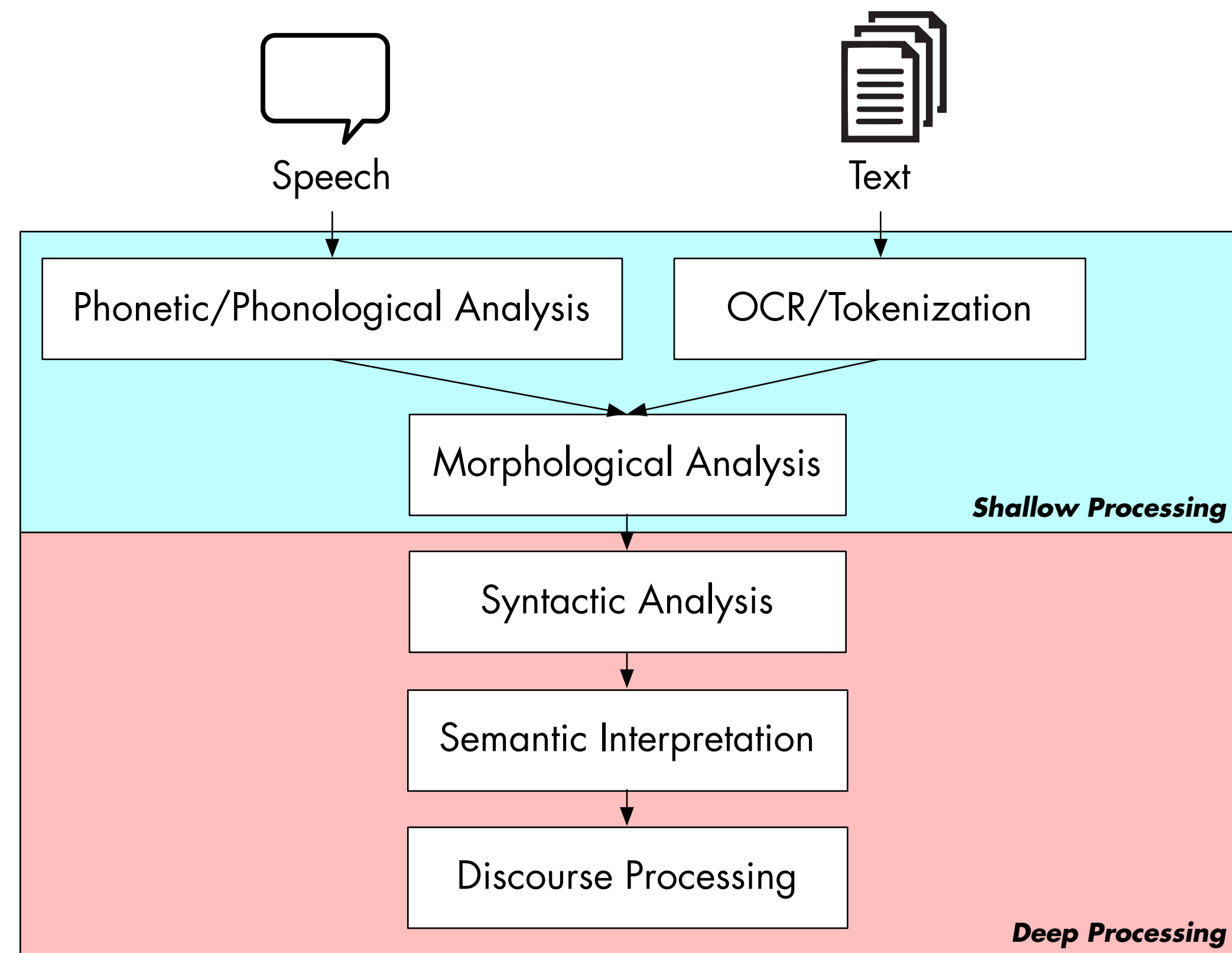
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  - Examples: HMM POS-tagging; FST morphology
- Deep processing (LING 571)
  - Relies on **more elaborate** linguistic representations
    - Deep syntactic analysis (Parsing)
    - Rich spoken language understanding (NLU)

# Language Processing Pipeline





# Language Processing Pipeline



# A Note On “Depth”

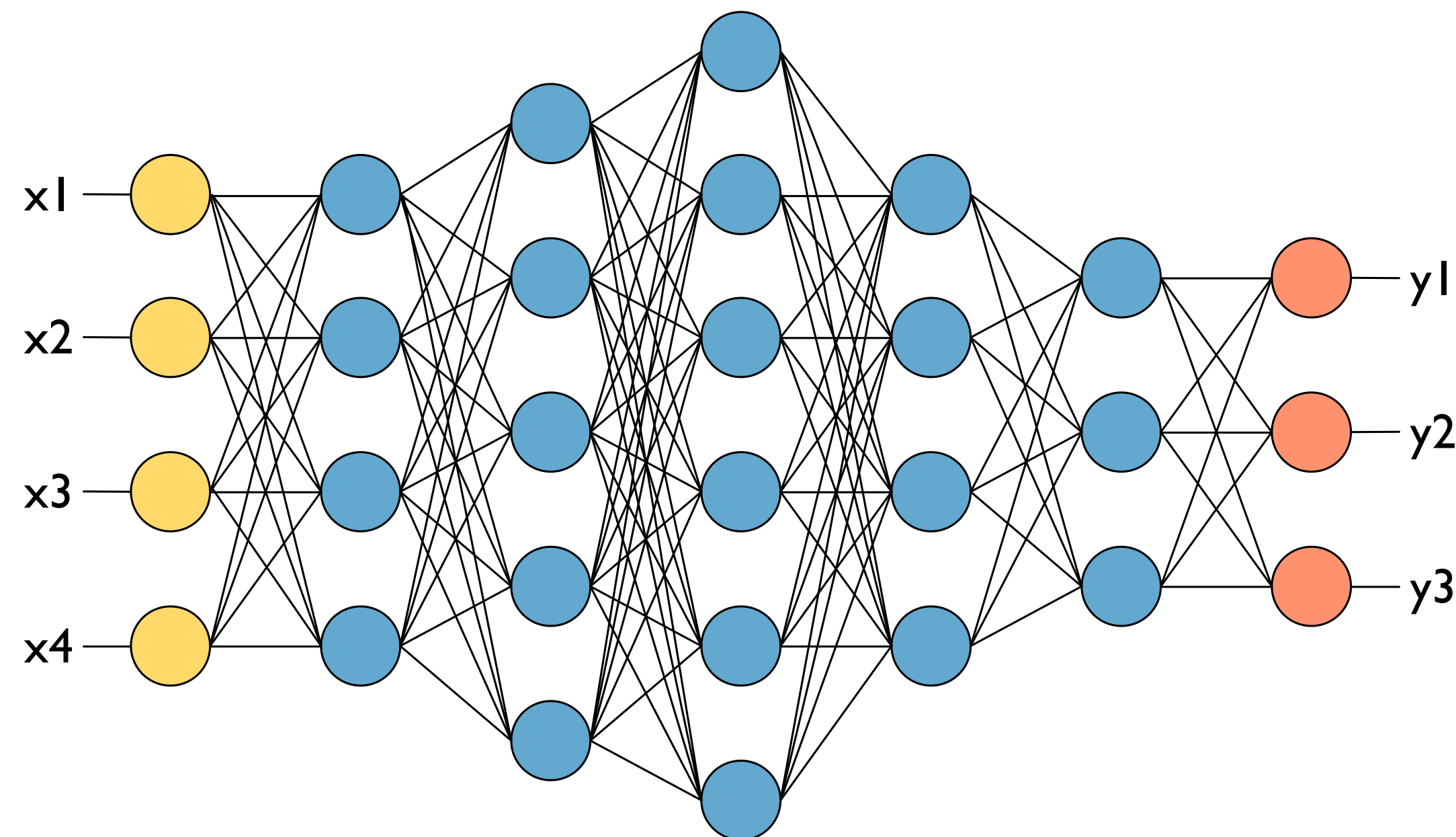
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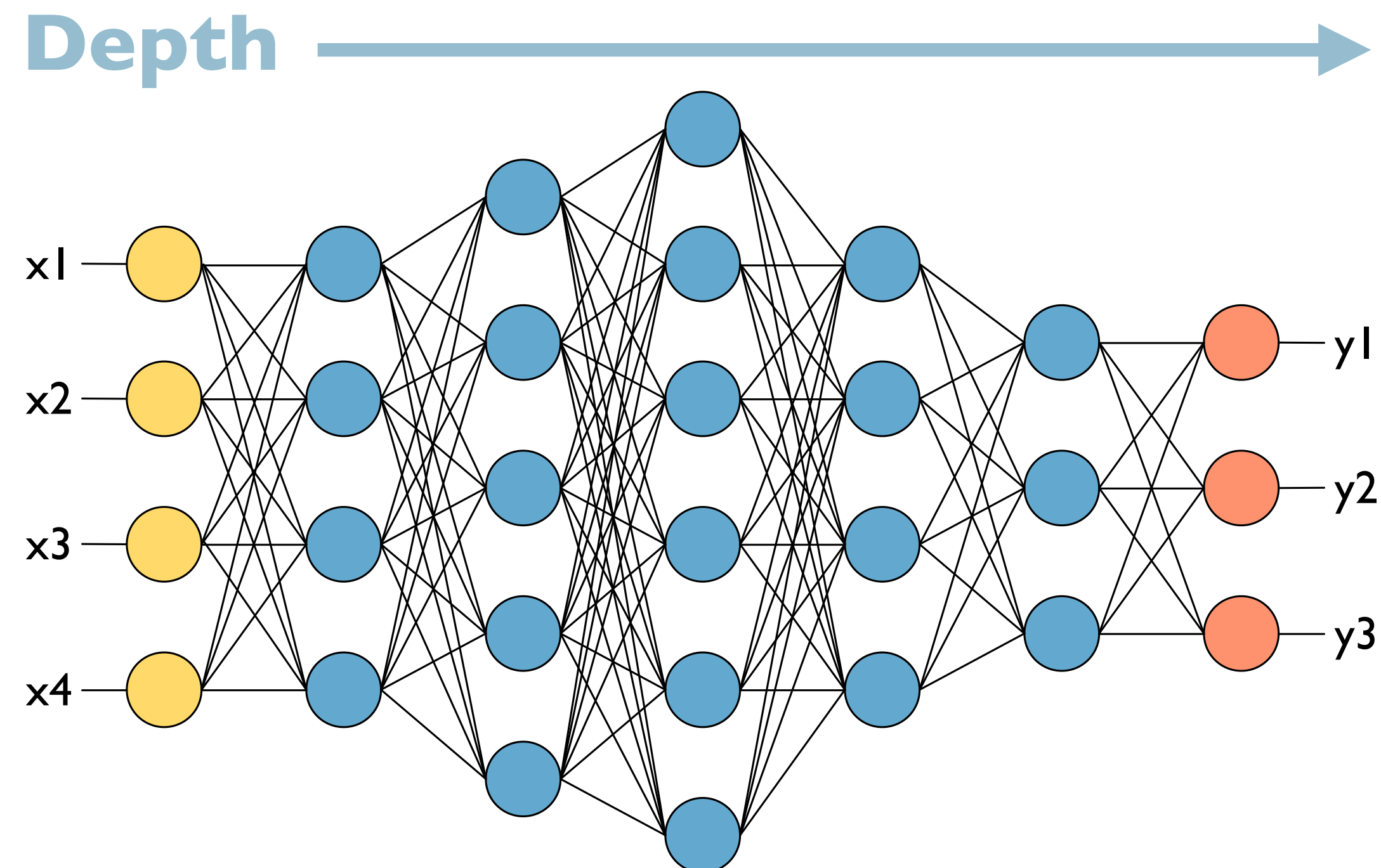
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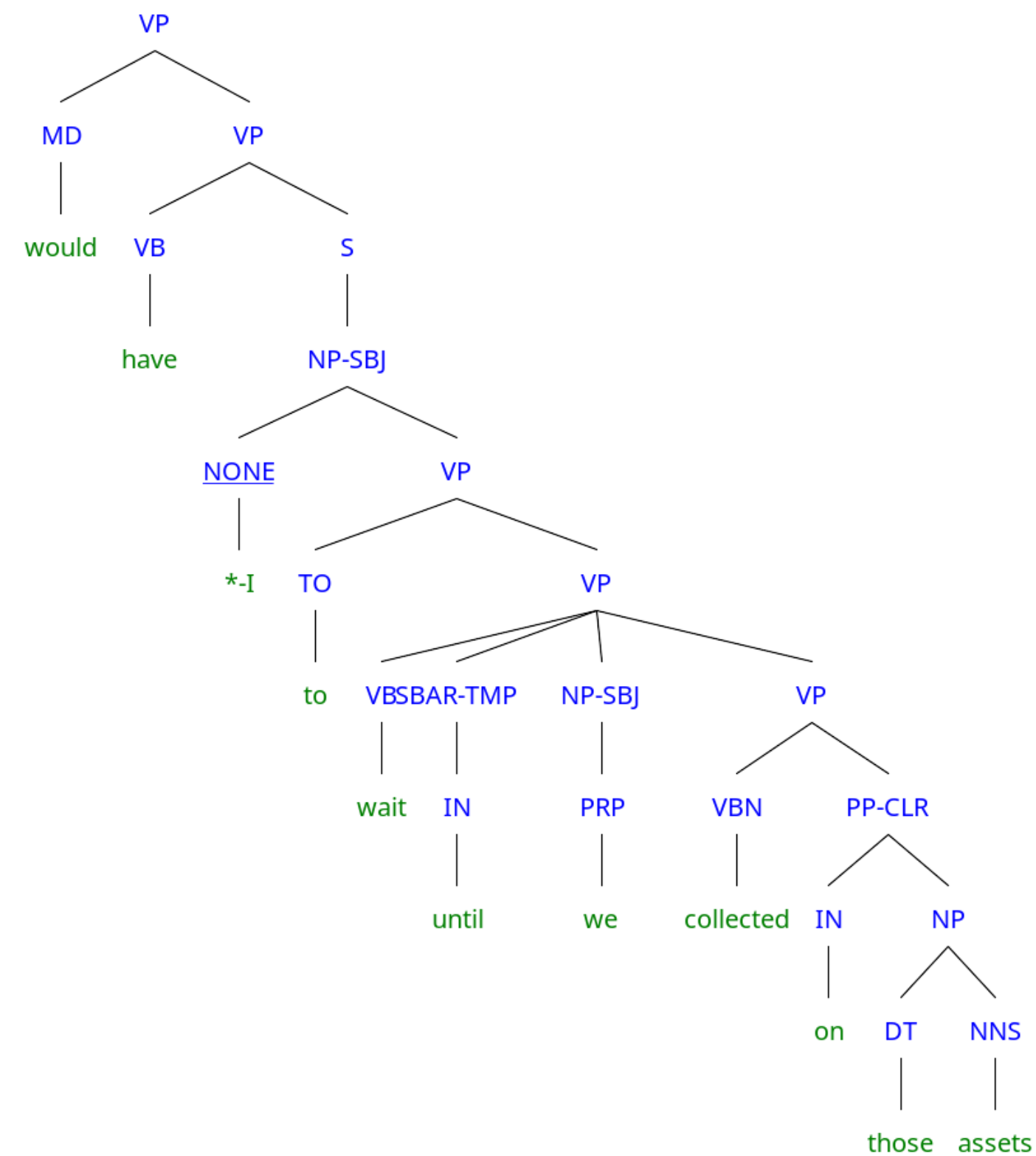
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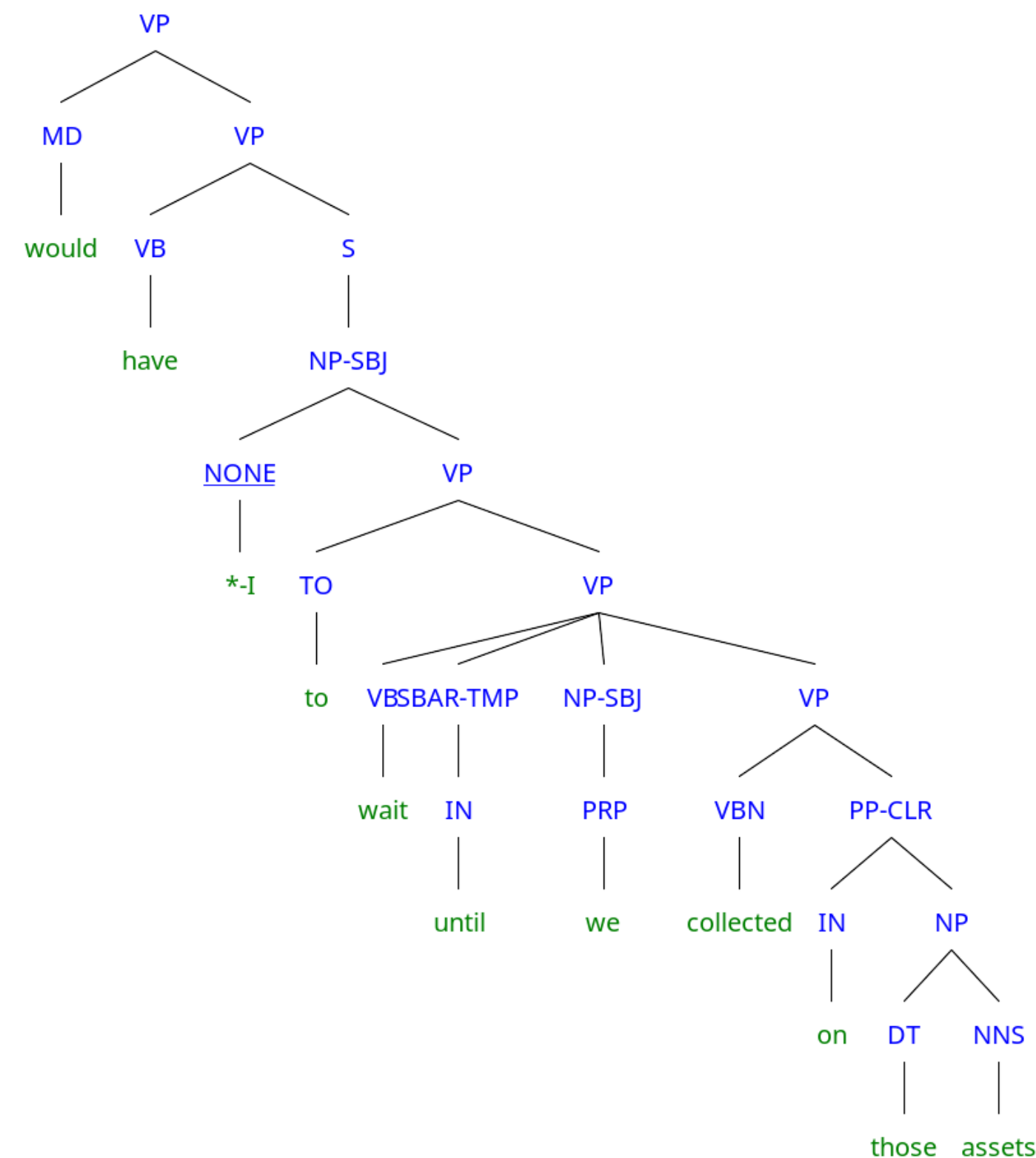
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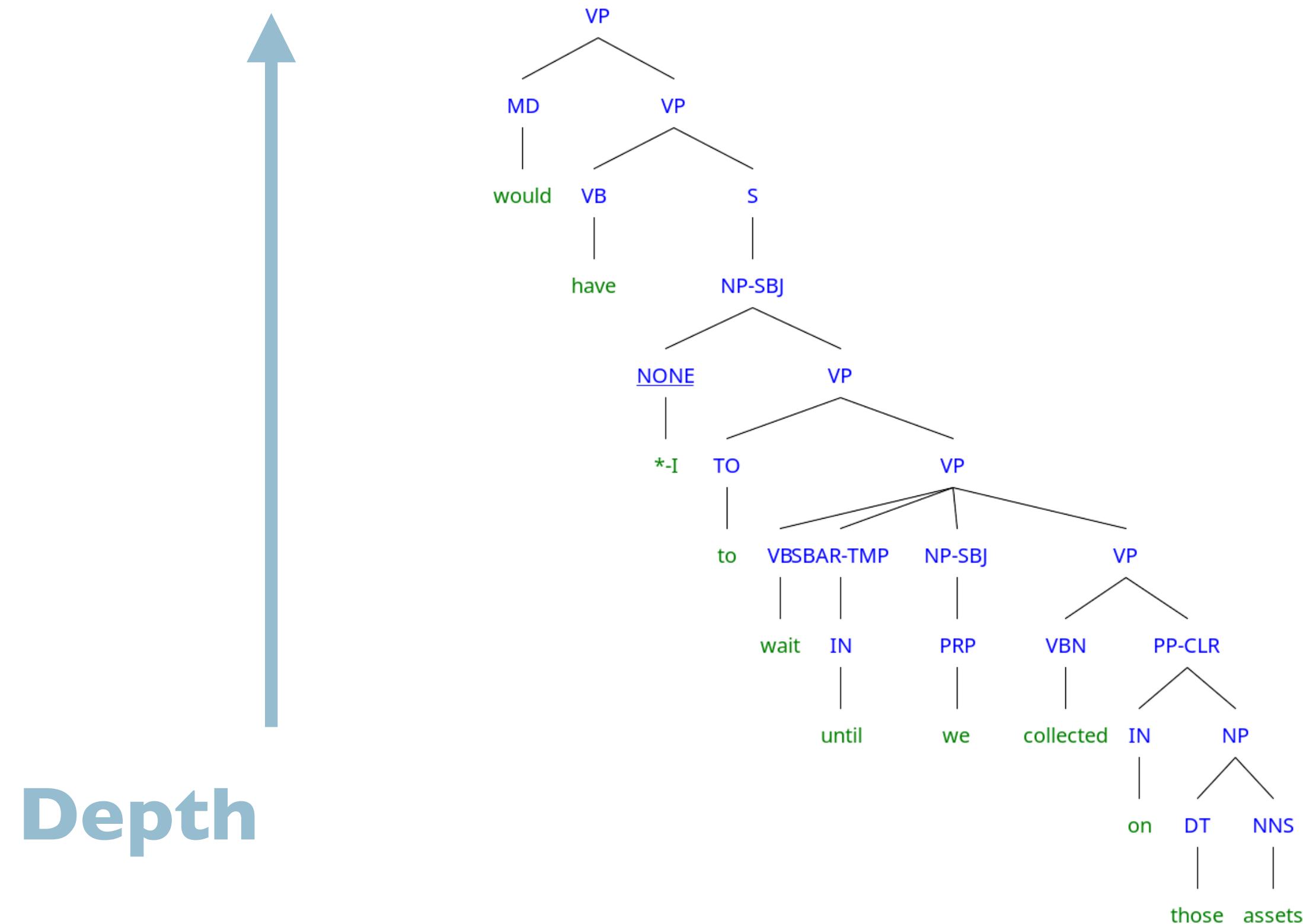
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- In both paradigms, graph depth aids, but  $\Rightarrow$  abstraction

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- **Evaluation**
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- **Multilinguality**
  - Can we apply the same approach to other languages?
  - How much must it be modified to do so?

# Ambiguity: POS



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VERB

NOUN

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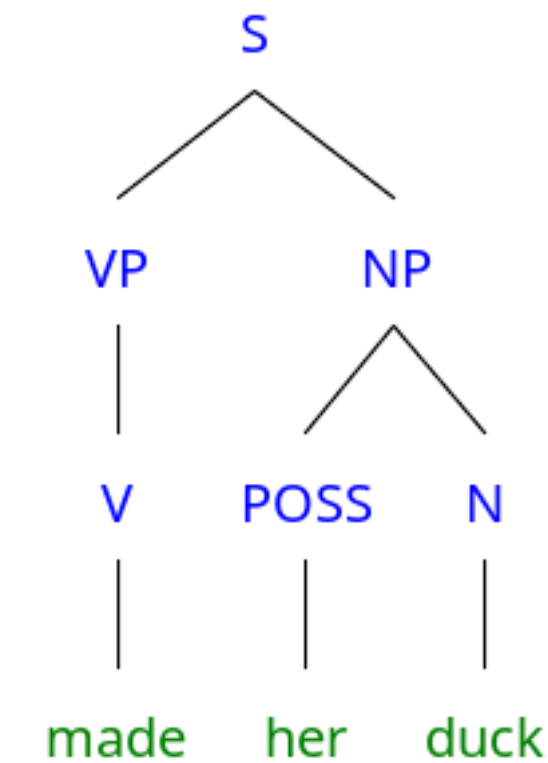
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PRON

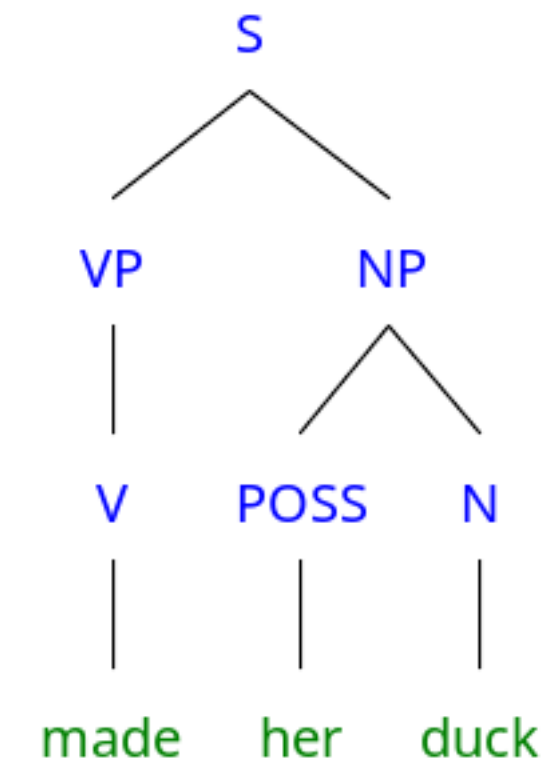
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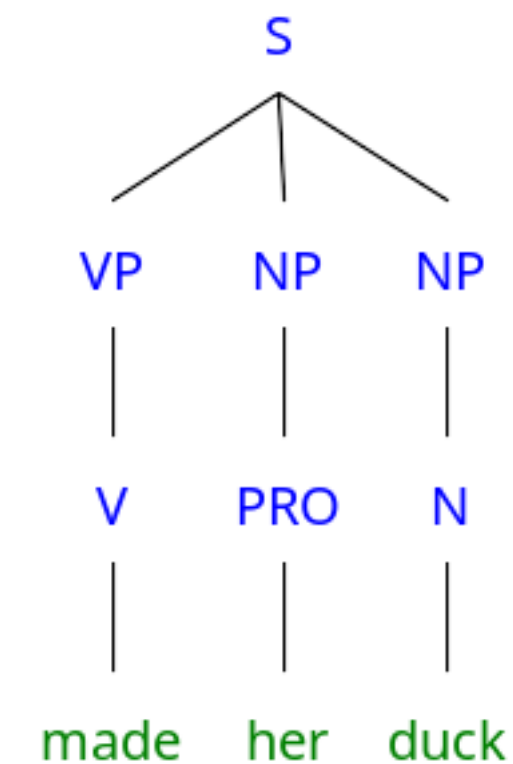


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<i>I magically turned her into a duck</i>	<b>made</b> = [AG] <b>transformed</b> [TH] <b>duck</b> = <b>animal</b>



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# Ambiguity

- Pervasive in language
- Not a bug, a feature! ([Piantadosi et al 2012](#))
- *“I believe we should all pay our tax bill with a smile. I tried—but they wanted cash.”*
- What would language be like without ambiguity?

# Ambiguity

- Challenging for computational systems

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- Challenging for computational systems
- Issue we will return to again and again in class.

# Course Information



# Course Information

- Website is main source of information: <https://www.shane.st/teaching/571/aut21/>
  - slides, office hours, resources, etc
- Canvas: lecture recordings, homework submission / grading
  - Communication!!! Please use the discussion board for questions about the course and its content.
  - Other students have same questions, can help each other.
  - May get prompter reply. The teaching staff will not respond outside of normal business hours, and may take up to 24 hours.

# Syntax Crash Course

LING 571 — Deep Processing Techniques for NLP

September 30, 2020

Shane Steinert-Threlkeld

# Roadmap

- Sentence Structure
  - More than a bag of words
- Representation
  - Context-free Grammars
    - Formal Definition

# Applications

- Shallow techniques useful, but limited
- Deeper analysis supports:
  - Grammar checking — and teaching
  - Question-answering
  - Information extraction
  - Dialogue understanding
  - ...

# Grammar and NLP

- “Grammar” in linguistics is **NOT** prescriptive high school grammar
  - Explicit rules
  - “Don’t split infinitives!” etc.

# Grammar and NLP

- “Grammar” in linguistics is **NOT** prescriptive high school grammar
  - Explicit rules
  - “Don’t split infinitives!” etc.
- “Grammar” in linguistics **IS**:
  - How to capture structural knowledge of language as a native speaker would have
  - Largely implicit
  - Learned early, naturally

# More than a Bag of Words

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- Choice of structure can impact:



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- Choice of structure can impact:
  - Meaning:
    - *Dog bites man. vs. Man bites dog.*
  - Acceptability:
    - *Colorless green ideas sleep furiously.*
    - \* *Colorless sleep ideas furiously green.*
    - \* *Dog man bites*

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  - ...
- Single unit: type determined by “head”
  - e.g. N heads NP

# Representing Sentence Structure

- Basic Units
  - Phrases (**NP**, **VP**, etc...)
  - Capture constituent structure

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- Subcategorization
  - (**NP**-**SUBJ**, **VP**-**INTRANS**, etc...)
  - Capture argument structure
    - Components expected by verbs



# Representing Sentence Structure

- Basic Units
  - Phrases (**NP**, **VP**, etc...)
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- Subcategorization
  - (**NP-SUBJ**, **VP-INTRANS**, etc...)
  - Capture argument structure
    - Components expected by verbs
- Hierarchical

# Representation: Context-free Grammars

- CFGs: 4-tuple
  - A set of **terminal** symbols:  $\Sigma$ 
    - [think: words]
  - A set of **nonterminal** symbols:  $N$ 
    - [think: phrase categories]
  - A set of **productions**  $P$ :
    - of the form  $A \rightarrow \alpha$
    - Where  $A$  is a non-terminal and  $\alpha \in \{\Sigma \cup N\}^*$
  - A **start** symbol  $S \in N$

# Representation: Context-free Grammars

- Altogether a grammar defines a language  $L$ 
  - $L = \{w \in \Sigma^* \mid S \Rightarrow^* w\}$ 
    - The language  $L$  is the set of all words in which:
    - $S \Rightarrow^* w$ :  $w$  can be *derived* starting from  $S$  by some sequence of productions

# CFG Components

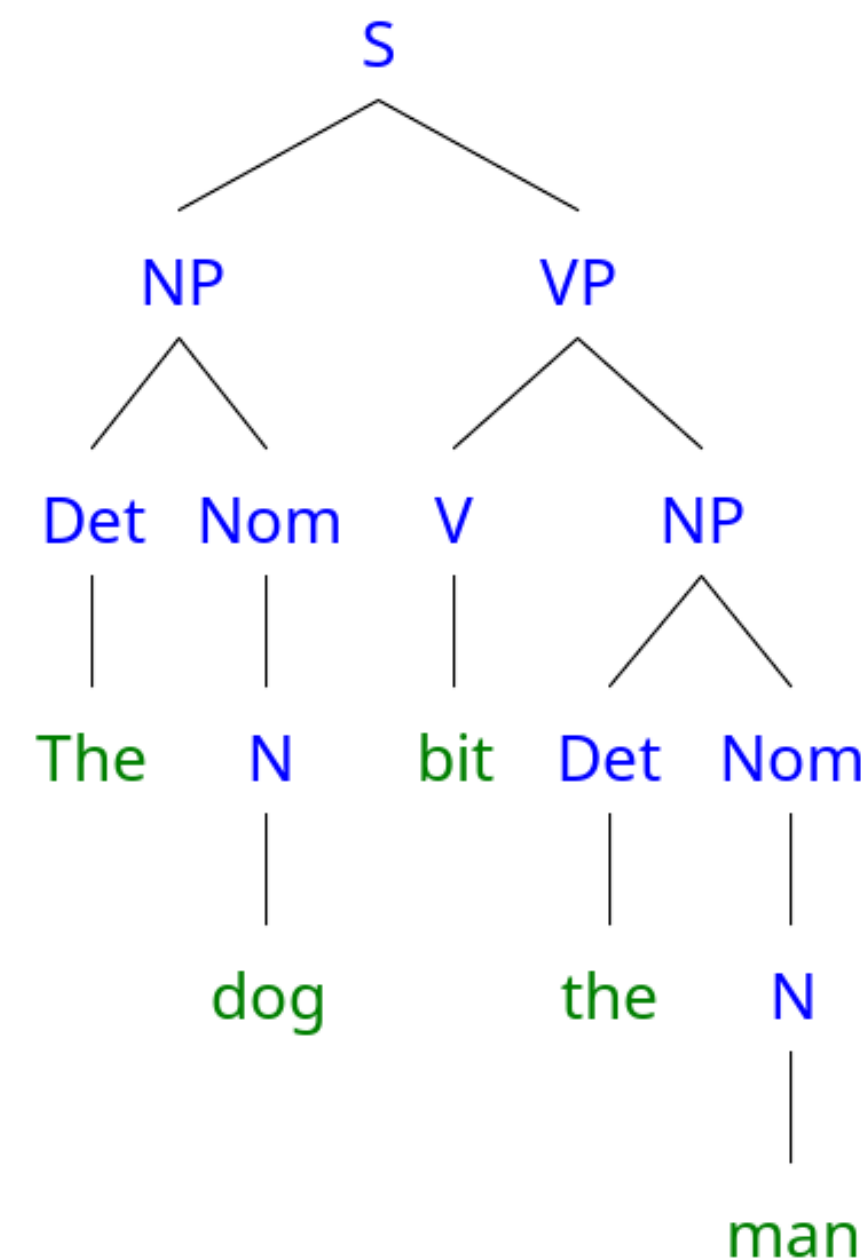
- **Terminals:**
  - Only appear as leaves of parse tree (hence the name)
  - Right-hand side of productions (RHS)
  - Words of the language
    - *cat, dog, is, the, bark, chase...*

# CFG Components

- **Terminals:**
  - Only appear as leaves of parse tree (hence the name)
  - Right-hand side of productions (RHS)
  - Words of the language
    - *cat, dog, is, the, bark, chase...*
- **Non-terminals**
  - Do not appear as leaves of parse tree
  - Appear on left or right side of productions
  - Represent constituent phrases of language
    - NP, VP, S[entence], etc...

# Representation: Context-free Grammars

- Partial example:
  - $\Sigma$ : *the, cat, dog, bit, bites, man*
  - $N$ : NP, VP, Nom, Det, V, N, Adj
  - $P$ :
    - $S \rightarrow NP\ VP$ ;
    - $NP \rightarrow Det\ Nom$ ;
    - $Nom \rightarrow N\ Nom\ |\ N$ ;
    - $VP \rightarrow V\ NP$ ;
    - $N \rightarrow cat$ ;  $N \rightarrow dog$ ;  $N \rightarrow man$ ;
    - $Det \rightarrow the$ ;
    - $V \rightarrow bit$ ;  $V \rightarrow bites$
  - $S$ : S



# Parsing Goals

- Acceptance
  - Legal string in language?
    - Formally: rigid
    - Practically: degrees of acceptability



# Parsing Goals

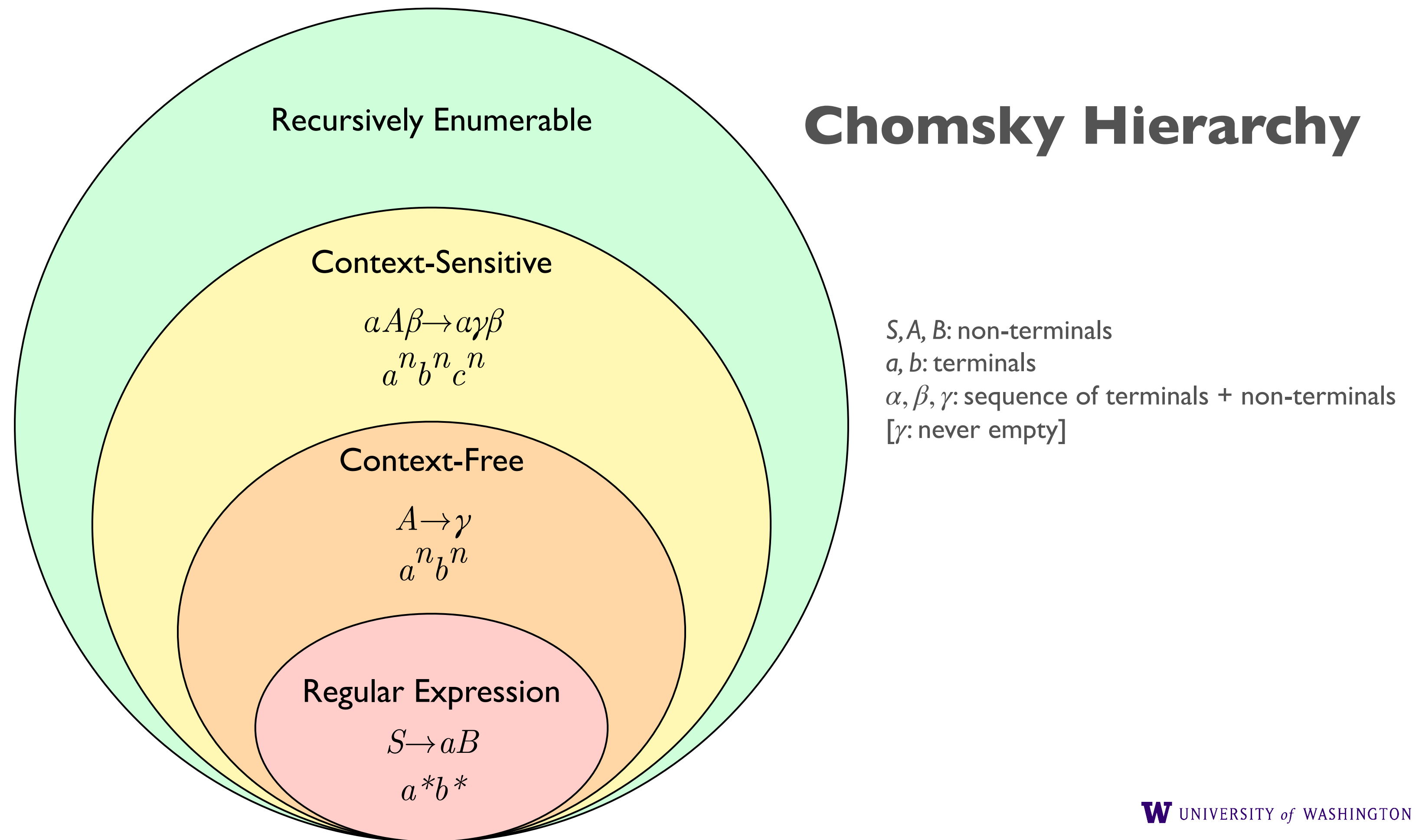
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- Analysis
  - What structure produced the string
    - Produce one (or all) parses for the string

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- Acceptance
  - Legal string in language?
    - Formally: rigid
    - Practically: degrees of acceptability
- Analysis
  - What structure produced the string
    - Produce one (or all) parses for the string
- Will develop techniques to produce analyses of sentences
  - Rigidly accept (with analysis) or reject
  - Produce varying degrees of acceptability

# Sentence-level Knowledge: Syntax

- Different models of language that specify the *expressive power* of a formal language



# Representing Sentence Structure

- Why not just Finite State Models (Regular Expressions)?
  - Cannot describe some grammatical phenomena
  - Inadequate expressiveness to capture generalization

# Representing Sentence Structure: Center Embedding

- **Regular Language:**  $A \rightarrow w; A \rightarrow w^*B$
- **Context-Free:**  $A \rightarrow \alpha A \beta$  (e.g.)
  - Allows recursion:

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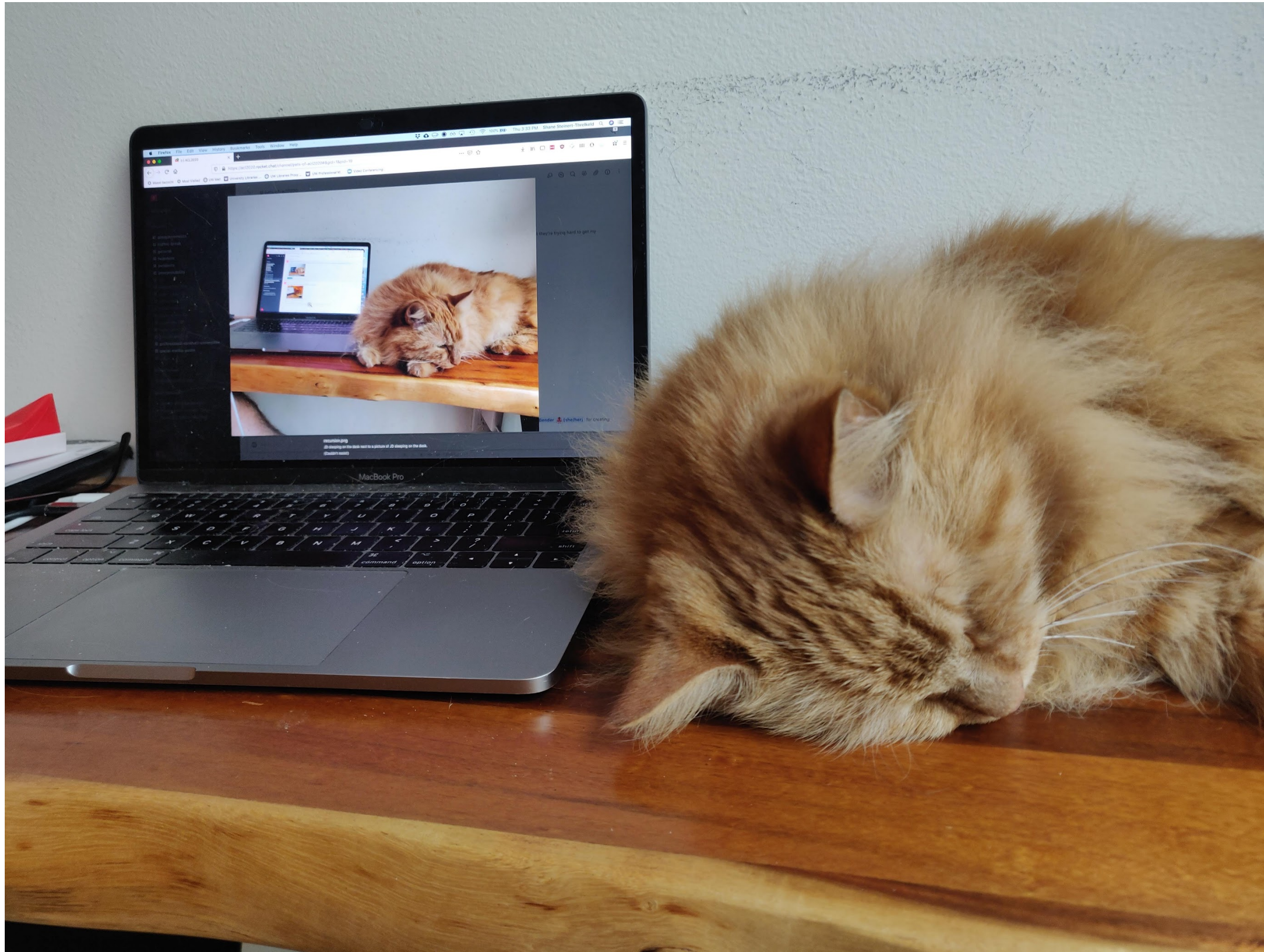
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# Representing Sentence Structure: Center Embedding

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- Context-Free:  $A \rightarrow \alpha A \beta$  (e.g.)
  - Allows recursion:
    - The luggage arrived
    - The luggage that the passengers checked arrived
    - The luggage that the passengers whom the storm delayed checked arrived

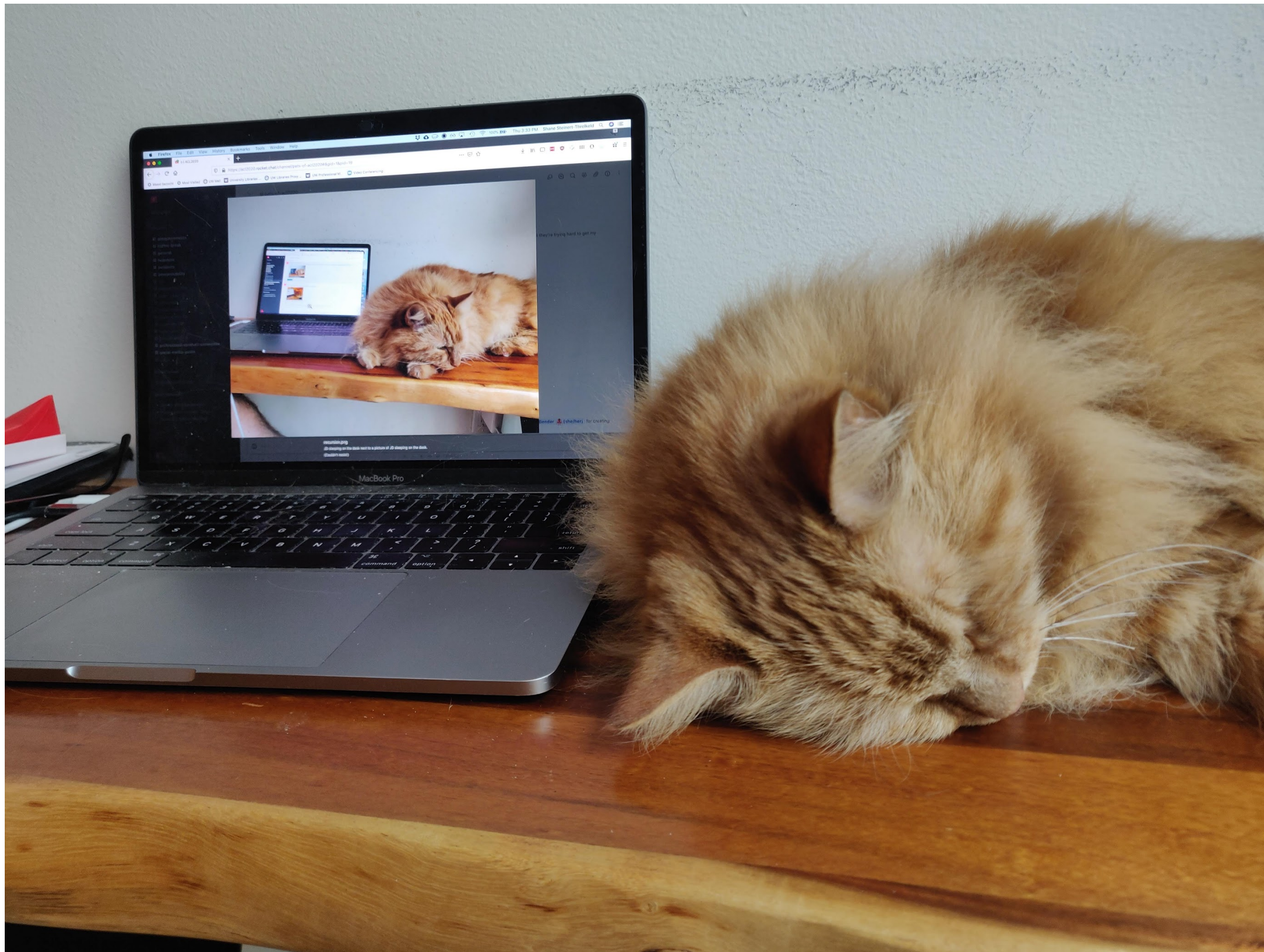


# Recursion in Grammar





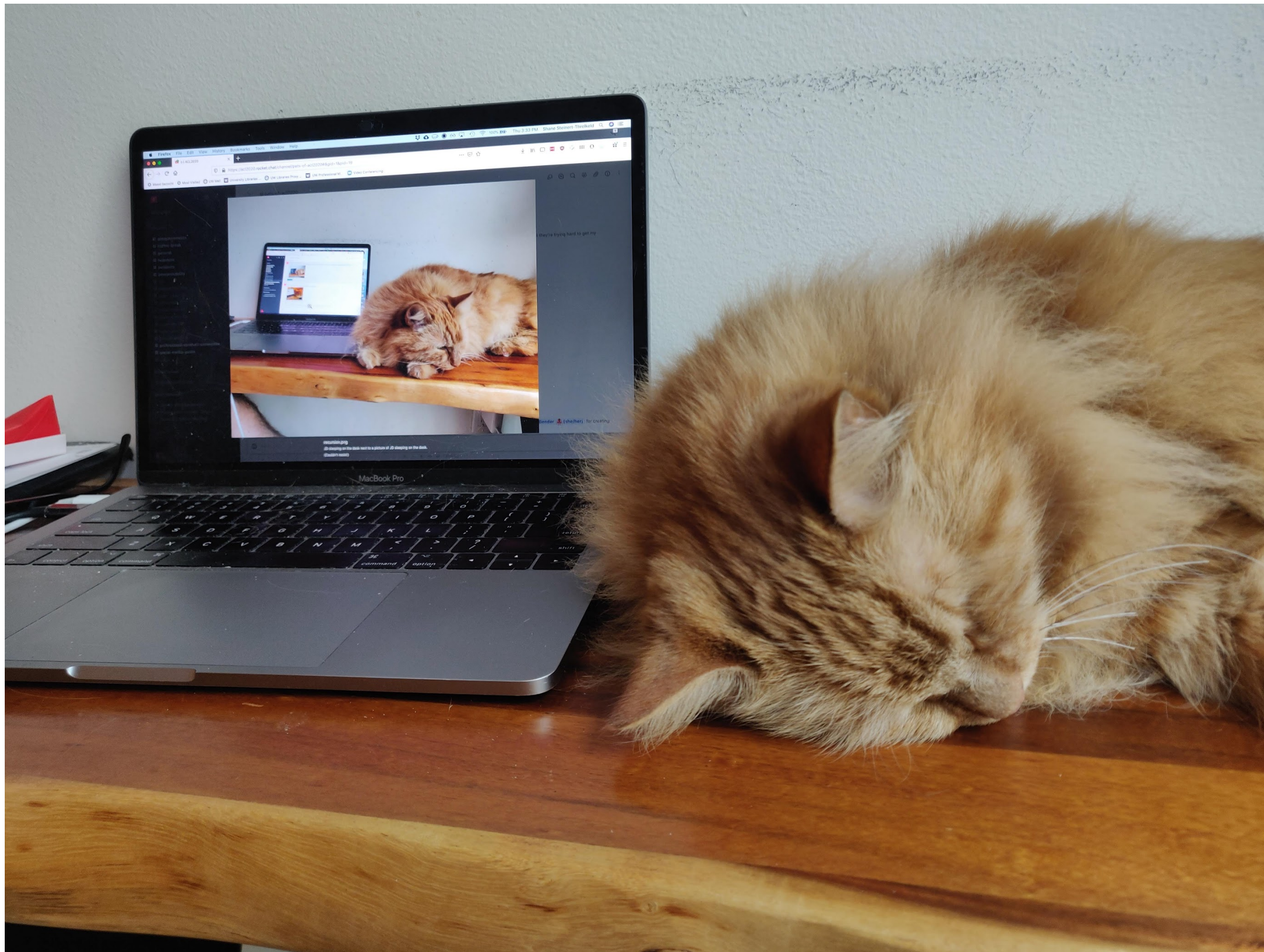
# Recursion in Grammar



This is JD lying on the desk next to a picture of JD lying on the desk next to a picture of JD lying on the desk.



# Recursion in Grammar



This is JD lying on the desk next to a picture of JD lying on the desk next to a picture of JD lying on the desk.

Exercise: write a toy grammar for producing this sentence!



# Is Context-Free Enough?

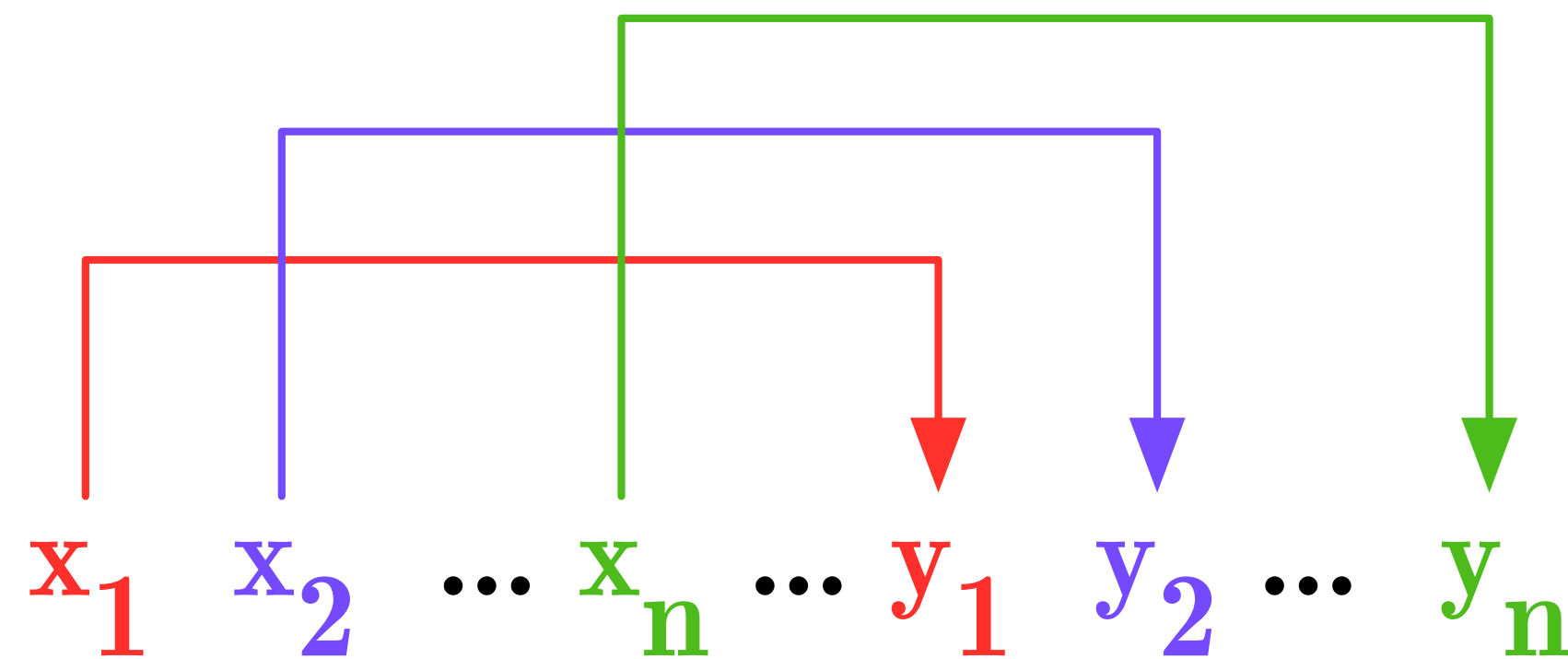
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# Is Context-Free Enough?

- Natural language not finite state
- ...but do we need context-sensitivity?
  - Many articles have attempted to demonstrate we do
  - ...many have failed.

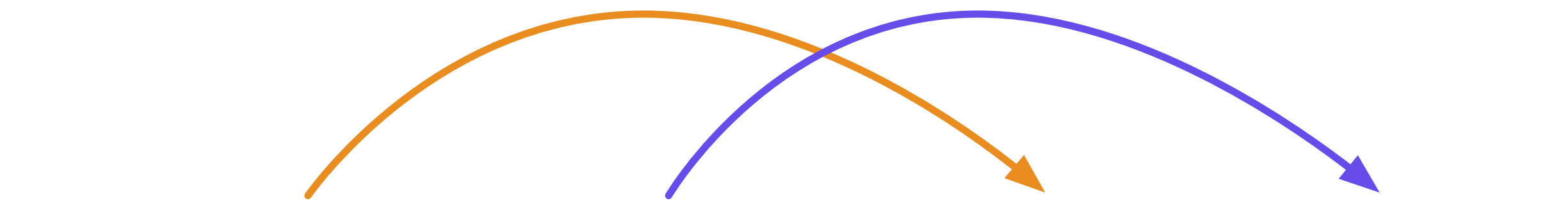
# Is Context-Free Enough?

- Natural language not finite state
- ...but do we need context-sensitivity?
  - Many articles have attempted to demonstrate we do
  - ...many have failed.
- Solid proof for Swiss German: *Cross-Serial Dependencies* ([Shieber, 1985](#))
  - *a'ib'ic'di*

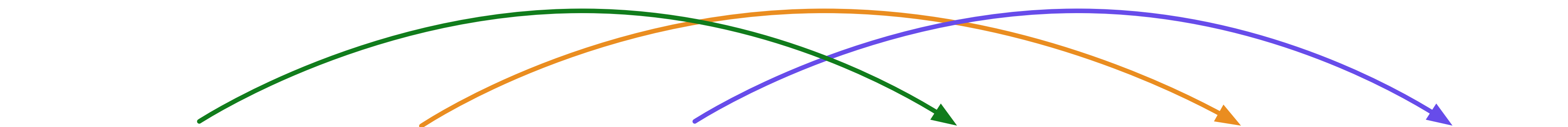


# Context-Sensitive Example

- Verbs and their arguments must be ordered ***cross-serially***
- Arguments and verbs must match



...mer em Hans s huus hälfed aastriiche.  
...we *Hans (DAT) the house.ACC help paint*  
*"We helped hans paint the house."*



...mer d'chind em Hans s huus haend wele laa hälfed aastriiche.  
...we *the children Hans (DAT) the house.ACC have wanted.to let help paint*  
*"We wanted to let the children help Hans paint the house."*

Questions so far?



# HW#1 & Getting Started

LING 571 — Deep Processing Techniques for NLP

September 29, 2021

Shane Steinert-Threlkeld

# Department Cluster

- Assignments are **required** to run on department cluster
  - If you don't have a cluster account, request one ASAP!
    - Link to account request form on Canvas or below:
    - [vervet.ling.washington.edu/db/accountrequest-form.php](http://vervet.ling.washington.edu/db/accountrequest-form.php)
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- You are not required to develop on the cluster, but code must run on it
- ***Reminder: All but most simple tasks must be run via Condor***

# Condor

- Parallel computing management system
- All homework will be run via condor
- See [documentation on CLMS wiki](#) for:
  - Construction of condor scripts
  - Link also on course page under “Course Resources”

# NLTK

- Most assignments will use NLTK in Python
- **Natural Language ToolKit (NLTK)**
  - Large, integrated, fairly comprehensive
    - Stemmers
    - Taggers
    - Parsers
    - Semantic analysis
    - Corpus samples
    - ...& More
  - Extensively documented
  - Pedagogically Oriented
    - Implementations Strive for Clarity
    - ...sometimes at the expense of efficiency.

# NLTK

- [nltk.org](http://nltk.org)
  - Online book
  - Demos of software
  - How-Tos for specific components
  - API information, etc.

# Python & NLTK

- NLTK is installed on the Cluster
  - Use Python 3.4+ with NLTK
  - **N.B.:** Python 2.7 is default
    - Use: **python3** to run, not **python**
    - More versions in `/opt/python-*/bin/`
    - You can make a personal alias, but your bash scripts will not run in your personal environment, so keep that in mind (e.g. use full path).
- Data is also installed:
  - `/corpora/nltk/nltk-data`
- Written in Python
  - Some introductions at:
    - [python.org](https://python.org), [docs.python.org](https://docs.python.org)

# Python & NLTK

- Interactive mode allows experimentation, introspection:

```
patas$ python3
```

```
>>> import nltk
```

```
>>> dir(nltk)
```

```
['AbstractLazySequence', 'AffixTagger', 'AlignedSent',  
'Alignment', 'AnnotationTask', 'ApplicationExpression',  
'Assignment', 'BigramAssocMeasures', 'BigramCollocationFinder',  
'BigramTagger', 'BinaryMaxentFeatureEncoding', ...
```

```
>>> help(nltk.AffixTagger)
```



# Turning In Homework

- Will be using Canvas' file submission mechanism
- Quick how to at:  
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- Homeworks due on **Wednesday** nights
- 11:59 PM, Pacific Time
- Generally, each assignment will include:
  - `readme.{txt|pdf}`
  - `hwX.tar.gz`
    - Where "X" is the assignment number
    - `tar -cvzf hwX.tar.gz <hw_path>`

# HW #1

- Read in sentences and corresponding grammar
- Use NLTK to parse those sentences
- Goals:
  - Set up software environment for rest of course
  - Get familiar with NLTK
  - Work with parsers and CFGs

# HW #1: Useful Tools

- Loading data:
  - **`nltk.data.load(resource_url)`**
    - Reads in and processes formatted CFG/FCFG/treebank/etc
    - Returns a grammar from CFG
    - **examples:**
      - `nltk.data.load('grammars/sample_grammars/toy.cfg')`
      - `nltk.data.load('file://' + my_grammar_path)`
  - (NB: absolute path!)

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    - (NB: absolute path!)
- Tokenization:
  - **`nltk.word_tokenize(mystring)`**
    - Returns array of tokens in string

# HW #1: Useful Tools

- Parsing:
  - `parser = nltk.parse.EarleyChartParser(grammar)`
    - Returns parser based on the grammar
  - `parser.parse(token_list)`
    - Returns iterator of parses:

```
>>> for item in parser.parse(tokens):  
>>>     print(item)
```

```
(S (NP (Det the) (N dog)) (VP (V chased) (NP (Det the) (N cat))))
```