

Probabilistic Parsing: Issues & Improvement

LING 571 — Deep Processing Techniques for NLP

October 14, 2019

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Announcements

- HW2 grades posted
- Reference code soon available in
 - `/dropbox/20-21/571/hw2/reference_code`
- NB: not needed for HW3; you can assume that all grammars are already in CNF

Homework Tips

- Use `nltk.load` for reading grammars; will save you and TA time and headaches
- Run your code on patas to produce the output you submit in TAR file
 - Some discrepancies found that seem due to different environment
 - When in doubt, use full paths to python binaries, etc
- `readme.{txt | pdf}`: this should NOT be inside your TAR file, but a separate upload on Canvas

Notes on HW #3

- Python's `range` has many use cases by manipulating start/end, and step
 - `range(n)` is equivalent to `range(0, n, 1)`
- Reminder: the `rhs=` argument in NLTK's `grammar.productions()` method only matches the *first* symbol, not an entire string
 - You'll want to implement an efficient look-up based on RHS
- HW3: compare your output to running HW1 parser on the same grammar/sentences
 - order of output in ambiguous sentences could differ

Language Does the Darnedest Things

Just in case your wondering...
This is a ship -shipping ship , shipping shipping ships.



<https://twitter.com/ArrivedInGenX/status/1317879511795535872>

PCFG Induction

Learning Probabilities

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Learning Probabilities

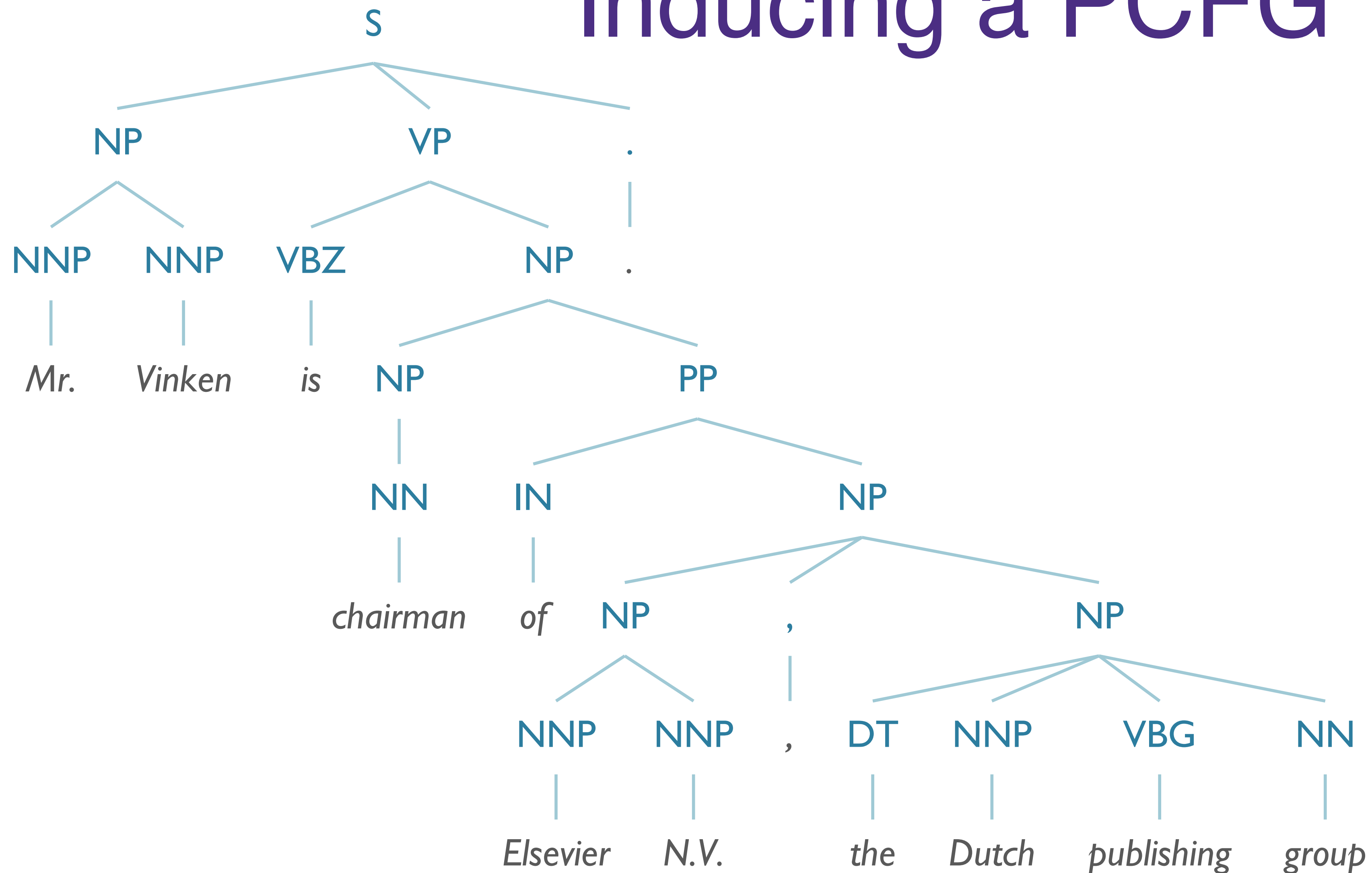
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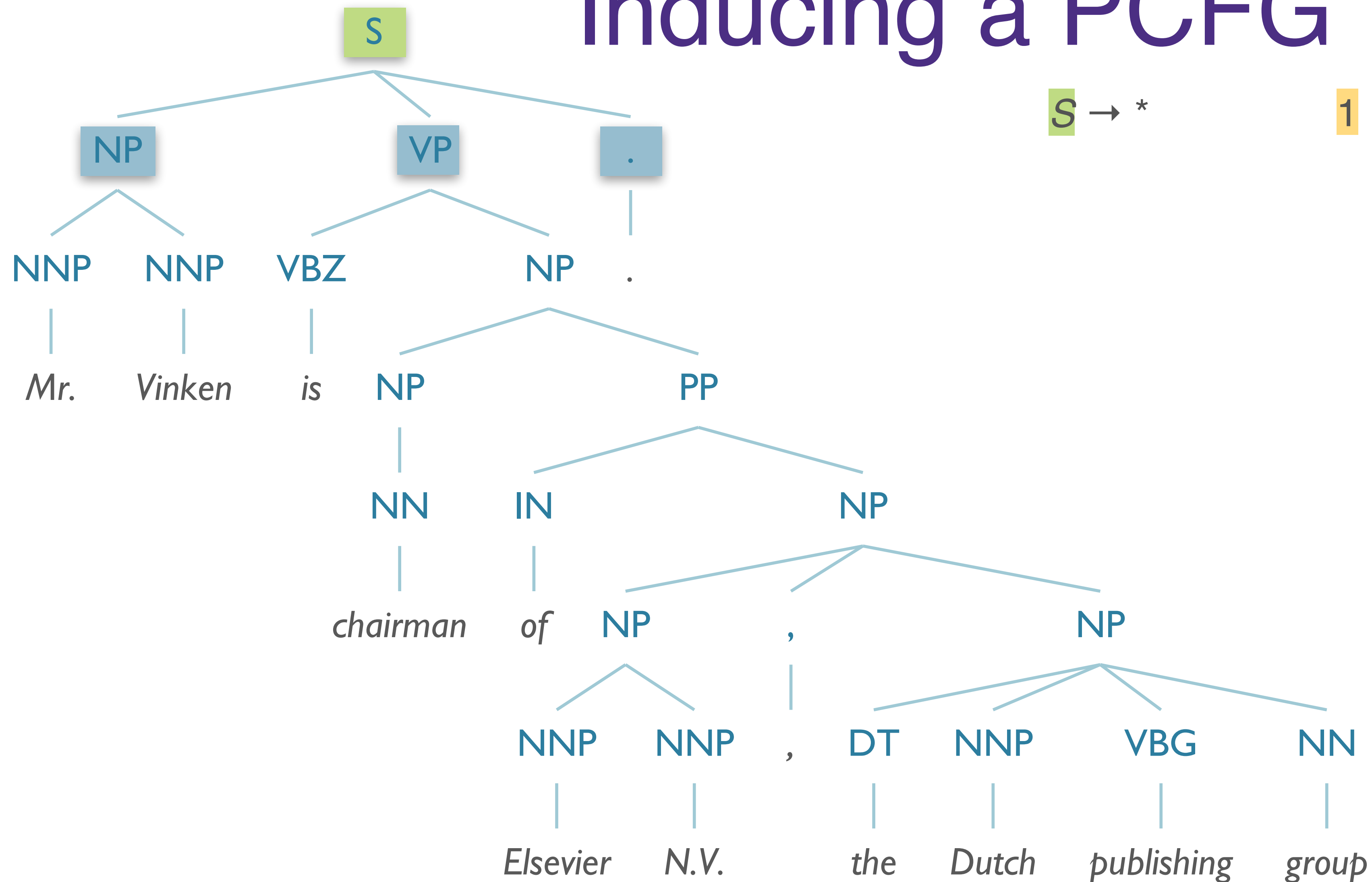
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- Alternative: Learn probabilities by re-estimating
 - (Later)

Inducing a PCFG



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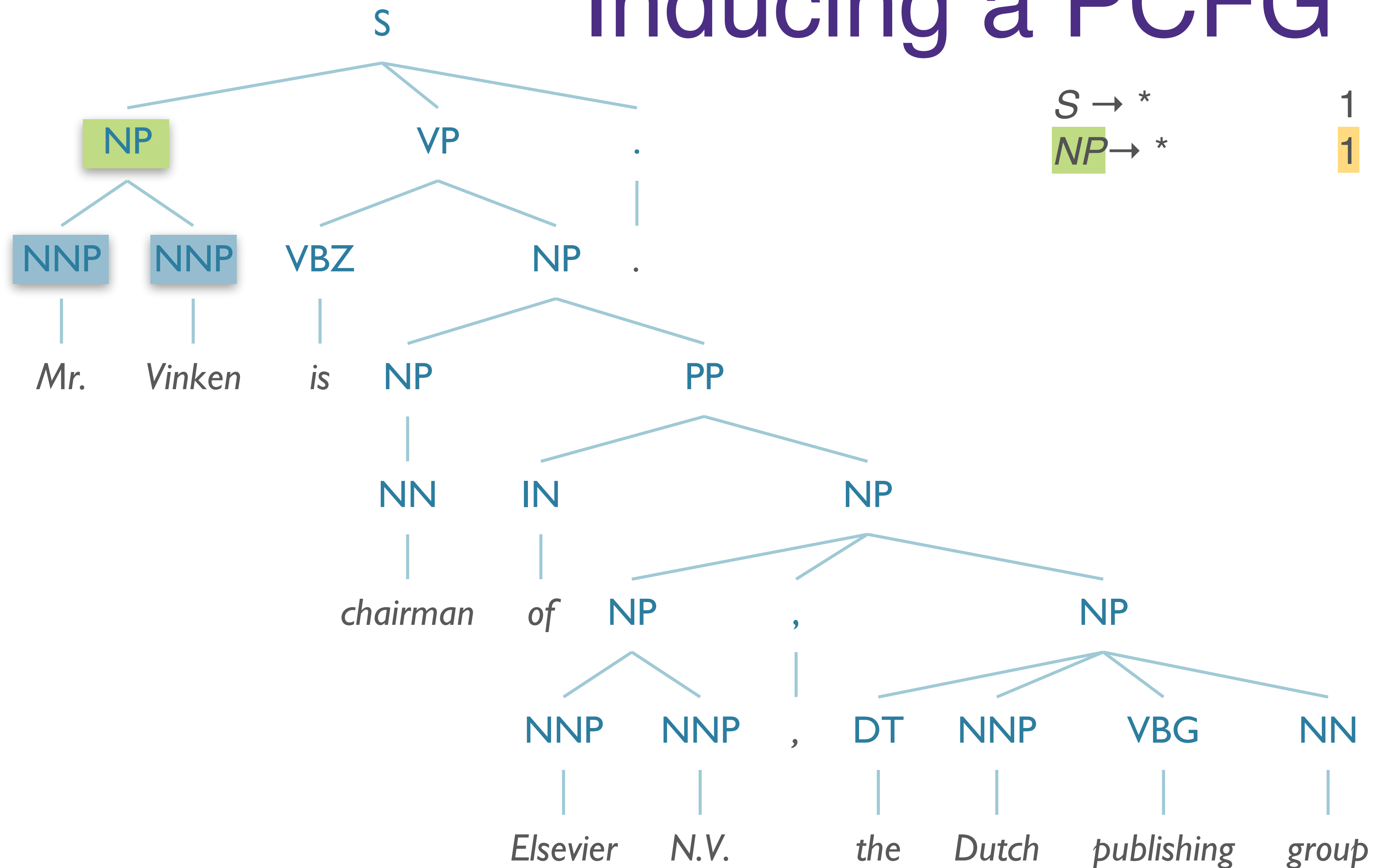


$S \rightarrow *$

1 $S \rightarrow NPVP.$

1

Inducing a PCFG

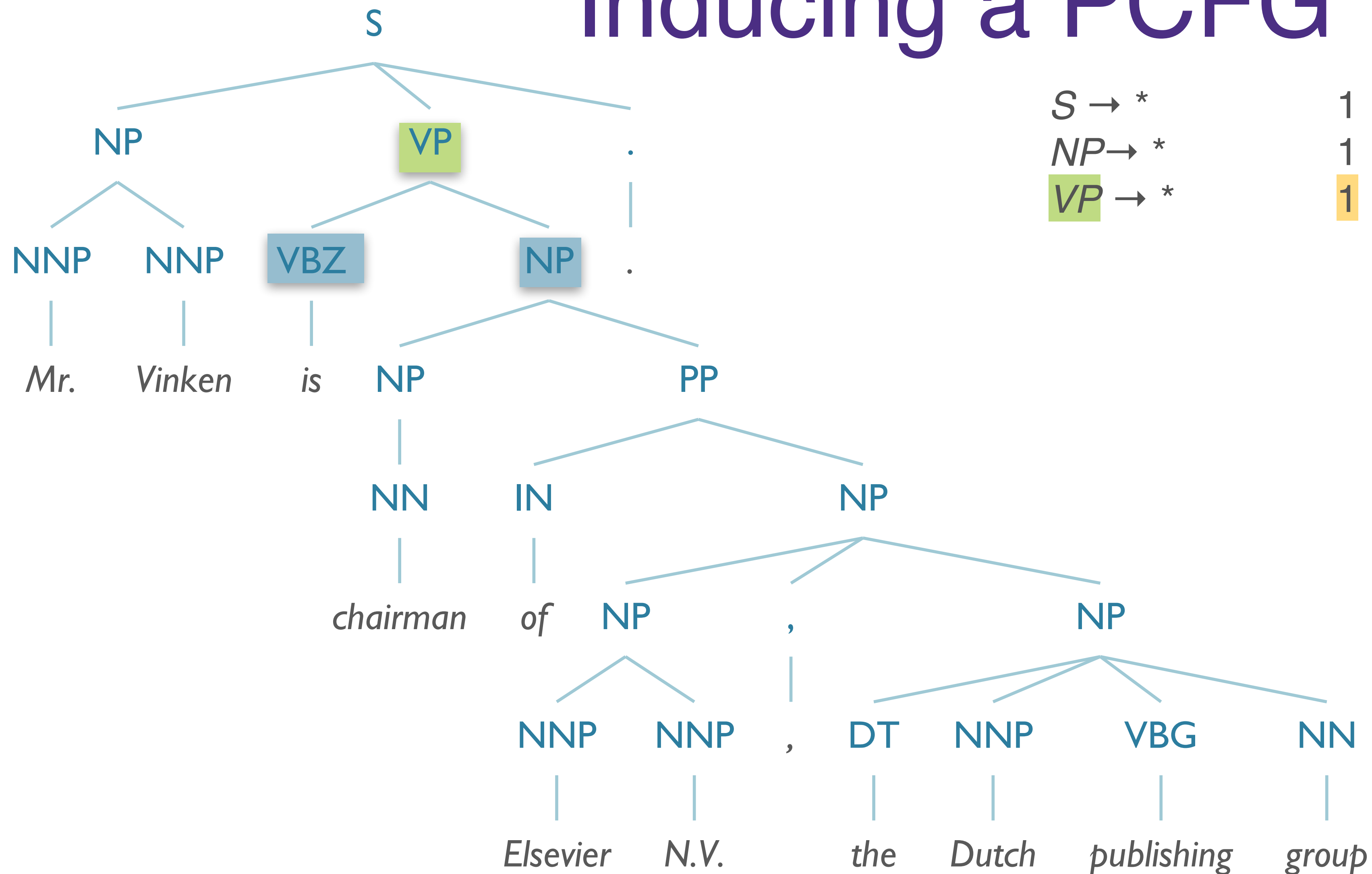


$S \rightarrow *$
 $NP \rightarrow *$

1 $S \rightarrow NP VP .$
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1
 1

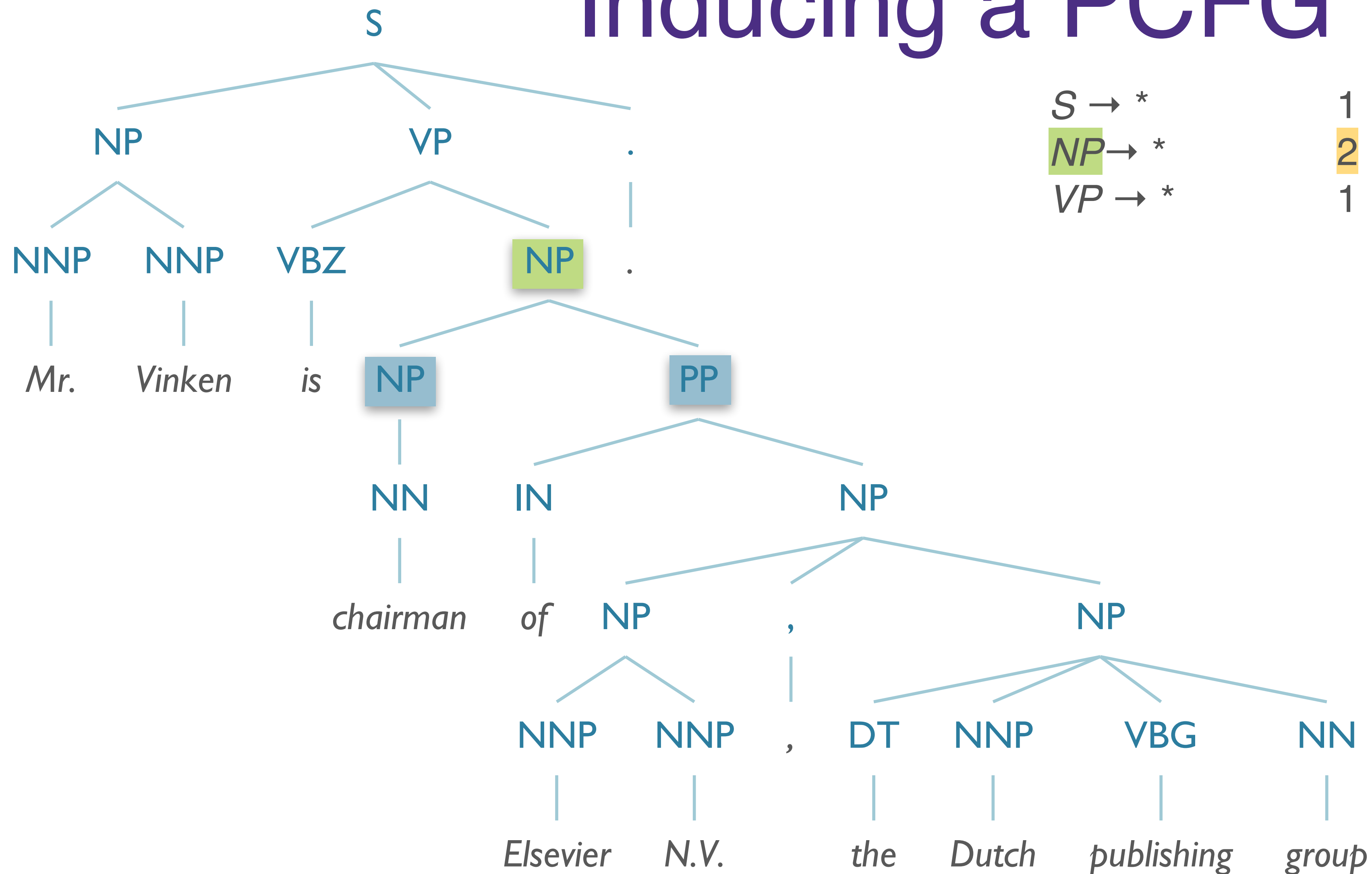
Inducing a PCFG



$S \rightarrow *$
 $NP \rightarrow *$
 $VP \rightarrow *$

1	$S \rightarrow NP VP .$	1
1	$NP \rightarrow NNP NNP$	1
1	$VP \rightarrow VBZ NP$	1

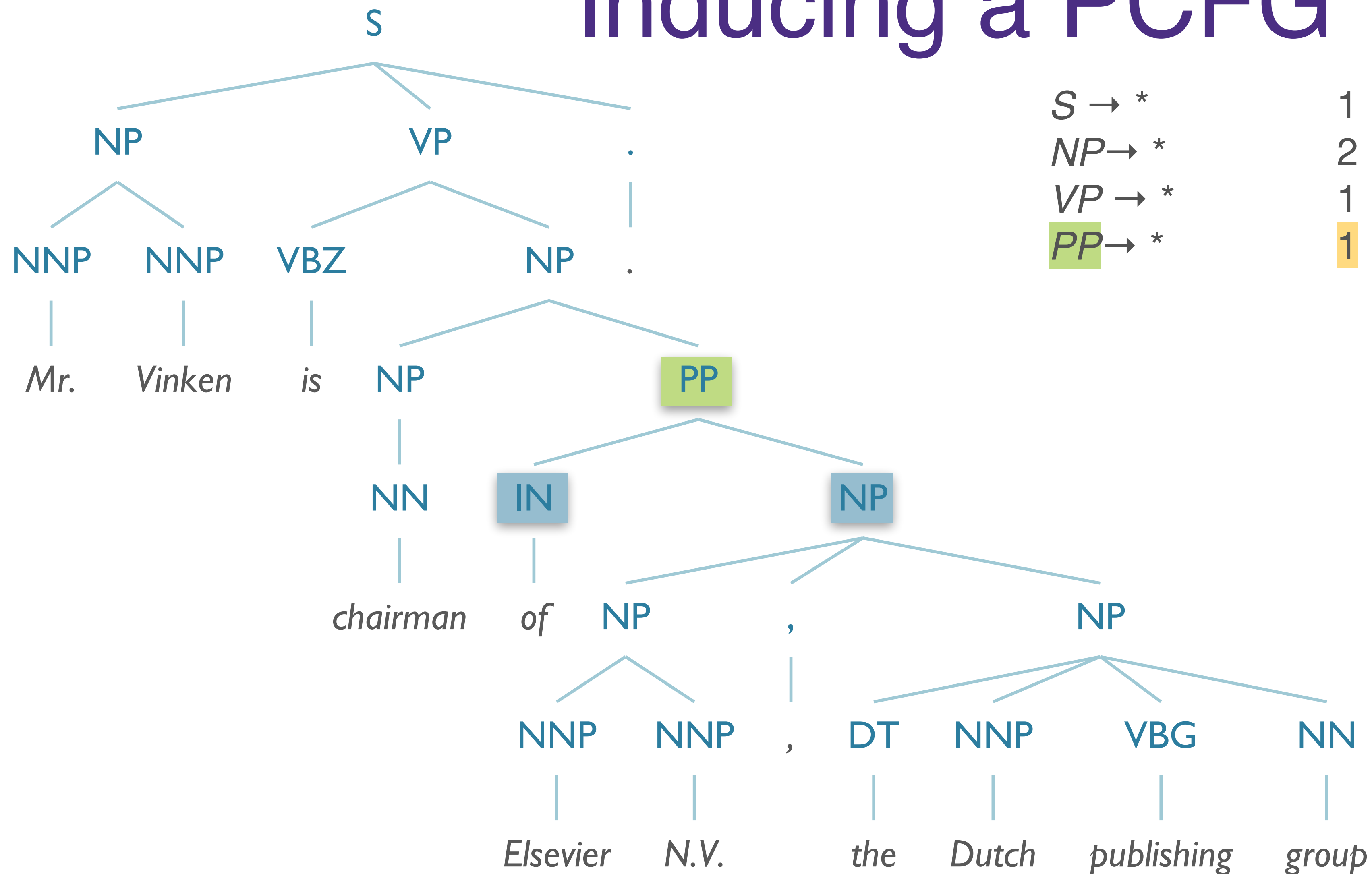
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	$NP \rightarrow NP PP$	1

Inducing a PCFG



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1

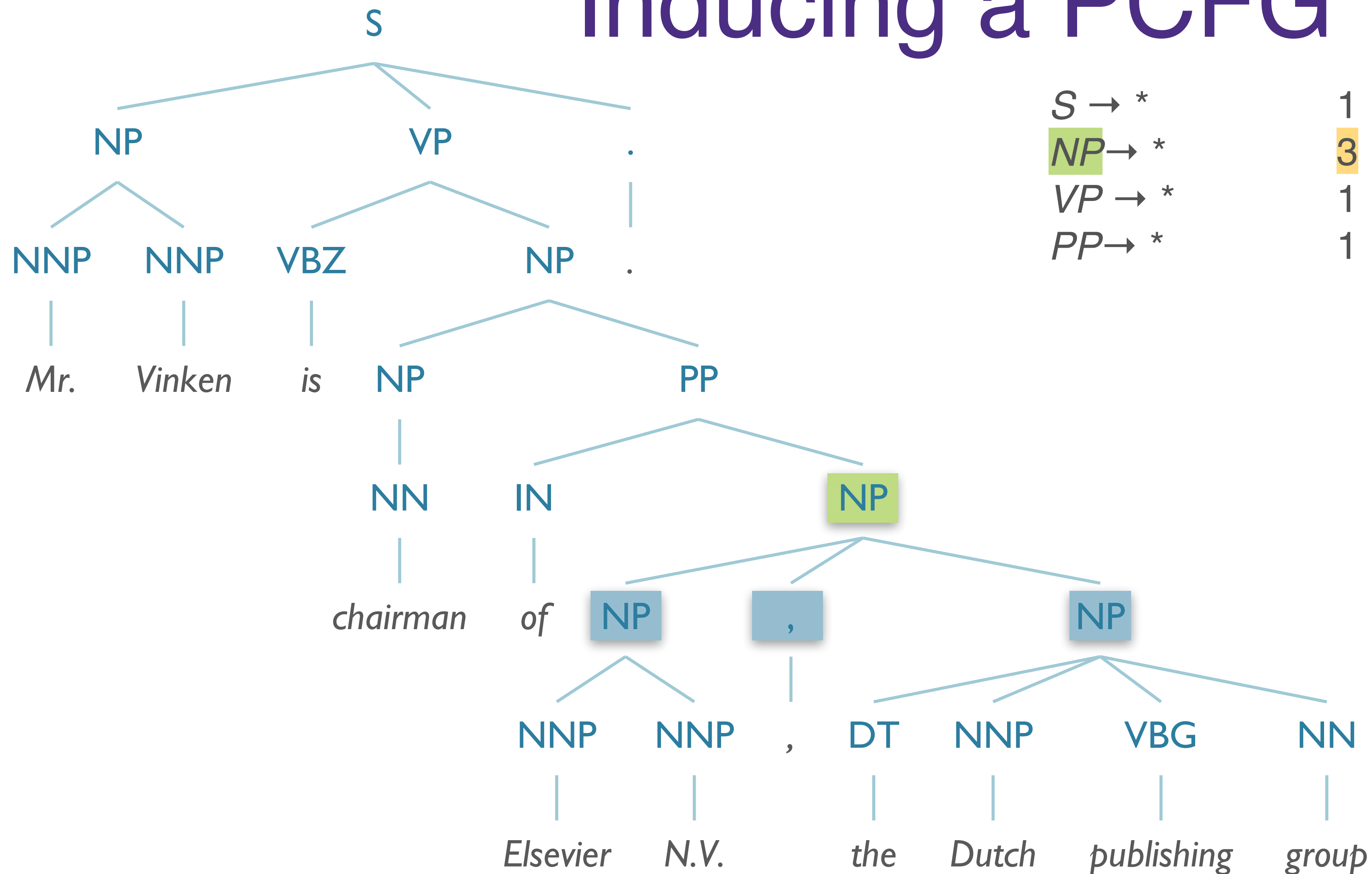
1

1

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Inducing a PCFG



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$PP \rightarrow IN NP$

$NP \rightarrow NP , NP$

1

1

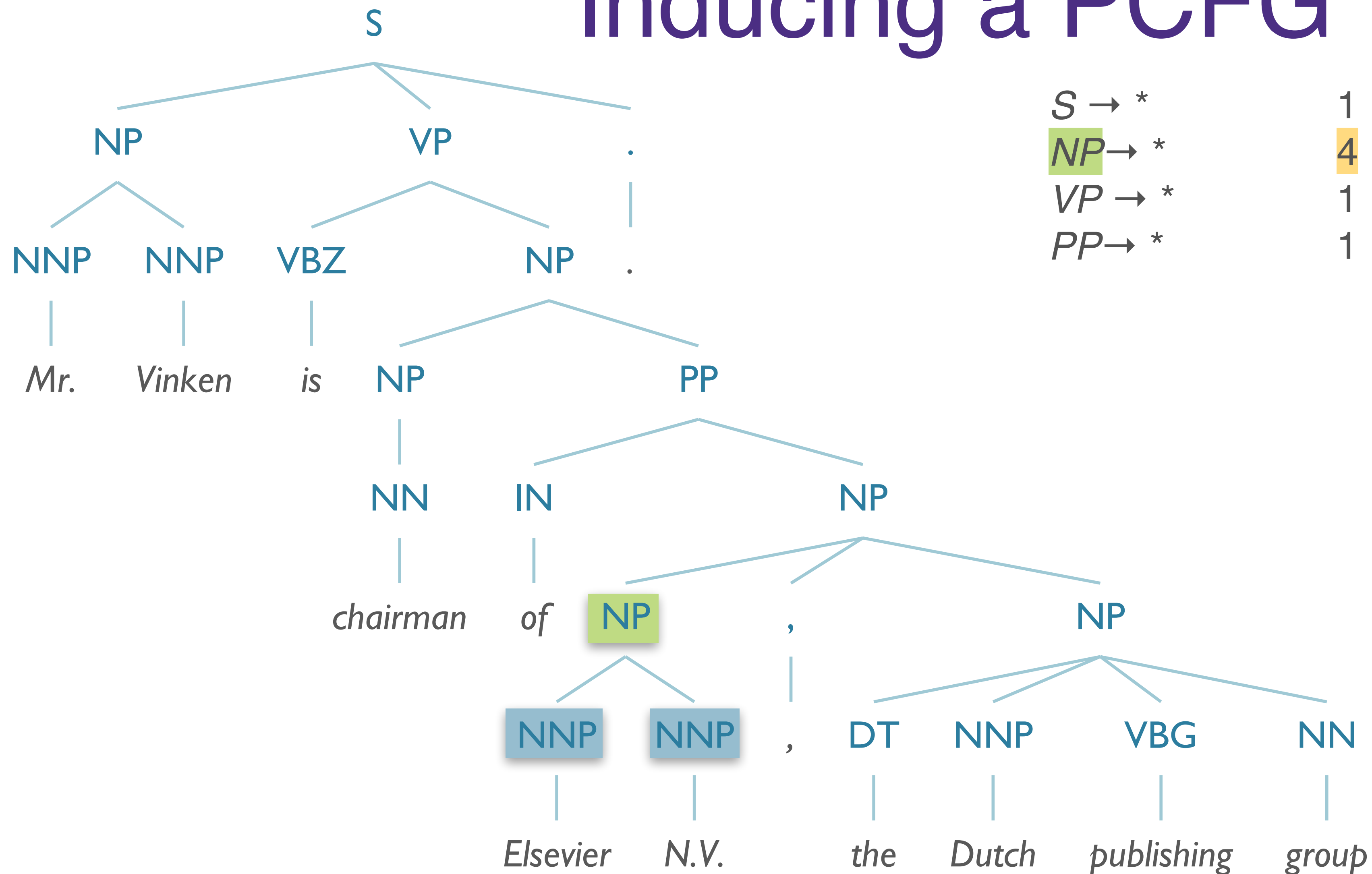
1

1

1

1

Inducing a PCFG



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1

2

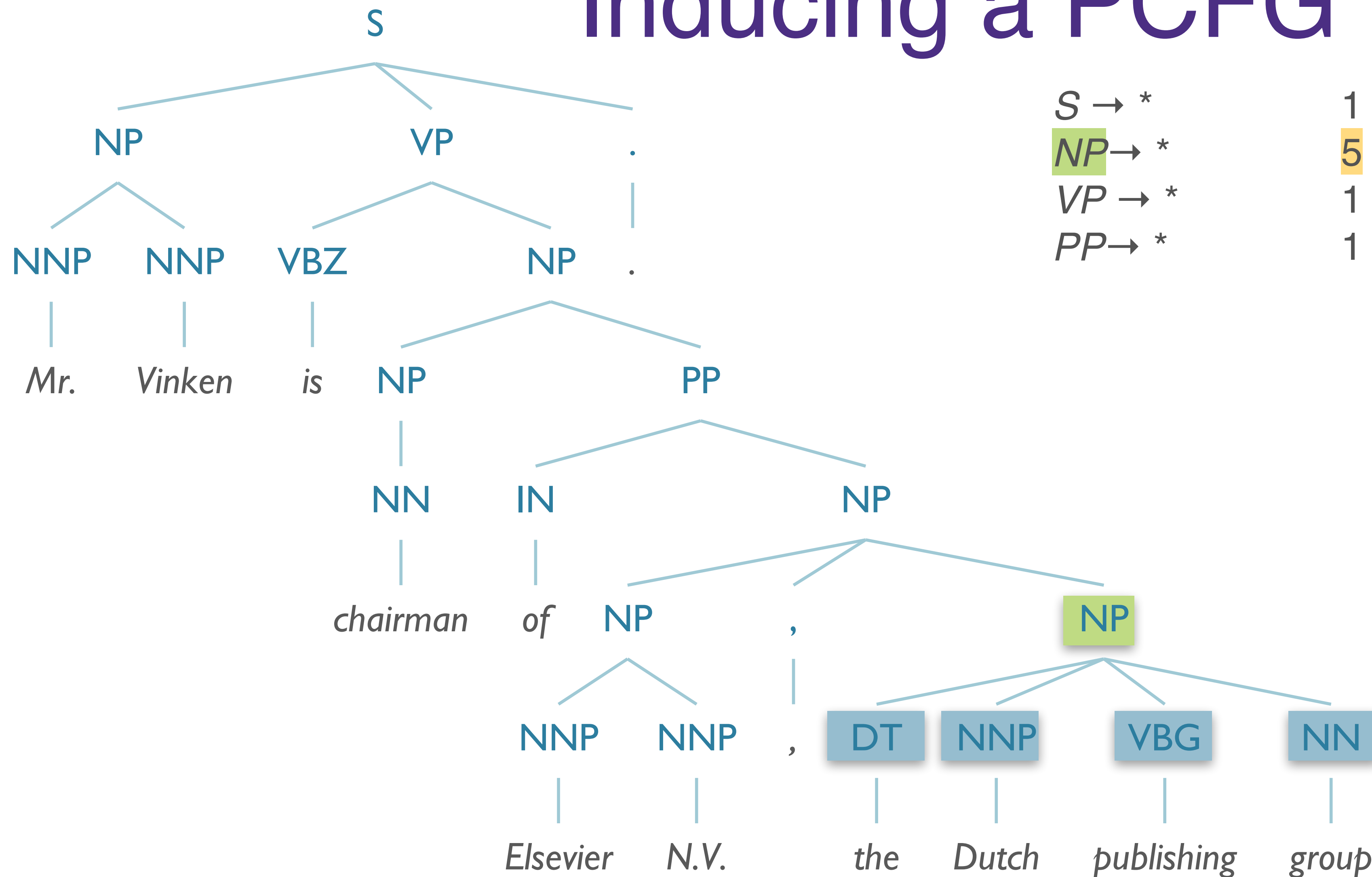
1

1

1

1

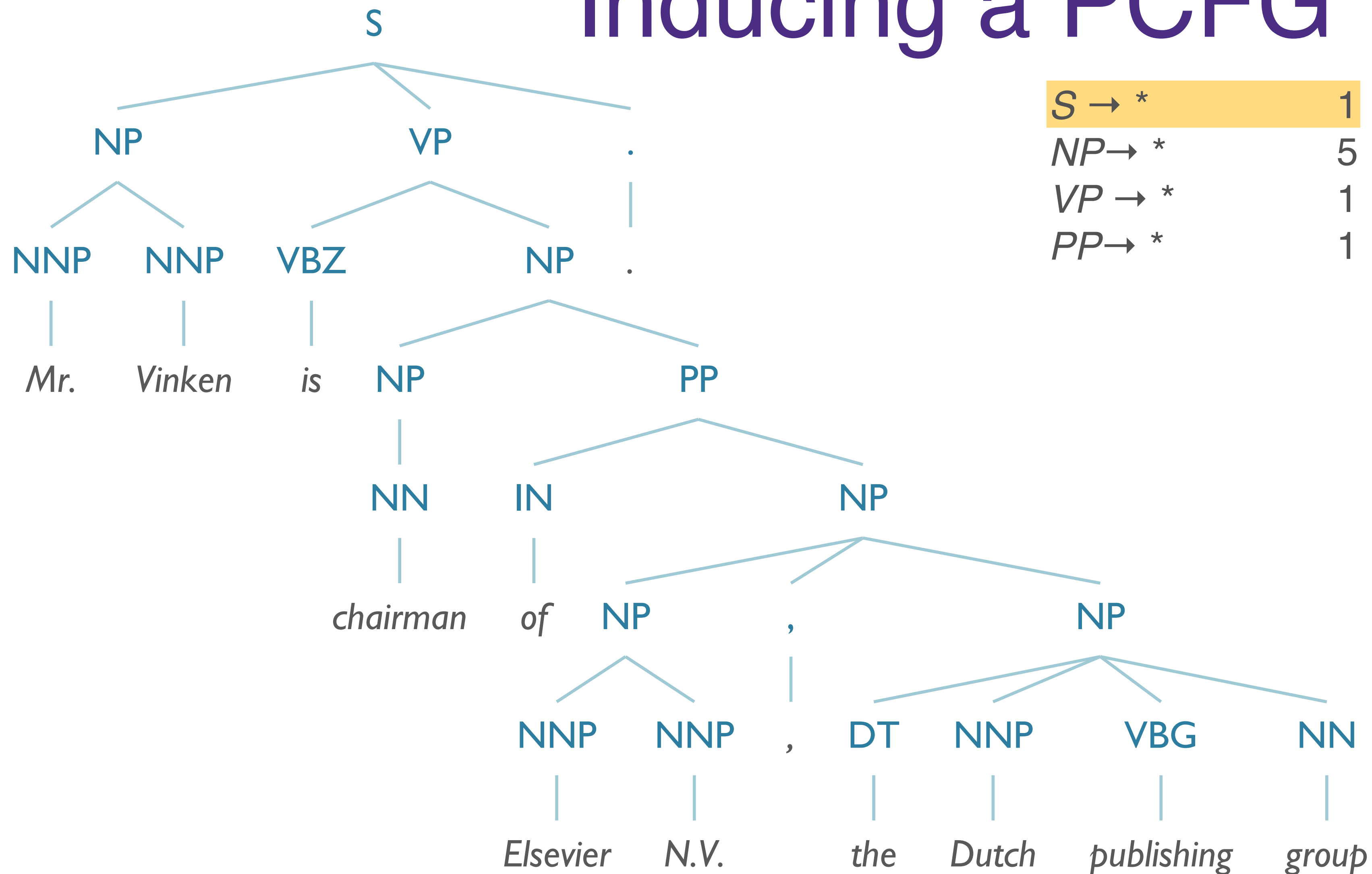
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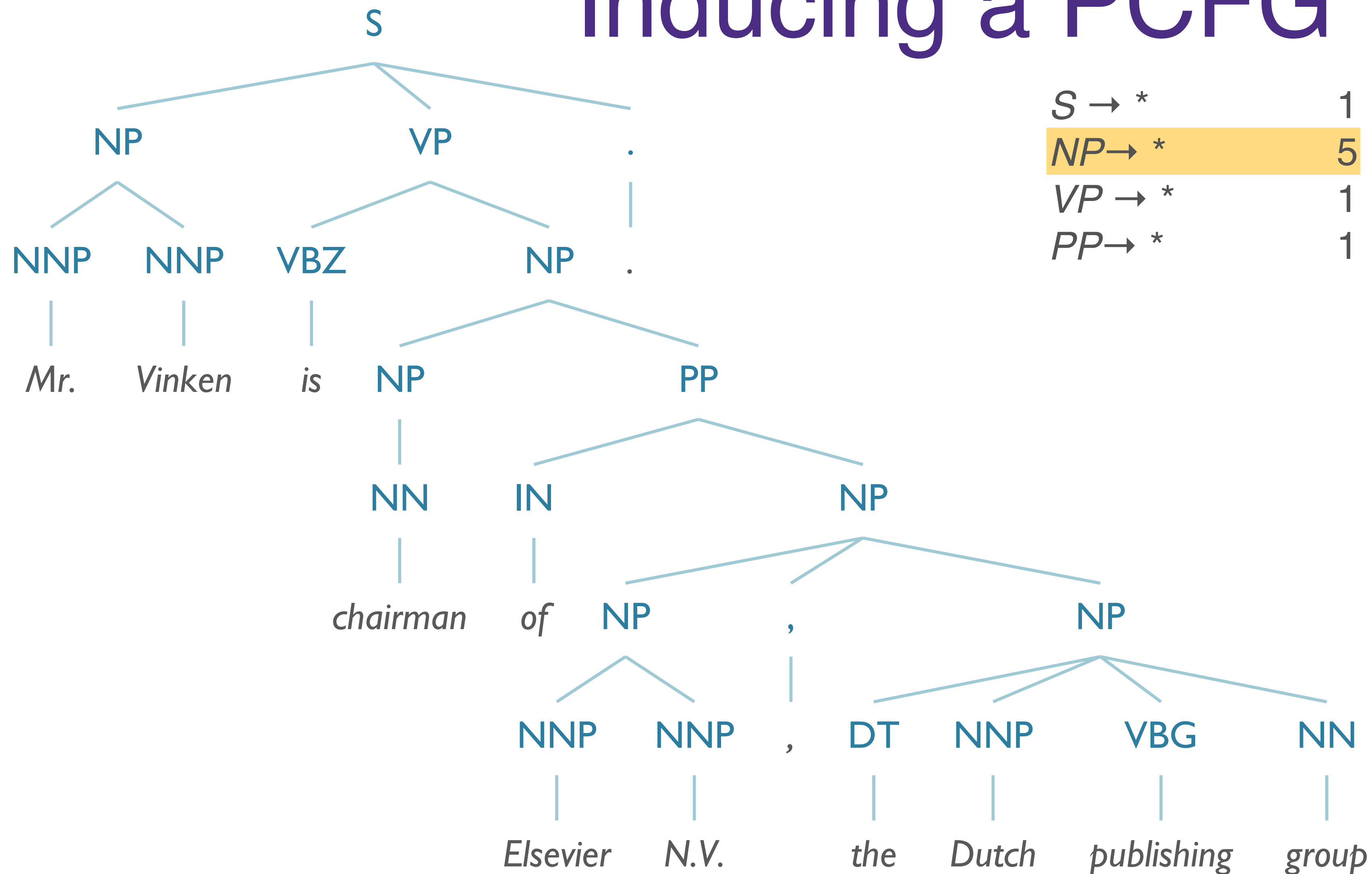
1	$S \rightarrow NP VP .$	1
5	$NP \rightarrow NNP NNP$	2
1	$VP \rightarrow VBZ NP$	1
1	$NP \rightarrow NP PP$	1
	$PP \rightarrow IN NP$	1
	$NP \rightarrow NP , NP$	1
	$NP \rightarrow DT NNP VBG$	1
	NN	

Inducing a PCFG



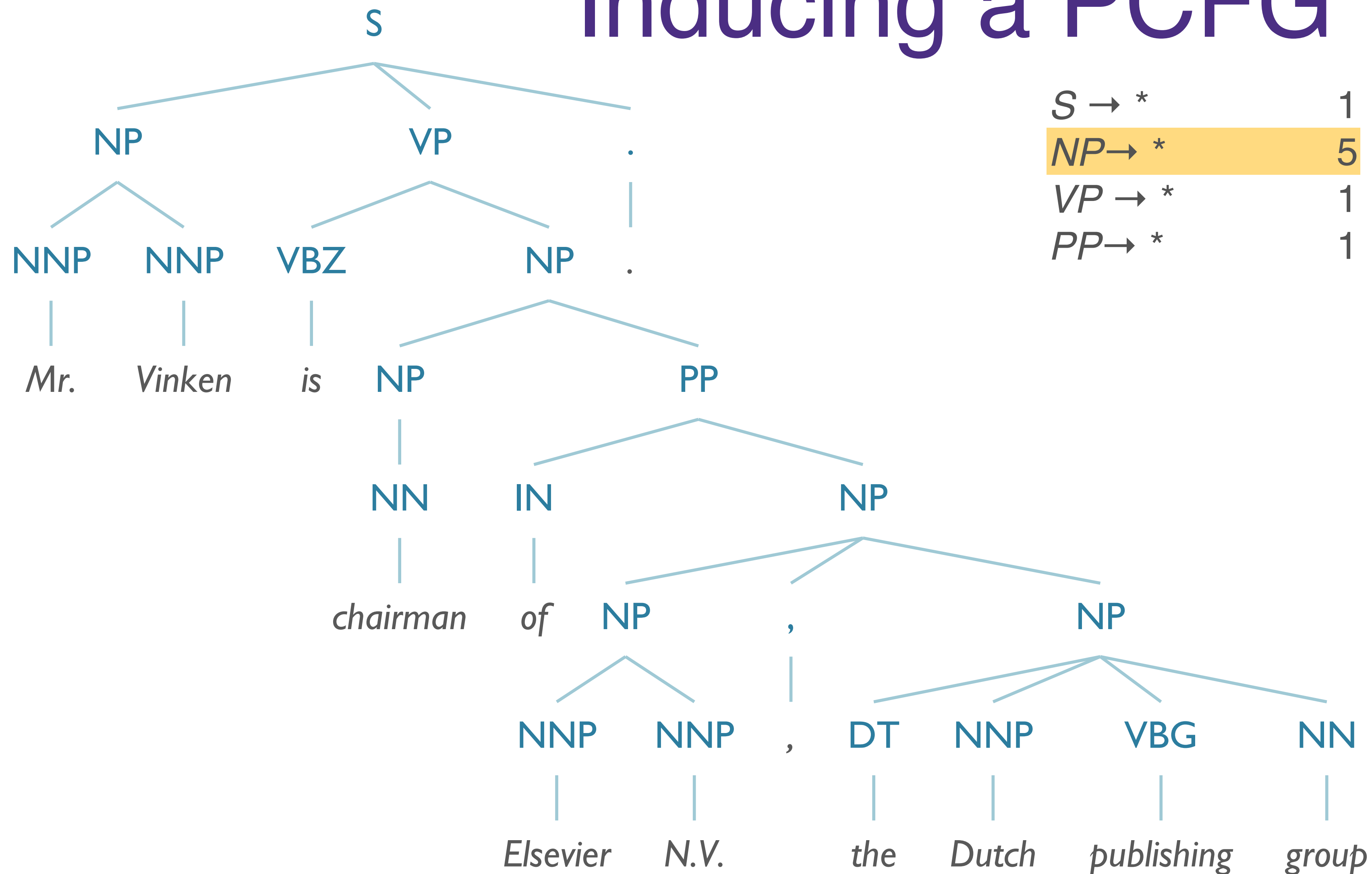
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$NP \rightarrow *$	5	$NP \rightarrow NNP NNP$	2
$VP \rightarrow *$	1	$VP \rightarrow VBZ NP$	1
$PP \rightarrow *$	1	$NP \rightarrow NP PP$	1
		$PP \rightarrow IN NP$	1
		$NP \rightarrow NP , NP$	1
		$NP \rightarrow DT NNP VBG$	1
		NN	1

Inducing a PCFG



$S \rightarrow *$	1	$S \rightarrow NP VP .$	1
$NP \rightarrow *$	5	$NP \rightarrow NNP NNP$	2/5
$VP \rightarrow *$	1	$VP \rightarrow VBZ NP$	1
$PP \rightarrow *$	1	$NP \rightarrow NP PP$	1/5
		$PP \rightarrow IN NP$	1
		$NP \rightarrow NP , NP$	1/5
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		NN	

Inducing a PCFG



$S \rightarrow *$	1	$S \rightarrow NP VP .$	1
$NP \rightarrow *$	5	$NP \rightarrow NNP NNP$	0.4
$VP \rightarrow *$	1	$VP \rightarrow VBZ NP$	1
$PP \rightarrow *$	1	$NP \rightarrow NP PP$	0.2
		$PP \rightarrow IN NP$	1
		$NP \rightarrow NP , NP$	0.2
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Problems with PCFGs

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 - Assume that rule probabilities are independent
- Lack of Lexical Conditioning
 - Lexical items should influence the choice of analysis

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	Pronominal	Non-Pronominal
Subject	91%	9%
Object	34%	66%

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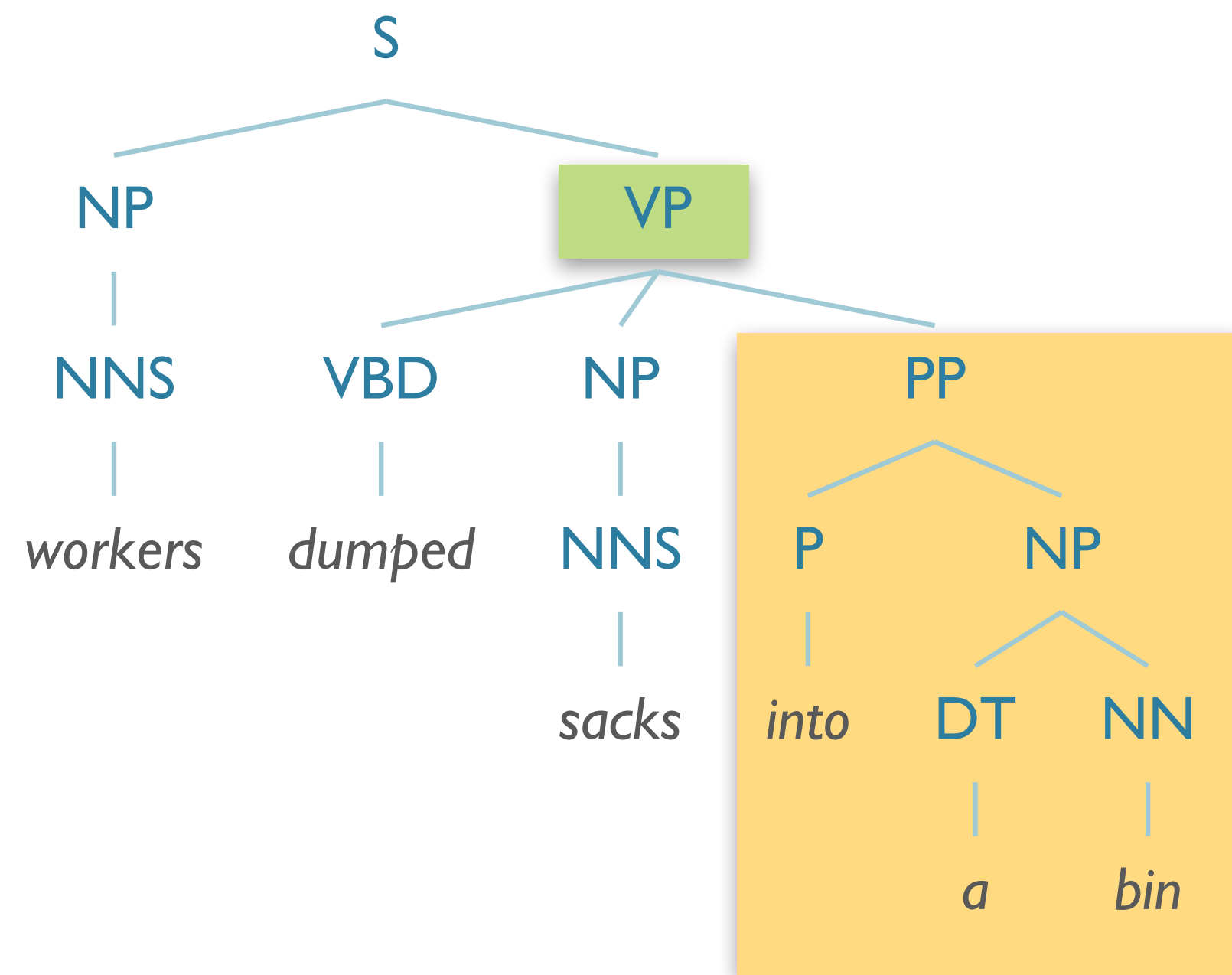
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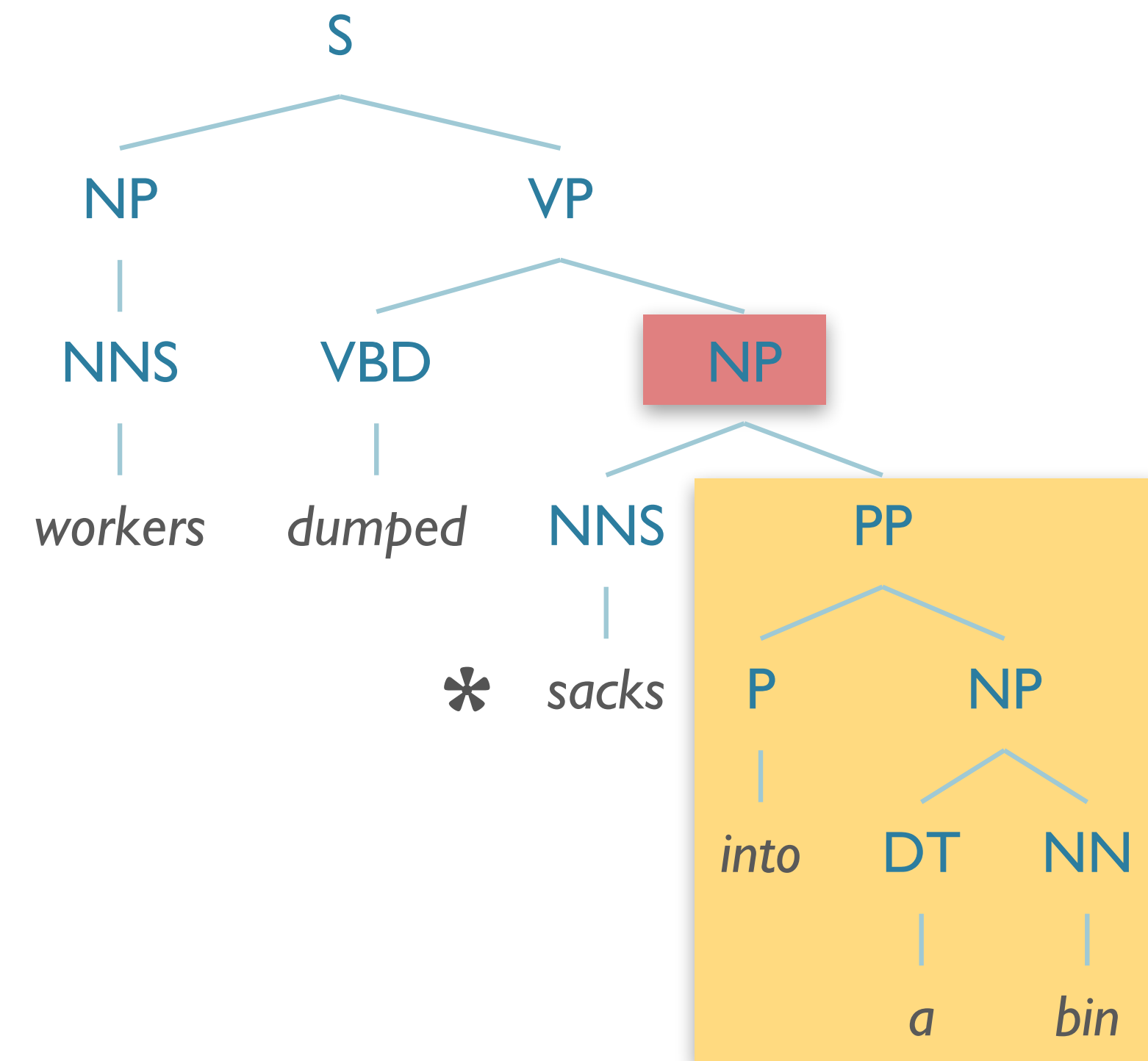
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...Can try **parent annotation**

Issues with PCFGs: Lexical Conditioning

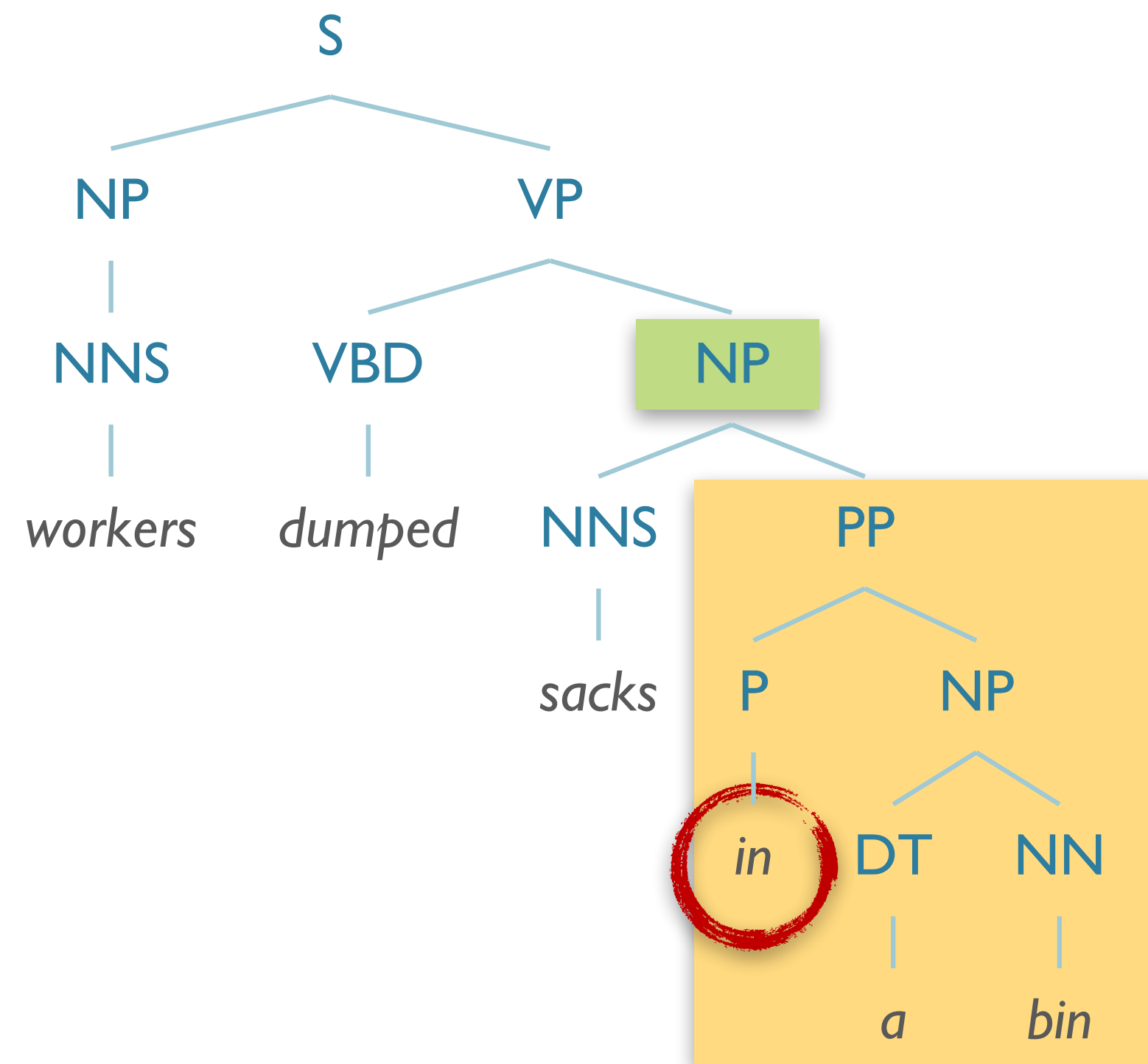


("into a bin" = location of sacks after dumping)
OK!

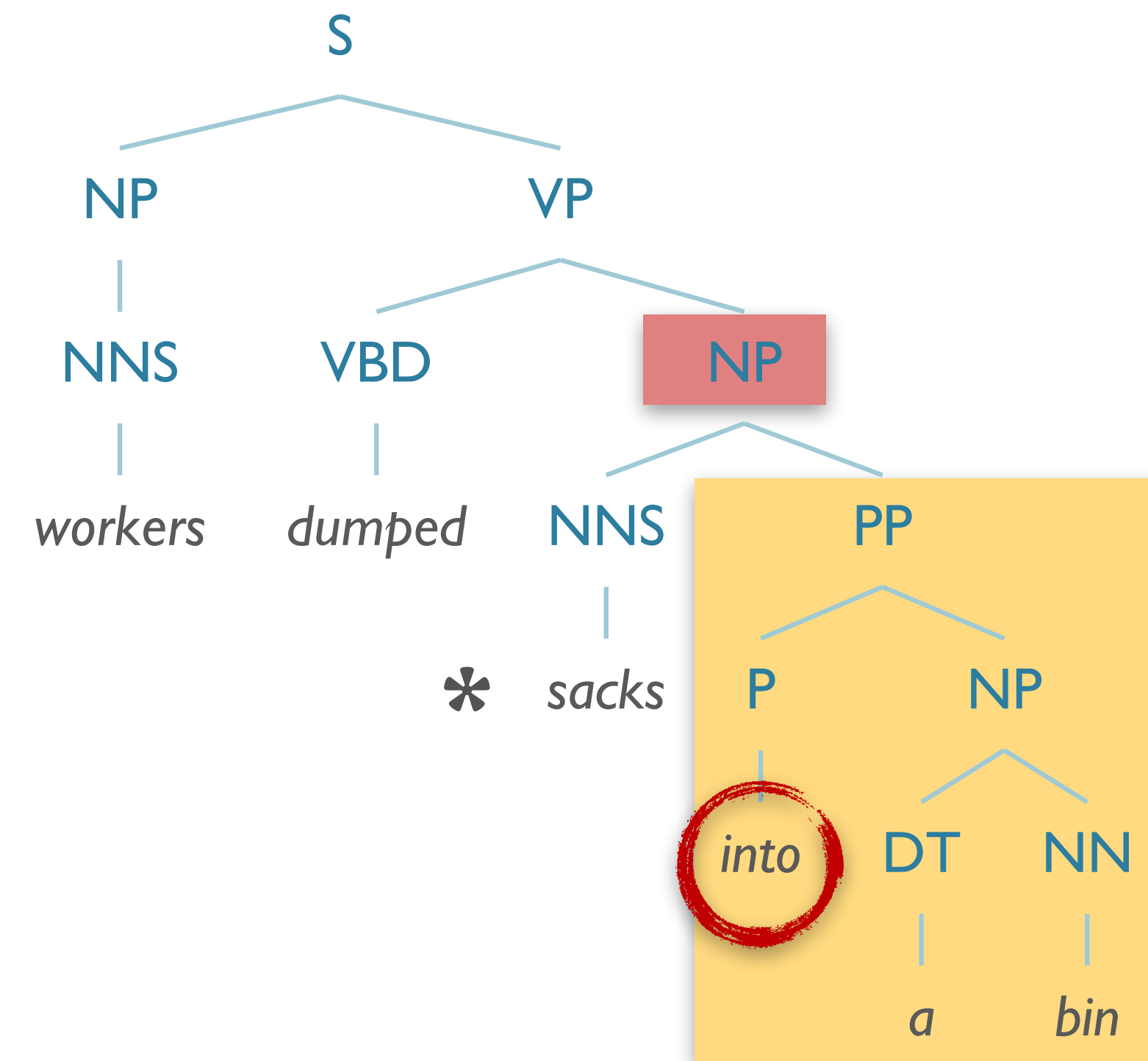


("into a bin" = *the sacks which were located *in PP*)
not OK

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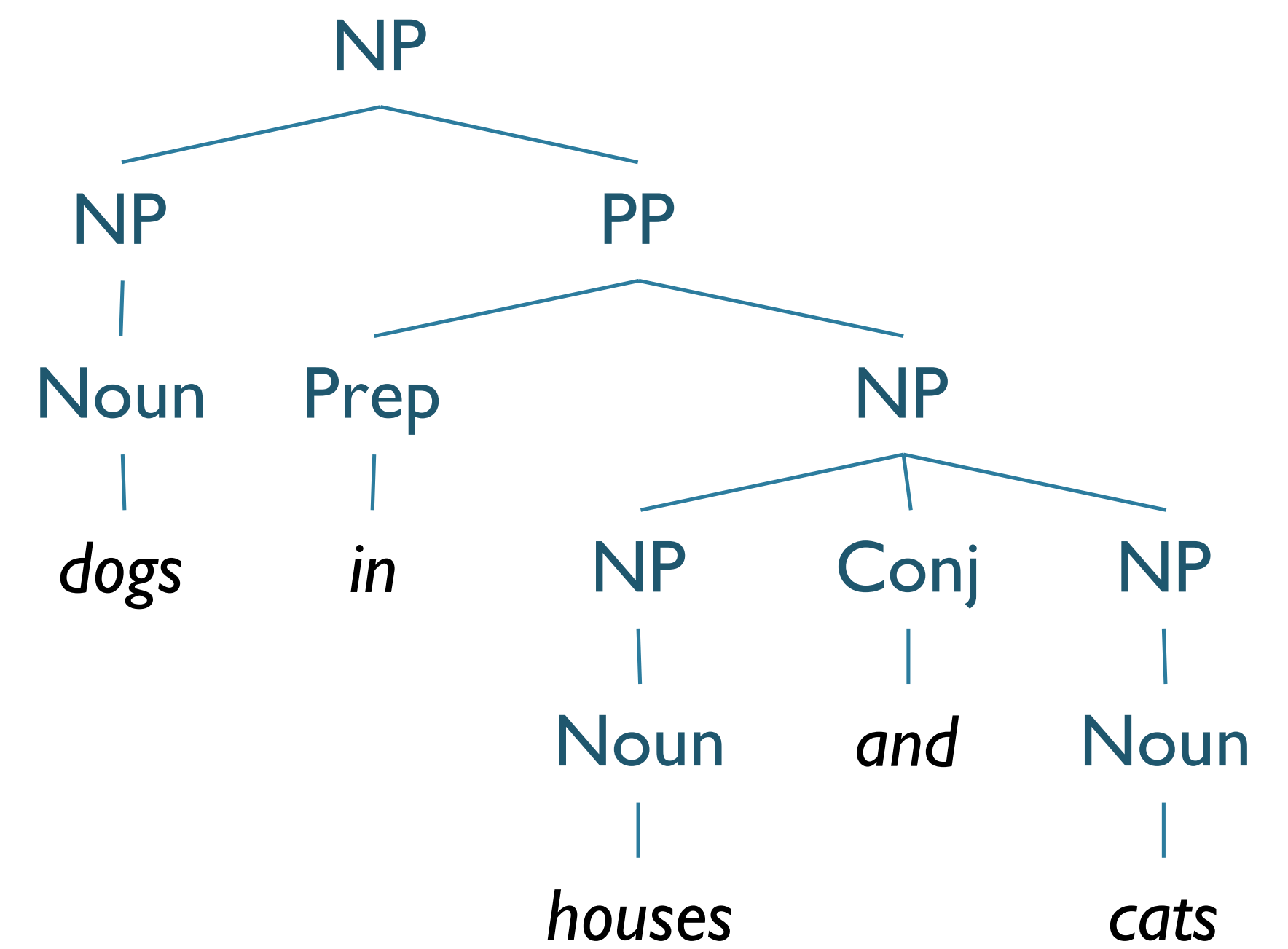
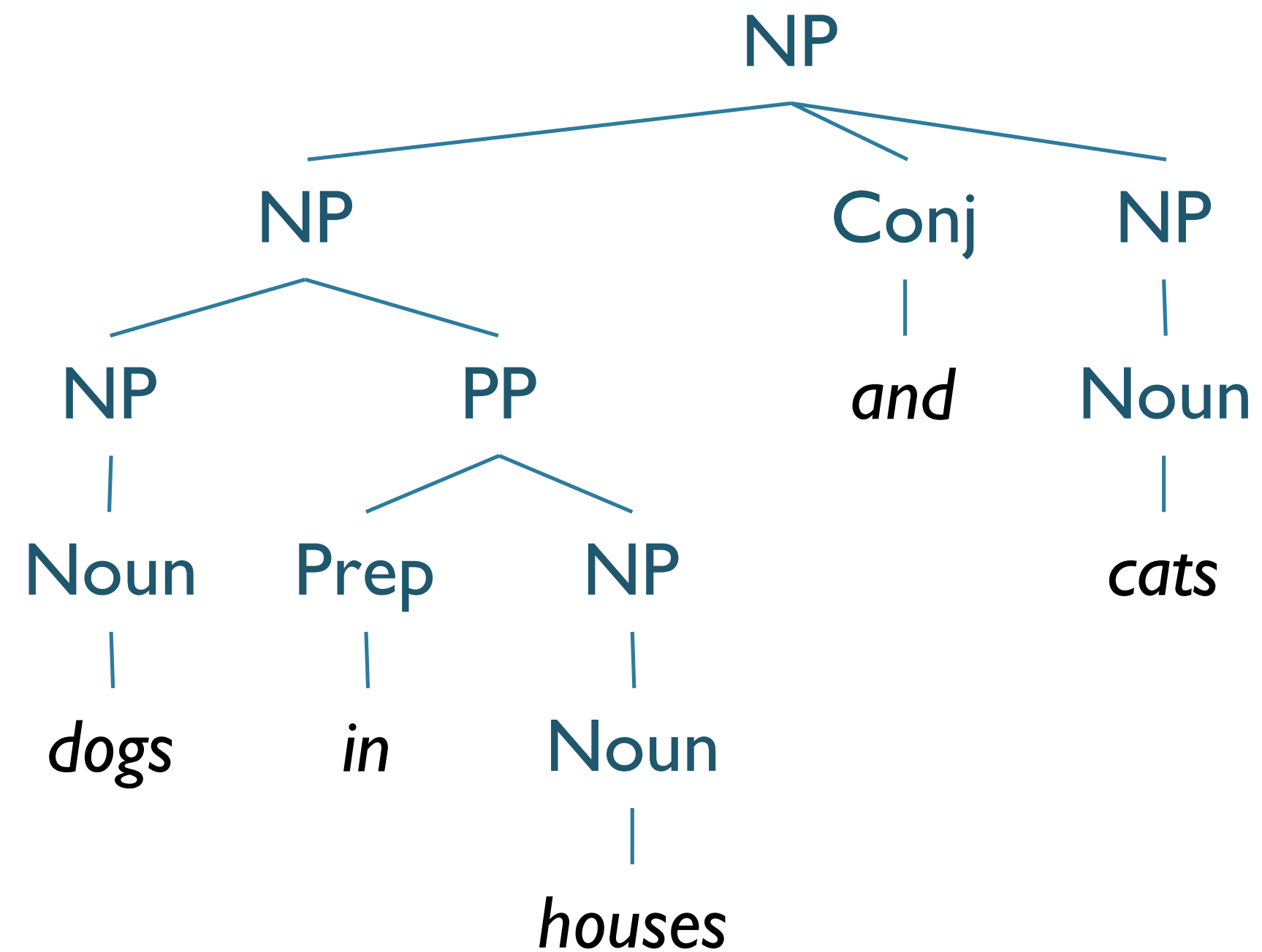


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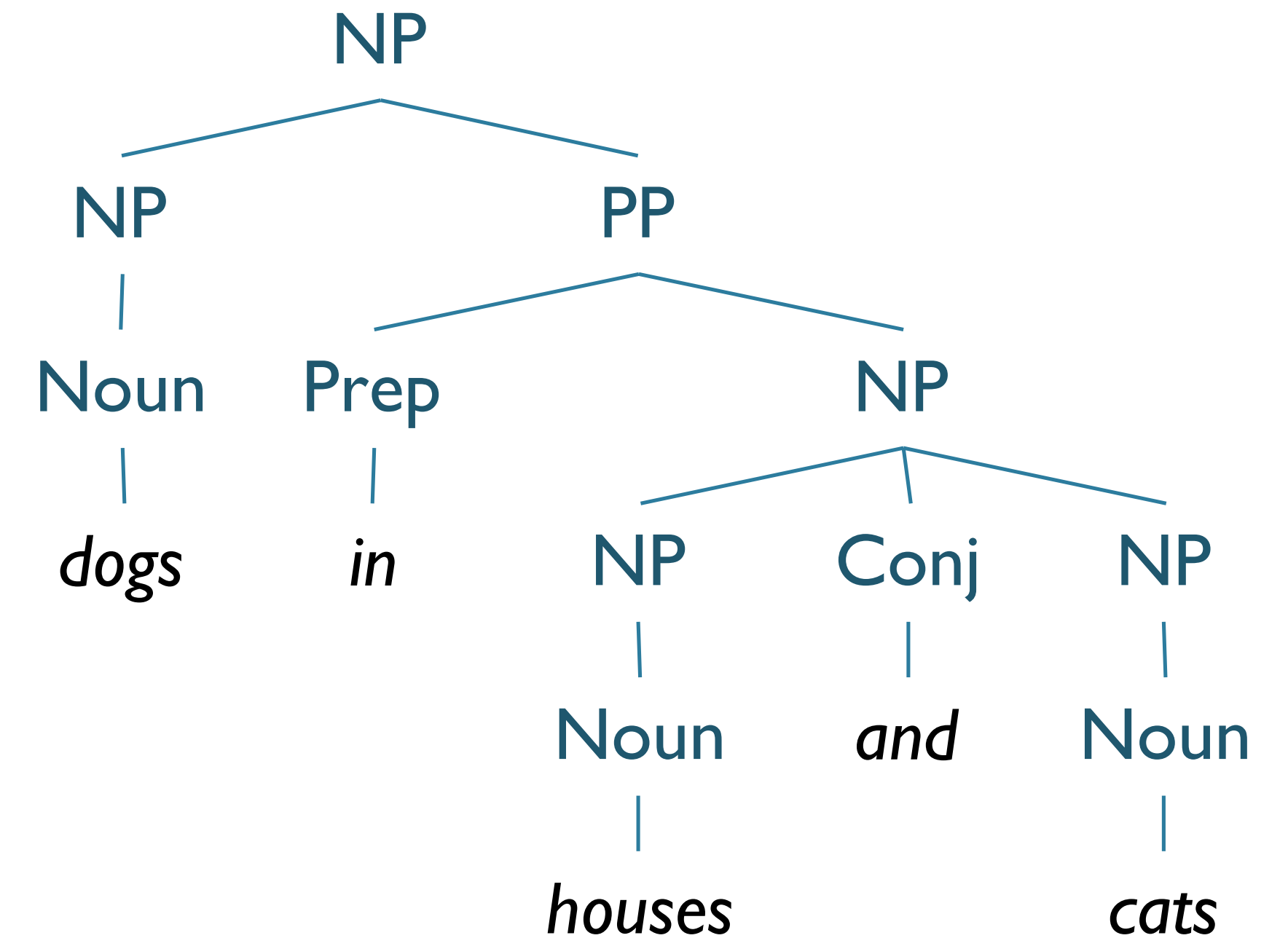
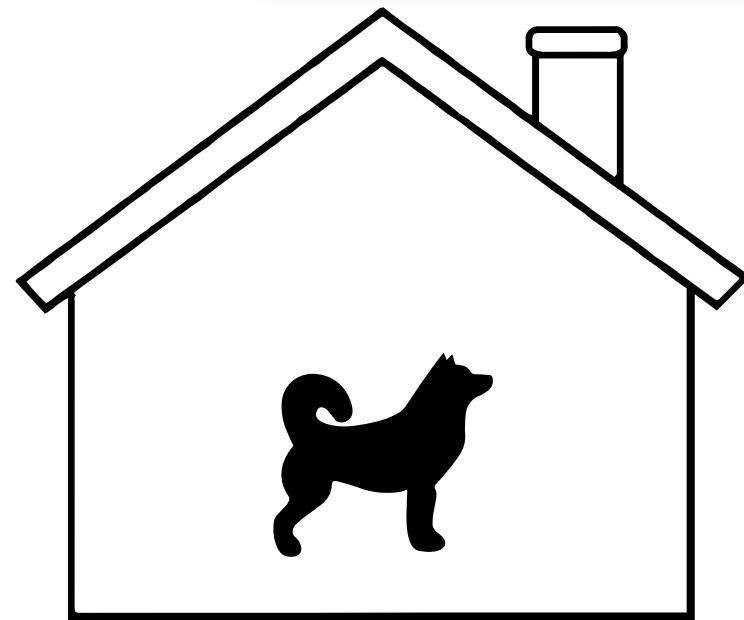
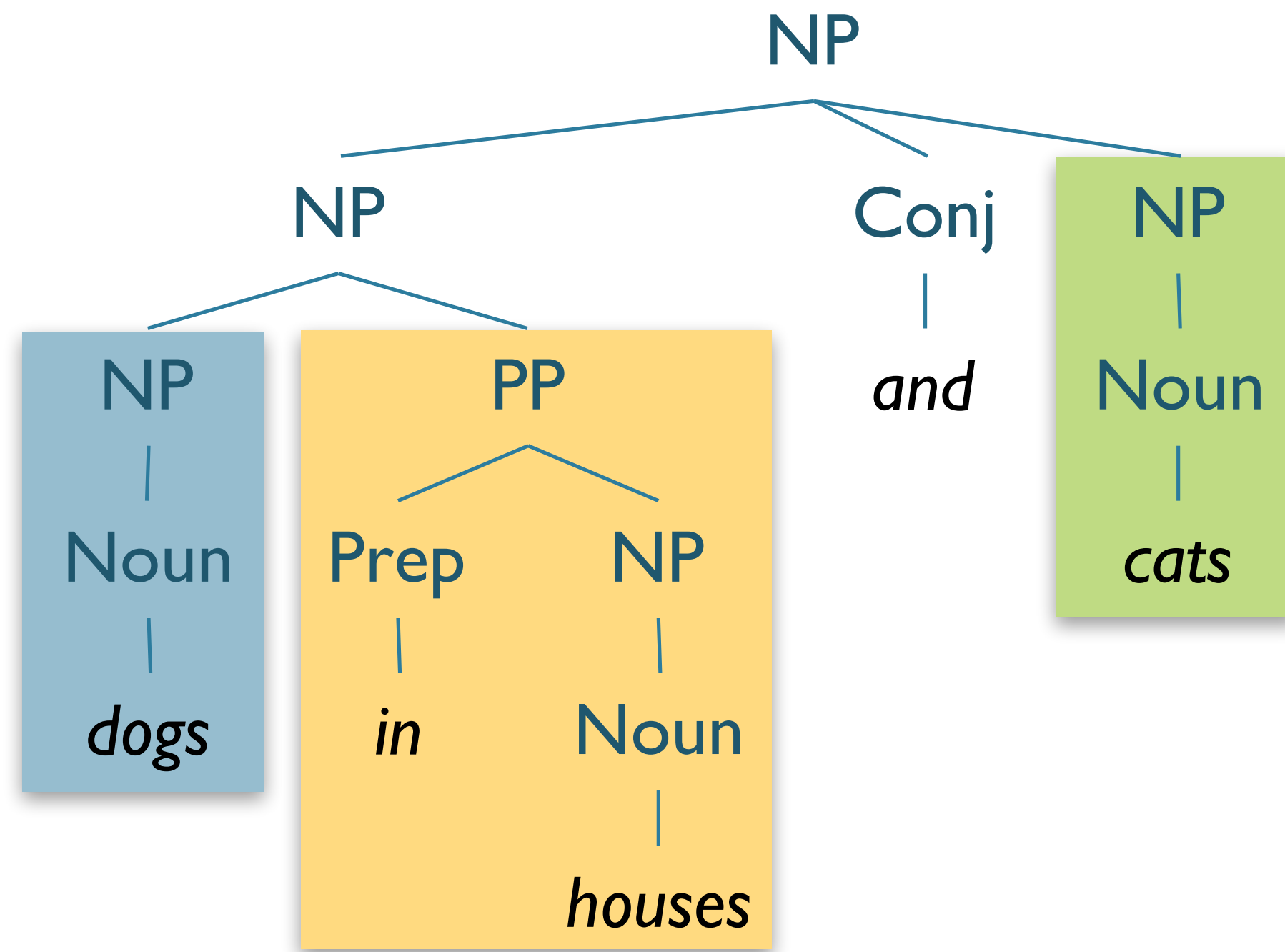
Issues with PCFGs: Lexical Conditioning

- *workers dumped sacks into a bin*
 - *into* should **prefer** modifying *dumped*
 - *into* should **disprefer** modifying *sacks*
- *fishermen caught tons of herring*
 - *of* should **prefer** modifying *tons*
 - *of* should **disprefer** modifying *caught*

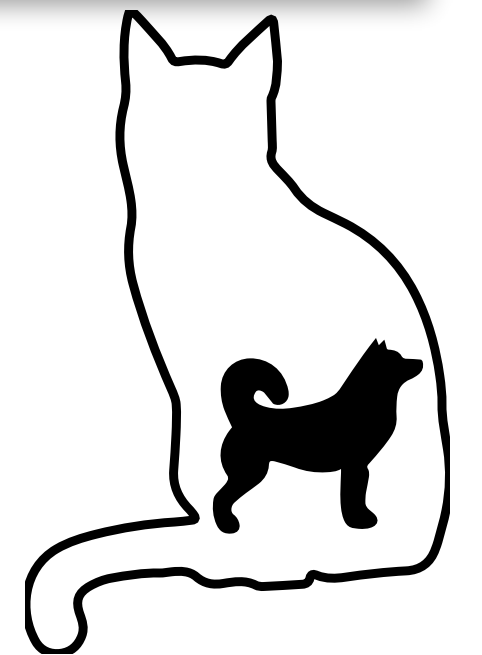
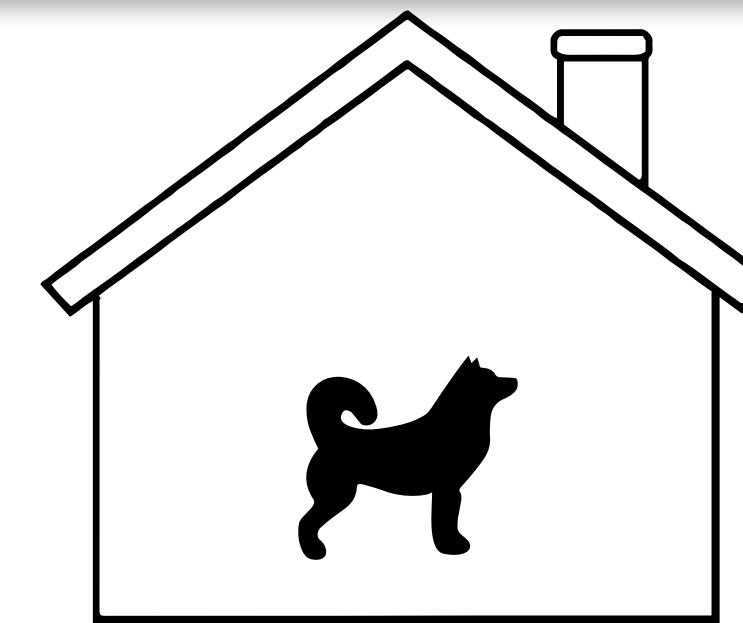
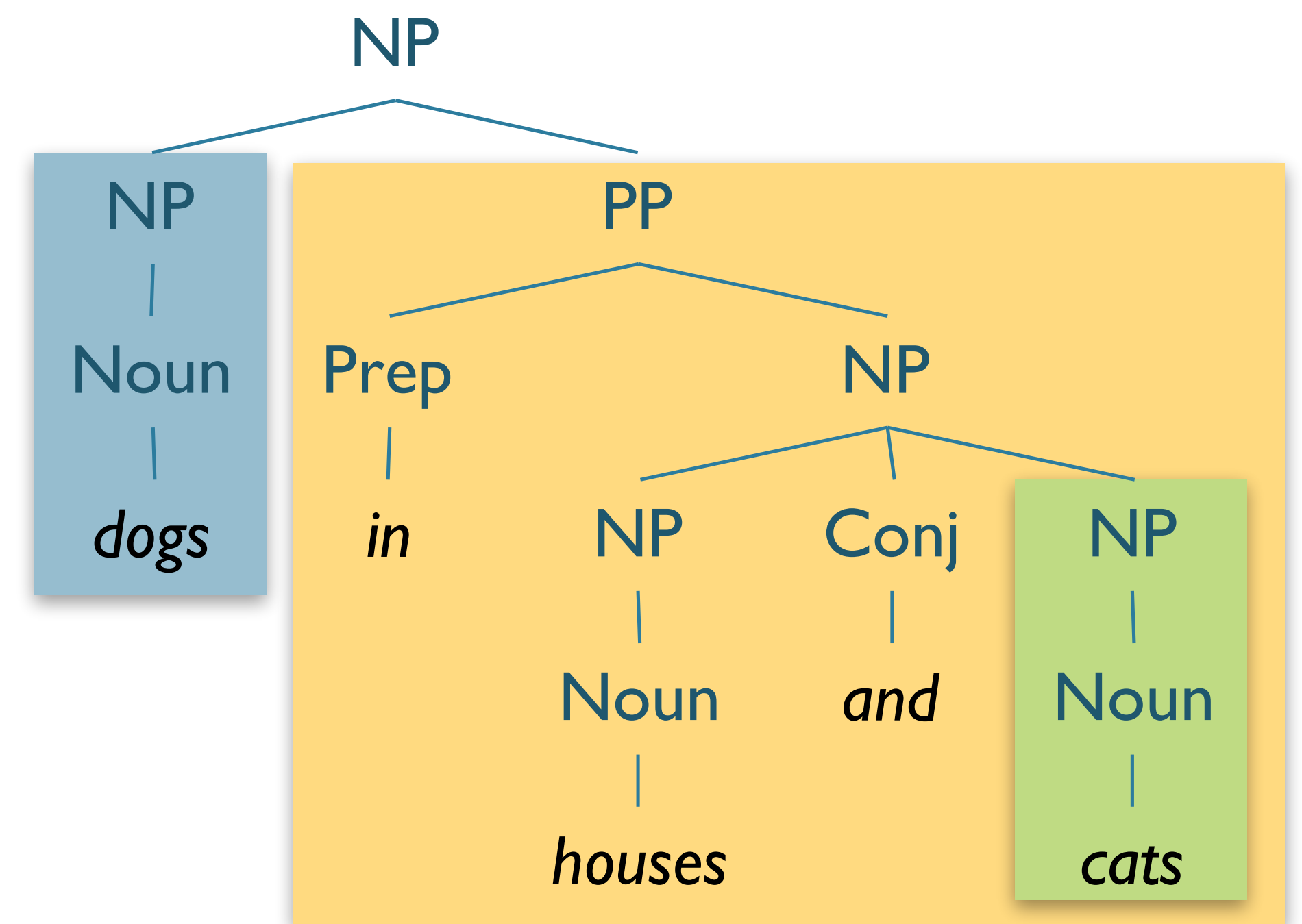
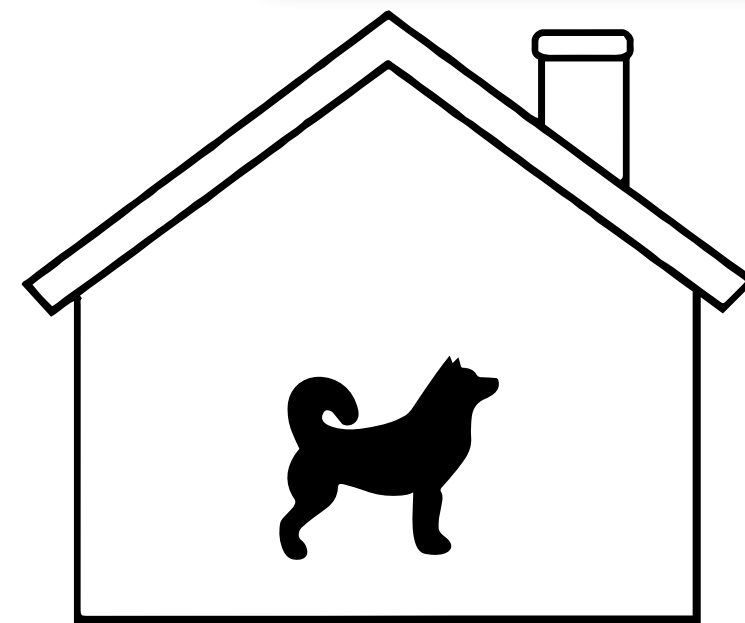
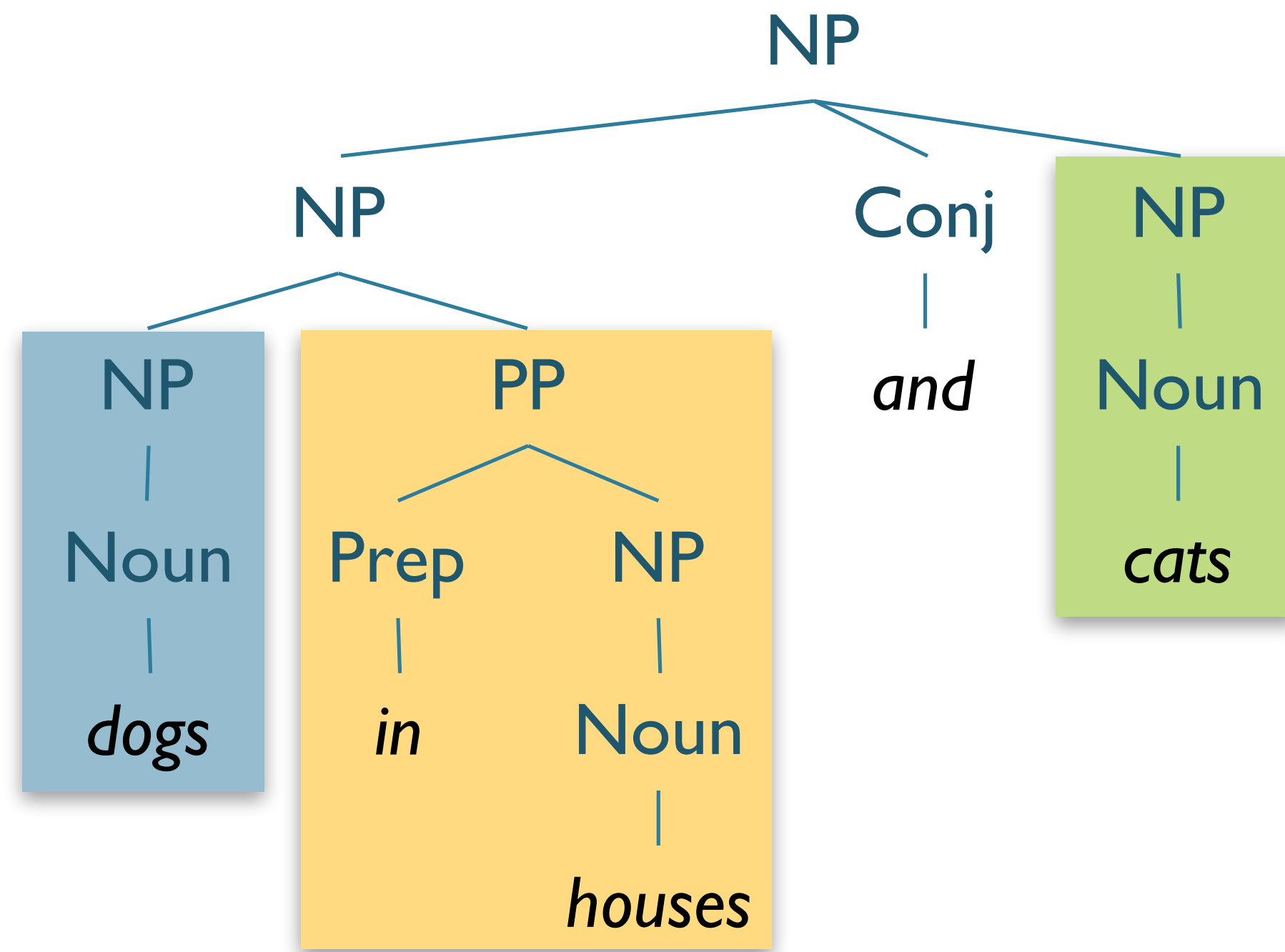
Issues with PCFGs: Coordination Ambiguity



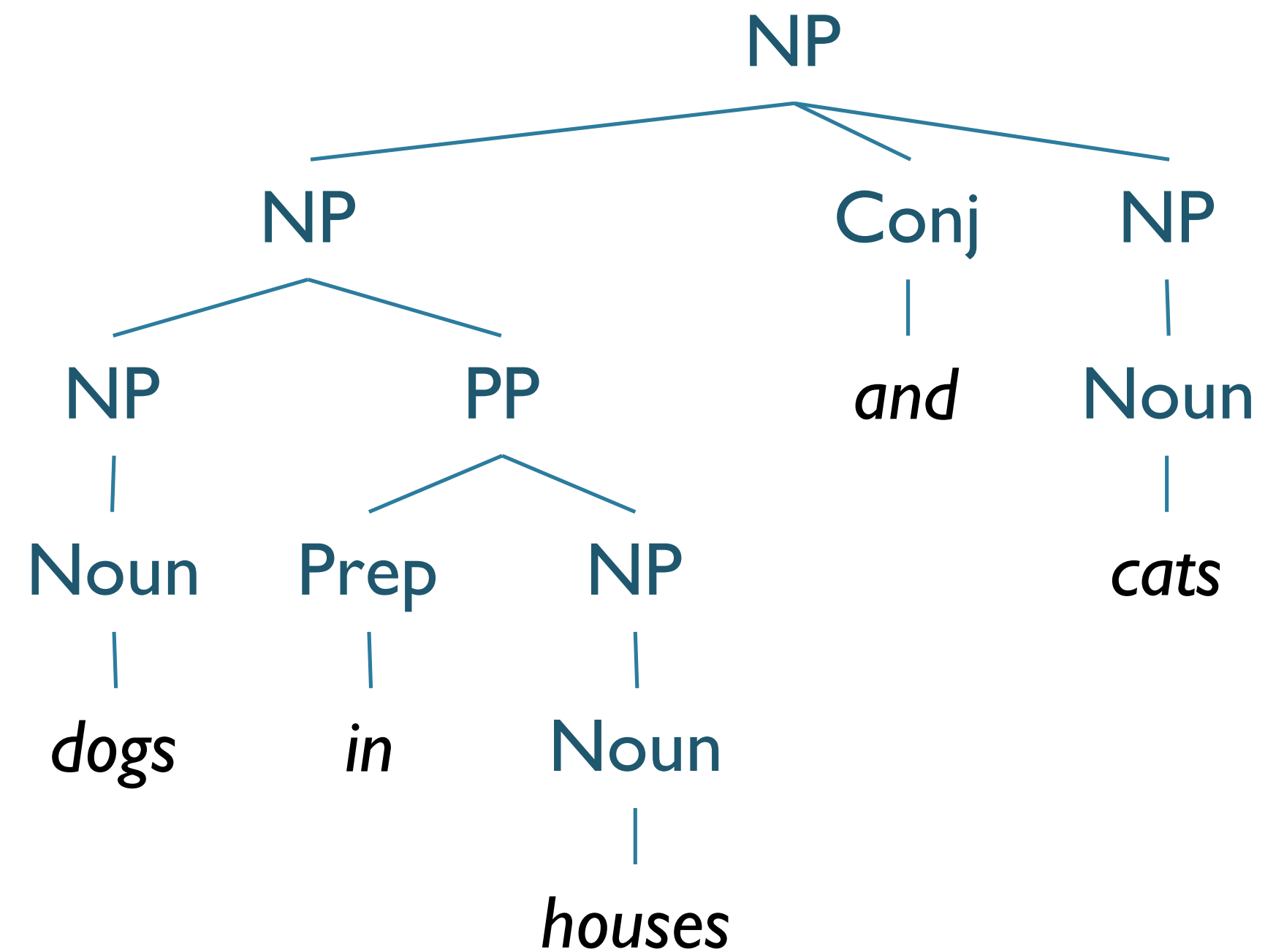
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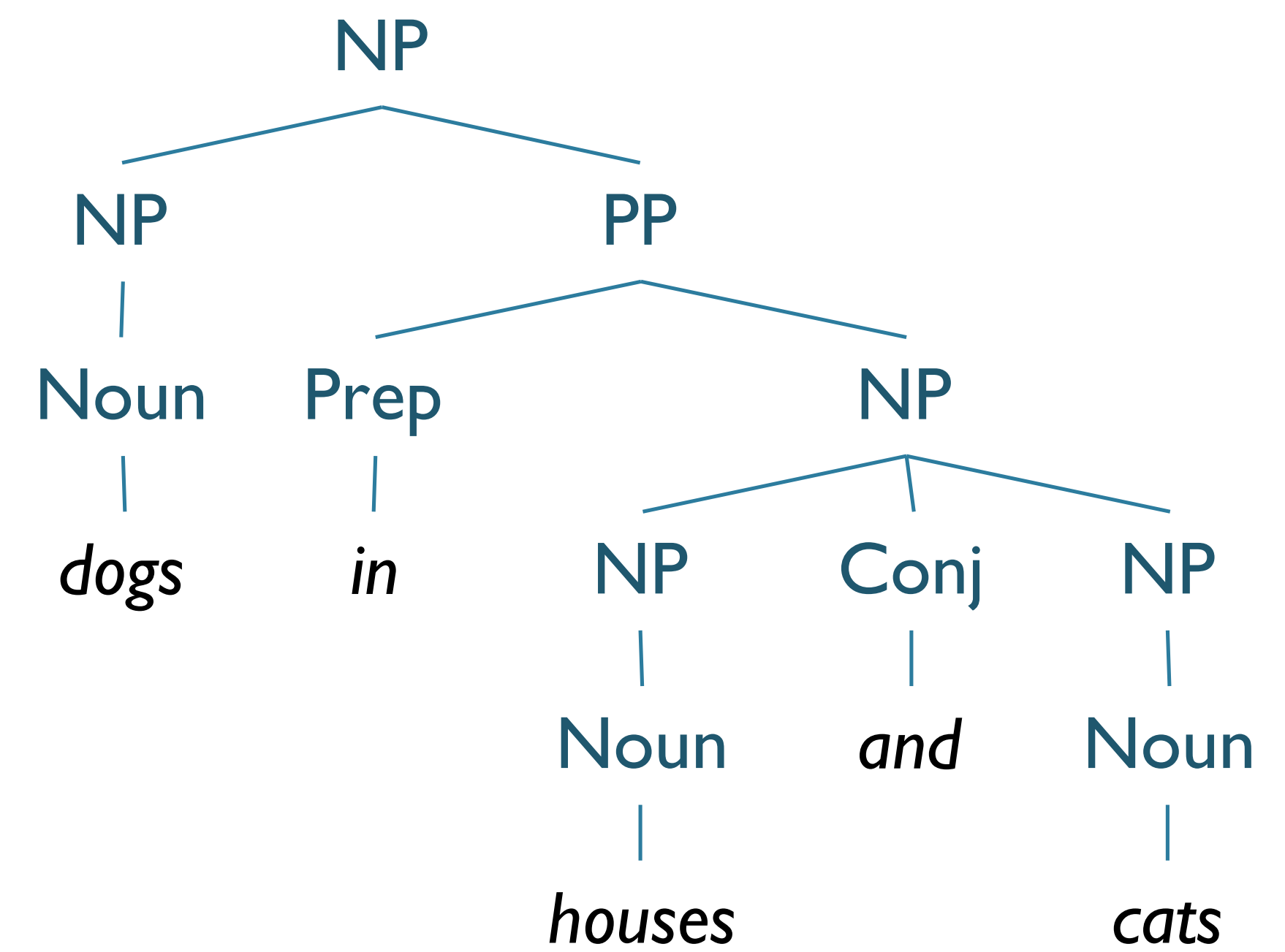


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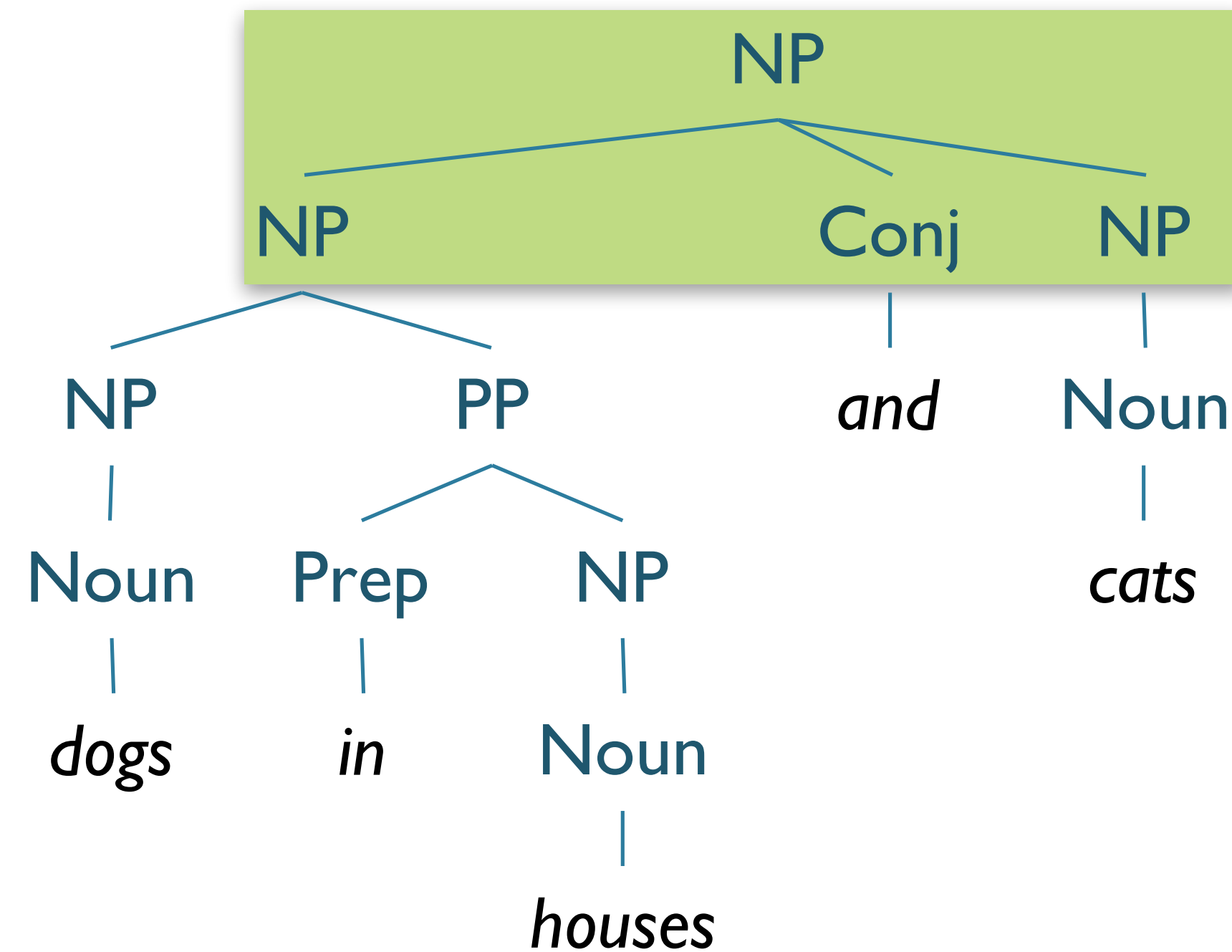
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Same Rules!



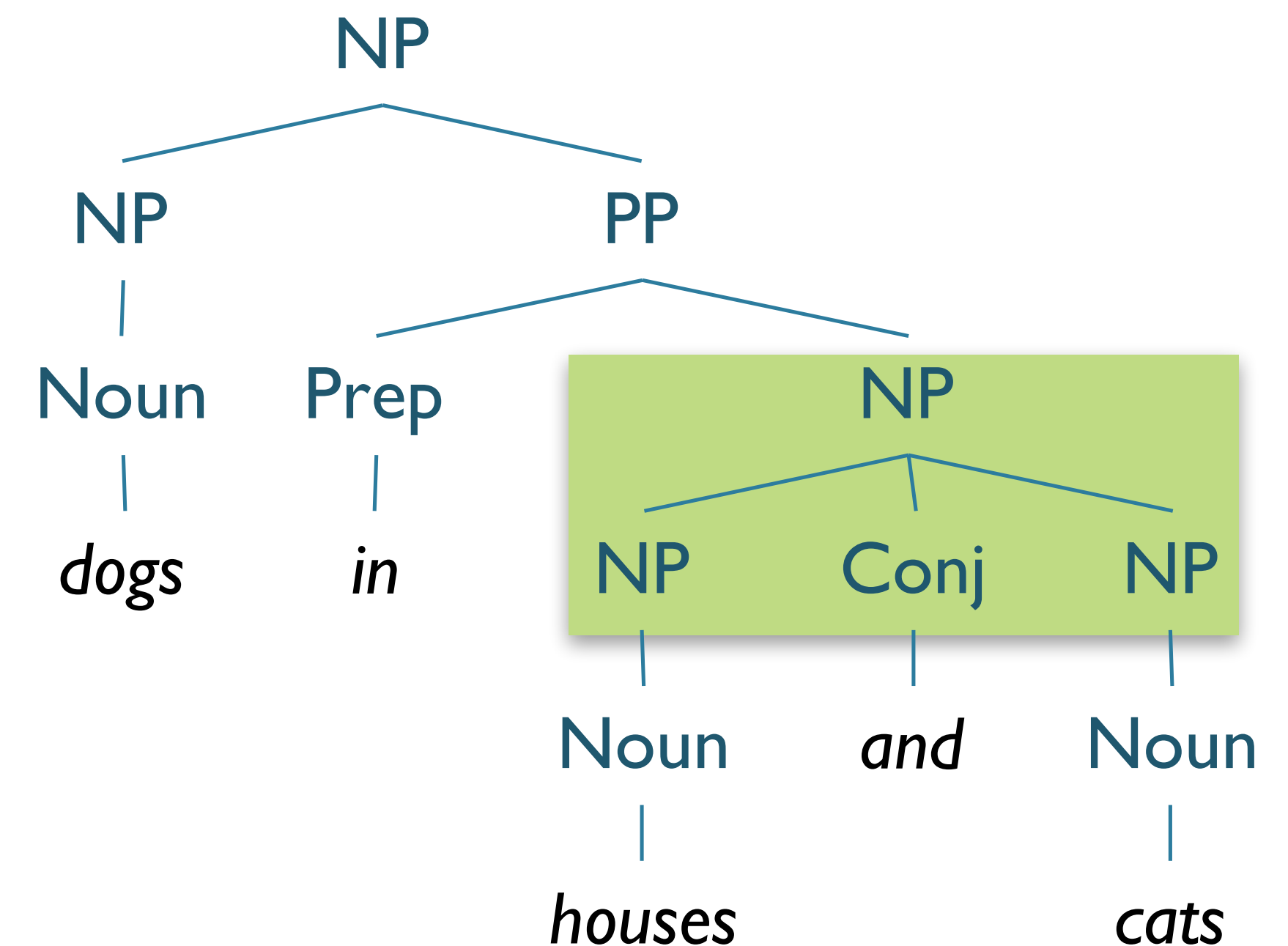
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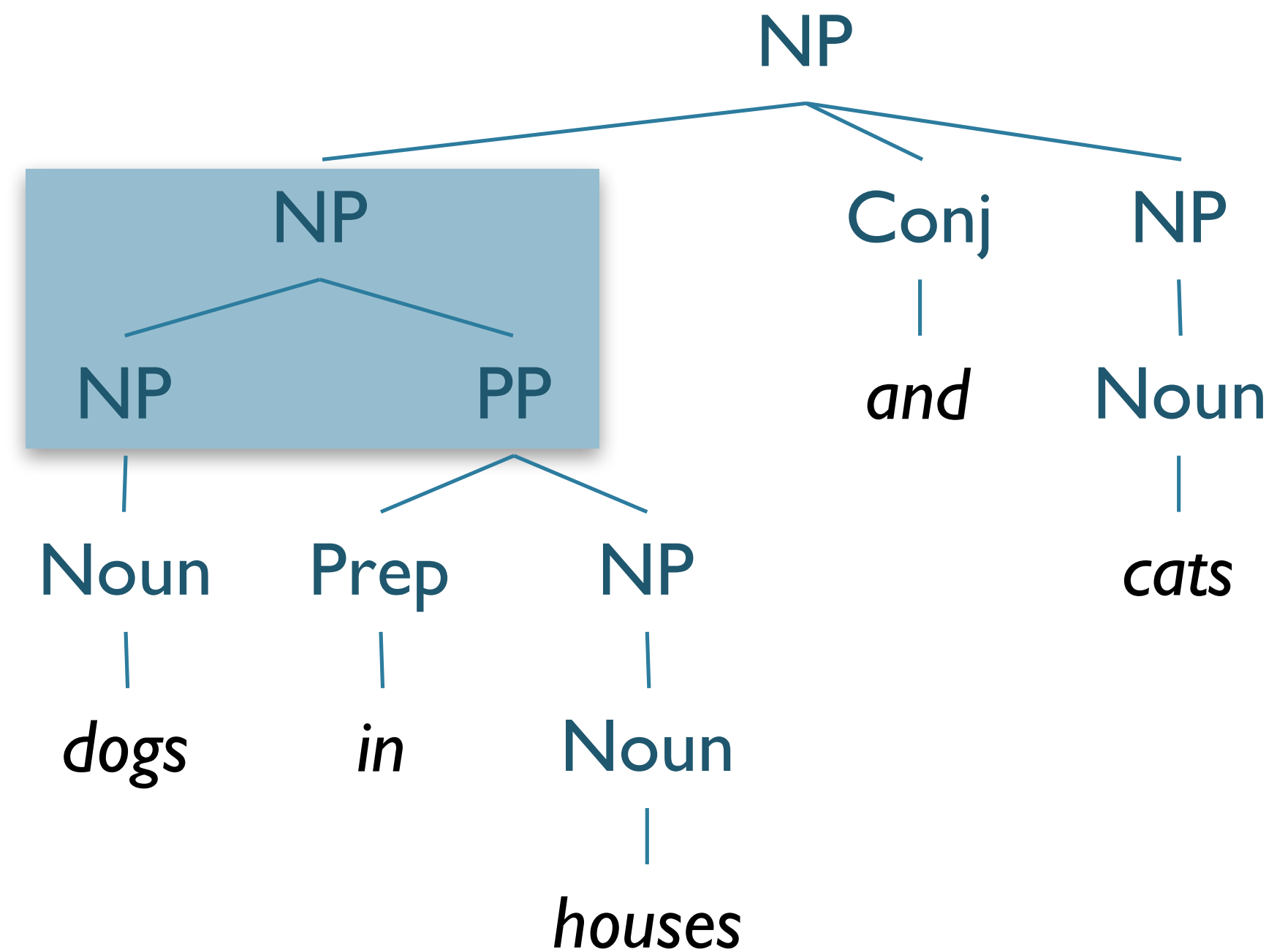
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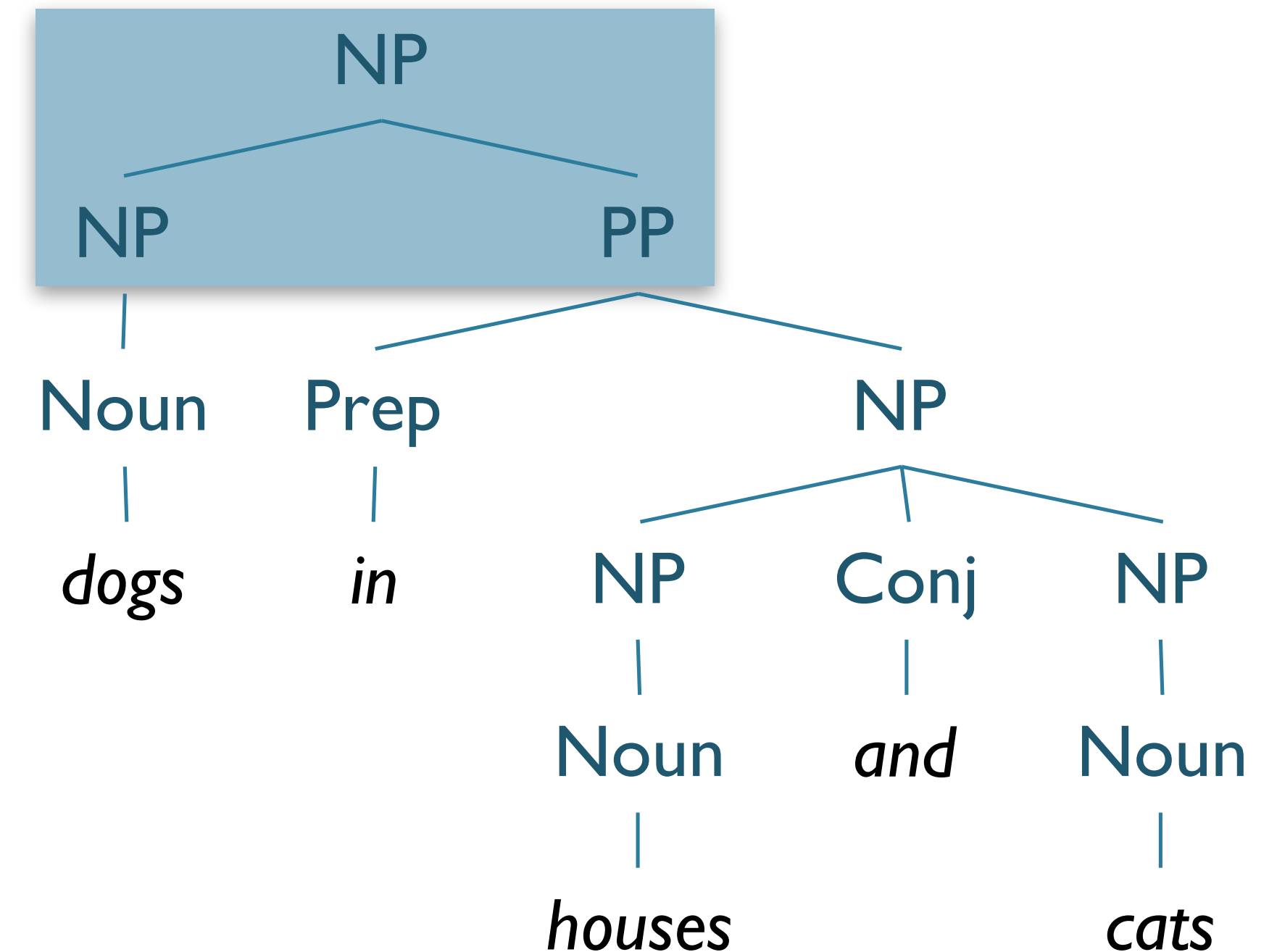
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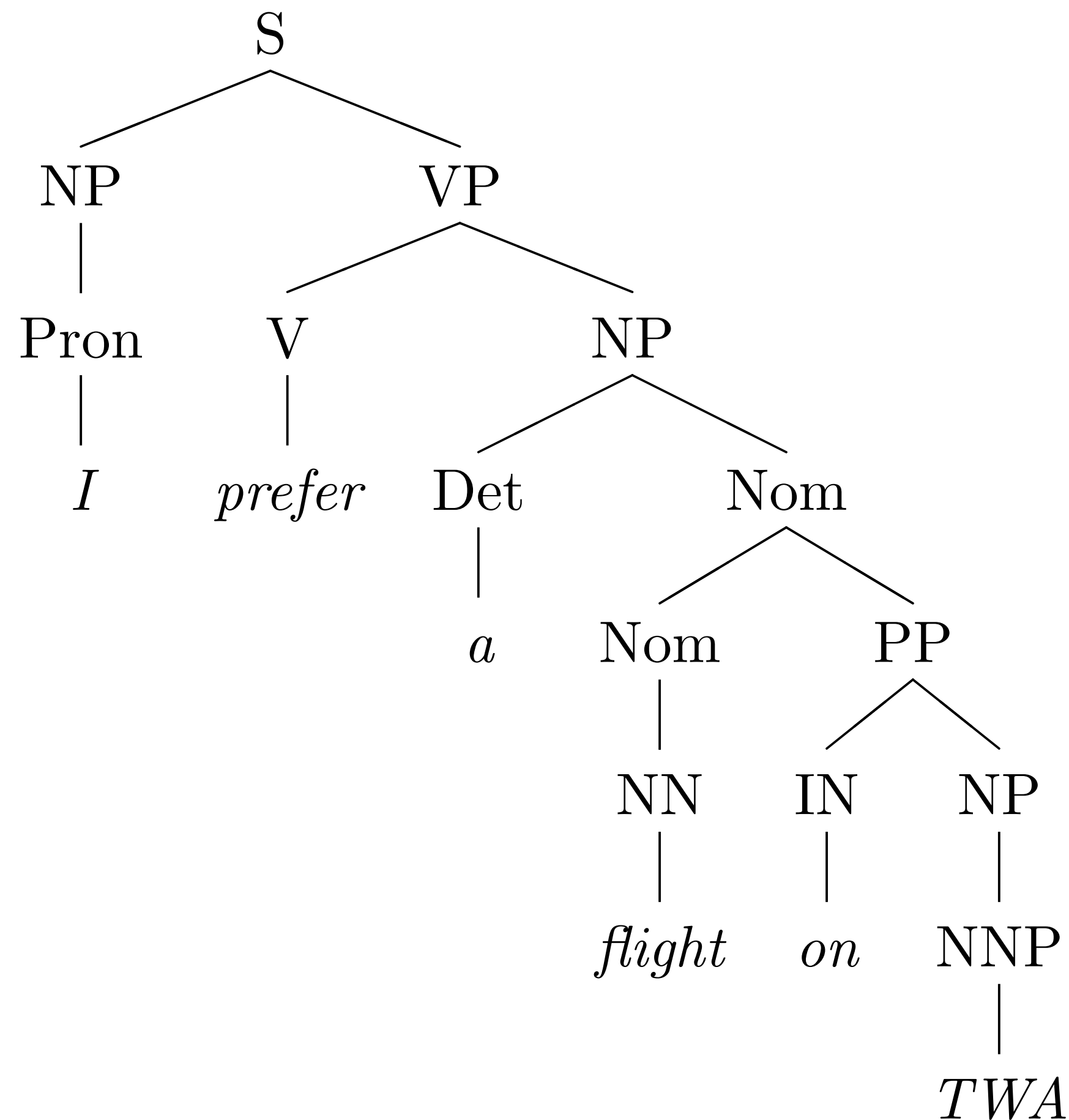
Improving PCFGs

Improving PCFGs

- **Parent Annotation**
- Lexicalization
- Markovization
- Reranking

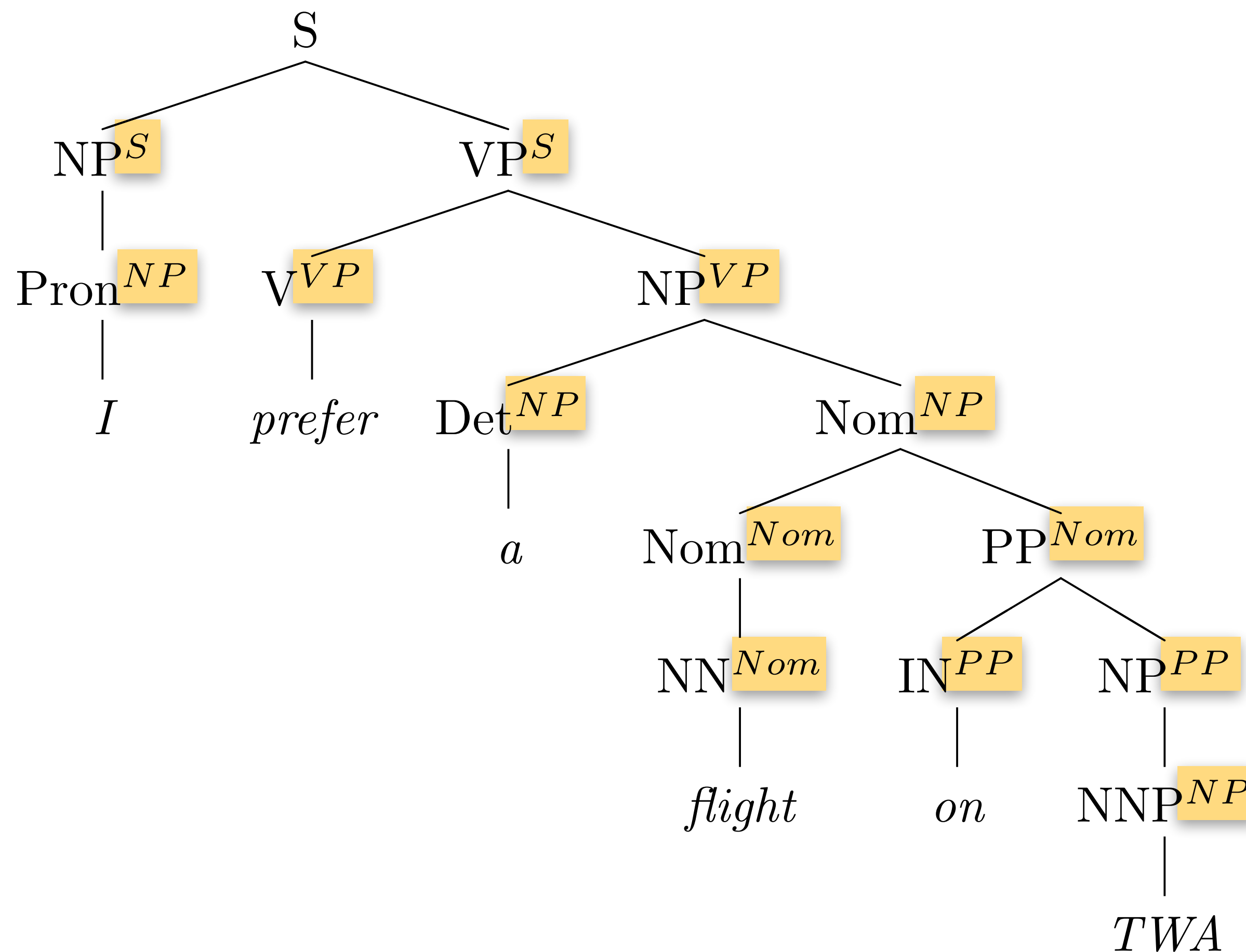
Improving PCFGs: Parent Annotation

- To handle the $NP \rightarrow PRP$ [0.91 if $NP_{\Theta=subject}$ else 0.34]



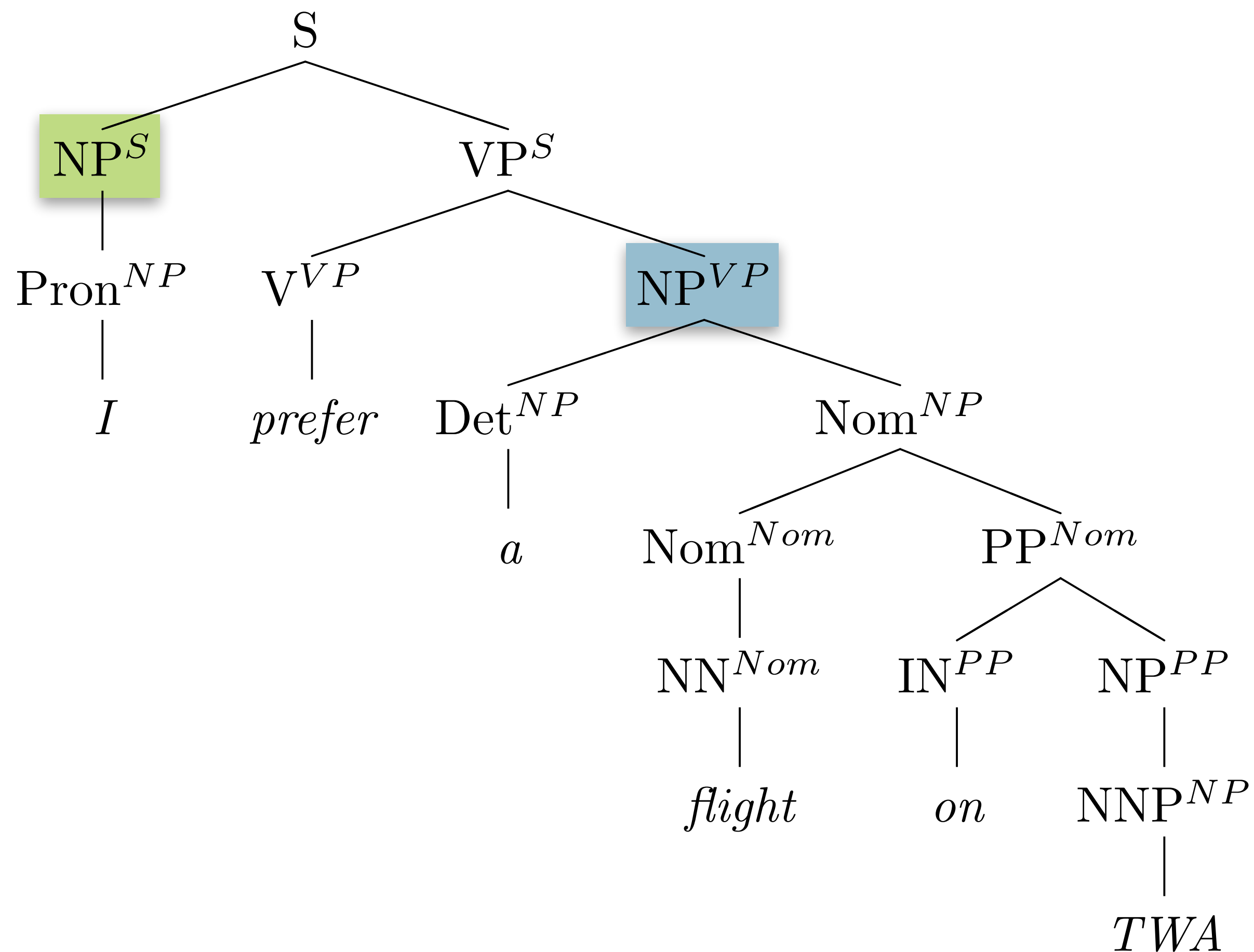
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 - Captures structural dependencies in grammar
- Disadvantages:
 - Explodes number of rules in grammar
 - Same problem with subcategorization
 - Results in sparsity problems
- Strategies to find an optimal number of splits
 - [Petrov et al \(2006\)](#)

Improving PCFGs

- Parent Annotation
- **Lexicalization**
- Markovization
- Reranking

Improving PCFGs: Lexical “Heads”

- Remember back to syntax intro (Lecture #1)
 - Phrases are “headed” by key words
 - **VP** are headed by **V**
 - **NP** by **NN, NNS, PRON**
 - **PP** by **PREP**
- We can take advantage of this in our grammar!

Improving PCFGs: Lexical Dependencies

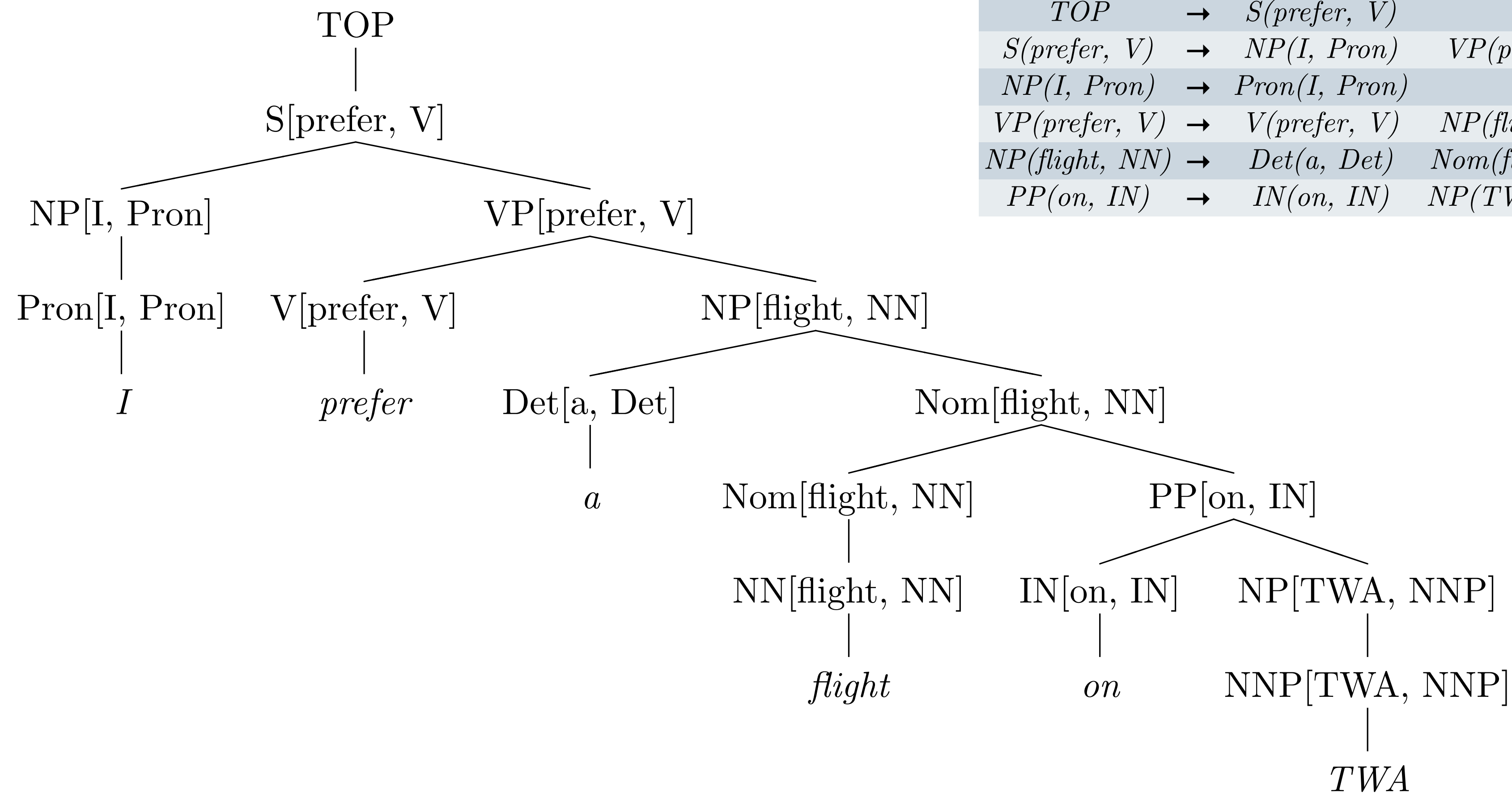
- As we've seen, some rules should be conditioned on certain words
- **Proposal:** annotate nonterminals with lexical head

$$VP \rightarrow VBD\ NP\ PP$$
$$VP(\textit{dumped}) \rightarrow VBD(\textit{dumped})\ NP(\textit{sacks})\ PP(\textit{into})$$

- **Additionally:** annotate with lexical head + POS

$$VP(\textit{dumped},\ \mathbf{VBD}) \rightarrow VBD(\textit{dumped},\ \mathbf{VBD})\ NP(\textit{sacks},\ \mathbf{NNS})\ PP(\textit{into},\ \mathbf{IN})$$

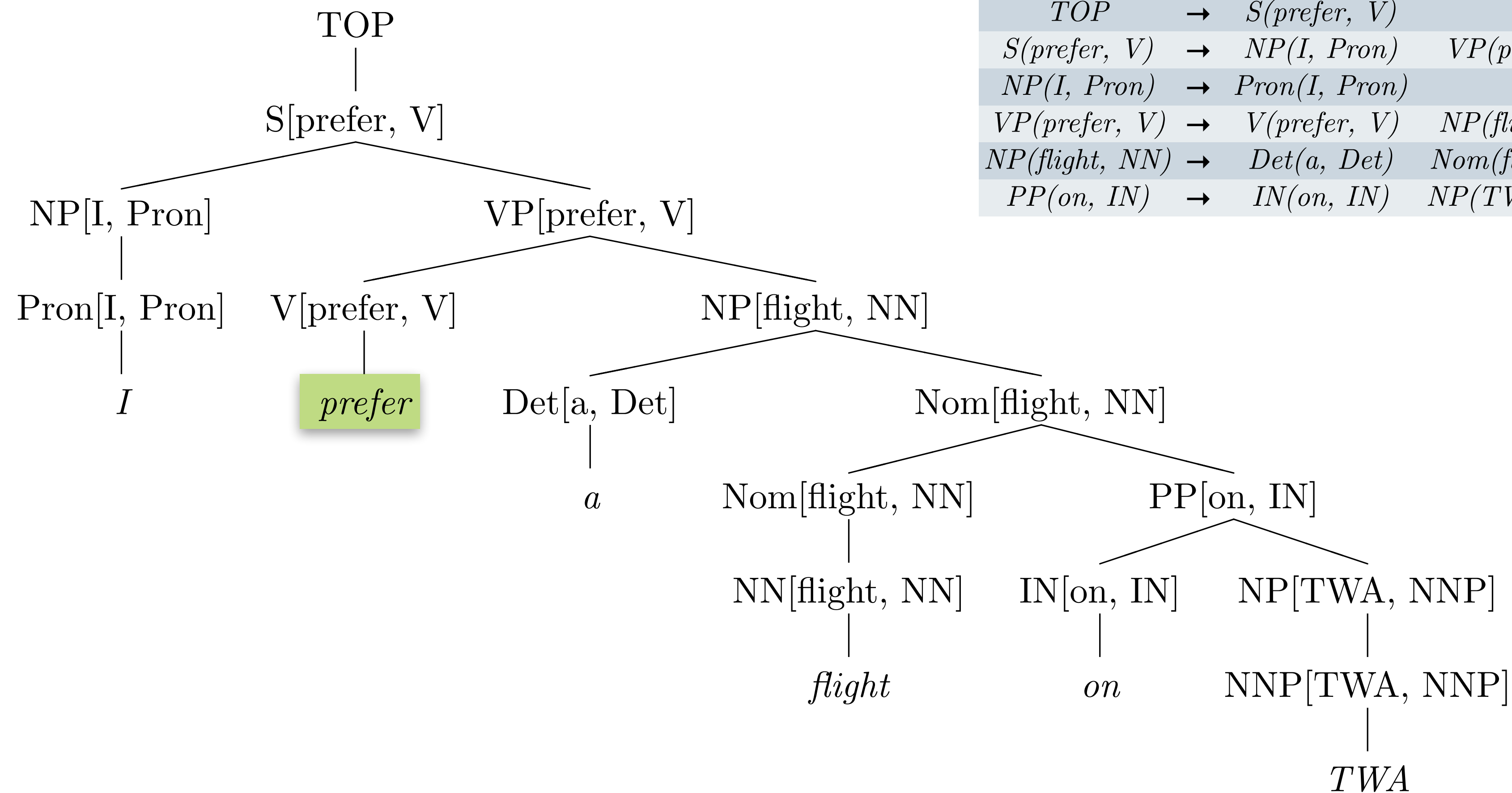
Lexicalized Parse Tree



Internal Rules		
<i>TOP</i>	→	<i>S(prefer, V)</i>
<i>S(prefer, V)</i>	→	<i>NP(I, Pron) VP(prefer, V)</i>
<i>NP(I, Pron)</i>	→	<i>Pron(I, Pron)</i>
<i>VP(prefer, V)</i>	→	<i>V(prefer, V) NP(flight, NN)</i>
<i>NP(flight, NN)</i>	→	<i>Det(a, Det) Nom(flight, NN)</i>
<i>PP(on, IN)</i>	→	<i>IN(on, IN) NP(TWA, NNP)</i>

Lexical Rules		
<i>Pron(I, Pron)</i>	→	<i>I</i>
<i>V(prefer, V)</i>	→	<i>prefer</i>
<i>Det(a, Det)</i>	→	<i>a</i>
<i>NN(flight, NN)</i>	→	<i>flight</i>
<i>IN(on, IN)</i>	→	<i>on</i>
<i>NNP(TWA, NNP)</i>	→	<i>TWA</i>

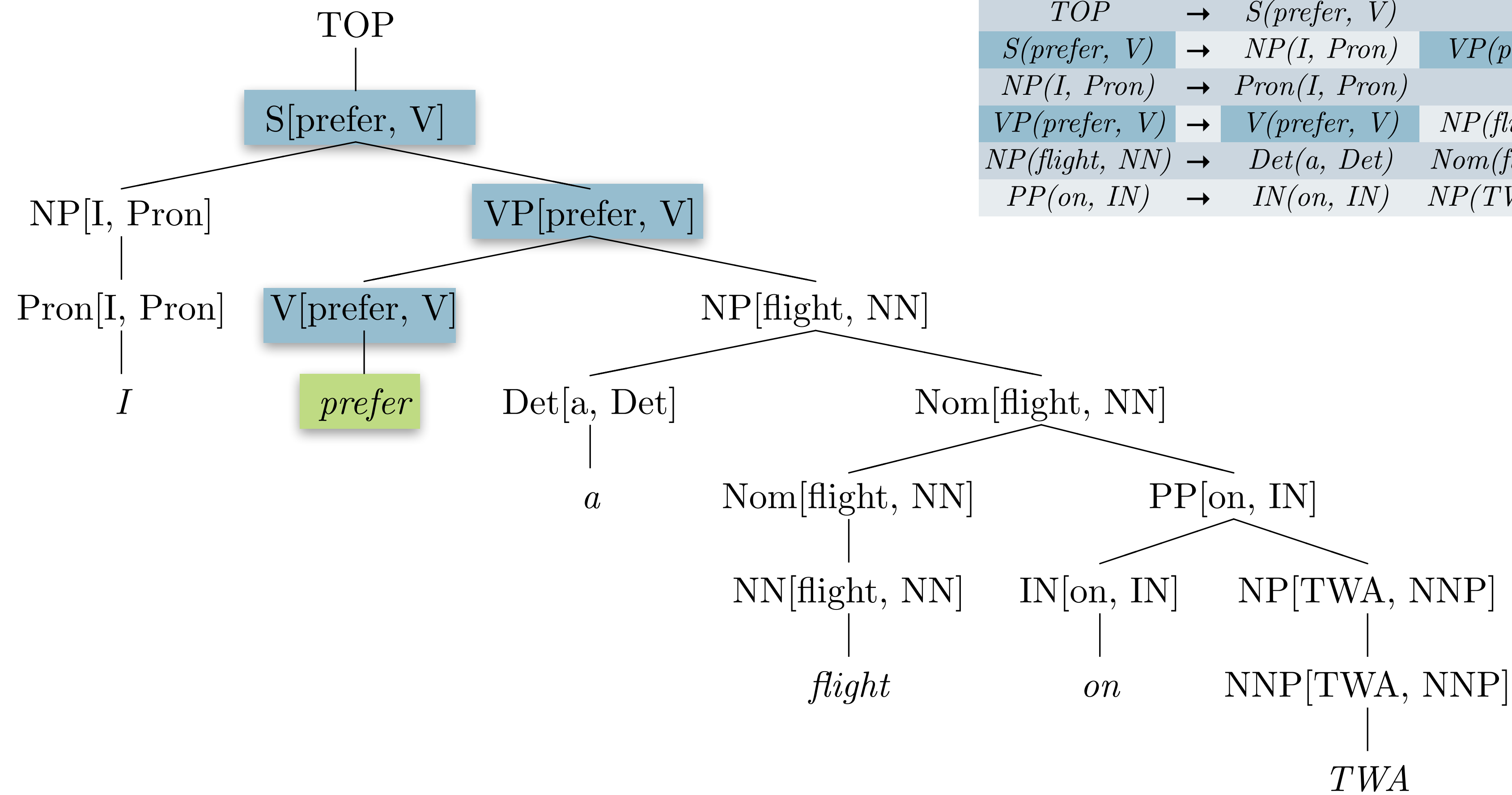
Lexicalized Parse Tree



Internal Rules		
<i>TOP</i>	→	<i>S(prefer, V)</i>
<i>S(prefer, V)</i>	→	<i>NP(I, Pron) VP(prefer, V)</i>
<i>NP(I, Pron)</i>	→	<i>Pron(I, Pron)</i>
<i>VP(prefer, V)</i>	→	<i>V(prefer, V) NP(flight, NN)</i>
<i>NP(flight, NN)</i>	→	<i>Det(a, Det) Nom(flight, NN)</i>
<i>PP(on, IN)</i>	→	<i>IN(on, IN) NP(TWA, NNP)</i>

Lexical Rules		
<i>Pron(I, Pron)</i>	→	<i>I</i>
<i>V(prefer, V)</i>	→	<i>prefer</i>
<i>Det(a, Det)</i>	→	<i>a</i>
<i>NN(flight, NN)</i>	→	<i>flight</i>
<i>IN(on, IN)</i>	→	<i>on</i>
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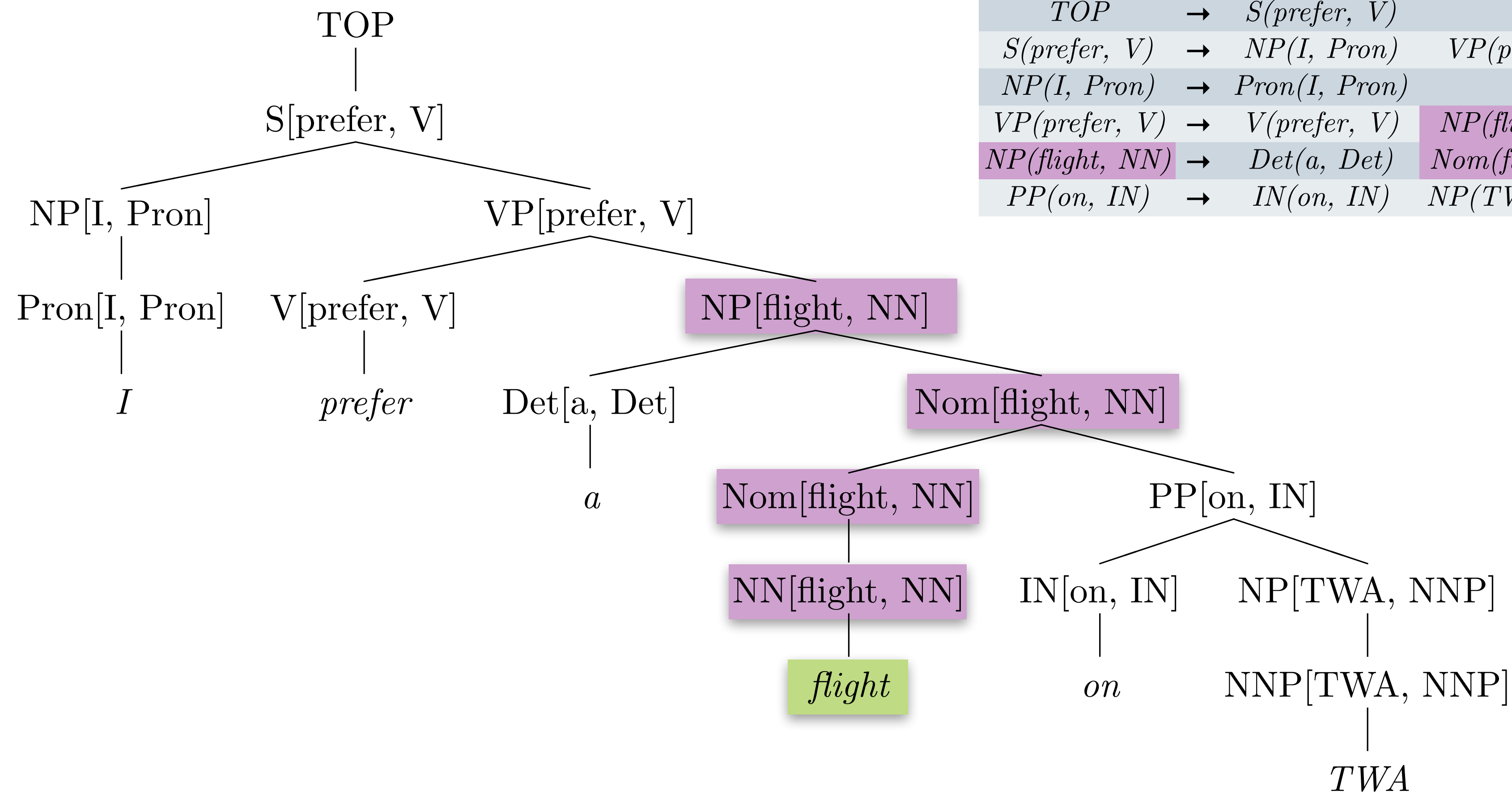
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Improving PCFGs: Lexical Dependencies

- Upshot: heads propagate up tree:

Improving PCFGs: Lexical Dependencies

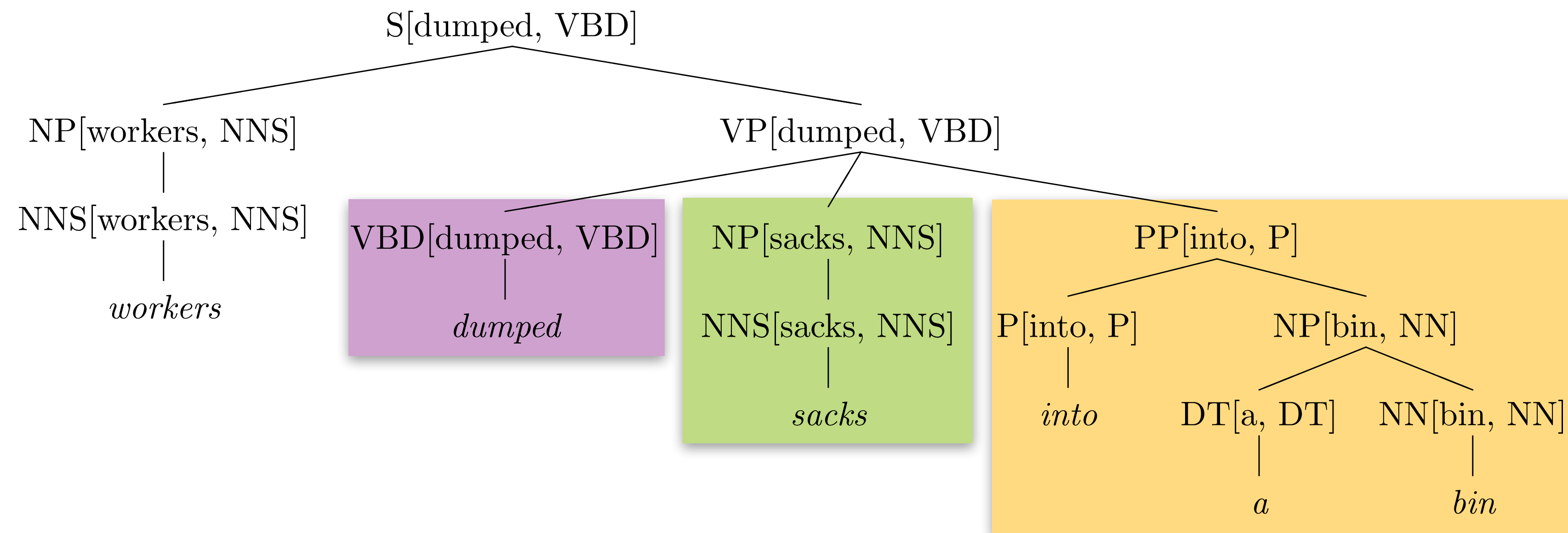
- Upshot: heads propagate up tree:
 - $VP \rightarrow VBD(dumped, VBD) NP(sacks, NNS) PP(into, P)$
 - $NP \rightarrow NNS(sacks, NNS) PP(into, P)$

Improving PCFGs: Lexical Dependencies

- Upshot: heads propagate up tree:
 - $VP \rightarrow VBD(dumped, VBD) NP(sacks, NNS) PP(into, P)$ ✓
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Improving PCFGs: Lexical Dependencies

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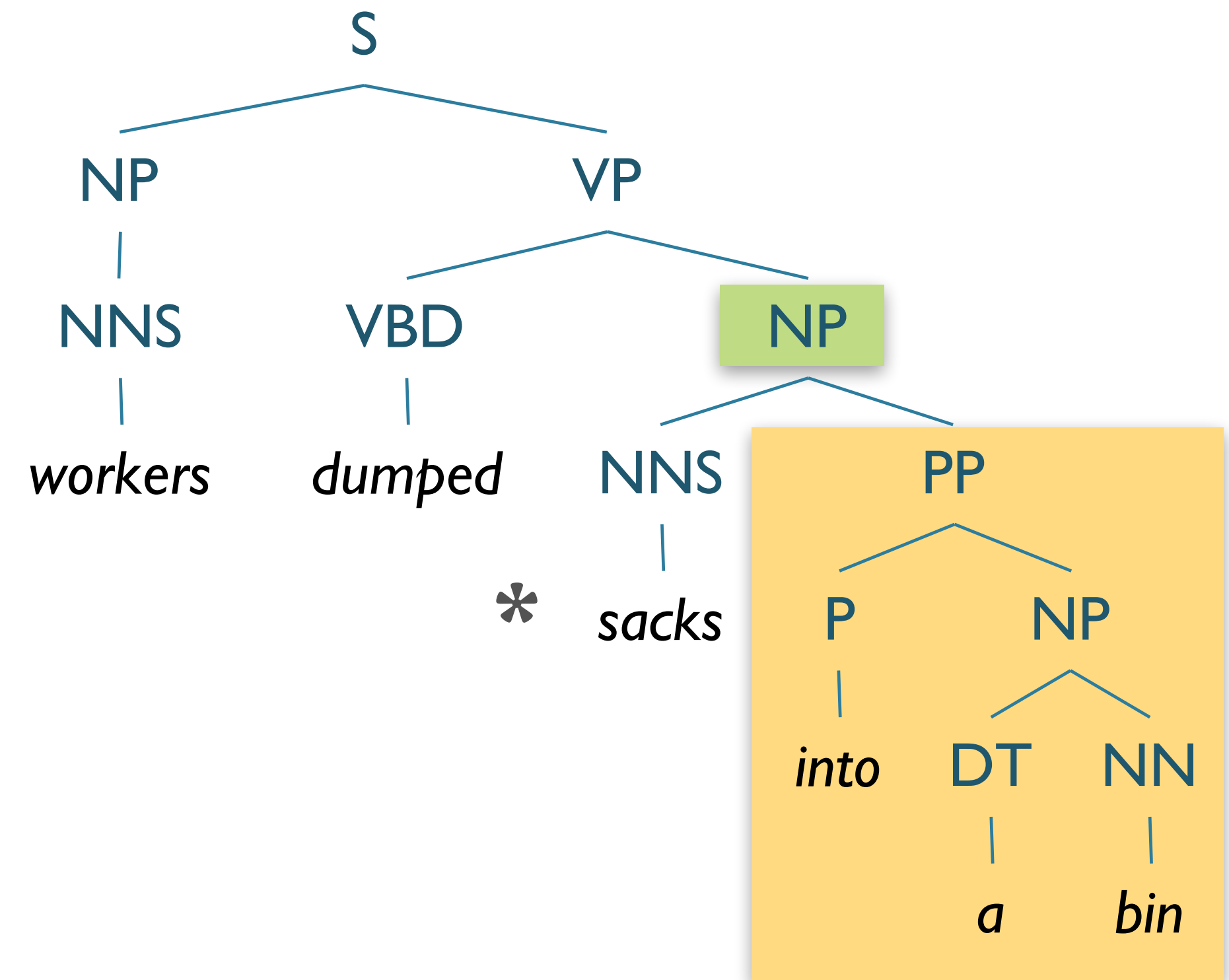
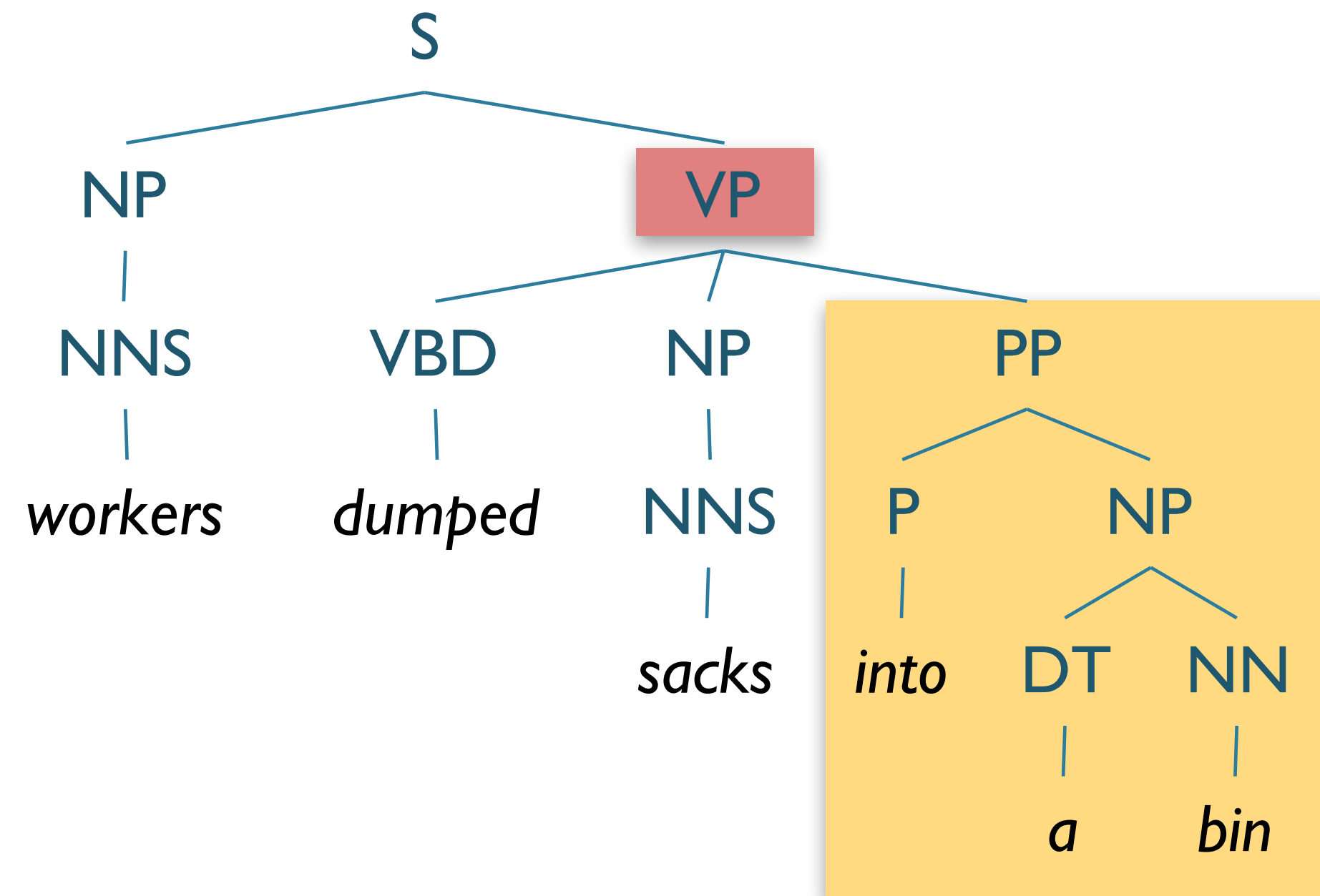
Improving PCFGs: Lexical Dependencies

- Downside:
 - Rules far too specialized — will be sparse
- Solution:
 - Assume ***conditional*** independence
 - Create more rules

Improving PCFGs: Collins Parser

- Proposal:
 - $LHS \rightarrow \textit{LeftOfHead} \dots \textit{Head} \dots \textit{RightOfHead}$
 - Instead of calculating $P(\textit{EntireRule})$, which is sparse:
 - Calculate:
 - Probability that LHS has nonterminal phrase H given head-word $hw\dots$
 - \times Probability of modifiers to the **left** given head-word $hw\dots$
 - \times Probability of modifiers to the **right** given head-word $hw\dots$

Collins Parser Example



Collins Parser Example

$P(VP \rightarrow VBD\ NP\ PP \mid VP, \textit{dumped})$

Collins Parser Example

$$P(VP \rightarrow VBD\ NP\ PP \mid VP, \textit{dumped})$$

$$= \frac{\textit{Count}(VP(\textit{dumped}) \rightarrow VBD\ NP\ PP)}{\sum_{\beta} \textit{Count}(VP(\textit{dumped}) \rightarrow \beta)}$$

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Collins Parser Example

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$$P(VP \rightarrow VBD \ NP \mid VP, \textit{dumped})$$

$$\begin{aligned} &= \frac{\text{Count}(VP(\textit{dumped}) \rightarrow VBD \ NP)}{\sum_{\beta} \text{Count}(VP(\textit{dumped}) \rightarrow \beta)} \\ &= \frac{1}{9} = 0.11 \end{aligned}$$

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$$P_R(\textit{into} \mid PP, \textit{dumped})$$

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$$= \frac{2}{9} = 0.22$$

$$P_R(\textit{into} \mid PP, \textit{sacks})$$

$$= \frac{\text{Count}(X(\textit{sacks}) \rightarrow \dots PP(\textit{into}) \dots)}{\sum_{\beta} \text{Count}(X(\textit{sacks}) \rightarrow \dots PP \dots)}$$

$$= \frac{0}{0}$$

Improving PCFGs

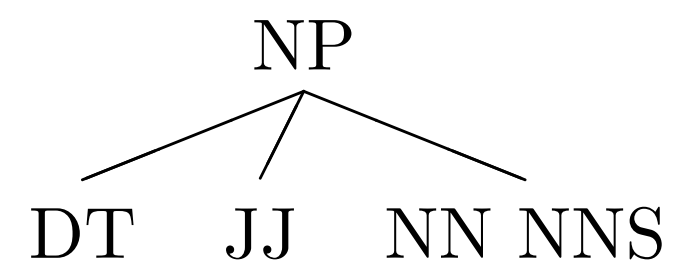
- Parent Annotation
- Lexicalization
- **Markovization**
- Reranking

CNF Factorization & Markovization

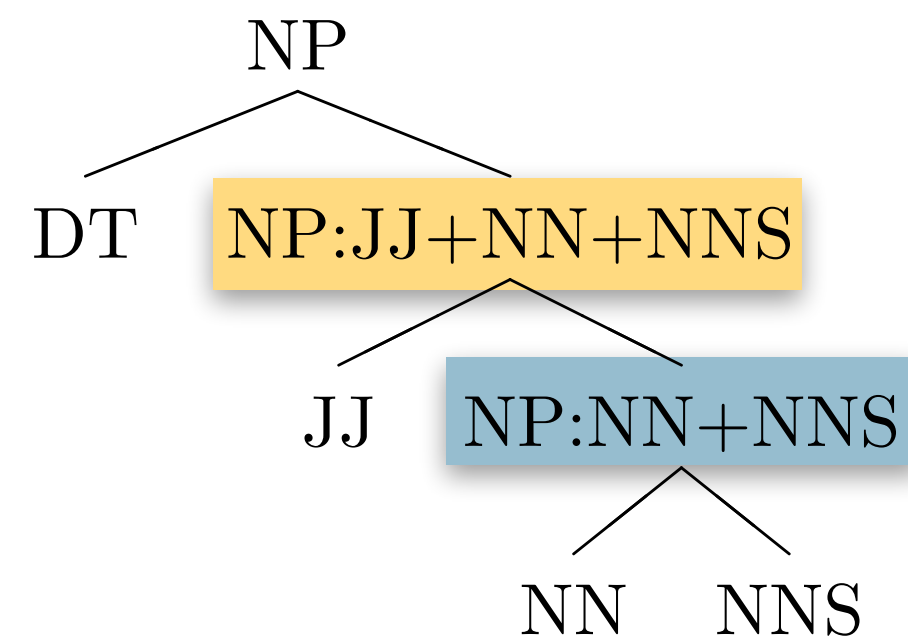
- CNF Factorization:
 - Converts n-ary branching to binary branching
 - Can maintain information about original structure
 - Neighborhood history and parent

Different Markov Orders

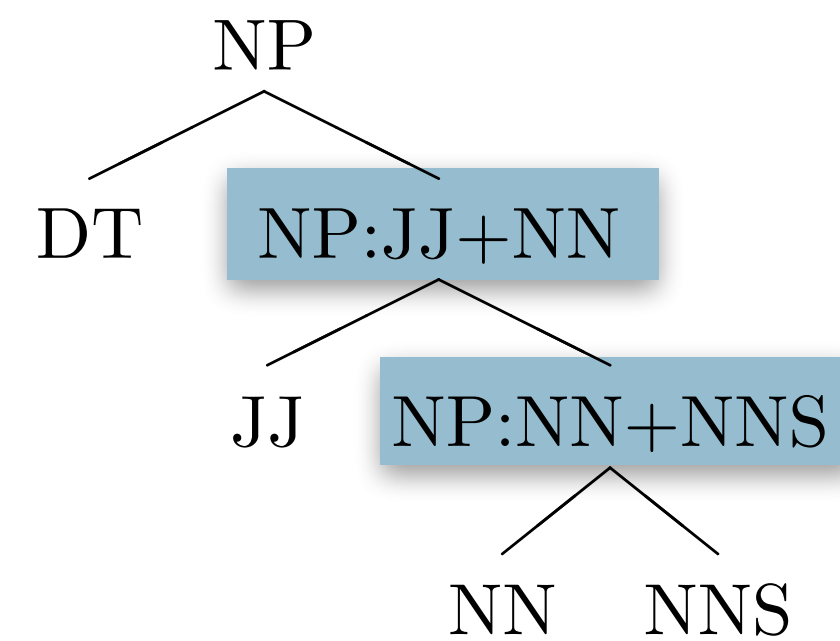
Original



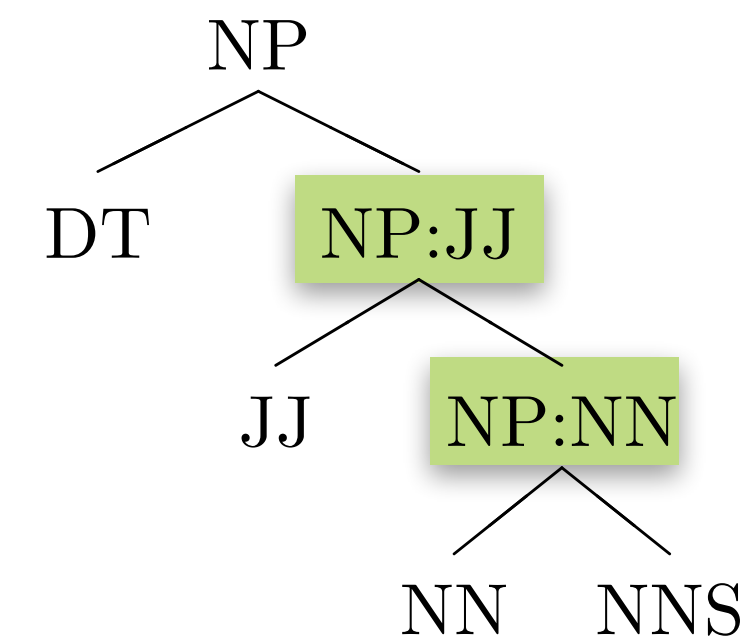
Order 3



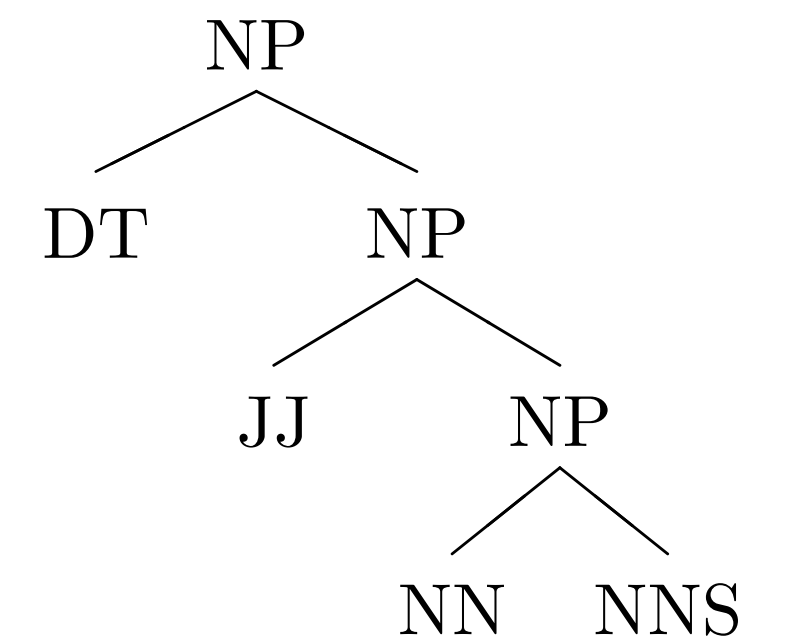
Order 2



Order 1



Order 0



Markovization and Costs

PCFG	Time(s)	Words/s	V	P	LR	LP	F ₁
Right-factored	4848	6.7	10105	23220	69.2	73.8	71.5
Right-factored, Markov order-2	1302	24.9	2492	11659	68.8	73.8	71.3
Right-factored, Markov order-1	445	72.7	564	6354	68.0	730	70.5
Right-factored, Markov order-0	206	157.1	99	3803	61.2	65.5	63.3
Parent-annotated, Right-factored, Markov order-2	7510	4.3	5876	22444	76.2	78.3	77.2

from [Mohri & Roark 2006](#)

Improving PCFGs

- Parent Annotation
- Lexicalization
- Markovization
- **Reranking**

Reranking

- Issue: Locality
 - PCFG probabilities associated with rewrite rules
 - Context-free grammars are, well, context-free
 - Previous approaches create new rules to incorporate context
- Need approach that incorporates broader, global info

Discriminative Parse Reranking

- General approach:
 - Parse using (L)PCFG
 - Obtain top-N parses
 - Re-rank top-N using better features
- Use discriminative model (e.g. MaxEnt) to rerank with features:
 - right-branching vs. left-branching
 - speaker identity
 - conjunctive parallelism
 - fragment frequency
 - ...

Reranking Effectiveness

- How can reranking improve?
- Results from [Collins and Koo \(2005\)](#), with 50-best

System	Accuracy
Baseline	0.897
Oracle	0.968
Discriminative	0.917

- “Oracle” is to automatically choose the correct parse if in N-best

Improving PCFGs: Tradeoffs

- **Pros:**
 - Increased accuracy/specificity
 - e.g. Lexicalization, Parent annotation, Markovization, etc
- **Cons:**
 - Explode grammar size
 - Increased processing time
 - Increased data requirements
- *How can we balance?*

Improving PCFGs: Efficiency

- **Beam thresholding**
- Heuristic Filtering

Efficiency

- PCKY is $|G| \cdot n^3$
 - Grammar can be huge
 - Grammar can be extremely ambiguous
 - Hundreds of analyses not unusual
- ...but only care about best parses
- Can we use this to improve efficiency?

Beam Thresholding

- Inspired by Beam Search
- Assume low probability parses unlikely to yield high probability overall
 - Keep only top k most probable partial parses
 - Retain only k choices per cell
 - For large grammars, maybe 50-100
 - For small grammars, 5 or 10

Heuristic Filtering

- **Intuition:** Some rules/partial parses unlikely to create best parse
- **Proposal:** Don't store these in table.
- **Exclude:**
 - Low frequency: e.g. singletons
 - Low probability: constituents X s.t. $P(X) < 10^{-200}$
 - Low relative probability:
 - Exclude X if there exists Y s.t. $P(Y) > 100 \times P(X)$