Assignment 2: Titanic Data

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Question 1

This assignment involves an investigation into a dataset regarding survival logs for passengers aboard the Titanic.

(a) Mean and Variance of a Bernoulli Random Variable

We first calculate the mean and variance of a random variable Y that has the Bernoulli distribution.

Let
$$Y \sim Be(\pi), \pi \in [0, 1]$$
.

Then the density function for Y is

$$f(y,\pi) = \pi^y (1-\pi)^{1-y},$$

which can be written as

$$f(y,\pi) = \exp(y\log(\frac{\pi}{1-\pi}) + \log(1-\pi))$$

= $\exp(a(y)b(\pi) + c(\pi) + d(y)),$

where

$$a(y) = y$$

$$b(\pi) = \log(\frac{\pi}{1 - \pi})$$

$$c(\pi) = \log(1 - \pi)$$

$$d(y) = 0.$$

Thus Y is from the exponential family of distributions, and so we can calculate the expectaction and variance of Y using the appropriate results.

It follows that:

$$E[Y] = -\frac{c'(\pi)}{b'(\pi)} = -\frac{-\frac{1}{1-\pi}}{\frac{1}{1-\pi}} = \pi$$

and, with appropriate simplification,

$$\operatorname{Var}[Y] = -\frac{b''(\pi)c'(\pi) - c''(\pi)b'(\pi)}{[b'(\pi)]^3} = \frac{\frac{2\pi - 1}{\pi^2(1 - \pi^2)} \cdot -\frac{1}{1 - \pi} + \frac{1}{(1 - \pi)^2} \cdot \frac{1}{\pi(1 - \pi)}}{[\frac{1}{\pi(1 - \pi)}]^3} = \pi(1 - \pi).$$

(b) Derivation of IWLS Components

We first derive an expression for the weights w_{ii} in terms of μ_i .

We know that $w_{ii} = \frac{1}{\text{Var}[Y_i]} (\frac{\partial \mu_i}{\partial \eta_i})^2$.

For a Bernoulli random variable Y_i with mean μ_i , from (a) we know that

$$Var[Y_i] = \mu_i(1 - \mu_i).$$

Now, we are given that the link function is $g(\mu_i) = \eta_i = \text{logit}(\mu_i) = \log(\frac{\mu_i}{1-\mu_i})$. Rearranging, we get that $\mu_i = \frac{e^{\eta_i}}{1+e^{\eta_i}}$, from which we obtain

$$\frac{\partial \mu_i}{\partial \eta_i} = \frac{e^{\eta_i}}{(1 + e^{\eta_i})^2} = \mu_i (1 - \mu_i),$$

in terms of μ_i .

It thus follows that

$$w_{ii} = \frac{1}{\text{Var}[Y_i]} \left(\frac{\partial \mu_i}{\partial \eta_i}\right)^2$$
$$= \frac{1}{\mu_i (1 - \mu_i)} (\mu_i (1 - \mu_i))^2$$
$$= \mu_i (1 - \mu_i)$$

Now, we also have that $U^{(m-1)}$ is the vector with elements

$$\mathbf{U}_{j} = \sum_{i=1}^{N} \frac{(Y_{i} - \mu_{i})}{\operatorname{Var}[Y_{i}]} x_{ij} \left(\frac{\partial \mu_{i}}{\partial \eta_{i}}\right)$$
$$= \sum_{i=1}^{N} \frac{(Y_{i} - \mu_{i})}{\mu_{i}(1 - \mu_{i})} x_{ij} (\mu_{i}(1 - \mu_{i}))$$
$$= \sum_{i=1}^{N} (Y_{i} - \mu_{i}) x_{ij},$$

and so it follows that $\mathbf{U}^{(m-1)}$ can be written as $\mathbf{X}^T(\mathbf{y} - \mu)$ where $\mathbf{y} = [y_1, ..., y_n]^T$ is the vector of responses and $\mu = [\mu_1, ..., \mu_n]^T$

(c) R-Function Executing the IWLS Updating Equation

The function below uses the results calculated in (a) and (b) above to execute the Iterative Weighted Least Squares updating equation for a Bernoulli response variable using the logit link function.

```
glm_fit = function(Y, X, beta_start, k = 10)
{
  # Prepare elements for the updating procedure here:
  beta = matrix(beta_start, nrow = length(X[1,]), ncol = 1)
       = matrix(0, nrow = length(Y), ncol = length(Y))
  # Evaluate the updating equation:
  for(i in 2:k)
        mu = exp(X %*% beta) / (1 + exp(X %*% beta))
  diag(W) = mu * (1 - mu)
        J = t(X)%*%W%*%X
        U = t(X) %*% (Y - mu)
      RHS = J \%*\% beta + U
      beta = solve(J, RHS)
  }
  # Process some of the results here:
  std_errors = diag(sqrt(solve(J)))
  # Return relevant content:
  return(list(estimates = beta, standard_errors = std_errors))
}
```

(d) Verification of glm_fit() Producing Valid Estimates

By comparing the estimates produced by R's glm() function to those produced by my glm_fit() function in the table below, we can verify that the estimates produced by glm_fit() are correct.

Note that we have relevelled the factor variables such that 'PClass3' and 'male' are treated as the reference categories for PClass and Sex respectively.

Table 1: Verification of Calculated Estimates

	R's	glm()	My glm_fit()						
	Estimates	Std. Errors	Estimates	Std. Errors					
(Intercept)	-0.6004694	0.3826258	-0.6004694	0.3826307					
Sexfemale	1.5235214	0.3679527	1.5235214	0.3679529					
Age	-0.0408113	0.0122555	-0.0408113	0.0122557					
Pclass1	1.3664381	0.5588384	1.3664381	0.5588483					
Pclass2	0.1312443	0.4673816	0.1312443	0.4673822					
Fare	-0.0048846	0.0041761	-0.0048846	0.0041764					
Sexfemale:Pclass1 Sexfemale:Pclass2	$2.8503559 \\ 2.3713523$	$\begin{array}{c} 0.9846157 \\ 0.7645393 \end{array}$	$\begin{array}{c} 2.8503561 \\ 2.3713523 \end{array}$	$0.9847822 \\ 0.7645402$					

(e) Odds of Survival of 1st and 2nd vs 3rd Class Male Passengers Aged 25

For the given model, we have that the odds are calculated as

$$\exp(B_0 + B_1 x_i^{SEX} + B_2 x_i^{AGE} + B_3 x_i^{PClass1} + B_4 x_i^{PClass2} + B_5 x_i^{SEX \cdot PClass1} + B_6 x_i^{SEX \cdot PClass2}),$$

with 'PClass3' and 'male' being treated as the reference categories for PClass and Sex respectively.

We first calculate the ratio of the odds of survival for a *First Class* male passenger aged 25 to the odds of *Third Class* male passengers of the same age as follows:

$$OR_{1st,3rd} = \exp(\beta_3) = 2.7432664.$$

This can be interpreted as First Class male passengers of the same age being 2.743 times as likely to survive than Third Class passengers.

An approximate 95% confidence interval for this odds ratio, $OR_{1st,3rd}$, is calculated as

$$\exp(\beta_3 \pm 1.96 \cdot se(\beta_3)) = \exp(1.0091493 \pm 1.96 \cdot 0.4739372),$$

yielding a confidence interval of (1.0835388, 6.9453078). Since this confidence interval does not contain 1, we can interpret this interval as suggesting that at the 95% confidence level, there is evidence in the data of a statistically significant higher probability of a male passenger surviving for a given age if they are a First Class passenger compared to if they were a Third Class passenger.

Similarly, we can calculate the ratio of the odds of survival for a *Second Class* male passenger aged 25 to the odds of *Third Class* male passengers of the same age

$$OR_{2nd,3rd} = \exp(B_4) = 1.0847294,$$

and obtain an approximate 95\% confidence interval using

$$\exp(\beta_4 \pm 1.96 \cdot se(\beta_4)) = \exp(0.0813306 \pm 1.96 \cdot 0.4647138),$$

producing a confidence interval of (0.4362636, 2.6970798).

We can interpret these results as suggesting Second Class male passengers of the same age are 1.0847 times as likely to survive than Third Class passengers. However, since the appropriate confidence

interval contains 1, there is insufficient evidence at the 95% confidence level that a Second Class male for a given age has a higher likelihood of surviving compared to a Third Class male passenger of the same age.

In summary, the data suggests that at the 95% confidence level, First Class male passengers are more likely to survive than Third Class male passengers for a given age, however there is insufficient evidence at this confidence level that Second Class male passengers have a greater chance of surviving than Third Class male passengers with the same age.

(f) Model Building of Logistic Regression Model of the Data

We now proceed to build an appropriate logistic regression model of the Titanic data, and identify significant variables for predicting survival of passengers on the Titanic.

We start by fitting a model using the logit link function with a single predictor, Sex. Sex has been chosen as the first predictor to include since the data shows that 73.88% of females survived as opposed to 17.59% of males - thus indicating that Sex is an excellent candidate for a predictor of survival for passengers aboard the Titanic. After fitting this model with just a sex term, we can calculate the difference in deviance between this model and a model with just a deviance, yielding a statistic $\Delta D = 113.71$, which is highly significant when compared to the chi-squared distribution with one degree of freedom. As a result, the data suggests that we should certainly include a sex term in our model. We shall call this model $Model\ 1$, that is

Model 1 : $Survived \sim Sex$.

To further guide the model building process, we will use some results based on Exploratory Data Analysis (EDA) conducted to gain insights into the patterns and relationships in the data. Firstly, we observed that the proportion of survivors are highest for 1st Class passengers, then 2nd Class passenger, and lowest for 3rd Class passengers, which suggests that passenger class may be a useful predictor of survival. Similarly, we note that 40.55% of passengers below the age of 50 survived, compared to just 25.8% of passengers over 50 - which also suggests that age may be an important predictor in predicting survival. The proportions of survivors from the different embarking ports are relatively similar though, which suggests this may carry the least information regarding survival probabilities, which seems plausible.

We now conduct a statistical approach of deciding which predictors are significant and should be included in the model, with the context provided by the above data exploration as guidance. We currently have a model that only contains a sex term ($Model\ 1$), so we now consider which further predictors should be added to the model. We fit five different models where we individually add one of sex, age, passenger class, fare and embarked respectively, and consider which of these models yields the greatest reduction in deviance from $Model\ 1$, and whether this reduction is statistically significant. The result is that including a passenger class term results in the largest reduction in deviance from $Model\ 1$, with a difference of $\Delta D=19.859$ which yields an extremely small p-value (< 0.00005) when compared to the chi-squared distribution with 2 degrees of freedom (due to the model having 2 extra parameters). This finding coincides with what we expected from our EDA, that is, that passenger class is a significant term in predicting survival of passengers. As such, we add passenger class as a term in our model to form $Model\ 2$,

Model 2 : $Survived \sim Sex + PassengerClass$.

We then employ the same approach as above to determine if any further individual predictors should be added to our model. The results of testing the appropriate deviance statistics from adding individual terms (one of age, fare and embarked port respectively) to the model, yields that age has a statistically significant effect in predicting survival, with a small p-value of 0.001984 arising from the appropriate chi-squared test on the difference in deviances compared to *Model* 2. However, adding further individual terms (fare or embarked port) can be seen to not have a significant reduction in deviance statistics, which leaves us with *Model* 3 below,

Model 3:
$$Survived \sim Sex + PassengerClass + Age$$
.

Before continuing further, we notice that the currently fitted model, *Model 3*, captures the terms that our EDA had hinted at being useful in predicting survival.

We now consider adding interaction terms to the model. Using the same technique as above in determining which terms would be significant additions to the model (fitting models with one of a sex & passenger class, sex & age, and age and passenger class interaction term), we find that first adding an interaction term for sex and passenger class, and then for sex and age, yields significant decreases in deviances compared to the nested models. However, adding a final interaction term for age and passenger class does not result in a significant improvement to the model, with a change in deviance of $\Delta D = 3.6845$ not being deemed significant in comparison to a chi-squared distribution with 2 degrees of freedom - with an associated p-value of 0.1448. As such, we now have fitted a model $Model\ 4$ which appears as follows,

Model
$$4: Survived \sim Sex + PassengerClass + Age + Sex \times PassengerClass + Sex \times Age.$$

Lastly, we now consider different choices for link functions. For logistic regressions, the predominant link functions chosen from are typically the logit function, the probit function and the complementary log-log function. We fit *Model* 4 with each of these respective link functions, and compare AICs. We see that using the complementary log-log function in fact yields the lowest AIC, with an AIC value of 321.295 compared to 322.235 and 321.616 respectively for the probit and logit functions. As such, we will opt to use the complementary log-log function as the link function for our model.

The final model chosen thus has the following structure:

 $\label{eq:final Model} Final\ Model: Survived \sim Sex + PassengerClass + Age + Sex \times PassengerClass + Sex \times Age.$

The corresponding model (fitted using the complementary log-log link function) can be described more mathematically as follows:

$$g(\mu_i) = \eta_i$$

$$= x_i^T \beta$$

$$= \beta_0 + \beta_1 x_i^{Sex} + \beta_2 x_i^{PClass1} + \beta_3 x_i^{PClass2} + \beta_4 x_i^{Age} + \beta_5 x_i^{Sex \times PClass1} + \beta_6 x_i^{Sex \times PClass2} + \beta_7 x_i^{Sex \times Age},$$

where

 $\beta_0 = -0.37270$ $\beta_1 = 0.56459$ $\beta_2 = 1.23395$ $\beta_3 = 0.12041$ $\beta_4 = -0.05444$ $\beta_5 = 1.87860$ $\beta_6 = 2.09530$ $\beta_7 = 0.04400$

and $g(\mu_i)$ is the complementary log-log link function.

We can interpret the coefficients of the model to gather insights into the nature of the relationship between the predictors and survival of the passengers. The negative coefficient for age indicates that the older the passenger, the smaller their chance of survival. The coefficients for sex and passenger classes suggest that females have a higher chance of survival than males, and being in 1st or 2nd Class results in a higher predicted survival probability than being in 3rd Class.

One of our key questions of interest was if socio-economic status had any bearing on the likelihood of survival for male passengers. Given that it was deemed necessary to add an interaction term for sex and passenger class due to this addition resulting in a statistically significant reduction in model deviance, it appears that there is a relationship between socio-economic status and the probability of male passengers surviving. We can interpret the coefficients of the interaction terms for sex and passenger class to gain insight into the nature of this relationship, and in so doing, answer our question of interest. From the coefficient of 1.8786 for the sex and 1st Class passengers interaction term, and 2.0953 for the sex and 2nd Class passengers interaction term (compared to just 0.56459 for female passengers compared to male passengers in isolation), we can clearly see that socio-economic status had a large effect on the likelihood of survival for male passengers. 1st and 2nd Class female passengers clearly had a much greater survival probability than males from these socio-economic classes (over-and-above the effect accounted for just by sex in isolation), which hence provides us with an answer to our question of interest.

(g) Tree-based Methods

(i) Fitting a Default Classification Tree

A default classification tree for the data has been fitted and plotted below.

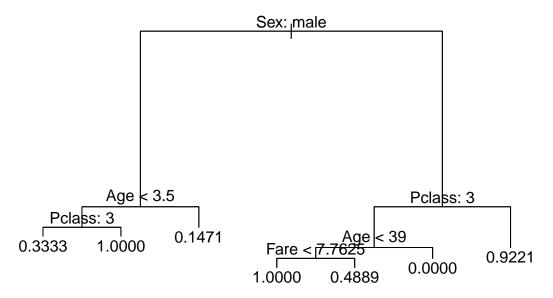


Figure 1: Default Classification Tree for Survival of Passengers

(ii) Interpretation of Fitted Tree

Why it makes sense (1 mark) Relevance of lower predictors Overfitting (1 mark)

(h) Predictions on Test Dataset

The final GLM model we fitted in part (f) was a logistic regression model with a complimentary log-log link function with the following predictors:

$$Survived \sim Sex + Pclass + Age + Sex \cdot PClass + Sex \cdot Age.$$

If we use this model to make predictions on the test data set, we see that the model correctly predicts whether or not a passenger survived for **279** out of the **350** observations. This equates to a prediction accuracy of the model with respect to survival of **0.7971429**.

Now, in comparison, if we use the default tree model fitted in part (g) to make predictions on the test data set, we see that this model correctly predicts whether or not a passenger survived for **278** out of the **350** observations. This equates to a prediction accuracy of the model with respect to survival of **0.7942857**.

Thus the default tree model performs slightly worse than the chosen GLM model when evaluated on the test dataset.

Appendix: R-Code

```
# a)
rm(list = ls(all = TRUE))
dat = read.table('Assignment 2 Titanic SetA.txt', h = TRUE)
```

```
# c)
glm_fit = function(Y, X, beta_start, k = 10)
  # Prepare elements for the updating procedure here:
  beta = matrix(beta_start, nrow = length(X[1,]), ncol = 1)
       = matrix(0, nrow = length(Y), ncol = length(Y))
  # Evaluate the updating equation:
  for(i in 2:k)
        mu = exp(X \ \\*\ beta) / (1 + exp(X \ \\*\ beta))
   diag(W) = mu * (1 - mu)
        J = t(X)%*%W%*%X
        U = t(X) %*% (Y - mu)
       RHS = J \%*\% beta + U
      beta = solve(J, RHS)
  }
  # Process some of the results here:
  std_errors = diag(sqrt(solve(J)))
  # Return relevant content:
  return(list(estimates = beta, standard_errors = std_errors))
}
# Run R's qlm and obtain results
dat$Sex = factor(dat$Sex)
dat$Pclass = factor(dat$Pclass)
# relevel - better for the odds ratios
dat$Pclass = relevel(dat$Pclass, "3")
dat$Sex = relevel(dat$Sex, "male")
fit = glm(Survived ~ Sex + Age + Pclass + Fare + Sex*Pclass, family = binomial(link = "logit")
check estimates = fit$coefficients
check_std_errors = summary(fit)$coefficients[,2] # standard errors stored in 2nd column of sum
# Run glm_fit and obtain results
beta_start = rep(0, 6)
Y = dat$Survived
X = model.matrix(fit)
my_output = glm_fit(Y, X, beta_start)
my_estimates = unlist(my_output["estimates"])
my_std_errors = unlist(my_output["standard_errors"])
# Create table of results
```

```
library(knitr)
library(kableExtra)
col_headings = rep(c("Estimates", "Std. Errors"),2)
results_mtx = matrix(c(check_estimates, check_std_errors, my_estimates, my_std_errors), ncol =
colnames(results_mtx) = col_headings
rownames(results_mtx) = names(fit$coefficients)
kable(results_mtx, format = 'latex', booktabs = T, align="c", escape = F, caption = "Verificat
# e)
fitOR = glm(Survived ~ Sex + Age + Pclass + Sex*Pclass, family = binomial(link = "logit"), date
beta3 = fitOR$coef['Pclass1']
OR1 = exp(beta3)
OR1
beta4 = fitOR$coef['Pclass2']
OR2 = exp(beta4)
OR2
se3 = sqrt(vcov(fitOR)['Pclass1', 'Pclass1'])
conf1 = exp(beta3 + c(-1,1)*1.96*se3)
conf1
se4 = sqrt(vcov(fitOR)['Pclass2', 'Pclass2'])
conf2 = exp(beta4 + c(-1,1)*1.96*se4)
conf2
# f)
# EDA
library(ggplot2)
c(mean(dat$Survived[dat$Sex == 'female']),
(mean(dat$Survived[dat$Sex == 'male'])))
c(mean(dat$Survived[dat$Parch < 2]),</pre>
(mean(dat$Survived[dat$Parch >= 2])))
pclass_means = c(mean(dat$Survived[dat$Pclass == 1]),
                 mean(dat$Survived[dat$Pclass == 2]),
                 mean(dat$Survived[dat$Pclass == 3]))
pclass_means
unique(dat$Embarked)
em_means = c(mean(dat$Survived[dat$Embarked== 'S']),
             mean(dat$Survived[dat$Embarked == 'C']),
             mean(dat$Survived[dat$Embarked == 'Q']))
```

```
em_means
num50
          = length((dat\$Age >= 50)[(dat\$Age >= 50) == TRUE])
num50
age_means = c(mean(dat$Survived[dat$Age <= 50]),</pre>
              mean(dat$Survived[dat$Age >= 50]))
age_means
# MODEL BUILDING
model_intercept = glm(Survived ~ 1, family = binomial(link = "logit"), data = dat)
model1 = glm(Survived ~ Sex, family = binomial(link = "logit"), data = dat)
anova(model_intercept, model1, test = "Chisq")
model2a = glm(Survived ~ Sex + Pclass, family = binomial(link = "logit"), data = dat)
model2b = glm(Survived ~ Sex + Age, family = binomial(link = "logit"), data = dat)
model2c = glm(Survived ~ Sex + Fare, family = binomial(link = "logit"), data = dat)
model2d = glm(Survived ~ Sex + Parch, family = binomial(link = "logit"), data = dat)
model2e = glm(Survived ~ Sex + Embarked, family = binomial(link = "logit"), data = dat)
AIC(model1, model2a, model2b, model2c, model2d, model2e)
anova(model1, model2a, test = "Chisq")
anova(model1, model2b, test = "Chisq")
anova(model1, model2c, test = "Chisq")
anova(model1, model2d, test = "Chisq")
anova(model1, model2e, test = "Chisq")
model2 = model2a
model2i = glm(Survived ~ Sex + Pclass, family = binomial(link = "probit"), data = dat)
model2ii = glm(Survived ~ Sex + Pclass, family = binomial(link = "cloglog"), data = dat)
AIC(model2, model2i, model2ii)
summary(model2)
model3a = glm(Survived ~ Sex + Pclass + Age, family = binomial(link = "logit"), data = dat)
model3b = glm(Survived ~ Sex + Pclass + Fare, family = binomial(link = "logit"), data = dat)
model3c = glm(Survived ~ Sex + Pclass + Parch, family = binomial(link = "logit"), data = dat)
model3d = glm(Survived ~ Sex + Pclass + Embarked, family = binomial(link = "logit"), data = da
AIC(model2, model3a, model3b, model3c, model3d)
anova(model2, model3a, test = "Chisq")
anova(model2, model3b, test = "Chisq")
anova(model2, model3c, test = "Chisq")
anova(model2, model3d, test = "Chisq")
model3 = model3a
model4a = glm(Survived ~ Sex + Pclass + Age + Fare, family = binomial(link = "logit"), data = 0
model4b = glm(Survived ~ Sex + Pclass + Age + Parch, family = binomial(link = "logit"), data =
model4c = glm(Survived ~ Sex + Pclass + Age + Embarked, family = binomial(link = "logit"), date
AIC(model3, model4a, model4b, model4c)
anova(model3, model4a, test = "Chisq")
```

```
anova(model3, model4b, test = "Chisq")
anova(model3, model4c, test = "Chisq")
model4 = model3
model5a = glm(Survived ~ Sex + Pclass + Age + Sex*Pclass, family = binomial(link = "logit"), day
model5b = glm(Survived ~ Sex + Pclass + Age + Sex*Age, family = binomial(link = "logit"), data
model5c = glm(Survived ~ Sex + Pclass + Age + Pclass*Age, family = binomial(link = "logit"), day
AIC(model4, model5a, model5b, model5c)
anova(model4, model5a, test = "Chisq")
anova(model4, model5b, test = "Chisq")
anova(model4, model5c, test = "Chisq")
model5 = model5a
model6a = glm(Survived ~ Sex + Pclass + Age + Sex*Pclass + Sex*Age, family = binomial(link = "
model6b = glm(Survived ~ Sex + Pclass + Age + Sex*Pclass + Pclass*Age, family = binomial(link :
AIC(model5, model6a, model6b)
anova(model5, model6a, test = "Chisq")
anova(model5, model6b, test = "Chisq")
model6 = model6a
model7a = glm(Survived ~ Sex + Pclass + Age + Sex*Pclass + Sex*Age + Pclass*Age, family = binor
AIC(model6, model7a)
anova(model6, model7a, test = "Chisq")
model7 = model6
model8a = glm(Survived ~ Sex + Pclass + Age + Sex*Pclass + Sex*Age, family = binomial(link = "
model8b = glm(Survived ~ Sex + Pclass + Age + Sex*Pclass + Sex*Age, family = binomial(link = "
AIC(model7, model8a, model8b)
model8 = model8b
final_model = model8
summary(final_model)
\# g
# PREDICTIONS
final_model = glm(Survived ~ Sex + Pclass + Age + Sex*Pclass + Sex*Age, family = binomial(link
test_data = read.table('Assignment_2_Titanic_SetB.txt', h = TRUE)
N = length(test_data[,1])
PassengerId = 1:N
test_data$Sex = factor(test_data$Sex)
test_data$Pclass = factor(test_data$Pclass)
prediction_probs = predict(final_model, newdata = test_data, type="response")
predictions = round(prediction_probs) # change from probabilities to Os and 1s
```

```
# cbind(head(test_data$Survived, 20), head(predictions, 20))

tf_vector = test_data$Survived == predictions

# tf_vector = dat$Survived == round(fitted.values(final_model)) # fitted values on orig -> 0.7

num_correct = length(tf_vector[tf_vector == TRUE])

prediction_accuracy = num_correct/N

prediction_accuracy

pred = data.frame(cbind(PassengerId, predictions))

write.table(pred,'Titanic_Pred_WSZSHA001.csv', quote = F, row.names = F, sep = ',')
```