Grammatical Ambiguity and Precedence

Concepts of Programming Languages Lecture 12

Outline

Discuss ambiguity in grammar

Look at ways of avoiding ambiguity

Analyze the relationship between operator fixity, precedence, and ambiguity

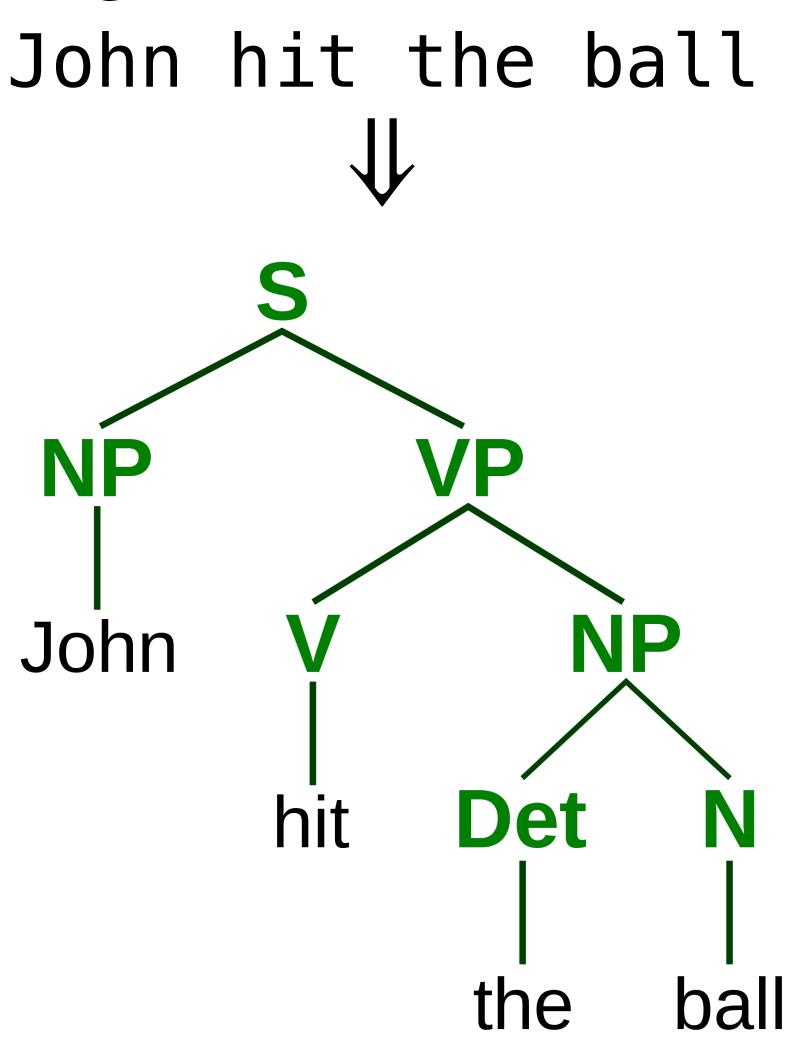
Recap

Recall: What is Grammar?

John hit the ball hit Det ball the

Recall: What is Grammar?

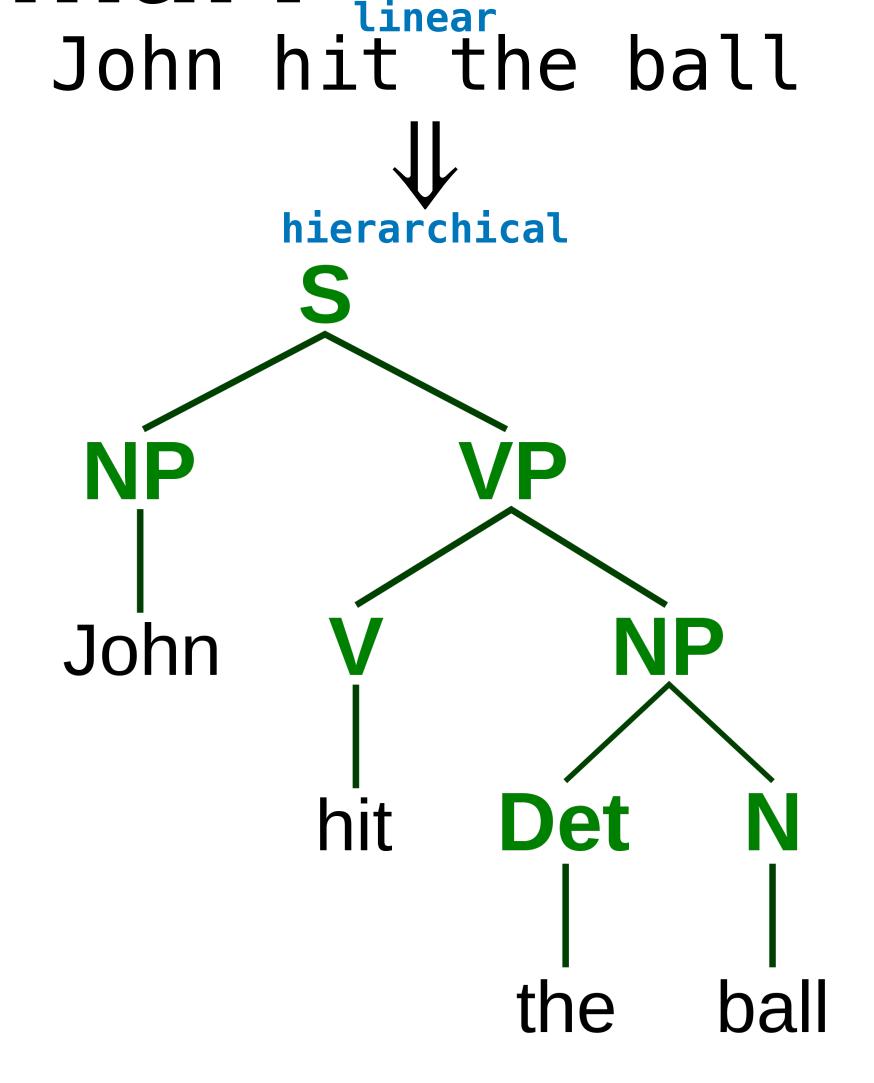
Grammar refers to the rules which govern what statements are well-formed



Recall: What is Grammar?

Grammar refers to the rules which govern what statements are well-formed

Grammar gives linear statements (in natural language or code) their hierarchical structure



Recall: Grammar vs. Semantics

I taught my car in the refrigerator. VS.

My the car taught I refrigerator.



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Grammar is not (typically) interested in meaning, just structure

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Grammar is not (typically) interested in meaning, just structure

(As we will see, it is useful to separate these two concerns)

Formal grammars for PL tell us which programs are well-formed

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# let f x = x + 1;;
val f : int -> int = <fun>
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val f : int -> int = <fun>
# let rec x = x \times x \times x;
Line 1, characters 14-15:
1 | let rec x = x \times x \times x;
Error: This expression has type ...
       but an expression was ex ...
       The type variable 'a occ ...
# let rec f x = f x + 1 - 1;
val f : 'a -> int = <fun>
# let x = List.hd [];;
Exception: Failure "hd".
```

Formal grammars for PL tell us which programs are well-formed

Well-formed programs don't need to be meaningful

(In OCaml, well-formed programs are the ones we can type-check)

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Exception: Failure "hd".
# let x = ;;
Line 1, characters 8-10:
1 | let x = ;;
```

Error: Syntax error

Recall: Production Rules

Recall: Production Rules

A (BNF) production rule describes what we can replace a non-terminal symbol with in a derivation

Recall: Production Rules

```
<non-term> ::= sent-form1 | sent-form2 | ...
```

A (BNF) production rule describes what we can replace a non-terminal symbol with in a derivation

The "|" means: we can replace it with one or the other sentential forms on either side of the "|"

A BNF grammar is defined by a collection of production rules and a starting (nonterminal) symbol

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Note. We don't specify the symbols of a grammar, they are implicit in the rules

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Note. We don't specify the start symbol, it's the left nonterminal symbol in the **first rule**

```
production rules
<expr> ::= <op1> <expr>
                  <op2> <expr> <expr> abstractions (non-terminal symbols)
                   <var>
<0p1>
             := not
            := and
<var>
                        tokens (terminal symbols)
```

Definition. A derivation is a sequence of sentential forms (beginning at the start symbol) in which each form is the result of replacing a non-terminal symbol in the previous form according to a production rule

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Definition. A **leftmost derivation** is a derivation in which the leftmost nonterminal symbol is replaced in each line

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Definition. A **leftmost derivation** is a derivation in which the leftmost nonterminal symbol is replaced in each line

```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
and not <var> <expr>
and not x <expr>
and not x <var>
and not x y
```

Derivations and Parse Trees <op1><op2><var>
<op2><var>
<op2><op1><op2><op1><op2><op1><op2><op1><op2><op1><op2><op2><op2><op2><op2><op2><op2><op2><op2><op2><op2><op2><op2><op2><op2><op2><a href=

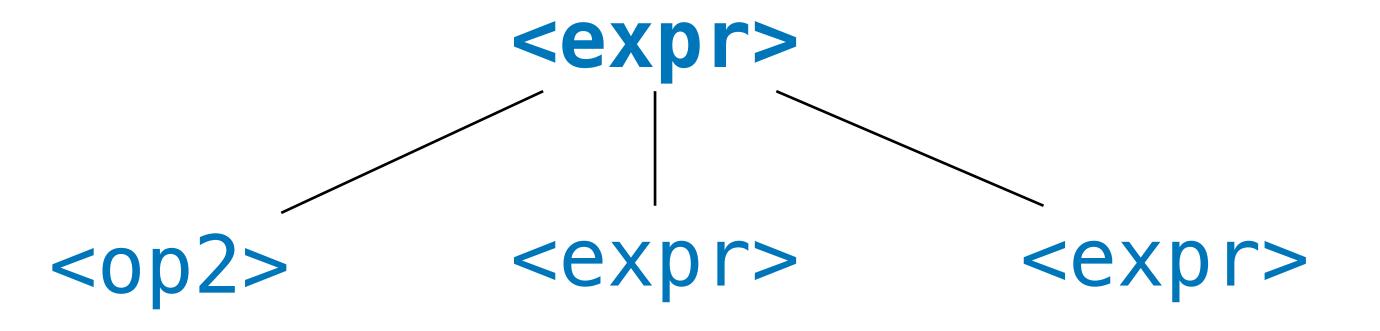
Derivations and Parse Trees

```
<expr>
```



Derivations and Parse Trees

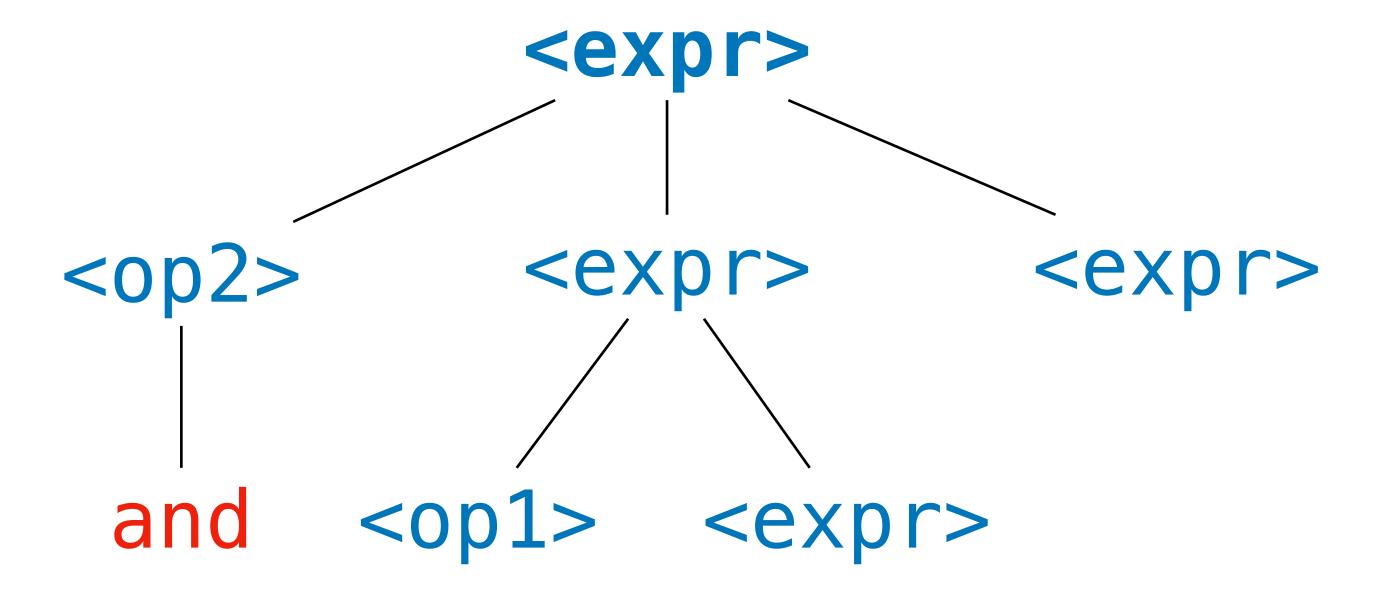
```
<expr>
<op2> <expr> <expr>
```



```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
```

```
<expr>
<op2> <expr> <expr>
and
```

```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
```



```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
```

```
<expr>
           <expr>
<0p2>
                       <expr>
 and
       <op1>
               <expr>
        not
```

```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
and not <var> <expr>
```

```
<expr>
           <expr>
<0p2>
                       <expr>
 and
               <expr>
        not
                <var>
```

```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
and not <var> <expr>
and not x <expr>
```

```
<expr>
           <expr>
<0p2>
                       <expr>
 and
               <expr>
        not
                <var>
```

```
<expr>
<op2> <expr> <expr>
and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
and not <var> <expr>
and not x <expr>
and not x <var>
```

```
<expr>
           <expr>
                       <expr>
<0p2>
 and
               <expr>>
        not
                <var>
```

```
<expr>
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and <expr> <expr>
and <op1> <expr> <expr>
and not <expr> <expr>
and not <var> <expr>
and not x <expr>
and not x <var>
   not x y
```

```
<expr>
           <expr>
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<0p2>
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               <expr>>
        not
                <var>
```

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and not x y
```

```
<expr>
           <expr>
<0p2>
                       <expr>
 and
               <expr>
        not
               <var>
```

Terminology

```
<expr>
<op2> <expr> <expr> and <expr> <expr> and <op1> <expr> <expr> and not <expr> <expr> and not <var> <expr> and not x <yar> and not x y
```

A sentence is **recognized** by a grammar if there is a derivation of that sentence in the grammar

For example, the above grammar recognizes and not x y because of the given derivation

Practice Problem

```
<s> ::= A <a> | A <b>
<a> ::= A B
<b> ::= B <b> | B <s>
```

Is the following sentence recognized by the above grammar?

A B B A A B

Answer

```
<s> : != A <a> | A <b>
<a> : != A B
<b> : != B <b> | B <s>
A B B A A B
```

Ambiguity

The duck is ready for dinner.

John saw the man on the mountain with a telescope.

He said on Tuesday there would be an exam.

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Natural language has ambiguities that can confuse the meaning of a sentence

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Natural language has ambiguities that can confuse the meaning of a sentence

We have informal tactics for avoiding these pitfalls

The duck is ready **to eat** dinner.

John saw the man on the mountain **using** a telescope.

He said the exam would **be held** on Tuesday.

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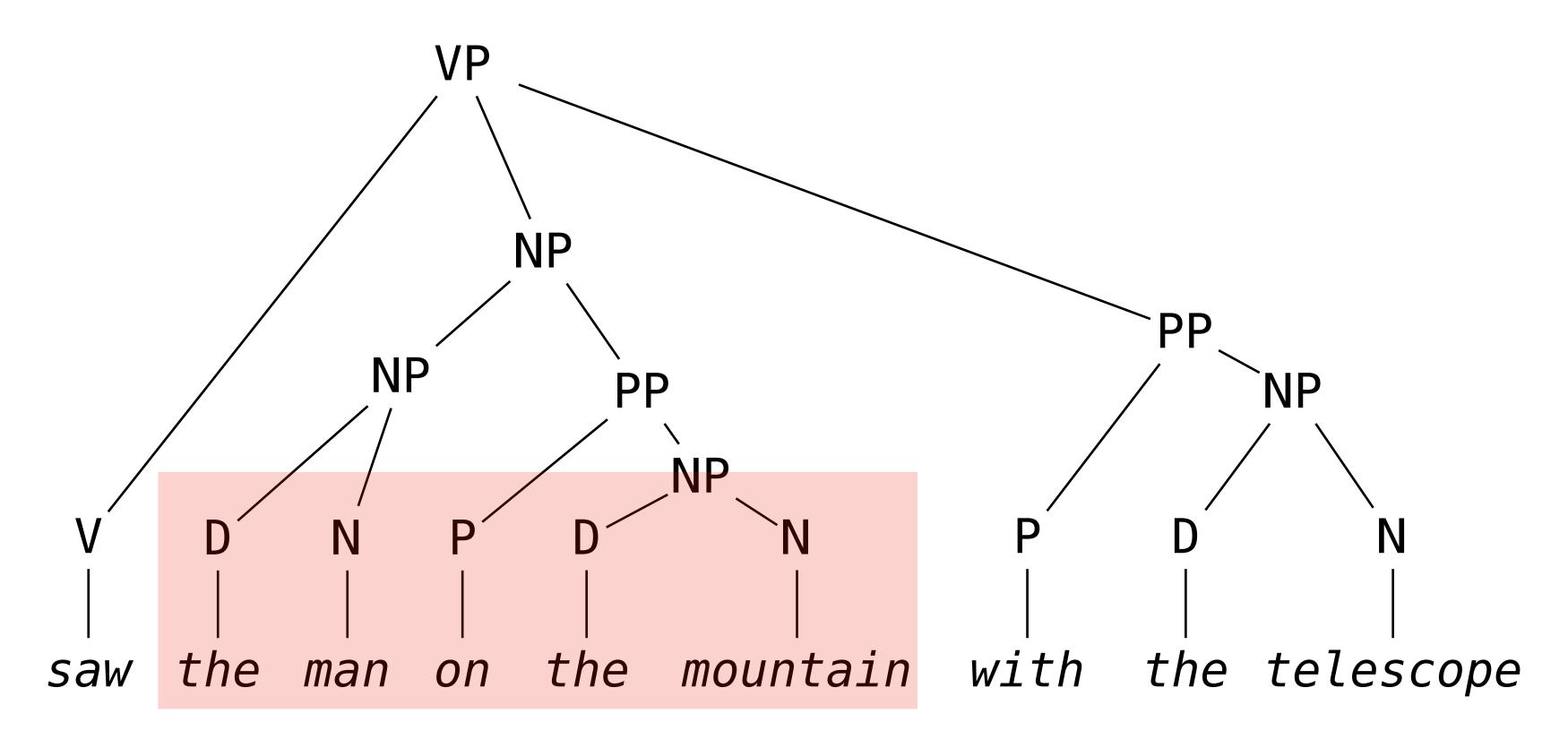
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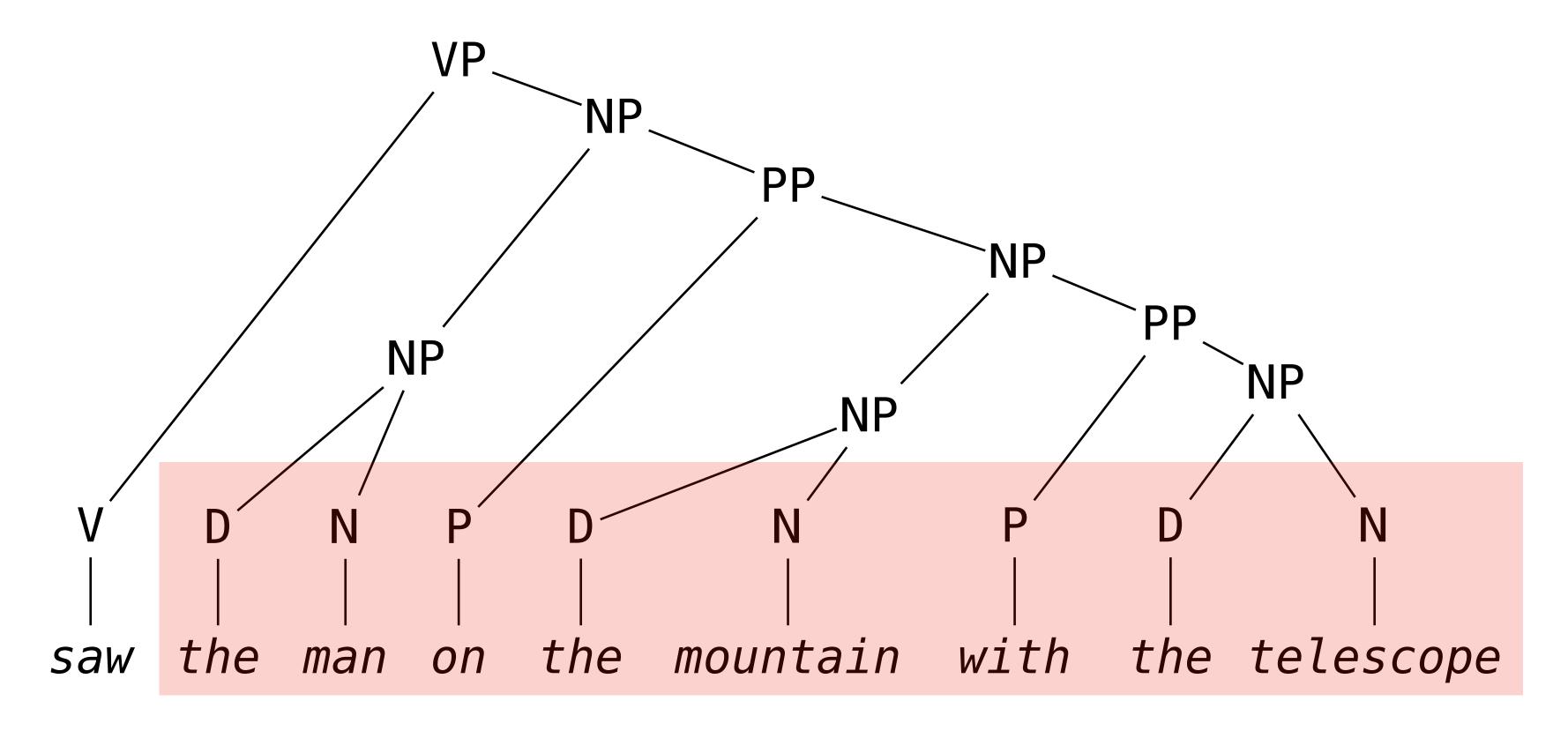
We have informal tactics for avoiding these pitfalls

Aside: Ambiguity and Linearity



Ambiguity is caused by writing down hierarchical structures in a linear fashion

Aside: Ambiguity and Linearity



There is no ambiguity in the grammatical parse tree of this statement

The hierarchical structure changes the meaning of the sentence

Definition. A BNF grammar is **ambiguous** if there is a sentence with multiple parse trees/leftmost derivations.

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```
<expr> ::= <expr> <op> <expr> <op> ::= +
  <var> ::= x | y | z
```

Definition. A BNF grammar is **ambiguous** if there is a sentence with multiple parse trees/leftmost derivations.

x + y + z can be derived as

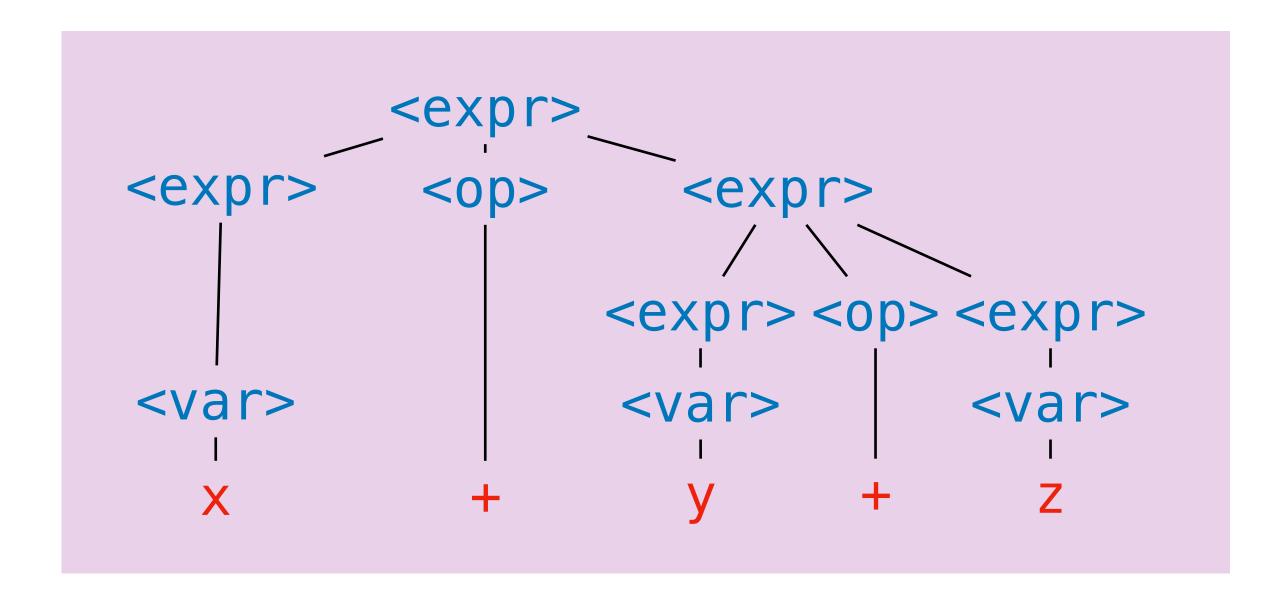
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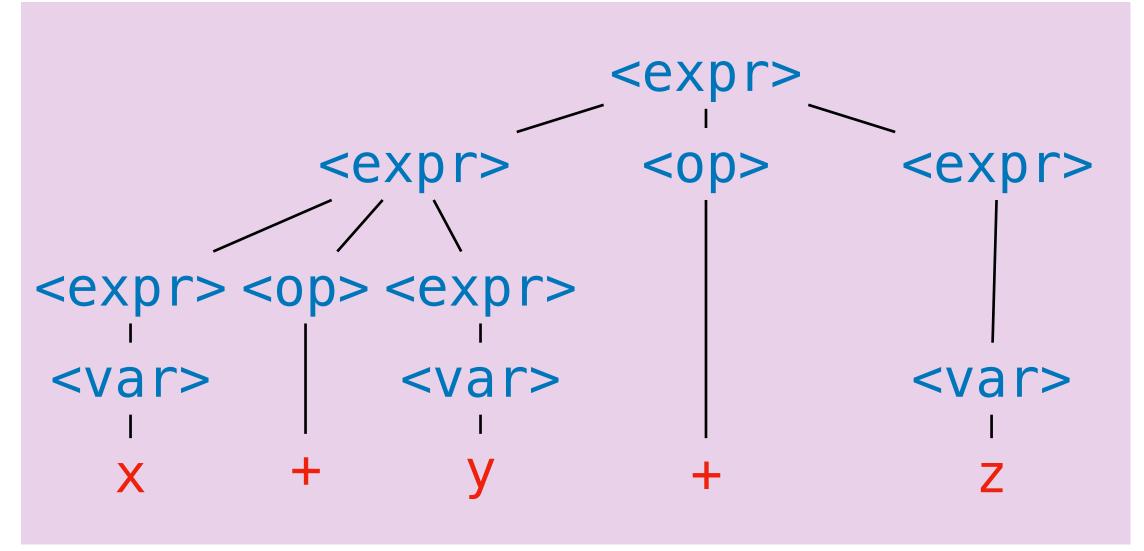
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  <var> ::= x | y | z
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x + y + z can be derived as





Again, why do we care?

```
false && destory_everything () || false
```

Again, why do we care?

```
false && destory_everything () | false
```

```
Note that 1 + 1 + 1 is not ambiguous with respect to its meaning (it's value is 3 according to the standard definition of +)
```

Again, why do we care?

```
false && destory_everything () | false
```

Note that 1 + 1 + 1 is not ambiguous with respect to its *meaning* (it's value is 3 according to the standard definition of +)

But we make a promise to the user of a language that we won't make any unspoken assumptions about what they meant when they wrote down their program

Practice Problem

Show that the above grammar is ambiguous

Answer

What can we do about ambiguity?

Aside: Ambiguity and Computability

```
let is_ambiguous(g : grammar) : bool = ???
```

Aside: Ambiguity and Computability

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Not just hard, but literally impossible

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Not just hard, but literally impossible

That's not to say we can't determine that particular grammars are ambiguous

```
prefix f x , (- x)
```

```
prefix f x , (- x)

postfix a! (get from ref)
```

```
prefix f x , (- x)

postfix a! (get from ref)

infix a * b, a + b, a mod b
```

```
prefix f x , (- x)

postfix a! (get from ref)

infix a * b, a + b, a mod b

mixfix if b then x else y
```

Polish Notation

$$-/+2*1-23$$
is equivalent to
$$-(2+(1*(-2)/3))$$



To avoid ambiguity, we can make all operators prefix (or postfix) operators. We don't even need parentheses

(This how early calculators worked)

Example

No more ambiguity. But programs written like this are notoriously difficult to read...

Lots of Parentheses

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If we want infix operators, we could add parentheses around all operators

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If we want infix operators, we could add parentheses around all operators

But we run into a similar issue: Too many parentheses are difficult to read

Can we get away without (or with fewer) parentheses?

Aside: The Cult of Parentheses

Two Ingredients (or Flavors of Ambiguity)

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Associativity:

```
How should arguments be grouped in an expression like 1 + 2 + 3 + 4?
```

Two Ingredients (or Flavors of Ambiguity)

Associativity:

How should arguments be grouped in an expression like 1 + 2 + 3 + 4?

Precedence:

How should arguments be grouped in an expression like 1 + 2 * 3 + 4?

Associativity

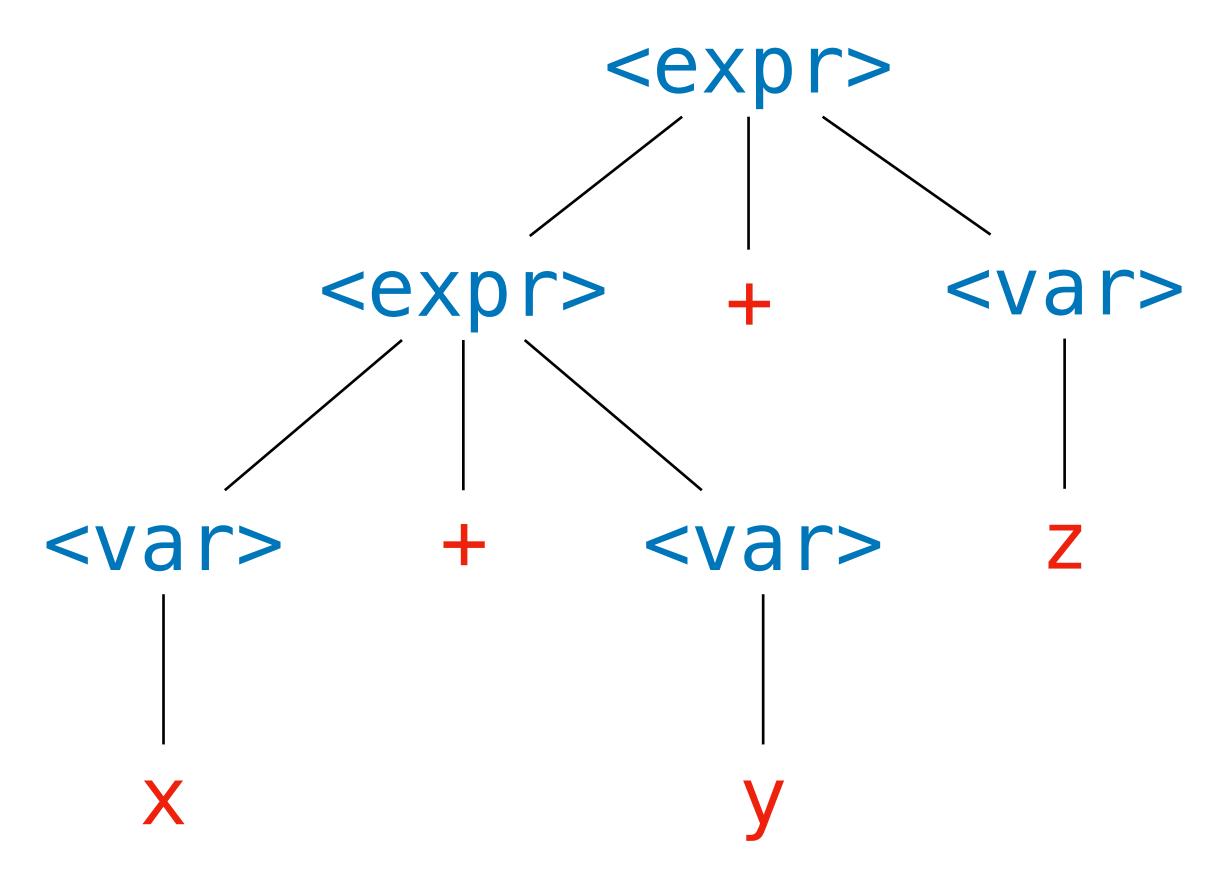
The <u>associativity</u> of an infix operator refers to how its arguments are grouped in the absence of parentheses:

left associative
$$1 + 2 + 3 \Rightarrow (1 + 2) + 3$$

right associative $a \rightarrow b \rightarrow c \Rightarrow a \rightarrow (b \rightarrow c)$

Associativity

$$x + y + z \Rightarrow$$



"add the sum of x and y to z"

How do we enforce that we get a tree of this shape?

Any time we have a rule like this, we should be suspicious...

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```
<expr> + <expr> \Rightarrow <expr> + <expr> + <expr>
```

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```
<expr> + <expr> \Rightarrow <expr> + <expr> + <expr>
```

Which <expr> did we replace?

The Solution: Breaking Symmetry

We make sure that one of the arguments must be "simpler"

By enforcing that the second argument is a <var>, we will get the left-associative parse tree

Example Parse Tree

And Right Associativity

```
<type>
<base> -> <type>
() -> <type>
() -> <base> -> <type>
() -> () -> <type>
() -> () -> <base>
() -> () -> ()
```

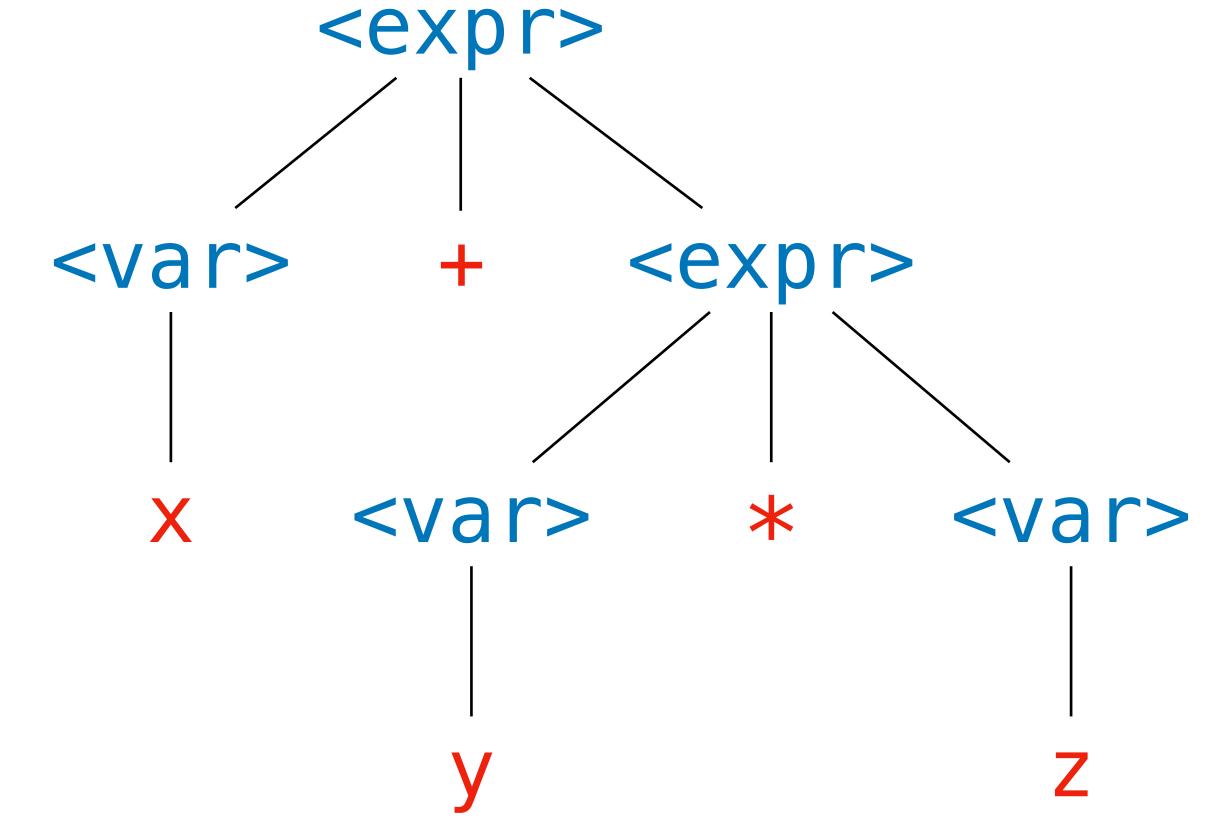
For right associativity, we break symmetry by "factoring out" the *left* argument.

Example Parse Tree

```
<base> -> <type>
() -> <type>
() -> <base> -> <type>
() -> () -> <type>
() -> () -> <base>
() -> () -> ()
```

Multiple Operators

```
x + y * z
```



"add x to the product of y and z"

Question. What if we have multiple operators? Which one should "bind tighter"?

$$2 + 3 \times 6 = 2 + (3 \times 6) = 20$$

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The <u>precedence</u> of an operator refers to order in which an operator should be considered, relative to other operators

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Example. PEMDAS (paren, exp, mul, div, add, sub)

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The <u>precedence</u> of an operator refers to order in which an operator should be considered, relative to other operators

Example. PEMDAS (paren, exp, mul, div, add, sub)

Higher precedence means it "binds tighter"

Dealing with Precedence within the Grammar

We factor out the * part of the <expr>> rule

Note that we handle *lower* precedence terms first, since terms *deeper* in the parse tree are evaluated first

Practice Problem

Write down the parse tree for x + y * z

Answer

x + y * z

A Note on Associativity and Precedence

```
%token PLUS
%token MINUS
%token TIMES
%token DIVIDE
%left PLUS, MINUS
%left TIMES, DIVIDE
```

In most situations, we actually won't deal with associativity and precedence in this way

Using a parser generator we'll often be able to *specify* the precedence of an operator

The Issue of Parentheses Returns

```
<expr> ::= <expr> + <term>
           <term>
<term> ::= <term> * <var>
           <var>
<var> ::= x | y | z
```

```
<expr>
           <term>
     <term> + <term>
<var>
           <var>
 "multiply the sum of x and y with z"
```

Question. Can we derive this parse tree?

The Issue of Parentheses Returns

```
<expr>
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         <term>
<term> ::= <term> * <var>
                                        <term>
         <var>
<var> ::= x | y | z
                                         * <var>
                                <expr>
No, we need to introduce
parentheses again.
                        <term>
                                  + <term>
                         <var>
                                        <var>
                          "multiply the sum of x and y with z"
```

Question. Can we derive this parse tree?

Dealing with Parentheses

We further factor out the part of the rule for parentheses. Note that any expression can appear in the parentheses

(This is a circular, or mutually recursive, production rule)

Example

Other Considerations

```
There's a lot left to make a working grammar:

>> actual values (e.g., (1 + 23) * 4)

>> variable names (e.g, valid_var + 33)

>> multiple operations with the same precedence (e.g. 1 + 3 - 2)

>> multiple operations with different associativity (?)
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>> multiple operations with the same precedence (e.g. 1 + 3 - 2)

>> multiple operations with different associativity (?)
```

This is what we will be doing when we build our interpreters

Summary

To avoid ambiguity, we make choices beforehand about the fixity, associativity and precedence

Determining ambiguity can be tricky, but usually possible for simple grammars

We make the grammars of programming languages unambiguous so that we don't make unspoken assumptions about the users input