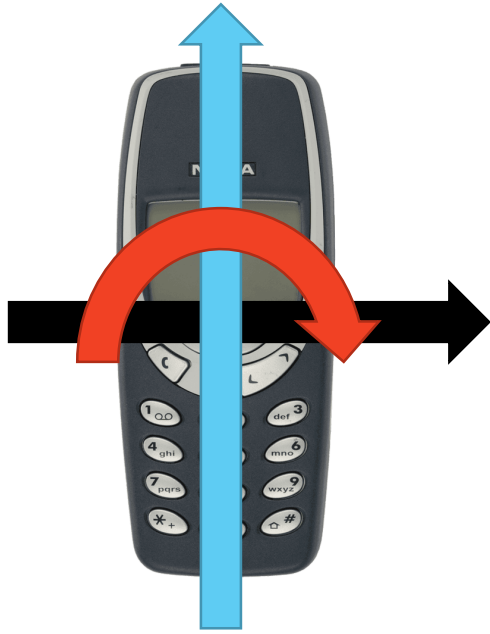


# Mathematics for Robotics (ROB-GY 6013 Section A)

- **Week 1:**
  - Phone Flipping Activity
  - Syllabus
  - Notation
  - Logic
  - Direct Proof Techniques
- **Week 2:**
  - Recap and finish Indirect Proofs
  - Abstract Linear Algebra


# Flip Phone



$$\mathcal{M} = \{I, H, V, T\}$$

- Let  $\mathcal{M}$  be the set of “moves”
  - $I$  (Idle, don’t move)
  - $H$  (Flip phone horizontally)
  - $V$  (Flip phone vertically)
  - $T$  (Twist phone 180 degrees)

Made up  
symbol for  
this binary  
operation

	$I$	$H$	$V$	$T$
$I$	$I$	$H$	$V$	$T$
$H$	$H$	$I$	$T$	$V$
$V$	$V$	$T$	$I$	$H$
$T$	$T$	$V$	$H$	$I$

$$H \text{  } V = T$$

# Klein four-group

$$\mathcal{S} = \{1, 3, 5, 7\}$$

- Binary operation chosen as multiplication modulo 8

$(\times) \mod 8$	1	3	5	7
1	1	3	5	7
3	3	1	7	5
5	5	7	1	3
7	7	5	3	1

- Same pattern as before!
- Suggests deeper link between certain kinds of rotations and certain kinds of integer multiplication
  - They are both the Klein four-group!
  - Good way to start a Mathematics for Robotics course

# Some recurring themes

- We live under the **shadow of real analysis**
  - Less emphasis on real analysis in this course, but that does not mean we are afraid of it
  - We will note when it pops up and move on
- We will **try** to think like a mathematician
  - **Thoughtfulness**
  - **Patience**
  - **Precision**



# How to Argue with Mathematicians\*

- **Vocabulary** (Notation)
- **Grammar** (More Notation/Logic)
- **Writing** (Proofs)

\*As in how to structure mathematical arguments

# Vocabulary: Common Sets

$\mathbb{N} = \{1, 2, 3, \dots\}$  Natural numbers or counting numbers

$\mathbb{Z} = \mathbb{Z} = \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$  Integers or whole numbers

$\mathbb{Q} = \left\{ \frac{m}{q} \mid m, q \in \mathbb{Z}, q \neq 0, \text{no common factors (reduce all fractions)} \right\}$  Rational numbers

$\mathbb{R}$  = Real numbers **are extremely tricky to define/construct!**

$\mathbb{C} = \{\alpha + j\beta \mid \alpha, \beta \in \mathbb{R}, j^2 = -1\}$  Complex numbers

# Vocabulary: Logic

$\forall$  means “for every”, “for all”, “for each” **Universal Quantifier**

$\exists$  means “for some”, “there exist(s)”, “there is/are”, “for at least one” **Existential Quantifier**

$\in$  means “element of” as in “ $x \in A$ ”, i.e.,  $x$  is an element of the set  $A$

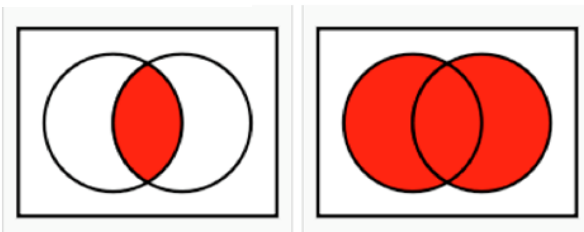
$\sim$  denotes “logical not”. You will also often see  $\neg$ . We’ll use both in these notes.

$p \implies q$  means “if the logical or mathematical statement  $p$  is true, then the statement  $q$  is true”

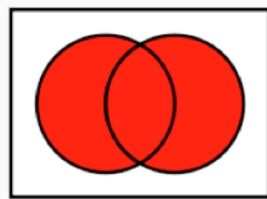
$p \iff q$  means “ $p$  is true if, and only if,  $q$  is true”. While  $p$  iff  $q$  is another way to write  $p \iff q$

*Logical and:*  $p_1 \wedge p_2$       *Logical or:*  $p_1 \vee p_2$

**Intersection**



**Union**



# Vocabulary: Logic

$p \iff q$  is logically equivalent to

(a)  $p \implies q$  and

(b)  $q \implies p$

The *contrapositive* of  $p \implies q$  is  $\sim q \implies \sim p$

The *converse* of  $p \implies q$  is  $q \implies p$ . It is very important to note that in general,  $(p \implies q)$  *DOES NOT IMPLY*  $(q \implies p)$ , and vice-versa. If they did, we would not need  $p \iff q$ .



# Sentences

- “Every family has one family member that all other family members dislike”

$$\forall F \exists y \in F \text{ such that } \forall x \in F \setminus \{y\} \text{ } x \text{ dislikes } y$$

- Every real number is arbitrarily close to a rational number

$$\forall x \in \mathbb{R} \text{ and } \forall (\epsilon \in \mathbb{R}, \epsilon > 0) , \exists q \in \mathbb{Q} \text{ s.t. } |x - q| < \epsilon$$

- Every real number can be approximated by a rational number up to any numerical precision (number of decimal places)

$$\forall x \in \mathbb{R} \text{ and } \forall n \in \mathbb{N} , \exists q \in \mathbb{Q} \text{ s.t. } |x - q| < 1 / 10^n$$

$$\pi \approx 3.14159\dots$$

# True Sentences

- Truth Tables and Venn Diagrams
- De Morgan's Laws (**basically flip everyone**)

$$\sim (A \wedge B) = (\sim A \vee \sim B)$$

$$\sim (A \vee B) = (\sim A \wedge \sim B)$$

- **Existential and Universal quantifiers are negations of each other**
  - “All swans are white” vs. “Some/at least one swan is black”
- Inverse, Converse, Contrapositive
  - Contrapositive is equivalent to original conditional statement

# Definitions

- Definitions are biconditionals (if and only type statements)
  - They say the same thing in two (or more) different-sounding ways

- Definition of odd number:

$$(n \text{ is odd}) \Leftrightarrow (\exists k \in \mathbb{Z} \mid n = 2k + 1)$$

- Definition of even number:

$$(n \text{ is even}) \Leftrightarrow (\exists k \in \mathbb{Z} \mid n = 2k)$$

- In a proof, we might start with the left-hand side of the definition to get to the right-hand side (e.g., trying to show something is even) or the opposite direction (e.g., we know something is odd and are trying to get something out of that knowledge)

# Proofs: Recipes for Truth

**Example 1.3** *Provide a direct proof that the sum of two odd integers is even.*

**Proof:** Let  $n_1$  and  $n_2$  be odd integers. Then by the definition of odd, there exist integers  $k_1$  and  $k_2$  such that

$$\begin{aligned}n_1 &= 2k_1 + 1 \\n_2 &= 2k_2 + 1.\end{aligned}$$

Then using the rules of arithmetic,

$$n_1 + n_2 = (2k_1 + 1) + (2k_2 + 1) = 2(k_1 + k_2 + 1).$$

Because  $k_1 + k_2 + 1$  is the sum of three integers, it is also an integer, and therefore  $2(k_1 + k_2 + 1)$  is by definition, an even integer. Because  $n_1 + n_2 = 2(k_1 + k_2 + 1)$ , it is even. ■

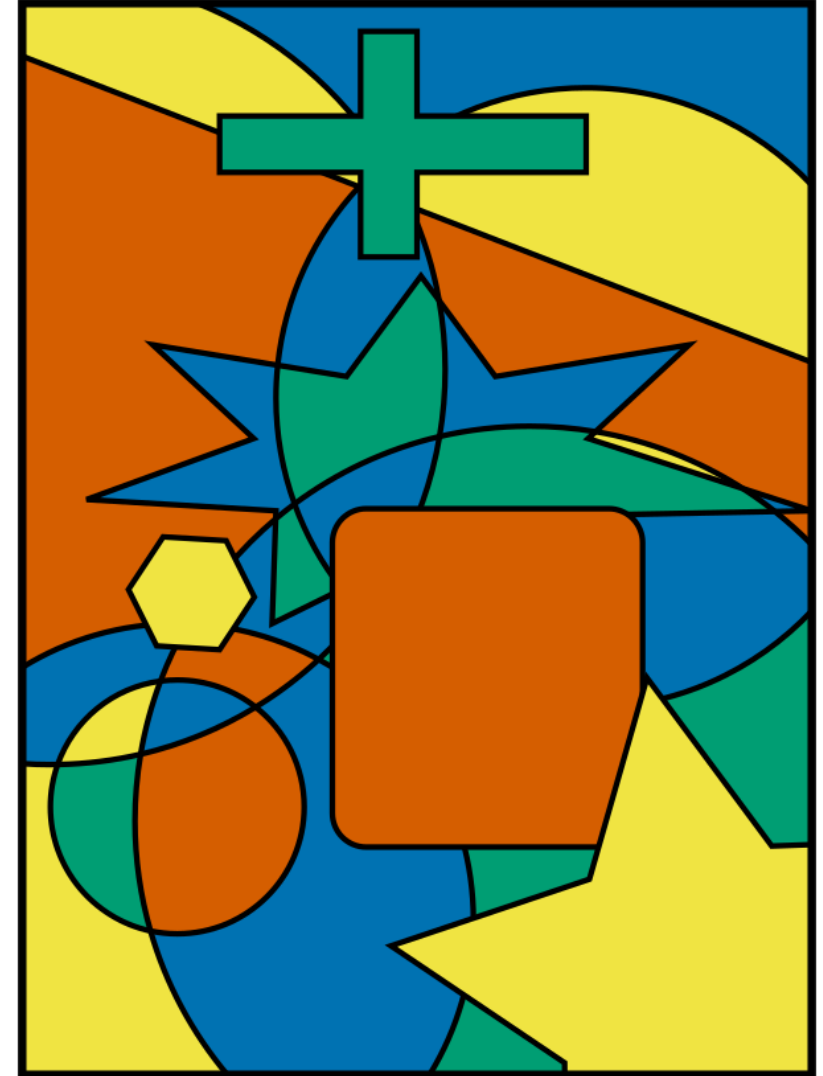
- Build outward from things you know/assume to be true: **axioms, definitions, and theorems** with some exceptions when you temporarily assume things that you don't know to be true as part of the proof techniques

# Style

- Abbreviations
  - iff (if and only if, for biconditionals)
  - s.t. or  $\mid$  (such that)
- End your proof with style!
  - End with QED (stands for quod erat demonstratum, Latin for “**which was to be demonstrated**”)
  - End with ■ also known as Halmos or tombstone

# Special Direct Proof Techniques

- Proof by Exhaustion (**Four-color Theorem, Theorems involving Rubik's Cubes**)
- Proof by Mathematical Induction
  - First Principle or Standard Induction  
(Sum of  $1 + 3 + \dots + (2n - 1) = n^2$ )
  - Second Principle or Strong Induction  
(Fundamental Theorem of Arithmetic)
  - Both are equivalent but are used in different cases!



# First Principle of Induction (Standard Induction)

Let  $P(n)$  denote a statement about the natural numbers with the following properties:

- (a) **Base case:** Prove that  $P(1)$  is true
- (b) **Induction step:** Assume **induction hypothesis** (  $P(k)$  ) is true.  
Prove that if  $P(k)$  is true,  
then  $P(k + 1)$  must be true.

By the **(first)** principle of mathematical induction the statement  $P(n)$  holds for every natural number  $n$ . **QED.**

**Example 1.6** *Let's prove the Claim: For all  $n \geq 1$ ,  $1 + 3 + 5 + \cdots + (2n - 1) = n^2$ .*

**Proof:**

- Step 0: Write down  $P(k)$ :  $1 + 3 + 5 + \cdots + (2k - 1) = k^2$ .
- Step 1: Check the base case,  $P(1)$ : For  $k = 1$ , we have that  $1 = 1^2$ , and hence the base case is true.
- Step 2: Show the induction hypothesis is true. That is, using the fact that  $P(k)$  is true, show that  $P(k + 1)$  is true. Often, this involves re-writing  $P(k + 1)$  as a sum of terms that show up in  $P(k)$  and another term.

For us,

$$P(k + 1) : 1 + 3 + 5 + \cdots + (2k - 1) + (2(k + 1) - 1) = (k + 1)^2.$$

For the induction step, we assume that

$$P(k) := 1 + 3 + 5 + \cdots + (2k - 1) = k^2$$

is true and thus  $P(k + 1)$  is true if, and only if

$$k^2 + (2(k + 1) - 1) = (k + 1)^2.$$

Using the known (and accepted) rules of algebra, we check that

$$k^2 + (2(k + 1) - 1) = k^2 + 2k + 2 - 1 = k^2 + 2k + 1 = (k + 1)^2,$$

and hence  $P(k + 1)$  is true. Because we have shown that  $P(1)$  is true and for all  $k \geq 1$ ,  $P(k) \implies P(k + 1)$ , by the Principle of Induction, we conclude that for all  $k \geq 1$ ,

$$1 + 3 + 5 + \cdots + (2k - 1) = k^2.$$





# Second Principle of Induction (Strong Induction)

Let  $P(n)$  denote a statement about the natural numbers with the following properties:

- (a) **Base case:** Prove that  $P(1)$  is true
- (b) **Induction step:** Assume **induction hypothesis** (  $P(j)$  is true for  $1 \leq j \leq k$  ).  
Prove that if  $P(j)$  is true for  $1 \leq j \leq k$ ,  
then  $P(k + 1)$  must be true.

By the (second) principle of mathematical induction the statement  $P(n)$  holds for every natural number  $n$ . **QED.**"

**Example 1.11** *Let's prove the **Theorem**: (Fundamental Theorem of Arithmetic) Every natural number  $n \geq 2$  can be factored as a product of one or more primes.*

**Proof:**

- Step 0: We write down the statements. For  $k \geq 2$ ,  $P(k)$ : there exist  $i_k \geq 1$  and prime numbers  $p_1, p_2, \dots, p_{i_k}$  such that the product  $p_1 \cdots p_{i_k} = k$ .
- Step 1: Check the base case,  $P(2)$ : For  $k = 2$ , we have that  $2 = 2$ , and hence the base case is true.
- Step 2: Show the induction hypothesis is true. That is, using the fact that  $P(j)$  is true for  $1 \leq j \leq k$ , show that  $P(k + 1)$  is true, that is,  $k + 1$  can be expressed as a product of primes. There are two cases:
  - (a) Case 1:  $k + 1$  is prime. In this case, we are done because  $k + 1$  is already the product of one prime, namely itself.
  - (b) Case 2:  $k + 1$  is composite. Then, there exist two natural numbers  $a$  and  $b$ ,  $2 \leq a, b \leq k$ , such that  $k + 1 = a \cdot b$ .

Because  $a$  and  $b$  are natural numbers that are greater than or equal to 2 and less than or equal to  $k$ , by the induction step:

$$P(a) \implies a = p_1 \cdot p_2 \cdots p_{i_a}, \text{ for some primes } p_i$$

$$P(b) \implies b = q_1 \cdot q_2 \cdots q_{j_b}, \text{ for some primes } q_j$$

Hence,  $a \cdot b = (p_1 \cdot p_2 \cdots p_{i_a}) \cdot (q_1 \cdot q_2 \cdots q_{j_b})$ , which is a product of primes.



**Proposition** Any postage of 8¢ or more is possible using 3¢ and 5¢ stamps.

*Proof.* We will use strong induction.

- (1) This holds for postages of 8, 9 and 10 cents: For 8¢, use one 3¢ stamp and one 5¢ stamp. For 9¢, three 3¢ stamps. For 10¢, two 5¢ stamps.
- (2) Let  $k \geq 10$ , and for each  $8 \leq m \leq k$ , assume a postage of  $m$  cents can be obtained exactly with 3¢ and 5¢ stamps. (That is, assume statements  $S_8, S_9, \dots, S_k$  are all true.) We must show that  $S_{k+1}$  is true, that is,  $(k+1)$ -cents postage can be achieved with 3¢ and 5¢ stamps. By assumption,  $S_{k-2}$  is true. Thus we can get  $(k-2)$ -cents postage with 3¢ and 5¢ stamps. Now just add one more 3¢ stamp, and we have  $(k-2) + 3 = k+1$  cents postage with 3¢ and 5¢ stamps. ■

# Note on our Strong Induction proofs

- We genuinely needed the strong induction part to “reach back” into some  $P(j)$  where  $j$  was not  $k$ .
- The Fundamental Theorem of Arithmetic actually says that any factorization natural number greater than or equal to 2 has a unique prime factorization. But we are going by the textbook.

# Standard and Strong Induction are Equivalent

**Equivalence of Strong and Ordinary Induction:** Let  $P(k)$  be the set of logical statements that are used with Strong Induction. Then the induction step is equivalent to

$$(P(1) \wedge P(2) \wedge \cdots \wedge P(k)) \implies P(k+1), \quad (1.1)$$

because we assume that  $P(j)$  is true for  $1 \leq j \leq k$ . Next, you can note that (1.1) is equivalent to

$$P(1) \wedge P(2) \wedge \cdots \wedge P(k) \implies P(1) \wedge P(2) \wedge \cdots \wedge P(k) \wedge P(k+1), \quad (1.2)$$

because if  $P(1) \wedge P(2) \wedge \cdots \wedge P(k) = \mathbf{T}$ , then

$$(P(1) \wedge P(2) \wedge \cdots \wedge P(k) \wedge P(k+1) = \mathbf{T}) \iff (P(k+1) = \mathbf{T}).$$

It follows that Ordinary Induction on

$$Q(k) := P(1) \wedge P(2) \wedge \cdots \wedge P(k)$$

is equivalent to Strong Induction on  $P(k)$ .

# Other Notes on Induction

- The Base Case should start at  $P(1)$  but we can replace the 1 with another positive integer and the machinery of the inductive proof still works

Question What if you want to  
prove something is true  
for  $k_0 \geq 19$ .

Induction  $k \geq 19$ , let  
 $\tilde{P}(k) = P(k+18)$ . Then do  
induction on  $\tilde{P}(k)$ .

# Non-obvious Inductive Proof

**Theorem:** The sum of the angles in any convex polygon with  $n$  vertices is  $(n - 2) \cdot 180^\circ$

**Things we have:**

- **Definition:** A convex polygon is a polygon where, for any two points in or on the polygon, the line between those points is contained within the polygon.
- **Theorem:** Any line drawn through a convex polygon splits that polygon into two convex polygons.
- **Theorem:** Angles in a triangle add up to  $180^\circ$

# Indirect Proof Techniques

- **Proof by Contrapositive**
- **Proof by Contradiction**
- Art and craft of proofs: picking which proof techniques to try



# Proof by Contrapositive

**Proposition:** If  $n^2$  is even, then  $n$  is even.

# Proof by Contrapositive

**Proposition:** If  $n^2$  is even, then  $n$  is even.

**Proof.** We will prove the **contrapositive**.  (If  $n$  is *not* even, then  $n^2$  is not even.)

Let  $n$  be an integer.

Suppose that  $n$  is not even, and thus odd.

Then, there exists an integer  $k$  such that  $n = 2k + 1$

Then,  $n^2 = (2k + 1)^2 = 4k^2 + 4k + 1 = 2(2k^2 + 2k) + 1$

Since  $2k^2 + 2k$  is an integer, we see that  $n^2$  is odd and therefore not even. ■

# Proof by Contradiction

A logical contradiction is an assertion that is false for all possible values of its variables:

$$R \wedge (\sim R) = \mathbf{T}$$

If I assume a statement is true, and then derive a logical contradiction from that assumption, the assumption must be false.

We can use the above to our advantage!

$$(p \implies (\exists R \text{ such that } R \wedge (\sim R) = \mathbf{T})) \iff p = \mathbf{F}.$$

# Euclid's Proof that $\sqrt{2}$ is irrational

- We define  $p$  :  $\sqrt{2}$  is an irrational number.
- We start with the assumption ( $\sim p = \mathbf{T}$ ), that is,  $\sqrt{2}$  is a rational number.
- Based on that assumption, we can deduce there exist integers  $m$  and  $n$ ,  $n \neq 0$ , such that  $\sqrt{2} = \frac{m}{n}$  and  $m$  and  $n$  do not have a common factor.
- We now define ( $R$  :  $m$  and  $n$  do not have a common factor) and know that  $R = \mathbf{T}$ .
- However, from  $\sqrt{2} = \frac{m}{n}$ , we show that  $m$  and  $n$  have 2 as a common factor.
- We now have  $\sim R = \mathbf{T}$ .
- Hence,  $(R \wedge (\sim R)) = \mathbf{T}$ , **which is a contradiction**.
- Conclusion:  $\sim p = \mathbf{T}$  is impossible, and therefore  $\sim p = \mathbf{F}$ .
- Hence,  $p = \mathbf{T}$  and we have proved that  $\sqrt{2}$  is irrational. Pretty cool!

**Proof:** Our statement is  $p : \sqrt{2}$  is irrational. We assume  $\sim p : \sqrt{2}$  is rational. We seek to show that this leads to the existence of a statement  $R$  that is both true and false, a contradiction.

If  $\sqrt{2}$  is rational, then there exist natural numbers  $m$  and  $n$  such that

- $m$  and  $n$  have no common factors,
- $n \neq 0$ , and

$$\sqrt{2} = \frac{m}{n}. \quad (1.3)$$

All we have done is apply the definition of a rational number. Next, we square both sides of (1.3) to arrive at

$$\left(2 = \frac{m^2}{n^2}\right) \implies (2n^2 = m^2) \implies (m^2 \text{ is even}).$$

From our result in Example 1.4, we deduce that  $m$  must be even, and hence there must exist an integer  $k$  such that  $m = 2k$ .

From  $2n^2 = m^2$ , we deduce that

$$(2n^2 = (2k)^2) \implies (2n^2 = 4k^2) \implies (n^2 = 2k^2) \implies n^2 \text{ is even}.$$

Once again appealing to our result in Example 1.4, we deduce that  $n$  must be even, and hence there must exist an integer  $j$  such that  $n = 2j$ .

Because both  $m$  and  $n$  are even, they have 2 as a common factor, which is a contradiction to  $m$  and  $n$  have no common factors.

Because we arrived at this contradiction from the statement “ $\sqrt{2}$  is rational”, we deduce that “ $\sqrt{2}$  is rational” must be false. Hence,  $\sqrt{2}$  is irrational. ■.

# Proof by Contradiction

“It is a far finer gambit than any chess gambit: a chess player may offer the sacrifice of a pawn or even a piece, but a mathematician offers the game.”

— G.H. Hardy

# What is a...

- **Scalar**
- **Vector**
- **Linear Combination**
  - **Linear Independence/Dependence**

# What is a scalar? A user's perspective

- Quantity with only magnitude but no direction
- Practicality (\$):

**+** **−** **×** **÷**



# What is a scalar field?

- **Definition:** A **field** consists of a set, denoted by  $\mathcal{F}$ , of elements called **scalars** and two operations called **addition** “+” and **multiplication** “ $\cdot$ ”; the two operations are defined over  $\mathcal{F}$  such that they satisfy the following conditions:
  - **Field Axioms** 1) – 7)
- We have seen binary operations before.

**Definition 2.1** (Chen, 2nd edition, page 8) : A **field** consists of a set, denoted by  $\mathcal{F}$ , of elements called **scalars** and two operations called addition “+” and multiplication “ $\cdot$ ”; the two operations are defined over  $\mathcal{F}$  such that they satisfy the following conditions:

1. To every pair of elements  $\alpha$  and  $\beta$  in  $\mathcal{F}$ , there correspond an element  $\alpha + \beta$  in  $\mathcal{F}$  called the sum of  $\alpha$  and  $\beta$ , and an element  $\alpha \cdot \beta$  (or simply  $\alpha\beta$ ) in  $\mathcal{F}$  called the product of  $\alpha$  and  $\beta$ .
2. Addition and multiplication are respectively commutative: For any  $\alpha$  and  $\beta$  in  $\mathcal{F}$ ,

$$\alpha + \beta = \beta + \alpha \qquad \qquad \qquad \alpha \cdot \beta = \beta \cdot \alpha$$

3. Addition and multiplication are respectively associative: For any  $\alpha, \beta, \gamma$  in  $\mathcal{F}$ ,

$$(\alpha + \beta) + \gamma = \alpha + (\beta + \gamma) \qquad \qquad \qquad (\alpha \cdot \beta) \cdot \gamma = \alpha \cdot (\beta \cdot \gamma)$$

4. Multiplication is distributive with respect to addition: For any  $\alpha, \beta, \gamma$  in  $\mathcal{F}$ ,

$$\alpha \cdot (\beta + \gamma) = (\alpha \cdot \beta) + (\alpha \cdot \gamma)$$

5.  $\mathcal{F}$  contains an element, denoted by 0, and an element, denoted by 1, such that  $\alpha + 0 = \alpha$  and  $1 \cdot \alpha = \alpha$  for every  $\alpha$  in  $\mathcal{F}$ .
6. To every  $\alpha$  in  $\mathcal{F}$ , there is an element  $\beta$  in  $\mathcal{F}$  such that  $\alpha + \beta = 0$ . The element  $\beta$  is called the additive inverse.
7. To every  $\alpha$  in  $\mathcal{F}$  which is not the element 0, there is an element  $\gamma$  in  $\mathcal{F}$  such that  $\alpha \cdot \gamma = 1$ . The element  $\gamma$  is called the multiplicative inverse.

Definition (Hungerford, 2nd edition, page 8) : A **field** consists of a set, denoted by  $\mathcal{F}$ , of elements called **scalars** and two operations, addition “+” and multiplication “ $\cdot$ ”; the two operations are defined over  $\mathcal{F}$  such that they satisfy the following conditions:

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**Closure**  
**Commutativity**

Def: (then, 2nd ed. page 8) : A **field** consists of a set, denoted by  $\mathcal{F}$ , of elements called **scalars** and two operations, addition “+” and multiplication “ $\cdot$ ”; the two operations are defined over  $\mathcal{F}$  such that they satisfy the following conditions:

1. For any two elements  $\alpha$  and  $\beta$  in  $\mathcal{F}$ , there correspond an element  $\alpha + \beta$  in  $\mathcal{F}$  called the sum of  $\alpha$  and  $\beta$ , and an element  $\alpha \cdot \beta$  (or simply  $\alpha\beta$ ) in  $\mathcal{F}$  called the product of  $\alpha$  and  $\beta$ .

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**Commutativity**  
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**Identity**

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# Fields or Not?

- Canonical Example:

$\mathbb{R}$

- How to tell?

$\mathbb{Z}, \mathbb{C}, \mathbb{Q}, \mathbb{N}$

- Are all fields infinite?

# Fields or Not?

- Canonical Example:

$\mathbb{R}$

- How to tell?

$\mathbb{Z}, \mathbb{C}, \mathbb{Q}, \mathbb{N}, \mathbb{R}^{2 \times 2}$

# Fields or Not?

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~~$\mathbb{Z}, \mathbb{C}, \mathbb{Q}, \mathbb{N}, \mathbb{R}^{2 \times 2}$~~   
7                      5,6,7      2,7      Axioms Failed

# More Fields

- Are all fields infinite?
- Are there interesting fields?

# More Fields

- Are all fields infinite? **No**
- Are there “*interesting*” fields? **Yes**

## Finite Field $\{0,1\}$

+	0	1
0	0	1
1	1	0

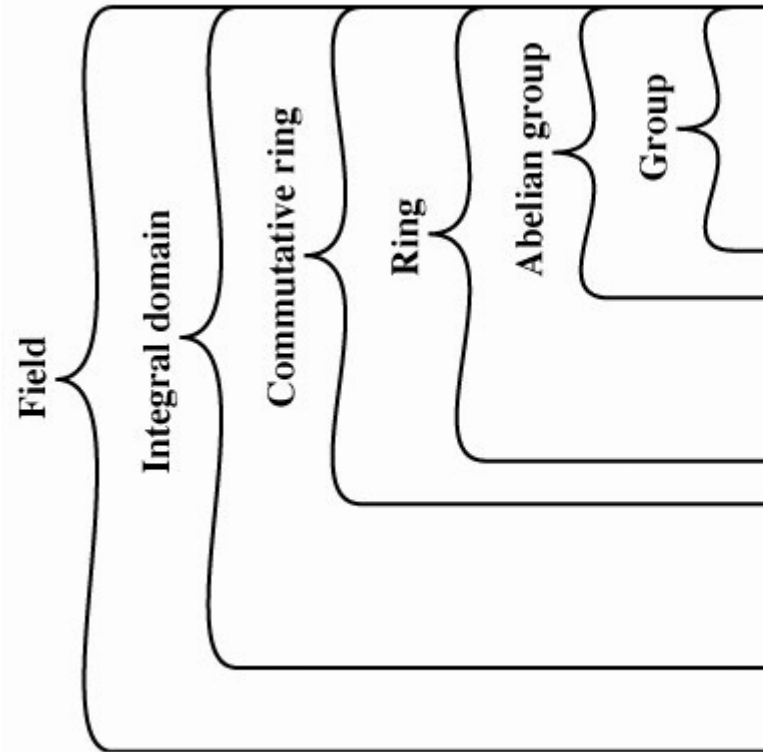
•	0	1
0	0	0
1	0	1

# Levels of thinking

- Field Axioms
- Scalar as magnitude
- “Like  $\mathbb{R}$ ”

# Levels of thinking

- **Field Axioms: Thinking like a mathematician**
  - What if I start dropping axioms — it is no longer a field — but do I get something else?
    - Yes, rings, groups, etc.
- Scalar as magnitude
- “Like  $\mathbb{R}$ ”



Closure under addition  
Associativity of addition  
Additive identity:

Additive inverse:

Commutativity of addition:  
Closure under multiplication:  
Associativity of multiplication:  
Distributive laws:

Commutativity of multiplication:  
Multiplicative identity:

No zero divisors:

Multiplicative inverse:



# What is a vector? A user's perspective

- Quantity with magnitude and direction
- Practicality (physics):



# What is a vector (or linear) space?

- **Definition:** A **vector space** (or, **linear space**) over a field  $\mathcal{F}$ , denoted by  $(\mathcal{X}, \mathcal{F})$ , consists of a set, denoted by  $\mathcal{X}$ , of elements called **vectors**, a field  $\mathcal{F}$ , and two operations called **vector addition** and **scalar multiplication**. The two operations are defined over  $\mathcal{X}$  and  $\mathcal{F}$  such that they satisfy all the following conditions:
  - **Vector Axioms** 1) – 10)
- These axioms look familiar
- The definition of vectors builds on the definition of scalars

**Definition 2.2** (Chen 2nd Edition, page 9) A **vector space** (or, **linear space**) over a field  $\mathcal{F}$ , denoted by  $(\mathcal{X}, \mathcal{F})$ , consists of a set, denoted by  $\mathcal{X}$ , of elements called **vectors**, a field  $\mathcal{F}$ , and two operations called **vector addition** and **scalar multiplication**. The two operations are defined over  $\mathcal{X}$  and  $\mathcal{F}$  such that they satisfy all the following conditions:

1. To every pair of vectors  $v^1$  and  $v^2$  in  $\mathcal{X}$ , there corresponds a vector  $v^1 + v^2$  in  $\mathcal{X}$ , called the sum of  $v^1$  and  $v^2$ <sup>1</sup>.
2. Addition is commutative: For any  $v^1, v^2$  in  $\mathcal{X}$ ,  $v^1 + v^2 = v^2 + v^1$ .
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4.  $\mathcal{X}$  contains a vector, denoted by  $\mathbf{0}$ , such that  $\mathbf{0} + v = v$  for every  $v$  in  $\mathcal{X}$ . The vector  $\mathbf{0}$  is called the zero vector or the origin.
5. To every  $v$  in  $\mathcal{X}$ , there is a vector  $\bar{v}$  in  $\mathcal{X}$ , such that  $v + \bar{v} = \mathbf{0}$ .
6. To every  $\alpha$  in  $\mathcal{F}$ , and every  $v$  in  $\mathcal{X}$ , there corresponds a vector  $\alpha \cdot v$  in  $\mathcal{X}$  called the scalar product of  $\alpha$  and  $v$ .
7. Scalar multiplication is associative: For any  $\alpha, \beta$  in  $\mathcal{F}$  and any  $v$  in  $\mathcal{X}$ ,  $\alpha \cdot (\beta \cdot v) = (\alpha \cdot \beta) \cdot v$ .
8. Scalar multiplication is distributive with respect to vector addition: For any  $\alpha$  in  $\mathcal{F}$  and any  $v^1, v^2$  in  $\mathcal{X}$ ,  $\alpha \cdot (v^1 + v^2) = \alpha \cdot v^1 + \alpha \cdot v^2$ .
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10. For any  $v$  in  $\mathcal{X}$ ,  $1 \cdot v = v$ , where 1 is the element 1 in  $\mathcal{F}$ .

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# Vector Spaces or not?

- Main Example:

$$(\mathbb{R}^n, \mathbb{R})$$

- How to tell?

$$(\mathbb{R}, \mathbb{C}) \quad (\mathbb{C}, \mathbb{R}) \quad (\mathbb{Q}, \mathbb{R})$$

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# Vector Spaces $(\mathcal{X}, \mathcal{F})$

- $\mathcal{X} = \mathcal{F}^n$   
The set of  $n$ -tuples  $\mathcal{F}^n := \left\{ \begin{bmatrix} \alpha_1 \\ \vdots \\ \alpha_n \end{bmatrix} \mid \alpha_i \in \mathcal{F}, 1 \leq i \leq n \right\},$

where vector addition and scalar multiplication are defined as:

(a) *Vector Addition:*  $\begin{bmatrix} \alpha_1 \\ \vdots \\ \alpha_n \end{bmatrix} + \begin{bmatrix} \beta_1 \\ \vdots \\ \beta_n \end{bmatrix} = \begin{bmatrix} \alpha_1 + \beta_1 \\ \vdots \\ \alpha_n + \beta_n \end{bmatrix}$

(b) *Scalar Multiplication:*  $\alpha \cdot x = \begin{bmatrix} \alpha x_1 \\ \vdots \\ \alpha x_n \end{bmatrix}$

# Vector Spaces $(\mathcal{X}, \mathcal{F})$

- $\mathcal{X} = \mathcal{F}^{n \times m}$   
The set of  $n \times m$  matrices with coefficients in  $\mathcal{F}$   
$$\mathcal{F}^{n \times m} := \left\{ \begin{bmatrix} A_{1,1} & \dots & A_{1,m} \\ \vdots & \ddots & \vdots \\ A_{n,1} & \dots & A_{n,m} \end{bmatrix} \mid A_{i,j} \in \mathcal{F}, 1 \leq i \leq n, 1 \leq j \leq m \right\},$$

where vector addition and scalar multiplication are defined as:

$$[A + B]_{ij} := [A]_{ij} + [B]_{ij}$$

$$\alpha \in \mathbb{R}, [\alpha A]_{ij} := \alpha [A]_{ij}$$

- Matrices can be viewed as vectors



# Interesting Vector Spaces $(\mathcal{X}, \mathcal{F})$

- $\mathcal{X} = \mathcal{F}$

Every field forms a vector space over **itself**.  $(\mathcal{F}, \mathcal{F})$

e.g.,  $(\mathbb{R}, \mathbb{R})$   $(\mathbb{C}, \mathbb{C})$   $(\mathbb{Q}, \mathbb{Q})$

- $\mathcal{X} = \{f : D \rightarrow \mathbb{R}\}$  where  $D \subset \mathbb{R}$ , and  $\mathcal{F} = \mathbb{R}$

The set of all real-valued functions on  $D$ , where vector addition and scalar multiplication are defined as:

(a)  $\forall f, g \in \mathcal{X}$ , define  $f + g \in \mathcal{X}$  by  $\forall t \in D, (f + g)(t) := f(t) + g(t)$ ;

(b)  $\forall f \in \mathcal{X}$  and  $\alpha \in \mathbb{R}$ , define  $\alpha \cdot f \in \mathcal{X}$  by  $\forall t \in D, (\alpha \cdot f)(t) := \alpha \cdot f(t)$ .

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- (b)  $\forall f \in \mathcal{X}$  and  $\alpha \in \mathbb{R}$ , define  $\alpha \cdot f \in \mathcal{X}$  by  $\forall t \in D, (\alpha \cdot f)(t) := \alpha \cdot f(t)$ .*

- Let's check Vector Axiom 8  $\alpha \cdot (f + g) = \alpha \cdot f + \alpha \cdot g$ 
  - We will use the definition of a function evaluated at a point  $t$
  - And then rely on the known definitions about real numbers

*Let  $t \in D$ , then*

*(a) LHS:*  $[\alpha \cdot (f + g)](t) := \alpha \cdot [f + g](t) = \alpha \cdot [f(t) + g(t)] = \alpha \cdot f(t) + \alpha \cdot g(t)$

*(b) RHS:*  $[\alpha \cdot f + \alpha \cdot g](t) := [\alpha \cdot f](t) + [\alpha \cdot g](t) = \alpha \cdot f(t) + \alpha \cdot g(t)$

*(c) Hence,  $LHS = RHS$  and we are done.*

# Levels of thinking

- Vector Axioms
- Vectors as magnitude with direction
- Expand your perspective to allow for functions

# Subspaces

- **Definition:** Let  $(\mathcal{X}, \mathcal{F})$  be a vector space, and let  $\mathcal{Y}$  be a subset of  $\mathcal{X}$ . Then  $\mathcal{Y}$  is a **subspace** if using the rules of vector addition and scalar multiplication defined in  $(\mathcal{X}, \mathcal{F})$ , we have that  $(\mathcal{Y}, \mathcal{F})$  is a vector space.
- Note on subsets (proper/strict vs. otherwise)
- The definition builds on definition of a vector space

# Subspaces

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- **(Proposition 2.8 in the book)** The following are equivalent (TFAE)
  - (a)  $(\mathcal{Y}, \mathcal{F})$  is a subspace of  $(\mathcal{X}, \mathcal{F})$ .
  - (b)  $\forall v^1, v^2 \in \mathcal{Y}, v^1 + v^2 \in \mathcal{Y}$  (closed under vector addition),  
and  $\forall y \in \mathcal{Y}$  and  $\alpha \in \mathcal{F}, \alpha y \in \mathcal{Y}$  (closed under scalar multiplication).
  - (c)  $\forall v^1, v^2 \in \mathcal{Y}, \forall \alpha \in \mathcal{F}, \alpha \cdot v^1 + v^2 \in \mathcal{Y}$ .
  - (d)  $\forall v^1, v^2 \in \mathcal{Y}, \forall \alpha_1, \alpha_2 \in \mathcal{F}, \alpha_1 \cdot v^1 + \alpha_2 \cdot v^2 \in \mathcal{Y}$ .

# Interesting Subspaces?

- Think about it