

# Algorithms 04

## CS201

Kaustuv Nag

# Multiplication of Two Integers

- ▶ Problem Statement: Given two  $n$  digit long integers  $x$  and  $y$  in base  $r$ , find  $x \times y$ .
- ▶ We usually assume that it takes a constant time to perform the multiplication of two integers.
  - ▶ Makes life simpler.
  - ▶ Numbers are usually relatively small.
  - ▶ We can do multiplications relatively fast.
- ▶ The naive approach takes  $O(n^2)$  running time.

# Multiplication of Two Integers: Divide and Conquer

- ▶ Divide each number into two halves:

$$x = x_H \times r^{n/2} + x_L$$

$$y = y_H \times r^{n/2} + y_L$$

- ▶ Combine:

$$\begin{aligned} xy &= (x_H \times r^{n/2} + x_L) \times (y_H \times r^{n/2} + y_L) \\ &= x_H y_H r^n + (x_H y_L + x_L y_H) r^{n/2} + x_L y_L \end{aligned}$$

- ▶ Running Time:

$$\begin{aligned} T(n) &= 4T(n/2) + O(n) \\ &= O(n^2) \end{aligned}$$

# Multiplication of Two Integers: Karatsuba's Algorithm

- ▶ Instead of four subproblems, we can have only three subproblems:

$$a = x_H y_H$$

$$d = x_L y_L$$

$$e = (x_H + x_L)(y_H + y_L) - a - d$$

- ▶ Then:

$$xy = ar^n + er^{n/2} + d$$

- ▶ Running Time:

$$\begin{aligned} T(n) &= 3T(n/2) + O(n) \\ &= O(n^{\lg 3}) \approx O(n^{1.584}) \end{aligned}$$

# Multiplication of Two Integers: Karatsuba's Algorithm

## An Example

- ▶ Compute  $1234 \times 4321$ .
- ▶ Subproblems:

$$a = 12 \times 43$$

$$d = 34 \times 21$$

$$e = (12 + 34) \times (43 + 21) - a - d$$

- ▶ Recrusively solve  $a = 12 \times 43$ :

$$a_a = 1 \times 4 = 4$$

$$d_a = 2 \times 3 = 6$$

$$e_a = (1 + 2) \times (4 + 3) - a_a - d_a = 11$$

$$a = 4 \times 10^2 + 11 \times 10 + 6 = 516$$

# Multiplication of Two Integers: Karatsuba's Algorithm

## An Example

- Recrusively solve  $d = 34 \times 21$ :

$$a_d = 3 \times 2 = 6$$

$$d_d = 4 \times 1 = 4$$

$$e_d = (3 + 4) \times (2 + 1) - a_d - d_d = 11$$

$$d = 6 \times 10^2 + 11 \times 10 + 4 = 714$$

- Solve subproblem  $e = 46 \times 64 - a - d = 2944 - a - d = 1714$

- Recrusively solve  $e' = 46 \times 64$ :

$$a_{e'} = 4 \times 6 = 24$$

$$d_{e'} = 6 \times 4 = 24$$

$$e_{e'} = (4 + 6) \times (6 + 4) - a_{e'} - d_{e'} = 52$$

$$e' = 24 \times 10^2 + 52 \times 10 + 24 = 2944$$

# Multiplication of Two Integers: Karatsuba's Algorithm

## An Example

- Combine

$$\begin{aligned}1234 \times 4321 &= 516 \times 10^4 + 1714 \times 10^2 + 714 \\ &= 5332114\end{aligned}$$

# The Master Theorem

Let  $a \geq 1$  and  $b > 1$  be constants, let  $f(n)$  be a function, and let  $T(n)$  be defined on the nonnegative integers by the recurrence

$$T(n) = aT(n/b) + f(n),$$

where we interpret  $n/b$  to mean either  $\lfloor n/b \rfloor$  or  $\lceil n/b \rceil$ . Then  $T(n)$  has the following asymptotic bounds:

1. If  $f(n) = O(n^{\log_b a - \varepsilon})$  for some constant  $\varepsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$ .
2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \lg n)$ .
3. If  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  for some constant  $\varepsilon > 0$ , and if  $af(n/b) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \Theta(f(n))$ .



# The Intuition behind the Master Theorem

- ▶ We compare the function  $f(n)$  with the function  $n^{\log_b a}$ . The larger of the two functions determines the solution to the recurrence.
- ▶ Case 1: If the function  $n^{\log_b a}$  is *polynomially* larger, then the solution is  $T(n) = \Theta(n^{\log_b a})$ .
- ▶ Case 3: If the function  $f(n)$  is *polynomially* larger, then the solution is  $T(n) = \Theta(f(n))$ .
- ▶ Case 2: If the two functions are the same size, we multiply by a logarithmic factor, and the solution is  $T(n) = \Theta(n^{\log_b a} \lg n) = \Theta(f(n) \lg n)$ .

# The Master Theorem: Examples

- ▶  $T(n) = 9T(n/3) + n$ 
  - ▶  $a = 9, b = 3, f(n) = n.$
  - ▶  $n^{\log_b a} = n^{\log_3 9} = \Theta(n^2).$
  - ▶  $f(n) = O(n^{\log_3 9 - \epsilon}),$  where  $\epsilon = 1.$
  - ▶ Case 1:  $T(n) = \Theta(n^2).$
- ▶  $T(n) = T(2n/3) + 1$ 
  - ▶  $a = 1, b = 3/2, f(n) = 1.$
  - ▶  $n^{\log_b a} = n^{\log_{3/2} 1} = n^0 = 1.$
  - ▶  $f(n) = n^{\log_{3/2} 1} = \Theta(1).$
  - ▶ Case 2:  $T(n) = \Theta(\lg n).$

# The Master Theorem: Examples

- ▶  $T(n) = 3T(n/4) + n \lg n$ 
  - ▶  $a = 3, b = 4, f(n) = n \lg n$ .
  - ▶  $n^{\log_b a} = n^{\log_4 3} \approx O(n^{0.793})$ .
  - ▶  $f(n) = \Omega(n^{\log_4 3 + \varepsilon})$ , where  $\varepsilon \approx 0.2$ .
  - ▶ Case 3 may be applicable.
  - ▶ For sufficiently large  $n$ ,  $af(n/b) = 3(n/4) \lg(n/4) \leq (3/4)n \lg n = cf(n)$  for  $c = 3/4$ .
  - ▶ Case 3:  $T(n) = \Theta(n \lg n)$
- ▶  $T(n) = 2T(n/2) + n \lg n$ 
  - ▶  $a = 2, b = 2, f(n) = n \lg n$ .
  - ▶  $n^{\log_b a} = n^{\log_2 2} = n$ .
  - ▶  $f(n) = n \lg n$  is *asymptotically* larger than  $n^{\log_b a} = n$  but it is not *polynomially* larger.
  - ▶ The recurrence falls into the gap between case 2 and case 3.
  - ▶ The master method does not apply to the recurrence.

# The Master Theorem: Examples

- ▶  $T(n) = 2T(n/2) + \Theta(n)$ 
  - ▶  $a = 2, b = 2, f(n) = \Theta(n)$ .
  - ▶  $n^{\log_b a} = n^{\log_2 2} = n = \Theta(n)$ .
  - ▶  $f(n) = \Theta(n^{\log_2 2}) = \Theta(n)$ .
  - ▶ Case 2:  $T(n) = \Theta(n \lg n)$
- ▶  $T(n) = 8T(n/2) + \Theta(n^2)$ 
  - ▶  $a = 8, b = 2, f(n) = \Theta(n^2)$ .
  - ▶  $n^{\log_b a} = n^{\log_2 8} = n^3$ .
  - ▶  $f(n) = \Theta(n^{\log_2 8 - \varepsilon})$ , where  $\varepsilon = 1$ .
  - ▶ Case 1:  $T(n) = \Theta(n^3)$
- ▶  $T(n) = 7T(n/2) + \Theta(n^2)$ 
  - ▶  $a = 7, b = 2, f(n) = \Theta(n^2)$ .
  - ▶  $n^{\log_b a} = n^{\log_2 7} \approx n^{2.8}$ .
  - ▶  $f(n) = \Theta(n^{\log_2 7 - \varepsilon})$ , where  $\varepsilon \approx 0.8$ .
  - ▶ Case 1:  $T(n) = \Theta(n^{\lg 7})$

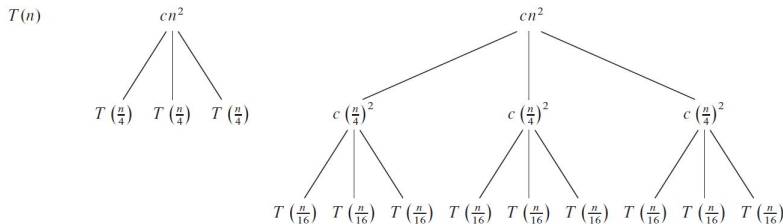
# Recursion Tree

- ▶ In a *recursion tree*, each node represents the cost of a single subproblem somewhere in the set of recursive function invocations.
- ▶ A recursion tree is best used to generate a good guess, which we can then verify by the *substitution method*.
- ▶ When using a recursion tree to generate a good guess, we can often tolerate a small amount of “sloppiness,” since we verify our guess later on.
- ▶ If we are very careful when drawing out a recursion tree and summing the costs, we can use a recursion tree as a direct proof of a solution to a recurrence.

# Recursion Tree: Examples

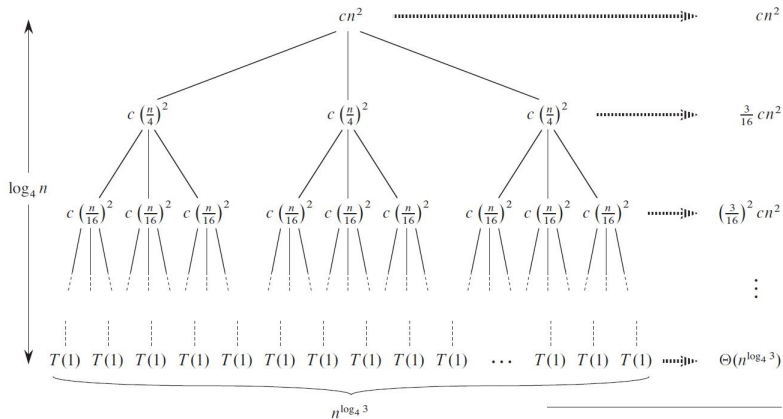
$$T(n) = 3T(\lfloor n/4 \rfloor) + \Theta(n^2)$$

- To find an upper bound, we create a recurrence tree for  $T(n) = 3T(n/4) + \Theta(n^2)$  (this is an example of sloppiness).
- For convenience, we assume that  $n$  is an exact power of 4 (another example of tolerable sloppiness) so that all subproblem sizes are integers.



# Recursion Tree: Examples

Recurrence Tree for  $T(n) = 3T(n/4) + \Theta(n^2)$



Total:  $O(n^2)$

# Recursion Tree: Examples

$$T(n) = 3T(\lfloor n/4 \rfloor) + \Theta(n^2)$$

- ▶ How far from the root do we reach one?
  - ▶ The subproblem size for a node at depth  $i$  is  $n/4^i$ .
  - ▶ The subproblem size hits  $n=1$  when  $n/4^i = 1$ , i.e.,  $i = \log_4 n$ .
  - ▶ The tree has  $\log_4 n + 1$  levels (at depths  $0, 1, 2, \dots, \log_4 n$ ).
- ▶ We determine the cost at each level of the tree.
  - ▶ The number of nodes at depth  $i$  is  $3^i$ .
  - ▶ Each node at depth  $i$  has a cost of  $c(n/4^i)^2$ .
  - ▶ The total cost over all nodes at depth  $i$  is  $3^i c(n/4^i)^2 = (3/16)^i cn^2$ .
  - ▶ The bottom level, at depth  $\log_4 n$ , has  $3^{\log_4 n} = n^{\log_4 3}$  nodes, each contributing cost  $T(1)$  for a total cost of  $n^{\log_4 3} T(1) = \Theta(n^{\log_4 3})$ .



# Recursion Tree: Examples

$$T(n) = 3T(\lfloor n/4 \rfloor) + \Theta(n^2)$$

► The cost of the entire tree:

$$\begin{aligned} T(n) &= cn^2 + \frac{3}{16}cn^2 + \left(\frac{3}{16}\right)^2 cn^2 + \cdots + \left(\frac{3}{16}\right)^{\log_4 n - 1} cn^2 + \Theta(n^{\log_4 3}) \\ &= \sum_{i=0}^{\log_4 n - 1} \left(\frac{3}{16}\right)^i cn^2 + \Theta(n^{\log_4 3}) \\ &= \frac{(3/16)^{\log_4 n} - 1}{(3/16) - 1} cn^2 + \Theta(n^{\log_4 3}) \end{aligned}$$

# Recursion Tree: Examples

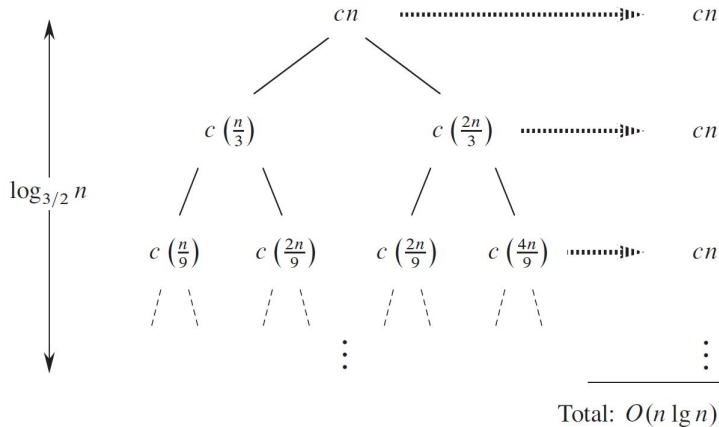
$$T(n) = 3T(\lfloor n/4 \rfloor) + \Theta(n^2)$$

- We take advantage of small amounts of “sloppiness” and use an infinite decreasing geometric series as an upper bound.

$$\begin{aligned} T(n) &= \sum_{i=0}^{\log_4 n - 1} \left(\frac{3}{16}\right)^i cn^2 + \Theta(n^{\log_4 3}) \\ &< \sum_{i=0}^{\infty} \left(\frac{3}{16}\right)^i cn^2 + \Theta(n^{\log_4 3}) \\ &= \frac{1}{1 - (3/16)} cn^2 + \Theta(n^{\log_4 3}) \\ &= \frac{16}{13} cn^2 + \Theta(n^{\log_4 3}) \\ &= O(n^2) . \end{aligned}$$

# Recursion Tree: Examples

Recurrence Tree for  $T(n) = T(n/3) + T(2n/3) + O(n)$



# Recursion Tree: Examples

## Recurrence Tree for $T(n) = T(n/3) + T(2n/3) + O(n)$

- ▶ The longest simple path from the root to a leaf is  $n \rightarrow (2/3)n \rightarrow (2/3)^2n \rightarrow \dots \rightarrow 1$ .
- ▶ Since  $(2/3)^k n = 1$  when  $k = \log_{3/2} n$ , the height of the tree is  $\log_{3/2} n$ .
- ▶ The solution to the recurrence is at most the number of levels times the cost of each level, or  $O(n \log_{3/2} n) = O(n \lg n)$ .

# Substitution Method

## Steps of Substitution Method

- ▶ Guess the form of the solution (better to use a recursion tree for this).
- ▶ Use mathematical induction to find the constants and show that the solution works.

# Substitution Method: Examples

Revisiting  $T(n) = 3T(\lfloor n/4 \rfloor) + \Theta(n^2)$

- ▶ We have guessed (using recursion tree)  $T(n) = O(n^2)$ .
- ▶ We want to show that  $T(n) \leq dn^2$  for some constant  $d > 0$ . Using the same constant  $c > 0$  as before, we have

$$\begin{aligned} T(n) &\leq 3T(\lfloor n/4 \rfloor) + cn^2 \\ &\leq 3d \lfloor n/4 \rfloor^2 + cn^2 \\ &\leq 3d(n/4)^2 + cn^2 \\ &= \frac{3}{16} dn^2 + cn^2 \\ &\leq dn^2, \end{aligned}$$

where the last step holds as long as  $d \geq (16/13)c$ .

# Substitution Method: Examples

Revisiting  $T(n) = T(n/3) + T(2n/3) + O(n)$

- ▶ We have guessed (using recursion tree)  $T(n) = O(n \lg n)$ .
- ▶ We want to show that  $T(n) \leq dn \lg n$  for some constant  $d > 0$ . Using the same constant  $c > 0$  as before, we have

$$\begin{aligned} T(n) &\leq T(n/3) + T(2n/3) + cn \\ &\leq d(n/3) \lg(n/3) + d(2n/3) \lg(2n/3) + cn \\ &= (d(n/3) \lg n - d(n/3) \lg 3) \\ &\quad + (d(2n/3) \lg n - d(2n/3) \lg(3/2)) + cn \\ &= dn \lg n - d((n/3) \lg 3 + (2n/3) \lg(3/2)) + cn \\ &= dn \lg n - d((n/3) \lg 3 + (2n/3) \lg 3 - (2n/3) \lg 2) + cn \\ &= dn \lg n - dn(\lg 3 - 2/3) + cn \\ &\leq dn \lg n, \end{aligned}$$

where the last step holds as long as  $d \geq c/(\lg 3 - (2/3))$ .

# White Board



# White Board

# White Board