

# Self-organizing Maps (SOM)

## Machine Learning (CS 306)

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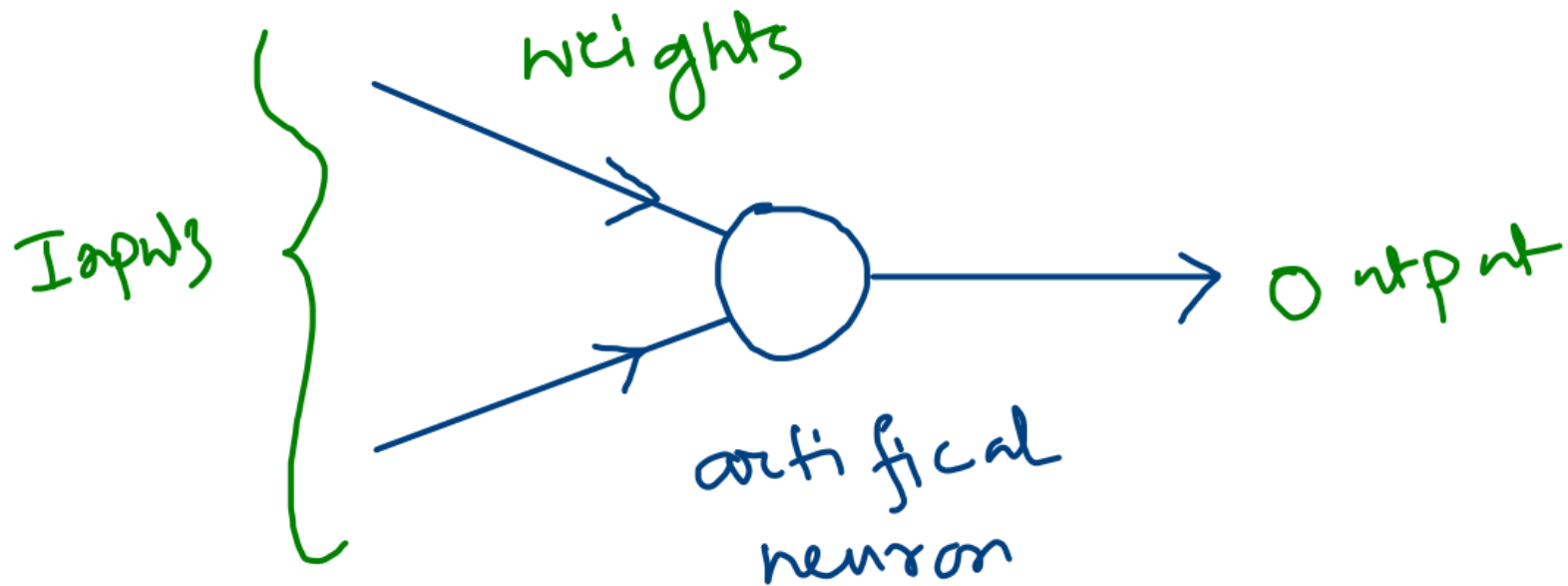
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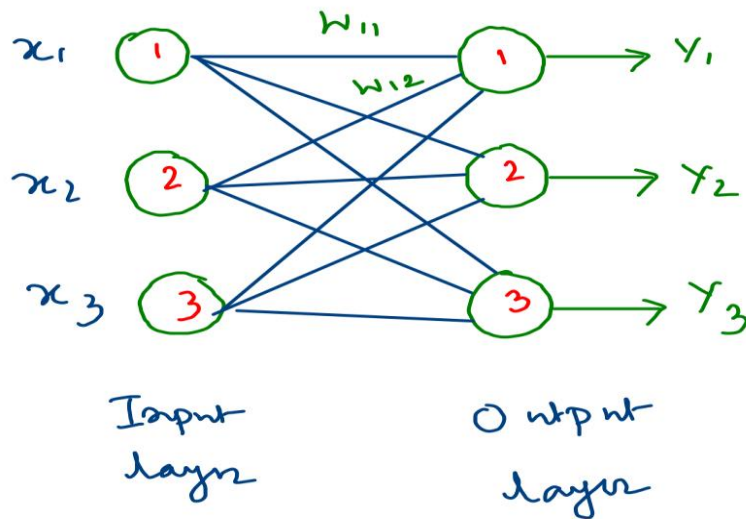
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# Artificial neurons for clustering



# Observations

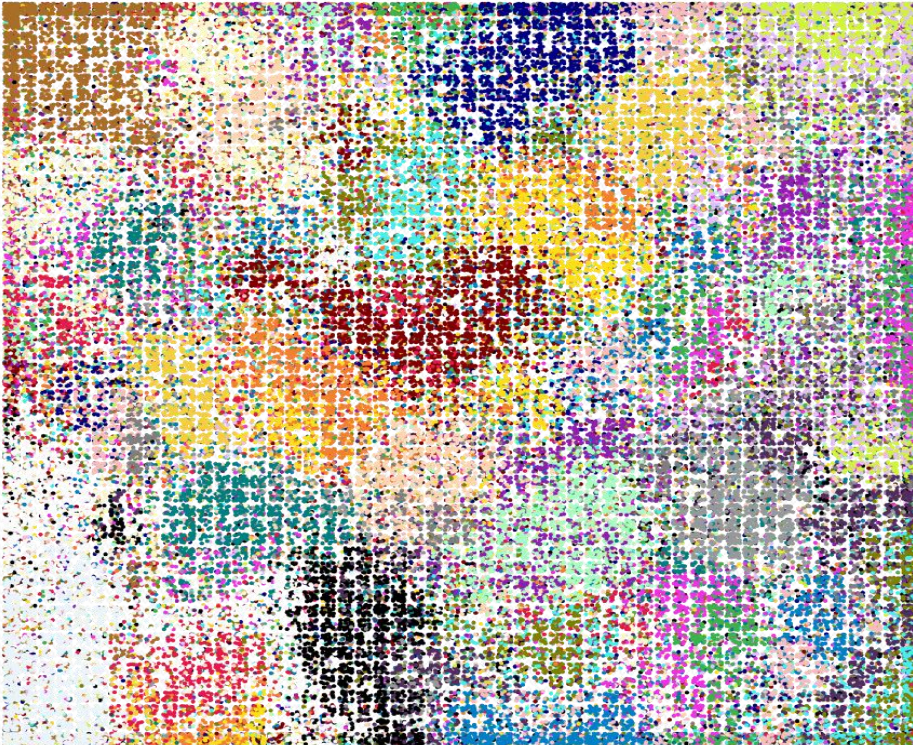
- In supervised mode, learning algorithm is conducted on error-correction method (presence of training samples).
- However, in unsupervised learning, learning process is conducted considering similarity between the patterns belongs to the same clusters.
- From these observation, we may use the following architecture for clustering.



1. 3 features, 3 clusters
  2. Each output neuron represents one cluster
  3. Each pattern maps to any one output neuron
  4. After training, 3 clusters will be formed
- Competition  
Winner - take - all

# Self-Organizing Maps (SOM)

- Introduced by Prof. Teuvo Kohonen in 1982
- Clustering tools for high-dimensional complex data (unsupervised learning framework)

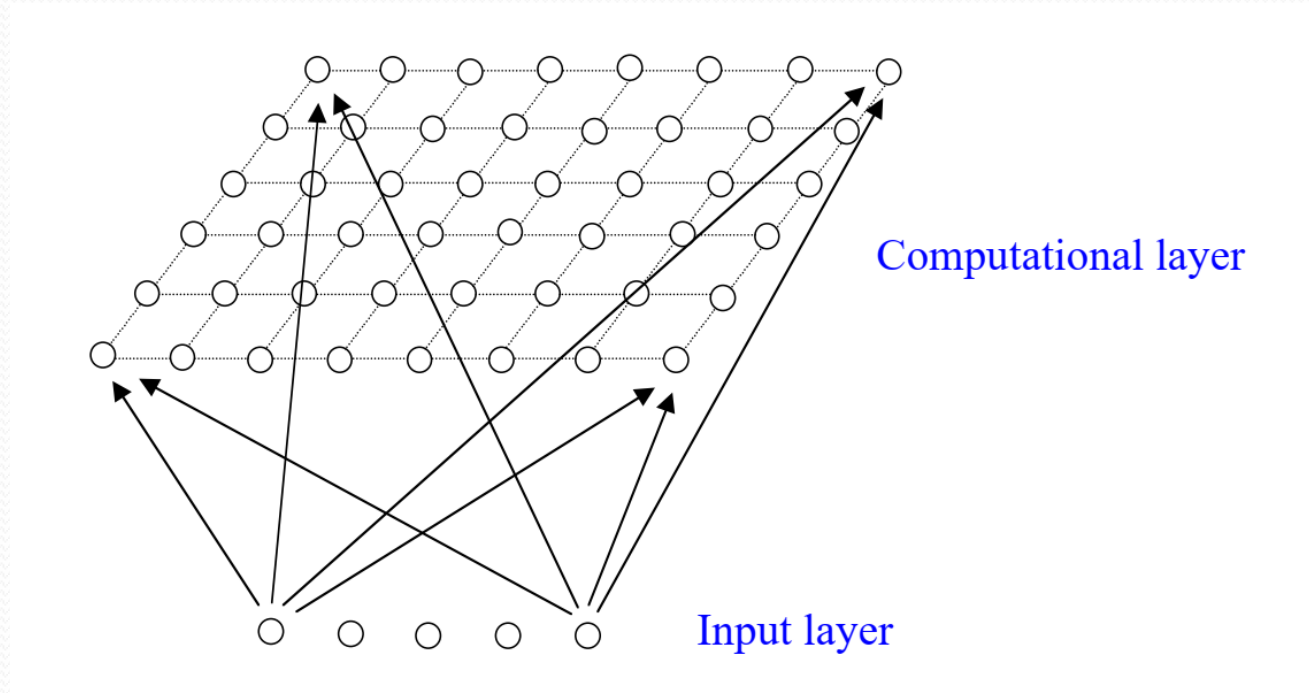


# SOM

- This is partly motivated by how visual, auditory or other sensory information is handled in separate parts of the cerebral cortex in the human brain.
- Each sensory input is mapped into a corresponding area of cerebral cortex. The cortex is a self-organizing computational map in the human brain.
- SOM can also be used for dimensionality reduction, as it maps high-dimension input patterns to a low (typically 2D/1D) dimensional space.

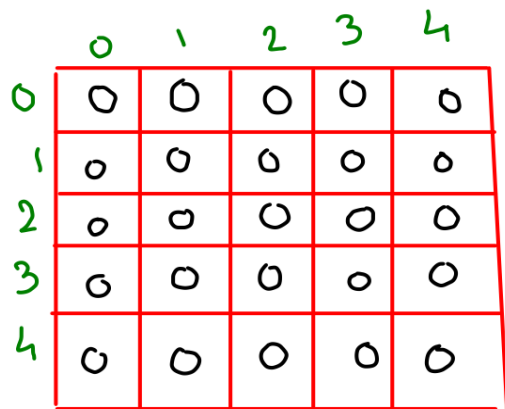


# Architecture of SOM

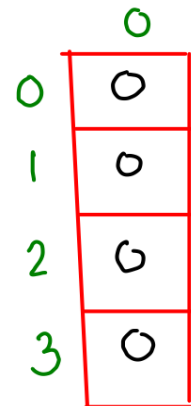


# Architecture of SOM

- It has two layers: input layer and output layer or computational layer or feature map
- Output layer: typically represented by 1-D or 2-D grid; each grid point represents a output node (has own coordinate).
- Input layer: number of node in the input layer is equal to the number of input features
- Feed-forward network; each neuron/node in input layer is connected to each neuron/node in the output layer



2-D feature map



1-D feature map

# Topographic Maps

- SOM is maintaining a topology between input and output spaces
- Input patterns that are close/ similar in high dimensional space are also mapped to nearby nodes in the 2D/1D output space (conserves the underlying structure of its input space).
- SOM can also used for dimensionality reduction, as it maps high-dimension input patterns to a low (typically 2D/1D) dimensional space (in grid representation).
- The learning process is relying on self-organizing behaviors of the neurons

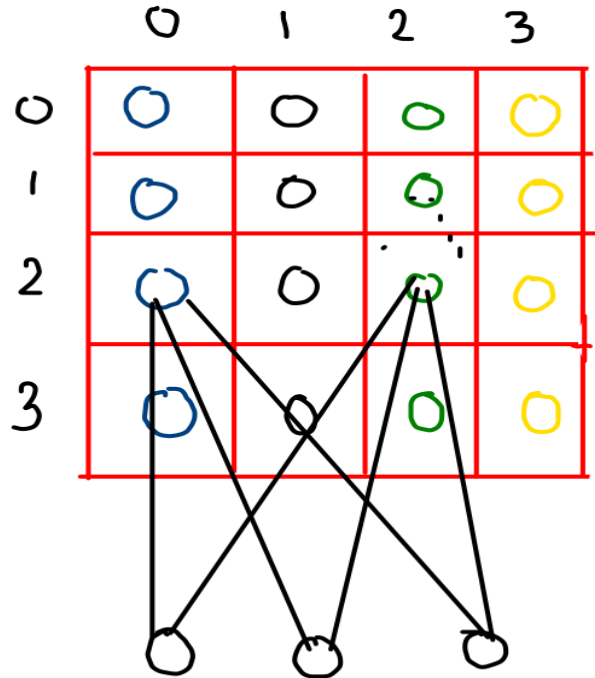


Cluster 1 : Images of apple ○

Cluster 2 : Images of Orange ○

Cluster 3 : Images of potato ○

Cluster 4 : Images of Carrot ○



2-D output grid

Input layer

# Question

- Suppose, you need to form 3 clusters considering the students of your class and after clustering representatives should stand in a topographical order.

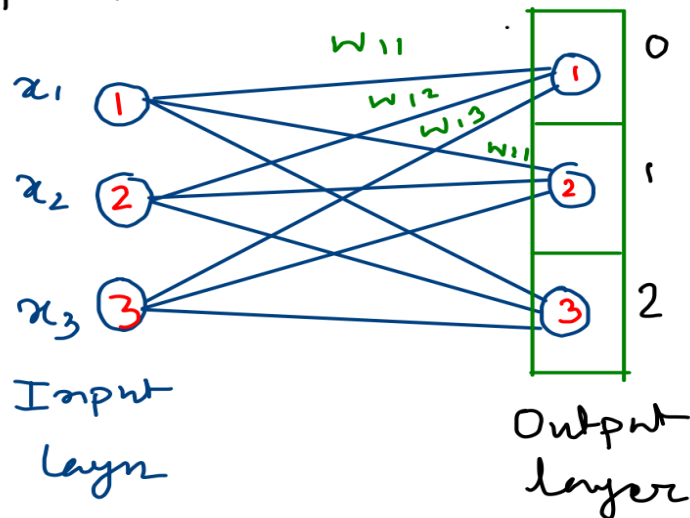
# Learning steps of SOM (Competitive learning)

- Competition
- Cooperation
- Weight updating

Task: Design a SOM for 3 features and 3 cluster problem

# Architecture of SOM

Input pattern:  $X = [x_1 \ x_2 \ x_3]$



Placed three representative output-neuron in 1-D grid structure

Three weight representative corresponding to three output neurons

$$W_1 = [w_{11} \ w_{12} \ w_{13}]$$

$$W_2 = [w_{21} \ w_{22} \ w_{23}]$$

$$W_3 = [w_{31} \ w_{32} \ w_{33}]$$

# Competition Step

- The output neuron whose weight vector comes closest to the input pattern (most similar to it) is declared the winner or best matching unit (BMU).
- In this way, the continuous input space can be mapped to the discrete output space of neurons by a simple process of competition between the neurons.

## Competition

$X = [x_1 \ x_2 \ \dots \ x_n] \rightarrow n\text{-dimensional input pattern}$

$$w_j = [w_{j1} \ w_{j2} \ \dots \ w_{jn}]$$

$$j \rightarrow \{1, 2, \dots, N\}$$

$N \rightarrow$  total number of output neurons

$$d_j = \|x - w_j\|$$

$$\underline{I(x)} = \underset{j}{\operatorname{argmin}} d_j$$

winner output neuron for  $x$  input pattern



## Competition

Calculate distance measure between input pattern and weight representative of each output neuron.

$$D_1 = ||x - w_1||$$

$$D_2 = ||x - w_2||$$

$$D_3 = ||x - w_3||$$

} Choose the output neuron/node corresponding to minimum distance

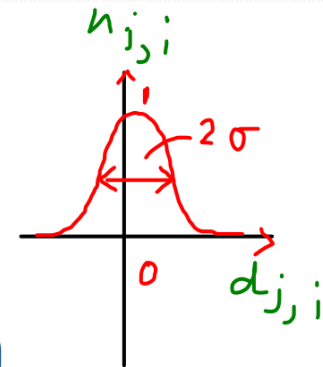
Suppose,  $D_2$  is minimum / <sup>output</sup> neuron 2  $\rightarrow$  winner  
input pattern  $x$  is assigned to cluster 2.

# Cooperation Process

- In neurobiological studies, there is lateral interaction within a set of excited neurons. When one neuron fires, its closest neighbours tend to get excited more than those further away. There is a topological neighbourhood that decays with distance.
- In SOM, the similar concept of topological neighbourhood function is used to compute for amount of cooperation between winner neuron and its neighbouring neurons.
- This process is helpful to map similar patterns to the nearby neurons in the output space (generation of topographic map).
- Different types of neighbourhood functions are available: Gaussian neighbourhood function, Rectangular neighbourhood function etc.

## Cooperation process

Gaussian neighborhood function



$$h_{j, I(x)} = \exp \left( - d_{j, I(x)}^2 / 2 \sigma^2 \right)$$

maximum at winning neuron / decreases with increasing lateral distance  
 $d_{j,i} \rightarrow$  lateral distance between output neuron  $i$  and  $j$

$I(x) \rightarrow$  winner neuron

$j \rightarrow \{1, 2, \dots, N\}$   $N \rightarrow$  number of output neurons

$\sigma \rightarrow$  effective width of topological neighborhood

Suppose,  $I(x) = 2$

$$d_{1,2} = \|[0 \ 0] - [1 \ 0]\| = 1$$

$$d_{2,2} = 0 \quad \text{Let, } \sigma = 1$$

$$d_{3,2} = 1$$

$$\psi_{1,2}(x) = \exp\left(-\frac{d_{1,2}^2}{2\sigma^2}\right) = \exp\left(-\frac{1^2}{2}\right) = ?$$

$$\psi_{2,2}(x) = \exp\left(-\frac{0^2}{2\sigma^2}\right) = 1$$

$$\psi_{3,2}(x) = ??$$

# Updating of weights

- SOM has some learning process by which the outputs become self-organised and the feature map between inputs and outputs is formed.
- The training process of SOM is based on competitive learning (not error-correction based learning).
- The weight representations of winner neuron as well as its neighbours are updated considering the topological neighbourhood function.
- Concept: Weight representative of a cluster (output node) comes closer to the input patterns which are assigned to that cluster

## Weight updation

Let,

$x = [5]$  → one feature input pattern  
 $w = [2]$  → any weight representative  
→ if  $x$  is assigned to corresponding cluster of  $w$ .

then updation should bring  $w$  closer to

$$\begin{aligned}w^{itr+1} &= w^{itr} + (x - w) \\&= 2 + (5 - 2) \\&= 5\end{aligned}$$



Rule

$$w_j^{itr+1} = w_j^{itr} + \alpha * h_{j, I(x)}(x) * (x - w_j^{itr})$$

$\alpha \rightarrow$  learning rate

update is applicable for all output neurons  
for each winning neuron

$$w_{11}^{itr+1} = w_{11}^{itr} + \alpha * h_{1, 2}(x) * (x_1 - w_{11})$$

$\downarrow$   
 winner

update  $w_1, w_2, w_3 \rightarrow ??$

In SOM,  $\alpha, \sigma$  are typically decreases with  
time (epoch)

# Learning process of SOM

**Step 1:** Randomly initialized all weight representatives with small values

**Step 2 (competition):** For each input pattern, select the winner neuron and assign it to a particular cluster

**Step 3 (cooperation process):** The amount of cooperation between the winner neuron and its neighbors is calculated using topological neighborhood function

**Step 4 (updating):** Update the weight representatives of winner neuron and its neighbor

**Step 5:** Repeat the Steps 2-4 for all input patterns (epoch) until convergence (no change in cluster assignment)

# Question

Perform the clustering using SOM considering these patterns:

P1:[2 4], P2:[10 2], P3:[4 2]

Demonstrate the learning process using the followings:

- Input pattern: P2
- Number of clusters:3
- Learning rate: 1
- Gaussian neighborhood function with width =1
- Initialization of weight vectors:[1 1], [2 2], [3 3]