# **Encouraging Peer Grading in MOOCs**

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    - Distributed Computing
    - Molecular Computing
    - Computational aspect of Games and Mechanisms

# **Algorithmic Game Theory and Mechanism Design**

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  - analyzing games: Algorithmic Game Theory
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- Algorithmic Game Theory vs. Game Theory
  - Inefficiency of equilibria
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- Algorithmic Mechanism Design vs. Mechanism Design
  - Care about universal and worst-case results
  - Care about implementability, e.g., polynomial-time computable mechanisms

## **Outline**

#### **Motivation**

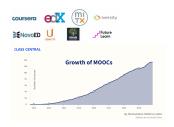
#### Mode

Players and Actions Mechanism

#### Results

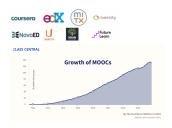
Encouraging Conditions and Existence of Pure Equilibria Controlling Pure Equilibria from Mechanism Parameters





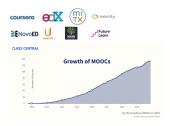
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- 13.5K courses by 900+ universities by 2019.







A Brief Introduction



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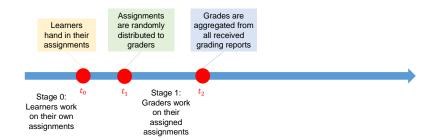
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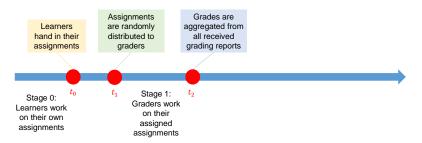
- Extremely high amount of learners overload course staff
- Deployed and analyzed in Coursera.org in large-scale as early as [Piech et al., 2013]
- Still the only practical solution to grading high-level assignments in MOOCs.

Model

# **Basic Peer Grading Mechanism**



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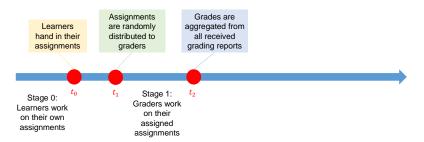
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A Brief Introduction

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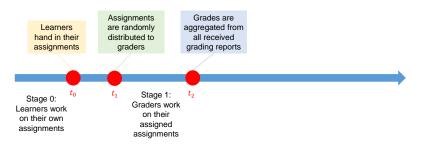
A Brief Introduction

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  - Aggregation Methods, e.g., cardinal v.s. ordinal [Raman and Joachims, 2014, Mi and Yeung, 2015, Caragiannis et al., 2015]
  - Incentives

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- Efforts put in grading others' work were not reflected in concrete reward
- Only absent graders, instead of ineffective ones, were punished
- An improved mechanism that incentivizes effort in peer grading is necessary.



# **Proposed approaches**

 Through punishment: a Stackelberg game that limited TA resources are efficiently allocated to double check the grading [Carbonara et al., 2015]

A Brief Introduction

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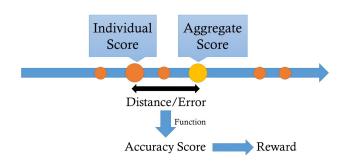
• Through reward: Crowdgrader<sup>2</sup>: incentivizing accurate graders directly [de Alfaro and Shavlovsky, 2014]



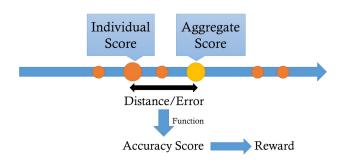
CrowdGrader lets students submit and collaboratively grade their solutions to homework assignments.



## **Crowdgrader in a Nutshell**

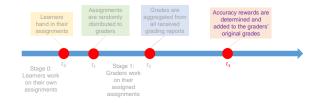


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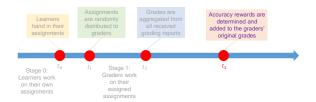


 Crowd-grading is found as effective and satisfactory (to the learners) as TA-grading [de Alfaro and Shavlovsky, 2014]

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- Natural Questions:
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  - While the settings in Crowdgrader work empirically, are they theoretically robust as well?

## **Our Contribution**

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    - · Effort is incentivized, and
    - Course staff can gain direct control (of the outcome) by tuning mechanism parameters.
  - Finding real settings that satisfy the necessary conditions



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#### Results

Encouraging Conditions and Existence of Pure Equilibria Controlling Pure Equilibria from Mechanism Parameters

• *N* learners, {*a*<sub>1</sub>, *a*<sub>2</sub>, ... *a*<sub>N</sub>}



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- Each submission is graded by exactly k learners

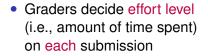


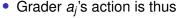
#### **Actions**

 Graders decide effort level (i.e., amount of time spent) on each submission



### **Actions**



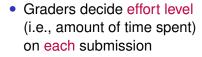


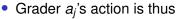
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Efforts are unobservable



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  - we assume  $f_g$  to be unbiased, i.e.,  $f_g(v_i + x, v_i, t_i) = f_g(v_i x, v_i, t_i), \forall x \in \mathbb{R}, \forall t_i$

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- We assume truthfulness, i.e.,  $a_j$  directly reports  $S_j^i$  to the mechanism without manipulation

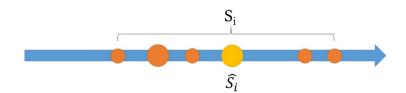
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  - Examples: Average, Median, Olympian Average, etc.



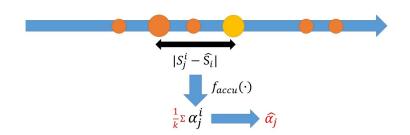
•  $\alpha_i^i = f_{accu}(|S_i^i - \hat{S}_i|) \in [0, 1]$ : accuracy of  $a_i$  to  $a_i$ 's work

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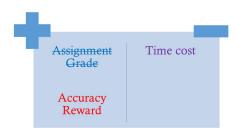


## **Utility**



•  $r_i \ge 0$ :  $a_i$ 's time-to-grade ratio

# Utility



- $r_i \ge 0$ :  $a_i$ 's time-to-grade ratio
- $\pi_i = (1 \rightarrow \lambda) M \hat{S}_i + \lambda M \hat{\alpha}_i r_i \sum_j t_j^j$ .: final utility of learner i



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#### **Definition: EC-1**

A setting satisfies EC-1 if:

Given the others' strategies  $T_{-j} = [t_1, t_2, ..., t_{j-1}, t_{j+1}, ..., t_k]$ ,

 $\mathbf{E}[\alpha_j](T_{-j},t_j)$  is non-decreasing and concave on  $t_j \in [0,U]$ .

More effort means more accuracy, with diminishing marginal increment.

#### **Definition: EC-2**

A setting satisfies EC-2 if:

For any pair of two strategy profiles  $T_{-i}$  and  $T'_{-i}$  s.t.:

- $t_p < t_p'$  for some p,
- $t_q = t'_q \, \forall \, q \neq p$ ,

it holds that  $\frac{\partial}{\partial t_j}\mathbf{E}[\alpha_j](T_{-j},t_j)<\frac{\partial}{\partial t_j}\mathbf{E}[\alpha_j](T'_{-j},t_j),\ \forall t_j.$ 

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- One learner increasing effort increases everyone's marginal expected accuracy.
- A positive reinforcement.

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#### **Theorem**

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#### **Proposition**

Define  $f_{avg}(\mathbf{S}) = \frac{1}{k} \sum_j (S_j)$ . Suppose that  $f_g \sim N(v, g^2)$  where  $g = g(t_j)$  is a non-increasing convex function. If  $f_{agg}(\cdot) = f_{avg}(\cdot)$ ,  $f_{accu}(\cdot)$  is non-increasing piecewise continuous, then for any values of  $(M, k, \mathbf{r}, U, \lambda)$ ,  $(M, k, \mathbf{r}, U, \lambda, f_g(\cdot), f_{agg}(\cdot), f_{accu}(\cdot))$  satisfies both EC-1 and EC-2.

• 
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- Closely related to Nash equilibria

# **Encouraging Grading**

#### **Theorem**

- Assume k is fixed, both EC satisfied in  $G_1$  with  $\bar{r} = \bar{r}_1$
- $G_3$  differs with  $G_1$  only in  $\bar{r}_3 < \bar{r}_1$
- $T_1 = [t_{1i}]$  is an equilibrium in  $G_1$

 $\Longrightarrow$  There exists an equilibrium  $T_3$  in  $G_3$  where  $t_{3i} \ge t_{1i} \forall i$ . If the *i*-th equality holds, then  $t_{1i} = U$ .

Decreasing r̄ distorts the entire equilibria upwards!

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  - If the learners are homogeneous (think of they are all of the same type), then every grader gives identical level of effort in pure NE.
  - If some graders have fixed strategies (think of TA paddings), then the equilibria holds as long as irrational players' strategies are public information.

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- Special case: homogeneous grading
- Extension: irrational graders, TAs, biased grading, etc

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    - The output (grading outcome) is more complicated since grading is a task of estimation with two-sided error.
    - The ground truth (production) is also not perfectly observable.

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  - Moral hazard: learners' efforts are unobservable, but they cannot refuse to participate.
    - We have a continuous range of effort.
    - The output (grading outcome) is more complicated since grading is a task of estimation with two-sided error.
    - The ground truth (production) is also not perfectly observable.
    - Therefore, aggregation significantly affects the peer grading game.

# Pitfalls and limitations of this work

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- Truthfulness is an assumption
- Application is limited, while other similar scenarios exist: peer grading is actually very similar to other peer assessment scenarios, e.g., peer reviewing in academics, or crowdsourcing.





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