

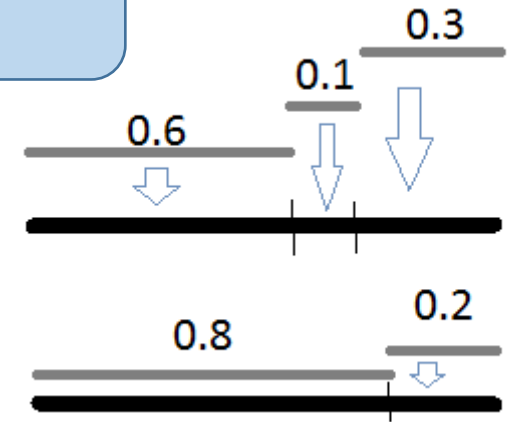
# Improved Approximation Algorithm for Two-Dimensional Bin Packing

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# Bin Packing Problem: (One Dimension)

- **Given** :  $n$  items with sizes  $s_1, s_2 \dots s_n$ , s.t.  $s_i \in (0,1]$
- **Goal**: Pack all items into min # of unit bins.
- Example: items  $\{0.8, 0.6, 0.3, 0.2, 0.1\}$  can be packed in 2 unit bins:  $\{0.8, 0.2\}$  and  $\{0.6, 0.3, 0.1\}$ .
- **NP Hardness** from *Partition*
  - Cannot distinguish in poly time if need 2 or 3 bins
  - This does not rule out  $\text{OPT}+1$  guarantee.
  - Insightful to consider *Asymptotic approximation ratio*.



# Asymptotic Approximation Ratio

- (Absolute) Approximation Ratio:  $\max_I \{ Algo(I)/OPT(I) \}$

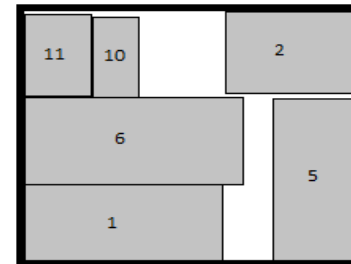
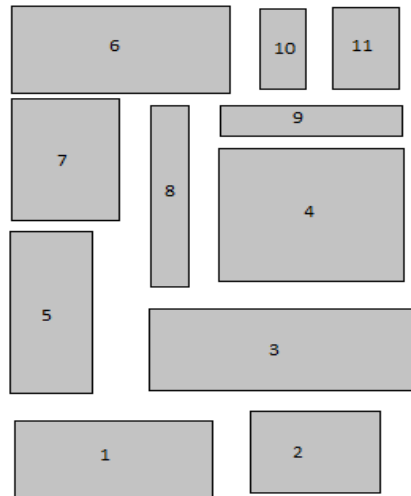
- Asymptotic Approximation Ratio (AAR):

$$\lim_{\{n \rightarrow \infty\}} \sup \left\{ \frac{Algo(I)}{OPT(I)} \mid OPT(I) = n \right\}$$

- AAR  $\rho \Rightarrow Algo(I) = \rho \cdot OPT(I) + O(1)$ .
- Asymptotic Polynomial Time Approximation Scheme (APTAS):  
If  $Algo(I) = (1 + \epsilon) Opt(I) + f(\epsilon)$
- 1 D Bin Packing: AAR  $OPT + \log OPT \cdot \log \log OPT$  [Rothvoss FOCS'13]

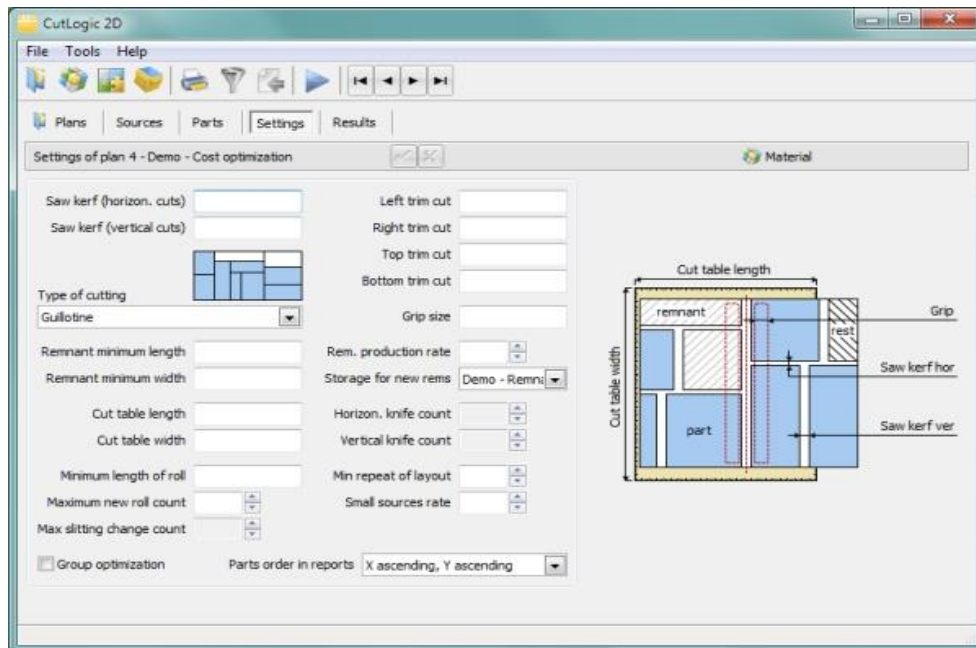
# Two-Dimensional Geometric Bin Packing

- **Given:** Collection of rectangles(by width, height)
- **Goal:** Pack them into minimum number of unit square bins.
- **Orthogonal Packing:** rectangles packed parallel to bin edges.
- With 90 degree *Rotations* and *without rotations*.



# Applications:

- Cloth cutting, steel cutting, wood cutting
- Placing ads in newspapers
- Memory allocation in paging systems
- Truck Loading
- Palletization by robots



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# A tale of Approximability

- Reduction from *Partition*: NP-hard to decide if we need 1 or 2 bins to pack all rectangles.
- Tight 2 (absolute) approximation Algorithm. [Harren VanStee Approx 2009]
- Algorithm: (Asymptotic Approximation)
- 2.125 [Chung Garey Johnson SIAM JADM1982]
- $2+\epsilon$  [Kenyon Remilla FOCS 1996]
- 1.69 [Caprara FOCS 2002]
- 1.52 [Bansal-Caprara-Sviridenko FOCS 2006]
- 1.5 [Jansen-Praedel SODA 2013]
- Hardness:
- No APTAS (from 3D Matching)[Bansal-Sviridenko SODA 2004],
- 3793/3792(with rotation), 2197/2196(w/o rotation) [Chlebik-Chlebikova]

# Our Results: [Bansal,K.]

- Algorithm:
  - $(1 + \ln(1.5)) = 1.405$  Approximation Algorithm.
    - using Round & Approx framework for rounding based algorithms.
    - Jansen-Praedel 1.5 approximation algorithm as a subroutine.
- Hardness:
  - $4/3$  for constant number of rounding based algorithm.
  - $3/2$  for *input agnostic* rounding based algorithms.

# Configuration LP

- Bin packing problem can be viewed as a special case of set cover.*

Set Cover:

Items  $i_1, i_2, \dots, i_n$ ; Sets  $C_1, C_2, \dots, C_m$ .

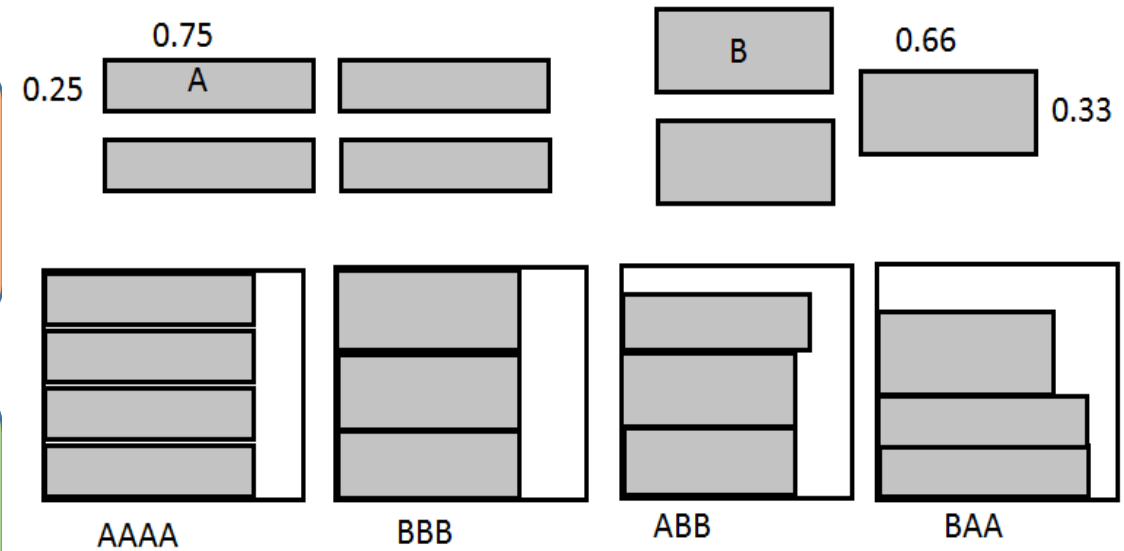
Choose fewest sets s.t. each item is covered.

Bin Packing:

Sets are implicit:

known as configurations.

Any subset of items that fit feasibly in a bin.





# Configuration LP

- $\mathbb{C}$ : set of configurations (possible way of feasibly packing a bin).

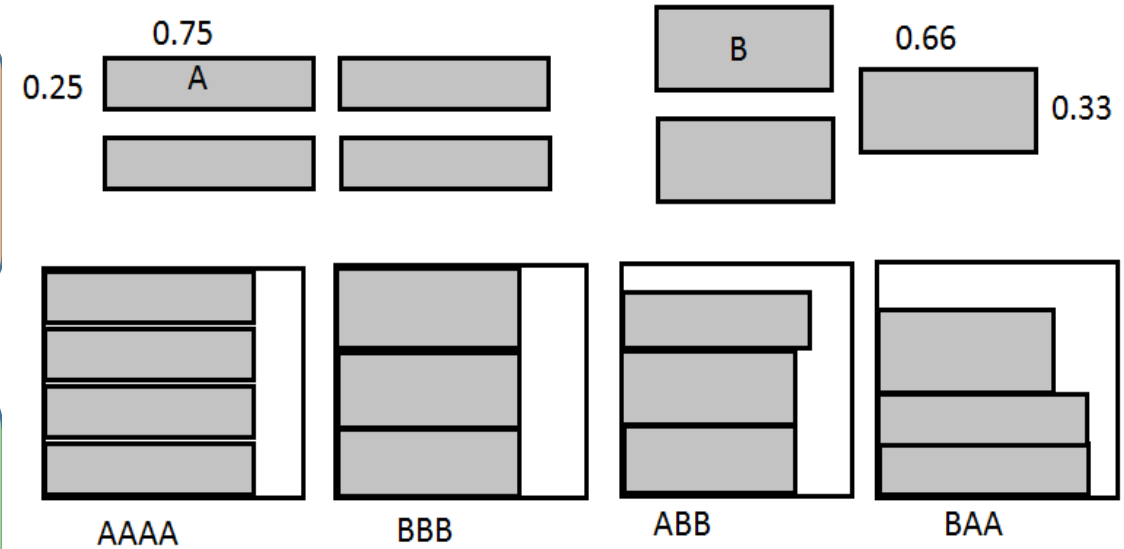
Primal:

$$\min \left\{ \sum_C x_C : \sum_{C \ni i} x_C \geq 1 \ (i \in I), x_C \geq 0 \ (C \in \mathbb{C}) \right\}$$

*Objective:* min # configurations(bins)

*Constraint:*

For each item, at least one configuration containing the item should be selected.



# Configuration LP

- $\mathbb{C}$ : set of configurations (possible way of feasibly packing a bin).

Primal:

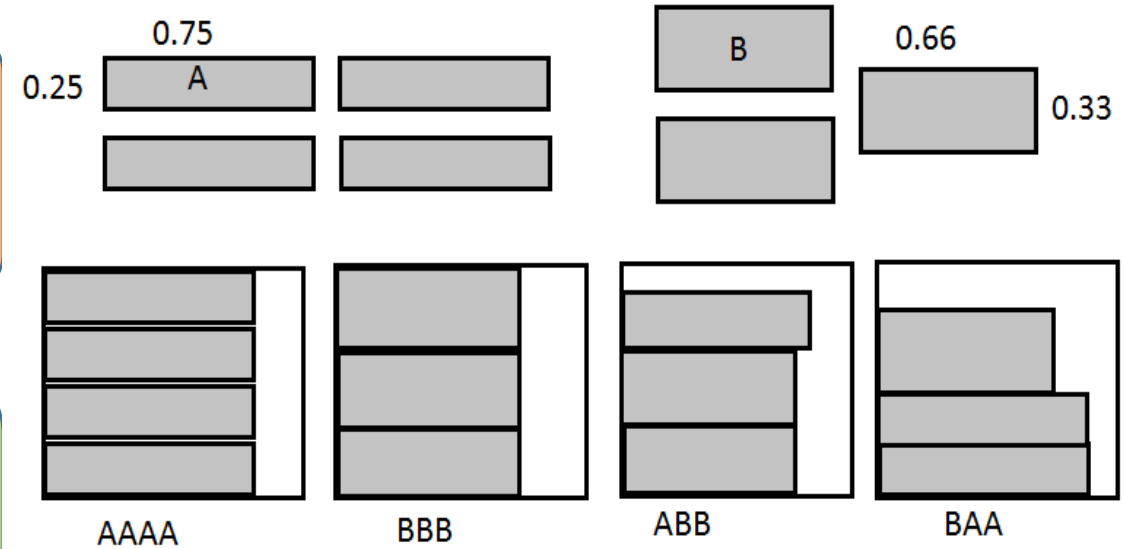
$$\min \left\{ \sum_C x_C : \sum_{C \ni i} x_C \geq 1 \ (i \in I), x_C \geq 0 \ (C \in \mathbb{C}) \right\}$$

*Gilmore Gomory LP for multiple identical items:*

$$\min \{1^T x : Ax \geq b, x_C \geq 0 (C \in \mathbb{C})\}$$

Columns: Feasible configurations

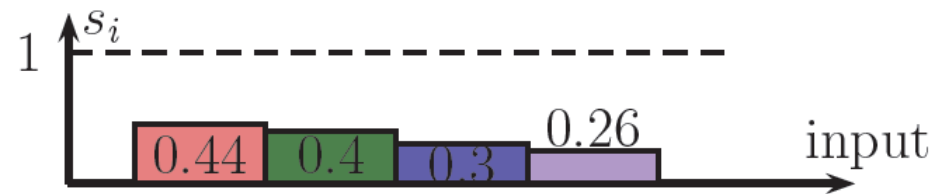
Rows: Items (or types of items)



# Configuration LP

*Gilmore Gomory LP:*

$$\text{Min } \{1^T x : Ax \geq b, x_C \geq 0 (C \in \mathbb{C})\}$$

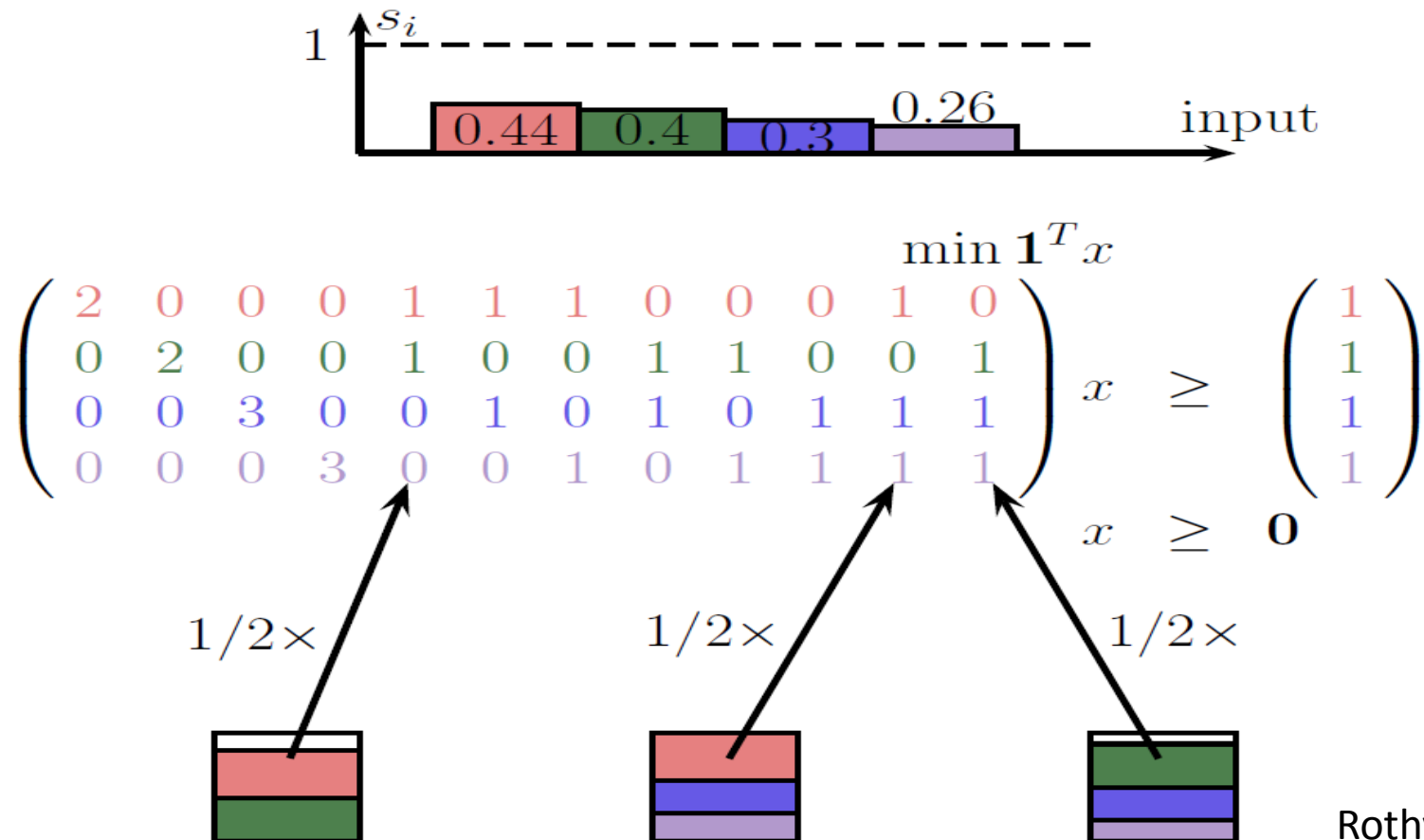


$$\begin{aligned} & \min 1^T x \\ & \begin{pmatrix} 2 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 2 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 3 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 3 & 0 & 1 & 0 & 1 & 1 & 1 & 1 & 1 \end{pmatrix} x \geq \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix} \\ & x \geq 0 \end{aligned}$$

# Configuration LP

*Gilmore Gomory LP:*

$$\text{Min } \{1^T x : Ax \geq b, x_C \geq 0 (C \in \mathbb{C})\}$$



# Configuration LP

- $\mathbb{C}$ : set of configurations (possible way of feasibly packing a bin).

Primal:

$$\min \left\{ \sum_C x_C : \sum_{C \ni i} x_C \geq 1 \ (i \in I), x_C \geq 0 \ (C \in \mathbb{C}) \right\}$$

Dual:

$$\max \left\{ \sum_{i \in I} v_i : \sum_{i \in C} v_i \leq 1 \ (C \in \mathbb{C}), v_i \geq 0 \ (i \in I) \right\}$$

Dual Separation problem  $\Rightarrow$   
2-D Geometric Knapsack  
problem:

Given one bin, pack as  
much area as possible.  
[BCJPS ISAAC 2009]

- **Problem:** Exponential number of configurations!
- **Solution:** Can be solved within  $(1 + \epsilon)$  accuracy using separation problem for the dual.

# General Framework [BCS FOCS 06]

- Given a packing problem

1. If can solve the configuration LP

$$\min \left\{ \sum_C x_C : \sum_{C \ni i} x_C \geq 1 \ (i \in I), x_C \geq 0 \ (C \in \mathbb{C}) \right\}$$

2. There is a  $\rho$  approximation **subset-oblivious** algorithm.
- Then there is  $(1 + \ln \rho)$  approximation.

# Subset Oblivious Algorithms

- There exist  $k$  weight ( $n$  - dim) vectors  $w^1, w^2 \dots w^k$  s.t.

For **every subset** of items  $S \subseteq I$ , and  $\varepsilon > 0$

$$1) \text{ } OPT(I) \geq \max_j (\sum_{i \in I} w_i^j)$$

$$2) \text{ } Alg(S) \leq \rho \max_j (\sum_{i \in S} w_i^j) + \varepsilon OPT(I) + O(1)$$

- Based on dual weight function.
- **Cumbersome and Complex!**

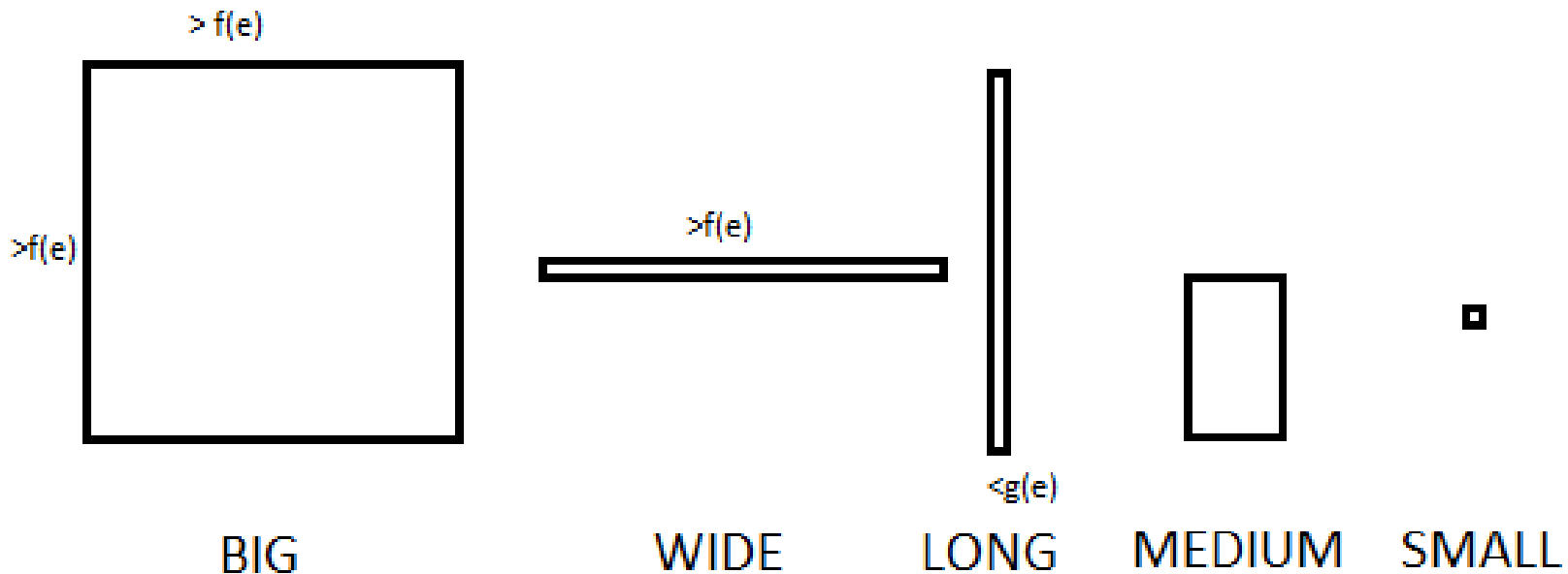
# Rounding based Algorithms:

- **Our Key Contribution:**  
Subset Oblivious techniques work for any rounding based algorithms.
- Rounding based algorithms are ubiquitous in bin packing.
- Items are replaced by slightly larger items from  $O(1)$  types to reduce # item types and thus #configurations.
- Example: Linear grouping, Geometric Grouping, Harmonic Rounding.
- Jansen Praedel 1.5 Approximation algorithm is a nontrivial rounding based algorithm.



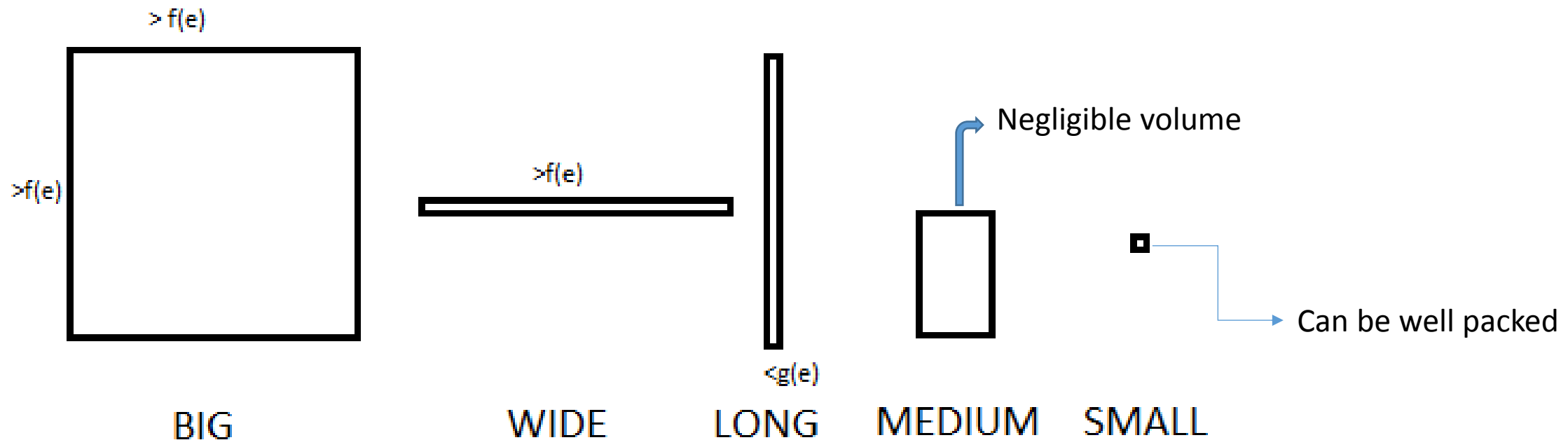
# Rounding based Algorithms

- Classification of items into big, wide, long, medium and small by defining two parameters  $f(\epsilon)$  and  $g(\epsilon) (\ll f(\epsilon))$  such that total volume of medium rectangles is  $\epsilon \cdot Vol(I)$ .



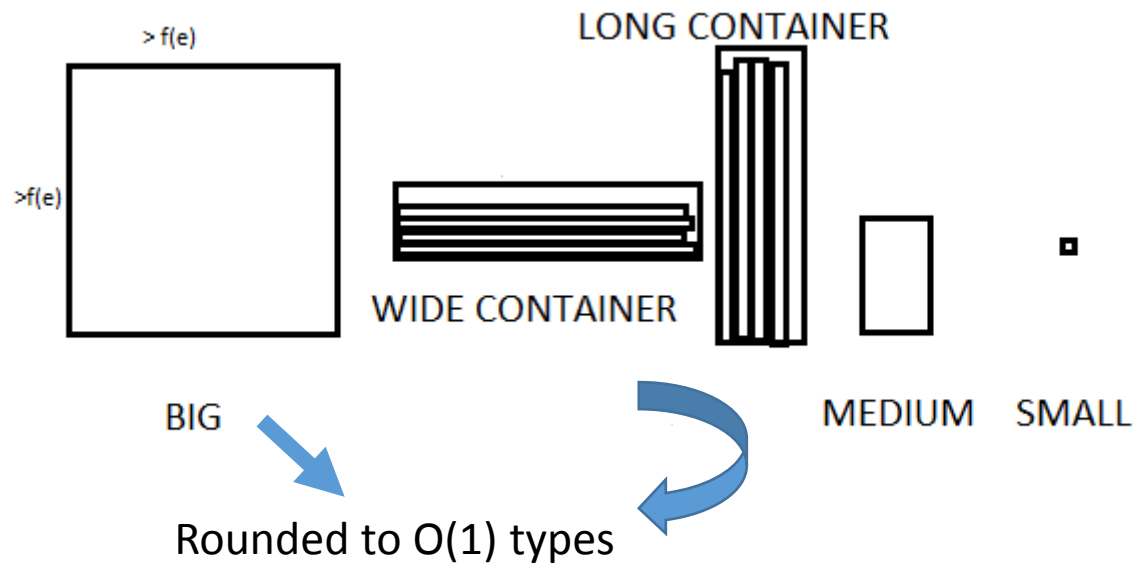
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# Rounding based Algorithms

- Classification of items into big, wide, long, medium and small by defining two parameters  $f(\epsilon)$  and  $g(\epsilon) (\ll f(\epsilon))$  such that total volume of medium rectangles is  $\epsilon \cdot \text{Vol}(I)$ .



Skewed items are packed into containers where

- (i) it has all items of the same type,
- (ii) has large size in each dimensions and
- (iii) items are packed into containers with a negligible loss of volume.

# Round and Approx Framework (R & A)

- 1. Solve configuration LP using APTAS. Let  $z^* = \sum_{C \in \mathbb{C}} x_C^*$  .

Primal:

$$\min \left\{ \sum_C x_C : \sum_{C \ni i} x_C \geq 1 \ (i \in I), x_C \geq 0 \ (C \in \mathbb{C}) \right\}$$

# Round and Approx Framework (R & A)

- 1. Solve configuration LP using APTAS. Let  $z^* = \sum_{C \in \mathbb{C}} x_C^*$  .
- 2. **Randomized Rounding:** For  $q$  iterations :  
select a configuration  $C'$  at random with probability  $\frac{x_{C'}^*}{z^*}$ .

Primal:

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select a configuration  $C'$  at random with probability  $\frac{x_{C'}^*}{z^*}$ .
- 3. **Approx**: Apply a  $\rho$  approximation rounding based algorithm A on the residual instance S.
- 4. Combine: the solutions from step 2 and 3.

# R & A Rounding Based Algorithms

- Probability item  $i$  left uncovered after rand. rounding

$$= \left(1 - \sum_{\{C \ni i\}} \frac{x_C^*}{z^*}\right)^q \leq \frac{1}{\rho} \text{ by choosing } q = \lceil (\ln \rho) LP(I) \rceil.$$

- Number of items of each type shrinks by a factor  $\rho$

$$\text{e.g., } \mathbb{E}[|B_j \cap S|] = \frac{|B_j|}{\rho}.$$

- Concentration using Chernoff bounds.

# Proof Sketch

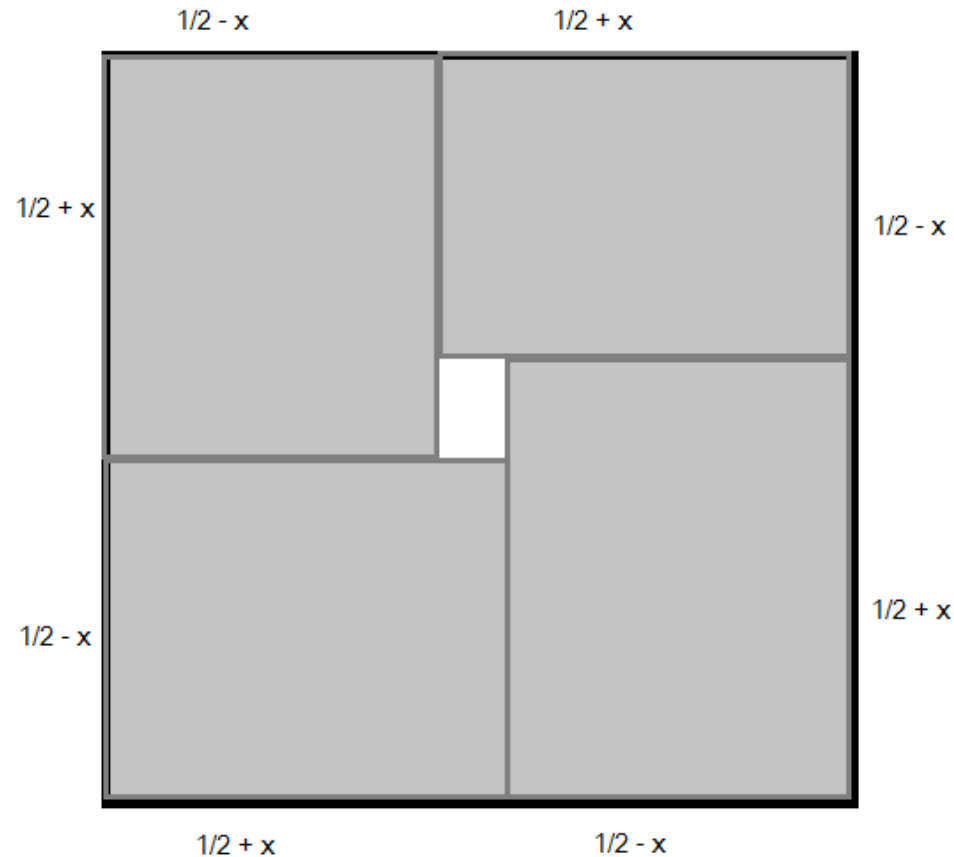
- Rounding based Algo :  $O(1)$  types of items  
=  $O(1)$  number of constraints in Configuration LP.
- $ALGO(S) \approx OPT(\tilde{S}) \approx LP(\tilde{S})$ .
- As # items for each item type shrinks by  $\rho$ ,  $LP(\tilde{S}) \approx \frac{1+\epsilon}{\rho} LP(\tilde{I})$ .
- $\rho$  Approximation:  $LP(\tilde{I}) \leq \rho OPT(I) + O(1)$ .
- $ALGO(S) \approx OPT(\tilde{S}) \approx OPT(I)$ .



# Proof Sketch

- **Thm:** R&A gives a  $(1 + \ln \rho)$  approximation.
- **Proof:**
- Randomized Rounding :  $q = \ln \rho \cdot LP(I)$
- Residual Instance  $S = (1 + \epsilon)OPT(I) + O(1)$ .
- **Round** + **Approx**  $\Rightarrow (\ln \rho + 1 + \epsilon)OPT(I) + O(1)$ .

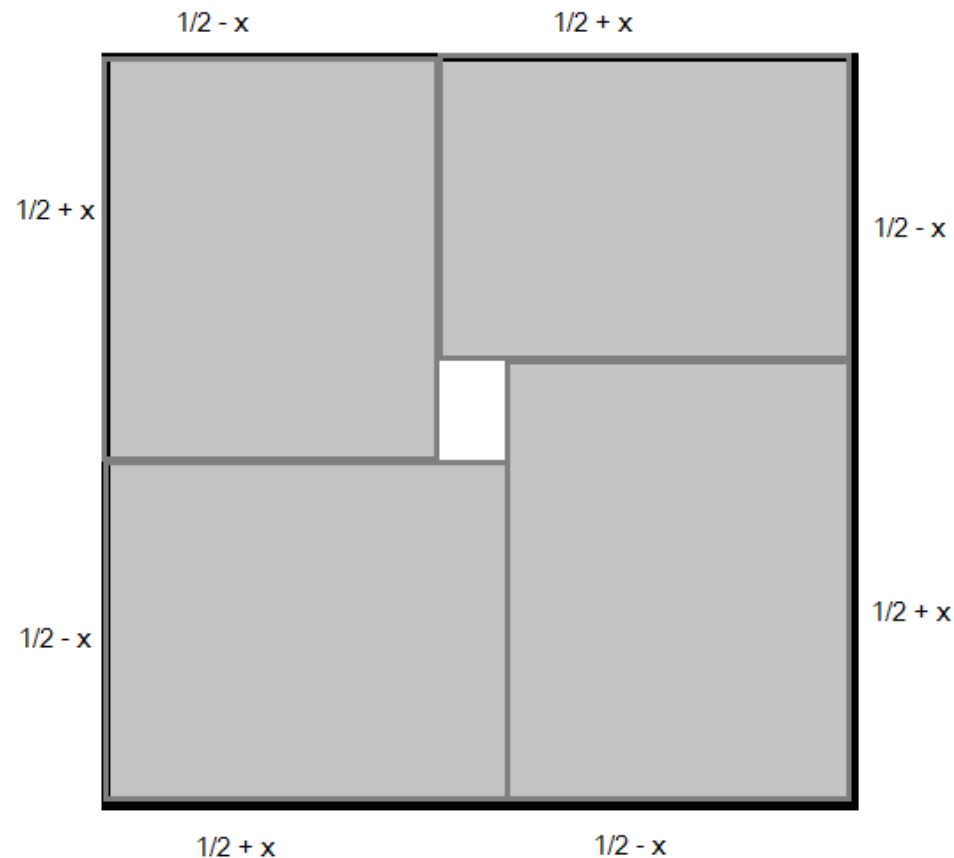
# Hardness of $4/3$ : for Constant Rounding.



Items are rounded to  $O(1)$  types.

Only three rounded items can be packed in a bin.

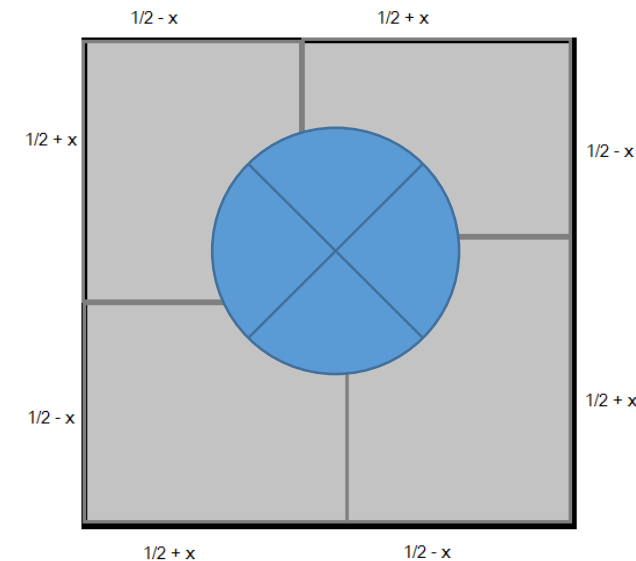
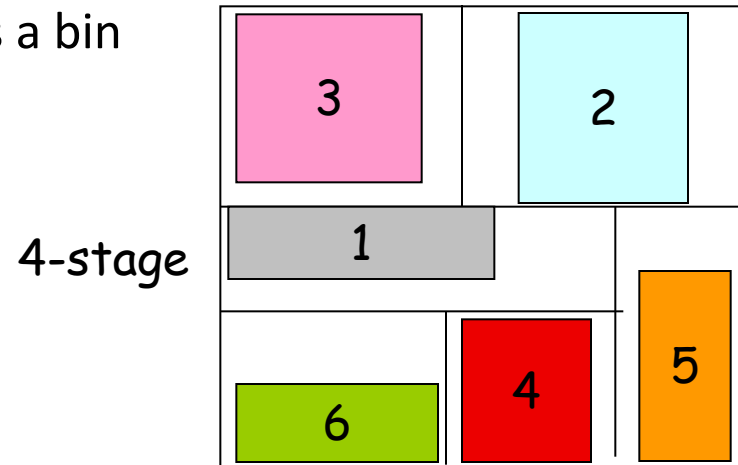
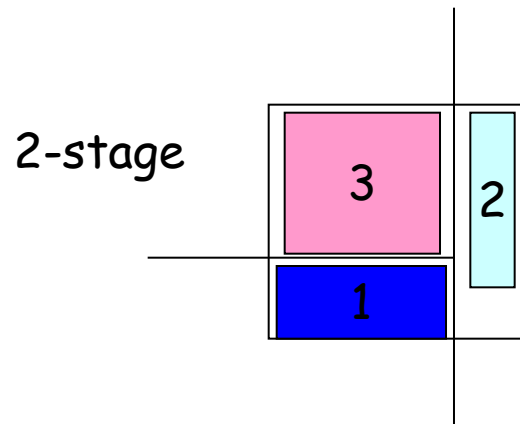
# Hardness of $3/2$ : for Input-Agnostic Rounding



- Input agnostic rounding: Items are rounded to values independent of input values (e.g. Harmonic rounding, JP rounding).
- At least  $3m/2$  bins are needed to pack  $4m$  such items.

# Open Problems:

- Settle the gap between **upper bound(1.405)** and **lower bound(1.0003)**.
- A  $4/3$  approximation algorithm based on constant rounding.  $\Rightarrow 1 + \ln(4/3)$  using R&A
- Guillotine Cut: Edge to Edge cut across a bin



- There is an APTAS for Guillotine Packing [BLS FOCS 2005].
- Settle the ratio between best Guillotine Packing and best 2D general packing :  
lower bound 1.33 and upper bound 1.69.



# Questions!

