

Code Change Intention, Development Artifact and History Vulnerability: Putting Them Together for Vulnerability Fix Detection by LLM

ANONYMOUS AUTHOR(S)

Detecting vulnerability fix commits in open-source software is crucial for maintaining software security. To help OSS identify vulnerability fix commits, several automated approaches are developed. However, existing approaches like VulFixMiner and CoLeFunDa, focus solely on code changes, neglecting essential context from development artifacts. Tools like Vulcurator, which integrates issue reports, fail to leverage semantic associations between different development artifacts (e.g., pull requests and history vulnerability fixes). Moreover, they miss vulnerability fixes in tangled commits and lack explanations, limiting practical use. Hence to address those limitations, we propose LLM4VFD, a novel framework that leverages Large Language Models (LLMs) enhanced with Chain-of-Thought reasoning and In-Context Learning to improve the accuracy of vulnerability fix detection. LLM4VFD comprises three components: (1) Code Change Intention, which analyzes commit summaries, purposes, and implications using Chain-of-Thought reasoning; (2) Development Artifact, which incorporates context from related issue reports and pull requests; (3) Historical Vulnerability, which retrieves similar past vulnerability fixes to enrich context. More importantly, on top of the prediction, LLM4VFD also provides a detailed analysis and explanation to help security experts understand the rationale behind the decision. We evaluated LLM4VFD against state-of-the-art techniques, including Pre-trained Language Model-based approaches and vanilla LLMs, using a newly collected dataset, BigVulFixes. Experimental results demonstrate that LLM4VFD significantly outperforms the best-performed existing approach by 68.1%–145.4%. Furthermore, We conducted a user study with security experts, showing that the analysis generated by LLM4VFD improves the efficiency of vulnerability fix identification.

CCS Concepts: • Software and its engineering;

Additional Key Words and Phrases: Vulnerability Fix Detection, Large Language Model

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1 INTRODUCTION

Software development heavily relies on the use of open-source software (OSS). However, failing to detect and mitigate vulnerabilities in OSS can lead to catastrophic consequences [62]. OSS organizations generally follow the Coordinated Vulnerability Disclosure (CVD) [49] model to disclose vulnerabilities. In this model, details of vulnerabilities are publicly shared once the developers feel they have had sufficient time for remediation of the security risk. This often causes a delay between when a commit that fixes a vulnerability (*i.e.*, *vulnerability fix commit*) is integrated into the codebase and when the vulnerability and or its fix are publicly announced. The time between fix and disclosure can provide malicious users a window of opportunity to find details of vulnerabilities and exploit them in software dependent on the OSS. Although CVD recommends applying fixes silently

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50 to avoid leaking sensitive information about the vulnerability, the risk of exploitation remains.
 51 Hence, OSS users have a crucial incentive to monitor integrated OSS and discover vulnerability
 52 fixes to begin the remediation process as fast as possible (*i.e., vulnerability fix detection*).

53 Vulnerability fix detection is a complex task and poses a significant challenge for general OSS
 54 organizations without an automated approach. Furthermore, manually monitoring all commits
 55 across integrated OSS is highly time-consuming and costly. To solve this, automated approaches
 56 to identify vulnerability fixes have been proposed in prior studies, which typically train a deep
 57 learning model using commit-level information. For instance, *VulFixMiner* [72] trains a pre-trained
 58 language model (PLM) using code change information from commits. In their next work [71], they
 59 proposed an approach to detect vulnerability fixes at a finer granularity by using function-level code
 60 change information. However, those approaches only leverage code change information and neglect
 61 additional software development artifacts related to commits, making it challenging to identify the
 62 intent of code changes without sufficient context, typically for nuanced code changes (e.g., adding
 63 condition check). Vulnerability fix is a complex task that is often associated with issue reports [52],
 64 but such information is not adequately utilized in existing methods [71, 72]. The only work that
 65 leverages development artifacts (*i.e.*, issue report) is *Vulcurator* [39], however, it used a voting
 66 mechanism without leveraging the semantic association between artifacts. Previous approaches
 67 also fail to identify vulnerability fixes within a tangled commit – those with a mixture of code
 68 modifications for various purposes (e.g., refactoring) [4]. The limitations of existing approaches
 69 mentioned above cause them to miss many true vulnerability fix commits (evidenced by very
 70 low recalls (0.06 to 0.26) as shown in Section 6.1). More importantly, identifying vulnerability
 71 fixes requires specialized security expertise and project-specific domain knowledge [36, 75], prior
 72 approaches only provide a prediction, without an explanation behind this decision, which hinders
 73 the use of such approaches in practice.

74 Recently, Large Language Models (LLMs) have demonstrated promising results in code-related
 75 tasks, such as code understanding [2, 32] and vulnerability understanding [18, 27]. Intuitively,
 76 vulnerability fix detection is a task that requires the abilities of natural language understanding and
 77 code comprehension, typically in vulnerability understanding. Hence, the strong natural language
 78 and code understanding capabilities of LLMs fit the requirements of this task well.

79 Therefore, to tackle the problem and overcome existing limitations (*i.e.*, neglecting information
 80 in development artifacts, tangled commits, and lack of sufficient explanation) of previous works,
 81 we propose *LLM4VFD*, a novel framework that enhances vulnerability fix detection by leveraging
 82 multiple sources of information distilled by LLMs, consisting of four components. The Code Change
 83 Intention (CCI) component analyzes a commit to extract its summary, purpose, and implications,
 84 using Chain-of-Thought (CoT) reasoning. The Development Artifact (DA) component utilizes
 85 information from related development artifacts, such as issue reports (IRs) and pull requests (PRs),
 86 to provide additional context and enhance understanding of the commit. The Historical Vulnerability
 87 (HV) component retrieves similar vulnerability fix commits from historical vulnerability data to
 88 further enhance the context of the commit. Last, the Comprehensive Analysis and Vulnerability Fix
 89 Detection (CAVFD) component combines the information distilled from the previous components
 90 to enable In-Context Learning. The synthesized information is used to generate a final prediction,
 91 while also providing a detailed explanation in analysis to help security experts understand the
 92 rationale behind the decision.

93 We evaluated *LLM4VFD* on a newly created multi-language vulnerability fix dataset which we
 94 call the *BigVulFix* dataset. This dataset consists of 1,689 vulnerability fix commits after 2023 collected
 95 from the National Vulnerability Database (NVD) [43]. *LLM4VFD* is evaluated on 6 LLMs spanning
 96 3 LLM families in varying parameter sizes (*i.e.*, 7B–236B). *LLM4VFD* outperforms PLM-based
 97 approach consistently in terms of MCC, F1-score, and recall. For instance, *LLM4VFD* outperforms

the best-performed PLM-based approach VulCurator by 68.1%–145.4% in terms of F1-score when using different LLMs as the base model. Our framework demonstrates performance gains ranging from 12.7%–105.6% over its vanilla variant, with smaller models generally benefiting more compared to their larger counterparts. In addition, the conducted ablation analysis shows that all three components are crucial in contributing to the final performance of LLM4VFD. Through a user study involving security experts, we find in 80.0% of the cases LLM4VFD’s analysis helps security experts to understand the code change and improve the efficiency in identifying whether the change is a vulnerability fix commit. We also conducted a failure case analysis to understand the limitations of LLM4VFD, and provide insights for future work.

In summary, this paper makes the following contributions:

- To the best of our knowledge, we are the first study to investigate vulnerability fix detection using LLM.
- We propose a novel framework, LLM4VFD, that enhances vulnerability fix detection by leveraging multiple sources of information based on LLMs.
- We conducted extensive evaluation on LLM4VFD, including an ablation study to evaluate the contribution of each component in LLM4VFD and a user study to evaluate the usefulness of LLM4VFD’s analysis result for security experts.
- We collected and release a new dataset, BigVulFixes, containing 1,689 vulnerability fix commits from 7 major programming languages after 2023 to avoid data leakage with LLM’s pre-training data.
- We perform a bad case analysis on the limitations of our approach to promote future work on vulnerability fix detection.

2 BACKGROUND AND RELATED WORK

In this section we introduce the background of vulnerability fix detection and LLMs.

2.1 Vulnerability Fix Detection

A typical vulnerability fix detection task takes a commit as input and outputs whether the commit is fixing a vulnerability. Existing approaches focusing on this problem mostly leverage PLM techniques through some kind of embedding technique to capture code change information. The first work on vulnerability fix detection is introduced by Zhou and Sharma [76]. They used commit messages and bug reports to automatically identify vulnerability fix commits. Chen et al. [9] later explored the topic with the idea of vulnerability-relatedness, to capture how each commit can be related to addressing a vulnerability.

During this time, trying to associate a commit with a vulnerability was mainly to curate vulnerability-related information to aid data collection and cleaning. Having high-quality data for vulnerability fixing code is critical for other vulnerability tasks such as automated vulnerability repair. Zhou et al. [72] further explored the idea of detecting vulnerability fix commit especially in the case of silent fixes where the vulnerability fix information may not be publicly disclosed. Sabetta and Bezzi [58] leveraged an SVM based approach with features from commit messages and patch information to predict whether a commit is security related. Nguyen-Truong et al. [42] combined three classifiers each for the patch, commit, and associated issue content to create a joint classifier to identify vulnerability fix commits. Xu et al. [66] proposed SPAIN to identify vulnerability fixes at the binary level. Their work is especially useful when the target software is not available in source code and is distributed only in binary.

There have also been efforts in the direction of a more fine-grained level of vulnerability detection. For example, Zhou et al. [71] proposed a framework to analyze vulnerable code at the function level using deep learning techniques. Their framework can identify vulnerability fixes, CWE category, and

148 exploitability. Alternative representations of the changed code have also been explored, especially
 149 using graph-based techniques. Nguyen et al. [39] proposed a graph-based approach for detecting
 150 silent vulnerability fixes. They construct graphs using the AST before and after a commit. Their
 151 work show significant improvement in performance in real-world C/C++ projects.
 152

153 2.2 Large Language Models

154 Popularized by Generative Pretrained Transformer (GPT) models [50], LLMs have shown great
 155 potential in software engineering tasks. Recent work explores the capabilities of LLMs in solving
 156 several unique software engineering problems [10, 17, 26, 27, 30, 74]. In practice, LLMs are generally
 157 tailored for use in domain-specific tasks through prompt engineering and/or fine-tuning.
 158

159 Prompt engineering is an essential step in interacting with an LLM to alter its response. The
 160 characteristics of the prompt (e.g., vocabulary, style, tone) can greatly affect the generated response
 161 of the LLM [69]. Carefully crafted prompts can help improve the capability of LLMs in specific
 162 tasks. For example, CoT [64] is a common form of prompt engineering that separates the prompt
 163 into smaller, individual steps, which improves the reasoning capabilities of LLMs. LLMs also have
 164 varying context lengths which limit the length of the prompt, ultimately truncating information
 165 that exceeds this limit. Thus, prompt engineering also generally aims to tailor prompts to become
 166 brief by condensing information. In addition, prompt engineering is an effective way to work
 167 around the knowledge cutoff of LLMs. LLMs are typically trained with information that precedes a
 168 certain knowledge cutoff date. When LLMs are queried for information beyond the cutoff date or
 169 not in the training dataset, they often hallucinate and create unsuitable answers. An example of
 170 this often arises from 0-shot prompting strategies [29], which prompt LLMs to perform tasks that
 171 they are not explicitly trained in. This issue is often resolved by providing contextual information
 172 in the prompt. A canonical solution is In-Context Learning (ICL) [13], which includes examples
 173 and/or demonstrations of the task in the prompt.

174 With its superior capability in code related tasks such as code understanding [59], code sum-
 175 mary [63], and code generation [3, 19, 28, 35]), LLM overcomes many limitations of previous
 176 techniques [26, 65]. The strength of LLMs leads to researchers adopting the tool for vulnerability
 177 related tasks. Most of the previous work has focused on vulnerability detection [73]. Chan et al.
 178 [6] proposed a system based on vulnerable code patterns to detect vulnerabilities in code. Thapa
 179 et al. [61] researched LLM's performance of C/C++ source codes with multiple vulnerabilities.
 180 Cheng et al. [10] presented an approach Vercation to identify vulnerable versions of open-source
 181 C/C++ software. Du et al. [14] proposed Vul-RAG, a framework that first constructs a vulnerability
 182 knowledge base that contains CVE information. The framework is then able to predict whether a
 183 given code snippet is vulnerable by an RAG system that retrieves from the knowledge base.
 184

185 3 CHALLENGES AND MOTIVATION

186 In this section, we outline the primary challenges faced by current vulnerability fix detection
 187 techniques and discuss the types of context information that could be leveraged to address them.
 188

189 3.1 Challenge #1: Tangled Commits

190 One of the key challenges in detecting vulnerability fixes is identifying security-related changes
 191 within a commit that contains multiple modifications for various purposes, such as feature improve-
 192 ments, refactoring, and vulnerability resolution [4]. This mix of intentions complicates the task for
 193 traditional methods [25], particularly those that rely solely on analyzing code changes to detect
 194 vulnerabilities [71, 72]. For example, in Figure 1, only two lines out of 164 in the commit address a
 195 vulnerability by altering the initialization and usage of LookupPathMatchableHandlerMapping,
 196

```

197 Polishing and minor refactoring in HandlerMappingIntrospector
198 Closes gh-30127
199 2 changed files with 81 additions and 83 deletions.
200 @@ -298,26 +294,27 @@ public void removeAttribute(String name) {
201 }
202
203 - private static class PathSettingHandlerMapping implements MatchableHandlerMapping {
204 + private static class LookupPathMatchableHandlerMapping implements MatchableHandlerMapping {
205     private final MatchableHandlerMapping delegate;
206
207 -     private final Object path;
208 +     private final Object lookupPath;

```

Fig. 1. An example of tangled commit with 164 lines changed, while only two lines (in red box) are related to vulnerability fix. [60]

while the rest relates to refactoring and feature adjustments. The substantial amount of non-vulnerability-related changes in such tangled commits introduces noise, making it difficult for existing approaches to accurately detect the real vulnerability-related fixes. In fact, none of the current methods [39, 71, 72] can identify this particular case.

To tackle this issue, we interviewed security experts to understand how they discern vulnerability fixes, especially in tangled commits. From their insights, we identified three crucial aspects of information needed to determine a vulnerability fix: the summary of the code change, the purpose behind the changes, and their potential implications. By concentrating on these aspects rather than just the raw code changes, we can effectively filter out noise from unrelated modifications, thereby enhancing the accuracy of models for vulnerability fix detection.

3.2 Challenge #2: Insufficient Information Within Commits

In many cases, commit messages and code changes alone do not provide enough information to determine whether a commit is related to a vulnerability fix. This challenge is particularly pronounced when changes are subtle and or the commit message is vague, making it difficult for traditional methods [71, 72] to accurately identify vulnerability fix commits. For example, the commit shown in Figure 2 simply adds three lines of code to `filter_session.c` with a conditional check. The commit message, “fixed #2475,” provides little insight into the nature of the changes or whether they are security relevant. Hence, based on the code and commit message alone it is nearly impossible to identify whether this is a vulnerability fix or a routine update, even for human experts. However, when we investigate the related development artifact — issue report #2475 — it becomes evident that this commit addresses a security vulnerability. The issue report details a vulnerability involving improper handling of certain filter parameters, which could lead to a security flaw causing an out-of-bounds read and segmentation fault. The commit directly resolves this issue, though without the context provided by the issue report, commit-only methods are likely to miss this crucial connection and result in a false negative prediction. Therefore, we aim to leverage related development artifacts to enrich commits for vulnerability fix detection.

3.3 Challenge #3: Missing Project-Specific Contextual Information

It is usually challenging to predict only based on the code changes without a deeper understanding of the corresponding OSS software (i.e., project-specific domain knowledge). For instance, a commit shown on the left in Figure 3 includes a small code change that adds checks in the `setProperty` function to prevent changes to the `prototype` property — a common source of prototype pollution vulnerabilities. While the commit message uses the word “fix”, the simplicity of the change makes it difficult to determine whether this is a vulnerability fix, typically for traditional methods, which

fixed #2475

master
v2.4.0

jeanlf committed on May 22, 2023

Showing 1 changed file with 3 additions and 0 deletions.

```
@@ -4145,6 +4145,9 @@ GF_Err gf_filter_get_stats(GF_Filter *f,
GF_FilterStats *stats)
    if ((f->num_input_pids!=1) && f->num_output_pids)
        continue;

if (!pidi->pid)
    continue;

if (!stats->codecid)
    stats->codecid = pid->pid->codecid;
if (!stats->stream_type)
```

OOB Read segfault #2475

Closed rboureau opened this issue on May 19, 2023 · 0 comments

rboureau commented on May 19, 2023

POC File

Environment

Distributor ID: Debian
Description: Debian GNU/Linux bookworm/sid
Release: n/a
Codename: bookworm

Version

I checked against the latest release as of 05/18/23 the current Description

This AddressSanitizer output is indicating that an out of bounds

for (i=0; i<f->num_input_pids; i++)

POC

Fig. 2. An example of a commit [21] with only 2 lines changed (in red box), while related issues reports [22] (in blue box) provided critical information.

fix: do not let setProperty change the prototype (#1899)

* fix: do not let setProperty change the prototype

* test: add unit test

master (#1899)
protobufjs-v7.4.0 ... protobufjs-clv1.2

alexander-fenster committed on Jun 23, 2023 Verified

Showing 2 changed files with 10 additions and 1 deletion.

src/util.js

```
@@ -176,7 +176,7 @@ util.decorateEnum = function decorateEnum(object) {
176 176     util.setProperty = function setProp(dst, path, value) {
177 177         function setProp(dst, path, value) {
178 178             var part = path.shift();
179 179             if (part === "__proto__") {
180 180                 if (part === "__proto__" || part === "prototype") {
181 181                     return dst;
182 182                 if (path.length > 0) {
```

fix: do not let setProperty change the prototype (#1731)

master (#1731)
protobufjs-v7.4.0 ... protobufjs-clv1.0.0

alexander-fenster committed on May 20, 2022 Verified

Showing 1 changed file with 3 additions and 0 deletions.

src/util.js

```
@@ -176,6 +176,9 @@ util.decorateEnum = function decorateEnum(object) {
176 176     util.setProperty = function setProp(dst, path, value) {
177 177         function setProp(dst, path, value) {
178 178             var part = path.shift();
179 179             if (part === "__proto__") {
180 180                 if (part === "__proto__" || part === "prototype") {
181 181                     return dst;
182 182                 if (path.length > 0) {
```

Fig. 3. A commit only with small change by adding condition check (left [55]) and its relevant historical vulnerability fix commit (right [54]).

rely on a surface-level analysis of the commit message and or code [39, 71, 72], may struggle to differentiate between them without such information. Conveniently, projects typically have historical commits that possibly contain similar fixes can provide more context-specific for the project. For instance, in Figure 3 (right), we present a historical vulnerability fixing commit, which includes similar changes to the same function in a prior commit, where the addition of a check for the `__proto__` property was introduced to mitigate a known prototype pollution vulnerability. This example shows that historical vulnerability fixes can be leveraged to supply the missing context needed to identify new vulnerability fix commits.

4 METHODOLOGY

To address the challenges discussed in Section 3, we present LLM4VFD, which leverages information that is distilled from multiple sources by leveraging LLMs to enhance the vulnerability fix detection. Figure 4 provides an overview of our framework. LLM4VFD consists of four components: Code Change Intention (CCI), Development Artifact (DA), Historical Vulnerability (HV), and Comprehensive Analysis and Vulnerability Fix Detection (CAVFD).

More specifically, to address Challenge #1, the CCI component leverages LLMs with Chain-of-Thought (CoT) techniques to construct a summary of the commit that focuses on three crucial aspects (*i.e.*, code change summary, purpose of the change, and implications of the change). To address

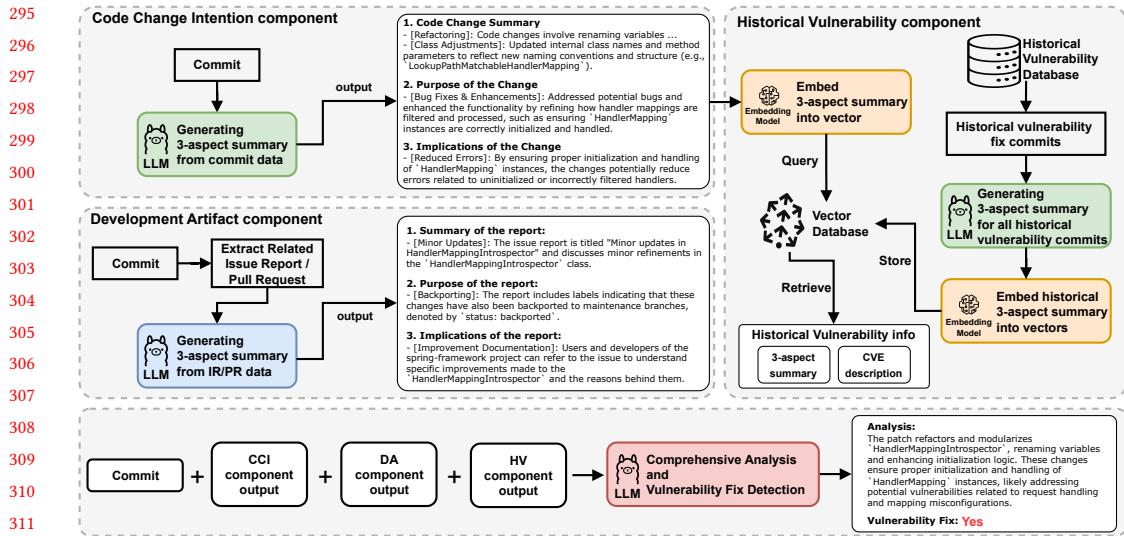


Fig. 4. The framework of LLM4VFD.

Challenge #2, the DA component leverages the information extracted from related development artifacts (*i.e.*, issue reports (IR) or pull requests (PR) in our case) to provide additional information to enrich the context for a commit. To address Challenge #3, the HV component builds a vector database and retrieves similar vulnerability fix commits from historical vulnerability data. The information from these components is then combined to enhance the analysis in the CAVFD component, where a comprehensive prompt template is employed to guide the LLM through all the relevant aspects of the commit. This structured prompt not only predicts whether the given commit is a vulnerability fix, but more importantly, it generates an in-depth explanation in analysis to assist security experts in understanding the rationale behind the prediction.

As highlighted in Figure 4, we provide a running example to illustrate the procedure of LLM4VFD. The outputs are taken from the commit from Challenge #1, which by leveraging our approach, can be correctly identified as a VF commit.

4.1 Code Change Intention (CCI)

The Code Change Intention component takes raw commit data (*i.e.*, the code diff and commit message) as input, and outputs a structured summary that abstracts the intention behind the commit. However, obtaining this information is not trivial, as the commit data does not explicitly provide this level of structured reasoning. To generate such information, we leverage and enhance the reasoning ability of LLMs using CoT techniques. Specifically, we design a structured prompt that breaks down the reasoning process into steps, mimicking how a security expert would analyze the commit. The prompt template, as shown in Figure 5, guides the LLM to analyze the commit code changes and distill relevant information into three key aspects (referred to as *3-aspect summary*): the summary, the purpose, and the implications as below.

- (1) **Code Change Summary.** In the first step of the prompt, we instruct the LLM to focus on identifying the primary action of the code change. The goal here is to abstract the core modification and summarize different kinds of code changes.
- (2) **Purpose of the Change.** The second step of the prompt instructs the LLM to reason through why the change was made. This step categorizes the commit into broader categories such as refactoring, feature enhancement, or fixing a vulnerability.

- 344 (3) **Implications of the Change.** In the final step, the prompt asks the LLM to consider the
 345 broader consequences of the change, including its potential impact. This step ensures that
 346 any security-related modifications are properly captured and that the potential risks or
 347 fixes introduced by the commit are fully understood.

348 In addition, to ease the extraction process for further components, we provide concrete instruc-
 349 tions with a demonstration example to ensure LLM outputs the 3-aspect summary in the format we
 350 expect. Note that one commit, such as tangled commits, probably has multiple points of summary,
 351 purposes, and implications. We instruct LLM to generate multiple points (i.e., Key Point/Optional
 352 Key Points) for each aspect if it is applicable.

353

Prompt Template: Code Change Intention

354 **System Prompt:** You are a helpful software developer assistant specializing in software development life-cycle to
 355 help other developers understand the characteristics of software patches.

356 **User Prompt:** You are given the following software patch: *{Commit}*

357 Think step by step and provide an analysis describing the following characteristics.

358 1. Code Change Summary

359 2. Purpose of the Change

360 3. Implications of the Change

361 Provide the analysis in bullet point format for each characteristic. Each bullet point should start with a key point
 362 and then briefly describe a main idea or fact from the text. Ensure each point is concise and captures the essence
 363 of the main idea it's summarizing. Here is an example of the desired format:

364 1. Code Change Summary

365 - [Key Point]: <description>

366 - [Optional Key Point]: <description>

367 2. Purpose of the Change

368 - [Key Point]: <description>

369 - [Optional Key Point]: <description>

370 3. Implications of the Change

371 - [Key Point]: <description>

372 - [Optional Key Point]: <description>

373 Fig. 5. The prompt template of Code Change Intention.
 374

4.2 Development Artifact (DA)

375 In the DA component, we aim to integrate the information of external development artifacts such
 376 as issue reports (IRs) and pull requests (PRs) to enrich the analysis of a given commit. However,
 377 these artifacts can be lengthy and include irrelevant details, such as issue report templates and
 378 CI/CD notifications. To address this, and inspired by the CCI component in Section 4.1, we design
 379 a structured prompt that guides the LLMs through the process of analyzing and summarizing the
 380 related IRs and PRs to generate a 3-aspect summary which is similar to that for a commit. More
 381 specifically, the DA component generates a concise summary that abstracts the intention behind
 382 these artifacts by focusing on three core aspects: the summary, the purpose of the changes, and the
 383 potential implications. The prompt template is shown in Figure 6.

4.3 Historical Vulnerability (HV)

384 In this component, we aim to leverage information from historical vulnerability fix commits. More
 385 specifically, given a commit, HV aims to retrieve similar vulnerability fix commits to enrich the given
 386 commit. As discussed in Section 3, the vulnerability fix commit can be multi-purpose and contain
 387 code addressing other issues. Therefore, we decide to retrieve similar vulnerability fix commits
 388 based on their intention. To do this, we need to construct a database of historical vulnerability fixes.
 389 First, we collect historical vulnerability fix commits from existing vulnerability databases such
 390 as NVD and CVSS. Then, we extract the commit message and analyze its intention using the
 391 prompt template shown in Figure 5. Finally, we store the retrieved commits in a database for future
 392 use.

393 **Prompt Template: IR/PR Summary**

395 **System Prompt:** You are a helpful software developer assistant specializing in software development lifecycle
 396 to help other developers understand characteristics of software components such as patches, issue reports, pull
 397 requests, etc.

398 **User Prompt:** You are given the following Github issue report title and body information in JSON format which
 399 is related to a commit:{*Commit*}

400 Think step by step and provide an analysis describing the following characteristics.

- 401 1. Summary of the report
 402 2. Purpose of the report
 403 3. Implications of the report

404 Provide the analysis in bullet point format for each characteristic. Each bullet point should start with a key point
 405 and then briefly describe a main idea or fact from the text. Ensure each point is concise and captures the essence
 406 of the main idea it's summarizing. Include 1-3 key points. Here is an example of the desired format:

- 407 1. Summary of the report:
 408 - [Key Point]: <description>
 409 - [Optional Key Point]: <description>
 410 2. Purpose of the report:
 411 - [Key Point]: <description>
 412 - [Optional Key Point]: <description>
 413 3. Implications of the report:
 414 - [Key Point]: <description>
 415 - [Optional Key Point]: <description>

416 Fig. 6. The prompt template of Development Artifact.

417 as NVD [43]. We generate the 3-aspect summary for all the collected vulnerability fix commits
 418 using the CCI component. We then embed and vectorize the generated three-aspect summary for
 419 each vulnerability fix commit using a sentence embedding model [34], and store them in a vector
 420 database. Alongside the generated summaries, we also store their corresponding vulnerability
 421 metadata, including the CVE ID and CVE description.

422 When processing a new commit, we begin by using the CCI component to obtain a 3-aspect
 423 summary for the commit. We search for the nearest instance from historical vulnerability database,
 424 based on the similarity of their 3-aspect summary. With the nearest instance, we gather the 3-aspect
 425 summary and CVE description from its metadata as the output of the HV component.

427 **4.4 Comprehensive Analysis and Vulnerability Fix Detection (CAVFD)**

428 In the final step, we combine multiple sources of output collected from the three components
 429 (*i.e.*, CCI, DA, and HV), together with the commit's code change and commit message, into a
 430 comprehensive prompt for the LLM. This step ensures that the model has access to all relevant
 431 characteristics of the commit, not only in terms of the code itself, but also the surrounding context
 432 and historical vulnerability fix commits. The output is a prediction with a structured analysis and
 433 reasoning on how the decision is made.

434 The prompt template is shown in Figure 7. The prompt is designed to follow a multi-dimensional
 435 approach [7] to ensure a thorough, structured analysis for a given commit. We do this by guiding the
 436 LLM to analyze and integrate information from the components using the CoT and ICL techniques
 437 before making its decision. We first provide the raw commit data (code diff and commit message)
 438 as the patch content, following by the output from the three components. Next, we design the
 439 prompt to include a two-step task for the LLM: Comparison and Analysis. In the Comparison phase,
 440 we prompt the LLM to evaluate the current patch against the retrieved historical fixes, to avoid
 441

442 potential bias and ensure an evidence-based analysis. This is because we cannot ensure that the
 443 retrieved historical vulnerabilities are actually relevant to the current commit. In the Analysis step,
 444 we ask the LLM to synthesize information from the three components to determine whether the
 445 patch is a vulnerability fix, and to provide justification. Finally, we instruct the LLM to generate the
 446 response in JSON format including its detailed analysis and finally its decision. The analysis process
 447 is designed to output an analysis that can assist the user of LLM4VFD in the manual screening
 448 process to help them better understand the decision-making process of the LLM. We also conduct a
 449 user study in Section 6.3 to evaluate the usefulness of the resulting generated analyses.
 450

451 Prompt Template: Comprehensive Analysis and Vulnerability Fix Detection

453 **System Prompt:** You are a helpful software developer assistant specializing in vulnerability detection to help
 454 other developers understand characteristics of software patches and discover potential vulnerabilities.

455 **User Prompt:** You are given the following details for analysis:

- 456 1. Patch Content: *{Commit}*
- 457 2. Related Issue Report / Pull Request Summary: *{DA component output}*
- 458 3. Three Aspect Analysis of the Patch: *{CCI component output}*
- 459 4. Similar Historical Vulnerability Fix Information: *{HV component output - CVE description}*
- 460 5. Three Aspect Analysis of the Historical Vulnerability Fix: *{HV component output - 3-aspect summary}*

461 Task:

462 1. Comparison:

- Carefully compare the current patch with the historical vulnerability fix to avoid bias.
- Ensure that you consider the similarities and differences highlighted in the three aspect analyses.

463 2. Analysis:

- Use the information from the Related Issue Report / Pull Request Summary to understand the context and motivation behind the patch.
- Determine whether the current patch is intended to fix a vulnerability. You must provide evidence if you think its a vulnerability fix.

467 Your output should follow below syntax:

```
468 {"analysis": "<Detailed analysis of whether the patch is to fix a vulnerability>",  

469 "vulnerability_fix": "<yes or no>"}
```

471 Fig. 7. The prompt template of Comprehensive Analysis and Vulnerability Fix Detection.
 472

473 5 EXPERIMENTAL SETTINGS

474 In this section, we present research questions (RQs), datasets, evaluation metrics, our analysis
 475 approach for RQs, and implementation details.
 476

477 5.1 Research Questions

478 We evaluate LLM4VFD in different aspects to answer the following research questions.

- 479 • *RQ1: How effective is LLM4VFD compared with SOTA techniques?*
- 480 • *RQ2: How effective is each component in LLM4VFD?*
- 481 • *RQ3: Can the analysis generated by LLM4VFD help security experts in identifying vulnerability*
482 fixes?
- 483 • *RQ4: Bad Case Analysis: In which scenarios does LLM4VFD fail?*

485 5.2 Data Collection

487 5.2.1 *Date Range Selection.* LLMs are trained on extensive data, which results in a knowledge cutoff
 488 date reflecting the most recent information they possess. For our task, feeding LLMs with historical
 489 vulnerabilities predating their knowledge cutoff can lead to data leakage, as the model might already
 490

491 be aware of these vulnerabilities. As a mitigation, we restrict our analysis to vulnerabilities after
492 2023 to ensure that the data used is post-knowledge cutoff and reduces the risk of data leakage.
493

494 *5.2.2 Vulnerability and Non-vulnerability Fix Commit Selection.* We begin the data collection process
495 by collecting historical CVE data from NVD [43]. NVD contains a vast amount of vulnerability
496 data covering numerous open-source software (OSS) that cover a large spectrum of development
497 activities and purposes. We only include CVEs that possess GitHub commit URLs in their references,
498 which indicate a vulnerability fix. To obtain this info, we use GitHub’s REST API endpoint to directly
499 access repository and the language key in the response JSON [12]. To avoid the long tail effect from
500 the diversity of vulnerabilities, we limit ourselves to vulnerabilities from 7 programming languages,
501 namely Java, C, C++, Rust, JavaScript, Python, and Go.

502 In real-world open-source software (OSS) development, VF commits are exceptionally rare,
503 comprising only a tiny fraction of total commits. The ratio of VF to normal commits can be as
504 low as 1 in 1,000. For example, in the OSS project FFmpeg, we collected 114,210 total commits, of
505 which only 124 were VF commits (0.1%). This extreme class imbalance makes our task significantly
506 more challenging than typical binary classification tasks, which often assume a more balanced
507 distribution (close to a 1:1 ratio). To address this imbalance and reduce the number of NVF commits
508 evaluated, we followed the sampling strategy from the Big-Vul dataset [16] and selected a ratio of
509 1:16 between VF and NVF commits. We randomly sample NVF commits from the same OSS where
510 we collected vulnerability fix commits.

511 Our final evaluation dataset BigVulFixes consists of 1,689 VF and 26,468 NVF commits, reflecting
512 this sampled ratio. Additionally, to handle extreme outliers and ensure compatibility with the
513 maximum token length of the language models we use, we excluded patches longer than the 99th
514 percentile of patch token lengths (approximately 30,000 tokens).

515 5.3 IR/PR Data Collection

516 For all commits gathered from the previous steps, we collect related IR/PR URLs and their information
517 using two approaches. The first approach parses the commit message to find any references
518 to IR/PRs. GitHub uses an autolink feature via specific formatting syntax, allowing developers to
519 reference IR/PR information directly in commit messages [12]. We design a regular expression
520 to find such references to IR/PRs based on the defined autolink formatting syntax. The second
521 approach accesses the “List pull requests associated with a commit” GitHub REST API endpoint.
522 This endpoint provides the pull request the corresponding commit is included in. This method
523 is particularly useful for cases where developers do not reference the related pull request in the
524 commit message, causing the first approach to fail. Finally, we use the GitHub REST API to retrieve
525 the information from each of the collected IR/PR URLs. We collected a total of 17,791 IR/PR data
526 for the BigVulFixes dataset.

527 5.4 Historical Vulnerability Data Collection

528 In the previous section, we describe how we collect data after 2023 for evaluation, therefore, to avoid
529 data leakage, the data range we select for the history vulnerability dataset will be all vulnerable
530 data before 2023. Similar to the previous section, we start by collecting all history CVE information
531 on NVD [43] before 2023 and collected 22,745 vulnerabilities from vulnerability advisory NVD. For
532 each historical vulnerability, we collect its associate vulnerability fix commit and CVE description.
533

534 5.5 Implementation Detail

535 *5.5.1 LLMs selection.* LLM4VFD is a framework that can be implemented with any LLM and
536 embedding models. In this study, we selected SOTA LLMs based on their performance on both
537

540 code-related benchmarks (e.g., HumanEval [8], MBPP EvalPlus [35]) and general benchmarks
 541 (e.g., MMLU [24], IFEval [70]). We focused on three LLM families: Llama [15], Qwen [67], and
 542 Deepseek [77]. For the Llama family, we chose Llama3.1-70B and Llama3.1-8B. From the Qwen
 543 family, we selected Qwen2-70B and Qwen2-7B. For the Deepseek family, we included Deepseek-
 544 Coder-V2 (236B) and its smaller version, Deepseek-Coder-V2-Lite (16B). We use the "instruct"
 545 fine-tuning version of all LLMs.

546 We deployed Llama, Qwen and Deepseek-Coder-V2-Lite using vLLM [31] on Ascend 910 NPUs.
 547 For Deepseek-Coder-V2, we utilized the official API provided by DeepSeek. The total computational
 548 cost of the experiment was approximately 2.5 billion tokens. While more powerful LLMs like
 549 Llama3.1-405B or GPT-4o are available, they are either closed-source or too computationally
 550 intensive to deploy for our study. Therefore, we selected the models mentioned above.

551 **5.5.2 Embedding Model and RAG implementation.** For the embedding model used in our Retrieval-
 552 Augmented Generation (RAG) to embed the 3-aspect summary, we chose gte-Qwen2-7B-instruct [34].
 553 This model was the state-of-the-art sentence embedding model on the Massive Text Embedding
 554 Benchmark [38] as of June 16, 2024. We use ChromaDB [11] to implement the vector database to
 555 use as part of HV and RAG. When querying the HV database, We filter the HV query results using
 556 a condition to retrieve historical vulnerabilities only with the same programming language of the
 557 current commit. We use the default Euclidean Distance function from ChromaDB to calculate and
 558 retrieve the most similar historical vulnerability.

560 **5.6 Evaluation Metrics**

561 We use precision, recall, F1-score and Matthews correlation coefficient (MCC) [37] as our evaluation
 562 metrics, which are widely used in previous studies [5, 33, 56, 68, 75]. Unlike previous research, we
 563 avoid using accuracy as an evaluation metric due to the highly imbalanced nature of vulnerability
 564 fix detection datasets, where the majority class can dominate and lead to misleading results [23].

565 **5.7 RQ Approaches**

566 **5.7.1 RQ1: How effective is LLM4VFD compared with SOTA techniques?** In RQ1, we compare
 567 LLM4VFD with existing SOTAs, including three PLM-based techniques and three selected LLMs
 568 with different sizes under the CoT 0-shot setting.

569 First, we select three SOTA PLM-based techniques, including VulFixMiner [72], CoLeFunda [71]
 570 and VulCurator [40]. We select VulFixMiner and CoLeFunda since they are the most commonly
 571 used baseline for vulnerability fix detection [41, 51]. VulCurator extends the approach proposed in
 572 VulFixMiner to include commit related development artifacts (i.e., IR/PRs, commit message). Due to
 573 its design, CoLeFunda's implementation is limited to the Java language, therefore, we only compare
 574 CoLeFunda with LLM4VFD on Java data. We obtain these SOTA models as provided from the
 575 original authors, and use them according to guidance from the authors and or replication packages.
 576 Note that we do not reuse the VulFixMiner dataset which was used to evaluate both VulFixMiner
 577 and CoLeFunda since this dataset poses a threat of data leakage since it contains data before 2023,
 578 which predates the cutoff dates of all LLMs included in our study. Therefore, we evaluate all models
 579 using our newly collected dataset BigVulFixes.

580 Secondly, we also compare the LLMs under their vanilla setting without LLM4VFD, defined as the
 581 0-shot CoT setting. For a fair comparison, we maintain the structure of the vulnerability fix detection
 582 prompt template as shown in Figure 7, but omit all information from the Code Change Intention
 583 (CCI), Development Artifact (DA), and History Vulnerability (HV) components. In other words, the
 584 LLM is only given the patch content and is tasked with determining whether the commit is a VF,
 585 without any additional context from LLM4VFD. Additionally, the task instruction is simplified to:

586

589 “Determine whether the current patch is intended to fix a vulnerability. You must provide evidence
590 if you think it’s a vulnerability fix”.

591
592 5.7.2 *RQ2: How effective is each component in LLM4VFD?* In our framework, we integrate three key
593 components: Code Change Intention (CCI), Development Artifacts (DA), and Historical Vulnerability
594 (HV). To understand the contribution of each component, we conduct an ablation study to evaluate
595 their individual impact on the overall performance of LLM4VFD. For this study, we selected two
596 models, Qwen2-72B and Qwen-7B, from the Qwen family. These models were chosen because both
597 the larger and smaller versions demonstrated strong performance in our initial experiments (see
598 section 6.1 for details). In the ablation study, we systematically removed each component one at a
599 time and compared the performance of the models with and without the removed component.

600 5.7.3 *RQ3: Can the analysis generated by LLM4VFD help security experts in identifying vulnerability
601 fixes?* Having a model detect and label a commit as a VF is generally not the end of the story. Security
602 experts will often perform screening on the instance to confirm the prediction was correct. This is an
603 essential step before beginning remediation, such as applying the patch into downstream software
604 dependencies. Therefore, in RQ3 we conduct a user study to investigate whether analysis generated
605 by LLM4VFD can help developers identify vulnerability fixes more effectively. We elaborate on the
606 methodology of our user study below.

607 Participants: We invited 10 security experts from industry and academia with 3–5 years of software
608 security experience for the user study from industry. We also ensure that these security experts
609 experience of screening vulnerability fixes before.

610 Task: We randomly selected 40 VF and their analysis generated by LLM4VFD based on Qwen2-72B.

611 Procedure: Each participant is tasked to answer a sequence of yes or no questions with the option
612 of adding additional comments given a VF and the generated analysis from LLM4VFD.

613 We present the analysis result generated by LLM4VFD, and ask reviewers to answer the following
614 questions:

- 615 (1) Does the analysis help understand the intent behind the code changes?
616 (2) Does the analysis accurately characterize the vulnerability?
617 (3) Does the analysis provide a better understanding of the root cause of the vulnerability?
618 (4) Does the analysis provided by the large model help improve the efficiency of identifying
619 vulnerabilities fix?
620 (5) Are you satisfied with the quality of the generated content (e.g., it contains redundant
621 information, inaccurate information, not thorough enough, hallucination, historical vulner-
622 abilities mentioned are irrelevant to the vulnerability, etc.)

623 By collecting the answers to these questions, we aim to determine if the analysis information
624 provided by LLM4VFD can help participants effectively understand the commit and verify
625 vulnerabilities fixes.

626 5.7.4 *RQ4: Bad Case Analysis: In which scenarios does LLM4VFD fail?* To better understand the
627 limitations of LLM4VFD, we conduct a manual analysis on failed predictions, focusing on two types
628 of misclassifications: (1) False Positives (FP): Cases where LLM4VFD incorrectly classifies a commit
629 as a VF. This helps us examine scenarios where LLM4VFD misinterprets the intent or context of
630 the commit. And (2) False Negatives (FN): Cases where LLM4VFD fails to identify a commit as a
631 VF. This analysis highlights situations where LLM4VFD overlooks important indicators of a VF.

632 The first three authors each conducted a manual inspection on 20 FP and 20 FN for each of
633 Qwen-72B and Qwen-7B following RQ2. A total of 240 cases has been reviewed. We aim to identify
634 the specific reasons for the misclassifications. By pinpointing the root causes of the errors, we seek
635 to understand the current limitations of LLM4VFD and identify room for future improvement.

Table 1. The performance of vulnerability fix detection approaches.

Foundation Model	Parameter Size	Approach	Precision	Recall	F1-score	MCC
CodeBERT	125M	VulFixMiner	0.17	0.26	0.20	0.14
	125M	CoLeFunDa *	0.50	0.06	0.11	0.15
	125M	VulCurator	0.77	0.13	0.22	0.30
Deepseek-Coder-V2	236B	Vanilla	0.33	0.84	0.47	0.48
	16B	LLM4VFD	0.40	0.78	0.53	0.53
		Vanilla	0.23	0.44	0.30	0.26
	LLM4VFD	0.28	0.65	0.39	0.37	
Llama3.1	70B	Vanilla	0.41	0.53	0.47	0.43
	8B	LLM4VFD	0.49	0.61	0.54	0.52
		Vanilla	0.10	0.86	0.18	0.18
	LLM4VFD	0.30	0.50	0.37	0.34	
Qwen2	72B	Vanilla	0.32	0.72	0.44	0.43
	7B	LLM4VFD	0.38	0.77	0.51	0.50
		Vanilla	0.24	0.48	0.32	0.29
	LLM4VFD	0.52	0.48	0.50	0.47	

* Due to the tool limitation, CoLeFunDa is evaluated on only Java vulnerabilities.

6 RESULTS

6.1 RQ1 - Effectiveness

6.1.1 *PLM-based Approaches vs. LLM4VFD. LLM4VFD outperforms PLM-based approach consistently in terms of MCC, F1-score, recall. For instance, LLM4VFD outperforms the best-performed PLM-based approach VulCurator by 68.1% - 145.4% across LLMs in terms of F1-score.* As shown in Table 1, LLM4VFD significantly outperforms all three PLM-based approaches, VulFixMiner, CoLeFunda, and VulCurator, in terms of F1-score, MCC, and Recall. LLM4VFD with various LLMs achieves an F1-score ranging from 0.37 to 0.54, which is significantly higher than PLM-based approaches with an F1-score of 0.14 to 0.22. For instance, the best performed LLM4VFD (with Llama3.1-70B) achieves an F1-score of 0.54, exhibiting a 145.5% improvement in F1-score compared to the best PLM-based approach VulCurator. Although VulCurator and ColeFunDa achieve good precision 0.50 and 0.77 respectively, they suffer from very low Recall of 0.06 and 0.13 and miss many true vulnerability fixes, which hinders their practical application.

6.1.2 *Vanilla LLMs (0-shot CoT) vs. LLM4VFD. LLM4VFD outperforms all vanilla LLMs in terms of F1-score and MCC.* Compared to the vanilla LLM, we observe that LLM4VFD improves performance across all evaluation metrics, except Recall on two LLMs (*i.e.*, Deepseek-Coder-V2 and Llama3.1-8B-Instruct). In terms of F1-score, for each LLM LLM4VFD achieves a range of 12.7% to 105.6% improvements over its vanilla setting. We observe a similar pattern of improvement for MCC. In particular, LLM4VFD with Deepseekcoder-V2 achieves a Precision of 0.40 and a Recall of 0.78, resulting in an F1-score of 0.53, representing a 13% improvement in Precision and a 12.8% increase in F1-score compared to its vanilla setting. Similarly, Llama3.1-70B improves its Precision from 0.41 to 0.49 (a 19.5% increase) and Recall from 0.53 to 0.61 (a 15.1% increase), resulting in an F1-score of 0.54 (a 14.9% increase).

Larger models typically outperform smaller models, while smaller models benefit more from our framework. For instance, F1-score for smaller models achieve an improvement of 64.0% on average after applying LLM4VFD compared with the vanilla setting, while large models achieve an average improvement of 14.4%. When comparing smaller models with their larger counterparts within the same family, we observed that the larger models consistently

687 Table 2. The ablation results. The cells with the lowest performance are marked in bold, indicating the largest
688 contribution from that component.
689

690 691 692 693 694 695 696 697 Ablation Setting	Qwen2-72B				Qwen2-7B			
	Precision	Recall	F1-score	MCC	Precision	Recall	F1-score	MCC
LLM4VFD	0.38	0.77	0.51	0.50	0.52	0.48	0.50	0.47
w/o CCI component	0.33	0.77	0.46	0.45	0.40	0.54	0.46	0.42
w/o DA component	0.36	0.75	0.48	0.48	0.49	0.47	0.48	0.45
w/o HV component	0.36	0.74	0.49	0.48	0.39	0.62	0.48	0.45

698 outperform the smaller ones, both with and without the LLM4VFD framework. This is likely because
699 vulnerability fix detection is a complex task that benefits from the extra parameters providing
700 more code understanding capabilities. In addition, the explanations for LLMs in the vanilla setting
701 show significant variation, with F1-scores ranging widely from 0.18 to 0.50, especially for smaller
702 models. For example, within the Llama family, the vanilla Llama3.1-70B-Instruct model achieves an
703 F1-score of 0.47, whereas the smaller Llama3.1-8B-Instruct model only achieves an F1-score of 0.18.
704 However, after applying our LLM4VFD framework, we find that the improvement in performance
705 is more pronounced for smaller models than for larger ones. On average, the F1-scores see a relative
706 improvement of 64.0%, whereas larger models saw a more modest average increase of 14.4%. These
707 results indicate that LLM4VFD significantly enhances the performance of smaller models, making
708 them more competitive with larger models and narrowing the performance gap.

709 LLM4VFD outperforms PLM-based approach consistently in terms of MCC, F1-score, and recall.
710 For instance, LLM4VFD outperforms the best-performed PLM-based approach VulCurator by
711 68.1%–145.4% across LLMs in terms of F1-score. Our framework demonstrates performance gains
712 ranging from 12.7% to 105.6% over its vanilla variant, with smaller models generally benefiting
713 more compared to their larger counterparts.

715 6.2 RQ2 - Ablation analysis.

716 Overall, all three components in LLM4VFD make positive contributions to the overall
717 performance. Among them, Code Change Intention have a larger impact than Develop-
718 ment Artifact and Historical Vulnerability. Table 2 shows the results of our ablation analysis.
719 The removal of CCI component leads to a significant decrease in performance, particularly in terms
720 of Precision and F1-score. For Qwen2-72B, the Precision drops from 0.38 to 0.33, representing a
721 13.1% reduction. In Qwen2-7B, the decline is similar, with the Precision decreasing from 0.52 to 0.40,
722 an 15.4% reduction. These results emphasize the important role of CCI in improving the model’s
723 ability to capture vulnerability fix commits more accurately by reducing false positives, and the
724 improvement is especially notable in smaller models, where CCI helps balance Precision and Recall
725 effectively. DA component has a more similar effect on Qwen2-7B and Qwen2-72B. In Qwen2-72B,
726 the F1-score improves significantly from 0.48 to 0.51, marking an 6.3% increase, indicating that the
727 additional contextual information provided by DA substantially boosts both precision and recall.
728 Removing the HV component or DA components results in slight reductions in F1-score and MCC
729 for both models. However, we find that Qwen2-7B sees a more significant reduction in precision
730 without the HV components, dropping from 0.52 to 0.39, a 25% decrease.

731 The ablation study highlights the importance of each component in LLM4VFD, particularly CCI,
732 which demonstrates significant improvements in precision and F1-score, especially for smaller
733 models like Qwen2-7B. HV further enhances precision by reducing false positives, albeit with a
734 more moderate effect. Collectively, these components provide a comprehensive framework that
735

736 significantly enhances the accuracy and reliability of vulnerability fix detection, particularly by
 737 augmenting smaller models with crucial contextual and historical information.

738 All components in LLM4VFD make positive contributions to the performance. The impact from
 739 Code Change Intention is larger than Development Artifact and Historical Vulnerability.
 740

741 6.3 RQ3 - User study

742 **The analysis generated by LLM4VFD helps security experts to understand the intent**
 743 **of code changes and the vulnerabilities, which improves the efficiency of identifying**
 744 **vulnerability fixes.** Our result shows that in 95.0% (38 out of 40) of the cases, LLM4VFD's
 745 analysis can help with understanding the intent of commits (Question 1). A case like CVE-2024-
 746 29199 [48] has 47 changed files with 517 lines of addition and 226 lines of deletion. It is too long for
 747 participants to understand, however LLM4VFD's analysis helped participants to understand the
 748 change. In addition, participants think that LLM4VFD accurately describes the characteristics of
 749 the vulnerabilities in 90% of the commits (Question 2) and the root causes in 75.0% (30 out of 40)
 750 of the cases (Question 3). With LLM4VFD, participants can identify VF commit more efficiently.
 751 This is evidenced by the fact that in 80.0% (32 out of 40) of the commits, LLM4VFD improved
 752 their efficiency in identifying vulnerability fixes (Question 4). We investigate the 8 cases where
 753 participants did not think the analysis generated by LLM4VFD helped them to identify vulnerability
 754 fixes more efficiently, 7 of them are due to the vulnerability fixes are easy to identify even without
 755 the help of LLM4VFD. In one case of CVE-2024-28103 [47], the participant did not understand the
 756 vulnerability even with the help of LLM4VFD.
 757

758 When investigating the feedback we collected related to the quality of the analysis generated
 759 by LLM4VFD (Question 5), we find that in 75% of the cases, participants are satisfied with the
 760 overall quality of the analysis. There were some cases (e.g., CVE-2023-48014 [45] and CVE-2023-
 761 37061 [44]) where participants noted that the analysis contained redundant information, or lacked
 762 depth analysis for more complex vulnerabilities (e.g., CVE-2023-48657 [46]). This suggests a need
 763 for further refinement in handling edge cases and ensuring that the historical context provided is
 764 directly relevant and concise. Nevertheless, ours is the first work on this direction and more future
 765 research is encouraged.

766 Overall, the user study shows that the analysis generated by LLM4VFD helps security experts to
 767 understand the intent of code changes and the vulnerabilities, which improves the efficiency of
 768 identifying vulnerability fixes.
 769

770 6.4 RQ4 - Failure analysis

771 Table 3 summarizes the results of our failure analysis. To better understand the misclassification
 772 issues encountered by LLM4VFD, it is crucial to clarify the relationship between security fixes
 773 and vulnerability fixes. A *vulnerability fix* is a subset of *security fixes*. Security fixes encompass
 774 any code changes that enhance the overall security of the software, such as improvements to
 775 authentication mechanisms, encryption implementations, or adherence to security best practices,
 776 whereas vulnerability fixes aim to address security flaw, glitch, or weakness found in software
 777 code that could be exploited by an attacker [43]. Therefore, in our dataset, a security fix commit
 778 that does not aim to fix a vulnerability is considered as non-vulnerability fix (i.e., *non-vulnerability*
 779 *security fix*), although they are related to security fix.

780 The most mis-classification made by LLM4VFD due to its struggling to distinguish between
 781 vulnerability fix and a non-vulnerability security fix. For instance, in false positive (FP) cases,
 782 75.0% of FP in Qwen2-72B and 60.0% in Qwen2-7B occurred due to non-vulnerability security
 783 fixes are misclassified as vulnerability fixes. Vice versa, it led to 46.7% and 55.0% of the FN cases
 784

Table 3. Bad Case Analysis on Qwen2 Models

Type	Reason	72B	7B
FP	Potential unreported vulnerability fix	1	7
	Non-vulnerability security fix misclassified as vulnerability fix	45	36
	Non-functional Change	7	8
	Fail to realize the change is not related to security	4	5
	Mislead by retrieved similar vulnerability	2	3
	Others	1	1
FN	Vulnerability fix misclassified as non-vulnerability security fix	28	33
	Unable to identify security related code change	21	18
	Mislead by retrieved similar vulnerability	5	6
	Unable to pinpoint vulnerability related code change from long context	1	0
	Others	5	3

where vulnerability fixes are misclassified as non-vulnerability fixes in Qwen2-72B and Qwen2-7B. The result indicates that even if LLM4VFD can identify security-related commits, it sometimes fails to interpret their severity. Specifically, in the analysis of an FN case, the LLM explains with: “Although changes are related to security, I am uncertain if the changes fix a vulnerability”. One possible explanation is that the LLM is unable to differentiate between security related change and vulnerability fix when generating the intention for commits. Although, LLM4VFD struggles to distinguish between vulnerability fix and non-vulnerability security fix. Both vulnerability fix and non-vulnerability security fix are security fixes, and identifying them is beneficial.

Another frequent failure in FN cases is the inability to identify security related code change (32.5%). Another notable failure case is related to its use of historical vulnerability data through the HV component. The retrieval of irrelevant history vulnerability causes misclassification in both FP and FN cases. Specifically, in 4.2% of the FP cases, and 9.2% of the FN cases, the HV component retrieved vulnerabilities that seemed relevant but did not match the functional or contextual details of the current commit, and such information caused confusion to LLM, leading LLM4VFD to make incorrect assumptions on the commits. While our HV component improves the overall performance (as shown in our ablation study in Section 6.2), it is not perfect and can sometimes retrieve irrelevant historical vulnerabilities. Future studies can focus on this aspect by developing better retrieval techniques and improving the underlying vulnerability database.

We also find in a few FP cases where the commit is potentially fixing an unreported vulnerability. For example, in one of the checked cases, the commit is a merge of changes from a GHSA [20] pull request. GHSA is an independent security advisor that maintains its own vulnerability dataset and may have different assessments compared to NVD.

7 DISCUSSION

7.1 Potential Future Direction

Our research is the first study to explore vulnerability fix detection using LLMs. However, insights from our user study and failure case analysis indicate several areas for future exploration. First, incorporating more relevant project-specific information, such as security policies and historical commit patterns, could help LLMs better distinguish vulnerability fixes among security-related commits. Second, the prompt templates guiding the LLM could be refined to more effectively leverage context and produce more insightful analysis results for security experts. Finally, the current RAG design is basic, and incorporating advanced techniques — such as dynamic retrieval

strategies or reranking mechanisms — could improve the precision and relevance of retrieved historical vulnerabilities.

7.2 Threats to Validity

7.2.1 Internal Validity. Due to the extremely imbalanced nature of vulnerability fixes and non-vulnerability fixes, when our approach is applied to monitor real-world projects there may be a large amount of false negatives. Based on feedback from our industry partners, the false positive rate was considered acceptable, as it requires only a small amount of additional human effort to review the results. Previous studies suggest that LLM settings, such as temperature, have an impact on outputs [53, 57]. In this study, we use the default settings for the studied LLMs for all RQs.

7.2.2 External Validity. Threats to external validity relate to the generalizability of our approach. Since LLM4VFD is a framework, and its implementation relies on LLMs. The effectiveness of LLM4VFD also depends on the performance and capabilities of the LLMs. To mitigate this threat, we evaluated LLM4VFD using several well-known and state-of-the-art LLMs, including both large models (70B–236B parameters) and smaller models (7B–16B parameters), and our results show that with all models, LLM4VFD outperforms SOTA baselines. Future research is encouraged to investigate the performance with more LLMs using our framework.

8 CONCLUSION

In this paper, we propose LLM4VFD, a novel framework that leverages Large Language Models (LLMs) enhanced with Chain-of-Thought reasoning and In-Context Learning to improve the accuracy of vulnerability fix detection by integrating information from multiple sources (e.g., related development artifacts and historical vulnerabilities). More importantly, on top of the prediction, LLM4VFD also provides a detailed explanation in analysis to help security experts understand the rationale behind the decision. Experimental results demonstrate that LLM4VFD outperforms PLM-based approach consistently in terms of MCC, F1-score, and recall. For instance, LLM4VFD outperforms the best-performed PLM-based approach VulCurator by 68.1% - 145.4% in terms of F1-score when using different LLMs as the base model. Furthermore, we conducted a user study involving security experts to assess the effectiveness of the analysis generated by LLM4VFD in aiding vulnerability fix identification. The feedback from the participants demonstrates that the analysis provided by LLM4VFD improves the efficiency of identifying vulnerability fixes.

DATA AVAILABILITY

We make all the datasets and code used in this study openly available in our replication package [1].

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