

§1 Logic and proof.

proposition (true/false)

§1 Logic and proof.

converse: $q \rightarrow p$
contrapositive: $\neg q \rightarrow \neg p$
inverse: $\neg p \rightarrow \neg q$

Logical connectives:

Negation. Conjunction (\wedge) Disjunction (\vee) Exclusive or (\oplus) Implication (\rightarrow)
Biconditional (\leftrightarrow) 异或, 相同为0, 相异为1 ($T \rightarrow F : F$, $(p \rightarrow q)$)

Tautology (永真) Contradiction Contingency

Logically equivalent: $p \leftrightarrow q$ is a tautology.
denoted by $p \equiv q$ or $p \iff q$

propositional Logic \rightarrow

predicate Logic \rightarrow

Constant: "I", "SusTech"

Variable: x, y

predicate: ~~S~~ student(x)

Quantifiers:

Universal: \forall 最高优先级

Existential: \exists

$\forall x (At(x, SUSTech) \rightarrow Smart(x))$

$\forall x (At(x, SUSTech) \wedge Smart(x))$

$\forall x \exists y L(x, y) \neq \exists y \forall x L(x, y)$

Everybody loves somebody There is someone who is loved by everyone
若 quantifiers 相同则不混淆。

Rules of Inference:

$\frac{P \rightarrow q}{P} \text{modus ponens}$	$\frac{P \rightarrow q}{\neg P} \text{modus tollens}$	$\frac{P \rightarrow q}{q \rightarrow r} \text{hypothetical syllogism}$
$\frac{P \vee q}{\neg P} \text{disjunctive syllogism}$	$\frac{P}{P \wedge q} \text{conjunction}$	$\frac{P}{\neg P \vee r} \text{addition}$

$\forall e: UI \quad \forall i: UG \quad \exists e: EI \quad \exists i: EG$

Methods to prove.

- contrapositive
- contradiction
- by cases
- equivalence.

De Morgan's Laws:

$\neg(p \vee q) \equiv \neg p \wedge \neg q$

$\neg(p \wedge q) \equiv \neg p \vee \neg q$

~~Identity Laws~~

~~Associative Laws~~

~~$(p \vee q) \vee r \equiv p \vee (q \vee r)$~~ Distribution laws.

$p \vee (q \wedge r) \equiv (p \vee q) \wedge (p \vee r)$

$p \wedge (q \vee r) \equiv (p \wedge q) \vee (p \wedge r)$

* $p \rightarrow q \equiv \neg p \vee q$

$\neg \exists x P(x) \equiv \forall x \neg P(x)$

$\neg \forall x P(x) \equiv \exists x \neg P(x)$

§2 Set, Functions, Sequence, Sum and Matrices

Set: listing the elements. (unordered collection of objects)

$$A \subseteq B : \forall x (x \in A \rightarrow x \in B)$$

Cardinality: the number of elements in S
infinite set

powerset: $\mathcal{P}(S)$. The set of all subset of set S .
 $|S| = n$, then $|\mathcal{P}(S)| = 2^n$

Tuple: (a_1, a_2, \dots, a_n) ordered

Cartesian Product: $A \times B = \{(a, b) | a \in A \wedge b \in B\}$

$$A_1 \times A_2 \times \dots \times A_n = \{(a_1, a_2, \dots, a_n) | a_i \in A_i \text{ for } i=1, 2, \dots, n\}$$

$$|A \times B| = |A| \times |B|$$

Set Operation: $A \cap B, A \cup B, \bar{A} (A^c), A - B$

disjoint: $A \cap B = \emptyset$ $|A \cup B| = |A| + |B| - |A \cap B|$

$$\overline{A \cap B} = \bar{A} \cup \bar{B} \quad (\text{De Morgan's laws})$$

Function: $f: A \rightarrow B$ exactly one element of B to each element of A
domain codomain

$f(a) = b$ range of f : the set of all images of elements of A
preimage image

Injective (1-1)

Surjective (onto)

bijjective



If $f(x) = f(y)$ implies $x = y$ for every $b \in B$, there is
 $a \in A$ s.t. $f(a) = b$

Inverse: $f^{-1}(b) = a$ when $f(a) = b$. f should be bijective

Composition: $(f \circ g)(x) = f(g(x))$

Sequence: a function from a subset of integers to a set S

Summation:

$$a, ar, ar^2, \dots, S = \frac{a(r^{n+1} - 1)}{r - 1}$$

$$\sum_{k=0}^n ar^k (r \neq 0) = \frac{ar^{n+1} - a}{r - 1}, r \neq 1 \quad \sum_{k=1}^n k = \frac{n(n+1)}{2} \quad \sum_{k=1}^n k^2 = \frac{n(n+1)(2n+1)}{6}$$

$$\sum_{k=1}^n k^3 = \frac{n^2(n+1)^2}{4} \quad \sum_{k=0}^{\infty} x^k, |x| < 1 = \frac{1}{1-x} \quad \sum_{k=1}^{\infty} kx^{k-1}, |x| < 1 = \frac{1}{(1-x)^2}$$

Countable: finite or have same cardinality as \mathbb{Z}^+ $|S| = \aleph_0$

\mathbb{Z}, \mathbb{Q}

Schröder-Bernstein Theorem:

Uncountable:

$$|A| \leq |B| \text{ and } |B| \leq |A| \Rightarrow |A| = |B|$$

$\mathbb{R}, \mathcal{P}(\mathbb{N})$

§3 Algorithms

Big-O Notation: $f(n) = O(g(n))$, if there exist some positive constants C and x_0 s.t. $|f(n)| \leq C|g(n)|$ when $n > x_0$.
 e.g. $\frac{1}{10}n^2$, $\log n + 10000$ are all $O(n^2)$ upper bound.

$1, \log n, n, n \log n, n^2, 2^n, n!, \dots$

Big-Omega Notation: $f(n) = \Omega(g(n))$, if there exist some positive constants C and x_0 s.t. $|f(n)| \geq C|g(n)|$ when $n > x_0$.
 $f(x)$ is $\Omega(g(x)) \iff g(x)$ is $O(f(x))$ lower bound.

Big-Theta Notation: $f(n) = \Theta(g(n))$ if $f(n) = O(g(n))$ and $g(n) = O(f(n))$

Time complexity: The number of machine operations needed in an algorithm.

Space complexity: The amount of memory needed

多项式时间: $O(n^k)$

非 --- : $O(n!), O(2^n), \dots$

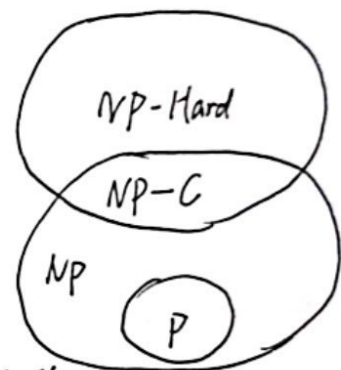
P: 多项式时间复杂度多项式

NP: 多项式时间内验证.

NP-C: 可多项式时间内验证, 但不能多项式时间内求解.

$P = NP?$

如果找到一算法多项式时间内解决 NP, 那么所有 NP 问题也能多项式时间内解决.



§4 Number Theory

Division: $a|b$ if $b=ac$

- prop: (i) if $a|b$ and $a|c$, then $a|(b+c)$
 (ii) if $a|b$ then $a|bc$ for integer c
 (iii) if $a|b$ and $b|c$, then $a|c$

Congruence: $a \equiv b \pmod{m}$ ~~if m divides $a-b$~~ (def)
 if and only if $a \bmod m = b \bmod m$

• if $a \equiv b \pmod{m}$ and $c \equiv d \pmod{m}$, then $a+c \equiv b+d \pmod{m}$ and $ac \equiv bd \pmod{m}$

• $a \equiv b \pmod{m}$ if and only if $a = b + km$

• if $a \equiv b \pmod{m}$, then

$$c \cdot a \equiv c \cdot b \pmod{m}$$

$$c+a \equiv c+b \pmod{m}$$

$$(a+b) \bmod m = ((a \bmod m) + (b \bmod m)) \bmod m$$

$$ab \bmod m = ((a \bmod m)(b \bmod m)) \bmod m$$

$$+_m: a+_m b = (a+b) \bmod m \quad \mathbb{Z}_m: \{0, 1, 2, \dots, m-1\}$$

$$\cdot_m: a \cdot_m b = ab \bmod m \quad \text{满足交换律, 结合律, 分配律}$$

$$(10101111)_2 = (537)_8$$

Primes: dividible only by 1 and itself

composite (合数): greater than 1 and not prime.

Fundamental Theorem of Arithmetic: Every integer greater than 1 can be uniquely as a prime or as the product of two or more primes.

• If n is composite, then n has a prime divisor less than or equal to \sqrt{n}

$$a = p_1^{a_1} p_2^{a_2} \dots p_n^{a_n}, b = p_1^{b_1} p_2^{b_2} \dots p_n^{b_n}, \gcd(a, b) = p_1^{\min(a_1, b_1)} p_2^{\min(a_2, b_2)} \dots p_n^{\min(a_n, b_n)}$$

$$\text{lcm}(a, b) = p_1^{\max(a_1, b_1)} p_2^{\max(a_2, b_2)} \dots p_n^{\max(a_n, b_n)}$$

Euclidean Algorithm: Let $a = bq + r$, then $\gcd(a, b) = \gcd(b, r)$

Bezout's Theorem: a, b positive integers, $\exists s, t$ s.t. $\gcd(a, b) = sa + tb$

$$\begin{aligned} \text{Example: } 503 &= 1 \cdot 286 + 217 & 1 &= 10 - 1 \cdot 9 \\ 286 &= 1 \cdot 217 + 69 & &= 7 \cdot 10 - 1 \cdot 69 \\ 217 &= 3 \cdot 69 + 10 & &= 7 \cdot 217 - 22 \cdot 69 \\ 69 &= 6 \cdot 10 + 9 & &= 29 \cdot 217 - 22 \cdot 286 \\ 10 &= 1 \cdot 9 + 1(\gcd) & &= 29 \cdot 503 - 51 \cdot 286 \end{aligned}$$

Cor: $\gcd(a, b) = 1$ and $a|bc$, then $a|c$

If p is prime and $p|a_1 a_2 \dots a_n$, then $p|a_i$ for some i

If $ac \equiv bc \pmod{m}$ and $\gcd(c, m) = 1$, then $a \equiv b \pmod{m}$

Solving Linear Congruences.

$$ax \equiv b \pmod{m}$$

$\bar{a}a \equiv 1 \pmod{m}$: inverse of a modulo m

~~for~~ $ax \equiv b \pmod{m}$

$$\rightarrow \bar{a}ax \equiv \bar{a}b \pmod{m}$$

$$\rightarrow x \equiv \bar{a}b \pmod{m}$$

If $\gcd(a, m) = 1$, then set $tm \equiv 1 \pmod{m}$

Since $tm \equiv 0 \pmod{m}$, then $sa \equiv 1 \pmod{m}$
 s is the inverse.

The Chinese Remainder Theorem.

Let m_1, m_2, \dots, m_n be pairwise relatively prime positive integers greater than 1

$$x \equiv a_1 \pmod{m_1}$$

$$x \equiv a_2 \pmod{m_2}$$

\vdots

$$x \equiv a_n \pmod{m_n}$$

has a unique solution modulo $m = m_1 m_2 \dots m_n$

Let $M_k = m/m_k$, since $\gcd(m_k, M_k) = 1$, there is an integer y_k s.t. $M_k y_k \equiv 1 \pmod{m_k}$

let $x = a_1 M_1 y_1 + a_2 M_2 y_2 + \dots + a_n M_n y_n$, then x is a solution

Example:

$$x \equiv 2 \pmod{3}$$

$$x \equiv 3 \pmod{5}$$

$$x \equiv 5 \pmod{7}$$

$$m = 3 \cdot 5 \cdot 7 = 105, M_1 = m/3 = 35, M_2 = m/5 = 21, M_3 = m/7 = 15$$

$$35 \cdot 2 \equiv 1 \pmod{3} \quad y_1 = 2$$

$$21 \equiv 1 \pmod{5} \quad y_2 = 1$$

$$15 \equiv 1 \pmod{7} \quad y_3 = 1$$

$$x = 2 \cdot 35 \cdot 2 + 3 \cdot 21 \cdot 1 + 5 \cdot 15 \cdot 1 \equiv 233 \equiv 23 \pmod{105}$$

Application:

pseudorandom number generators: $x_{n+1} = (ax_n + c) \pmod{m}$

Hash function.

§ 5 Induction and Recursion.

Weak Principle of Mathematical Induction

(a) If the statement $P(b)$ is true

(b) the statement $P(n-1) \rightarrow P(n)$ is true for all $n > b$, then $P(n)$ is true for all integers $n \geq b$

— Basic Step, Inductive Hypothesis

— Inductive Step, Inductive Conclusion.

$$P(b) \wedge P(b+1) \wedge \dots \wedge P(n-1) \rightarrow P(n)$$

(Strong)

Recursion: \rightarrow inductive analysis

~~Recurrences~~: or use recurrences (递归)

Recurrence:

$$\Delta T(n) = rT(n-1) + a, T(1) = b$$

$$T(n) = r^n b + a \sum_{i=0}^{n-1} r^i = r^n b + a \frac{1-r^n}{1-r} \quad (\text{by induction})$$

First-Order Linear Recurrences:

$$T(n) = f(n)T(n-1) + g(n) \quad \begin{cases} \text{first-order because only depend on } T(n-1) \\ \text{linear because } T(n-1) \text{ only appears to the first power} \end{cases}$$

$$\text{When } f(n) = r, T(n) = r^n T(1) + \sum_{i=1}^n r^{n-i} g(i) \quad (\text{by induction})$$

Divide and conquer algorithms.

$$T(n) = \begin{cases} \text{something given.} & \text{if } n \leq n_0 \\ r \cdot T(n/m) + a & \text{if } n > n_0 \end{cases}$$

$$\text{Binary search example: } T(n) = \begin{cases} 1 & \text{if } n=1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases} \quad (\text{assume } n \text{ is a power of } 2)$$

Iterating Recurrences: (迭代递推)

$$\text{examples: } T(n) = \begin{cases} 1 & \text{if } n=1 \\ T(n/2) + 1 & \text{if } n \geq 2 \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + 1$$

$$= T\left(\frac{n}{2^2}\right) + 2$$

$$\dots$$

$$= T\left(\frac{n}{2^{\log_2 n}}\right) + \log_2 n = 1 + \log_2 n$$

$$T(n) = \begin{cases} 1 & \text{if } n=1 \\ T(n/2) + n & \text{if } n \geq 2 \end{cases}$$

$$T(n) = T\left(\frac{n}{2}\right) + n$$

$$= T\left(\frac{n}{2^2}\right) + \frac{n}{2} + n$$

$$\dots$$

$$= T\left(\frac{n}{2^{\log_2 n}}\right) + \frac{n}{2^{\log_2 n-1}} + \dots + \frac{n}{2} + n$$

$$= 1 + 2 + \dots + \frac{n}{2} + n = \Theta(n)$$

$$T(n) = \begin{cases} 1 & \text{if } n=1 \\ 3T(n/3) + n & \text{if } n \geq 2 \end{cases}$$

$$T(n) = 3T\left(\frac{n}{3}\right) + n$$

$$= 3^2 T\left(\frac{n}{3^2}\right) + 2n$$

$$\dots$$

$$= 3^{\log_3 n} T\left(\frac{n}{3^{\log_3 n}}\right) + n \log_3 n = n + n \log_3 n$$

Th. $T(n) = aT(n/2) + n$, ~~a~~ a is positive integer, $T(1)$ is non negative

1. If $a < 2$, then $T(n) = \Theta(n)$

2. If $a = 2$, then $T(n) = \Theta(n \log n)$

3. If $a > 2$, then $T(n) = \Theta(n^{\log_2 a})$

★ Th. The Master Theorem.

$$T(n) = aT(n/b) + cn^d$$

If $a < b^d$, then $T(n) = \Theta(n^d)$

If $a = b^d$, then $T(n) = \Theta(n^d \log n)$

If $a > b^d$, then $T(n) = \Theta(n^{\log_b a})$

§ 6 Counting.

The product Rule: A count decomposes into a sequence of dependent counts.

$$n = n_1 \cdot n_2 \cdots n_k$$

The Sum Rule: A count decomposes into a set of independent counts.

$$n = n_1 + n_2 + \cdots + n_k$$

use tree diagrams

Pigeonhole principle (鸽巢原理): N objects into k bins, there at least one bin contain at least $\lceil N/k \rceil$ objects

Inclusion-Exclusion Principle: $|\bigcup_{i=1}^n E_i| = \sum_{k=1}^n (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \cdots < i_k \leq n} |E_{i_1} \cap E_{i_2} \cap \cdots \cap E_{i_k}|$ (proof: P556)

Permutation: $P(n, k) = n(n-1)(n-2) \cdots (n-k+1) = \frac{n!}{(n-k)!}$

$$C(n, k) = \frac{n!}{k!(n-k)!} = \binom{n}{k} \quad \binom{n}{k} = \binom{n}{n-k}$$

$$\sum_{i=0}^n \binom{n}{i} = 2^n \quad \binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

The Binomial Theorem:

$$(x+y)^n = \sum_{i=0}^n \binom{n}{i} x^{n-i} y^i$$

§8 Advanced Counting Techniques

Solving Linear Recurrence Relations.

Def: $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$, $c_1, \dots, c_k \in \mathbb{R}$, $c_k \neq 0$

linear,
degree k ,
all terms are multiples
of a_j 's
constant coefficients

Consider Degree 2:

$$a_n = c_1 a_{n-1} + c_2 a_{n-2}$$

Characteristic equation: $r^2 - c_1 r - c_2 = 0$

If it has 2 roots $r_1 \neq r_2$, then $a_n = \alpha_1 r_1^n + \alpha_2 r_2^n$ is a solution of the recurrence relation

If it has only 1 root, then $a_n = \alpha_1 r_0^n + \alpha_2 n r_0^n$

$$a_n = \sum_{i=1}^k c_i a_{n-i}$$

$$OE: r^k - \sum_{i=1}^k c_i r^{k-i} = 0$$

If it has k distinct roots, then $a_n = \sum_{i=1}^k \alpha_i r_i^n$

根数, $m_1 + \dots + m_t = k$

If it has t roots r_1, r_2, \dots, r_t with multiplicities m_1, \dots, m_t

$$then \ a_n = \sum_{i=1}^t \left(\sum_{j=0}^{m_i-1} \alpha_{i,j} n^j \right) r_i^n$$

Linear Nonhomogeneous Recurrence Relations

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + F(n)$$

associated ~ relation.

depend only on n

solution $a_n = p(n) + h(n)$

calculate $p(n)$, 代入原式, 只考虑和 n 有关的项及常数项

Generating Function:

$$G(x) = a_0 + a_1 x + \dots + a_n x^n$$

$$Th \ let \ f(x) = \sum_{k=0}^{\infty} a_k x^k, \ g(x) = \sum_{k=0}^{\infty} b_k x^k$$

$$then \ f(x) + g(x) = \sum_{k=0}^{\infty} (a_k + b_k) x^k$$

$$f(x)g(x) = \sum_{k=0}^{\infty} \left(\sum_{j=0}^k a_j b_{k-j} \right) x^k$$

in Counting

$(x^2 + x^3 + x^4)^3 \leftarrow$ coefficient of x^8

$$(1+x)^n = \sum_{k=0}^n C(n,k) x^k$$

$$(1+ax)^n = \sum_{k=0}^n C(n,k) a^k x^k$$

$$(1+x^r)^n = \sum_{k=0}^n C(n,k) x^{rk}$$

$$\frac{1-x^{n+1}}{1-x} = \sum_{k=0}^n x^k = 1 + x + x^2 + \dots + x^n$$

$$\frac{1}{1-x} = \sum_{k=0}^{\infty} x^k = 1 + x + x^2 + \dots$$

$$\frac{1}{1-ax} = \sum_{k=0}^{\infty} a^k x^k = 1 + ax + a^2 x^2 + \dots$$

$$\frac{1}{1-x^r} = \sum_{k=0}^{\infty} x^{rk} = 1 + x^r + x^{2r} + \dots$$

$$\frac{1}{(1-x)^2} = \sum_{k=0}^{\infty} (k+1) x^k = 1 + 2x + 3x^2 + \dots$$

$$\frac{1}{(1-x)^n} = \sum_{k=0}^{\infty} C(n+k-1, k) x^k$$

$$\frac{1}{(1+x)^n} = \sum_{k=0}^{\infty} C(n+k-1, k) (-1)^k x^k$$

$$\frac{1}{(1-ax)^n} = \sum_{k=0}^{\infty} C(n+k-1, k) a^k x^k$$

$$e^x = \sum_{k=0}^{\infty} \frac{x^k}{k!} = 1 + x + \frac{x^2}{2!} + \dots$$

$$\ln(1+x) = \sum_{k=0}^{\infty} \frac{(-1)^{k+1} x^k}{k} = x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4} + \dots$$

§9 Relations

Binary Relation. (from A to B is a subset of a Cartesian Product $A \times B$) ($R \subseteq A \times B$)

$$a R b : (a, b) \in R \quad a \not R b : (a, b) \notin R$$

The number of relation on $|A|=n$ is 2^{n^2}

properties:

Reflexive: $(a, a) \in R$ for every element $a \in A$

Irreflexive: $(a, a) \notin R$ for every element $a \in A$

Symmetric: $(b, a) \in R$ whenever $(a, b) \in R$ for all $a, b \in A$

Antisymmetric: $(b, a) \in R$ and $(a, b) \in R$ implies $a = b$ for all $a, b \in A$

Transitive: $(a, b) \in R$ and $(b, c) \in R$ implies $(a, c) \in R$ for all $a, b, c \in A$

Composite:

$$R = \{(1, e), (1, 2), (3, 1), (2, 2)\}$$

$$S = \{(a, b), (1, a), (2, b)\}$$

$$S \circ R = \{(1, b), (3, a), (3, b)\}$$

$$M_R \circ M_S = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$$

power R^n :

$$R^1 = R, R^{n+1} = R^n \circ R$$

Transitive $\Leftrightarrow R^n \subseteq R$ for $n=1, 2, 3, \dots$

The number of reflexive relation on $|A|=n$ is $2^{n(n-1)}$

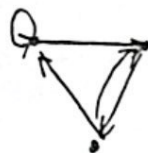
n-ary Relation:

$$R \subseteq A_1 \times A_2 \times \dots \times A_n$$

Selection: $C: A \rightarrow \{T, F\}$
 $\{A_1, A_2, \dots, A_n\}$

projection: $P_{i_1 \dots i_m}: A \rightarrow A_{i_1} \times \dots \times A_{i_m}$
 $1 \leq i_k \leq n$ for all $1 \leq k \leq m$

reflexive closure
 symmetric
 transitive



Finding a transitive closure corresponds to finding all pairs of elements that are connected with a directed path

The connectivity relation R^* consists of all pairs (a, b) , st. there is a path between a and b in R . $R^* = \bigcup_{k=1}^{\infty} R^k$

$$M_{R^*} = M_R \vee M_R^{[2]} \vee M_R^{[3]} \vee \dots \vee M_R^{[n]}$$

Warshall:

- ① 确定行
 - ② 确定列
 - ③ 变数值
- } 循环 k 次

$$W_0 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

$$W_1 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

$$W_3 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 1 \end{pmatrix}$$

$$W_4 = \begin{pmatrix} 1 & 0 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 0 & 1 & 1 \end{pmatrix}$$

在计算 W_k 时

- 第 k 列中, 值为 1 的行
- 第 k 行中, 值为 1 的列
- 交叉处, 值为 0 的变 1

for $k := 1$ to n

for $i := 1$ to n

for $j := 1$ to n

$$W_{ij} := W_{ij} \vee (W_{ik} \wedge W_{kj})$$

Equivalence Relation.

- reflexive
- Symmetric
- transitive

Equivalence class

$$[a]_R = \{b : (a, b) \in R\} \quad R \text{ 是等价关系}$$

The union of all the equivalence classes of R is A .

$$A = \bigcup_{a \in A} [a]_R$$

Partial Ordering.

- reflexive
- antisymmetric.
- transitive

poset (S, R) : partial ordered set.

Comparability (两个元素之间)

Def: The elements a and b of a poset (S, \leq) are comparable ~~iff~~ if either $a \leq b$ or $b \leq a$.

e.g. $S = \{1, 2, 3, 4, 5, 6\}$. $R: "$ \leq $"$

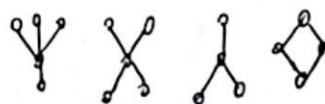
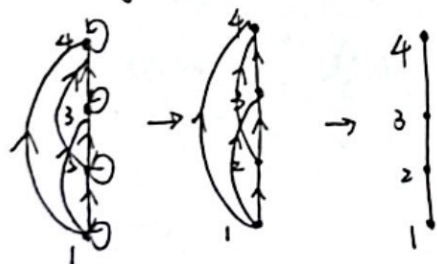
2, 4 comparable, 3, 5 incomparable

Total Ordering: (S, \leq) is a poset and every two elements of S are comparable (a chain)

Lexicographic Ordering. given $(A_1, \leq_1), (A_2, \leq_2)$

on $A_1 \times A_2$ i.e. $(a_1, a_2) \leq (b_1, b_2)$ if $a_1 < b_1$ or if $a_1 = b_1$ and $a_2 \leq b_2$ (字典序)

Hasse Diagram (何塞图): 去除 reflexive 和 transitive 的边



maximal (minimal): a in poset (S, \leq) if there is no $b \in S$ s.t. $a < b$ ($b < a$)

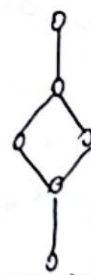
upper bound of A : u if $a \leq u$ for all $a \in A$

least upper bound: less than any upper bound.

Topological Sorting:

Given a partial ordering R , find a total ordering \leq s.t.

$a \leq b$ whenever $a R b$, \leq is said compatible with R



Lattice

every pair has least upper bound and greatest lower bound.

§ 10 Graph

vertices V 顶点

edges E 边

simple graph: no multiple edges and no loop to itself

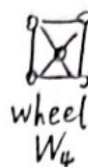
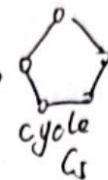
Complete graph: K_n

Neighborhood $N(v)$: The set of neighbors of a vertex v .

degree $\deg(v)$: in-degree $\deg^-(v)$ out-degree $\deg^+(v)$

无向图有 m 条边, $2m = \sum \deg(v)$

有向图 $\sum \deg^-(v) = \sum \deg^+(v)$



Bipartite Graph (二分图): every edge connects a vertex in V_1 and a vertex in V_2 还可染色

完全二分图: 最大匹配: 最多边数的匹配方法

Complete matching from V_1 to V_2 : $|V_1| = |V_2|$

Hall's Marriage: has complete matching from V_1 to V_2

$\Leftrightarrow |N(A)| \geq |A|$ for all subsets A of V_1

adjacency list: Adjacency matrices: Incidence matrices:

a	bce
b	a
c	ade
d	ce

无重边

$$\begin{bmatrix} 0 & 1 & 1 & 2 \\ 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 \\ 2 & 0 & 0 & 0 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & 0 \end{bmatrix}$$

- 列表示一条边

Isomorphism 同构: 存在双射, a, b 连边 $\Leftrightarrow f(a), f(b)$ 连边.

path: a sequence of edges.

circuit: 起点终点同一点.

simple: 不重复经过顶点

连通分量: ~~连通~~ G 的连通子图, 但不是其他连通子图的真子图.

connected

无向图: 任意点对间有 path

有向图:

strongly connected: $a \rightarrow b, b \rightarrow a$.

Weakly: 转为无向图后连通

Counting Paths.

A^r (r 是长数)

割点, 割边: 破坏图的连通性

Euler Path: a simple ^{path} circuit containing every edge of G \Leftrightarrow 所有 \deg 是奇数. (circuit) \Leftrightarrow degree 都是偶数

Hamilton Path: ... containing every vertex exactly once (circuit)

G simple graph $n \geq 3$ $\begin{cases} \deg(u) \geq n/2 \\ \text{or} \\ \deg(u) + \deg(v) \geq n \text{ (} u, v \text{ nonadjacent)} \end{cases}$ has a Hamilton circuit.

Dijkstra.

(1) $d(v_0) = 0, d(v) = \infty, S = \emptyset$

(2) ~~while~~ while $S \neq V$

let $v \notin S$ be the vertex with the least $d(v)$

$S = S \cup \{v\}$

for each $u \notin S, d(u) = \min\{d(u), d(v) + \alpha(u, v)\}$

$O(n^2)$

Planar

Euler's Formula : $r = e - v + 2$

The degree of a ~~region~~ region : number of edges on the boundary of this region.
(每条边被计算两次)

Cor 1 $|e \leq 3v - 6|$ (connected planar simple graph 中, 且 $v \geq 3$)

Proof: $2e = \sum_{\text{all regions } R} \deg(R) \geq 3r$ The degree of regions is at least 3.

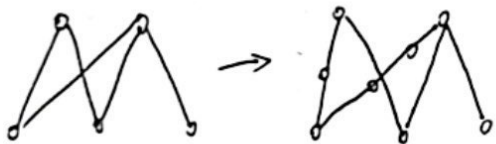
Cor 2. connected planar simple graph

G has a vertex of degree not exceeding 5.

Cor 3. connected planar simple graph
 $v \geq 3$, no circuits of length 3

$|e \leq 2v - 4|$

Kuratowski's Theorem.



Four Color Theorem.

8.11 Tree

Def: A tree is a connected undirected graph with no simple circuits.

Th. An undirected graph is a tree if and only if there is a unique simple path between any two of its vertices.

Rooted tree: have root, directed!

Th. A full m -ary tree with i internal vertices has $n = mi + 1$ vertices.
level (对一点, 路径长度) height: the maximum of level.

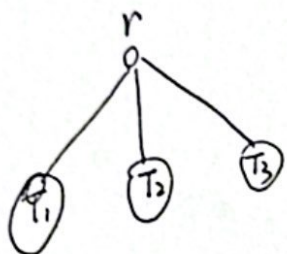
Def. A rooted m -ary tree of height h is balanced if all leaves are at levels h or $h-1$.

Th. There are at most m^h leaves in an m -ary tree of height h .

Tree Traversal
pre order:

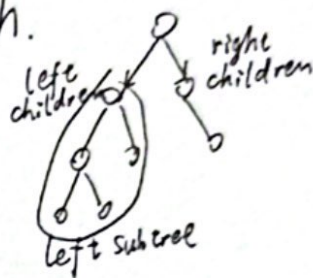
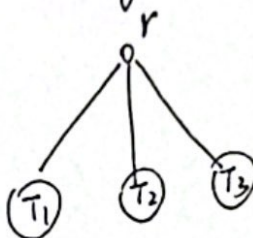
r, T_1, T_2, T_3

post order: T_1, T_2, T_3, r



In order

T_1, r, T_2, T_3



Polish notation: 先序.
(Prefix)

Spanning Tree: contain every vertex
graph connected \Leftrightarrow has a spanning tree.

DFS BFS

Prim's Algorithm

每次找一条与已有树相连的最短边. $e \log v$
开始: 一条最短的边

Kruskal's Algorithm.

边按权排序, 加入最小边后看是否会生成环 $e \log e$

树的应用:

1. 二叉搜索树.

顶点的关键字大于左子树全部, 小于右子树全部

2. 决策树

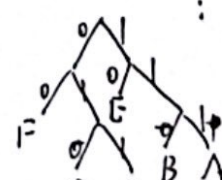
根树对可以建模一系列决策, 每个内点对应一次决策, 问题是问对应这个根树通向叶子节点的通路.

3. 前缀码

任何一个字符的编码都不能是其父字符编码的前缀

频率: A: 0.18 B: 0.08 C: 0.12 D: 0.15 E: 0.20 F: 0.35

B A C D E F
0.18 0.12 0.15 0.20 0.35



频率大故前面. 类似