

Reconciling High-Level Optimizations and Low-Level Code in LLVM



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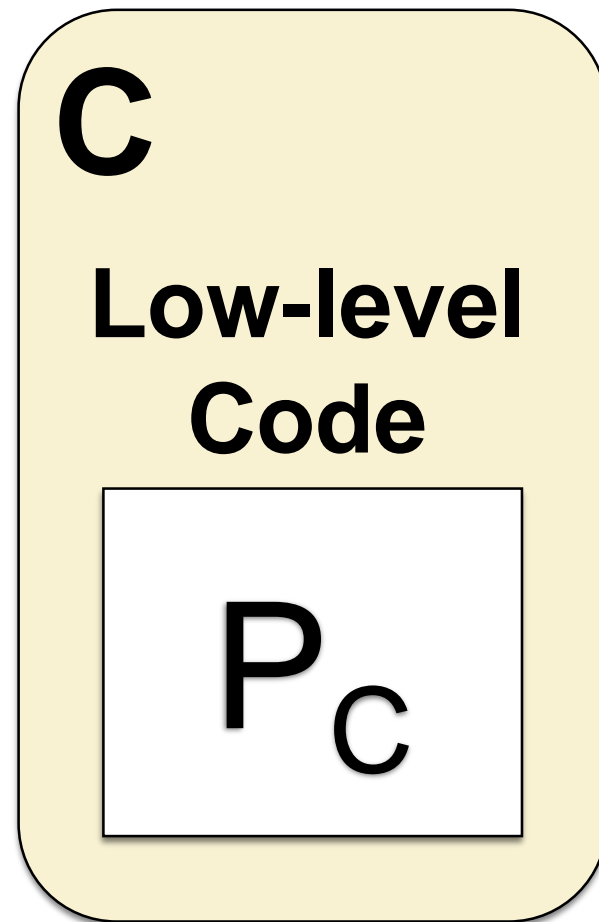
Zhengyang Liu
John Regehr



Microsoft Research

Nuno P. Lopes

Overview



Overview

Allows access via
int-to-ptr cast

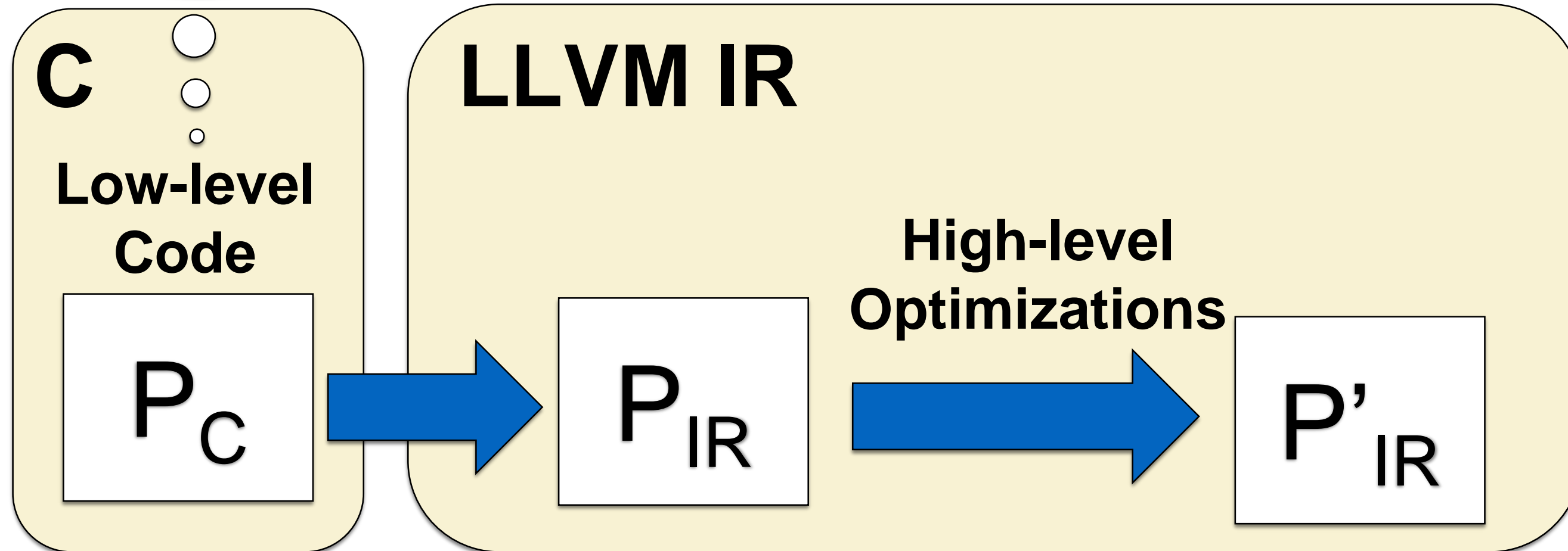
C

**Low-level
Code**

P_C

Overview

Allows access via
int-to-ptr cast



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Allows access via
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Assumes no one can
access my local vars

C

Low-level
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P_C

LLVM IR

P_{IR}

High-level
Optimizations

P'_{IR}

Overview

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LLVM IR

P_{IR}

High-level
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Finding a Good Memory Model

- A memory model specifies the behavior of memory operations
- As a result, it determines
 1. Which low-level programs are valid
 2. Which high-level assumptions are valid
- A good memory model should make valid both
 1. Common low-level programs
 2. Common high-level assumptions

Memory \neq Byte Array

```
char p[1],q[1] = {0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(p+1) = 10;  
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constant
prop.

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Memory \neq Byte Array

We use C syntax for LLVM IR code for readability

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0x0

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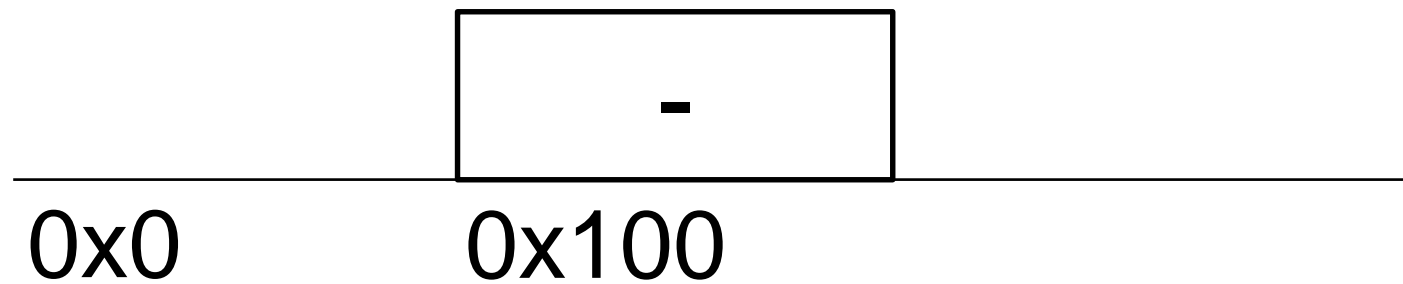


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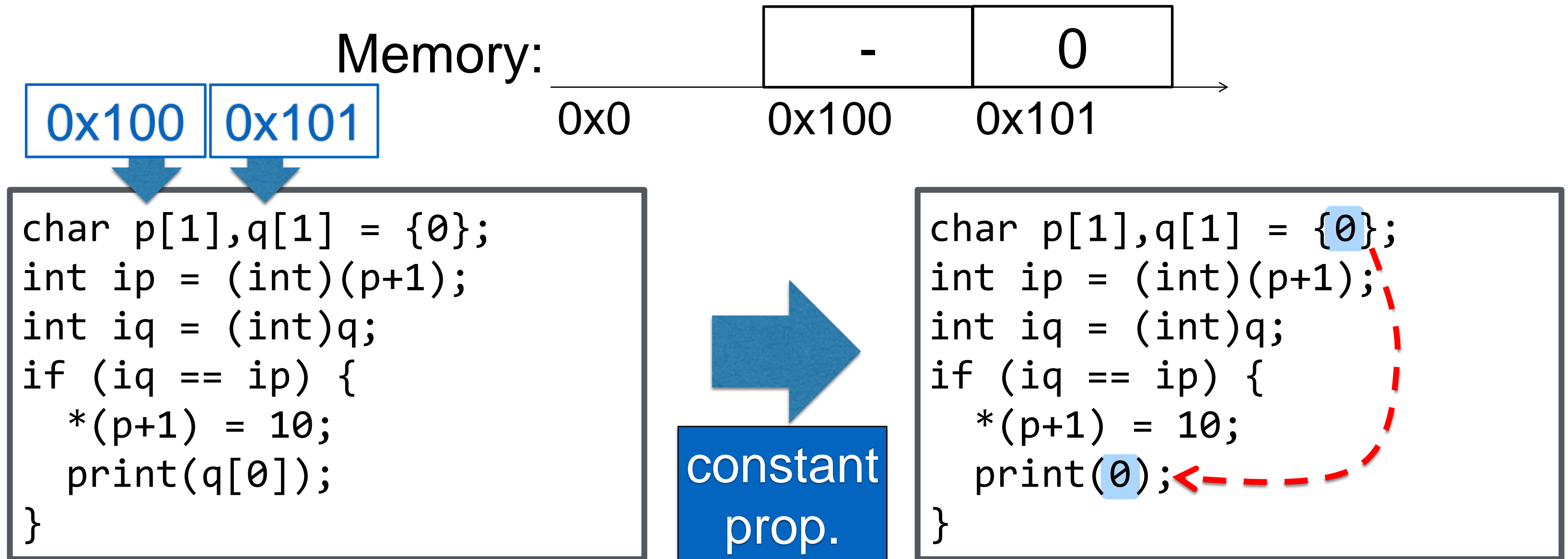
0x100

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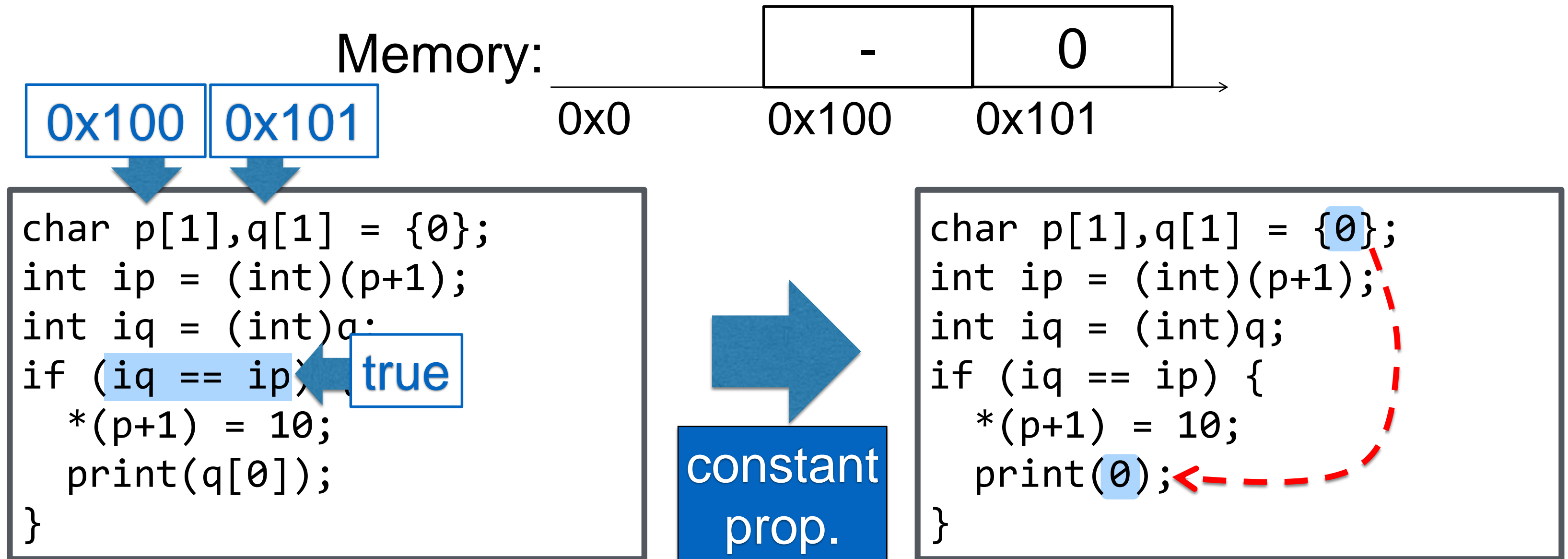
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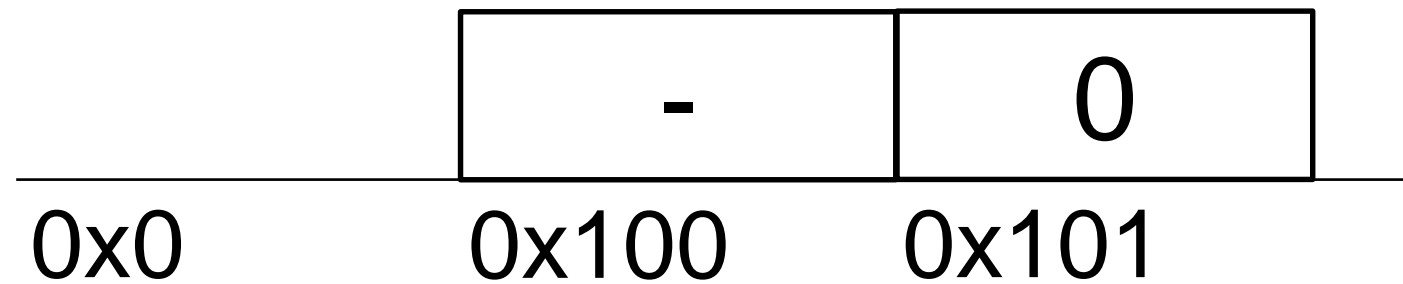


Memory \neq Byte Array



Memory \neq Byte Array

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0x101

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0x101

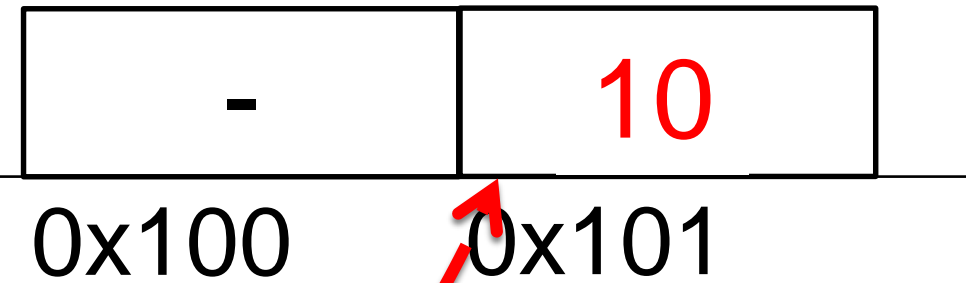


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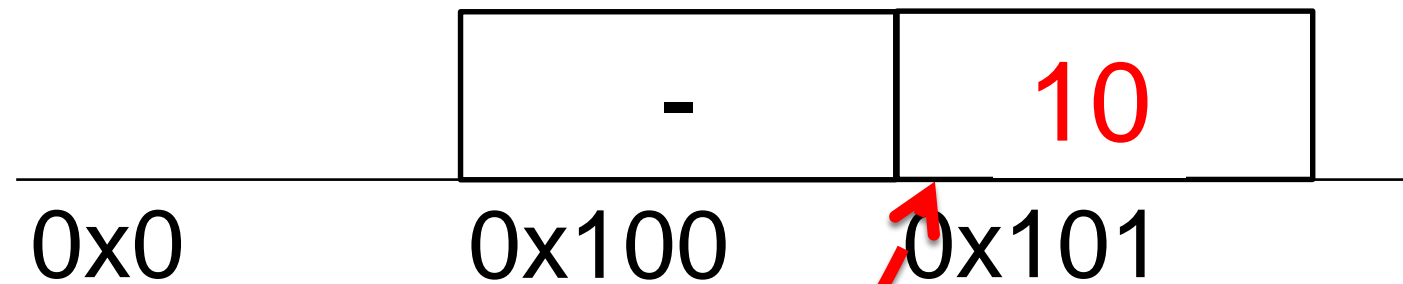
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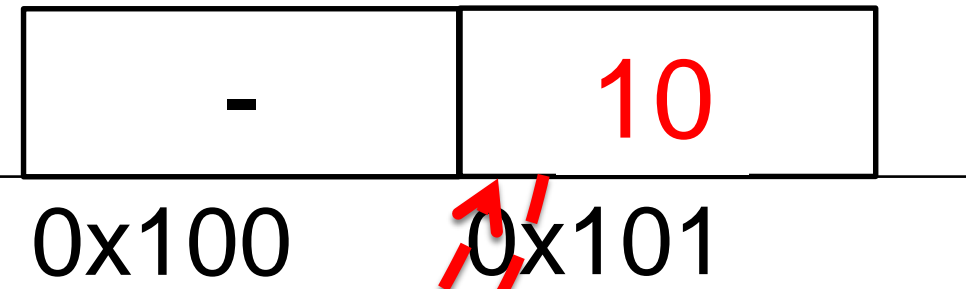
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Memory \neq Byte Array

Problem

q can be accessed from p by pointer arithmetic

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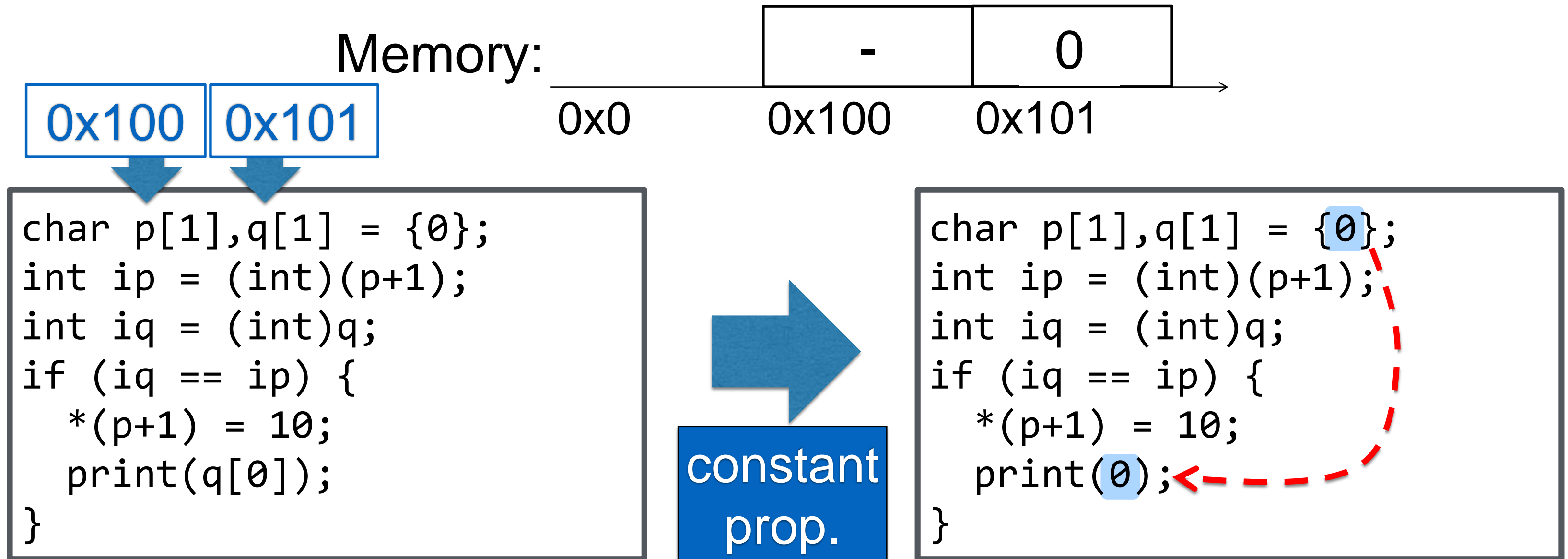
0x101

10

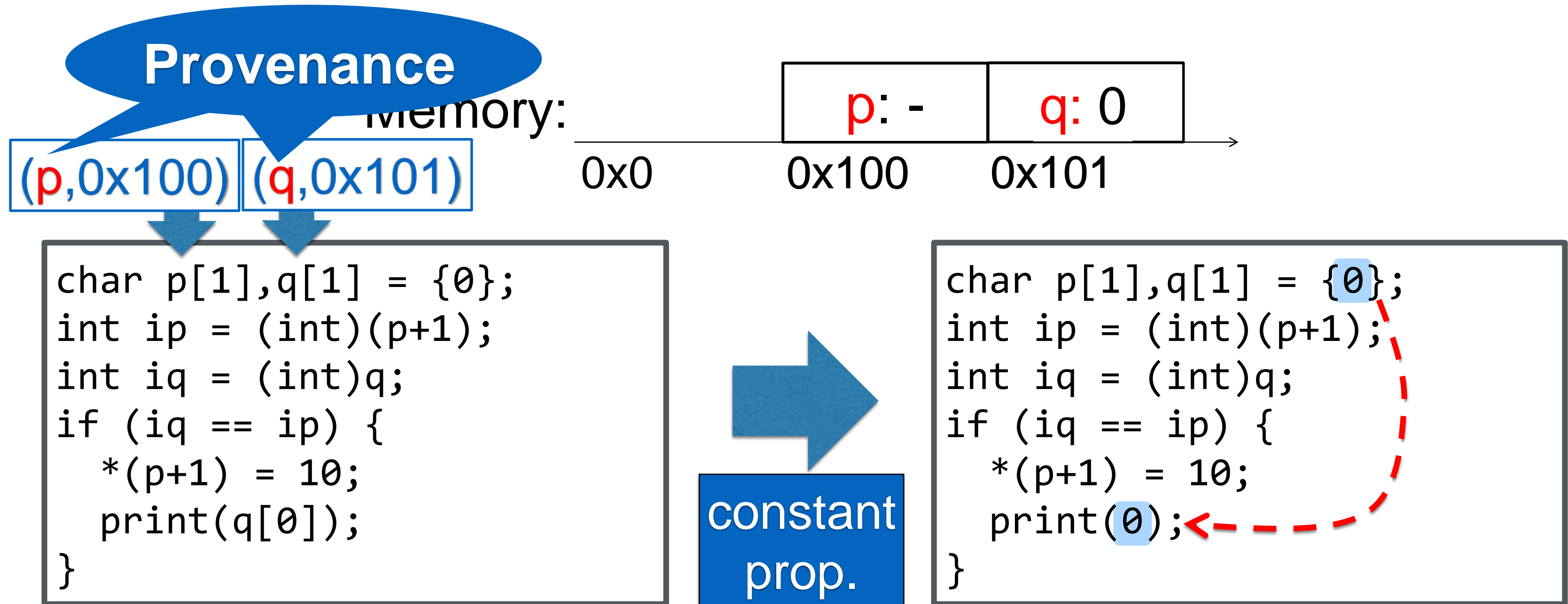
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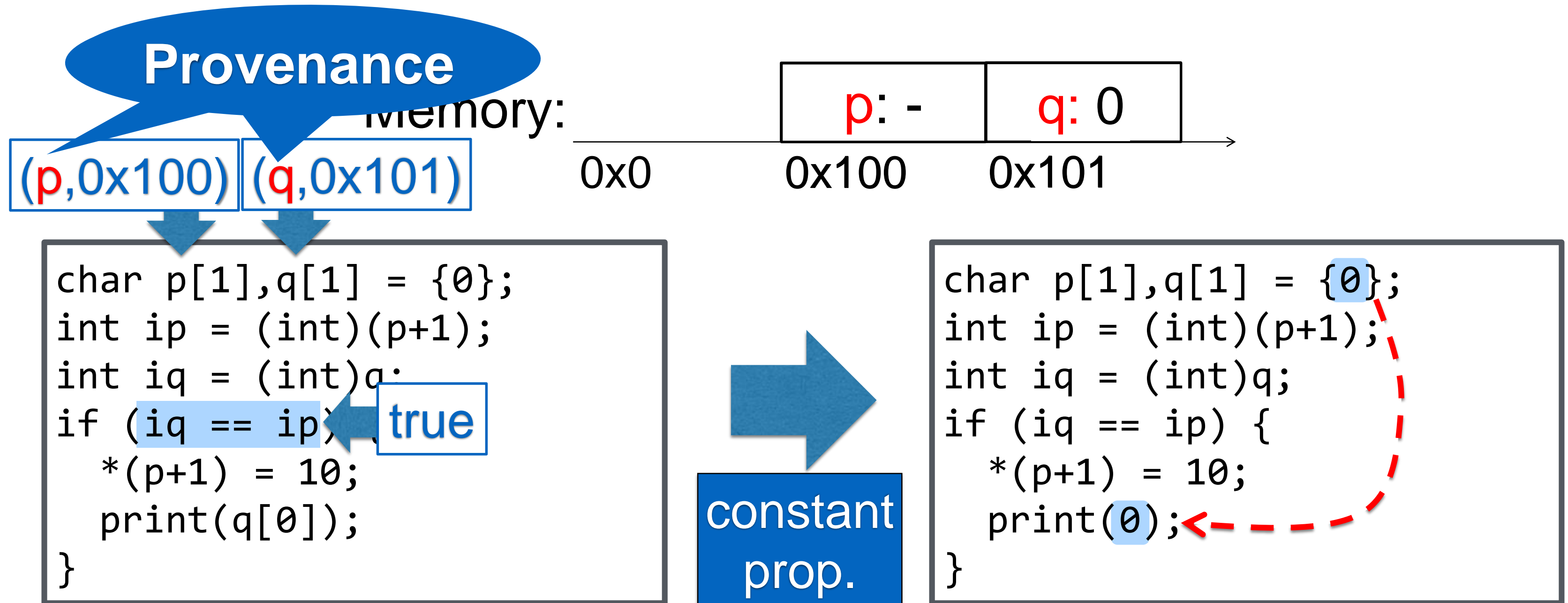
Abstract Memory Explains Optimizations



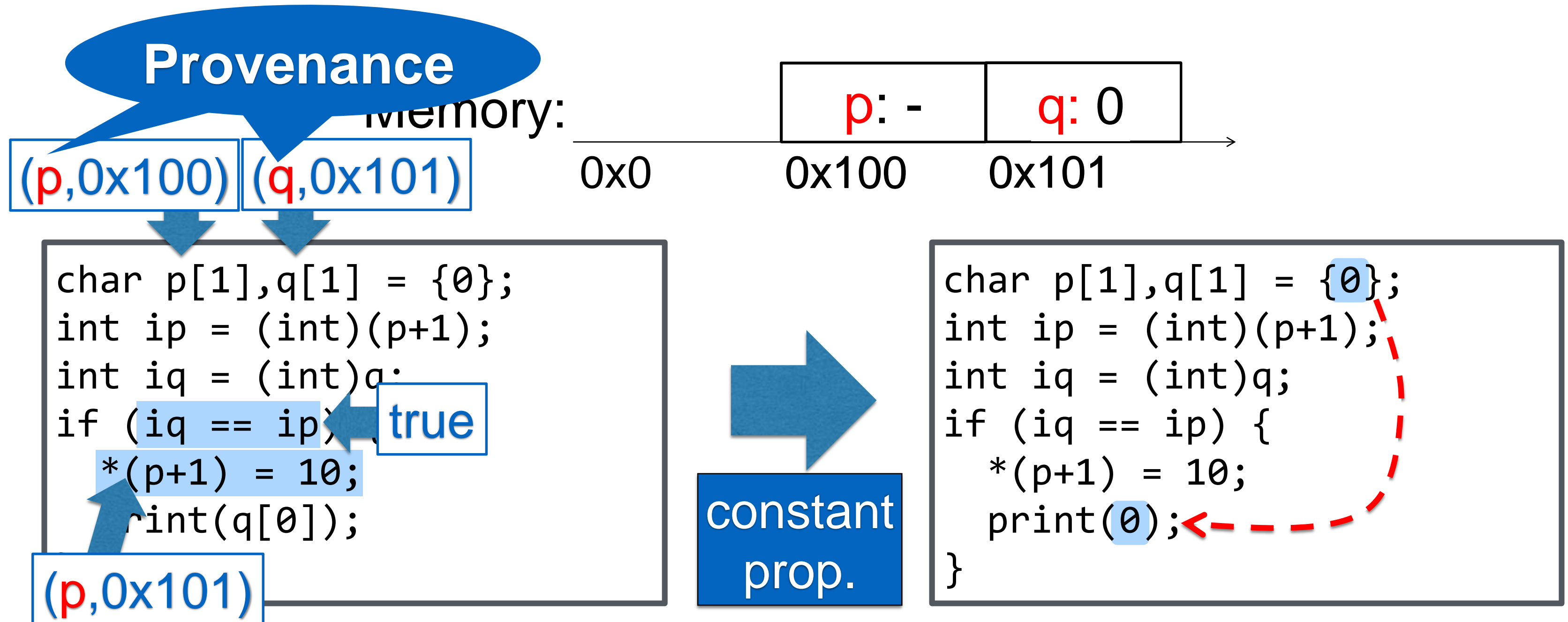
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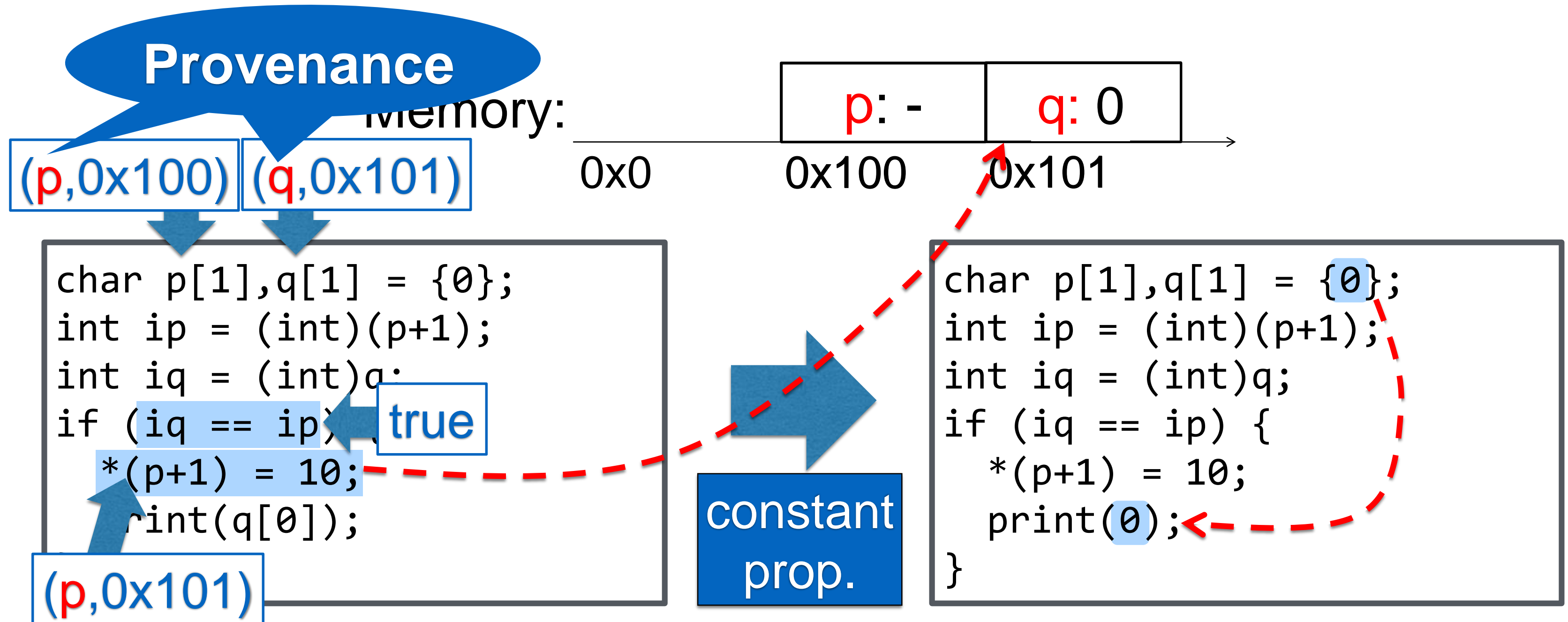
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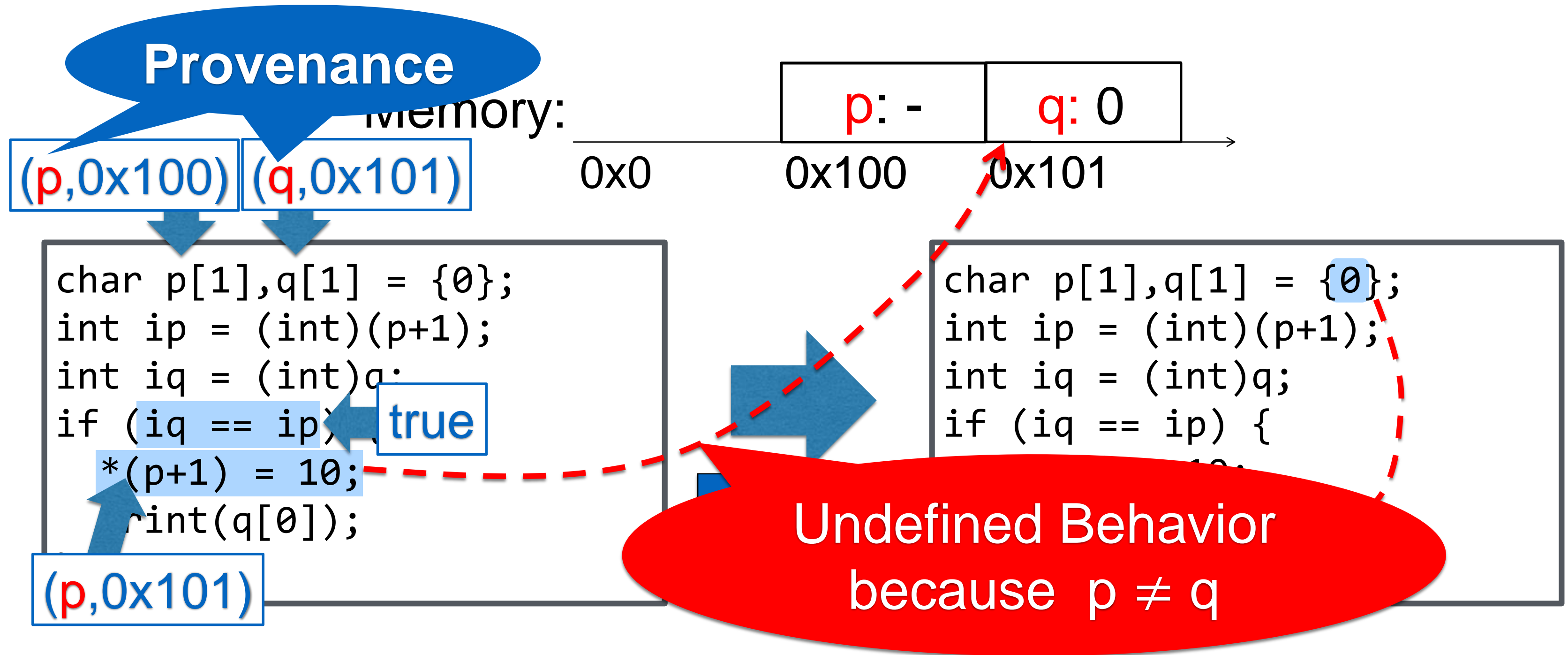
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Abstract Memory Explains Optimizations

Provenance

memory:

p: -

q: 0

Principles of UB

1. Compilers assume input programs never raise UB
2. Programmers should not write programs raising UB

```
char  
int  
int  
if (
```

```
*(p+1) = 10;
```

```
print(q[0]);
```

Undefined Behavior
because $p \neq q$

Miscompilation with Int-Ptr Casting

```
char p[1],q[1] = {0};  
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cast
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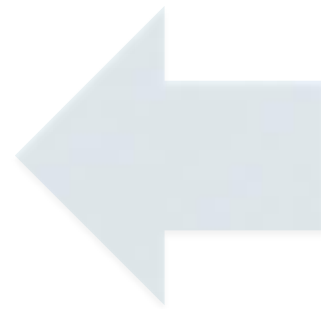
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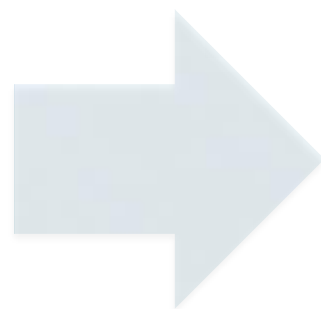


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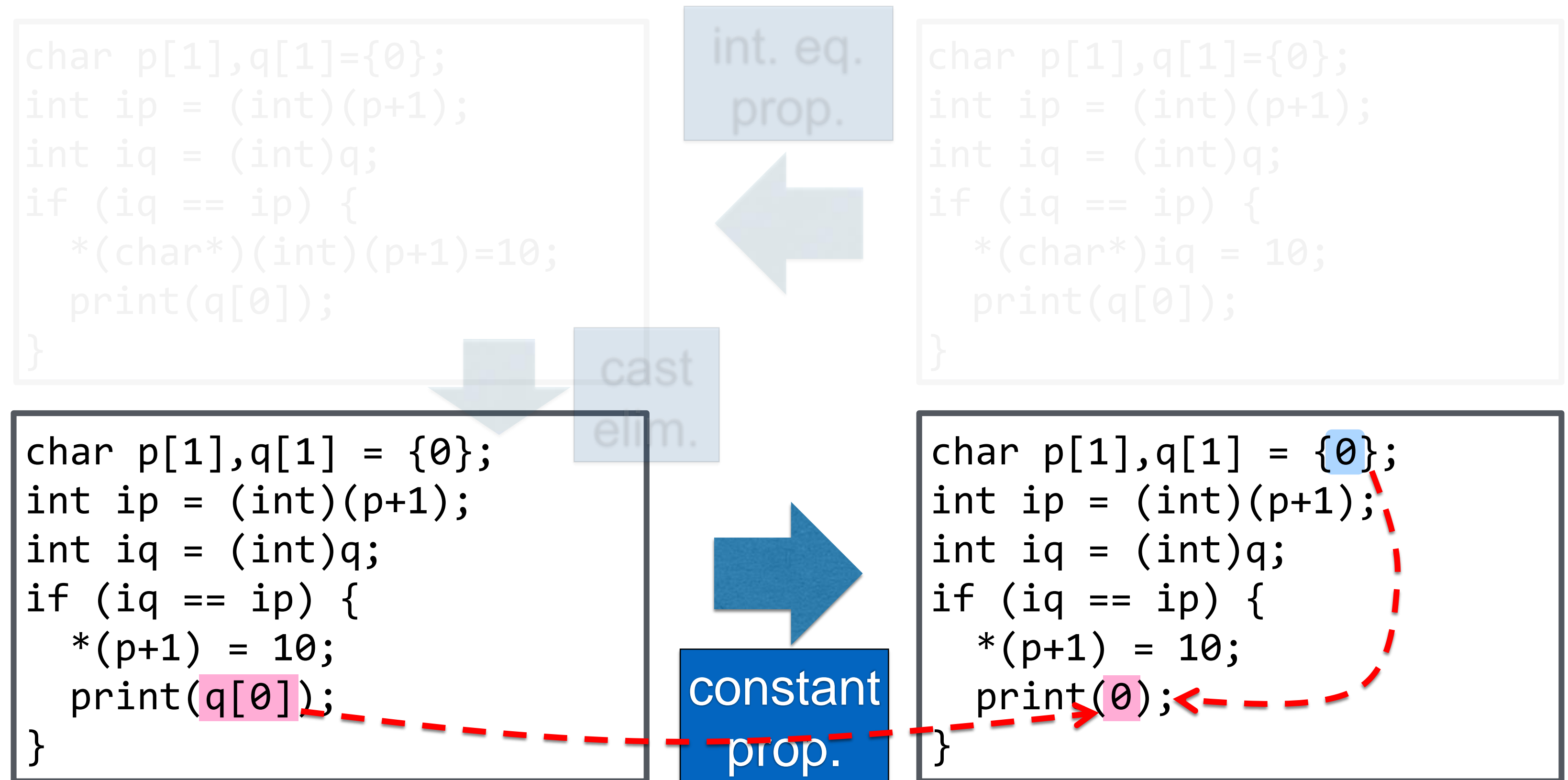
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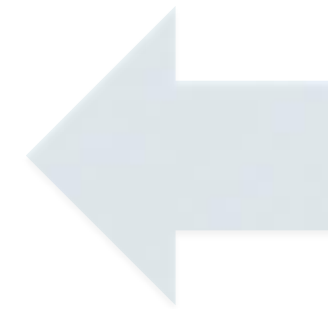
Miscompilation with Int-Ptr Casting



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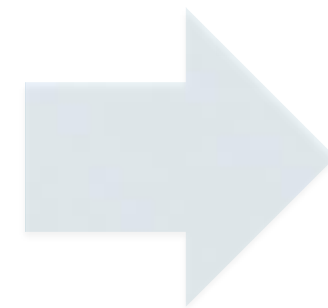
10

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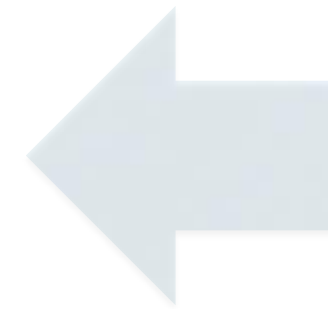


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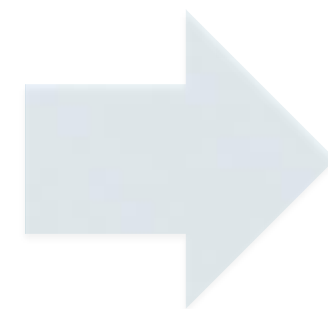
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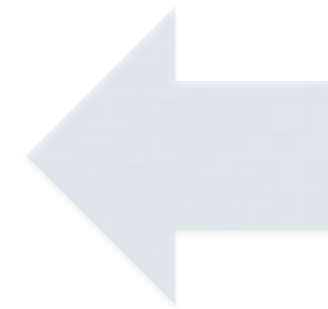


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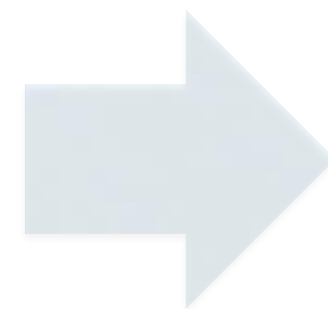
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Miscompilation with Int-Ptr Casting

**We found this miscompilation bug
in both LLVM & GCC**

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(char *)iq = 10;  
print(q[0]);  
}
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cast
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Miscompilation with Int-Ptr Casting

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in both LLVM & GCC**

Goal of this paper

Finding a good memory model for
pointer \leftrightarrow integer casting

constant
prop.

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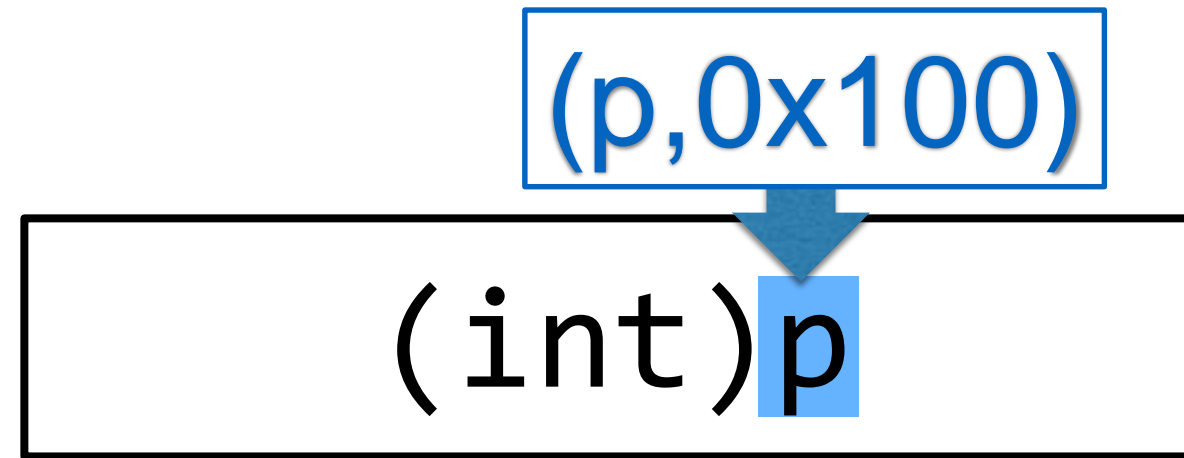
Problems & Our Solutions

Problem 1

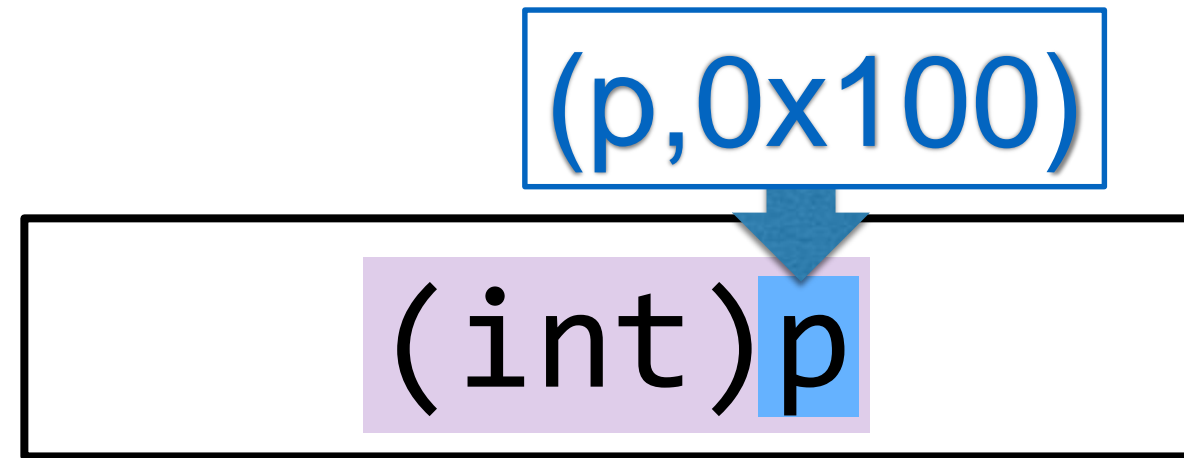
Pointer \rightarrow Integer Casting?

```
(int)p
```

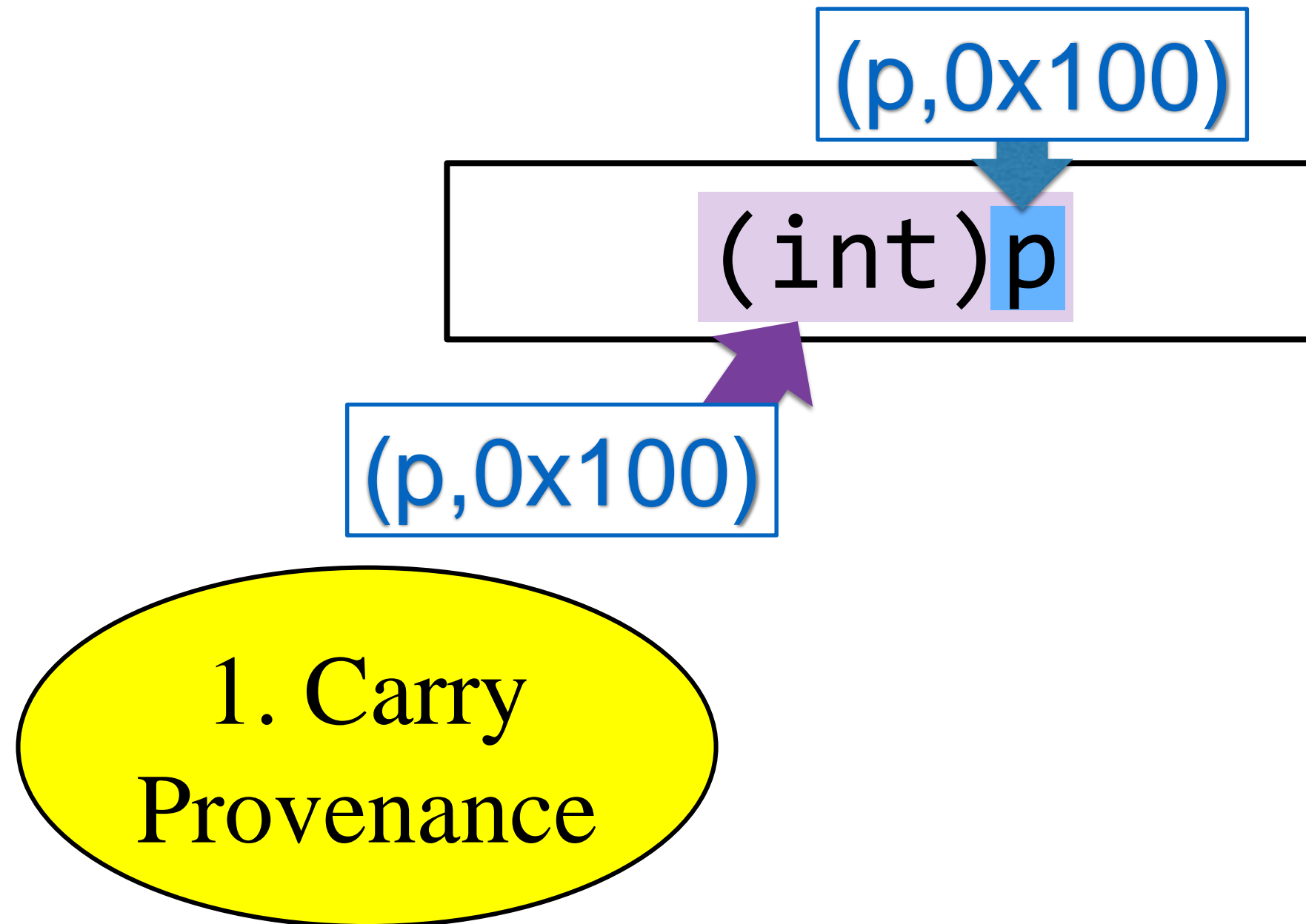
Pointer → Integer Casting?



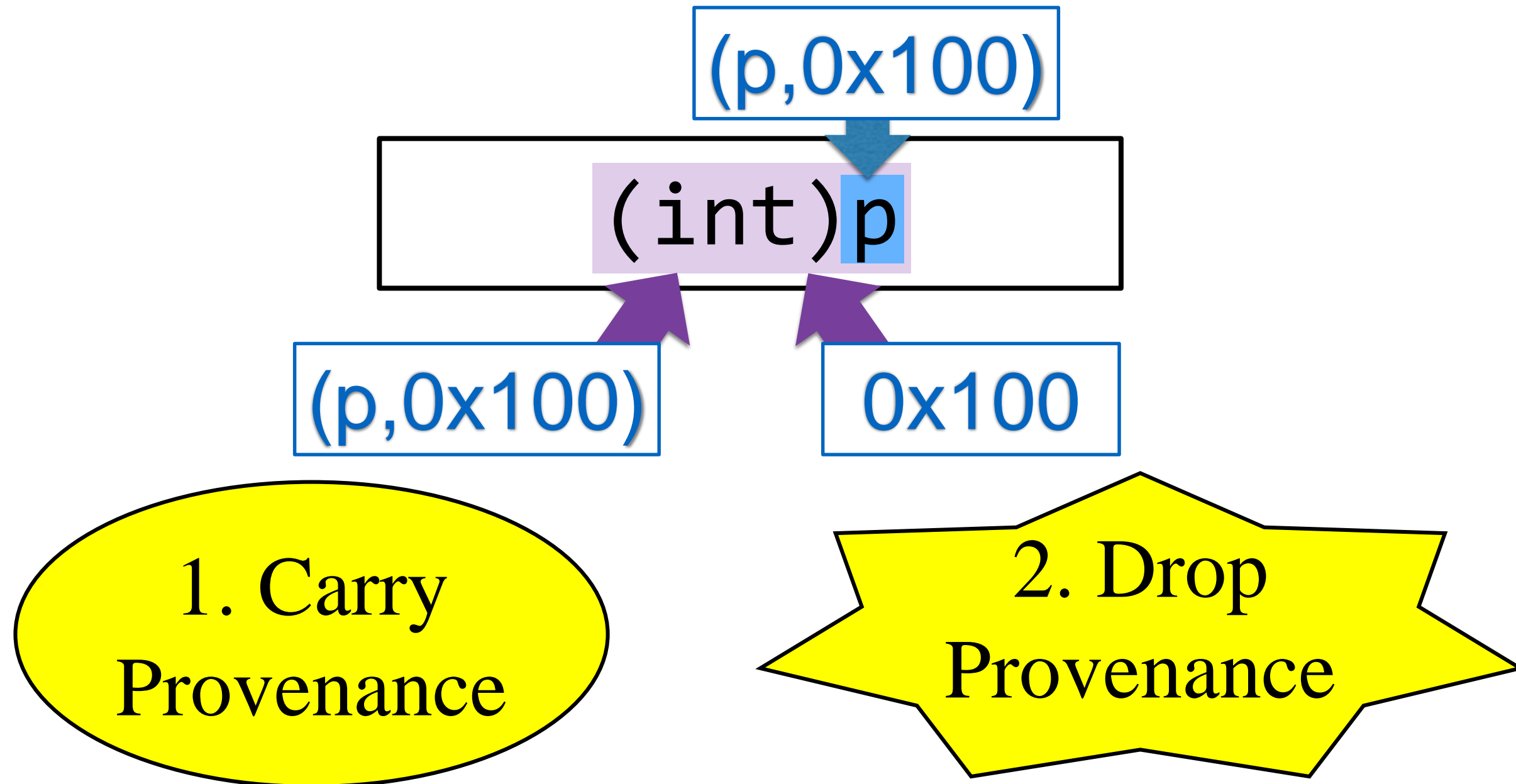
Pointer → Integer Casting?



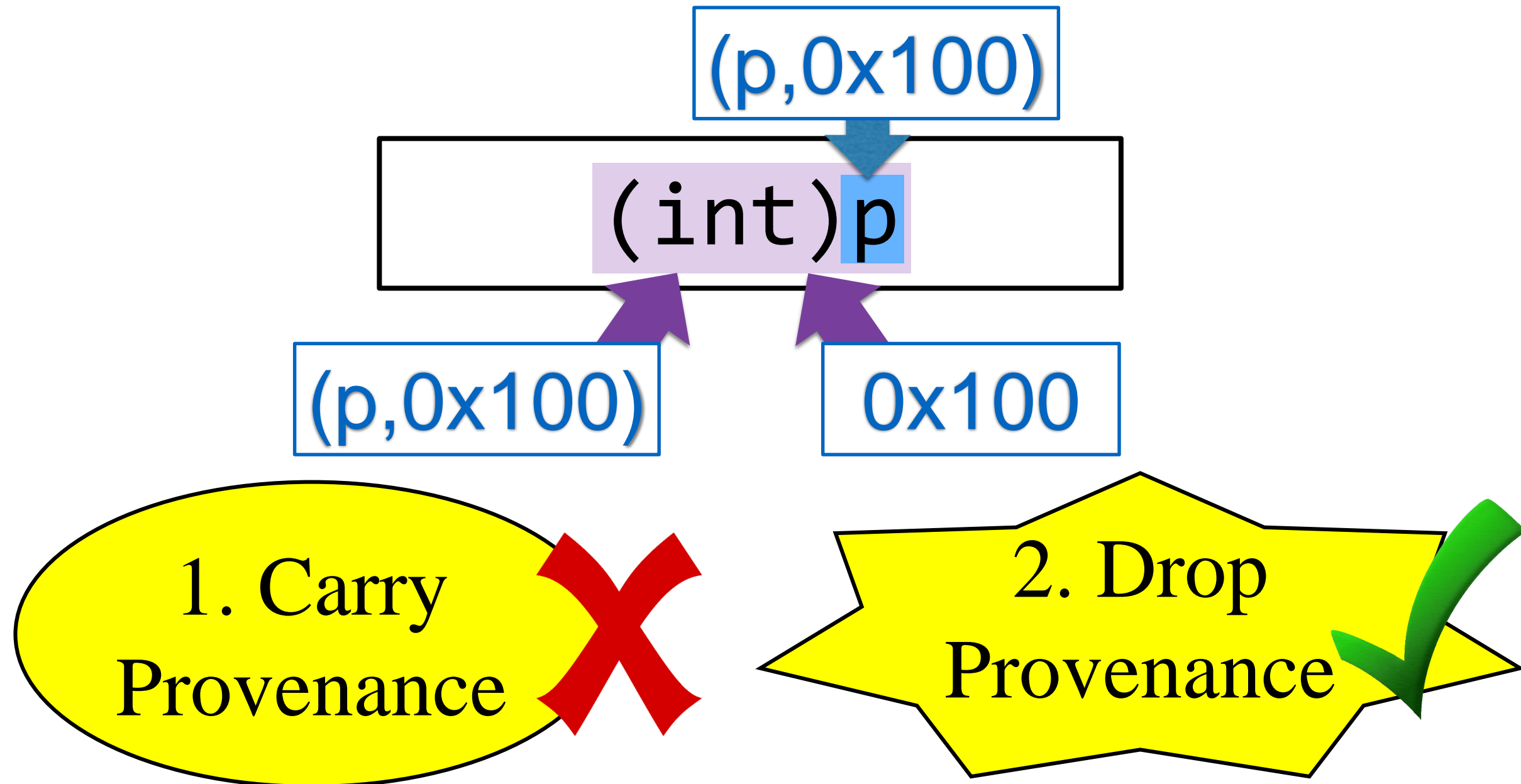
Pointer \rightarrow Integer Casting?



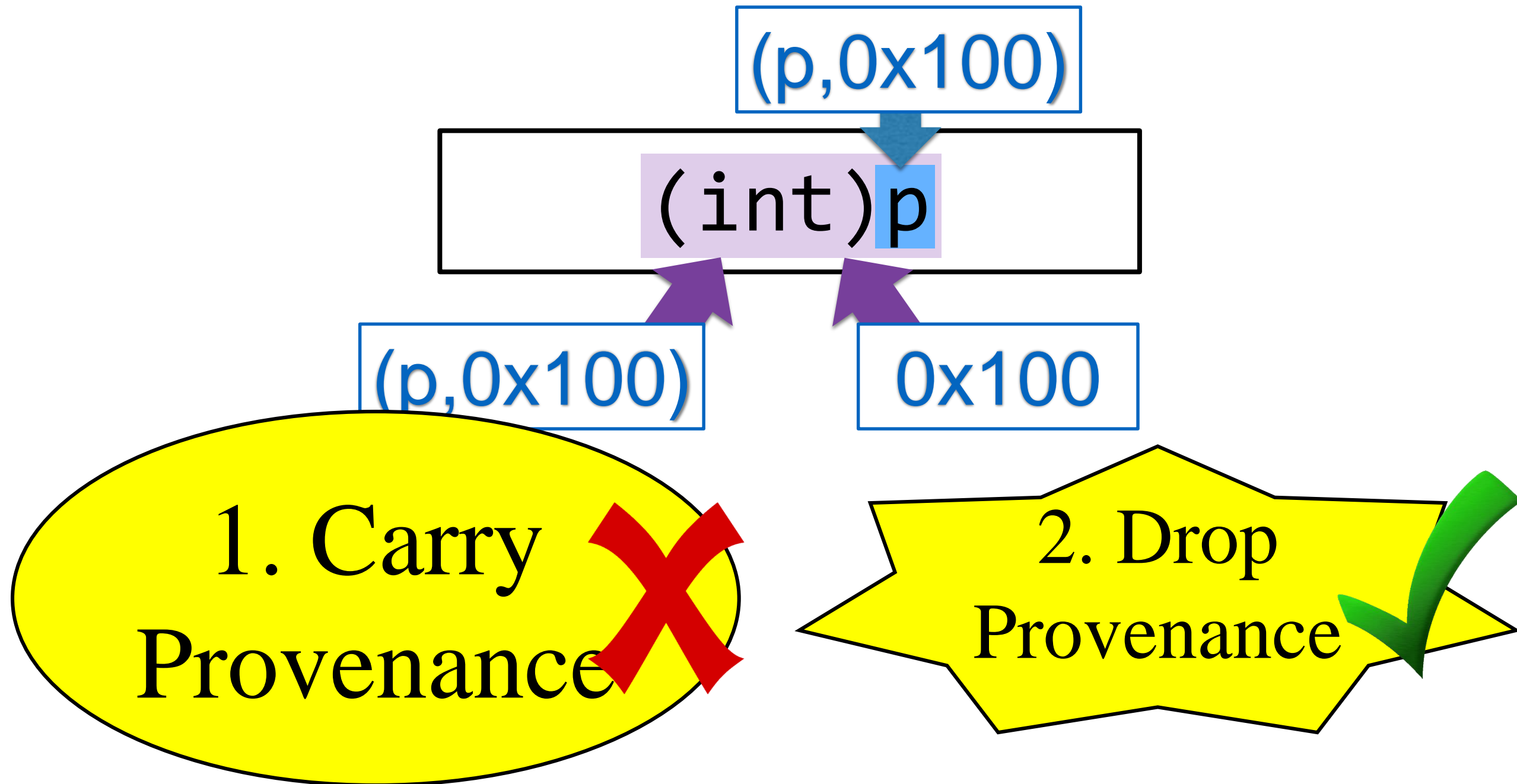
Pointer \rightarrow Integer Casting?



Pointer \rightarrow Integer Casting?



Pointer \rightarrow Integer Casting?



Carry Provenance: Integer Optimization Problem

1. Carry Provenance



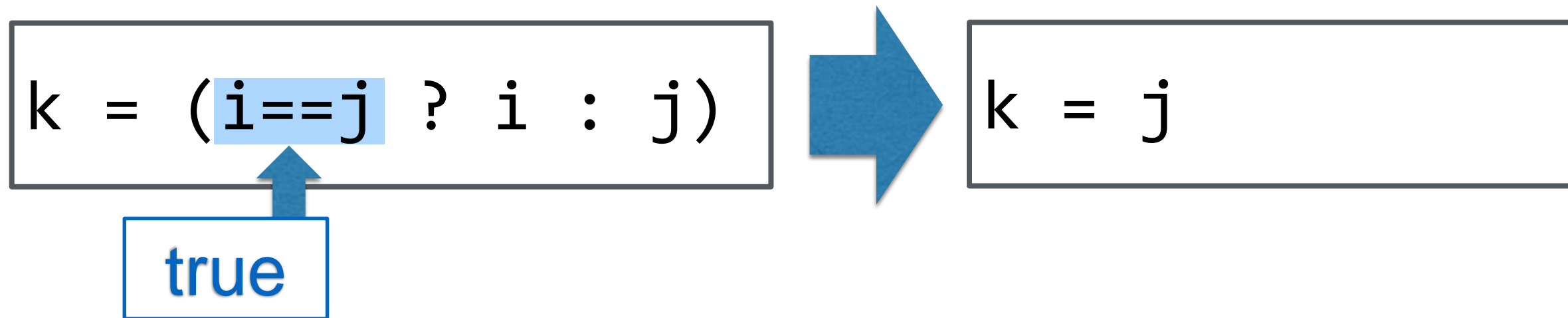
$k = (i == j ? i : j)$



$k = j$

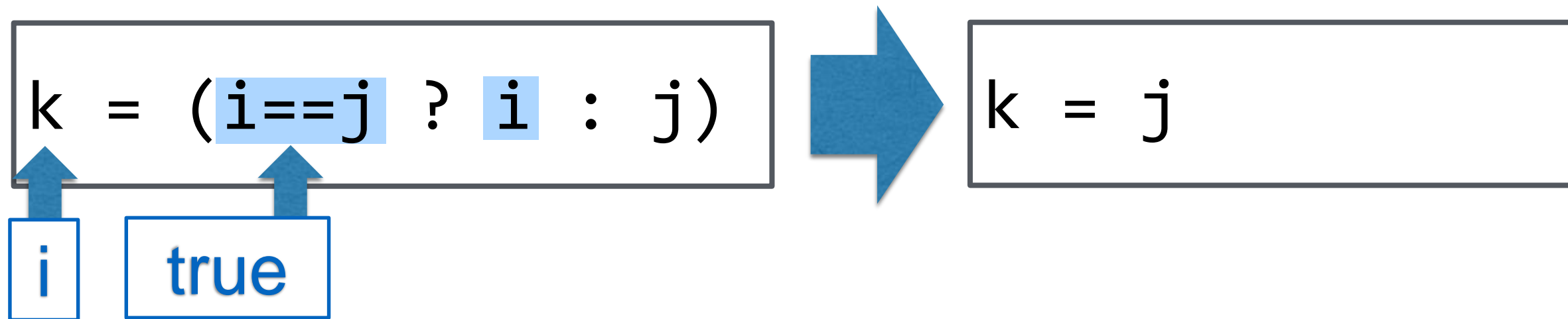
Carry Provenance: Integer Optimization Problem

1. Carry Provenance



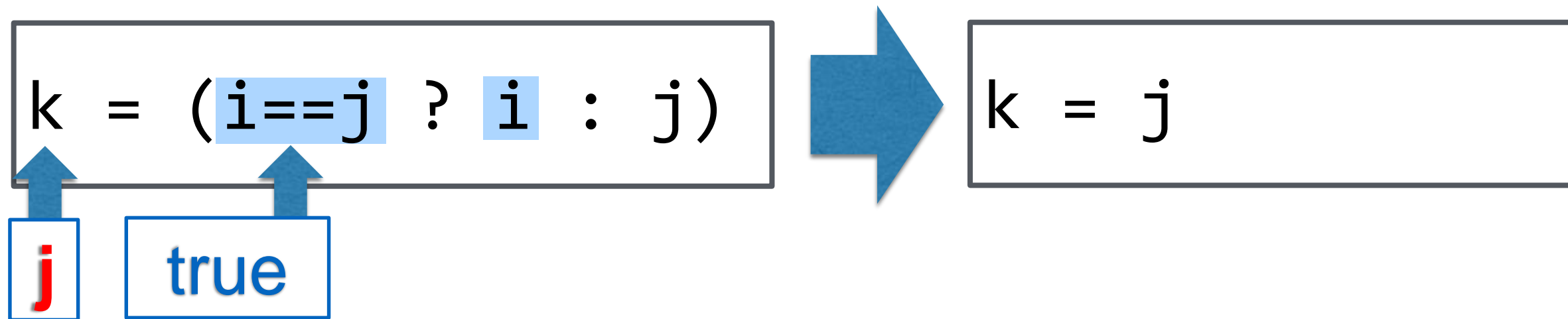
Carry Provenance: Integer Optimization Problem

1. Carry Provenance



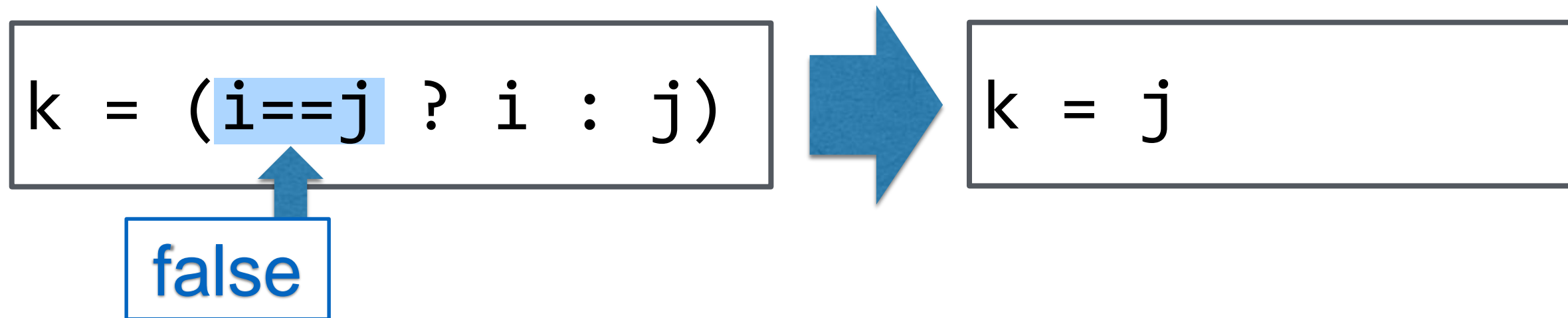
Carry Provenance: Integer Optimization Problem

1. Carry Provenance



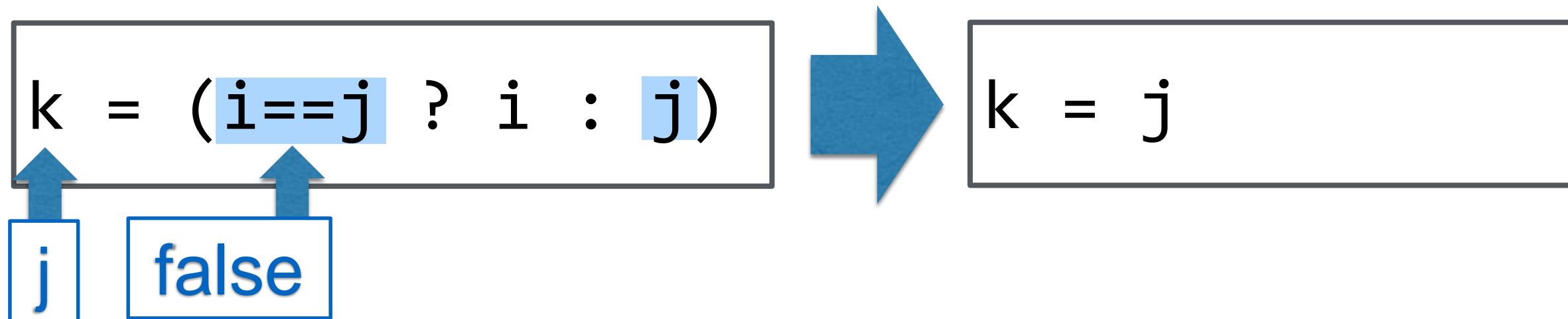
Carry Provenance: Integer Optimization Problem

1. Carry Provenance



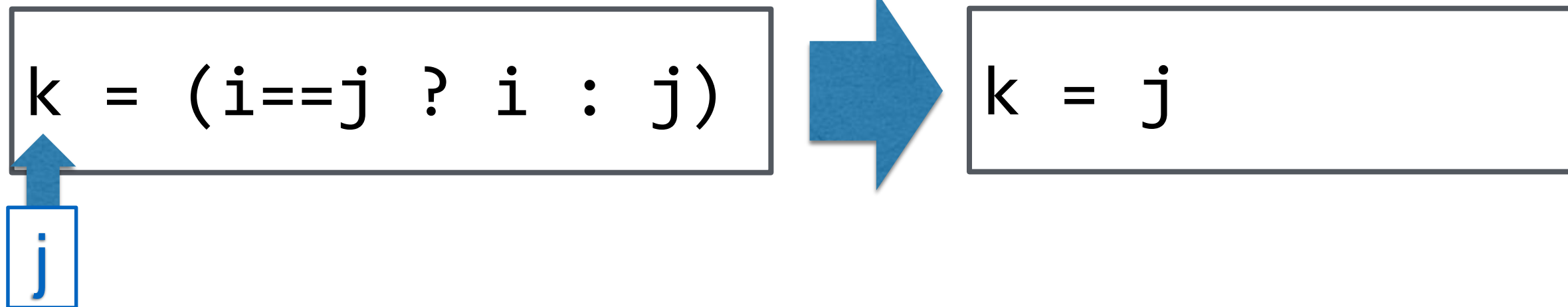
Carry Provenance: Integer Optimization Problem

1. Carry Provenance



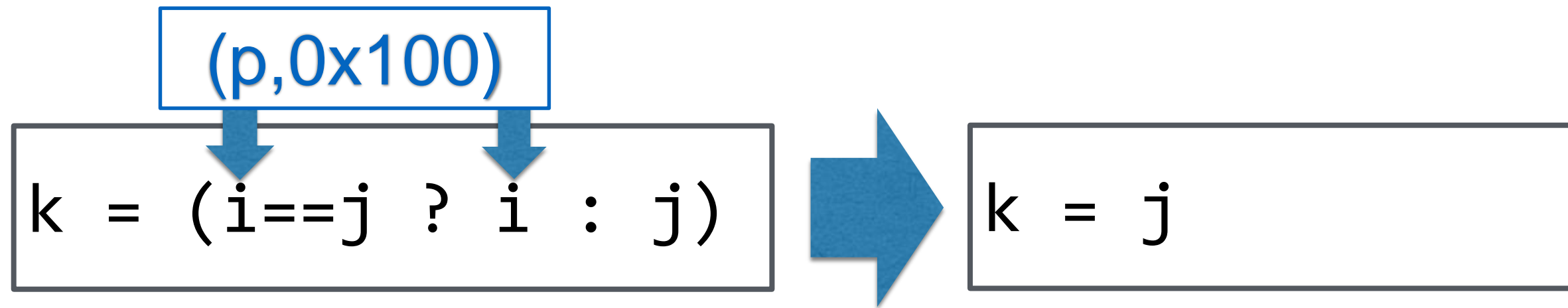
Carry Provenance: Integer Optimization Problem

1. Carry Provenance



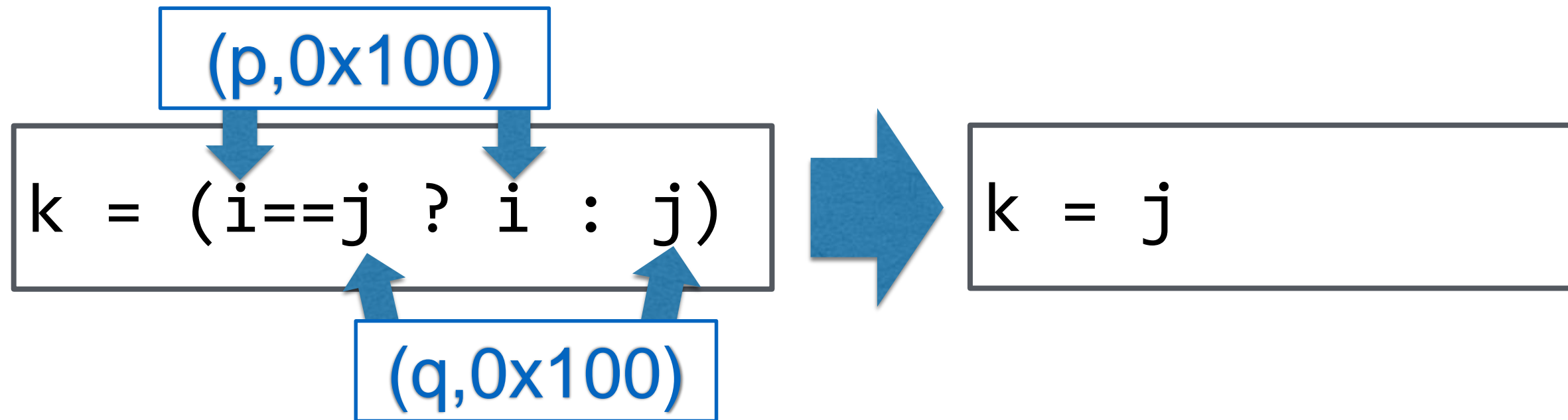
Carry Provenance: Integer Optimization Problem

1. Carry Provenance



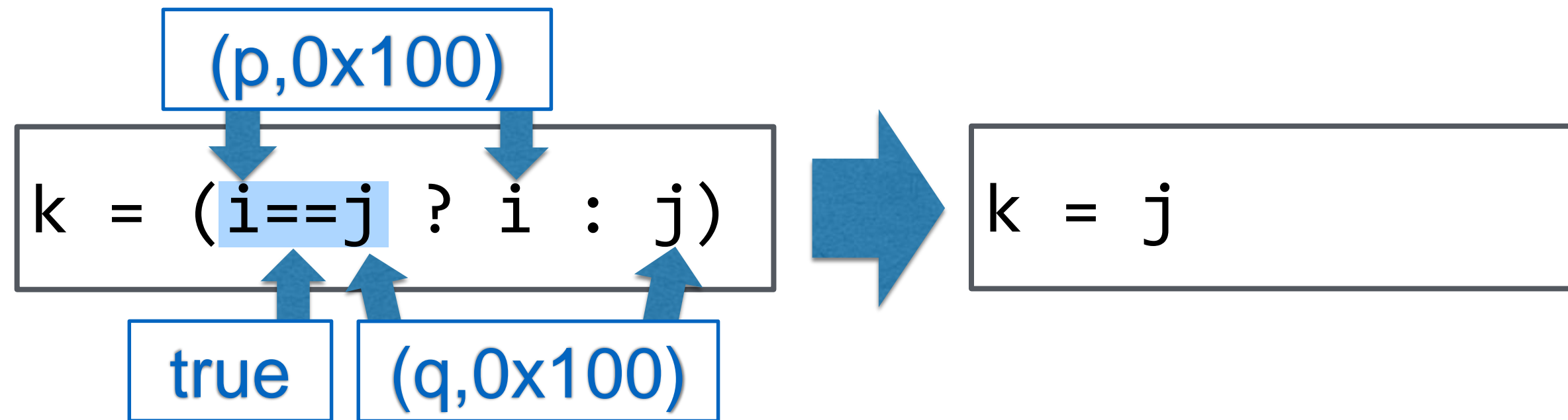
Carry Provenance: Integer Optimization Problem

1. Carry Provenance



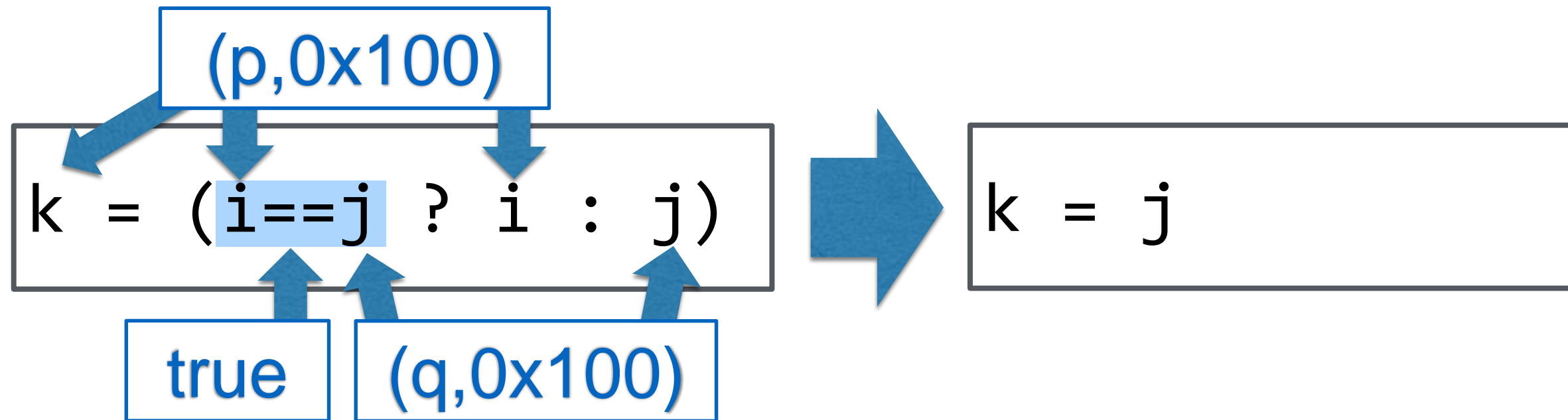
Carry Provenance: Integer Optimization Problem

1. Carry Provenance



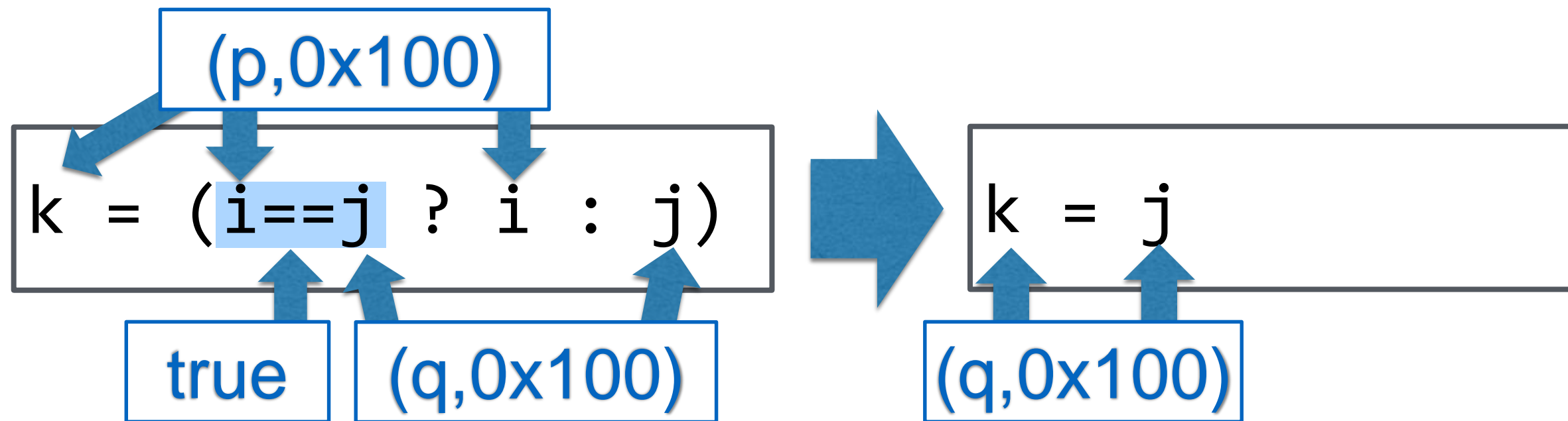
Carry Provenance: Integer Optimization Problem

1. Carry Provenance



Carry Provenance: Integer Optimization Problem

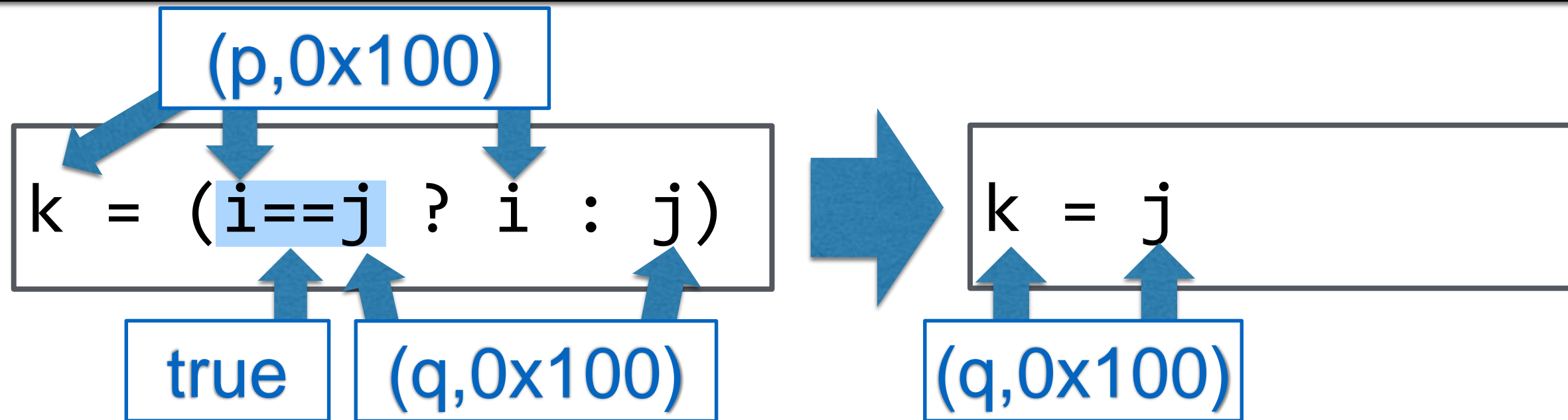
1. Carry Provenance



Carry Provenance: Integer Optimization Problem

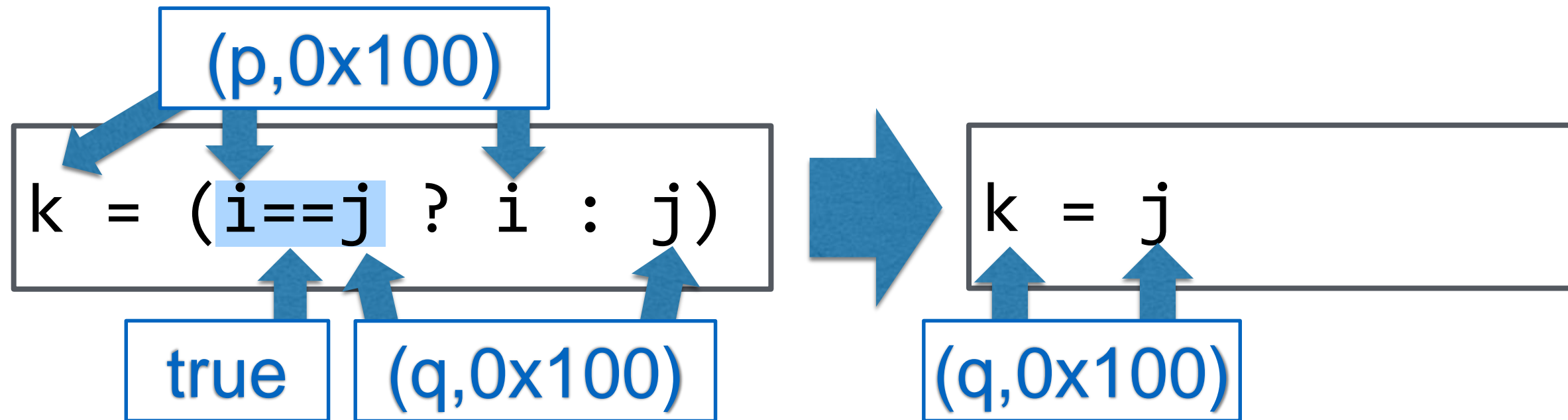
Problem

Integer optimizations may change provenance



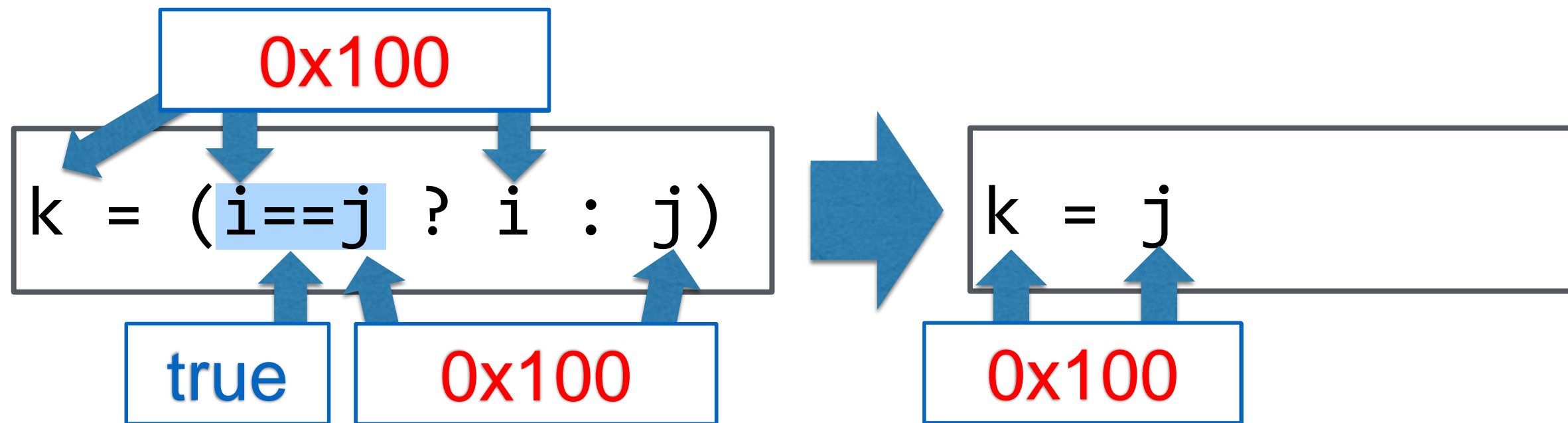
Carry Provenance: Integer Optimization Problem

2. Drop Provenance



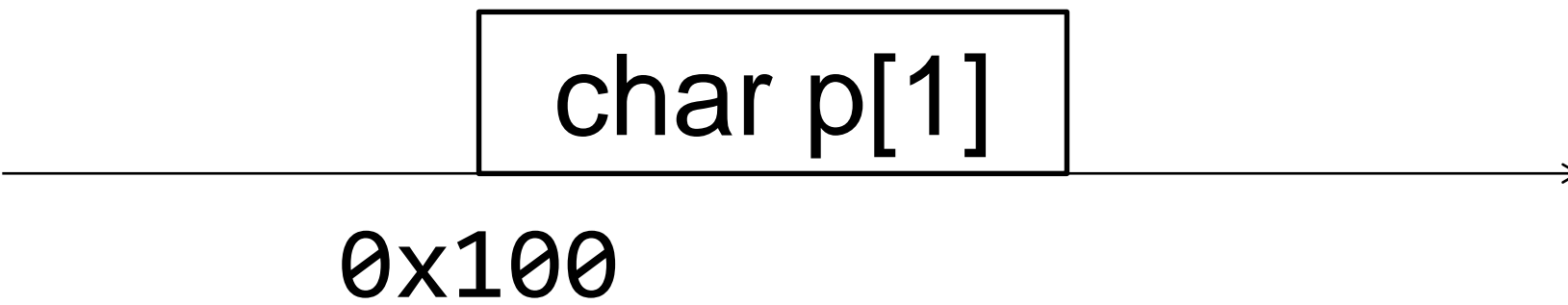
Carry Provenance: Integer Optimization Problem

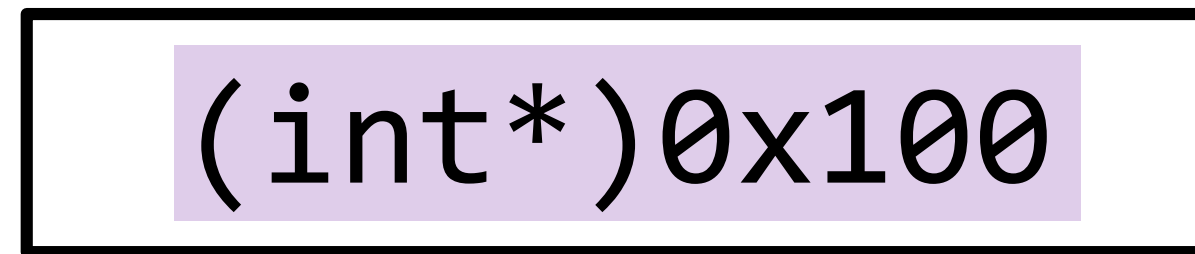
2. Drop Provenance



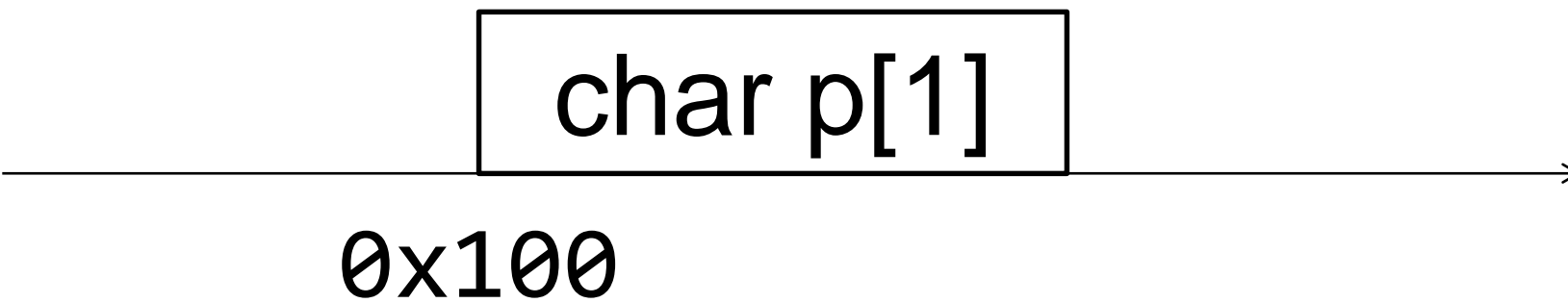
Problem 2

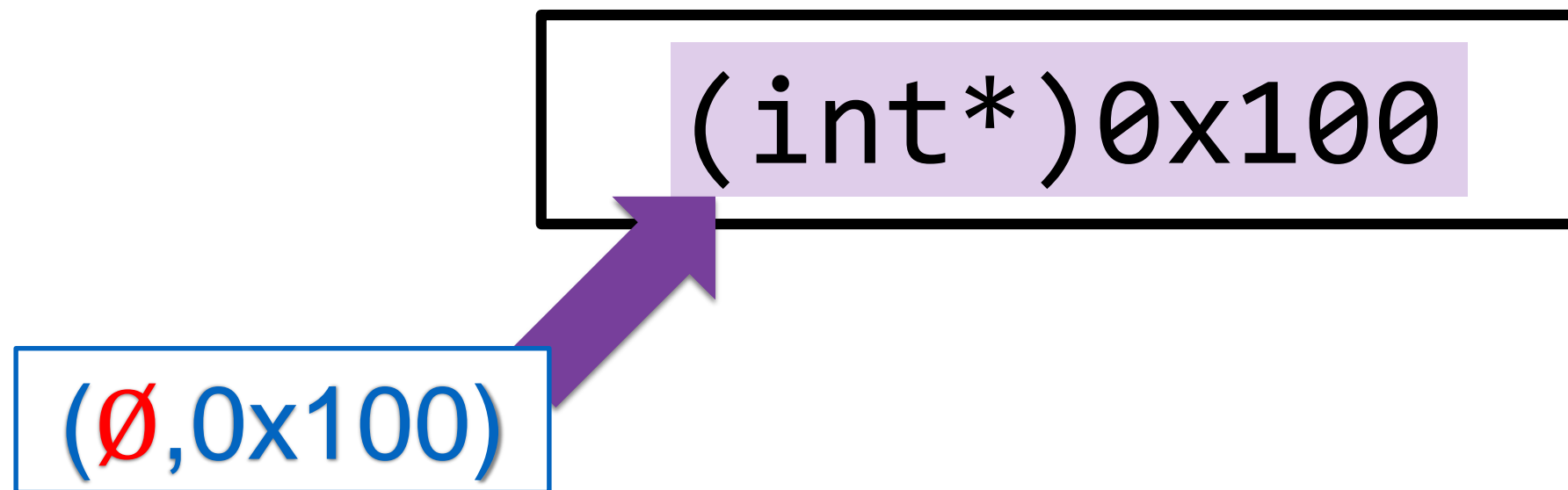
Integer → Pointer Casting?

Memory: A horizontal line with an arrow pointing to the right. A small box labeled 'char p[1]' is positioned above the line. Below the line, the address '0x100' is written.

A large rectangular box with a thick black border. Inside, a smaller box with a light purple background contains the text '(int*)0x100'.

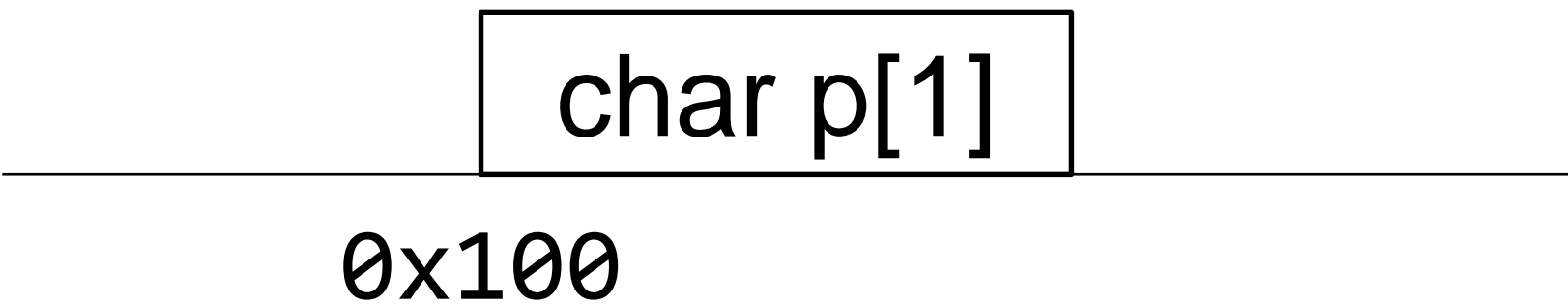
Integer → Pointer Casting?

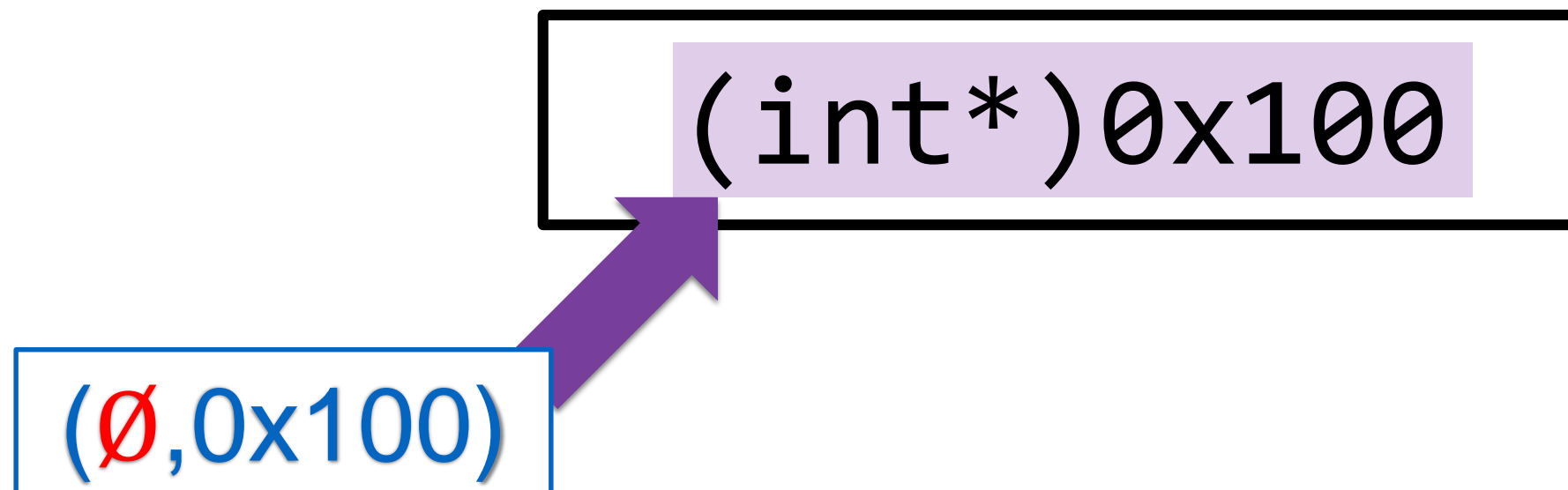
Memory:  `char p[1]`
 0x100



1. Always Empty

Integer \rightarrow Pointer Casting?

Memory:  `char p[1]`
 $0x100$



1. Always Empty

2. Depending on
the Memory Layout

Integer \rightarrow Pointer Casting?

Memory: \longrightarrow

0x100

`(int*)0x100`

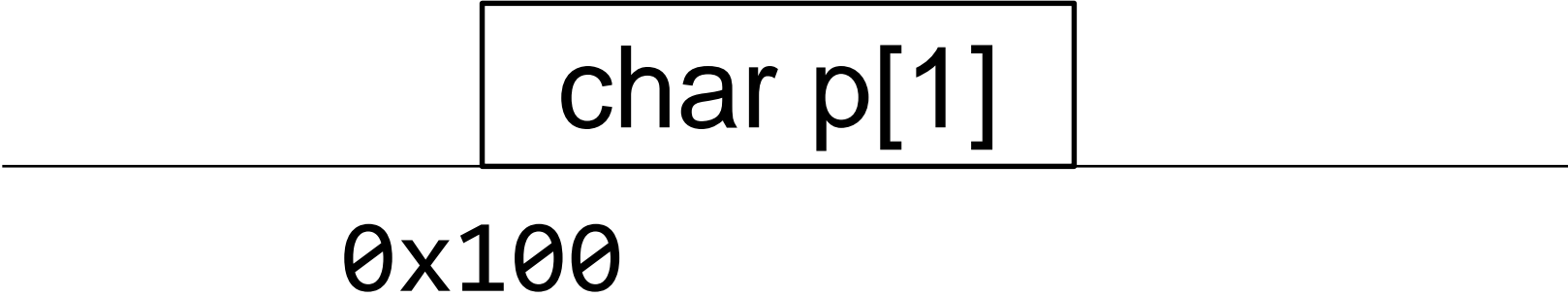
`(0, 0x100)`

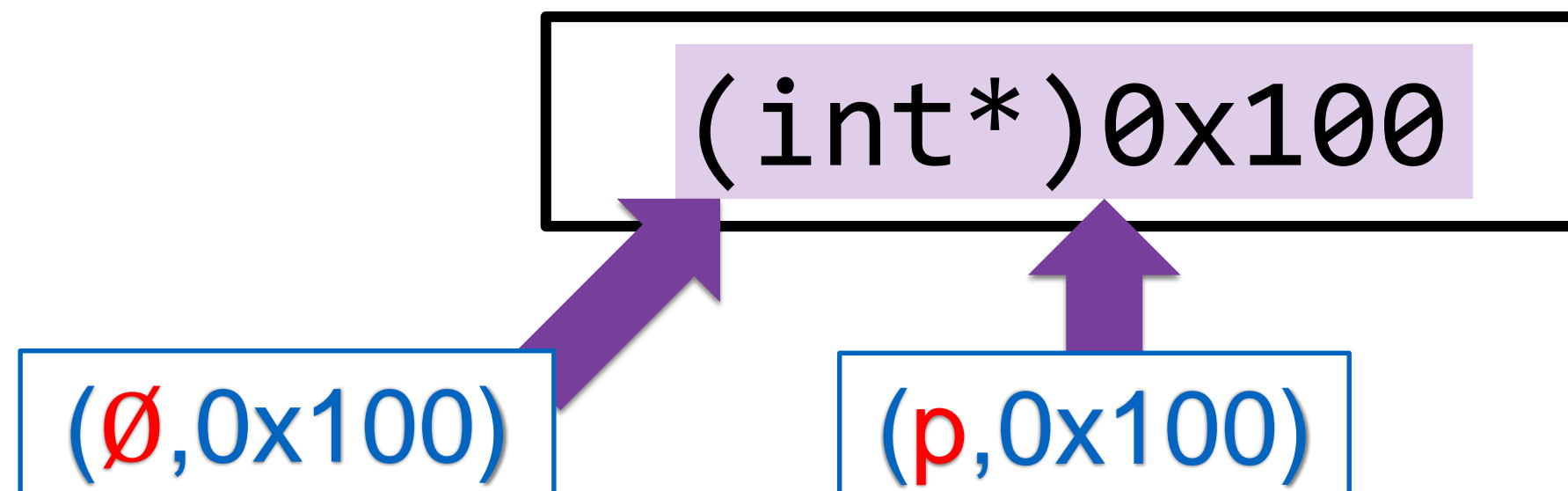
`(0, 0x100)`

1. Always Empty

2. Depending on
the Memory Layout

Integer \rightarrow Pointer Casting?

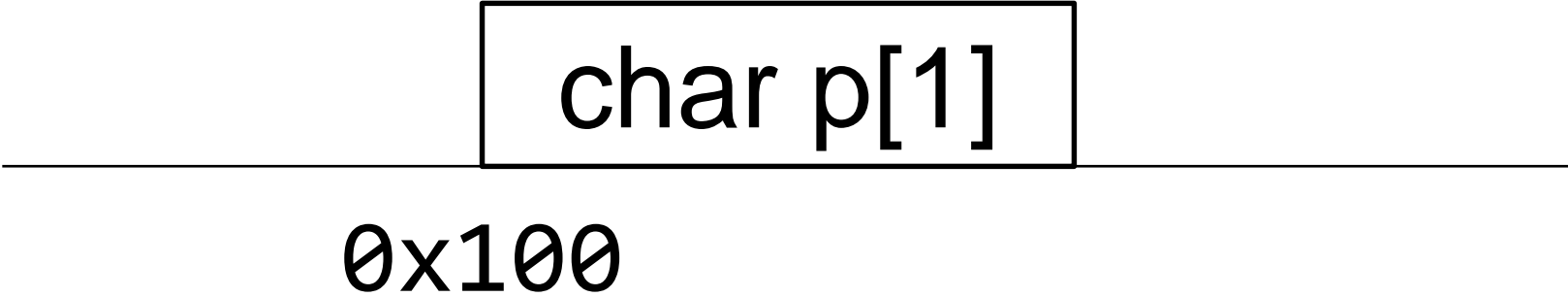
Memory:  `char p[1]`
 $0x100$

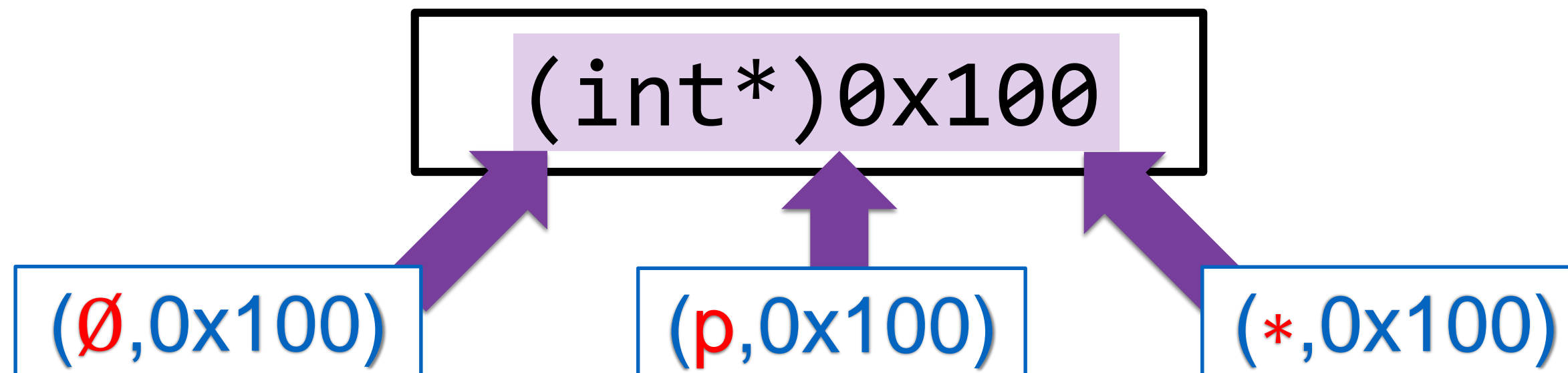


1. Always Empty

2. Depending on
the Memory Layout

Integer \rightarrow Pointer Casting?

Memory:  `char p[1]`
 $0x100$

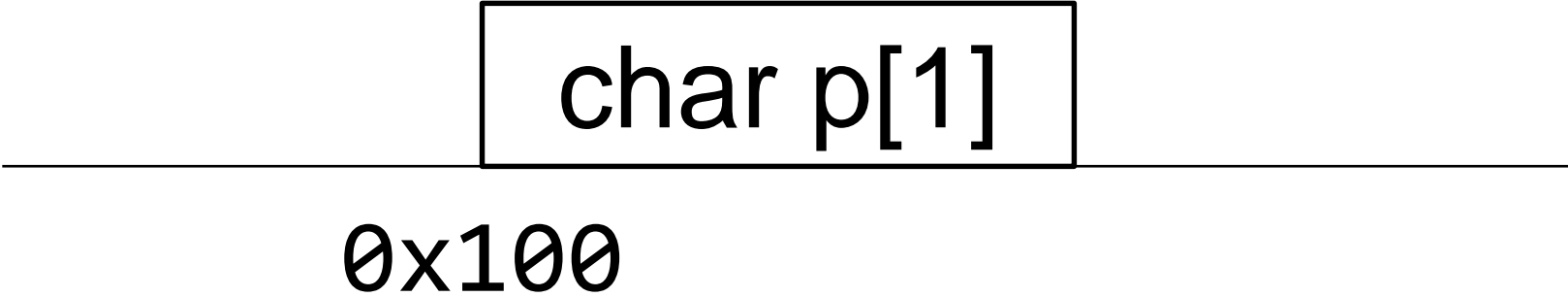


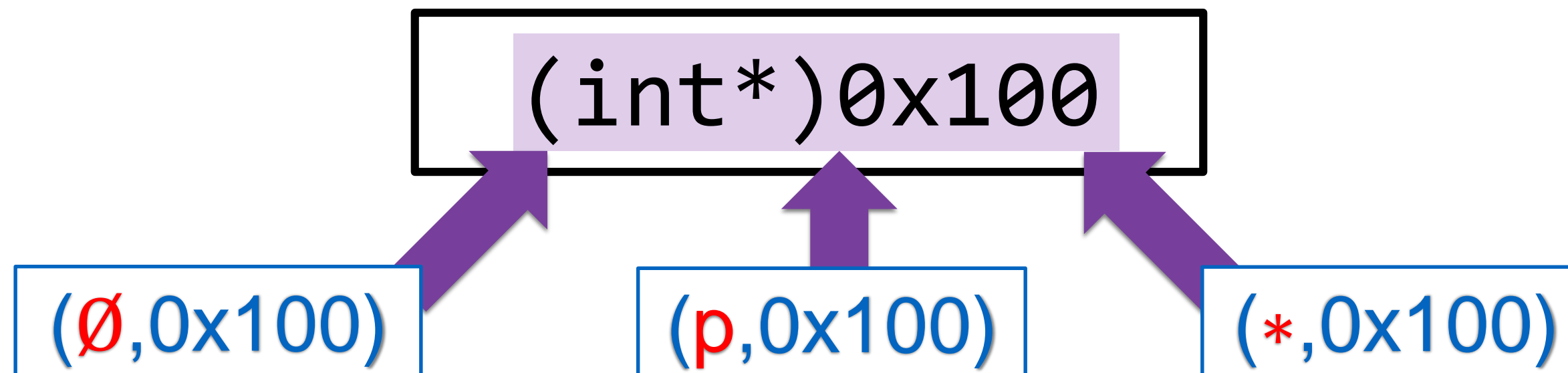
1. Always Empty

2. Depending on
the Memory Layout

3. Always Full

Integer \rightarrow Pointer Casting?

Memory:  `char p[1]`
 $0x100$



1. Always Empty



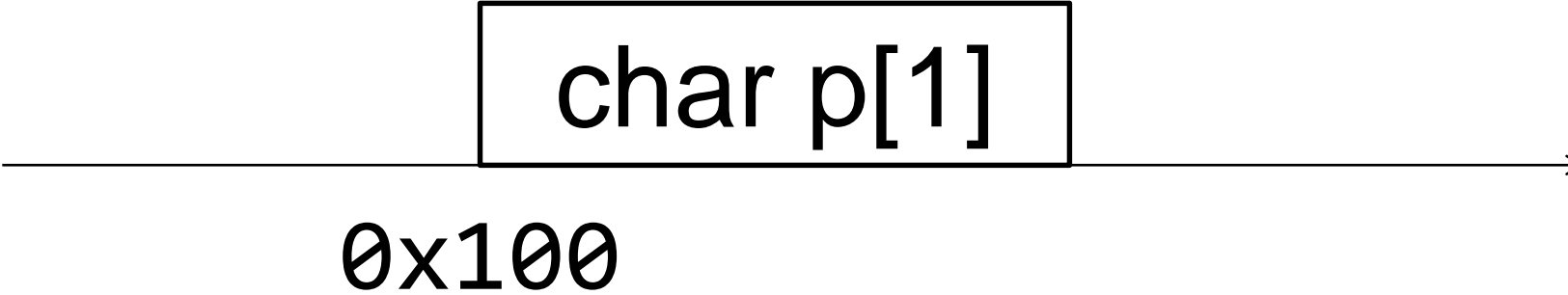
2. Depending on
the Memory Layout

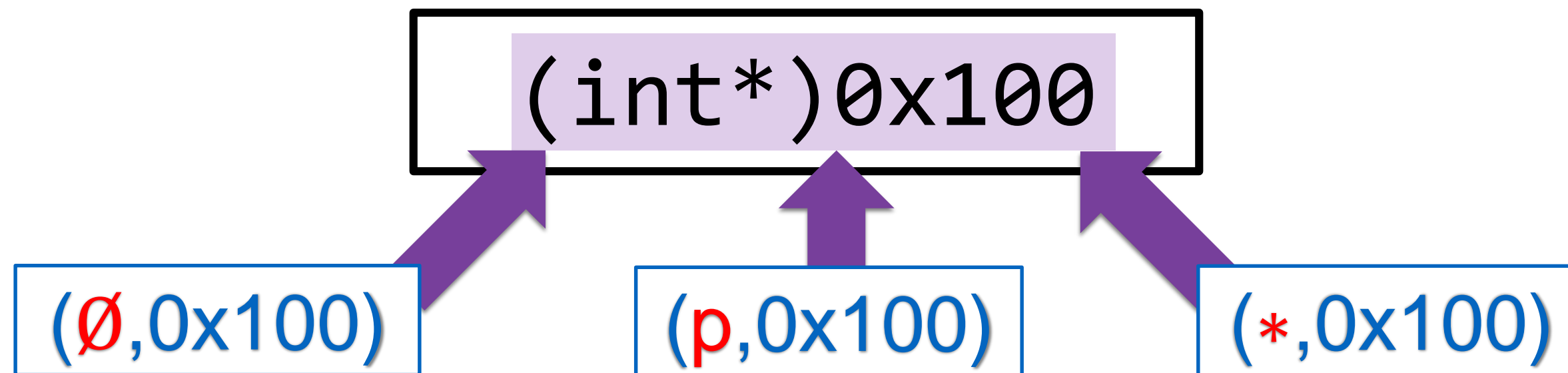


3. Always Full




Integer \rightarrow Pointer Casting?

Memory:  `char p[1]`
 $0x100$



1. Always Empty 

2. Depending on
the Memory Layout 

3. Always Full 

Empty Provenance: Pointer – Integer Round Trip

1. Always Empty

Memory:

char p[1]

0x100

```
i    = (int)p  
p2   = (char*)i  
*p2  = 10
```

Empty Provenance: Pointer – Integer Round Trip

1. Always Empty

Memory:

char p[1]

0x100

i = (int)p

p2 = (char*)i

*p2 = 10

(p, 0x100)

Empty Provenance: Pointer – Integer Round Trip

1. Always Empty

Memory:

char p[1]

0x100

0x100

```
i = (int)p
p2 = (char*)i
*p2 = 10
```

(p, 0x100)

Empty Provenance: Pointer – Integer Round Trip

1. Always Empty

Memory:

char p[1]

0x100

0x100

(\emptyset , 0x100)

```
i = (int)p  
p2 = (char*)i  
*p2 = 10
```

(p, 0x100)

Empty Provenance: Pointer – Integer Round Trip

1. Always Empty

Memory:

char p[1]

0x100

0x100

(∅, 0x100)

```
i = (int)p
p2 = (char*)i
*p2 = 10
```

(p, 0x100)

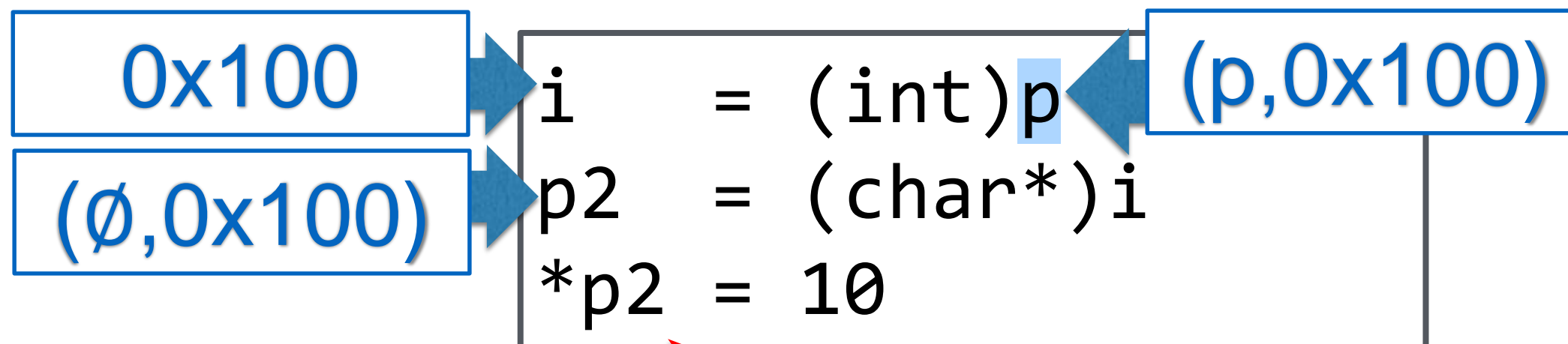
UB

Empty Provenance: Pointer – Integer Round Trip

Problem

Common program patterns raise UB

Memory: char p[1] →
0x100



UB

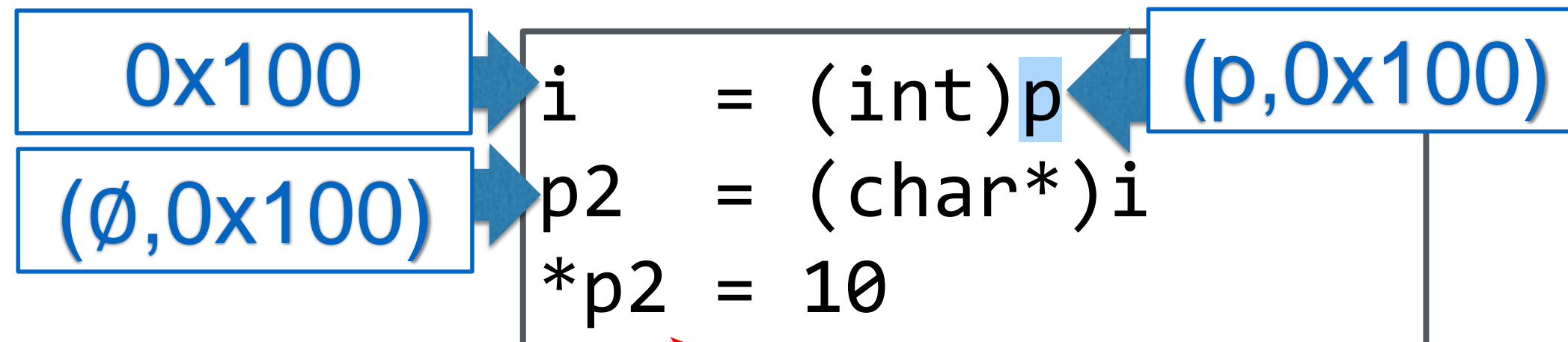
Empty Provenance: Pointer – Integer Round Trip

3. Always Full

Memory:

char p[1]

0x100



UB

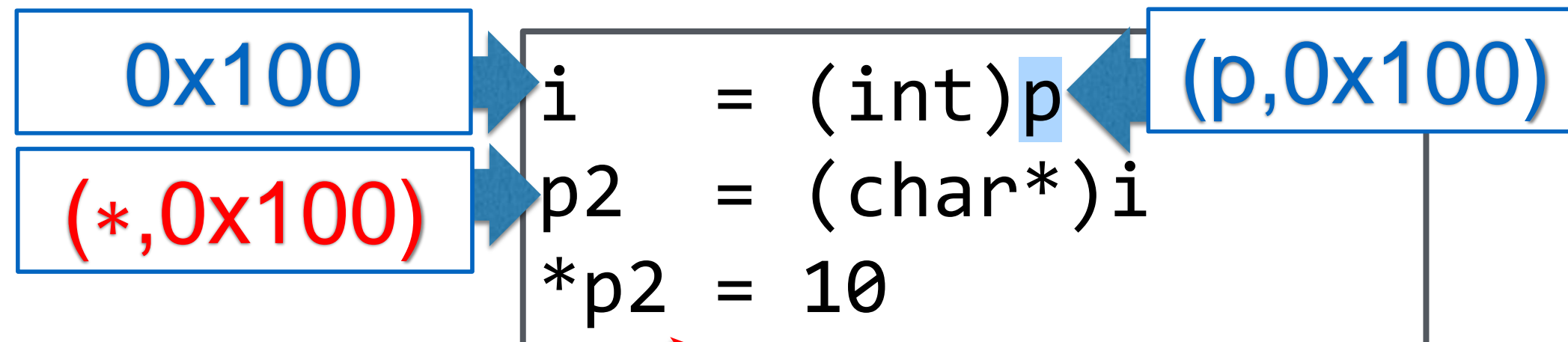
Empty Provenance: Pointer – Integer Round Trip

3. Always Full

Memory:

char p[1]

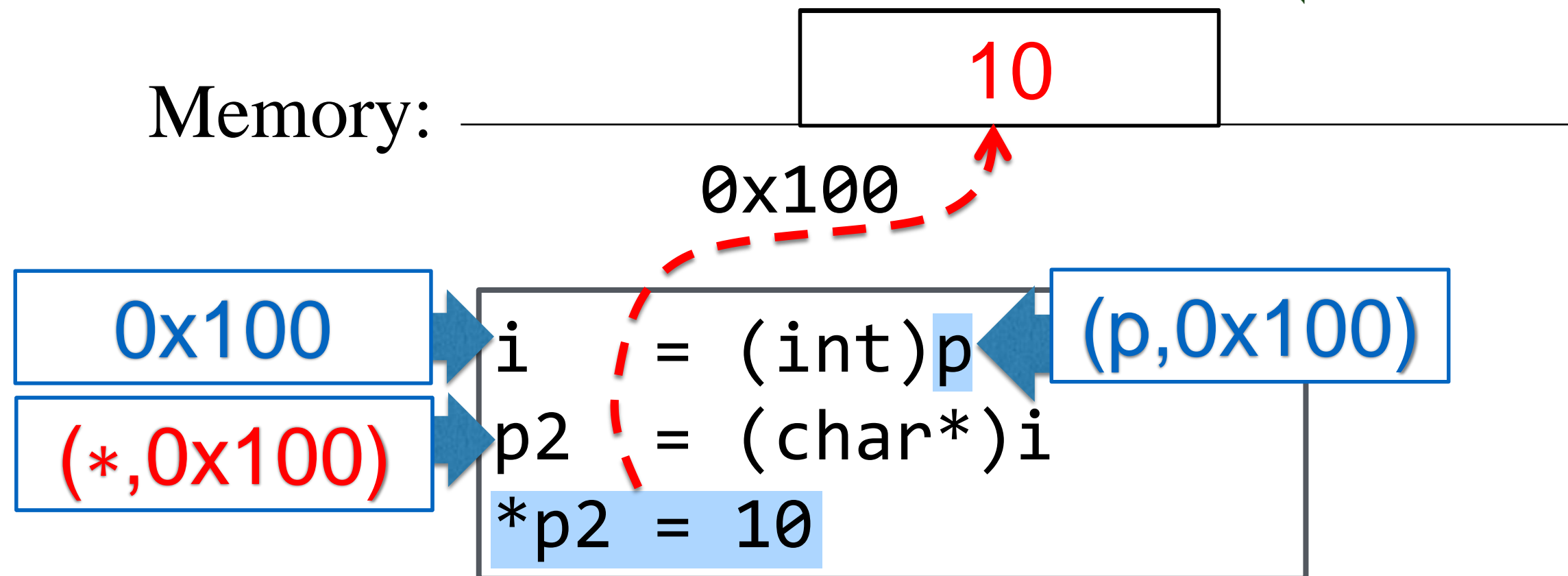
0x100



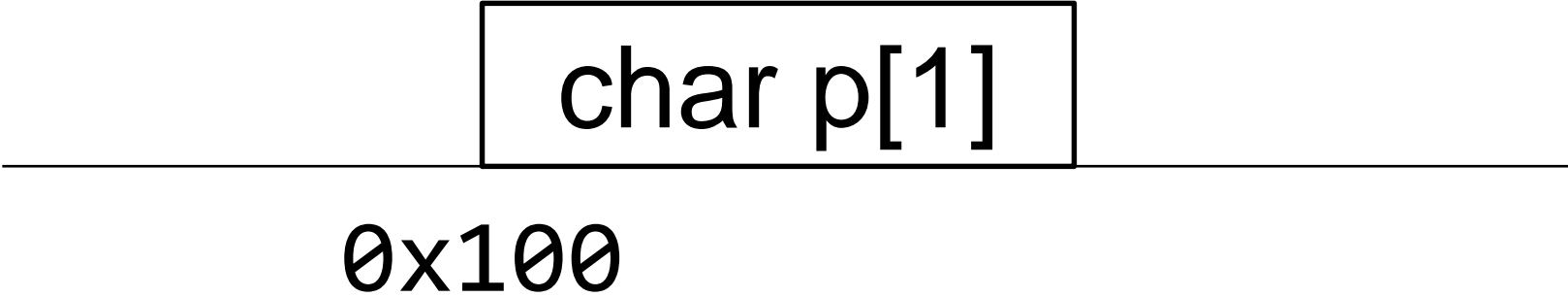
UB

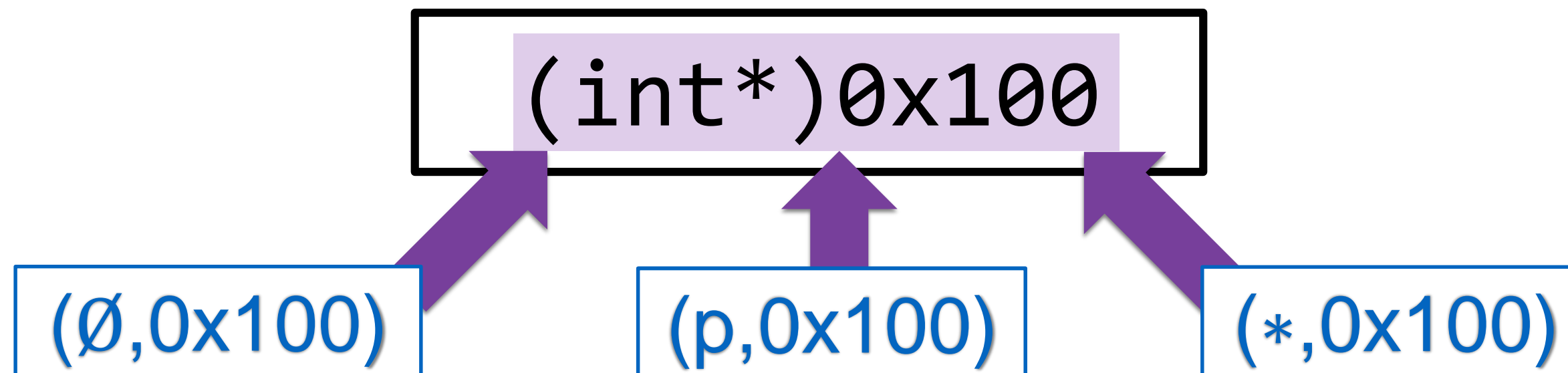
Empty Provenance: Pointer – Integer Round Trip

3. Always Full



Integer \rightarrow Pointer Casting?

Memory:  `char p[1]`
 $0x100$



1. Always Empty



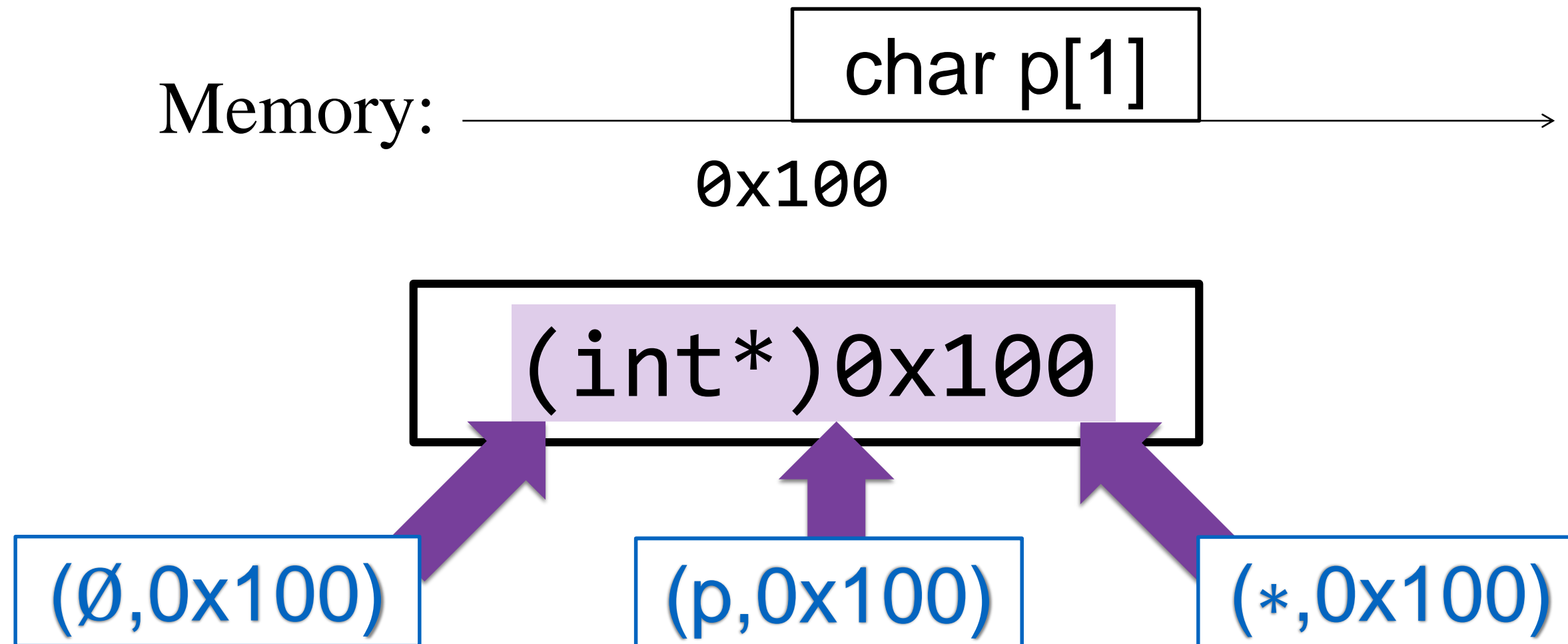
2. Depending on
the Memory Layout



3. Always Full



Integer \rightarrow Pointer Casting?



1. Always Empty

2. Depending on
the Memory Layout

3. Always Full

Depending on the Memory Layout: Reordering

2. Depending on the Memory Layout

Memory:

char p[1]

0x100

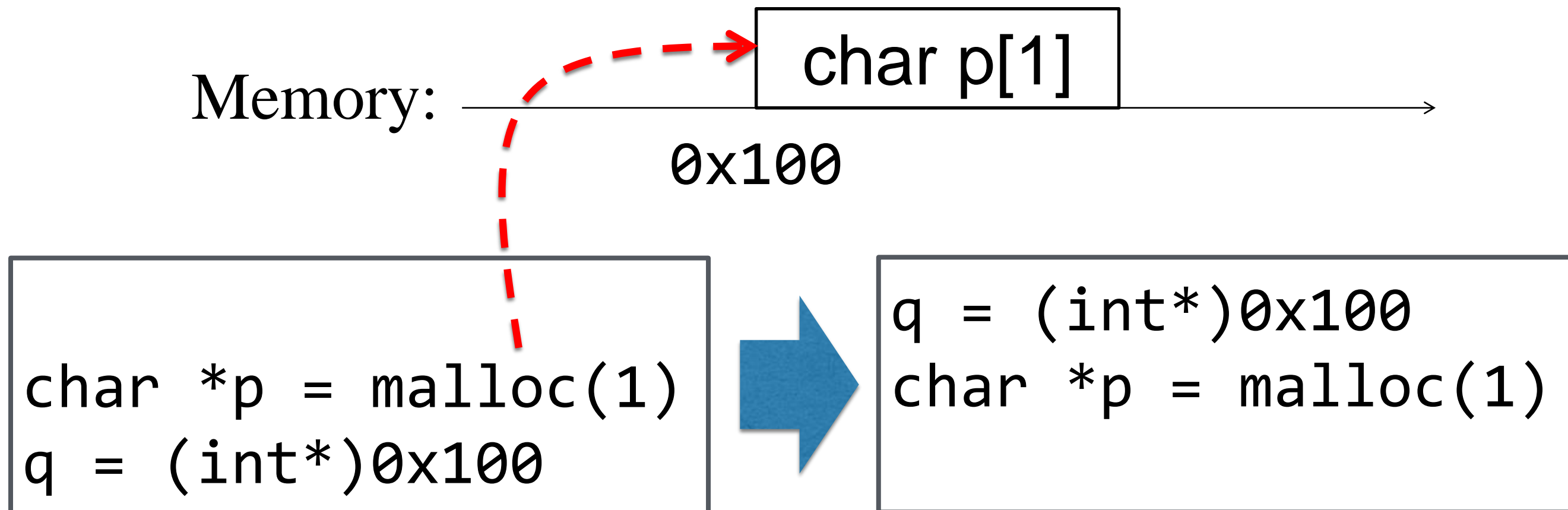
```
char *p = malloc(1)
q = (int*)0x100
```



```
q = (int*)0x100
char *p = malloc(1)
```

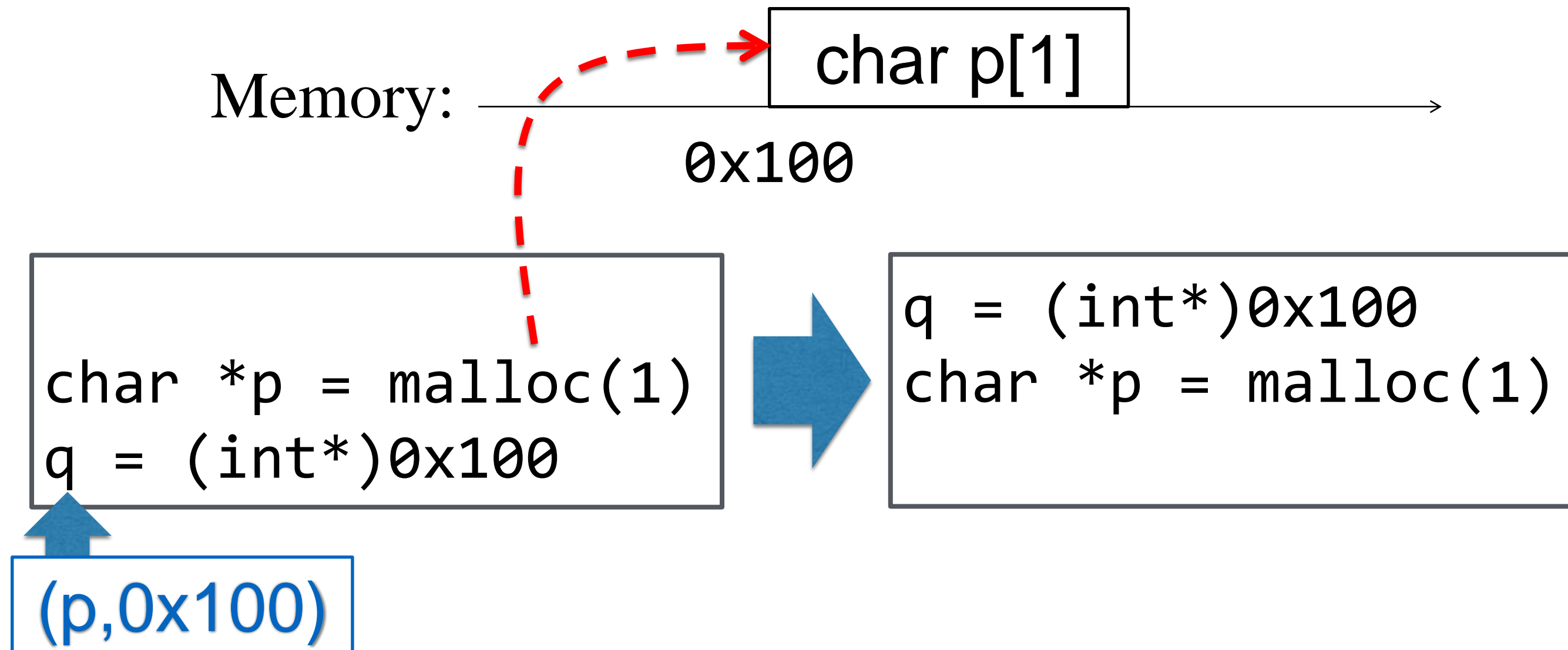
Depending on the Memory Layout: Reordering

2. Depending on the Memory Layout



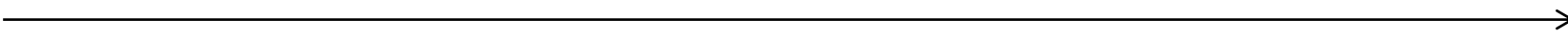
Depending on the Memory Layout: Reordering

2. Depending on the Memory Layout



Depending on the Memory Layout: Reordering

2. Depending on the Memory Layout

Memory: 
0x100

```
char *p = malloc(1)  
q = (int*)0x100
```

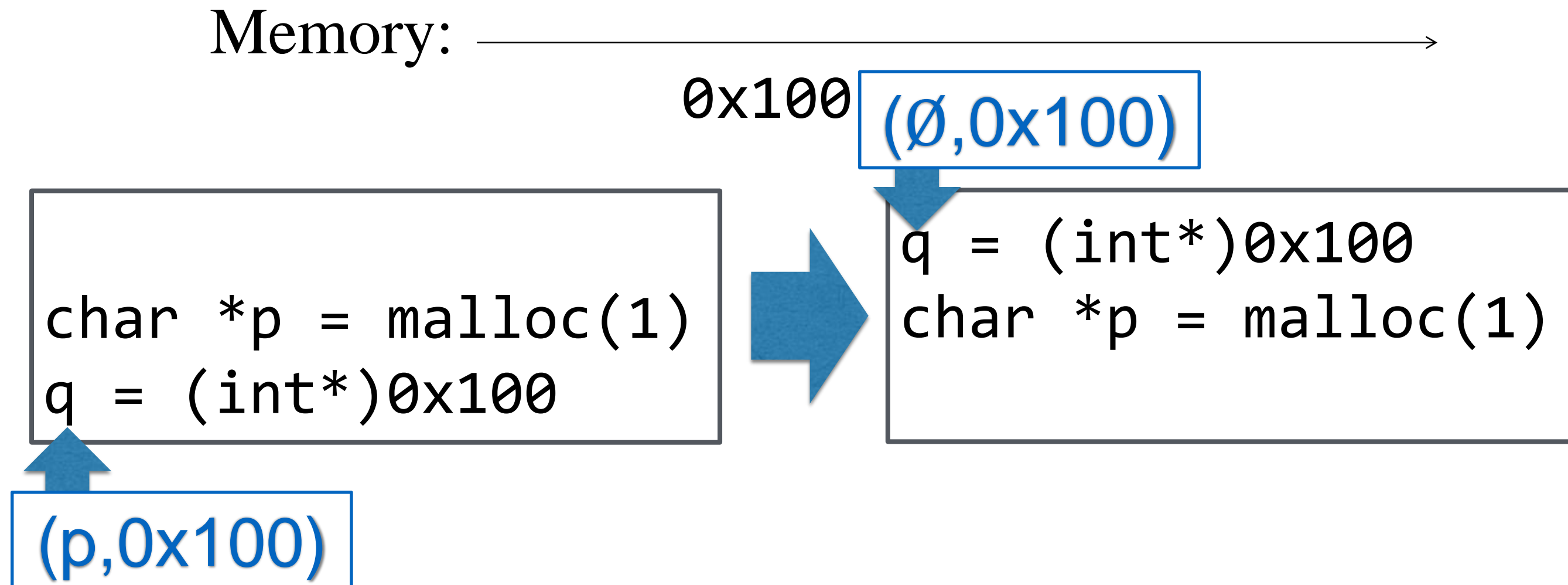
(p, 0x100)



```
q = (int*)0x100  
char *p = malloc(1)
```

Depending on the Memory Layout: Reordering

2. Depending on the Memory Layout



Depending on the Memory Layout: Reordering

2. Depending on the Memory Layout

Problem

Movement of casts, or functions including them, is restricted

```
char *p = malloc(1)  
q = (int*)0x100
```

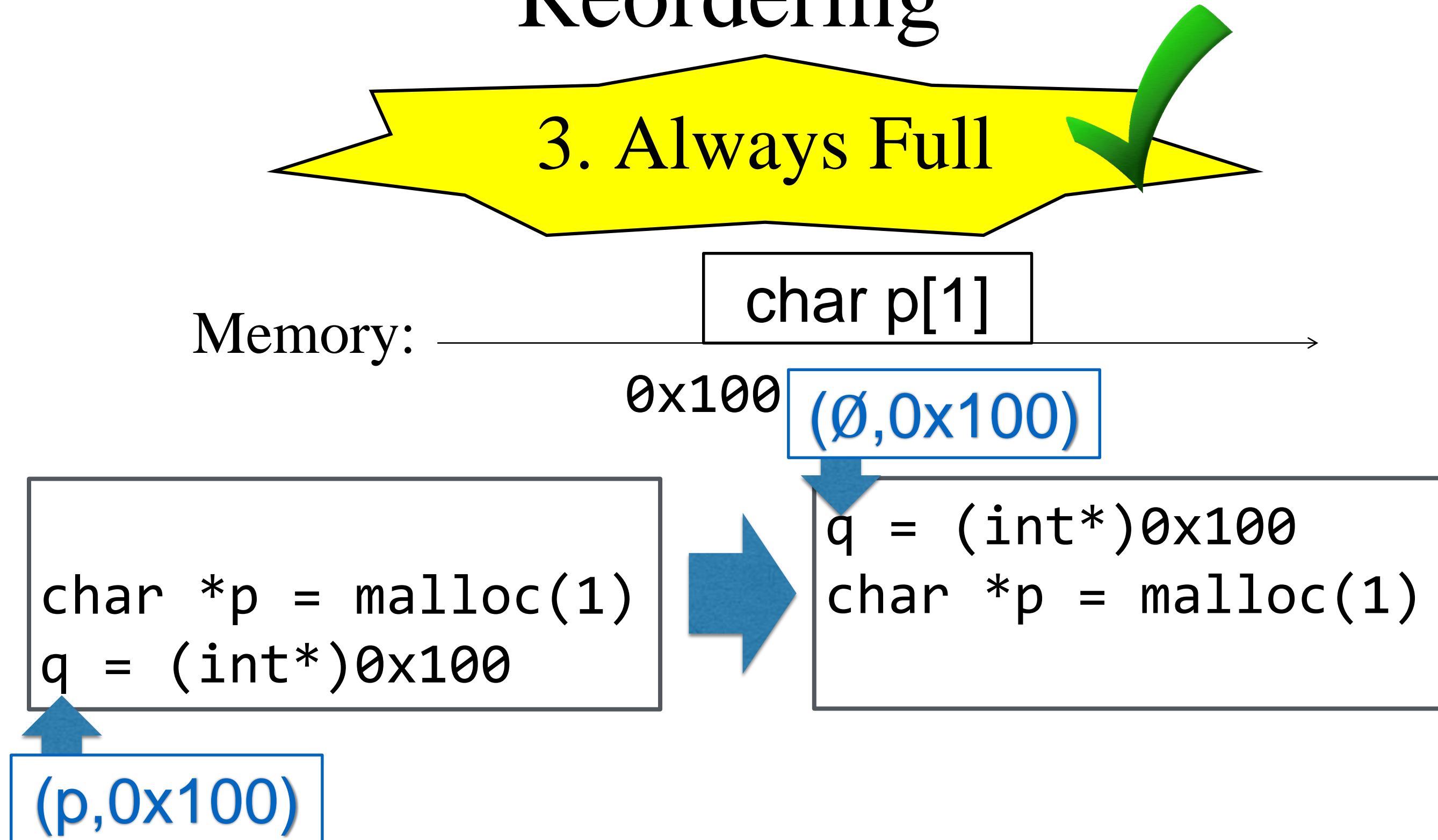
(p,0x100)



```
q = (int*)0x100  
char *p = malloc(1)
```

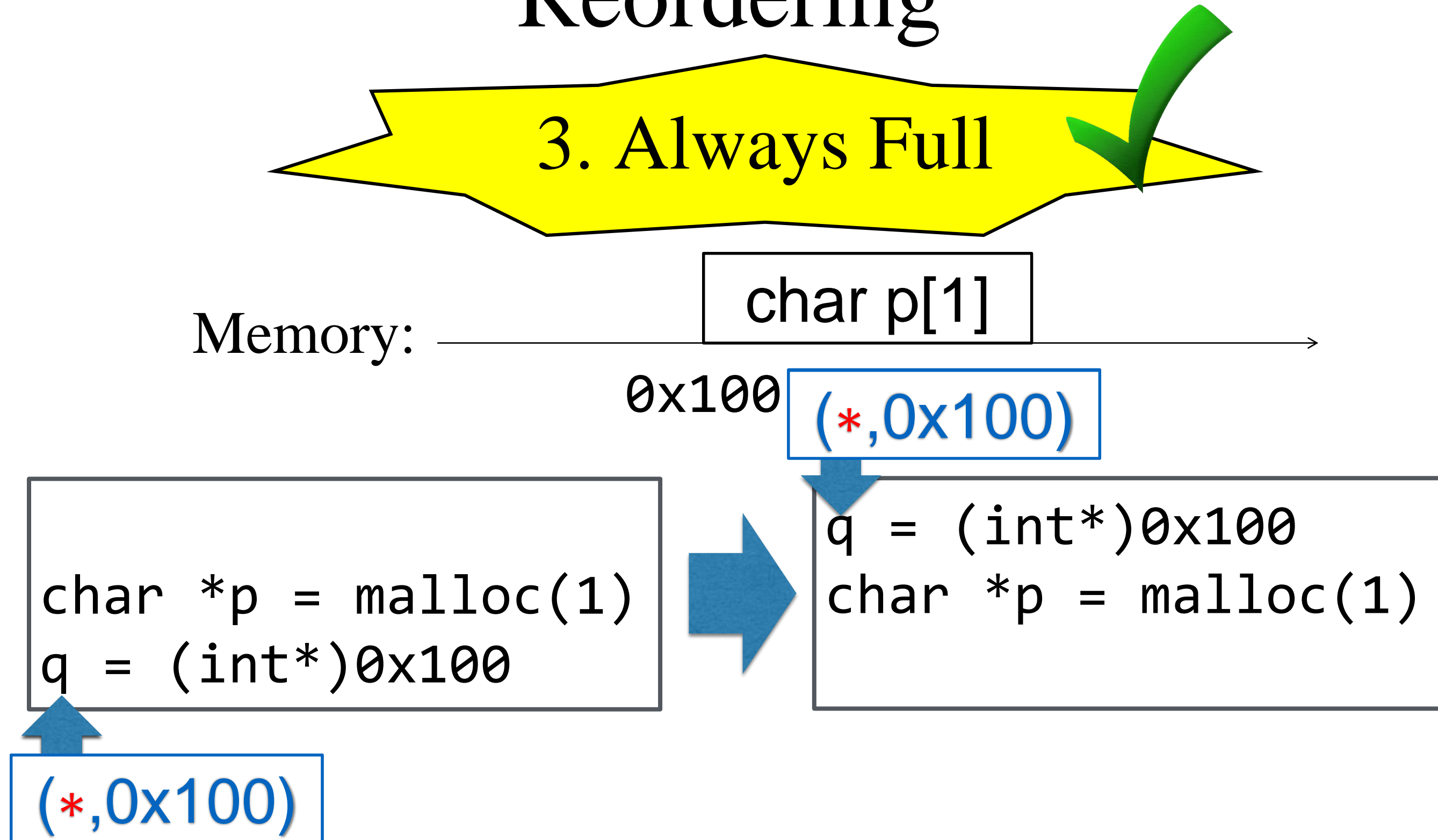
Depending on the Memory Layout: Reordering

3. Always Full



Depending on the Memory Layout: Reordering

3. Always Full



Problem 3

Problems with Full Provenance

Anyone can modify other's local variables by

1. Guessing their addresses &
2. Acquiring full provenance via casting

```
char p[1] = {0};  
f();  
print(p[0]);
```


Problems with Full Provenance

Anyone can modify other's local variables by

1. Guessing their addresses &
2. Acquiring full provenance via casting

```
char p[1] = {0};  
f();  
print(p[0]);
```



constant
prop.

```
char p[1] = {0};  
f();  
print(0);
```

Problems with Full Provenance

Anyone can modify other's local variables by

1. Guessing their addresses &
2. Acquiring full provenance via casting

(p, 0x100)

```
char p[1] = {0};  
f();  
print(p[0]);
```



constant
prop.

```
char p[1] = {0};  
f();  
print(0);
```

Problems with Full Provenance

Anyone can modify other's local variables by

1. Guessing their addresses &
2. Acquiring full provenance via casting

(p,0x100)

```
char p[1] = {0};  
f();  
*(char*)(0x100)=1;  
print(p[0]);
```

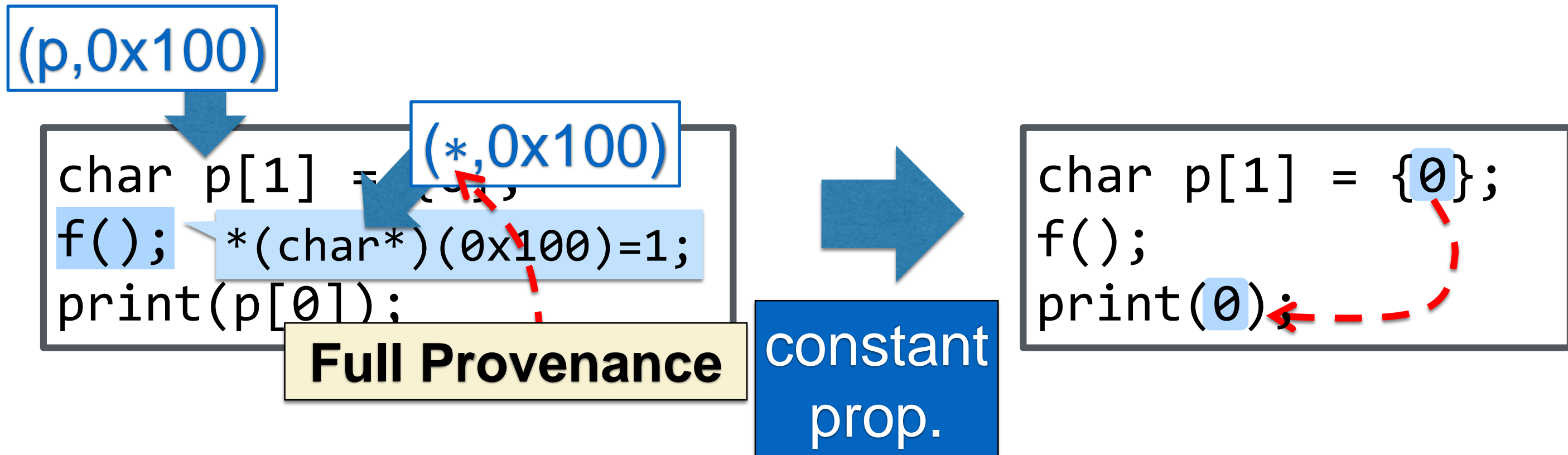
constant
prop.

```
char p[1] = {0};  
f();  
print(0);
```

Problems with Full Provenance

Anyone can modify other's local variables by

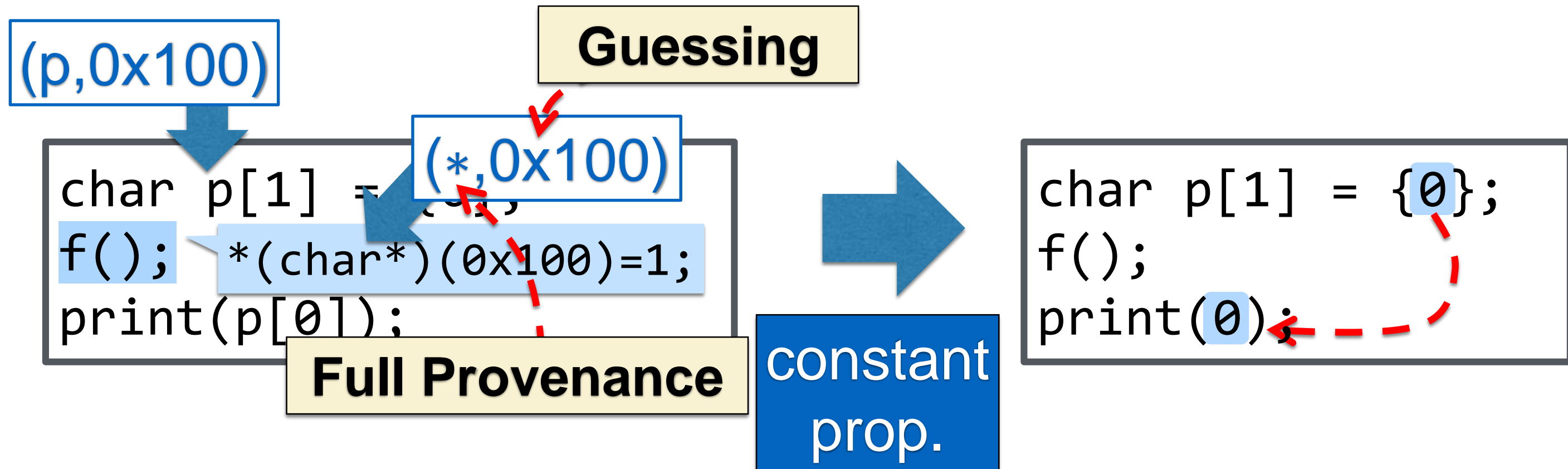
1. Guessing their addresses &
2. Acquiring full provenance via casting



Problems with Full Provenance

Anyone can modify other's local variables by

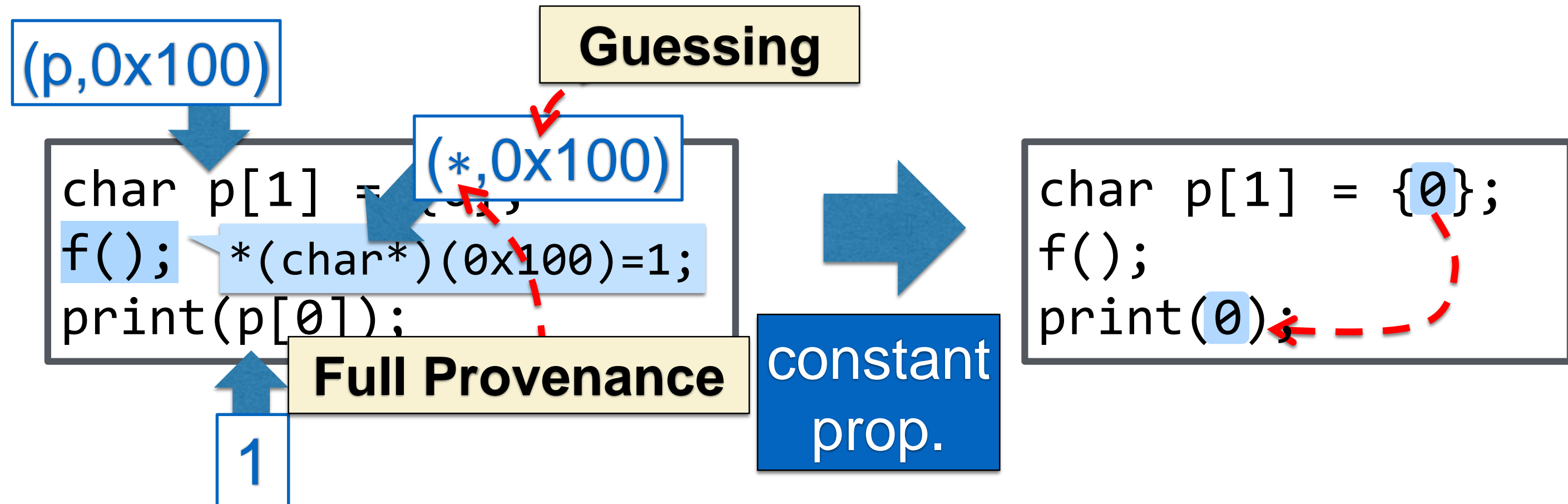
1. Guessing their addresses &
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Problems with Full Provenance

Anyone can modify other's local variables by

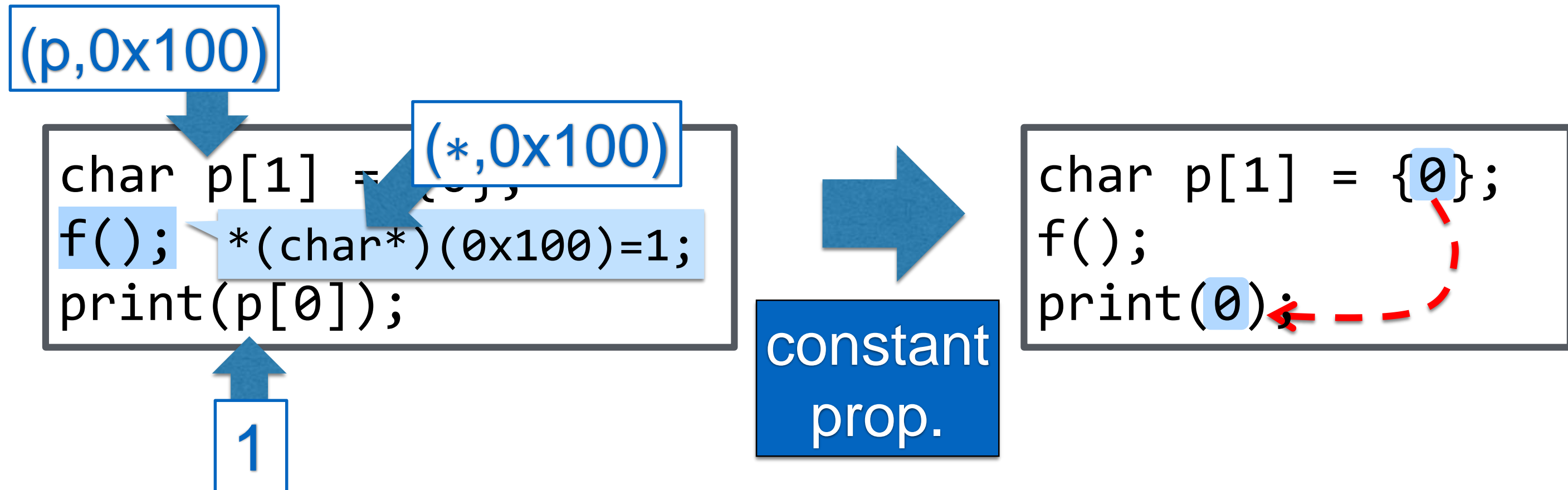
1. Guessing their addresses &
2. Acquiring full provenance via casting



Our Solution

Basic Idea

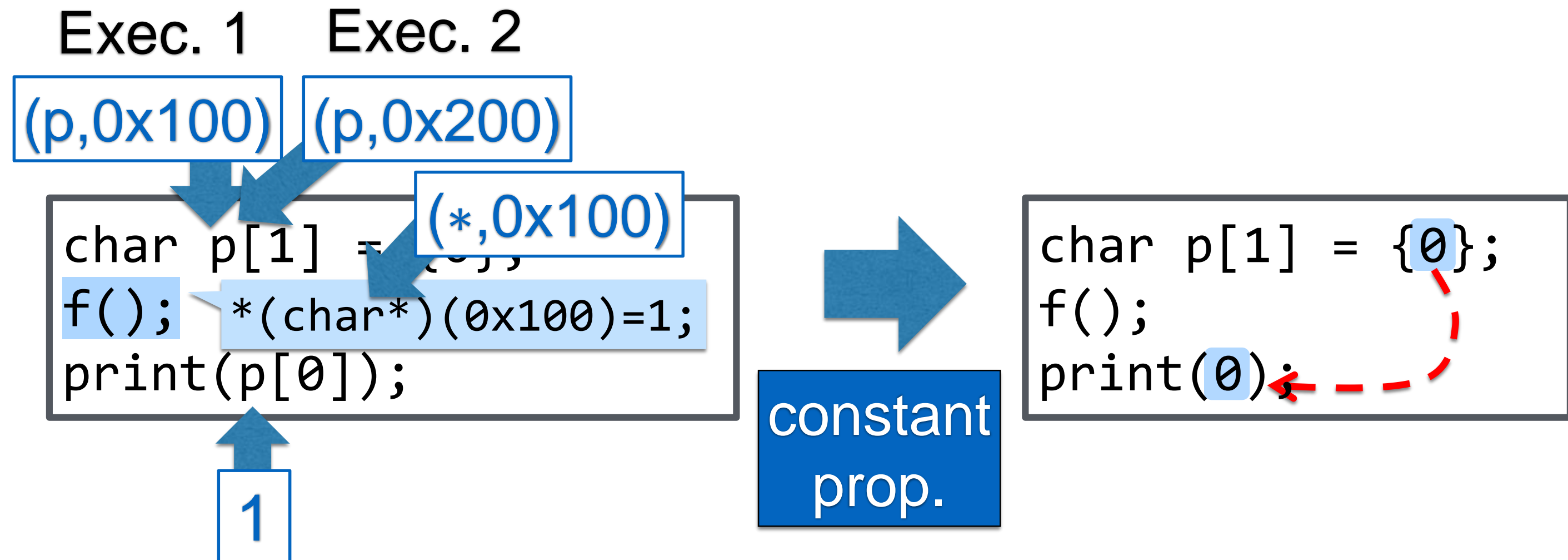
Exploit Nondeterministic Allocation



Our Solution

Basic Idea

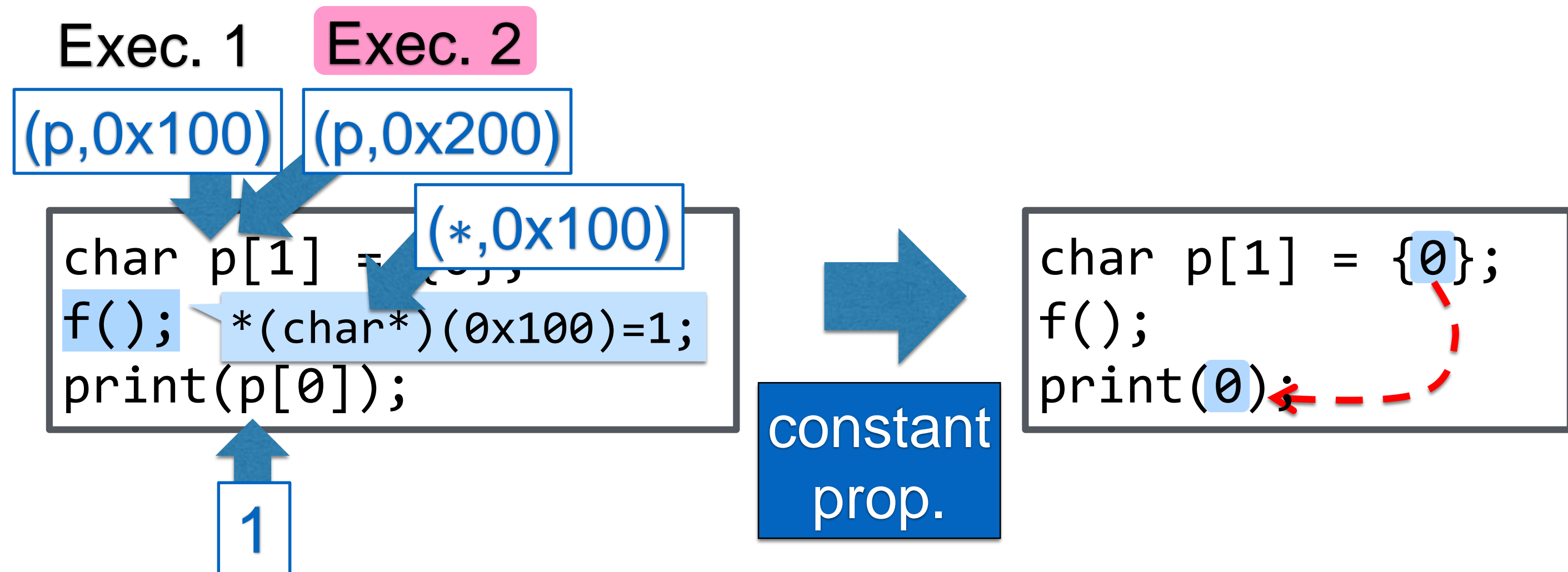
Exploit Nondeterministic Allocation



Our Solution

Basic Idea

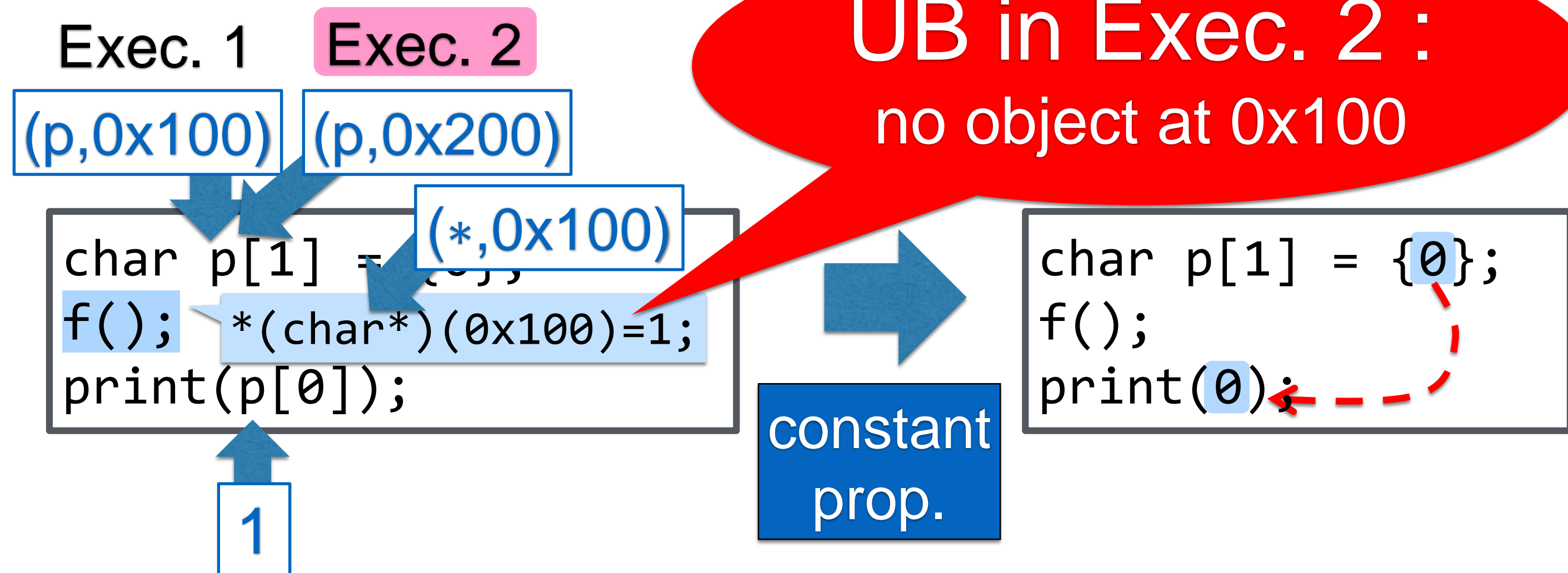
Exploit Nondeterministic Allocation



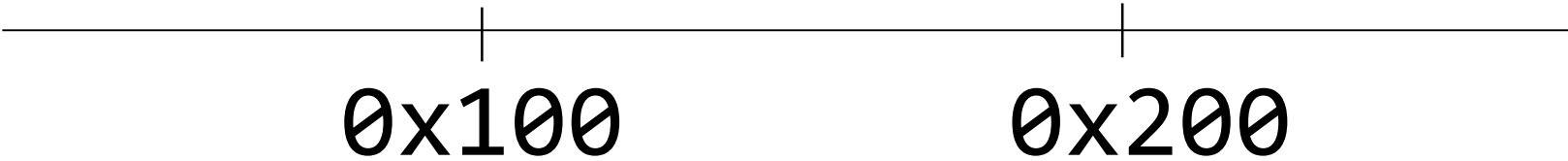
Our Solution

Basic Idea

Exploit Nondeterministic Allocation

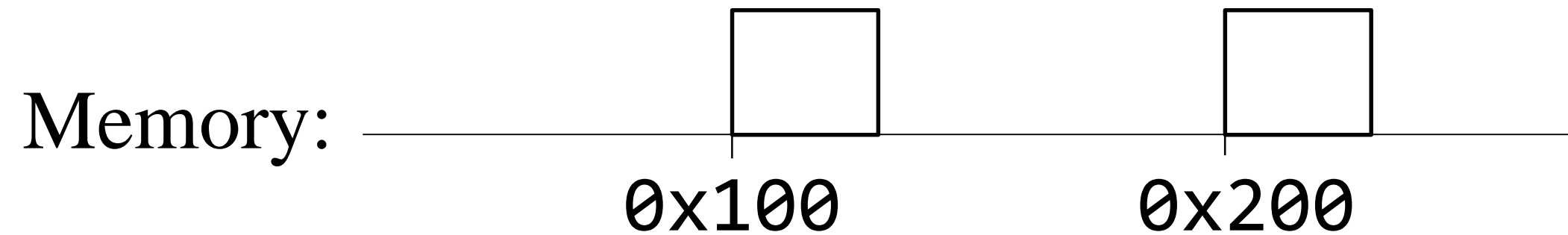


More Formally, Twin Allocation

Memory: 

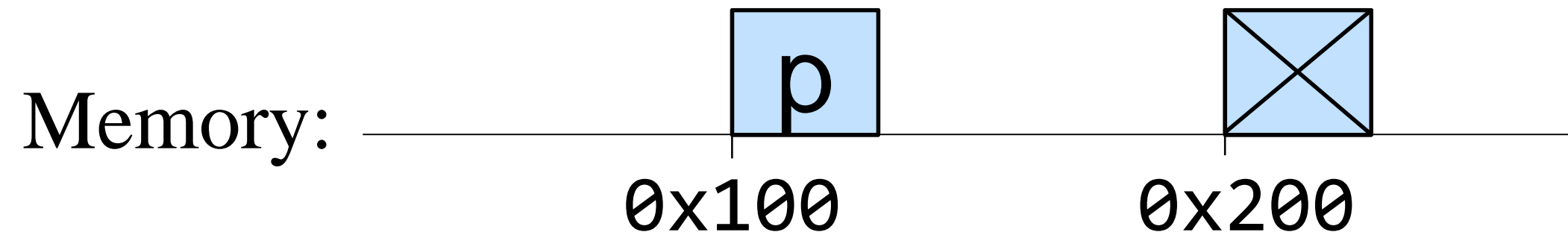
```
char p[1] = {0};  
*(char*)(0x100) = 1;  
print(p[0]);
```

More Formally, Twin Allocation



```
char p[1] = {0};  
*(char*)(0x100) = 1;  
print(p[0]);
```

More Formally, Twin Allocation

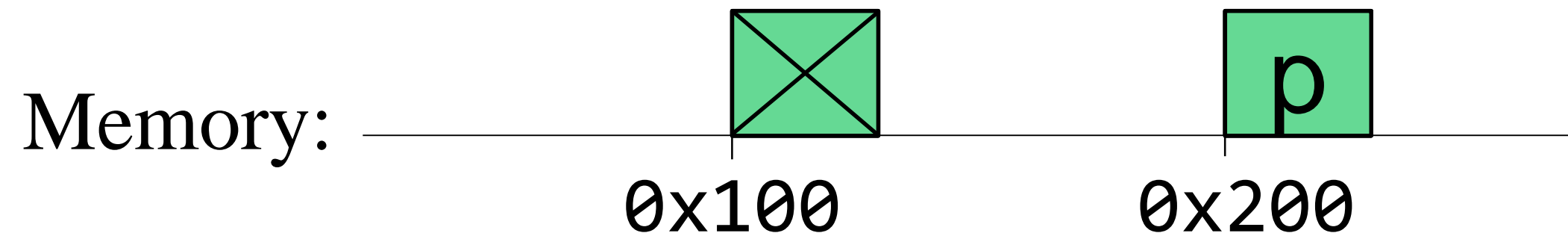


Exec. 1

(p, 0x100)

```
char p[1] = {0};  
*(char*)(0x100) = 1;  
print(p[0]);
```

More Formally, Twin Allocation

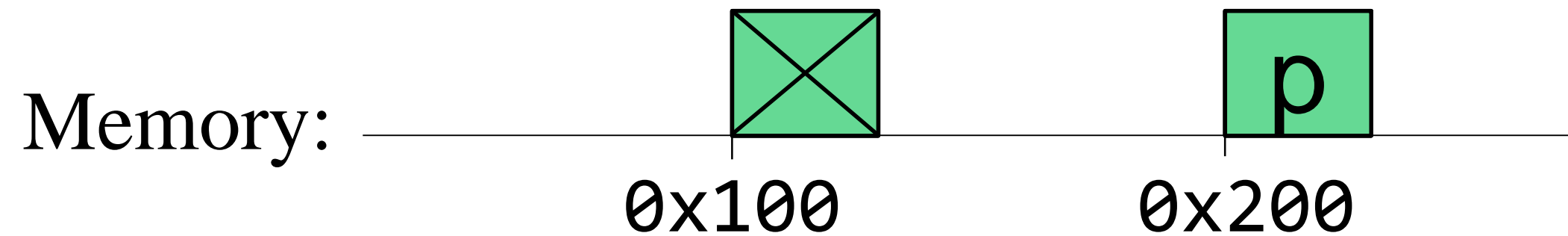


Exec. 1 Exec. 2

`(p, 0x100)` `(p, 0x200)`

```
char p[1] = {0};  
*(char*)(0x100) = 1;  
print(p[0]);
```

More Formally, Twin Allocation



Exec. 1

Exec. 2

(p, 0x100)

(p, 0x200)

```
char p[1] = {0};  
*(char*)(0x100) = 1;  
print(p[0]);
```

UB in Exec. 2 :
inaccessible at 0x100

More Formally, Twin Allocation

N.B.

This argument works only for unobserved addresses

Exec. 1

Exec. 2

(p, 0x100)

(p, 0x200)

```
char p[1] = {0};  
*(char*)(0x100) = 1;  
print(p[0]);
```

UB in Exec. 2 :
inaccessible at 0x100

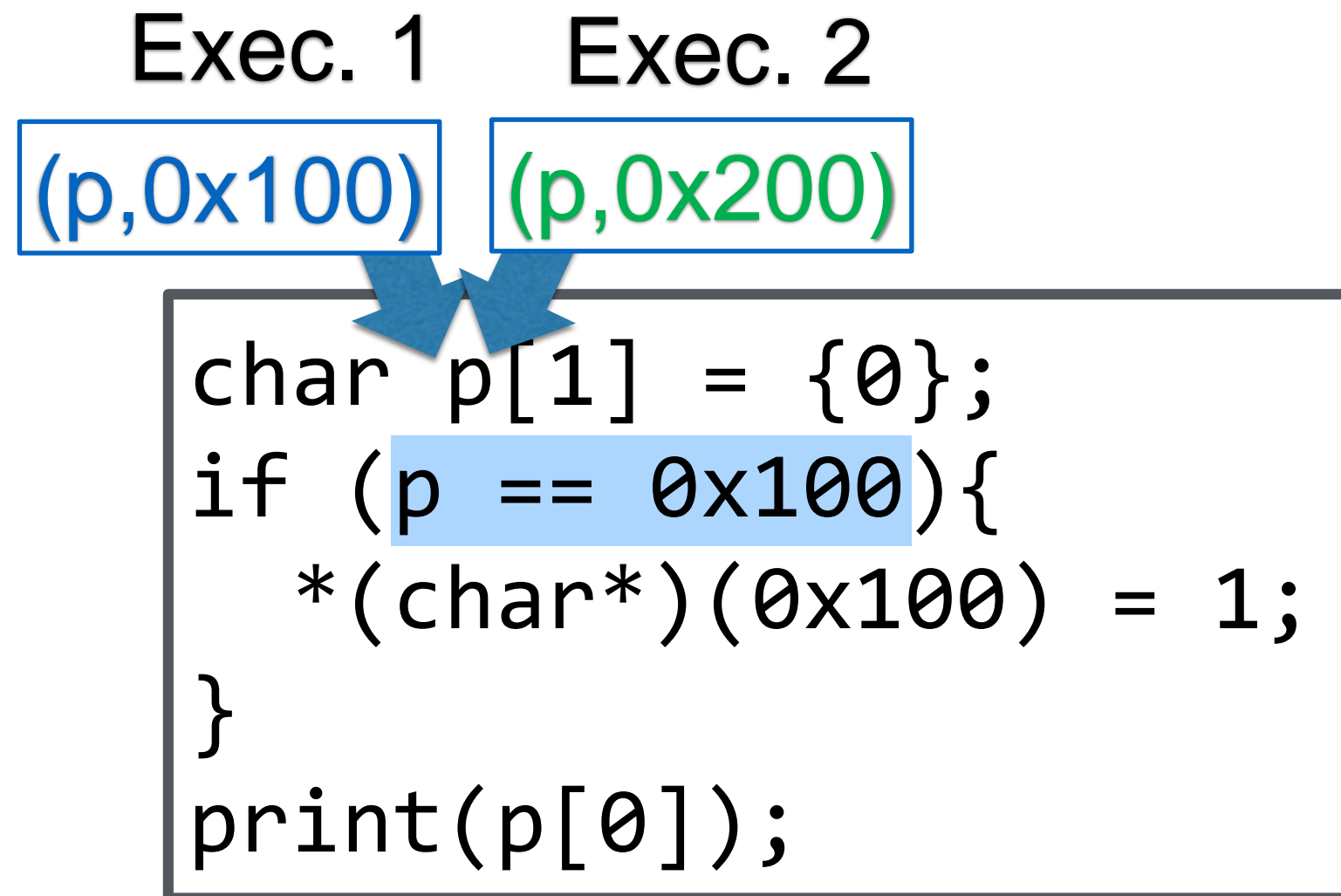
Example with Observed Address

```
char p[1] = {0};  
  
*(char*)(0x100) = 1;  
  
print(p[0]);
```

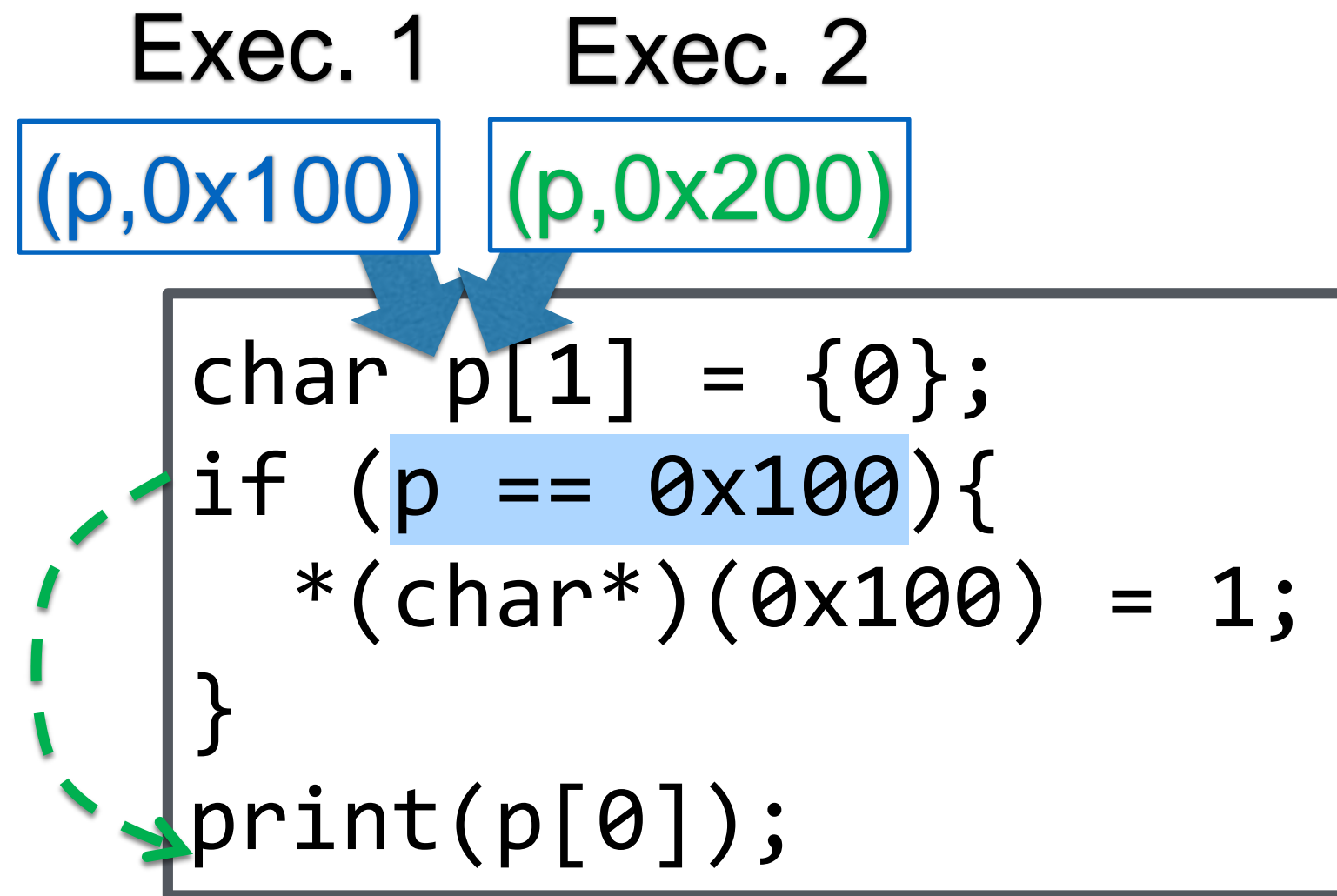
Example with Observed Address

```
char p[1] = {0};  
if (p == 0x100){  
    *(char*)(0x100) = 1;  
}  
print(p[0]);
```

Example with Observed Address



Example with Observed Address



Example with Observed Address

Exec. 1

Exec. 2

(p, 0x100)

(p, 0x200)

No UB
in Exec. 2

```
char p[1] = {0};  
if (p == 0x100){  
    *(char*)(0x100) = 1;  
}  
print(p[0]);
```

Consistent with common compilers' assumption: Observed variables can be modified by others

EXEC. 1 EXEC. 2

(p,0x100) (p,0x200)

No UB
in Exec. 2

```
char p[1] = {0};  
if (p == 0x100){  
    *(char*)(0x100) = 1;  
}  
print(p[0]);
```

Miscompilation Revisited

```
char p[1],q[1]={0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(char*)(int)(p+1)=10;  
    print(q[0]);  
}
```

int. eq.
prop.

```
char p[1],q[1]={0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(char*)iq = 10;  
    print(q[0]);  
}
```

cast
elim.

```
char p[1],q[1] = {0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(p+1) = 10;  
    print(q[0]);  
}
```

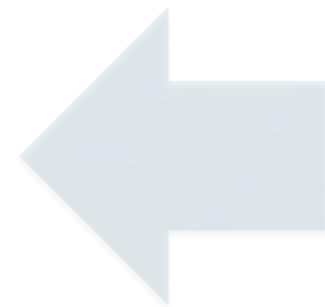
constant
prop.

```
char p[1],q[1] = {0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(p+1) = 10;  
    print(0);  
}
```

Miscompilation Revisited

```
char p[1],q[1]={0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(char*)(int)(p+1)=10;  
    print(q[0]);  
}
```

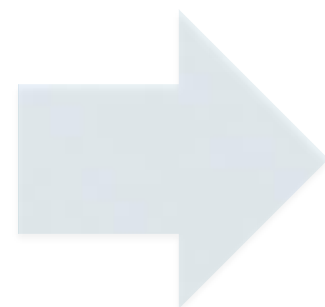
int. eq.
prop.



```
char p[1],q[1]={0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(char*)iq = 10;  
    print(q[0]);  
}
```

cast
elim.

```
char p[1],q[1] = {0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(p+1) = 10;  
    print(q[0]);  
}
```



constant
prop.

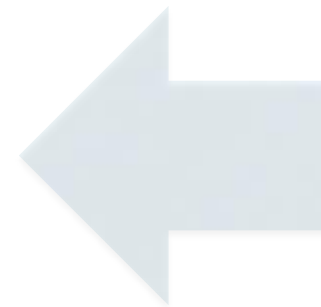
```
char p[1],q[1] = {0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(p+1) = 10;  
    print(0);  
}
```


Miscompilation Revisited

Can Access q[0]
due to Full Prov.

```
if (ip == ip) {  
    *(char*)(int)(p+1)=10;  
    print(q[0]);  
}
```

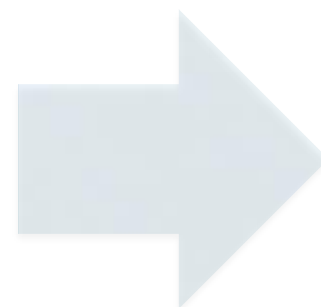
int. eq.
prop.



```
char p[1],q[1]={0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(char*)iq = 10;  
    print(q[0]);  
}
```

cast
elim.

```
char p[1],q[1] = {0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(p+1) = 10;  
    print(q[0]);  
}
```



constant
prop.

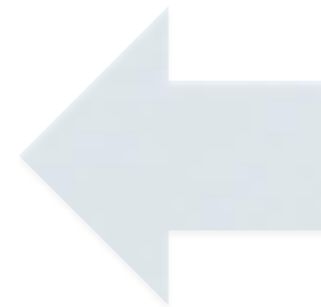
```
char p[1],q[1] = {0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(p+1) = 10;  
    print(0);  
}
```

Miscompilation Revisited

Can Access q[0]
due to Full Prov.

```
if (i == ip) {  
    *(char*)(int)(p+1)=10;  
    print(q[0]);  
}
```

int. eq.
prop.

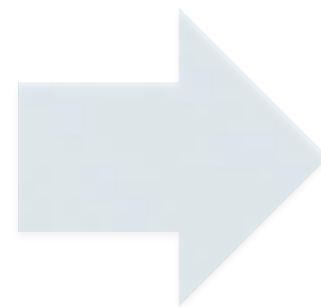


```
char p[1],q[1]={0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(char*)iq = 10;  
    print(q[0]);  
}
```

cast
elim.

Cannot Access q[0]
due to Prov. p

```
if (i == ip) {  
    *(p+1) = 10;  
    print(q[0]);  
}
```



constant
prop.

```
char p[1],q[1] = {0};  
int ip = (int)(p+1);  
int iq = (int)q;  
if (iq == ip) {  
    *(p+1) = 10;  
    print(0);  
}
```

Miscompilation Revisited

**Ptr \rightarrow Int \rightarrow Ptr Cast Elimination
Is Unsound:
A Potential Performance Issue**

due to Prov. p

constant
prop.

Solution to the Cast Elim. Problem

Reducing # of Int \leftrightarrow Ptr Casts

- Most casts are introduced by compilers for convenience
- We recovered performance by reducing unnecessary casts
 - Int \rightarrow Ptr: 95% removed
 - Ptr \rightarrow Int: 75% removed

Solution to the Cast Elim. Problem

**The paper includes more details
& a formal specification**

Implementation & Evaluation

- We fixed LLVM 6.0 to be sound in our memory model
- We had to change only 1.7K LOC in total
- Benchmark Results
 - SPEC CPU2017 : <0.1% avg, <0.5% max slowdown
 - LLVM Nightly Tests : <0.1% avg , <3% max slowdown
- We verified key properties of our memory model in Coq

Conclusion

- We develop a memory model for IR which supports both low-level code & high-level optimizations
- We use full provenance & twin allocation to reconcile them
- Applying our model to LLVM has little impact on performance