

## **SECTION 3**

### **RECRUITMENT AND STAFFING**

#### **3. 09 CRIMINAL RECORDS CHECK**

**AUTHORITY:** CIVIL SERVICE ACT  
CIVIL SERVICE ACT REGULATIONS

**ADMINISTRATION:** PEI PUBLIC SERVICE COMMISSION  
GOVERNMENT DEPARTMENTS/AGENCIES

<b>Sub-section 3.09</b>	<b>Date: 31 October 2017</b>	<b>Page</b>	<b>1 of 7</b>
<b>Criminal Records Check</b>	<b>Reviewed: May 15, 2020</b>		

## 1. PURPOSE

- 1.01 The PEI Public Service Commission is committed to employment policies which respect all legal requirements of employment in the Provincial Civil Service and which ensure the appointment of only qualified persons of good character. This commitment is imperative to ensure the security of the Employer's property and assets and to ensure the safety and security of all persons who work for the Provincial Civil Service or rely on its services.

## 2. OBJECTIVES

- 2.01 This Policy is to ensure the Government of Prince Edward Island protects the public interest in the delivery of public services by requiring New Hires and employees in Designated Positions to provide a satisfactory criminal record check.
- 2.02 This Policy will also ensure adherence to law requiring a causal connection between the presence or absence of a criminal record and the employment, based on the *Human Rights Act*, R.S.P.E.I. 1988, Cap. H-12, Clause 6(1)(b):  
 “No person shall refuse to employ or continue to employ any individual (b) because the individual has been convicted of a criminal or summary conviction offence that is unrelated to the employment or intended employment of the individual.”

Employers do not have a presumptive right of access to the criminal history of their employees. However, a criminal conviction may bear meaning on a person's ongoing employment, if the conviction satisfies the requirements of “Relevant” and “Necessary”.

## 3. INTERPRETATION

- 3.01 In this Policy:

“Designated Position” means those positions designated by the Employer as being responsible for the Province’s property and assets and/or a position working with a vulnerable demographic.

“New Hire” means a candidate to whom an offer of employment has been made. The term “an offer of employment” in this policy includes those

<b>Sub-section 3.09</b>	<b>Date: 31 October 2017</b>	<b>Page</b>	<b>2 of 7</b>
<b>Criminal Records Check</b>	<b>Reviewed: May 15, 2020</b>		

defined in section 9 and 10 of the *Civil Service Act*. Where applicable, it also includes volunteers, contractors, fee for service individuals, and contract employees.

- 3.02 There are two types of Criminal Record Checks (Standard and Vulnerable)
- 1) Standard (Four Types) - Levels 1 – 4
    - a. Level 1 - Records of criminal conviction for which a pardon has not been granted
    - b. Level 2 - Records of criminal conviction for which a pardon has not been granted and outstanding charges that the Police are aware of
    - c. Level 3 - Records of criminal conviction for which a pardon has not been granted and outstanding charges that the Police are aware of and records of discharge which have not been removed (all charges regardless of disposition)
    - d. Level 4 - Records of criminal conviction for which a pardon has not been granted and outstanding charges that the Police are aware of and records of discharge which have not been removed (all charges regardless of disposition) and check on all local Police databases, court and law enforcement agency databases (Also referred as "Police Record Check")
  - 2) Vulnerable Sector defined as a minor (less than 18 years of age) and;
    - a) persons who, because of their age, a disability or other circumstance, whether temporary or permanent, are in a position of dependence on others; or
    - b) are otherwise at a greater risk than the general population of being harmed by others in a position of authority or trust relative to them.
- 3.03 In Canada, criminal records are stored in Criminal Records Information Management Services, a centralized database operated by the Royal Canadian Mounted Police under the Canadian Police Information Centre since 1972. The Database contains all convictions for which a Pardon has not been granted, all charges regardless of disposition, outstanding

<b>Sub-section 3.09</b>	<b>Date: 31 October 2017</b>	<b>Page</b>	<b>3 of 7</b>
<b>Criminal Records Check</b>	<b>Reviewed: May 15, 2020</b>		

warrants, and charges, all judicial orders and any other information that may be of interest to Police Investigations.

#### **4. APPLICATION**

- 4.01 This policy will apply to New Hires and employees in Designated Positions.
- 4.02 Any individual who is an employee of the Provincial Civil Service as of the date this policy comes into force is exempt from the application of this policy, unless the employee is not in a designated position and subsequently is appointed to a Designated Position.

#### **5. PRODUCTION REQUIREMENTS**

##### New Hire

- 5.01 Any New Hire will be required to provide a current Criminal Record Check (the check must include vulnerable sector inquiry) and, if requested, an abstract of criminal record.
- 5.02 A New Hire's offer of employment shall be conditional on the employer being satisfied that the candidate either has no criminal record or has a criminal record which does not give rise to a reasonable concern regarding the security of the Province's property and assets and/or the safety and security of all persons who work for the Provincial Civil Service or rely on its services.
- 5.03 Any cost to a New Hire to obtain such a Criminal Record Check or criminal abstract shall be the responsibility of the candidate New Hire.

##### Designated Position

- 5.04 Any current employee that is employed in a Non Designated position and is given an employment offer in a Designated Position will be required to provide a Criminal Record Check prior to accepting the job offer.
- 5.05 Any cost associated with obtaining a Criminal Record Check once an individual is employed by the Provincial Civil Service, shall be paid by the Employer.

<b>Sub-section 3.09</b>	<b>Date: 31 October 2017</b>	<b>Page</b>	<b>4 of 7</b>
<b>Criminal Records Check</b>	<b>Reviewed: May 15, 2020</b>		

## **6. PROCESS**

- 6.01 An individual who is required to submit criminal record information pursuant to this policy shall:
- a) make application, in person, to the local police authority nearest their place of residence or other agency where so directed by their local police authority. Persons living in the Charlottetown area should note that police authorities in the Charlottetown area may not process such applications. Such applications may be made at the International Fingerprint Services Canada (I.F.S.C.) (see Attachment 3: Criminal Record Check - Q & A).
  - b) upon application, provide proof of identity to the appropriate police authority or other agency.
  - c) submit the original Criminal Record Check to the Human Resources Manager or designate.
- 6.02 Where the Criminal Record Check indicates no criminal record exists:
- a) in the case of a New Hire, the offer of employment becomes effective; or
  - b) in the case of an employee in a Designated Position, no further steps will be taken.
- 6.03 Where the Criminal Record Check indicates the individual may have a criminal record, the Human Resources Manager or designate shall request the individual provide a criminal abstract in order to obtain further information on the matter.
- 6.04 An individual required to provide a criminal abstract shall contact a police authority or I.F.S.C. agency as noted above and submit an original of the criminal abstract to the Human Resources Manager or designate. The Manager or designate shall make and retain a copy of the abstract and return the original to the candidate. Provision of fingerprints may be a prerequisite to obtaining a criminal abstract.

<b>Sub-section 3.09</b>	<b>Date: 31 October 2017</b>	<b>Page</b>	<b>5 of 7</b>
<b>Criminal Records Check</b>	<b>Reviewed: May 15, 2020</b>		

## 7. CONFIDENTIALITY

- 7.01 All criminal record checks and criminal abstracts will be forwarded to the Departmental Human Resources Manager or designate and marked "Confidential" and the Human Resources Manager or designate will adjudicate any findings of a criminal history. In order to adjudicate the findings, the Departmental Human Resources Manager or designate may consult with the PEI Public Service Commission to obtain legal or other professional resources, provided the consultation occurs in a manner which does not reveal the identity of the individual concerned.
- 7.02 A copy of the criminal record check and, if applicable, criminal abstract will be entered into a secure file and the original returned to the candidate. This information is strictly confidential and will be kept sealed and in a separate, locked storage area and does not become part of the official personnel file of the employee. Access to such information is restricted to a single administrative employee charged with responsibility for management of all information relating to criminal record checks and abstracts and to persons directly involved in the hiring process for the position for which the candidate applied. It will be used only in relation to the initial hiring decision.

## 8. CRIMINAL RECORD ESTABLISHED

- 8.01 Where it is determined that a New Hire or an employee in a Designated Position has a criminal record, the PEI Public Service Commission shall consider each of the following factors before deciding to make an unconditional offer of employment, or to take any other actions:
- a) nature of the crime(s) and sentence(s) ordered by the court;
  - b) dates of conviction and sentence;
  - c) probability of re-offending;
  - d) risk to clients, staff and/or property and assets of the Department;
  - e) nature of the job;
  - f) requirements of relevant legislation, including the P.E.I. *Human Rights Act*; and
  - g) any other relevant and probative information received bearing on the character of the candidate and the other factors listed herein.

A Risk Assessment Form must be completed by the Divisional Director (Attachment 2).

<b>Sub-section 3.09</b>	<b>Date: 31 October 2017</b>	<b>Page</b>	<b>6 of 7</b>
<b>Criminal Records Check</b>	<b>Reviewed: May 15, 2020</b>		

## **9. REPORTING A CRIMINAL CONVICTION**

- 9.01 If an employee is charged or convicted of an offense at anytime, the employee is required to report, as soon as reasonably possible, to department management who will consult with the PEI Public Service Commission to review the details and assess the relevance of the conviction.
- 9.02 Failure to report the charge or conviction as soon as reasonably possible may result in disciplinary action up to and including dismissal. The need for immediate action within the workplace will be determined in accordance to the *Civil Service Act* and Human Resource Policy and Procedures Manual.
- 9.03 In assessing the relevance of charges, the Department will adhere to the Human Rights Legislation (6)(1)(b), as outlined in Section 3 of this Policy. The HR Manager for the Department is responsible for prompt investigation of the circumstances of the alleged misconduct by an employee to determine the appropriate response that balances the interests of the employee and the interests of the Employer, pending the outcome of court proceedings. The investigation will be completed in consultation with the PEI Public Service Commission.
- 9.04 The absence of legal culpability regarding the alleged misconduct does not eliminate the Employers obligation to investigate and/or address it in accordance to Section 31 of the *Civil Service Act*.

## **10. DECISION**

- 10.01 In all cases where an offer of employment is in issue, the Director responsible for the position to be filled shall consult with Human Resources Manager and Deputy or their designates, to determine whether to extend an offer of employment as a result of the application of this policy. As noted earlier, the Director and the Human Resources Manager or designate may consult on a confidential basis with others, provided they do so in accordance with section 7 of this policy.
- 10.02 In the event there is a difference of opinion between the Deputy, Director and the Human Resources Manager or designate whether to extend an offer of employment as a result of the application of this policy, the matter shall be referred to the CEO, PEI Public Service Commission whose

<b>Sub-section 3.09</b>	<b>Date: 31 October 2017</b>	<b>Page</b>	<b>7 of 7</b>
<b>Criminal Records Check</b>	<b>Reviewed: May 15, 2020</b>		

decision on the matter shall be final.

## **11. AUTHORITY**

*Civil Service Act*

*Civil Service Act Regulations*

## **12. ATTACHMENTS**

- |               |   |
|---------------|---|
| Attachment 1: | Procedure to Obtain a Criminal Record Check |
| Attachment 2: | Criminal Record - Risk Assessment Form      |
| Attachment 3: | Criminal Record Check - Q &A                |
| Attachment 4: | Declaration Form                            |

## **ATTACHMENT 1: PROCEDURE TO OBTAIN A CRIMINAL RECORD CHECK**

1. You must make application, in person, to the local police authority closest to your residence, or to another agency where so directed by your local police authority. Please note that, Charlottetown area policing authorities do not process such requests. Residents of the Charlottetown area may make application at the International Fingerprint Services located at 119 Kent St, Charlottetown, PEI.
2. Proof of identity is required at the time of application for a criminal record check.
3. A fee may apply to process a criminal record check. Any cost to obtain a criminal record check is the responsibility of the candidate.
4. A criminal record check may take a few days to process.
5. A criminal record check must be obtained in person.
6. The original of the criminal record check must be submitted to the Human Resources Manager or designate. The original will be photocopied and returned to you.

## **ATTACHMENT 2: CRIMINAL RECORD - RISK ASSESSMENT FORM**

**THIS SECTION TO BE COMPLETED BY HUMAN RESOURCES**  
(attached copy of the criminal abstract with name removed)

Candidate's file # \_\_\_\_\_ Date: \_\_\_\_\_

Position Offered: \_\_\_\_\_ Division: \_\_\_\_\_

**THIS SECTION TO BE COMPLETED BY DIVISIONAL DIRECTOR**  
Summarize nature of position:

---

---

Based on job duties and responsibilities and accessibility to clients, staff and/or property and assets of the department, identify the risk.

---

---

Requirements of relevant legislation, if applicable.

---

Any other relevant information to support/deny extending an offer of employment.

---

Proceed with the offer of employment ↑      Do not proceed with offer of employment  
Director:  
Date:

Proceed with the offer of employment      Do not proceed with offer of employment  
HR Manager:  
Date:  
=====

Proceed with the offer of employment ↑      Do not proceed with offer of employment

CEO, PEI Public Service Commission\*: \_\_\_\_\_  
Date: \_\_\_\_\_

(\*Required only if no agreement between Director and Human Resources Manager)

## **ATTACHMENT 3: CRIMINAL RECORD CHECK - Q & A**

- 1. What are the costs associated with obtaining a Criminal Record Check?**
  - A. RCMP:** If the person lives in the same district as the RCMP office, there is no cost to obtain a Criminal Record Check. All new hires require an acceptable CRC, including Volunteers and Students. If 'fingerprints' are required (i.e. as a result of a same name or date of birth of offender), there is a charge of \$25.00.
  - B. Charlottetown City Police:** Volunteers may apply for a CRC with City Police at no charge.
  - C. Global ID Services (902-892-0002) or C5ID & Fingerprinting Solutions Inc. (902-892-1211)** require that the applicant provide two pieces of I.D. and the standard cost is approximately \$25.00 (for Public and Students alike). If fingerprints are required though, there will be additional charges (and this depends on the type of fingerprints, i.e. digital/ink). Also, if there is a requirement to seek further information for an applicant, there may be additional fees.

### **2. When is the Declaration Form required (instead of the CRC)?**

Casual rehires (seasonal) who have not worked for a period longer than three months, are required to sign the Declaration Form (Attachment 4 of the CRC Policy).

### **3. Are returning students and/or volunteers required to provide a new CRC each year or can they simply sign the Declaration instead?**

Returning students, as well as volunteers, non-recall casuals (per section 10 (1)(c) temporary employee of the *Civil Service Act*) are required to provide an updated CRC each year.

### **4. Are returning Employment Development Agency (EDA) employees required to provide an updated CRC or are they treated the same as returning casuals and sign only the Declaration?**

Returning EDA employees are required to provide an updated CRC.

### **5. Are permanent part time seasonal staff (i.e. 50% for 6 months on, 6 months off) also required to sign a Declaration each year?**

Essentially, this group of employees are considered permanent and are >currently= not required to sign a Declaration (similarly, permanent employees who go out on long term leaves, i.e. maternity, long term disability, education, personal, etc. are not required to sign a Declaration).

**6. If a Declaration indicates that a “Pardon” was given to this person, does this mean that an updated CRC is not required?**

It is good due diligence to still require an up to date CRC to confirm the Pardon.

**7. If a Declaration indicates that there was an incident/event, would he/she be required to provide a CRC?**

As per the Declaration, the applicant must provide details regarding the incident/event. The HR Manager would review the incident and make a determination whether a CRC is required. As per current practice, the HR Manager (in consultation with PSC) would then adjudicate the CRC and recommend the re-hire (or not).

**8. When should the employer request a Vulnerable Sector Check instead of the basic Criminal Record Check?**

If the applicant is returning to a position where he/she will be working with vulnerable clients (children/elderly), a Vulnerable Sector Check is required.

**9. Does the Vulnerable Sector Check results include the basic CRC?**

Yes.

**10. Does the CRC results include a Vulnerable Record Check?**

No.

**11. Sections 1. and 2. on the Declaration form indicate that an updated CRC may be required. Who makes that final decision?**

In consultation with the Public Service Commission and the Hiring Manager, the H.R. Manager will provide the final recommendation for hire.

**12. Is it true that persons under the age of 16 cannot obtain a CRC?**

RCMP have advised that they do conduct CRC's for persons under age 16 as long as they have a proper ID and live in the area.

**13. In relation to non-designated employees who transfers from one department to another department within government, are they required to provide a CRC or sign a Declaration?**

No.

**14. In relation to permanent employees hired from the Health PEI, are they required to provide an updated CRC or sign a Declaration?**

No.

**15. In relation to an employment offer to a Designated Position (i.e. Family & Human Services Department), is the employee from another department or Health PEI required to provide a Criminal Record Check prior to accepting the job offer?**

Yes - See section 5.04 >Designated Position in the CRC Policy.

**ATTACHMENT 4:**

**Province of Prince Edward Island (Employer)  
Declaration Form  
Criminal Record/Vulnerable Sector Check**

**Casual rehires (seasonal) who have not worked for a period longer than three months, are required to sign the Declaration Form**

**Submission of false information may result in disciplinary action, up to and including termination of employment.**

I, \_\_\_\_\_ declare:  
*(please print name)*

1. ( ) I have no convictions or offences under the Criminal Code of Canada, up to and including the date of this Declaration;

**OR**

- ( ) I have been convicted of criminal offences under the Criminal Code of Canada. *(If there are any convictions, please submit a separate page to provide information regarding the specifics of the conviction(s), date of the conviction (s), court location and sentence; an updated CRC may be required)*

2. ( ) I have no unresolved charges under the Criminal Code of Canada, up to and including the date of this Declaration;

**OR**

- ( ) I have unresolved charges under the Criminal Code of Canada. *(If there are any unresolved charges, please submit a separate page to provide the information regarding the specifics of the offence(s), date of the offence(s) and court location and sentence; an updated CRC may be required)*

---

**Employee Signature**

---

**Date**

---

**Witness Signature**

---

**Date**