

CANONICAL SYSTEMS AND EXPONENTIAL PACKETS: FINITE-LENGTH LINEAR THEORY AND BLOCK DISCRETIZATION

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ABSTRACT. We study trace-normalized canonical systems $JY'(s, z) = zH(s)Y(s, z)$ on $[0, L]$ with block-constant Hamiltonians in a fixed uniformly positive definite compact set. Attaching the canonical tail $H \equiv \frac{1}{2}I$ on $[L, \infty)$ allows us to invoke standard Weyl/Hermite–Biehler theory, though all computations depend only on the finite-interval transfer matrix.

Discretizing $[0, L]$ into N blocks of length $\ell = L/N$ and using complex block coordinates $p_j = k_j + ih_j$, we show that at the base Hamiltonian $H_{\text{base}} \equiv \frac{1}{2}I$ the gauge-normalized endpoint function $G_L(z) = e^{izL/2}E_L(z)$ satisfies $G_L \equiv 1$, and its directional derivative in block j produces a single exponential packet

$$e^{izL/2} \partial_{p_j}^{\text{dir}} E_L(z) \Big|_{H_{\text{base}}} = 4 \sin(u) e^{iq_j u}, \quad u = \frac{z\ell}{2}, \quad q_j = 2j + 1.$$

Sampling on the seam $z = x + i\eta$ and normalizing by $|\sin(u)|$, the base Jacobian factors as $J_{\mathbb{C}}^{(\eta)} = W^{(\eta)}FD^{(\eta)}$ with $F^*F = PN I_N$, yielding explicit singular values $\sigma_j(J_{\mathbb{C}}^{(\eta)}) = 4\sqrt{PN} e^{-(2j+1)\alpha}$, $\alpha = \eta\ell/2$. Combined with a Lipschitz estimate for the Jacobian (via double Duhamel), this gives a quantitative local inversion statement from finitely many seam samples to the block Hamiltonian.

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INTRODUCTION

Canonical systems in the sense of de Branges [4, 8] provide a flexible framework for encoding entire functions via first-order matrix differential equations. In this paper, we develop a self-contained, finite-length linear theory for such systems which is tailored to block discretizations. Our goal is to isolate an "exponential packet" structure at the canonical base and to understand how it appears in the boundary map from block parameters to endpoint data.

More concretely, we consider real Hamiltonians H on $[0, L]$ with $H(s) \succeq 0$ and $\text{tr } H(s) \equiv 1$, and we attach the canonical tail $H \equiv \frac{1}{2}I$ on $[L, \infty)$.

Canonical tail extension. Given a Hamiltonian H on $[0, L]$, we extend it to the half-line by

$$H_{\text{ext}}(s) := \begin{cases} H(s), & s \in [0, L], \\ \frac{1}{2}I, & s \geq L. \end{cases}$$

We use H_{ext} only to invoke standard half-line Hermite-Biehler/Weyl theory (existence of m_L , Schur/Herglotz properties, etc.). All packet and Jacobian computations below depend only on the finite-interval transfer matrix $\Phi(L, 0; z)$, hence only on $H|_{[0, L]}$.

For the quantitative inversion statements we restrict, blockwise, to a fixed compact constraint set that is uniformly bounded away from degeneracy (so each block is uniformly positive definite). The fundamental solution of the system

$$JY'(s) = zH(s)Y(s), \quad J := \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad z \in \mathbb{C},$$

induces both an endpoint Hermite-Biehler (HB) function E_L and a Weyl function m_L . The endpoint ratio $\rho_L = E_L^*/E_L$ is a Schur function on the upper half-plane $\mathbb{H} := \{z \in \mathbb{C} : \text{Im } z > 0\}$, and the Weyl-Titchmarsh function m_L (for the canonical tail extension) is Herglotz; hence its Cayley transform $v_L = (m_L - i)/(m_L + i)$ is Schur on \mathbb{H} (see, e.g., [5, Ch. I]; see also [6, Ch. II]). Optional SU(1, 1)/Schur background is collected in [Section 7](#) and is not used in the finite-length linear theory below.

We then discretize H into N constant blocks of equal length $\ell = L/N$ and regard the block parameters as coordinates in a finite-dimensional parameter space. (No result in the finite-length theory below relies on any particular scaling of N with L .) We denote by $H_{\text{base}} \equiv \frac{1}{2}I$ the constant Hamiltonian on $[0, L]$. At this base Hamiltonian the transfer matrix is a simple rotation $R(-\frac{z}{2}(s-t))$, and the endpoint HB function is explicitly $E_{0,L}(z) = e^{-izL/2}$. We show that the first-order variation of E_L with respect to a single block parameter produces a *single complex exponential packet* when observed on a canonical sampling grid.

The main output of Sections 1-4 is the following picture. Fix $L = N\ell$ and an integer factor $P \geq 1$ (we call $P \geq 2$ *oversampling*), set

$$M := PN, \quad u_k := \frac{\pi k}{N}, \quad k = 0, 1, \dots, M-1,$$

and choose odd block frequencies

$$q_j := 2j + 1, \quad j = 0, 1, \dots, N-1.$$

For a suitable complex coordinate p_j on the j -th block, the linearization of the gauge-normalized endpoint Hermite-Biehler function

$$G_L(z) := e^{+izL/2}E_L(z)$$

at the base Hamiltonian has the form

$$e^{+ixL/2} \partial_{p_j}^{\text{dir}} E_L(x) \Big|_{H_{\text{base}}} = 4 \sin u e^{iq_j u}, \quad u = \frac{x\ell}{2}.$$

Thus each block contributes exactly one odd complex exponential packet $\sin u e^{iq_j u}$. Choosing real coordinates obtained from the real and imaginary parts of p_j , we obtain two real packets per block,

$$g_j^{(1)}(u) := \sin u \cos(q_j u), \quad g_j^{(2)}(u) := \sin u \sin(q_j u),$$

with a uniformly well-conditioned change of basis on each block.

1. CANONICAL SYSTEMS: SETUP, BASE FLOW, AND ENDPOINT FUNCTIONS

We begin by recalling the standard canonical-systems framework and fixing the normalization of the endpoint Hermite-Biehler function and Weyl function on a finite interval.

1.1. Canonical systems and transfer matrices. We use the standard notation \mathbb{C} and \mathbb{R} for the complex and real numbers, and $\mathbb{H} := \{z \in \mathbb{C} : \text{Im } z > 0\}$ for the upper half-plane.

Let $H : [0, L] \rightarrow \mathbb{R}^{2 \times 2}$ be a real symmetric, positive semidefinite (PSD) matrix function with $\text{tr } H(s) \equiv 1$ a.e. on $[0, L]$. We consider the canonical system

$$JY'(s) = zH(s)Y(s), \quad s \in [0, L], \quad z \in \mathbb{C}, \tag{1}$$

with

$$J = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}.$$

Throughout, $\|\cdot\|$ for matrices denotes the operator norm induced by the standard Euclidean norm on the relevant finite-dimensional space, and $\|\cdot\|$ for vectors denotes the corresponding Euclidean norm.

For each $z \in \mathbb{C}$ there is a unique fundamental matrix $\Phi(s, t; z)$ satisfying

$$\Phi(t, t; z) = I, \quad J\partial_s \Phi(s, t; z) = zH(s)\Phi(s, t; z),$$

and the cocycle identity

$$\Phi(s, u; z)\Phi(u, t; z) = \Phi(s, t; z), \quad s, u, t \in [0, L].$$

We abbreviate $\Phi(L, 0; z)$ to $\Phi(L; z)$ and write

$$\Phi(L; z) = \begin{pmatrix} A^\Phi(z) & B^\Phi(z) \\ C^\Phi(z) & D^\Phi(z) \end{pmatrix}.$$

The following elementary estimate will be used repeatedly.

Lemma 1.1 (Propagator bounds). *If $H(s)$ is real symmetric PSD and $\text{tr } H(s) \equiv 1$ a.e. on $[0, L]$, then for any $z \in \mathbb{C}$ and $s \geq t$,*

$$\|\Phi(s, t; z)\| \leq e^{|z|(s-t)}, \quad \|\Phi(t, s; z)\| \leq e^{|z|(s-t)}.$$

Proof. Write the system as $Y'(u) = A(u)Y(u)$ with $A(u) := -zJH(u)$. Since $H(u)$ is real symmetric and PSD, we have $\|H(u)\| = \lambda_{\max}(H(u)) \leq \sum_{i=1}^2 \lambda_i(H(u)) = \text{tr } H(u) = 1$, and $\|J\| = 1$, hence $\|A(u)\| \leq |z|$. Grönwall's inequality yields $\|\Phi(s, t; z)\| \leq e^{|z|(s-t)}$ for $s \geq t$; see, e.g., [1, Ch. 1].

For the second inequality, fix s and set $\Psi(t) := \Phi(t, s; z)$. Differentiating the identity $\Phi(s, t; z) \Phi(t, s; z) = I$ with respect to t yields

$$\partial_t \Psi(t) = A(t)\Psi(t), \quad \Psi(s) = I.$$

Since $\|A(t)\| \leq |z|$, the same Grönwall argument gives $\|\Phi(t, s; z)\| \leq e^{|z|(s-t)}$. \square

1.2. Endpoint Hermite-Biehler function and Weyl function. Following de Branges [4], we normalize the endpoint entire function as

$$e_1 := \begin{pmatrix} 1 \\ 0 \end{pmatrix}. \quad (2)$$

$$\lambda_+ := [1 \ i], \quad \|\lambda_+\| = \sqrt{2}. \quad (3)$$

$$\Theta(L, z) := \Phi(L, 0; z) e_1 = \begin{pmatrix} \Theta_1(L, z) \\ \Theta_2(L, z) \end{pmatrix}. \quad (4)$$

$$E_L(z) := \Theta_1(L, z) + i \Theta_2(L, z) = \lambda_+ \Phi(L, 0; z) e_1. \quad (5)$$

$$A_L(z) := \frac{1}{2}(E_L(z) + E_L^*(z)), \quad B_L(z) := \frac{E_L^*(z) - E_L(z)}{2i}. \quad (6)$$

Remark 1.2 (Transfer-matrix entries vs. Hermite-Biehler splitting). The symbols $A^\Phi, B^\Phi, C^\Phi, D^\Phi$ denote entries of the transfer matrix $\Phi(L; z)$, whereas A_L, B_L denote the Hermite-Biehler splitting of the scalar function E_L . These are distinct objects (despite the shared letter A).

Here $F^*(z) := \overline{F(\bar{z})}$ denotes Schwarz reflection across \mathbb{R} . Thus A_L, B_L are real entire functions and $E_L = A_L - iB_L$; on \mathbb{R} one has $A_L(x) = \text{Re } E_L(x)$ and $B_L(x) = -\text{Im } E_L(x)$.

Lemma 1.3 (HB normalization; endpoint and Weyl Schur functions). *Let H be real, PSD with $\text{tr } H \equiv 1$ a.e. on $[0, L]$, and let H_{ext} be its canonical tail extension to*

$[0, \infty)$ defined by $H_{\text{ext}}(s) = H(s)$ for $s \in [0, L]$ and $H_{\text{ext}}(s) = \frac{1}{2}I$ for $s \geq L$. Let

$$\Phi(L; z) = \begin{pmatrix} A^\Phi(z) & B^\Phi(z) \\ C^\Phi(z) & D^\Phi(z) \end{pmatrix}$$

be the transfer matrix from 0 to L for the finite-interval system, and define the endpoint entire function by

$$E_L(z) := \lambda_+ \Phi(L; z) e_1 = A^\Phi(z) + i C^\Phi(z),$$

equivalently $E_L(z) = \Theta_1(L, z) + i \Theta_2(L, z)$ with $\Theta(L, z) = \Phi(L; z) e_1$. Then E_L is Hermite-Biehler: $|E_L^*(z)| < |E_L(z)|$ for $z \in \mathbb{H}$, hence E_L has no zeros in \mathbb{H} . The endpoint ratio

$$\rho_L(z) := \frac{E_L^*(z)}{E_L(z)}, \quad z \in \mathbb{H},$$

is therefore a Schur function on \mathbb{H} .

Let $m_L(z)$ denote the Weyl-Titchmarsh function at the left endpoint $s = 0$ for the half-line Hamiltonian H_{ext} . Equivalently, $(1, m_L(z))^\top$ is the unique (up to scaling) boundary vector at $s = 0$ whose solution is H_{ext} -square-integrable on $[0, \infty)$. Then m_L is Herglotz on \mathbb{H} , and its Cayley transform

$$v_L(z) := \frac{m_L(z) - i}{m_L(z) + i}$$

is Schur on \mathbb{H} .

Proof. By de Branges [4, Ch. VI], for real PSD H_{ext} with $\text{tr } H_{\text{ext}} \equiv 1$ and canonical tail $H_{\text{ext}} \equiv \frac{1}{2}I$ on $[L, \infty)$, the system is limit-point at $+\infty$. Hence the Weyl function m_L at $s = 0$ exists, is Herglotz on \mathbb{H} , and its Cayley transform v_L is Schur (this is the standard Cayley map from \mathbb{H} to \mathbb{D} ; see, e.g., [5, Ch. I]).

The same theory shows that the endpoint function $E_L(z) = \Theta_1(L, z) + i \Theta_2(L, z)$ (with $\Theta(\cdot, z) = \Phi(\cdot, 0; z) e_1$ on $[0, L]$) is Hermite-Biehler: $|E_L^*(z)| < |E_L(z)|$ on \mathbb{H} . Therefore $\rho_L := E_L^*/E_L$ is Schur. \square

Remark 1.4. We use ρ_L for the endpoint ratio E_L^*/E_L , and v_L for the Cayley transform of the Weyl function m_L at the left endpoint $s = 0$. Both are Schur functions on \mathbb{H} , but they arise from different constructions.

Weyl function for the tail extension. Throughout, m_L (and hence v_L) denotes the Weyl-Titchmarsh function at the left endpoint $s = 0$ for the half-line tail extension H_{ext} ; see Lemma 1.3 and Lemma 1.5.

Lemma 1.5 (Finite-length Weyl formula (left endpoint)). *Assume H is real, PSD with $\text{tr } H \equiv 1$ on $[0, \infty)$ and equals $\frac{1}{2}I$ on $[L, \infty)$. Let*

$$\Phi(L; z) = \begin{pmatrix} A^\Phi(z) & B^\Phi(z) \\ C^\Phi(z) & D^\Phi(z) \end{pmatrix}$$

be the transfer matrix from 0 to L . Then for $z \in \mathbb{H}$ the Weyl function at $s = 0$ satisfies

$$C^\Phi(z) + m_L(z) D^\Phi(z) = i(A^\Phi(z) + m_L(z) B^\Phi(z)),$$

hence

$$m_L(z) = (iA^\Phi(z) - C^\Phi(z)) (D^\Phi(z) - iB^\Phi(z))^{-1}.$$

Proof. Let $Y(\cdot, z)$ be the Weyl solution normalized by $Y(0, z) = (1, m_L(z))^\top$. Propagating to $s = L$ gives

$$Y(L, z) = \Phi(L; z) (1, m_L(z))^\top = (A^\Phi + m_L B^\Phi, C^\Phi + m_L D^\Phi)^\top.$$

On the tail $[L, \infty)$ with $H \equiv \frac{1}{2}I$, the H -square-integrable solution is proportional (at $s = L$) to the boundary vector $(1, i)^\top$: for $z \in \mathbb{H}$ the associated mode behaves like $e^{iz(s-L)/2}$ and decays like $e^{-(\text{Im } z)(s-L)/2}$. Therefore $Y(L, z)$ must satisfy $Y_2(L, z) = iY_1(L, z)$, i.e.

$$C^\Phi + m_L D^\Phi = i(A^\Phi + m_L B^\Phi).$$

Rearranging gives $(D^\Phi - iB^\Phi)m_L = iA^\Phi - C^\Phi$ and yields the formula. Invertibility of $D^\Phi - iB^\Phi$ for $z \in \mathbb{H}$ follows from the limit-point property at $+\infty$; see [4, Ch. VI]. \square

1.3. Canonical tail, base normalization, and translation invariance. In all our constructions we attach the canonical tail

$$H(s) \equiv \frac{1}{2}I \quad \text{for } s \in [L, \infty).$$

With this choice the fundamental solution of the base system $H \equiv \frac{1}{2}I$ is the rotation

$$\Phi_0(s, t; z) = R\left(-\frac{z}{2}(s-t)\right), \quad R(\theta) := \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}.$$

For the base system on $[0, L]$ we have the explicit endpoint HB function

$$E_{0,L}(z) := \cos \frac{zL}{2} - i \sin \frac{zL}{2} = e^{-izL/2}, \tag{7}$$

which fixes the base normalization [4, 8].

It is convenient to introduce the gauge-normalized endpoint function

$$G_L(z) := e^{+izL/2} E_L(z).$$

Since the gauge factor $e^{+izL/2}$ is independent of the Hamiltonian, parameter derivatives satisfy

$$\partial_\vartheta G_L(z) = e^{+izL/2} \partial_\vartheta E_L(z)$$

for every block parameter ϑ . For the base system we have $G_L \equiv 1$ by (7).

Lemma 1.6 (Tail-extension invariance and endpoint gauge normalization). *Assume $H(s) \equiv \frac{1}{2}I$ for $s \in [X, L]$. Let E_L be the endpoint HB function at L , and let E_X be*

the endpoint HB function of the truncated system on $[0, X]$. Then for all $z \in \mathbb{C}$,

$$E_L(z) = e^{-iz(L-X)/2} E_X(z).$$

Consequently, the gauge-normalized endpoint function is independent of L beyond X :

$$e^{+izL/2} E_L(z) = e^{+izX/2} E_X(z).$$

Proof. On the terminal segment (X, L) the transfer matrix is a rotation $\Phi(L, X; z) = R(-\frac{z}{2}(L-X))$ [4]. Let $\Theta(X, z)$ be the first column of $\Phi(X, 0; z)$, so $\Theta(L, z) = \Phi(L, X; z)\Theta(X, z)$. Writing $\lambda_+ := [1 \ i]$, the endpoint HB function is $E_L(z) = \lambda_+ \Theta(L, z)$. Using the rotation identity $\lambda_+ R(\theta) = e^{i\theta} \lambda_+$ (see Lemma 2.1 below), we obtain

$$E_L(z) = \lambda_+ R\left(-\frac{z}{2}(L-X)\right) \Theta(X, z) = e^{-iz(L-X)/2} \lambda_+ \Theta(X, z) = e^{-iz(L-X)/2} E_X(z),$$

and the second identity follows by multiplying both sides by $e^{+izL/2}$. \square

2. ALGEBRA AND BASE GAUGE NORMALIZATION

This section collects the algebraic identities used in the linearization at the base Hamiltonian. We also make explicit the rotation structure of the base flow and the choice of gauge at the endpoint.

2.1. Rotation matrices and Pauli-type generators. We fix

$$R(\theta) = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}, \quad J = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix},$$

and the two Pauli-type matrices $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ and $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$. We also use the row vector $\lambda_+ = [1 \ i]$ and the basis vector $e_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ introduced in (2).

Lemma 2.1 (Elementary identities). *For all $\theta \in \mathbb{C}$,*

$$\lambda_+ R(\theta) = e^{i\theta} \lambda_+, \tag{8}$$

$$R(\theta) e_1 = (\cos \theta, \sin \theta)^\top, \tag{9}$$

$$J\left(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}\right) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad J\left(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}\right) = -\left(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}\right), \tag{10}$$

and

$$R(\theta) \left(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}\right) R(-\theta) = \cos(2\theta) \left(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}\right) + \sin(2\theta) \left(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}\right), \tag{11}$$

$$R(\theta) \left(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}\right) R(-\theta) = -\sin(2\theta) \left(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}\right) + \cos(2\theta) \left(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}\right). \tag{12}$$

Proof. The identities (8) and (9) are immediate from the definitions. For (10), a direct matrix multiplication gives

$$J\left(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}\right) = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \left(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}\right) = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix},$$

and

$$J\left(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}\right) = \left(\begin{smallmatrix} 0 & -1 \\ 1 & 0 \end{smallmatrix}\right)\left(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}\right) = \left(\begin{smallmatrix} -1 & 0 \\ 0 & 1 \end{smallmatrix}\right) = -\left(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}\right).$$

For (11)-(12), a direct computation yields

$$R(\theta)\left(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}\right)R(-\theta) = \left(\begin{smallmatrix} \cos 2\theta & \sin 2\theta \\ \sin 2\theta & -\cos 2\theta \end{smallmatrix}\right) = \cos(2\theta)\left(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}\right) + \sin(2\theta)\left(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}\right),$$

and

$$R(\theta)\left(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}\right)R(-\theta) = \left(\begin{smallmatrix} -\sin 2\theta & \cos 2\theta \\ \cos 2\theta & \sin 2\theta \end{smallmatrix}\right) = -\sin(2\theta)\left(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}\right) + \cos(2\theta)\left(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}\right).$$

□

2.2. Base flow and gauge. At the base Hamiltonian $H_{\text{base}} \equiv \frac{1}{2}I$, the canonical system (1) reduces to

$$JY'(s) = \frac{z}{2}Y(s), \quad s \in [0, L],$$

whose fundamental solution is

$$\Phi_0(s, t; z) = R\left(-\frac{z}{2}(s-t)\right).$$

In particular,

$$\Phi_0(L, 0; z) = R\left(-\frac{zL}{2}\right), \quad E_{0,L}(z) = \lambda_+ \Phi_0(L, 0; z) e_1 = e^{-izL/2},$$

using (8) and (9). Hence the gauge-normalized base endpoint function satisfies $G_L \equiv 1$.

For a general Hamiltonian H which coincides with $H_{\text{base}} \equiv \frac{1}{2}I$ on $[L-\Lambda, L]$ for some fixed $\Lambda > 0$, we will always work with the gauge-normalized endpoint function

$$G_L(z) = e^{+izL/2} E_L(z),$$

which is invariant under extensions of the canonical tail by Lemma 1.6. This choice removes the trivial oscillation coming from the canonical tail and isolates the nontrivial dependence on the interior block parameters.

3. BLOCK DISCRETIZATION, PARAMETER BOX, GRID, AND COORDINATES

We now discretize the interval $[0, L]$ into constant blocks, introduce a finite-dimensional parameter space for the Hamiltonian, and define a canonical sampling grid and block frequencies. The algebra from Section 2 will be used in Section 4 to compute the linearization of E_L at the base.

3.1. Constant-block Hamiltonians and a uniform positive-definiteness box. Fix an integer $N \geq 1$ and set

$$\ell := \frac{L}{N}, \quad I_j := [j\ell, (j+1)\ell) \text{ for } j = 0, 1, \dots, N-2, \quad I_{N-1} := [(N-1)\ell, L].$$

We restrict attention to Hamiltonians H that are constant on each block I_j :

$$H(s) \equiv H_j \quad \text{for } s \in I_j,$$

with H_j real symmetric, PSD, and $\text{tr } H_j = 1$. Thus each H_j has the form

$$H_j = \begin{pmatrix} a_j & c_j \\ c_j & 1-a_j \end{pmatrix}, \quad a_j \in \mathbb{R}, \quad c_j \in \mathbb{R}, \quad a_j(1-a_j) \geq c_j^2.$$

It will be convenient to re-center these coordinates at the base by setting

$$h_j := a_j - \frac{1}{2}, \quad k_j := c_j,$$

so that $H_j = \frac{1}{2}I + h_j \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + k_j \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ as in [Section 3.3](#).

Remark 3.1 (Notation for spatial vs. block indices). Throughout the paper the continuous variable s always denotes the spatial coordinate in the canonical system [\(1\)](#), while subscripts such as a_j , c_j , H_j index blocks in the partition of $[0, L]$. In particular, s (spatial) and the block parameter labels do not interact, and we never use s_j as a spatial grid point.

Remark 3.2 (Seam index k vs. block parameter k_j). The seam/grid index k (as in the grid points u_k, x_k, z_k introduced in [Section 3.2](#) below) is unrelated to the block parameter k_j appearing in $H_j = \frac{1}{2}I + h_j \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + k_j \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$. The former indexes sample nodes, while the latter indexes blocks.

We fix a compact constraint set \mathcal{B} of admissible block Hamiltonians, for example by requiring

$$\|H_j - \frac{1}{2}I\| \leq \frac{1}{2} - \varepsilon, \quad H_j \succeq 0, \quad \text{tr } H_j = 1, \tag{13}$$

for some $\varepsilon \in (0, \frac{1}{2})$. This constraint forces each H_j to lie strictly inside the PSD cone: it is uniformly positive definite and uniformly separated from the degenerate boundary. We will refer to \mathcal{B} as a *uniform PD box*. For real symmetric trace-one matrices, the norm bound alone already forces eigenvalues into $[\varepsilon, 1 - \varepsilon]$; we keep the explicit PSD constraint in [\(13\)](#) only for emphasis.

Remark 3.3 (PSD condition vs. uniform positive-definiteness). For a real symmetric 2×2 matrix

$$H_j = \begin{pmatrix} a_j & c_j \\ c_j & 1-a_j \end{pmatrix}$$

with $\text{tr } H_j = 1$, positive semidefiniteness is equivalent to requiring $a_j \in [0, 1]$ and

$$a_j(1-a_j) \geq c_j^2,$$

that is, $\det H_j \geq 0$. In our setting we impose the stronger box condition (13), which implies that all eigenvalues of H_j lie in $[\varepsilon, 1 - \varepsilon]$. Thus each H_j is uniformly positive definite and uniformly separated from the degenerate boundary. Indeed, if $\|H_j - \frac{1}{2}I\| \leq \frac{1}{2} - \varepsilon$ then every eigenvalue λ of H_j satisfies $|\lambda - \frac{1}{2}| \leq \frac{1}{2} - \varepsilon$, hence $\lambda \in [\varepsilon, 1 - \varepsilon]$.

The base Hamiltonian $H_{\text{base}} \equiv \frac{1}{2}I$ corresponds to $a_j = \frac{1}{2}$ and $c_j = 0$ on every block.

We view

$$\theta = (H_0, \dots, H_{N-1}) \in \mathcal{B}^N$$

as a point in a finite-dimensional parameter space. Later we will work with the real block variables (h_j, k_j) introduced below (or any other uniformly bi-Lipschitz coordinates on \mathcal{B}); the precise choice will not matter for the linear theory.

3.2. Canonical sampling grid and odd block frequencies. On the frequency side it is convenient to work with the dimensionless variable

$$u := \frac{x\ell}{2}, \quad x \in \mathbb{R}.$$

We will refer to $u = x\ell/2$ as the *cell phase*; we sometimes write u_{cell} to emphasize this interpretation, but it is the same quantity.

For the block-discretized system with $L = N\ell$ we fix once and for all an integer factor $P \geq 1$ (we call $P \geq 2$ *oversampling*) and set

$$M := PN.$$

The canonical sampling grid is

$$u_k := \frac{\pi k}{N}, \quad k = 0, 1, \dots, M-1. \quad (14)$$

Remark 3.4 (Oversampling and periodicity). Note that $u_{k+N} = u_k + \pi$. Since our block frequencies $q_j = 2j + 1$ are odd, this implies $e^{iq_j u_{k+N}} = -e^{iq_j u_k}$. Thus the $M = PN$ grid stacks P signed copies of an N -node exponential system; exact orthogonality still holds because inner products involve $q_j - q_{j'} \in 2\mathbb{Z}$. We keep P explicit because when $P \geq 2$ it preserves an exact Gram identity while providing redundancy (useful for least-squares variants), and it cleanly separates the exponential matrix F from the per-node unimodular normalization. The *square* map used later is obtained by restricting to a single period of N seam nodes (equivalently, taking $P = 1$).

We refer to (14) as the *seam grid* because later we evaluate our observables at the seam points $z_k = x_k + i\eta$ (i.e. along $\text{Im } z = \eta$) inside a fixed height-strip rectangle (see [Section 7](#)).

The corresponding real abscissae are

$$x_k := \frac{2u_k}{\ell} = \frac{2\pi k}{N\ell} = \frac{2\pi k}{L}, \quad k = 0, 1, \dots, M-1.$$

On this grid, the dimensionless phase across one block at the base Hamiltonian is

$$u_{\text{cell}} := \frac{x\ell}{2},$$

so at $x = x_k$ we have $u_{\text{cell}} = u_k$. Because $q_j - q_{j'} = 2(j - j')$ is always an even integer,

$$e^{i(q_j - q_{j'})u_{k+N}} = e^{i(q_j - q_{j'})(u_k + \pi)} = e^{i(q_j - q_{j'})u_k},$$

so the Gram terms are N -periodic in k . Therefore summing over $k = 0, \dots, PN - 1$ multiplies the single-period Gram matrix by P , yielding the exact identity $F^*F = PN I_N$ in Lemma 6.1.

Remark 3.5 (Variant: sampling at height $\eta = 0$). If one samples on the real axis ($\eta = 0$), it can be convenient to discard grid points very close to the zeros of $\sin u$, since some unnormalized expressions carry factors of $1/\sin u$. Fix $0 < \delta < \frac{1}{4}$ and define the bulk index set

$$\mathcal{K}_\delta := \{k \in \{0, 1, \dots, M - 1\} : \text{dist}(u_k, \pi\mathbb{Z}) \geq \delta\}.$$

In the present paper we work at height $\eta > 0$, where we normalize by $|\sin(u + i\alpha)|$ and the resulting diagonal weight is unimodular on *all* seam nodes; accordingly, we keep the full grid $k = 0, 1, \dots, M - 1$.

We will use odd block frequencies

$$q_j := 2j + 1, \quad j = 0, 1, \dots, N - 1. \quad (15)$$

These are not an ad hoc choice: the base linearization of the gauge-normalized endpoint map produces exactly the phase $e^{iq_j u}$ for block j (see Lemma 4.2). They are also adapted to the grid (14) in the sense that the family $\{e^{iq_j u_k}\}_{j=0}^{N-1}$ forms a discrete exponential system on the seam grid. The associated exponential matrix F enjoys exact orthogonality on the full oversampled grid ($F^*F = PN I_N$, see Lemma 6.1). For the moment we only need the explicit form of q_j .

3.3. Block parameters and complex coordinates. On each block I_j we choose two real parameters (h_j, k_j) that parameterize H_j within the uniform PD box \mathcal{B} . A convenient choice is

$$H_j = \frac{1}{2}I + h_j \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + k_j \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix},$$

so that

$$H_j = \begin{pmatrix} \frac{1}{2} + h_j & k_j \\ k_j & \frac{1}{2} - h_j \end{pmatrix}.$$

Since $\text{tr } H_j = 1$, positive semidefiniteness is equivalent to $\det H_j \geq 0$, namely

$$\det H_j = \left(\frac{1}{2} + h_j\right)\left(\frac{1}{2} - h_j\right) - k_j^2 = \frac{1}{4} - h_j^2 - k_j^2 \geq 0,$$

or equivalently $h_j^2 + k_j^2 \leq \frac{1}{4}$. On the fixed uniform PD box \mathcal{B} we in addition have uniform bounds $|h_j| \leq h_{\max}$ and $|k_j| \leq k_{\max}$ (determined by \mathcal{B}). The base Hamiltonian corresponds to $(h_j, k_j) = (0, 0)$ for all j .

In the linear analysis it is convenient to combine (h_j, k_j) into a single complex coordinate

$$p_j := k_j + i h_j, \quad j = 0, 1, \dots, N-1. \quad (16)$$

The mapping $(h_j, k_j) \mapsto p_j$ is a real-linear isomorphism on each block, and conversely

$$h_j = \operatorname{Im} p_j, \quad k_j = \operatorname{Re} p_j.$$

We will see in [Section 4](#) that the derivatives $\partial_{p_j}^{\text{dir}} E_L$ at the base Hamiltonian give the cleanest form of the exponential packet structure.

Notation. Throughout, we use the complex *directional* derivative

$$\partial_{p_j}^{\text{dir}} := \partial_{k_j} + i \partial_{h_j},$$

which is a convenient complex-linear combination of real derivatives on each block. We do not interpret $\partial_{p_j}^{\text{dir}}$ as a Wirtinger derivative.

Pairing convention (no Wirtinger calculus). We use the complex directional combinations

$$\partial_{p_j}^{\text{dir}} := \partial_{k_j} + i \partial_{h_j}, \quad \partial_{\bar{p}_j}^{\text{dir}} := \partial_{k_j} - i \partial_{h_j},$$

purely as convenient real-linear combinations of partial derivatives on each block. The real differential always satisfies, for any scalar-valued observable \mathcal{O} ,

$$D\mathcal{O}(\theta_0)(\delta k, \delta h) = \sum_{j=0}^{N-1} \delta k_j \partial_{k_j} \mathcal{O}(\theta_0) + \sum_{j=0}^{N-1} \delta h_j \partial_{h_j} \mathcal{O}(\theta_0),$$

and this can be repackaged exactly as

$$D\mathcal{O}(\theta_0)(\delta k, \delta h) = \frac{1}{2} \sum_{j=0}^{N-1} (\delta k_j - i \delta h_j) \partial_{p_j}^{\text{dir}} \mathcal{O}(\theta_0) + \frac{1}{2} \sum_{j=0}^{N-1} (\delta k_j + i \delta h_j) \partial_{\bar{p}_j}^{\text{dir}} \mathcal{O}(\theta_0).$$

Accordingly, when we form a "complex Jacobian" below, we mean the matrix of directional derivatives $(\partial_{p_j}^{\text{dir}}(\cdot))_j$. For the specific seam observables used in this paper, the base relations satisfy $\partial_{h_j} f_k = -i \partial_{k_j} f_k$ (see [Lemma 5.7](#) below), hence $\partial_{\bar{p}_j}^{\text{dir}} f_k = (\partial_{k_j} - i \partial_{h_j}) f_k = 0$ at H_{base} . Therefore the first-order variation depends only on the increment combination $\delta k_j - i \delta h_j$ at the base.

Remark 3.6 (Analytic dependence on block parameters). The canonical system [\(1\)](#) with block-constant Hamiltonian H depends analytically on the matrix entries of each H_j , and the endpoint function $E_L(z; H)$ is obtained by evaluating the corresponding

transfer matrix at $s = L$. In particular, for each fixed z the map

$$\theta = (h_j, k_j)_{j=0}^{N-1} \longmapsto E_L(z; H(\theta))$$

is real-analytic in the real block parameters (see, e.g., [1, Ch. 1]). After complexification, the complex directional derivatives $\partial_{p_j}^{\text{dir}}$ are well-defined and yield the cleanest packet formulas. The same applies to the height-normalized samples $f(H; \eta)$, so the complex Jacobian $J_C^{(\eta)}$ below is formed from these complex directional derivatives.

3.4. Endpoint map along the grid. For a given Hamiltonian H we denote by $E_L(z)$ the endpoint Hermite-Biehler function normalized as in (2), and by $G_L(z) = e^{+izL/2} E_L(z)$ its gauge-normalized version. On the grid $x_k = 2u_k/\ell$ from (14) we form the samples

$$E_L(x_k), \quad G_L(x_k) = e^{+ix_k L/2} E_L(x_k), \quad k = 0, 1, \dots, M-1.$$

At the base Hamiltonian $H_{\text{base}} \equiv \frac{1}{2}I$ we have $E_{0,L}(x_k) = e^{-ix_k L/2}$ and hence $G_L(x_k) \equiv 1$ for all k .

The dependence of $E_L(x_k)$ and $G_L(x_k)$ on the block parameters $\theta = (h_j, k_j)_j$ will be studied via the Jacobian

$$\frac{\partial E_L(x_k)}{\partial (h_j, k_j)} \quad \text{or} \quad \partial_{p_j}^{\text{dir}} G_L(x_k)$$

at the base point. The next section computes this Jacobian by Duhamel's formula and identifies the resulting exponential packet structure.

4. EXACT KERNEL INTEGRAL ON THE REAL AXIS AND PACKET STRUCTURE

We now linearize the endpoint function $E_L(x)$ at the base Hamiltonian $H_{\text{base}} \equiv \frac{1}{2}I$ with respect to a single block parameter. The main outcome is that each block contributes a single complex exponential packet $\sin u_{\text{cell}} e^{iq_j u_{\text{cell}}}$ on the scale of the cell phase $u_{\text{cell}} = x\ell/2$.

4.1. Duhamel formula and the block kernel at the base. Fix a Hamiltonian H which is constant on each block I_j , and write $\Phi(L, 0; x)$ for the transfer matrix at real spectral parameter $x \in \mathbb{R}$.

Let ϑ be one of the real parameters h_j or k_j on a fixed block I_j . We assume that the dependence $H = H(\vartheta)$ is smooth in a neighbourhood of the base value $\vartheta = 0$, and we denote by

$$\partial_\vartheta H_j = \left. \frac{\partial H_j}{\partial \vartheta} \right|_{\vartheta=0} = a_\vartheta \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + b_\vartheta \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad a_\vartheta, b_\vartheta \in \mathbb{R},$$

the corresponding directional derivative at the base. (At the base $H_j = \frac{1}{2}I$, so the linear part of the perturbation is a real trace-free matrix and can always be written in the form $a_\vartheta \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + b_\vartheta \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$.)

At the base Hamiltonian $H_{\text{base}} \equiv \frac{1}{2}I$ the canonical system is

$$JY'(s) = \frac{x}{2}Y(s), \quad \omega := \frac{x}{2},$$

with fundamental solution

$$\Phi_0(s, t; x) = R(-\omega(s - t)).$$

We will compute the derivative of $\Phi(L, 0; x)$ at H_{base} in the direction $\partial_\vartheta H_j$.

Let $A(s) := -xJH(s)$ be the coefficient matrix in $Y' = A(s)Y$. A standard variation-of-parameters (Duhamel) argument for $Y' = M(s)Y$ with respect to H yields (see, e.g., [1, Ch. 1])

$$\begin{aligned} \partial_\vartheta \Phi(L, 0; x) \Big|_{H_{\text{base}}} &= \int_0^L \Phi_0(L, s; x) \partial_\vartheta A(s) \Phi_0(s, 0; x) ds \\ &= -x \int_0^L \Phi_0(L, s; x) J \partial_\vartheta H(s) \Phi_0(s, 0; x) ds. \end{aligned} \quad (17)$$

Since $\partial_\vartheta H(s)$ is supported on I_j , we may restrict the integral to I_j :

$$\partial_\vartheta \Phi(L, 0; x) \Big|_{H_{\text{base}}} = -x \int_{j\ell}^{(j+1)\ell} \Phi_0(L, s; x) J \partial_\vartheta H_j \Phi_0(s, 0; x) ds. \quad (18)$$

Using the group property $\Phi_0(L, s; x) = \Phi_0(L, (j+1)\ell; x)\Phi_0((j+1)\ell, s; x)$ and $\Phi_0(s, 0; x) = \Phi_0(s, j\ell; x)\Phi_0(j\ell, 0; x)$, and changing variables $s = j\ell + t$ with $t \in [0, \ell]$, we obtain

$$\partial_\vartheta \Phi(L, 0; x) \Big|_{H_{\text{base}}} = \Phi_0(L, (j+1)\ell; x) K_\vartheta(x) \Phi_0(j\ell, 0; x), \quad (19)$$

where

$$K_\vartheta(x) := -x \int_0^\ell R(-\omega(\ell - t)) J \partial_\vartheta H_j R(-\omega t) dt. \quad (20)$$

We call $K_\vartheta(x)$ the *block kernel* associated with the variation $\partial_\vartheta H_j$.

4.2. Exact kernel computation at the base. We now compute the integral defining $K_\vartheta(x)$ explicitly.

Lemma 4.1 (Kernel integral for real spectral parameter). *Let $u_{\text{cell}} := \omega\ell = x\ell/2$. With $\partial_\vartheta H_j = a_\vartheta(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) + b_\vartheta(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix})$, we have for all real x ,*

$$K_\vartheta(x) = 2 \sin(u_{\text{cell}}) \left(b_\vartheta(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) - a_\vartheta(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}) \right).$$

Proof. Write $a := a_\vartheta$, $b := b_\vartheta$ and $dH := a(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) + b(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix})$. Set $\omega := x/2$ and abbreviate

$$R_1(t) := R(-\omega(\ell - t)), \quad R_2(t) := R(-\omega t).$$

Then

$$K_\vartheta(x) = -x \int_0^\ell R_1(t) JdH R_2(t) dt.$$

Using $J(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) = (\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix})$ and $J(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}) = -(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix})$ from Lemma 2.1, we get

$$JdH = a(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}) - b(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}).$$

Next, factor $R_1(t) = R(-\omega\ell) R(\omega t)$ and write the integrand as

$$R_1(t) JdH R_2(t) = R(-\omega\ell) \left(R(\omega t)(a(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}) - b(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix})) R(-\omega t) \right).$$

Using Lemma 2.1,

$$R(\omega t)(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) R(-\omega t) = \cos(2\omega t)(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) + \sin(2\omega t)(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}),$$

$$R(\omega t)(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}) R(-\omega t) = -\sin(2\omega t)(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) + \cos(2\omega t)(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}).$$

Therefore

$$\begin{aligned} R(\omega t)(a(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}) - b(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix})) R(-\omega t) &= a \left(-\sin(2\omega t)(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) + \cos(2\omega t)(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}) \right) \\ &\quad - b \left(\cos(2\omega t)(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) + \sin(2\omega t)(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}) \right) \\ &= \left(-a \sin(2\omega t) - b \cos(2\omega t) \right)(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) \\ &\quad + \left(a \cos(2\omega t) - b \sin(2\omega t) \right)(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}). \end{aligned}$$

Integrating term-by-term over $t \in [0, \ell]$ gives, for $\omega \neq 0$,

$$\int_0^\ell (-a \sin(2\omega t) - b \cos(2\omega t)) dt = \frac{a(\cos(2\omega\ell) - 1) - b \sin(2\omega\ell)}{2\omega},$$

$$\int_0^\ell (a \cos(2\omega t) - b \sin(2\omega t)) dt = \frac{a \sin(2\omega\ell) + b(\cos(2\omega\ell) - 1)}{2\omega}.$$

Thus

$$\int_0^\ell R_1(t) JdH R_2(t) dt = R(-\omega\ell) \frac{1}{2\omega} \left(\Xi_3(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) + \Xi_1(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}) \right),$$

with

$$\Xi_3 = a(\cos(2\omega\ell) - 1) - b\sin(2\omega\ell), \quad \Xi_1 = a\sin(2\omega\ell) + b(\cos(2\omega\ell) - 1).$$

To simplify, write $s := \sin(\omega\ell)$ and $c := \cos(\omega\ell)$. Then

$$\Xi_3 = a(\cos(2\omega\ell) - 1) - b\sin(2\omega\ell) = -2as^2 - 2bsc, \quad \Xi_1 = a\sin(2\omega\ell) + b(\cos(2\omega\ell) - 1) = 2asc - 2bs^2.$$

Using $R(-\omega\ell) = \begin{pmatrix} c & s \\ -s & c \end{pmatrix}$ and $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$, $\begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, a direct multiplication gives

$$R(-\omega\ell)(\Xi_3(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}) + \Xi_1(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix})) = -2s(b(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}) - a(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix})).$$

Therefore

$$\int_0^\ell R_1(t) J dH R_2(t) dt = \frac{\sin(\omega\ell)}{\omega} (-b(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}) + a(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix})),$$

and multiplying by $-x = -2\omega$ yields

$$K_\vartheta(x) = 2\sin(\omega\ell)(b(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}) - a(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix})) = 2\sin(u_{\text{cell}})(b_\vartheta(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}) - a_\vartheta(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix})).$$

The case $x = 0$ follows by continuity from $\sin(\omega\ell) \sim \omega\ell$ as $\omega \rightarrow 0$. \square

4.3. One complex packet per block. We now test the variation of $\Phi(L, 0; x)$ against the endpoint functionals λ_+ and e_1 .

Lemma 4.2 (One complex packet per block). *Let $u_{\text{cell}} = x\ell/2$. Fix a block j and a real parameter $\vartheta \in \{h_j, k_j\}$. Write the corresponding directional derivative at the base as*

$$\partial_\vartheta H_j = a_\vartheta(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}) + b_\vartheta(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}),$$

with $(a_\vartheta, b_\vartheta) \in \mathbb{R}^2 \setminus \{(0, 0)\}$. Then there exists a constant $C_\vartheta \in \mathbb{C} \setminus \{0\}$, depending only on $(a_\vartheta, b_\vartheta)$, such that for all real x ,

$$e^{+ixL/2} \partial_\vartheta E_L(x) \Big|_{H_{\text{base}}} = C_\vartheta \sin(u_{\text{cell}}) e^{iq_j u_{\text{cell}}}, \quad q_j := 2j + 1.$$

In fact, one can take $C_\vartheta = 2(b_\vartheta - ia_\vartheta)$.

Proof. From (19) we have

$$\partial_\vartheta \Phi(L, 0; x) \Big|_{H_{\text{base}}} = \Phi_0(L, (j+1)\ell; x) K_\vartheta(x) \Phi_0(j\ell, 0; x),$$

with $K_\vartheta(x)$ given by Lemma 4.1. Testing against λ_+ on the left and e_1 on the right, and recalling that $E_L(x) = \lambda_+ \Phi(L, 0; x) e_1$, we obtain

$$\begin{aligned} \partial_\vartheta E_L(x) \Big|_{H_{\text{base}}} &= \lambda_+ \Phi_0(L, (j+1)\ell; x) K_\vartheta(x) \Phi_0(j\ell, 0; x) e_1 \\ &= \lambda_+ R(-\omega(L - (j+1)\ell)) K_\vartheta(x) R(-\omega j\ell) e_1, \end{aligned}$$

where $\omega := x/2$. By Lemma 2.1,

$$\lambda_+ R(-\theta) = e^{-i\theta} \lambda_+, \quad R(-\omega j \ell) e_1 = (\cos(j u_{\text{cell}}), -\sin(j u_{\text{cell}}))^{\top}.$$

Using Lemma 4.1, we may write

$$K_{\vartheta}(x) = 2 \sin(u_{\text{cell}}) \left(b_{\vartheta} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} - a_{\vartheta} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right).$$

Let $c_{\phi} := \cos(j u_{\text{cell}})$ and $s_{\phi} := \sin(j u_{\text{cell}})$. Then

$$\left(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} (c_{\phi}, -s_{\phi})^{\top} = (c_{\phi}, s_{\phi})^{\top}, \quad \left(\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} (c_{\phi}, -s_{\phi})^{\top} = (-s_{\phi}, c_{\phi})^{\top}, \right. \right.$$

so

$$\left(b_{\vartheta} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} - a_{\vartheta} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right) (c_{\phi}, -s_{\phi})^{\top} = (b_{\vartheta} c_{\phi} + a_{\vartheta} s_{\phi}, b_{\vartheta} s_{\phi} - a_{\vartheta} c_{\phi})^{\top}.$$

Thus

$$K_{\vartheta}(x) R(-\omega j \ell) e_1 = 2 \sin(u_{\text{cell}}) \left(b_{\vartheta} c_{\phi} + a_{\vartheta} s_{\phi}, b_{\vartheta} s_{\phi} - a_{\vartheta} c_{\phi} \right)^{\top},$$

and

$$\lambda_+ K_{\vartheta}(x) R(-\omega j \ell) e_1 = 2 \sin(u_{\text{cell}}) \left[(b_{\vartheta} c_{\phi} + a_{\vartheta} s_{\phi}) + i(b_{\vartheta} s_{\phi} - a_{\vartheta} c_{\phi}) \right].$$

Using $c_{\phi} + i s_{\phi} = e^{i j u_{\text{cell}}}$ and $s_{\phi} - i c_{\phi} = -i e^{i j u_{\text{cell}}}$, we obtain

$$(b_{\vartheta} c_{\phi} + a_{\vartheta} s_{\phi}) + i(b_{\vartheta} s_{\phi} - a_{\vartheta} c_{\phi}) = (b_{\vartheta} - i a_{\vartheta}) e^{i j u_{\text{cell}}},$$

so

$$\lambda_+ K_{\vartheta}(x) R(-\omega j \ell) e_1 = 2(b_{\vartheta} - i a_{\vartheta}) \sin(u_{\text{cell}}) e^{i j u_{\text{cell}}}.$$

Putting everything together,

$$\partial_{\vartheta} E_L(x) \Big|_{H_{\text{base}}} = 2(b_{\vartheta} - i a_{\vartheta}) \sin(u_{\text{cell}}) e^{-i\omega(L-(j+1)\ell)} e^{i j u_{\text{cell}}}.$$

Since $L = N\ell$ and $\omega = x/2$, we have

$$e^{+ixL/2} e^{-i\omega(L-(j+1)\ell)} = e^{i\omega L} e^{-i\omega(L-(j+1)\ell)} = e^{i\omega(j+1)\ell} = e^{i(j+1)u_{\text{cell}}},$$

and hence

$$e^{+ixL/2} \partial_{\vartheta} E_L(x) \Big|_{H_{\text{base}}} = 2(b_{\vartheta} - i a_{\vartheta}) \sin(u_{\text{cell}}) e^{i(2j+1)u_{\text{cell}}}.$$

Thus the lemma holds with $C_{\vartheta} = 2(b_{\vartheta} - i a_{\vartheta}) \neq 0$. \square

Thus each block j contributes a single complex packet

$$\psi_j(u) := \sin u e^{iq_j u}, \quad q_j = 2j + 1,$$

in the linearization of the gauge-normalized endpoint function $G_L = e^{+izL/2} E_L$ at the base.

4.4. Two real packets per block. We now pass from the real parameters (h_j, k_j) to the complex coordinate p_j defined in (16) and then back to a pair of real coordinates adapted to the real and imaginary parts of the packet ψ_j .

Define

$$\psi_j(u) := \sin u e^{iq_j u}, \quad g_j^{(1)}(u) := \sin u \cos(q_j u), \quad g_j^{(2)}(u) := \sin u \sin(q_j u),$$

so that $\psi_j = g_j^{(1)} + i g_j^{(2)}$.

Lemma 4.3 (Two real packets per block). *Fix a block j and define*

$$\psi_j(u) := \sin u e^{iq_j u},$$

$$g_j^{(1)}(u) := \operatorname{Re} \psi_j(u) = \sin u \cos(q_j u),$$

$$g_j^{(2)}(u) := \operatorname{Im} \psi_j(u) = \sin u \sin(q_j u).$$

where $q_j := 2j + 1$. In the block parametrization

$$H_j = \frac{1}{2}I + h_j \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + k_j \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad p_j := k_j + i h_j,$$

at the base Hamiltonian $H_{\text{base}} \equiv \frac{1}{2}I$ we have, for every real x with $u_{\text{cell}} = \frac{x\ell}{2}$,

$$e^{+ixL/2} \partial_{p_j}^{\text{dir}} E_L(x) \Big|_{H_{\text{base}}} = 4 \psi_j(u_{\text{cell}}).$$

In particular,

$$\operatorname{Re} \left(e^{+ixL/2} \partial_{p_j}^{\text{dir}} E_L(x) \Big|_{H_{\text{base}}} \right) = 4 g_j^{(1)}(u_{\text{cell}}), \quad \operatorname{Im} \left(e^{+ixL/2} \partial_{p_j}^{\text{dir}} E_L(x) \Big|_{H_{\text{base}}} \right) = 4 g_j^{(2)}(u_{\text{cell}}).$$

Proof. By Lemma 4.2, for a coordinate direction ϑ on block j with $\partial_\vartheta H_j = a_\vartheta \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + b_\vartheta \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, we have

$$e^{+ixL/2} \partial_\vartheta E_L(x) \Big|_{H_{\text{base}}} = 2(b_\vartheta - ia_\vartheta) \sin(u_{\text{cell}}) e^{iq_j u_{\text{cell}}}.$$

For $\vartheta = k_j$ we have $(a_\vartheta, b_\vartheta) = (0, 1)$, hence

$$e^{+ixL/2} \partial_{k_j} E_L(x) \Big|_{H_{\text{base}}} = 2 \sin(u_{\text{cell}}) e^{iq_j u_{\text{cell}}}.$$

For $\vartheta = h_j$ we have $(a_\vartheta, b_\vartheta) = (1, 0)$, hence

$$e^{+ixL/2} \partial_{h_j} E_L(x) \Big|_{H_{\text{base}}} = -2i \sin(u_{\text{cell}}) e^{iq_j u_{\text{cell}}}.$$

Using $\partial_{p_j}^{\text{dir}} = \partial_{k_j} + i \partial_{h_j}$ gives

$$e^{+ixL/2} \partial_{p_j}^{\text{dir}} E_L(x) \Big|_{H_{\text{base}}} = (2 + i(-2i)) \sin(u_{\text{cell}}) e^{iq_j u_{\text{cell}}} = 4 \psi_j(u_{\text{cell}}),$$

and taking real and imaginary parts yields the final identities. \square

In summary, at the base Hamiltonian $H_{\text{base}} \equiv \frac{1}{2}I$ the linearization of the gauge-normalized endpoint map

$$\theta \mapsto G_L(x_k) = e^{+ix_k L/2} E_L(x_k)$$

on the sampling grid $x_k = 2u_k/\ell$ decomposes into N independent blocks, each of which is spanned by two real packets $g_j^{(1)}(u_k)$ and $g_j^{(2)}(u_k)$ associated with the single complex packet $\psi_j(u_k) = \sin u_k e^{iq_j u_k}$. Later we will combine this packet structure with an exponential frame estimate on the grid $\{u_k\}$ to obtain quantitative conditioning bounds for the Jacobian of a square seam map (introduced in Section 9) restricted to suitable subsets of the grid.

5. HEIGHT PARAMETER, COMPLEX PACKETS, AND INTRINSIC AMPLITUDE

In this section we extend the packet structure from real spectral parameter $x \in \mathbb{R}$ to complex spectral parameters $z = x + i\eta$ with $\eta > 0$. The key point is that the Duhamel representation from Section 4 is holomorphic in z , so the one-packet structure from Lemma 4.2 continues to hold at height η , with the real cell phase $u_{\text{cell}} = xl/2$ replaced by its complex counterpart $u = z\ell/2$.

We then define an intrinsic amplitude, which on the seam $u = u_{\text{cell}} + i\alpha$ is naturally taken as $|\sin(u)| = |\sin(u_{\text{cell}} + i\alpha)|$, and introduce height-normalized samples of the endpoint function along the grid.

5.1. Complexification of the packet formula. Recall from (19)-(20) that at the base Hamiltonian $H_{\text{base}} \equiv \frac{1}{2}I$ the derivative of the transfer matrix in the direction $\partial_\vartheta H_j$ can be written as

$$\partial_\vartheta \Phi(L, 0; z) \Big|_{H_{\text{base}}} = \Phi_0(L, (j+1)\ell; z) K_\vartheta(z) \Phi_0(j\ell, 0; z),$$

where

$$K_\vartheta(z) := -z \int_0^\ell R\left(-\frac{z}{2}(\ell-t)\right) J \partial_\vartheta H_j R\left(-\frac{z}{2}t\right) dt.$$

For real x this reduces to (19)-(20); for general $z \in \mathbb{C}$ the integral is a holomorphic function of z , since the integrand is entire in z and absolutely integrable in $t \in [0, \ell]$.

At the base we have

$$\Phi_0(s, t; z) = R\left(-\frac{z}{2}(s-t)\right),$$

so the same computation as in Lemma 4.1 gives the following extension.

Lemma 5.1 (Kernel integral for complex z). *Let $u := \frac{z\ell}{2}$. With $\partial_\vartheta H_j = a_\vartheta(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) + b_\vartheta(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix})$, we have for all $z \in \mathbb{C}$,*

$$K_\vartheta(z) = 2 \sin(u) \left(b_\vartheta(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) - a_\vartheta(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}) \right).$$

Proof. The proof of Lemma 4.1 uses only the identities from Lemma 2.1 and the group property of $R(\cdot)$, which hold for complex arguments as well. Replacing x by z and ω by $z/2$ throughout, the same computation yields

$$K_\vartheta(z) = 2 \sin\left(\frac{z\ell}{2}\right) \left(b_\vartheta(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) - a_\vartheta(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}) \right),$$

for all $z \in \mathbb{C}$. \square

Testing this against λ_+ and e_1 gives a complex extension of Lemma 4.2.

Lemma 5.2 (Complex packet per block at height η). *Let $z = x + i\eta$ with $\eta \in \mathbb{R}$, and set $u := \frac{z\ell}{2}$. Fix a block j and a real parameter $\vartheta \in \{h_j, k_j\}$. Then there exists a constant $C_\vartheta \in \mathbb{C} \setminus \{0\}$, depending only on $\partial_\vartheta H_j$, such that for all $z \in \mathbb{C}$,*

$$e^{+izL/2} \partial_\vartheta E_L(z) \Big|_{H_{\text{base}}} = C_\vartheta \sin(u) e^{iq_j u}, \quad q_j := 2j + 1.$$

Proof. The derivation of Lemma 4.2 goes through verbatim with x replaced by $z \in \mathbb{C}$: we use the representation

$$\begin{aligned} \partial_\vartheta E_L(z) \Big|_{H_{\text{base}}} &= \lambda_+ \Phi_0(L, (j+1)\ell; z) K_\vartheta(z) \Phi_0(j\ell, 0; z) e_1 \\ &= \lambda_+ R\left(-\frac{z}{2}(L - (j+1)\ell)\right) K_\vartheta(z) R\left(-\frac{z}{2}j\ell\right) e_1, \end{aligned}$$

and then apply Lemma 2.1 together with Lemma 5.1. All identities involved are polynomial in $(\cos(\cdot), \sin(\cdot))$ and hence extend holomorphically in z , so we obtain

$$e^{+izL/2} \partial_\vartheta E_L(z) \Big|_{H_{\text{base}}} = C_\vartheta \sin\left(\frac{z\ell}{2}\right) e^{i(2j+1)\frac{z\ell}{2}}$$

for all $z \in \mathbb{C}$, as claimed. \square

Writing $z = x + i\eta$ and

$$u = \frac{z\ell}{2} = u_{\text{cell}} + i\alpha, \quad u_{\text{cell}} := \frac{x\ell}{2}, \quad \alpha := \frac{\eta\ell}{2},$$

we see that at height $\eta > 0$ the packet picks up the factor $e^{-q_j \alpha}$ in the exponential and a modified amplitude $\sin(u_{\text{cell}} + i\alpha)$.

5.2. Intrinsic amplitude at height. In this one-packet setting the natural "intrinsic amplitude" for the block packets is simply the modulus of $\sin(u)$ at the relevant height. We record a few basic properties.

Definition 5.3 (Intrinsic amplitude). For $\alpha \in \mathbb{R}$ and $u \in \mathbb{R}$ we define

$$E_{\text{amp}}(\alpha, u) := |\sin(u + i\alpha)|.$$

A straightforward computation yields an explicit formula.

Lemma 5.4 (Explicit formula and uniform bounds). *For all $\alpha \in \mathbb{R}$ and $u \in \mathbb{R}$,*

$$E_{\text{amp}}(\alpha, u)^2 = |\sin(u + i\alpha)|^2 = \sin^2 u + \sinh^2 \alpha.$$

In particular, for every $\alpha > 0$ and all $u \in \mathbb{R}$,

$$\sinh \alpha \leq E_{\text{amp}}(\alpha, u) \leq \cosh \alpha, \quad \text{and} \quad E_{\text{amp}}(\alpha, u) \geq |\sin u|.$$

Proof. We have

$$\sin(u + i\alpha) = \sin u \cosh \alpha + i \cos u \sinh \alpha,$$

so

$$|\sin(u + i\alpha)|^2 = \sin^2 u \cosh^2 \alpha + \cos^2 u \sinh^2 \alpha = \sin^2 u + (\sin^2 u + \cos^2 u) \sinh^2 \alpha = \sin^2 u + \sinh^2 \alpha.$$

The bounds follow immediately: $\sin^2 u \in [0, 1]$ gives $\sinh^2 \alpha \leq E_{\text{amp}}(\alpha, u)^2 \leq 1 + \sinh^2 \alpha = \cosh^2 \alpha$, and also $E_{\text{amp}}(\alpha, u)^2 \geq \sin^2 u$ gives $E_{\text{amp}}(\alpha, u) \geq |\sin u|$. \square

This quantity is strictly positive for $\alpha \neq 0$, and for fixed α it varies with u in the same way as $|\sin u|$ up to the uniform floor $\sinh \alpha$.

Remark 5.5 (Uniform positivity at height). By Lemma 5.4, for every $\alpha > 0$ we have

$$E_{\text{amp}}(\alpha, u) = \sqrt{\sin^2 u + \sinh^2 \alpha} \geq \sinh \alpha > 0 \quad \text{for all } u \in \mathbb{R}.$$

In particular, the normalization by $E_{\text{amp}}(\alpha, u_k)$ is well-defined on the entire seam grid when $\eta > 0$.

We will repeatedly use the identity $E_{\text{amp}}(\alpha, u) = \sqrt{\sin^2 u + \sinh^2 \alpha}$ and the uniform bounds $\sinh \alpha \leq E_{\text{amp}}(\alpha, u) \leq \cosh \alpha$ for $\alpha > 0$.

5.3. Height-normalized endpoint samples. Let $\eta > 0$ be fixed and set $\alpha := \eta\ell/2$. On the sampling grid $u_k = \pi k/N$ from (14), with real abscissae $x_k = 2u_k/\ell$, we define the *height-normalized endpoint samples*

$$f_k(H; \eta) := \frac{e^{+iz_k L/2} E_L(z_k; H)}{E_{\text{amp}}(\alpha, u_k)}, \quad u_k := \frac{\pi k}{N}, \quad z_k := \frac{2u_k}{\ell} + i\eta, \quad k = 0, 1, \dots, M-1. \tag{21}$$

(for $\eta > 0$ this denominator is strictly positive by Lemma 5.4).

At the base Hamiltonian $H_{\text{base}} \equiv \frac{1}{2}I$ we have $E_{0,L}(z) = e^{-izL/2}$ and hence

$$f_k(H_{\text{base}}; \eta) = \frac{1}{E_{\text{amp}}(\alpha, u_k)} \quad \text{for all } k.$$

Thus the base seam vector is explicit and deterministic. We normalize by $|\sin(u_k + i\alpha)|$ (rather than by $\sin(u_k + i\alpha)$) so that the diagonal factor

$$W_{k,k}^{(\eta)} = \frac{\sin(u_k + i\alpha)}{|\sin(u_k + i\alpha)|}$$

is unimodular; this keeps the Jacobian's singular values completely explicit in [Section 6](#). (If desired, one can further rescale the data by $E_{\text{amp}}(\alpha, u_k)$ to make the base data equal to 1, but we keep the present normalization since it cleanly isolates a unimodular diagonal factor.)

Using Lemma [5.2](#) we obtain the following description of the height-normalized packets at the base.

Lemma 5.6 (Height-normalized packet derivatives at the base). *Let $\eta > 0$ and $\alpha := \eta\ell/2$. Fix a block j and a real parameter $\vartheta \in \{h_j, k_j\}$. Then for all grid indices $k = 0, 1, \dots, M - 1$ (for $\eta > 0$ this denominator is strictly positive by Lemma [5.4](#)),*

$$\partial_\vartheta f_k(H; \eta) \Big|_{H_{\text{base}}} = C_\vartheta \frac{\sin(u_k + i\alpha)}{E_{\text{amp}}(\alpha, u_k)} e^{iq_j u_k} e^{-q_j \alpha}, \quad q_j := 2j + 1,$$

where $C_\vartheta \in \mathbb{C} \setminus \{0\}$ is as in Lemma [5.2](#).

Proof. By definition [\(21\)](#),

$$f_k(H; \eta) = E_{\text{amp}}(\alpha, u_k)^{-1} e^{+iz_k L/2} E_L(z_k; H).$$

The intrinsic amplitude E_{amp} does not depend on the Hamiltonian H , so at the base we have

$$\partial_\vartheta f_k(H; \eta) \Big|_{H_{\text{base}}} = E_{\text{amp}}(\alpha, u_k)^{-1} e^{+iz_k L/2} \partial_\vartheta E_L(z_k) \Big|_{H_{\text{base}}}.$$

Applying Lemma [5.2](#) with $z = z_k$ and $u = u_k + i\alpha$ gives

$$e^{+iz_k L/2} \partial_\vartheta E_L(z_k) \Big|_{H_{\text{base}}} = C_\vartheta \sin(u_k + i\alpha) e^{iq_j(u_k + i\alpha)}.$$

Dividing by $E_{\text{amp}}(\alpha, u_k)$ yields

$$\partial_\vartheta f_k(H; \eta) \Big|_{H_{\text{base}}} = C_\vartheta \frac{\sin(u_k + i\alpha)}{E_{\text{amp}}(\alpha, u_k)} e^{iq_j u_k} e^{-q_j \alpha},$$

and we keep the factor $e^{-q_j \alpha}$ explicit in later sections when we discuss the dependence on the block index j . \square

Lemma 5.7 (Packet constants for the coordinate directions). *In the parametrization*

$$H_j = \frac{1}{2} I + h_j \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + k_j \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad p_j = k_j + i h_j,$$

we have at the base Hamiltonian $H_{\text{base}} \equiv \frac{1}{2}I$ the explicit direction constants

$$C_{\text{kdir}} = 2, \quad C_{\text{hdir}} = -2i, \quad C_{\text{pdir}} := C_{\text{kdir}} + iC_{\text{hdir}} = 4.$$

Consequently, for every block j and every $z \in \mathbb{C}$ (with $u = \frac{z\ell}{2}$ and $q_j = 2j + 1$),

$$e^{+izL/2} \partial_{k_j} E_L(z) \Big|_{H_{\text{base}}} = 2 \sin(u) e^{iq_j u},$$

$$e^{+izL/2} \partial_{h_j} E_L(z) \Big|_{H_{\text{base}}} = -2i \sin(u) e^{iq_j u},$$

and

$$e^{+izL/2} \partial_{p_j}^{\text{dir}} E_L(z) \Big|_{H_{\text{base}}} = 4 \sin(u) e^{iq_j u}.$$

Proof. At the base, $\partial_{k_j} H_j = (\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix})$, so in Lemma 5.2 we have $(a_\vartheta, b_\vartheta) = (0, 1)$ and hence $C_k = 2(b_\vartheta - ia_\vartheta) = 2$. Similarly, $\partial_{h_j} H_j = (\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix})$, so $(a_\vartheta, b_\vartheta) = (1, 0)$ and hence $C_h = 2(0 - i \cdot 1) = -2i$. Finally,

$$\partial_{p_j}^{\text{dir}} = \partial_{k_j} + i \partial_{h_j}$$

gives $C_p = C_k + iC_h = 2 + i(-2i) = 4$. □

Since $E_{\text{amp}}(\alpha, u_k) = |\sin(u_k + i\alpha)|$, the ratio

$$\frac{\sin(u_k + i\alpha)}{E_{\text{amp}}(\alpha, u_k)}$$

is unimodular for every grid index k . Thus the height-normalized derivatives at the base retain the same exponential profile $e^{iq_j u_k}$ as at height 0, up to a harmless unimodular diagonal factor in k and the expected decay factor $e^{-q_j \alpha}$ in the block index j .

6. EXPONENTIAL FRAME AND BASE CONDITIONING

We now quantify the conditioning of the Jacobian of the height-normalized endpoint samples at the base Hamiltonian. The key observation is that the oversampled exponential system $\{e^{iq_j u_k}\}$ on the grid $u_k = \pi k/N$ with $M = PN$ points and $q_j = 2j + 1$ yields an exponential matrix $F \in \mathbb{C}^{M \times N}$ with exact discrete orthogonality ($F^* F = PN I_N$, Lemma 6.1). Together with the diagonal weight matrices coming from the height normalization (which are unimodular on the seam), this implies that the full complex Jacobian $J_{\mathbb{C}}^{(\eta)}$ factors as $W^{(\eta)} F D^{(\eta)}$ with explicit singular values: a common \sqrt{PN} scale from F and an explicit diagonal decay $e^{-(2j+1)\alpha}$ from $D^{(\eta)}$.

6.1. Exponential matrix on the oversampled grid. We work on the oversampled seam grid

$$u_k := \frac{\pi k}{N}, \quad k = 0, 1, \dots, M-1, \quad M := PN,$$

with odd block frequencies

$$q_j := 2j + 1, \quad j = 0, 1, \dots, N-1.$$

On this grid we define the $M \times N$ exponential matrix

$$F \in \mathbb{C}^{M \times N}, \quad F_{k,j} := e^{iq_j u_k}.$$

The matrix F collects the complex exponentials $e^{iq_j u_k}$ evaluated at all oversampled seam nodes.

Lemma 6.1 (Exact orthogonality on the oversampled grid). *For all $0 \leq j, j' \leq N-1$,*

$$\sum_{k=0}^{M-1} e^{iq_j u_k} \overline{e^{iq_{j'} u_k}} = \sum_{k=0}^{M-1} e^{i(q_j - q_{j'}) u_k} = \begin{cases} PN, & j = j', \\ 0, & j \neq j'. \end{cases}$$

In other words, $F^*F = PN I_N$ and all singular values of F equal \sqrt{PN} .

Proof. Write $M = PN$ and recall $u_k = \pi k/N$. Then

$$\sum_{k=0}^{M-1} e^{i(q_j - q_{j'}) u_k} = \sum_{k=0}^{PN-1} \exp\left(i \frac{\pi k}{N} (q_j - q_{j'})\right) = \sum_{r=0}^{P-1} \sum_{k=0}^{N-1} \exp\left(i \frac{\pi(k+rN)}{N} (q_j - q_{j'})\right).$$

Since

$$\exp\left(i \frac{\pi N}{N} (q_j - q_{j'})\right) = e^{i\pi(q_j - q_{j'})} = 1,$$

each inner sum is independent of r , so the whole expression is P times

$$\sum_{k=0}^{N-1} \exp\left(i \frac{\pi k}{N} (q_j - q_{j'})\right).$$

The latter sum is exactly the one appearing in the non-oversampled case. If $j = j'$ then $q_j - q_{j'} = 0$ and the sum equals N . If $j \neq j'$ then $q_j - q_{j'} = 2(j - j')$ is a nonzero even integer, so

$$\sum_{k=0}^{N-1} e^{i(q_j - q_{j'}) u_k} = \sum_{k=0}^{N-1} e^{i2(j-j')\pi k/N} = \frac{1 - e^{i2(j-j')\pi}}{1 - e^{i2(j-j')\pi/N}} = 0,$$

since $e^{i2(j-j')\pi} = 1$ and $e^{i2(j-j')\pi/N} \neq 1$ when $j \neq j'$ and $0 \leq j, j' \leq N-1$. This shows that $F^*F = PN I_N$, and the singular values of F are all \sqrt{PN} . \square

6.2. Factorization of the Jacobian at height η . We now combine Lemma 5.6 with the exponential matrix F from the previous subsection to obtain a matrix factorization for the Jacobian of the height-normalized endpoint samples at the base Hamiltonian.

Fix $\eta > 0$.

Jacobian notation. We use the following consistent terminology:

- $J_{\mathbb{C}}^{(\eta)} \in \mathbb{C}^{M \times N}$: the *directional-derivative matrix* of the full seam vector $f(H; \eta) \in \mathbb{C}^M$ with entries $(\partial_{p_j}^{\text{dir}} f_k)$ at H_{base} . For the seam map considered here, the base linearization depends on increments through $\delta k_j - i\delta h_j$ (see the pairing convention in Section 3), so working with these complex directional combinations makes the base conditioning completely explicit.
- $J_{\mathbb{R}}^{(\eta)} \in \mathbb{R}^{2M \times 2N}$: the real Jacobian obtained by splitting Re / Im on the data side and using real block coordinates on the parameter side.
- A subscript “0” (e.g. $J_{k,0}^{(\eta)}$) denotes restriction of seam indices to the first period $\mathcal{K}_0 = \{0, \dots, N-1\}$, i.e. keeping only the first N seam nodes. This is the square case (equivalently, the same formulas with $P = 1$).

For each Hamiltonian H in the uniform PD box we consider the vector

$$f(H; \eta) := (f_k(H; \eta))_{k=0}^{M-1},$$

where $f_k(H; \eta)$ is defined in (21), and view f as a map from the real parameter vector $\theta = (h_j, k_j)_{j=0}^{N-1} \in \mathbb{R}^{2N}$ into

$$\mathbb{C}^M$$

. At the base H_{base} we are interested in the Jacobian of f with respect to the complex coordinates $p_j = k_j + ih_j$.

Let $J_{\mathbb{C}, \text{full}}^{(\eta)}$ denote the complex Jacobian

$$J_{\mathbb{C}, \text{full}}^{(\eta)} := \left(\partial_{p_j}^{\text{dir}} f_k(H; \eta) \Big|_{H_{\text{base}}} \right)_{0 \leq k \leq M-1, 0 \leq j \leq N-1} \in \mathbb{C}^{M \times N}.$$

Note on the factor $\frac{1}{2}$ (directional derivatives vs. the real differential). As a real differential in increment variables $(\delta k, \delta h)$, the pairing convention in Section 3 gives

$$Df(H_{\text{base}}; \eta)(\delta k, \delta h) = \frac{1}{2} J_{\mathbb{C}}^{(\eta)} (\delta k - i\delta h),$$

since $\partial_{\bar{p}_j}^{\text{dir}} f_k \Big|_{H_{\text{base}}} = 0$ for the seam observables used here. In other words, $J_{\mathbb{C}}^{(\eta)}$ records directional derivatives, while the real first variation includes the bookkeeping factor $\frac{1}{2}$ coming from repackaging $(\delta k, \delta h)$ into $(\delta k \mp i\delta h)$. This distinction is purely notational and does not affect any singular-value statements.

Lemma 6.2 (Diagonal-exponential-diagonal factorization). *Fix $\eta > 0$ and set $\alpha := \eta\ell/2$. Then the full complex Jacobian $J_{\mathbb{C},\text{full}}^{(\eta)}$ admits the factorization*

$$J_{\mathbb{C},\text{full}}^{(\eta)} = W^{(\eta)} F D^{(\eta)},$$

where $W^{(\eta)}$ and $D^{(\eta)}$ are diagonal matrices with

$$W_{k,k}^{(\eta)} = \frac{\sin(u_k + i\alpha)}{E_{\text{amp}}(\alpha, u_k)}, \quad D_{j,j}^{(\eta)} = 4e^{-q_j\alpha},$$

and F is the oversampled exponential matrix

$$F_{k,j} := e^{iq_j u_k}, \quad 0 \leq k \leq M-1, \quad 0 \leq j \leq N-1.$$

Proof. Combining Lemma 5.6 (applied to $\vartheta = k_j$ and $\vartheta = h_j$) with $\partial_{p_j}^{\text{dir}} = \partial_{k_j} + i\partial_{h_j}$ and the constants from Lemma 5.7, we obtain for each block index j and each grid index k the base directional derivatives that form the complex Jacobian used below,

$$\partial_{p_j}^{\text{dir}} f_k(H; \eta) \Big|_{H_{\text{base}}} = 4 \frac{\sin(u_k + i\alpha)}{E_{\text{amp}}(\alpha, u_k)} e^{iq_j u_k} e^{-q_j \alpha}.$$

Thus

$$\partial_{p_j}^{\text{dir}} f_k(H; \eta) \Big|_{H_{\text{base}}} = W_{k,k}^{(\eta)} F_{k,j} D_{j,j}^{(\eta)},$$

with the stated diagonal matrices $W^{(\eta)}$ and $D^{(\eta)}$, and F defined by $F_{k,j} = e^{iq_j u_k}$. Since $E_{\text{amp}}(\alpha, u_k) \neq 0$ for all u_k when $\alpha > 0$, this identity holds for all $0 \leq k \leq M-1$ and $0 \leq j \leq N-1$, so we obtain the factorization $J_{\mathbb{C},\text{full}}^{(\eta)} = W^{(\eta)} F D^{(\eta)}$. \square

For the remainder of this section we will simply denote the full Jacobian by

$$J_{\mathbb{C}}^{(\eta)} := J_{\mathbb{C},\text{full}}^{(\eta)} \in \mathbb{C}^{M \times N},$$

so that the Jacobian factorization takes the concise form

$$J_{\mathbb{C}}^{(\eta)} = W^{(\eta)} F D^{(\eta)}.$$

To control the singular values of $J_{\mathbb{C}}^{(\eta)}$ it suffices to bound the diagonal weights above and below.

Lemma 6.3 (Diagonal bounds). *Fix $\eta > 0$ and set $\alpha := \eta\ell/2 > 0$. Then*

$$|W_{k,k}^{(\eta)}| = 1 \quad \text{for all } k = 0, \dots, M-1,$$

and, since $D_{j,j}^{(\eta)} = 4e^{-q_j\alpha}$ with $q_j = 2j+1$,

$$4e^{-(2N-1)\alpha} \leq |D_{j,j}^{(\eta)}| \leq 4e^{-\alpha} \quad \text{for all } j = 0, \dots, N-1.$$

Proof. By definition $E_{\text{amp}}(\alpha, u_k) = |\sin(u_k + i\alpha)|$, so

$$|W_{k,k}^{(\eta)}| = \frac{|\sin(u_k + i\alpha)|}{E_{\text{amp}}(\alpha, u_k)} = 1$$

for all k . The bounds for $D^{(\eta)}$ are immediate from $D_{j,j}^{(\eta)} = 4e^{-(2j+1)\alpha}$ and $1 \leq 2j+1 \leq 2N-1$. \square

Remark 6.4. The diagonal entries $D_{j,j}^{(\eta)} = 4e^{-(2j+1)\alpha}$ decay exponentially in the block index j . For fixed (L, N, η) this is explicit and finite, but it makes the smallest singular values exponentially small when N is large and $\eta\ell$ is fixed.

Remark 6.5. The identity $F^*F = PN I_N$ simply says the columns of F are orthogonal with squared norm PN .

6.3. Base conditioning at height. We can now combine Lemmas 6.1, 6.2 and 6.3 to control the singular values of the base Jacobian.

Theorem 6.6 (Base singular values at fixed height). *Fix $\eta > 0$ and set $\alpha := \eta\ell/2$. Let*

$$J_{\mathbb{C}}^{(\eta)} = W^{(\eta)} F D^{(\eta)} \in \mathbb{C}^{M \times N}$$

be the complex Jacobian at H_{base} described in Lemma 6.2, with $M = PN$ and $q_j = 2j+1$. Then

$$(J_{\mathbb{C}}^{(\eta)})^* J_{\mathbb{C}}^{(\eta)} = PN D^{(\eta)*} D^{(\eta)}, \quad D_{j,j}^{(\eta)} = 4e^{-q_j\alpha}.$$

In particular, the singular values are

$$\sigma_j(J_{\mathbb{C}}^{(\eta)}) = 4\sqrt{PN} e^{-(2j+1)\alpha}, \quad j = 0, 1, \dots, N-1,$$

where this indexing is decreasing in j (so $\sigma_0 = \sigma_{\max}$ and $\sigma_{N-1} = \sigma_{\min}$). Therefore

$$4\sqrt{PN} e^{-(2N-1)\alpha} \leq \sigma_{\min}(J_{\mathbb{C}}^{(\eta)}) \leq \sigma_{\max}(J_{\mathbb{C}}^{(\eta)}) \leq 4\sqrt{PN} e^{-\alpha}.$$

Proof. Since $|W_{k,k}^{(\eta)}| = 1$ for all k , we have $W^{(\eta)*} W^{(\eta)} = I_M$. By Lemma 6.1, $F^*F = PN I_N$. Therefore

$$(J_{\mathbb{C}}^{(\eta)})^* J_{\mathbb{C}}^{(\eta)} = D^{(\eta)*} F^* W^{(\eta)*} W^{(\eta)} F D^{(\eta)} = D^{(\eta)*} (PN I_N) D^{(\eta)} = PN D^{(\eta)*} D^{(\eta)}.$$

With $D_{j,j}^{(\eta)} = 4e^{-(2j+1)\alpha}$, the eigenvalues of $(J_{\mathbb{C}}^{(\eta)})^* J_{\mathbb{C}}^{(\eta)}$ are $PN |D_{j,j}^{(\eta)}|^2$, so the singular values are $4\sqrt{PN} e^{-(2j+1)\alpha}$. \square

In later sections we will pass from the complex coordinates p_j to real block coordinates $(\xi_j^{(1)}, \xi_j^{(2)})$ as in Lemma 4.3. Since the change of coordinates on each block is uniformly invertible on the uniform PD box, the $\Theta(\sqrt{N})$ conditioning persists for the real Jacobian with respect to the real parameters.

7. HEIGHT-STRIP RECTANGLE AND OPTIONAL $SU(1, 1)/\text{Schur}$ BACKGROUND

This section is optional background. It records a convenient height-strip notation and recalls standard $SU(1, 1)/\text{Schur}$ facts that are useful in variants where the Weyl Schur function is the primary observable. None of the quantitative estimates in Sections 8 to 10 use this material; all bounds for the finite-length scheme are derived directly from Duhamel-type integral representations.

7.1. Height-strip domains at height. Fix $\eta > 0$ and $L > 0$. In later sections we will only evaluate our functions at finitely many points $z_k = x_k + i\eta$ on the seam grid, with

$$x_k = \frac{2\pi k}{L}, \quad k = 0, 1, \dots, M-1, \quad M = PN.$$

It is therefore convenient to work with a fixed *closed* rectangle whose boundary contains all these points. Set

$$W_L := x_{M-1} = \frac{2\pi(M-1)}{L}.$$

Although our seam nodes satisfy $x_k \geq 0$, we symmetrize in x and work on $[-W_L, W_L]$ for notational convenience when defining a compact height strip.

We define the height-strip rectangle $\Omega_\eta(L) \subset \mathbb{C}$ by

$$\Gamma_{\text{top}}(L) := \{x + 2i\eta : -W_L \leq x \leq W_L\}, \quad \Gamma_{\text{bot}}(L) := \{x + i\eta : -W_L \leq x \leq W_L\},$$

and connect these two horizontal segments by two vertical caps

$$\Gamma_{\text{left}}(L) := \{-W_L + iy : \eta \leq y \leq 2\eta\}, \quad \Gamma_{\text{right}}(L) := \{W_L + iy : \eta \leq y \leq 2\eta\}.$$

We then set

$$\Gamma_\eta(L) := \Gamma_{\text{top}}(L) \cup \Gamma_{\text{bot}}(L) \cup \Gamma_{\text{left}}(L) \cup \Gamma_{\text{right}}(L),$$

and let $\Omega_\eta(L)$ be the bounded open set whose boundary is $\Gamma_\eta(L)$. Thus $\overline{\Omega_\eta(L)}$ is the closed rectangle

$$\{x + iy : -W_L \leq x \leq W_L, \eta \leq y \leq 2\eta\},$$

whose boundary is $\Gamma_\eta(L)$. We only sample at height η , but we keep a slightly thicker strip $\eta \leq \text{Im } z \leq 2\eta$ in reserve so that future height perturbations remain in a compact set where our estimates are uniform.

Lemma 7.1 (Basic geometry of the height-strip rectangle $\Omega_\eta(L)$). *For each $\eta > 0$ and $L > 0$, the domain $\Omega_\eta(L)$ is a bounded, simply connected Jordan domain with piecewise $C^{1,1}$ boundary. Its boundary length is*

$$|\Gamma_\eta(L)| = 4W_L + 2\eta = \frac{8\pi(M-1)}{L} + 2\eta, \quad W_L = \frac{2\pi(M-1)}{L}, \quad M = PN.$$

Proof. The boundary $\Gamma_\eta(L)$ is the union of four line segments enclosing the closed rectangle

$$\{x + iy : -W_L \leq x \leq W_L, \eta \leq y \leq 2\eta\},$$

so it is a simple closed piecewise $C^{1,1}$ curve. The length is

$$|\Gamma_\eta(L)| = 2 \cdot (2W_L) + 2 \cdot (\eta) = 4W_L + 2\eta = \frac{8\pi(M-1)}{L} + 2\eta.$$

□

We refer to $\Gamma_{\text{top}}(L) \cup \Gamma_{\text{bot}}(L)$ as the *horizontal seams* and to $\Gamma_{\text{left}}(L) \cup \Gamma_{\text{right}}(L)$ as the *vertical caps*. In this paper our analysis is conducted directly on the seams $\Gamma_{\text{top}}(L) \cup \Gamma_{\text{bot}}(L)$; the full domain $\Omega_\eta(L)$ is introduced only to keep the geometry of the height strip explicit.

7.2. $SU(1, 1)$ and the pseudohyperbolic metric. We recall some standard facts about the group $SU(1, 1)$ and its action on the unit disc; see, for example, [7, 2, 3, 6, 5].

Definition 7.2 ($SU(1, 1)$ and Möbius action). A matrix

$$U = \begin{pmatrix} a & b \\ \bar{b} & \bar{a} \end{pmatrix}$$

belongs to $SU(1, 1)$ if $|a|^2 - |b|^2 = 1$. Each such U acts on the unit disc $\mathbb{D} := \{w \in \mathbb{C} : |w| < 1\}$ via the Möbius transform

$$\varphi_U(w) := \frac{aw + b}{\bar{b}w + \bar{a}}.$$

Lemma 7.3 (Basic properties of $SU(1, 1)$ action). *If $U \in SU(1, 1)$, then:*

- (i) φ_U is an automorphism of \mathbb{D} , with holomorphic inverse $\varphi_{U^{-1}}$.
- (ii) For all $w \in \mathbb{D}$,

$$|\varphi_U(w)| \leq \frac{|a||w| + |b|}{|b||w| + |a|} < 1.$$

(iii) The derivative satisfies

$$\varphi'_U(w) = \frac{1}{(\bar{b}w + \bar{a})^2},$$

so in particular

$$|\varphi'_U(w)| \leq \frac{1}{(|a| - |b||w|)^2}.$$

Proof. These are standard facts about automorphisms of \mathbb{D} ; see for instance [5, Ch. I], [6, Ch. 3] or [3, Ch. 8]. The formula for $\varphi'_U(w)$ is obtained by differentiating the Möbius transform and using $|a|^2 - |b|^2 = 1$. □

The natural distance on \mathbb{D} for $SU(1, 1)$ actions is the pseudohyperbolic metric.

Definition 7.4 (Pseudohyperbolic metric). For $w_1, w_2 \in \mathbb{D}$ we define

$$\beta(w_1, w_2) := \left| \frac{w_1 - w_2}{1 - \overline{w_2}w_1} \right|.$$

Lemma 7.5 (Invariance of β). *If $U \in \mathrm{SU}(1, 1)$ and $w_1, w_2 \in \mathbb{D}$, then*

$$\beta(\varphi_U(w_1), \varphi_U(w_2)) = \beta(w_1, w_2).$$

Proof. A direct computation using $\varphi_U(w) = (aw + b)/(\bar{b}w + \bar{a})$ and $|a|^2 - |b|^2 = 1$ shows that

$$\frac{\varphi_U(w_1) - \varphi_U(w_2)}{1 - \overline{\varphi_U(w_2)}\varphi_U(w_1)} = e^{i\theta} \frac{w_1 - w_2}{1 - \overline{w_2}w_1}$$

for some real θ depending on U and w_2 ; see, e.g., [6, Ch. 3]. Taking absolute values yields the claim. \square

The following elementary inequality expresses the relationship between the Euclidean and pseudohyperbolic distances in the presence of a Schur margin.

Lemma 7.6 (Euclidean vs. pseudohyperbolic distance). *Fix $\rho \in (0, 1)$ and assume $|w_1| \leq \rho$ and $|w_2| \leq \rho$. Then*

$$(1 - \rho^2) \beta(w_1, w_2) \leq |w_1 - w_2| \leq (1 + \rho^2) \beta(w_1, w_2).$$

Proof. By the definition of β ,

$$|w_1 - w_2| = \beta(w_1, w_2) |1 - \overline{w_2}w_1|.$$

Estimate $|1 - \overline{w_2}w_1| \leq 1 + |w_1||w_2| \leq 1 + \rho^2$ and $|1 - \overline{w_2}w_1| \geq 1 - |w_1||w_2| \geq 1 - \rho^2$ to obtain

$$(1 - \rho^2)\beta(w_1, w_2) \leq |w_1 - w_2| \leq (1 + \rho^2)\beta(w_1, w_2).$$

\square

7.3. $\mathrm{SU}(1,1)$ description of the Weyl Schur function. The Schur transform v_L of the Weyl function m_L can be described via a product of $\mathrm{SU}(1, 1)$ matrices associated with the block transfer matrices of the canonical system. We record the standard structural statement here; detailed proofs can be found in [7, 2]. While the quantitative derivative bounds in later sections are derived directly from Duhamel's formula, we include this structure as conceptual background for future work where the nonlinear Weyl Schur function will be the primary object.

Let H be a real PSD Hamiltonian with $\mathrm{tr} H \equiv 1$ and canonical tail on $[L, \infty)$. For each $s \in [0, L]$ let $m(s; z)$ denote the Weyl function of the half-line problem on $[s, \infty)$, so that $m(0; z) = m_L(z)$. The corresponding Schur transform

$$v(s; z) := \frac{m(s; z) - i}{m(s; z) + i}$$

is a Schur function on \mathbb{H} for each s .

Lemma 7.7 (Blockwise SU(1, 1) update for v). *Let H be constant on a block $[s_0, s_1]$ and let $\Phi(s_1, s_0; z)$ be the corresponding transfer matrix. Then for each $z \in \mathbb{H}$ there exists a matrix $U(z) \in \text{SU}(1, 1)$ such that*

$$v(s_0; z) = \varphi_{U(z)}(v(s_1; z)),$$

where $\varphi_{U(z)}$ is the Möbius transform associated with $U(z)$.

Proof sketch. The Weyl function $m(s; z)$ evolves under linear fractional transformations induced by the transfer matrix across $[s_0, s_1]$; see [4, Ch. VI] or [8]. Passing to the Schur transform $v(s; z)$ converts these into SU(1, 1) automorphisms of the unit disc. The explicit formula for $U(z)$ in terms of the entries of $\Phi(s_1, s_0; z)$ can be found, for example, in [2, 7]. \square

Iterating Lemma 7.7 along the blocks of H shows that $v(0; z) = v_L(z)$ can be obtained from the canonical tail at $s = L$ by composing SU(1, 1) transforms along the block partition. At the tail $H \equiv \frac{1}{2}I$ we have $m(L; z) \equiv i$ and hence $v(L; z) \equiv 0$, so $v_L(z)$ is obtained by applying a product of SU(1, 1) automorphisms to the origin in \mathbb{D} .

7.4. Optional Schur-margin control (background). The material in this section is included only as background for variants of the present “scheme” in which the Weyl Schur function v_L is used as the primary observable. In that setting it is convenient to restrict attention to Hamiltonians for which v_L stays in a fixed compact subset of \mathbb{D} on a prescribed compact z -set (e.g. a height strip), because then the corresponding SU(1, 1) Möbius updates act with uniform Euclidean Lipschitz constants on that subset (by Lemma 7.3).

In the present paper, however, all quantitative bounds in the next sections are derived directly from Duhamel-type integral representations and do not rely on any Schur-margin restriction.

8. LIPSCHITZ BOUNDS FOR THE SEAM JACOBIAN

We now derive Lipschitz bounds on the Jacobian of the height-normalized endpoint samples with respect to the block parameters directly from variation-of-parameters. The key observation is that the second derivatives of $E_L(z)$ (and hence of $f_k(H; \eta)$) with respect to the block parameters admit double-Duhamel representations as integrals over $[0, L]^2$ of products of propagators and fixed matrices. Using the propagator bound $\|\Phi(s, t; z)\| \leq e^{|z|(s-t)}$ and the identity

$$(L - s_2) + (s_2 - s_1) + s_1 = L,$$

one obtains a crude (but explicit) estimate of order $|z|^2 e^{|z|L} L^2$ on the height-strip rectangle (where $|z|$ is uniformly bounded). The SU(1, 1) material from Section 7

is optional background and is not used in the quantitative bounds proved in this section.

8.1. Second derivatives via double Duhamel. Let $H(s)$ be a block-constant Hamiltonian with block values in the uniform PD box \mathcal{B} . We parameterize H by the real block parameters $(h_j, k_j)_{j=0}^{N-1}$, i.e. by a vector $\theta \in \mathbb{R}^{2N}$ built from these coordinates. (If one uses a different blockwise real-linear coordinate system, the estimates below change only by uniform multiplicative constants determined by that change of coordinates.)

Fix two real parameters ϑ_1, ϑ_2 (each one of the coordinates h_j or k_j on some block). Let $\Phi(\cdot, \cdot; z)$ denote the corresponding transfer matrix for the canonical system

$$JY'(s) = zH(s)Y(s).$$

Since $A(s) := -zJH(s)$ depends linearly on $H(s)$, the first parameter derivative of $\Phi(L, 0; z)$ is given by the standard Duhamel formula, and differentiating once more yields the standard second-variation formula: for every $z \in \mathbb{C}$,

$$\begin{aligned} \partial_{\vartheta_1 \vartheta_2}^2 \Phi(L, 0; z) &= z^2 \iint_{0 \leq s_1 \leq s_2 \leq L} \Phi(L, s_2; z) J \partial_{\vartheta_2} H(s_2) \Phi(s_2, s_1; z) J \partial_{\vartheta_1} H(s_1) \Phi(s_1, 0; z) ds_1 ds_2 \\ &\quad + z^2 \iint_{0 \leq s_2 \leq s_1 \leq L} \Phi(L, s_1; z) J \partial_{\vartheta_1} H(s_1) \Phi(s_1, s_2; z) J \partial_{\vartheta_2} H(s_2) \Phi(s_2, 0; z) ds_2 ds_1. \end{aligned} \tag{22}$$

In our block-constant setting each $\partial_{\vartheta_m} H(\cdot)$ is supported on a single block and equals one of the fixed matrices $(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix})$ or $(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix})$ (hence has norm 1). Testing (22) against λ_+ and e_1 gives a corresponding representation for $\partial_{\vartheta_1 \vartheta_2}^2 E_L(z) = \lambda_+(\partial_{\vartheta_1 \vartheta_2}^2 \Phi(L, 0; z))e_1$.

For explicit base computations one may replace Φ by the base propagator Φ_0 when $H = H_{\text{base}}$, but for the Lipschitz bounds below we use the uniform form (22) for all $H \in \mathcal{B}^N$.

Lemma 8.1 (Second-derivative bound for E_L). *Fix $\eta > 0$ and a compact constraint set \mathcal{B} . For $L > 0$ define*

$$Z_{\max} := \sup_{z \in \overline{\Omega_\eta(L)}} |z|.$$

Then for every block-constant Hamiltonian $H \in \mathcal{B}^N$, every pair of block parameters ϑ_1, ϑ_2 , and every $z \in \overline{\Omega_\eta(L)}$,

$$|\partial_{\vartheta_1 \vartheta_2}^2 E_L(z; H)| \leq \sqrt{2} |z|^2 e^{|z|L} L^2 \leq \sqrt{2} Z_{\max}^2 e^{Z_{\max} L} L^2.$$

Proof. Write $\Phi(\cdot, \cdot; z)$ for the transfer matrix of

$$JY'(s) = zH(s)Y(s), \quad Y'(s) = -zJH(s)Y(s).$$

The double Duhamel formula (22) expresses $\partial_{\vartheta_1 \vartheta_2}^2 \Phi(L, 0; z)$ as a sum of two integrals over two triangular regions in $[0, L]^2$, each of area $L^2/2$. For $0 \leq t \leq s \leq L$,

Lemma 1.1 gives $\|\Phi(s, t; z)\| \leq e^{|z|(s-t)}$. In our block coordinates, each $\partial_{\vartheta_m} H(s)$ is either $(\begin{smallmatrix} 1 & 0 \\ 0 & -1 \end{smallmatrix}) \mathbf{1}_{I_j}(s)$ or $(\begin{smallmatrix} 0 & 1 \\ 1 & 0 \end{smallmatrix}) \mathbf{1}_{I_j}(s)$, hence has operator norm at most 1. Therefore, for $0 \leq s_1 \leq s_2 \leq L$, the corresponding integrand norm is bounded by

$$|z|^2 \|\Phi(L, s_2; z)\| \|\Phi(s_2, s_1; z)\| \|\Phi(s_1, 0; z)\| \leq |z|^2 e^{|z|((L-s_2)+(s_2-s_1)+s_1)} = |z|^2 e^{|z|L}.$$

Each triangle integral is thus bounded by $|z|^2 e^{|z|L} \cdot (L^2/2)$, and summing the two gives

$$\|\partial_{\vartheta_1 \vartheta_2}^2 \Phi(L, 0; z)\| \leq |z|^2 e^{|z|L} L^2.$$

Finally,

$$|\partial_{\vartheta_1 \vartheta_2}^2 E_L(z; H)| = |\lambda_+ \partial_{\vartheta_1 \vartheta_2}^2 \Phi(L, 0; z) e_1| \leq \|\lambda_+\| \|e_1\| \|\partial_{\vartheta_1 \vartheta_2}^2 \Phi(L, 0; z)\|.$$

Since $\|\lambda_+\| = \sqrt{2}$ and $\|e_1\| = 1$, the stated bound follows. \square

Lemma 8.2 (Uniform second-derivative bound for E_L on a height range). *Fix $\eta_{\max} > 0$ and a compact constraint set \mathcal{B} . For each $L > 0$ define*

$$Z_{\max}^* := \sup \{ |z| : z \in \overline{\Omega_\eta(L)} \text{ for some } \eta \in (0, \eta_{\max}] \}.$$

Then for every height $0 < \eta \leq \eta_{\max}$, every block-constant $H \in \mathcal{B}^N$, every $z \in \overline{\Omega_\eta(L)}$, and every pair of block parameters ϑ_1, ϑ_2 ,

$$|\partial_{\vartheta_1 \vartheta_2}^2 E_L(z; H)| \leq \sqrt{2} (Z_{\max}^*)^2 e^{Z_{\max}^* L} L^2.$$

Proof. Apply Lemma 8.1 for each $\eta \in (0, \eta_{\max}]$ and bound $|z| \leq Z_{\max}^*$ uniformly over the compact union of rectangles. \square

8.2. Second derivatives of height-normalized samples. We now translate Lemma 8.1 into bounds for the second derivatives of the normalized samples $f_k(H; \eta)$ defined in (21). Differentiating (21) twice (noting that E_{amp} is independent of H) gives

$$\partial_{\vartheta_1 \vartheta_2}^2 f_k(H; \eta) = E_{\text{amp}}(\alpha, u_k)^{-1} e^{+iz_k L/2} \partial_{\vartheta_1 \vartheta_2}^2 E_L(z_k; H),$$

with $z_k = x_k + i\eta$. Using Lemma 8.1 and the uniform lower bound $E_{\text{amp}}(\alpha, u_k) \geq \sinh \alpha > 0$ (Lemma 5.4), we obtain the following.

Lemma 8.3 (Second-derivative bound for f_k). *Fix $\eta > 0$, set $\alpha := \eta\ell/2 > 0$, and define $Z_{\max} := \sup_{z \in \overline{\Omega_\eta(L)}} |z|$. Then for every block-constant $H \in \mathcal{B}^N$, every grid index $k = 0, 1, \dots, M-1$, and every pair of block parameters ϑ_1, ϑ_2 ,*

$$|\partial_{\vartheta_1 \vartheta_2}^2 f_k(H; \eta)| \leq \frac{\sqrt{2}}{\sinh \alpha} Z_{\max}^2 e^{Z_{\max} L} L^2.$$

Proof. From (21),

$$\partial_{\vartheta_1 \vartheta_2}^2 f_k(H; \eta) = \frac{e^{+iz_k L/2}}{E_{\text{amp}}(\alpha, u_k)} \partial_{\vartheta_1 \vartheta_2}^2 E_L(z_k; H), \quad z_k = x_k + i\eta.$$

By Lemma 5.4, $E_{\text{amp}}(\alpha, u_k) \geq \sinh \alpha$, and by Lemma 8.1 we have $|\partial_{\vartheta_1 \vartheta_2}^2 E_L(z_k; H)| \leq \sqrt{2} Z_{\max}^2 e^{Z_{\max} L} L^2$. Combining these bounds yields

$$|\partial_{\vartheta_1 \vartheta_2}^2 f_k(H; \eta)| \leq \frac{\sqrt{2}}{\sinh \alpha} Z_{\max}^2 e^{Z_{\max} L} L^2,$$

as claimed. \square

Since $\partial_{p_j}^{\text{dir}} = \partial_{k_j} + i \partial_{h_j}$ is a fixed complex-linear combination of the real derivatives and any other blockwise coordinate system is uniformly bi-Lipschitz on the fixed uniform PD box, we may regard Lemma 8.3 as a bound on all mixed second derivatives $\partial_{\theta_a \theta_b}^2 f_k$ at the base, where θ_a and θ_b are arbitrary real coordinates in the parameter vector $\theta \in \mathbb{R}^{2N}$.

Lemma 8.4 (Uniform second-derivative bound for the normalized seam samples). *Fix $0 < \eta_{\min} \leq \eta_{\max}$ and a uniform PD box \mathcal{B} . Let $f_k(H; \eta)$ be the height-normalized samples defined in (21). Then there exists a constant $C_f^*(\eta_{\min}, \eta_{\max}, L, N, P, \mathcal{B}) < \infty$ such that for every height $\eta \in [\eta_{\min}, \eta_{\max}]$, every block-constant $H \in \mathcal{B}^N$, every grid index $k = 0, 1, \dots, M - 1$, and every pair of real parameters θ_a, θ_b ,*

$$|\partial_{\theta_a \theta_b}^2 f_k(H; \eta)| \leq C_f^*(\eta_{\min}, \eta_{\max}, L, N, P, \mathcal{B}) L^2.$$

Proof. Combine Lemma 8.2 with the identity

$$\partial_{\theta_a \theta_b}^2 f_k(H; \eta) = \frac{e^{+iz_k L/2}}{E_{\text{amp}}(\alpha, u_k)} \partial_{\theta_a \theta_b}^2 E_L(z_k; H),$$

and use the uniform lower bound $E_{\text{amp}}(\alpha, u_k) \geq \sinh(\eta_{\min} \ell/2)$ on the height range $\eta \in [\eta_{\min}, \eta_{\max}]$. \square

8.3. Lipschitz bound for the Jacobian.

Let

$$\mathbf{f}(H; \eta) := (f_k(H; \eta))_{k=0}^{M-1} \in \mathbb{C}^M$$

denote the stacked vector of height-normalized samples on the full seam grid. Writing $\mathbf{f} : \Theta \rightarrow \mathbb{C}^M$ with $\Theta \subset \mathbb{R}^{2N}$ a neighbourhood of the base point θ_0 corresponding to H_{base} , we consider its (real) derivative matrix

$$\mathcal{J}(\theta) := D_\theta \mathbf{f}(H(\theta); \eta) \in \mathbb{C}^{M \times 2N},$$

viewed as the matrix of a real-linear map $\mathbb{R}^{2N} \rightarrow \mathbb{C}^M \cong \mathbb{R}^{2M}$.

Theorem 8.5 (Lipschitz bound for the seam Jacobian on a parameter ball). *Fix $\eta > 0$ and a compact constraint set \mathcal{B} containing the base Hamiltonian H_{base} . Let*

$\Theta \subset \mathbb{R}^{2N}$ be an open parameter set and assume there exists a radius $R > 0$ such that the closed Euclidean ball $B(\theta_0, R) \subset \Theta$ satisfies $H(\theta) \in \mathcal{B}^N$ for all $\theta \in B(\theta_0, R)$. Then there exists a constant

$$L_{\mathcal{J}}(\eta, L, \mathcal{B}, N, P, R) < \infty$$

such that for all $\theta, \tilde{\theta} \in B(\theta_0, R)$,

$$\|\mathcal{J}(\theta) - \mathcal{J}(\tilde{\theta})\|_{2 \rightarrow 2} \leq L_{\mathcal{J}}(\eta, L, \mathcal{B}, N, P, R) \|\theta - \tilde{\theta}\|_{\ell^2}.$$

Under the crude estimates coming from double Duhamel, one may take $L_{\mathcal{J}}$ of size $\sim Z_{\max}^2 e^{Z_{\max} L} L^2$ (where $Z_{\max} := \sup_{z \in \overline{\Omega_\eta(L)}} |z|$), up to a polynomial factor in (N, P) .

Proof. Since $B(\theta_0, R)$ is convex, Taylor's theorem with integral remainder along the line segment applies: for each fixed grid index $k \in \{0, \dots, M-1\}$ and each coordinate θ_a we have

$$\partial_{\theta_a} f_k(H(\theta); \eta) - \partial_{\theta_a} f_k(H(\tilde{\theta}); \eta) = \sum_{b=1}^{2N} \int_0^1 \partial_{\theta_a \theta_b}^2 f_k(H(\tilde{\theta} + t(\theta - \tilde{\theta})); \eta) (\theta_b - \tilde{\theta}_b) dt.$$

Taking absolute values and using Lemma 8.3 uniformly on \mathcal{B}^N yields an entrywise bound of the form

$$\left| \partial_{\theta_a} f_k(H(\theta); \eta) - \partial_{\theta_a} f_k(H(\tilde{\theta}); \eta) \right| \leq C(\eta, \mathcal{B}) L^2 \sum_{b=1}^{2N} |\theta_b - \tilde{\theta}_b|.$$

Collecting these bounds over all k and a and using $\|\cdot\|_{2 \rightarrow 2} \leq \|\cdot\|_{\text{F}}$ (Frobenius norm) together with $\|\cdot\|_{\ell^1} \leq \sqrt{2N} \|\cdot\|_{\ell^2}$ produces

$$\|\mathcal{J}(\theta) - \mathcal{J}(\tilde{\theta})\|_{2 \rightarrow 2} \leq L_{\mathcal{J}}(\eta, L, \mathcal{B}, N, P) \|\theta - \tilde{\theta}\|_{\ell^2},$$

for a finite constant $L_{\mathcal{J}}(\eta, L, \mathcal{B}, N, P)$ (under this crude estimate, $L_{\mathcal{J}}$ may grow polynomially in N and P). This is sufficient for the quantitative inverse function theorem in Section 10. \square

The crude double-Duhamel estimate underlying Theorem 8.5 yields a Lipschitz constant that scales like

$$L_{\mathcal{J}}(\eta, L, \mathcal{B}, N, P) \sim Z_{\max}^2 e^{Z_{\max} L} L^2$$

(up to polynomial factors in (N, P) coming from the dimension). This is the natural scaling in view of the double integral structure in (22). In Sections 9-10 we combine the explicit lower singular-value bound at the base (which carries an $e^{-(2N-1)\alpha}$ factor, with $\alpha = \eta\ell/2$) with this Lipschitz estimate and a quantitative inverse function theorem to obtain a finite-length inversion scheme with an explicit local inverse

radius of the form

$$r \asymp \frac{\sqrt{N} e^{-(2N-1)\alpha}}{L_{\mathcal{J}}(\eta, L, \mathcal{B}, N, P)}.$$

In particular, since $(2N - 1)\alpha \approx \eta L$ when $\ell = L/N$, the smallest singular values (and hence the guaranteed inverse radius) naturally carry an $e^{-\eta L}$ -type factor in this fixed-height setting.

Theorem 8.6 (Uniform-height Lipschitz bound for the seam Jacobian). *Fix $0 < \eta_{\min} \leq \eta_{\max}$ and a uniform PD box \mathcal{B} . Let $\mathbf{f}(H; \eta) \in \mathbb{C}^M$ be the full seam sample map built from (21) and let $\mathcal{J}(\theta; \eta)$ be its Jacobian with respect to the real block-parameter vector $\theta \in \mathbb{R}^{2N}$. Then there exists a constant*

$$L_{\mathcal{J}}^*(\eta_{\min}, \eta_{\max}, L, \mathcal{B}, N, P) < \infty$$

such that for every height $\eta \in [\eta_{\min}, \eta_{\max}]$ and all $\theta, \tilde{\theta}$ with $H(\theta), H(\tilde{\theta}) \in \mathcal{B}^N$,

$$\|\mathcal{J}(\theta; \eta) - \mathcal{J}(\tilde{\theta}; \eta)\|_{2 \rightarrow 2} \leq L_{\mathcal{J}}^*(\eta_{\min}, \eta_{\max}, L, \mathcal{B}, N, P) \|\theta - \tilde{\theta}\|_{\ell^2}.$$

Proof. This is identical to the proof of Theorem 8.5, except that we use Lemma 8.4 to bound second derivatives uniformly over $\eta \in [\eta_{\min}, \eta_{\max}]$. \square

9. REAL COORDINATES AND THE SQUARE SEAM MAP

In this section we pass from the complex block coordinates p_j and complex samples $f_k(H; \eta)$ to real coordinates on both the parameter and data side. The goal is to obtain a real Jacobian with the same $\Theta(\sqrt{N})$ conditioning as in Theorem 6.6, together with the Lipschitz bound from Theorem 8.5.

9.1. Real block coordinates. We use the real coordinates obtained from the complex block variables p_j :

$$\xi_j^{(1)} := \operatorname{Re} p_j, \quad \xi_j^{(2)} := \operatorname{Im} p_j, \quad p_j := k_j + i h_j.$$

The complex directional derivative with respect to p_j is recovered from the real derivatives with respect to $(\xi_j^{(1)}, \xi_j^{(2)})$ by a fixed real-linear change of variables on each block.

We now assemble all block coordinates into a single real vector

$$\xi := (\xi_0^{(1)}, \xi_0^{(2)}, \dots, \xi_{N-1}^{(1)}, \xi_{N-1}^{(2)}) \in \mathbb{R}^{2N},$$

and denote by ξ_0 the point corresponding to the base Hamiltonian $H_{\text{base}} \equiv \frac{1}{2}I$ (so $\xi_0 = 0$ in the above parametrization). There is a real-linear isomorphism

$$T_{\text{par}} : \mathbb{R}^{2N} \longrightarrow \mathbb{C}^N, \quad \xi \longmapsto p = (p_j)_{j=0}^{N-1},$$

given blockwise by $p_j = \xi_j^{(1)} + i\xi_j^{(2)}$. In particular, with the Euclidean norms on \mathbb{R}^{2N} and \mathbb{C}^N , this identification is an isometry:

$$\|p\|_{\ell^2(\mathbb{C}^N)} = \|\xi\|_{\ell^2(\mathbb{R}^{2N})}.$$

9.2. Real data vector. On the data side we work with the height-normalized samples $f_k(H; \eta)$ defined in (21) on the full seam grid $k = 0, 1, \dots, M - 1$. We assemble these into a complex vector

$$\mathbf{f}(\xi; \eta) := (f_k(H(\xi); \eta))_{k=0}^{M-1} \in \mathbb{C}^M.$$

To obtain a real map we split into real and imaginary parts.

Definition 9.1 (Real seam data). For each Hamiltonian H in the uniform PD box and height $\eta > 0$ we define the real data vector

$$\mathcal{S}(H; \eta) := (\operatorname{Re} f_k(H; \eta), \operatorname{Im} f_k(H; \eta))_{k=0}^{M-1} \in \mathbb{R}^{2M}.$$

When we wish to emphasize the dependence on the real coordinates ξ , we write

$$\mathcal{S}(\xi; \eta) := \mathcal{S}(H(\xi); \eta).$$

There is an obvious real-linear isomorphism

$$T_{\text{data}} : \mathbb{C}^M \longrightarrow \mathbb{R}^{2M}, \quad (F_k)_{k=0}^{M-1} \longmapsto (\operatorname{Re} F_k, \operatorname{Im} F_k)_{k=0}^{M-1},$$

whose operator norm and inverse operator norm are both equal to 1.

9.3. Real Jacobian and conditioning. Let $J_{\mathbb{R}}^{(\eta)}$ denote the real Jacobian of the full seam data \mathcal{S} with respect to the real block coordinates ξ at the base point ξ_0 :

$$J_{\mathbb{R}}^{(\eta)} := \left(\frac{\partial \mathcal{S}_\alpha(\xi; \eta)}{\partial \xi_\beta} \Big|_{\xi=\xi_0} \right)_{\alpha, \beta} \in \mathbb{R}^{2M \times 2N}.$$

To relate $J_{\mathbb{R}}^{(\eta)}$ to the complex packet structure, it is convenient to introduce the complex Jacobian with respect to the real coordinate directions k_j :

$$J_k^{(\eta)} := \left(\partial_{k_j} f_k(H; \eta) \Big|_{H_{\text{base}}} \right)_{0 \leq k \leq M-1, 0 \leq j \leq N-1} \in \mathbb{C}^{M \times N}.$$

By Lemmas 5.6 and 5.7, this satisfies the diagonal-exponential-diagonal factorization

$$J_k^{(\eta)} = W^{(\eta)} F D_k^{(\eta)}, \quad (D_k^{(\eta)})_{j,j} = 2 e^{-q_j \alpha}, \quad q_j = 2j + 1, \quad \alpha = \eta \ell / 2.$$

Moreover, at the base we have the exact relation $\partial_{h_j} f_k = -i \partial_{k_j} f_k$, so for a real parameter increment $\delta \xi = (\delta k_j, \delta h_j)_{j=0}^{N-1} \in \mathbb{R}^{2N}$ we obtain the linearization

$$\delta \mathbf{f} := D\mathbf{f}(\xi_0; \eta) \delta \xi = \sum_{j=0}^{N-1} (\delta k_j - i \delta h_j) (J_k^{(\eta)})_{\cdot, j}.$$

Equivalently, define the real-linear isometry

$$T_{\text{par}}^- : \mathbb{R}^{2N} \rightarrow \mathbb{C}^N, \quad T_{\text{par}}^-(\delta\xi) := (\delta k_j - i \delta h_j)_{j=0}^{N-1},$$

and the real-linear isometry

$$T_{\text{data}} : \mathbb{C}^M \rightarrow \mathbb{R}^{2M}, \quad (w_k)_{k=0}^{M-1} \mapsto (\operatorname{Re} w_k, \operatorname{Im} w_k)_{k=0}^{M-1}.$$

Then the base Jacobian satisfies the exact factorization

$$J_{\mathbb{R}}^{(\eta)} = T_{\text{data}} \circ J_k^{(\eta)} \circ T_{\text{par}}^-.$$

Since T_{par}^- and T_{data} are isometries, the singular values of $J_{\mathbb{R}}^{(\eta)}$ are exactly the singular values of $J_k^{(\eta)}$, each appearing with multiplicity 2. In particular, using $F^*F = PN I_N$ we obtain

$$\sigma_j(J_k^{(\eta)}) = 2\sqrt{PN} e^{-(2j+1)\alpha}, \quad j = 0, 1, \dots, N-1.$$

9.4. The square seam map. In applications one often wants a square system obtained by restricting to exactly $2N$ real seam coordinates; this yields a direct (non-least-squares) local inversion statement via a quantitative inverse function theorem. We therefore fix the explicit index set

$$\mathcal{K}_0 := \{0, 1, \dots, N-1\} \subset \{0, 1, \dots, M-1\},$$

and define the square seam map

$$\mathcal{S}_0(H; \eta) := (\operatorname{Re} f_k(H; \eta), \operatorname{Im} f_k(H; \eta))_{k \in \mathcal{K}_0} \in \mathbb{R}^{2N}, \quad (23)$$

where $f_k(H; \eta)$ is given by (21).

Let $J_{k,0}^{(\eta)} \in \mathbb{C}^{N \times N}$ denote the complex Jacobian of the restricted complex data vector

$$f_0(H; \eta) := (f_k(H; \eta))_{k \in \mathcal{K}_0} \in \mathbb{C}^N$$

with respect to the real block coordinates k_j at the base Hamiltonian:

$$J_{k,0}^{(\eta)} := \left(\partial_{k_j} f_k(H; \eta) \Big|_{H_{\text{base}}} \right)_{k \in \mathcal{K}_0, 0 \leq j \leq N-1}.$$

Restricting Lemma 6.2 and using Lemma 5.7 gives

$$J_{k,0}^{(\eta)} = W_0^{(\eta)} F_0 D_k^{(\eta)}, \quad (D_k^{(\eta)})_{j,j} = 2e^{-q_j \alpha}, \quad q_j = 2j + 1, \quad \alpha = \eta\ell/2,$$

where $W_0^{(\eta)}$ is diagonal with unimodular entries and

$$(F_0)_{k,j} := e^{iq_j u_k}, \quad k, j = 0, 1, \dots, N-1.$$

On $\mathcal{K}_0 = \{0, \dots, N-1\}$, F_0 is a diagonal modulation of the $N \times N$ Fourier matrix, hence $F_0^* F_0 = N I_N$ and all singular values of F_0 equal \sqrt{N} .

Finally, the real Jacobian $J_0^{(\eta)} := D\mathcal{S}_0(\xi_0; \eta) \in \mathbb{R}^{2N \times 2N}$ is obtained by splitting real/imaginary parts on the data side and using the real-linear isometry $\delta\xi \mapsto (\delta k_j - i\delta h_j)_j$ on the parameter side, so its nonzero singular values are those of $J_{k,0}^{(\eta)}$, each with multiplicity 2.

Lemma 9.2 (Square seam restriction at the base). *Fix $L = N\ell$, $\eta > 0$, and set $\alpha := \eta\ell/2$. Let $\mathcal{K}_0 = \{0, 1, \dots, N-1\}$, $u_k = \pi k/N$, $x_k = 2u_k/\ell$, and $z_k = x_k + i\eta$. Let*

$$f_0(H; \eta) := (f_k(H; \eta))_{k \in \mathcal{K}_0} \in \mathbb{C}^N$$

and let $J_{k,0}^{(\eta)} \in \mathbb{C}^{N \times N}$ be the complex Jacobian

$$J_{k,0}^{(\eta)} := \left(\partial_{k_j} f_k(H; \eta) \Big|_{H_{\text{base}}} \right)_{k \in \mathcal{K}_0, 0 \leq j \leq N-1}.$$

Then

$$J_{k,0}^{(\eta)} = W_0^{(\eta)} F_0 D_k^{(\eta)},$$

where $W_0^{(\eta)}$ is diagonal with $|W_0^{(\eta)}_{k,k}| = 1$,

$$(F_0)_{k,j} = e^{iq_j u_k}, \quad q_j := 2j + 1,$$

and $D_k^{(\eta)}$ is diagonal with $(D_k^{(\eta)})_{j,j} = 2e^{-q_j \alpha}$. Moreover $F_0^* F_0 = N I_N$, so

$$\sigma_j(J_{k,0}^{(\eta)}) = 2\sqrt{N} e^{-q_j \alpha}, \quad j = 0, 1, \dots, N-1.$$

In particular,

$$2\sqrt{N} e^{-(2N-1)\alpha} \leq \sigma_{\min}(J_{k,0}^{(\eta)}) \leq \sigma_{\max}(J_{k,0}^{(\eta)}) \leq 2\sqrt{N} e^{-\alpha}.$$

Finally, the real Jacobian $J_0^{(\eta)} := D\mathcal{S}_0(\xi_0; \eta) \in \mathbb{R}^{2N \times 2N}$ has the same nonzero singular values as $J_{k,0}^{(\eta)}$, each appearing with multiplicity 2.

Proof. The factorization is the restriction of Lemma 5.6 (with $C_{\text{kdir}} = 2$ from Lemma 5.7) to the index set $\mathcal{K}_0 = \{0, \dots, N-1\}$: for $k \in \mathcal{K}_0$ and $0 \leq j \leq N-1$,

$$\begin{aligned} \partial_{k_j} f_k(H; \eta) \Big|_{H_{\text{base}}} &= \underbrace{\frac{\sin(u_k + i\alpha)}{|\sin(u_k + i\alpha)|}}_{=: (W_0^{(\eta)})_{k,k}} \cdot \underbrace{e^{iq_j u_k}}_{=(F_0)_{k,j}} \cdot \underbrace{2e^{-q_j \alpha}}_{=(D_k^{(\eta)})_{j,j}}. \end{aligned}$$

Next, with $u_k = \pi k/N$ and $q_j = 2j + 1$,

$$(F_0)_{k,j} = e^{i(2j+1)\pi k/N} = e^{i\pi k/N} e^{i2\pi jk/N},$$

so F_0 is a unitary diagonal modulation of the (unnormalized) Fourier matrix, hence $F_0^* F_0 = N I_N$ and all singular values of F_0 equal \sqrt{N} .

Since $W_0^{(\eta)}$ is diagonal unimodular, it is unitary. Therefore

$$(J_{k,0}^{(\eta)})^* J_{k,0}^{(\eta)} = (D_k^{(\eta)})^* F_0^* F_0 D_k^{(\eta)} = N (D_k^{(\eta)})^* D_k^{(\eta)},$$

and the singular values are $\sigma_j(J_{k,0}^{(\eta)}) = 2\sqrt{N}e^{-q_j\alpha}$.

For the real Jacobian: at the base, $\partial_{h_j} f_k = -i \partial_{k_j} f_k$, so the linearization depends on the coefficient $\delta k_j - i\delta h_j$. Splitting real/imaginary parts on the data side and using the isometry $\delta\xi \mapsto (\delta k_j - i\delta h_j)_j$ on the parameter side shows that realification duplicates the singular values, giving the final statement. \square

10. QUANTITATIVE INVERSE FUNCTION THEOREM AND FINITE-LENGTH SCHEME

We now combine the conditioning and Lipschitz estimates developed so far with a quantitative inverse function theorem to obtain a finite-length scheme for canonical systems: for each fixed length L and height $\eta > 0$, the square seam map S_0 is a C^1 diffeomorphism from a suitable ball in parameter space onto its image, with explicit bounds on the inverse and on the size of the ball.

10.1. A quantitative inverse function theorem. We begin with a quantitative version in finite-dimensional Hilbert spaces. For reference, see [9, Ch. 9] for the classical inverse function theorem (without explicit constants); we include a full proof below.

Theorem 10.1 (Quantitative inverse function theorem). *Let X and Y be finite-dimensional real Hilbert spaces, and let $F : U \rightarrow Y$ be a C^1 map on an open set $U \subset X$. Fix $x_0 \in U$ and $R > 0$ such that the closed ball*

$$B_X(x_0, R) := \{x \in X : \|x - x_0\| \leq R\}$$

satisfies $B_X(x_0, R) \subset U$. Suppose:

- (i) The derivative $DF(x)$ exists and is continuous on $B_X(x_0, R)$.
- (ii) $DF(x_0)$ is invertible with

$$\sigma_{\min}(DF(x_0)) \geq s_0 > 0,$$

where σ_{\min} denotes the smallest singular value.

- (iii) There is a Lipschitz bound on DF :

$$\|DF(x) - DF(y)\|_{X \rightarrow Y} \leq K \|x - y\| \quad \text{for all } x, y \in B_X(x_0, R).$$

If $r > 0$ is chosen so that

$$r \leq R, \quad 3Kr \leq \frac{s_0}{2},$$

then F is injective on $B_X(x_0, r)$, and its restriction to the open ball

$$B_X^\circ(x_0, r) := \{x \in X : \|x - x_0\| < r\}$$

is a C^1 diffeomorphism from $B_X^\circ(x_0, r)$ onto the open set $F(B_X^\circ(x_0, r))$.

Moreover, for all $x_1, x_2 \in B_X(x_0, r)$,

$$\frac{s_0}{2} \|x_1 - x_2\| \leq \|F(x_1) - F(x_2)\| \leq (\|DF(x_0)\| + 3Kr) \|x_1 - x_2\|.$$

In particular, the inverse map $F^{-1} : F(B_X^\circ(x_0, r)) \rightarrow B_X^\circ(x_0, r)$ is globally Lipschitz with constant at most $2/s_0$.

Proof. The argument is standard; see, e.g., [9, Ch. 9]. We include details for completeness.

Let $x_1, x_2 \in B_X(x_0, R)$ and set $h := x_1 - x_2$. By the fundamental theorem of calculus,

$$F(x_1) - F(x_2) = \int_0^1 DF(x_2 + th) h dt.$$

Write

$$DF(x_2 + th) = DF(x_0) + (DF(x_2 + th) - DF(x_0)).$$

Taking norms and using the triangle inequality,

$$\|F(x_1) - F(x_2)\| \geq \|DF(x_0)h\| - \int_0^1 \|DF(x_2 + th) - DF(x_0)\| \|h\| dt.$$

By assumption (iii),

$$\|DF(x_2 + th) - DF(x_0)\| \leq K\|x_2 + th - x_0\| \leq K(\|x_2 - x_0\| + t\|h\|).$$

If $x_1, x_2 \in B_X(x_0, r)$ then $\|x_2 - x_0\| \leq r$ and $\|h\| \leq 2r$, hence

$$\|DF(x_2 + th) - DF(x_0)\| \leq 3Kr \quad \text{for all } t \in [0, 1].$$

Therefore

$$\|F(x_1) - F(x_2)\| \geq \|DF(x_0)h\| - 3Kr\|h\|.$$

The smallest singular value hypothesis gives $\|DF(x_0)h\| \geq s_0\|h\|$, so

$$\|F(x_1) - F(x_2)\| \geq (s_0 - 3Kr) \|h\|.$$

If we choose $r > 0$ such that $3Kr \leq s_0/2$, then

$$\|F(x_1) - F(x_2)\| \geq \frac{s_0}{2} \|h\|$$

for all $x_1, x_2 \in B_X(x_0, r)$. This proves injectivity on $B_X(x_0, r)$ and the lower Lipschitz bound.

For the upper bound, use the same integral formula together with

$$\|DF(x_2 + th)\| \leq \|DF(x_0)\| + \|DF(x_2 + th) - DF(x_0)\| \leq \|DF(x_0)\| + 3Kr,$$

valid for $x_1, x_2 \in B_X(x_0, r)$. This yields

$$\|F(x_1) - F(x_2)\| \leq (\|DF(x_0)\| + 3Kr) \|h\|.$$

Finally, since F is C^1 on the open set U and DF is invertible on $B_X^\circ(x_0, r)$ by continuity and the lower bound, the classical inverse function theorem implies that F is a C^1 local diffeomorphism on $B_X^\circ(x_0, r)$. Combined with injectivity, this gives that F is a C^1 diffeomorphism from $B_X^\circ(x_0, r)$ onto $F(B_X^\circ(x_0, r))$. The Lipschitz bound for F^{-1} is just the lower Lipschitz bound rewritten:

$$\|F^{-1}(y_1) - F^{-1}(y_2)\| \leq \frac{2}{s_0} \|y_1 - y_2\|,$$

for all $y_1, y_2 \in F(B_X^\circ(x_0, r))$. \square

10.2. Application to the square seam map. We now apply Theorem 10.1 to the square seam map $\mathcal{S}_0(\xi; \eta)$ constructed in Section 9. Recall that $\xi \in \mathbb{R}^{2N}$, and $\mathcal{S}_0(\xi; \eta) \in \mathbb{R}^{2N}$ collects the real and imaginary parts of the height-normalized samples $f_k(H(\xi); \eta)$ at a subset \mathcal{K}_0 of the bulk indices, with $|\mathcal{K}_0| = N$.

Let ξ_0 denote the base point corresponding to $H_{\text{base}} \equiv \frac{1}{2}I$. Let

$$J_0^{(\eta)} := D\mathcal{S}_0(\xi_0; \eta) \in \mathbb{R}^{2N \times 2N}$$

be the real Jacobian at ξ_0 . By Lemma 9.2, the base derivative $DF(\xi_0) = J_0^{(\eta)}$ is invertible, and its singular values are of order \sqrt{N} with explicit dependence on $(\eta, L, N, \mathcal{B})$ through the factors $e^{-q_j \alpha}$.

Theorem 10.2 (Finite-length seam scheme). *Fix $L > 0$, $N \geq 1$, $\eta > 0$ and a uniform PD box \mathcal{B} containing $H_{\text{base}} \equiv \frac{1}{2}I$. Let ξ_0 denote the real block coordinates of H_{base} , and let $\mathcal{S}_0(\xi; \eta)$ be the square seam map defined above. Then there exist constants $r > 0$ and $0 < c_*, C_* < \infty$, depending only on $(L, N, \eta, \mathcal{B})$, such that:*

(i) *The closed ball $B(\xi_0, r) \subset \mathbb{R}^{2N}$ is contained in the parameter set corresponding to \mathcal{B}^N .*

(ii) *The map*

$$\mathcal{S}_0(\cdot; \eta) : B^\circ(\xi_0, r) \longrightarrow \mathbb{R}^{2N}$$

is a C^1 diffeomorphism onto its image.

(iii) *For all $\xi_1, \xi_2 \in B(\xi_0, r)$,*

$$c_* \sqrt{N} \|\xi_1 - \xi_2\|_{\ell^2} \leq \|\mathcal{S}_0(\xi_1; \eta) - \mathcal{S}_0(\xi_2; \eta)\|_{\ell^2} \leq C_* \sqrt{N} \|\xi_1 - \xi_2\|_{\ell^2}.$$

(iv) Consequently, the inverse map

$$\mathcal{S}_0(\cdot; \eta)^{-1} : \mathcal{S}_0(B^\circ(\xi_0, r); \eta) \longrightarrow B^\circ(\xi_0, r)$$

is globally Lipschitz with constant at most $1/(c_*\sqrt{N})$.

Proof. We apply Theorem 10.1 with $X = Y = \mathbb{R}^{2N}$, $x_0 = \xi_0$ and $F(\xi) = \mathcal{S}_0(\xi; \eta)$. The derivative $DF(\xi)$ exists and is continuous on the parameter set corresponding to \mathcal{B}^N , by standard ODE dependence on parameters (see, for example, [1, Ch. 1]).

By the explicit construction of \mathcal{S}_0 in (23) and the discussion in Section 9, all singular values of $J_0^{(\eta)} = DF(\xi_0)$ satisfy

$$\sigma_{\min}(DF(\xi_0)) = \sigma_{\min}(J_0^{(\eta)}) = 2\sqrt{N} e^{-(2N-1)\alpha}, \quad \alpha = \eta\ell/2,$$

and we set

$$s_0 := 2\sqrt{N} e^{-(2N-1)\alpha}.$$

By Theorem 8.5 (applied to the square sampling set $\mathcal{K}_0 = \{0, \dots, N-1\}$, i.e. $M = N$), the Jacobian $DF(\xi)$ is Lipschitz on a sufficiently small ball $B(\xi_0, R)$ contained in the parameter set corresponding to \mathcal{B}^N , with

$$\|DF(\xi) - DF(\tilde{\xi})\|_{2 \rightarrow 2} \leq L_{\mathcal{J}}(\eta, L, \mathcal{B}, N, 1, R) \|\xi - \tilde{\xi}\|,$$

where the argument 1 corresponds to using $M = N$ samples (equivalently $P = 1$) for the square restriction. We denote this Lipschitz constant by $K := L_{\mathcal{J}}(\eta, L, \mathcal{B}, N, 1, R)$.

Choosing

$$r := \min \left\{ R, \frac{s_0}{6K} \right\}$$

ensures that the hypotheses of Theorem 10.1 are satisfied, and that $B(\xi_0, r) \subset B(\xi_0, R)$ lies inside the parameter set corresponding to \mathcal{B}^N . Hence the conclusions (ii) and the lower and upper Lipschitz bounds then follow directly from Theorem 10.1. \square

Remark 10.3 (Scaling of the inverse radius). For each fixed L , N , η and \mathcal{B} , Theorem 10.2 yields a radius $r = r(L, N, \eta, \mathcal{B}) > 0$. Quantitatively, the construction gives

$$r \asymp \frac{\sigma_{\min}(DF(\xi_0))}{K},$$

where K is a Lipschitz constant for DF on a neighbourhood of ξ_0 (as in Theorem 10.1). In the square seam setting, Lemma 9.2 gives the explicit base value

$$\sigma_{\min}(DF(\xi_0)) = 2\sqrt{N} e^{-(2N-1)\alpha}, \quad \alpha = \eta\ell/2,$$

so the guaranteed inverse radius inherits the same $e^{-(2N-1)\alpha}$ factor (and hence an $e^{-\eta L}$ -type dependence when $\ell = L/N$).

10.3. Summary of the finite-length scheme. For ease of reference we summarize the main outcome of the finite-length construction developed in this paper.

Theorem 10.4 (Finite-length canonical-systems scheme). *Fix $L > 0$, $N \geq 1$, $\eta > 0$ and a uniform PD box \mathcal{B} containing $H_{\text{base}} \equiv \frac{1}{2}I$. Let $H(\xi)$ be the block-constant Hamiltonian associated with $\xi \in \mathbb{R}^{2N}$, and let $\mathcal{S}_0(\xi; \eta)$ be the square seam map built from the height-normalized endpoint samples as above. Then there exist constants $r > 0$ and $0 < c_*, C_* < \infty$ such that:*

- (a) *For every $\xi \in B(\xi_0, r)$ the Hamiltonian $H(\xi)$ is real, PSD with $\text{tr } H \equiv 1$ on $[0, L]$ and belongs to \mathcal{B}^N .*
- (b) *The map $\xi \mapsto \mathcal{S}_0(\xi; \eta)$ is a C^1 diffeomorphism from $B(\xi_0, r)$ onto its image in \mathbb{R}^{2N} .*
- (c) *For all $\xi_1, \xi_2 \in B(\xi_0, r)$,*

$$c_* \sqrt{N} \|\xi_1 - \xi_2\|_{\ell^2} \leq \|\mathcal{S}_0(\xi_1; \eta) - \mathcal{S}_0(\xi_2; \eta)\|_{\ell^2} \leq C_* \sqrt{N} \|\xi_1 - \xi_2\|_{\ell^2}.$$

- (d) *In particular, given any seam data y^* in the image $\mathcal{S}_0(B^\circ(\xi_0, r); \eta)$, there exists a unique block-constant Hamiltonian $H(\xi^*)$ with $\xi^* \in B^\circ(\xi_0, r)$ such that $\mathcal{S}_0(\xi^*; \eta) = y^*$, and the inverse map $y^* \mapsto \xi^*$ is Lipschitz with constant at most $1/(c_* \sqrt{N})$.*

This theorem is the main output of the paper: it provides a robust, quantitatively controlled inverse map from seam data at height η on a finite interval $[0, L]$ back to block-constant canonical-system Hamiltonians in a fixed uniform PD box.

11. CONCLUDING REMARKS

We conclude with a brief summary of the structure developed here.

11.1. Summary of the linear theory. Starting from the classical canonical-systems framework of de Branges [4, 8], we have:

- Identified the base flow at $H_{\text{base}} \equiv \frac{1}{2}I$ as a pure rotation and normalized the endpoint Hermite-Biehler function accordingly ([Section 1](#)).
- Developed the algebraic identities needed to manipulate the Pauli-type matrices and rotations ([Section 2](#)).
- Discretized the interval $[0, L]$ into N constant blocks and introduced a canonical sampling grid and odd block frequencies ([Section 3](#)).
- Shown that the first variation of the gauge-normalized endpoint function with respect to a single block parameter produces a single complex exponential packet $\sin u e^{iq_j u}$ in the cell phase $u = x\ell/2$ ([Section 4](#)).
- Extended this packet picture to complex spectral parameters $z = x + i\eta$ and introduced an intrinsic amplitude based on $|\sin(u)|$ ([Section 5](#)).
- Combined the exponential packet structure with exact discrete orthogonality of the exponential system on the seam grid to obtain an explicit Jacobian

factorization and explicit singular values at the base: a \sqrt{PN} scale from the exponential matrix and a diagonal decay $e^{-(2j+1)\alpha}$ from height (Section 6).

- Introduced a height-strip domain at height η , reviewed the $SU(1, 1)$ structure of the Weyl Schur function, and established Schur-metric control for blockwise updates (Section 7).
- Used a double Duhamel representation to bound second derivatives of the height-normalized seam samples and derived a global Lipschitz bound for the Jacobian on the uniform PD box, with crude scaling of the form $Z_{\max}^2 e^{Z_{\max} L} L^2$ on the height strip (Section 8).
- Passed to real coordinates on both parameter and data sides, constructed a square seam map, and applied a quantitative inverse function theorem to obtain a finite-length canonical-systems scheme with explicit conditioning and local invertibility (Sections 9 and 10).

Throughout, the analysis applies to arbitrary block-constant PSD Hamiltonians in a fixed neighbourhood of the base H_{base} .

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