

PROJECT REPORT

On

**PARALLEL IMPLEMENTATION OF  
RED - BLACK TREE**

Submitted by-

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## Introduction:

### Red - Black Tree:

It is a self-balancing Binary search tree, where each of its nodes is colored either red or black. The height of the red-black tree is  $O(\log(n))$  [n, being number of nodes], which makes it more usable to search for elements. The key difference between a red-black tree and AVL tree is in the insertion of new elements into the trees; AVL takes  $O(\log(n))$  time whereas Red - Black tree takes  $O(1)$  time.

### Properties of a Red-Black Tree:

1. The root is always Black.
2. If a node is red, then both of its children are black.
3. The number of black nodes from the root of the tree to any of the leaf nodes is same.

### Few applications of Red – Black Tree:

- Java: TreeMap, TreeSet
- C++ STL: map, multimap, multiset
- Linux Kernel: Completely Fair Scheduler

### Few basic operations on a Red-Black Tree:

- **Construction:**
  - Given a set of initial nodes, this operation constructs the red-black tree, satisfying the properties of BST and red-black tree.
- **Searching:**
  - Given a red-black tree and an element, this operation returns whether the element is present in the tree; moreover, if it is present, it returns the index of that particular node.
- **Insertion:**
  - Given a red-black tree and an element, this operation inserts the element into the tree; thereafter solves any conflicts to restore the properties of red-black tree.
- **Deletion:**
  - Given a red-black tree and an element, this operation finds and deletes the node that contains the value of the element; thereafter restores the properties of red-black tree by certain transformations.

## Algorithms:

### A. Construction:

**Input:**  $n$  distinct elements ( $a_1, a_2, a_3 \dots a_n$ )

**Case 1:** when  $n=2^q-1$ , we can form a perfect binary tree. The property that is being exploited to construct a perfect binary tree is that the elements at every level form a A.P(Arithmetic Progression) and all nodes are colored black.

So, we define arrays  $C, J, D$  where

$D[i]$  represent depth of node  $I$ ,

$$(D[i] = \lfloor \log(i) \rfloor)$$

$J[i]$  represents the number of nodes whose depth is  $D[i]$  and whose elements are smaller than  $x_i$

$$(J[i] = i - 2^{D[i]})$$

$C[i]$  represents the index of item to be stored in  $x_i$ .

Processor  $p_i$  stores  $a_{C[i]}$  in  $x_i$ .

**Case 2:** when  $n \neq 2^q-1$ , we build an empty perfect binary tree of height  $\lceil \log n \rceil$ .

In the  $n^{\text{th}}$  level of tree, we place the nodes such that left-most side is filled and colored red.

Here, we define number  $L$  and arrays  $CI, CL, J, I, D$  where

$$D[i] = \lfloor \log(i) \rfloor,$$

$$J[i] = i - 2^{D[i]},$$

$CI[i]$  represents index of items to be stored in  $x_i$

$$CI[i] = ((2 * J[i] + 1) * 2^{\lfloor \log(n) \rfloor}) / 2^{D[i]},$$

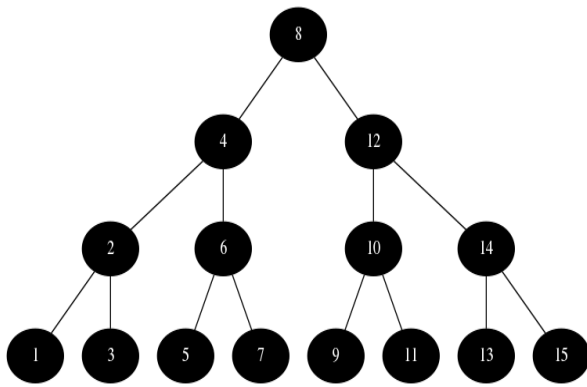
$$CL[i] = \lfloor CI[i] / 2 \rfloor,$$

$$L = (n+1) - 2^{\lfloor \log(n) \rfloor}$$

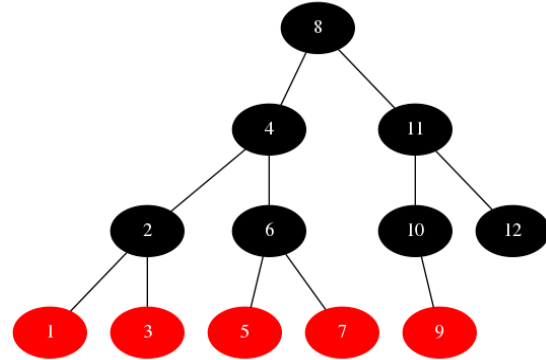
$$I[i] = \min(CI[i], CI[i] - CL[i] + L)$$

Processor  $p_i$  stores  $a_{I[i]}$  in  $x_i$ .

All the leaf nodes are colored red and remaining black.



Case (i):  $n=2^q-1$



Case(ii):  $n \neq 2^q-1$

## B. Searching

**Input:** Red-Black tree(T), k sorted elements ( $a_1, a_2, a_3 \dots a_k$ )

**Sequential Algorithm:** A query element  $q$  is searched in Tree T, returning index of that element if it already exists in the tree or return a index of where to be placed.

**Parallel Algorithm:**

Since we have  $k$  elements to be searched, we assign each element to each processor using  $O(k)$  processors on CREW PRAM and run a sequential algorithm.

## C. Insertion

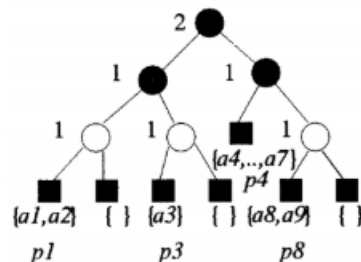
**Input:**

Red-Black Tree(T), k sorted elements ( $a_1, a_2, a_3 \dots a_k$ )

**Algorithm:**

**Step 1:** Using above search algorithm, we search for indices of the  $k$  elements.

**Step 2:** For each external node, we make a block consisting of the items that reached the index of the node.



After Step 2

**Step 3:** Each block  $b_i$  is assigned to a processor  $p_i$ . For each block, insert the middle element of the block into the tree at that index.

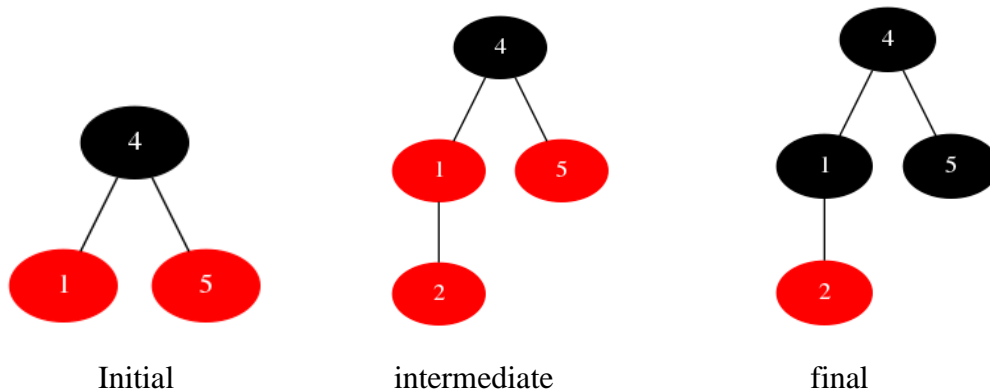
Now divide the remaining block into two parts. If a block is from  $a_i$  to  $a_j$ , insert  $a_{(i+j)/2}$  into the tree and the block is divided into two parts from  $i$  to  $(i+j)/2$  and  $(i+j)/2 + 1$  to  $j$ . These are made left and right children of the new node respectively. Color of each inserted node is red.

#### Step 4 : Rebalancing

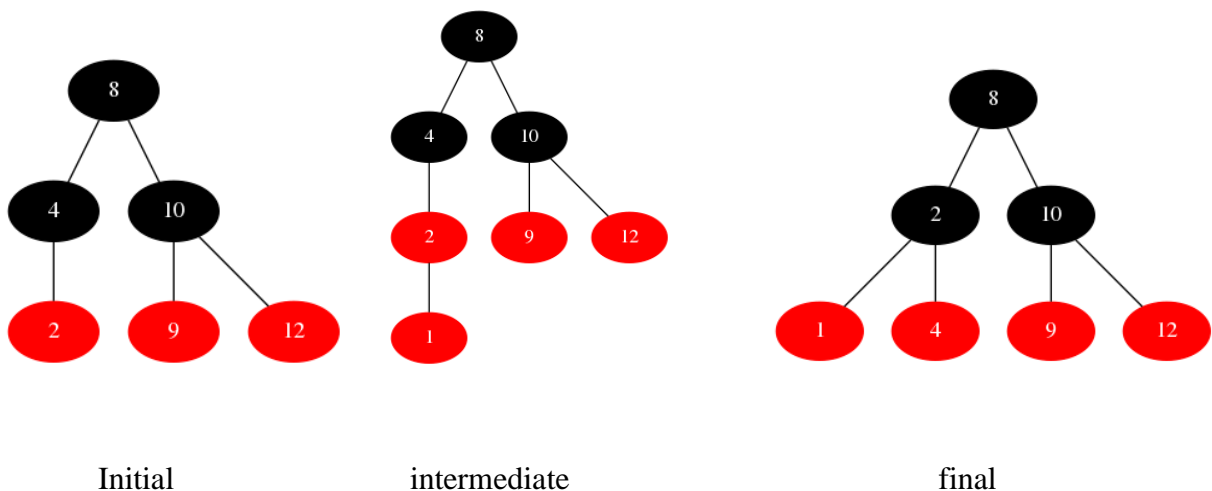
After inserting one element from each block, resulting tree may not satisfy the properties of red-black tree. So, the following re-arrangements are performed at each inserted node if there is a conflict at that node: -

a) Promotion/Demotion:

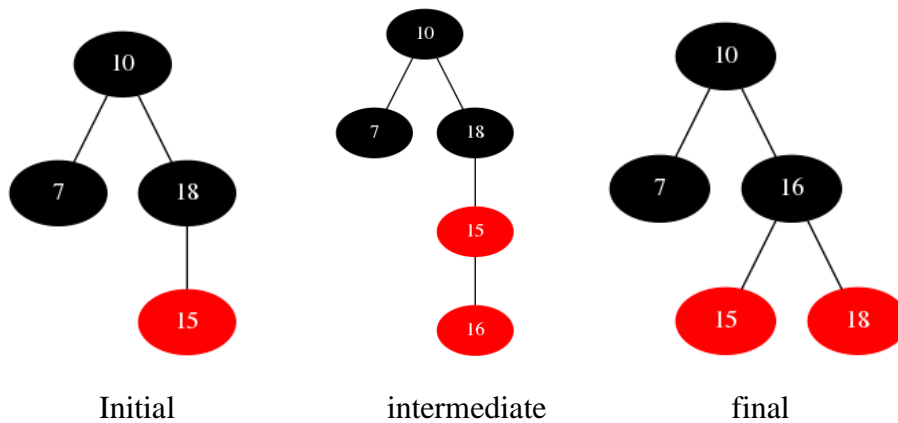
Example: Addition of node '2'



b) Single rotation



c) Double rotation



Now step 3 and step 4 are iterated until all the nodes are inserted and no conflict exists.

## Complexity:

‘n’ is number of nodes in the tree

‘k’ is the number of elements to be searched from/inserted into tree.

### A. Construction:

**Sequential Time Complexity:**  $O(n)$

**Parallel Time Complexity:**  $O(1)$  using  $O(n)$  processors (CREW PRAM)

**Work Complexity:**  $n * O(1) = O(n)$ ; So work optimal parallel algorithm

### B. Search:

**Sequential Time Complexity:**  $k * O(\log(n)) = O(k * \log(n))$

**Parallel Time Complexity:**  $O(\log(n))$  using  $O(k)$  processors (CREW PRAM)

**Work Complexity:**  $O(k * \log(n))$ ; So work optimal parallel algorithm

### C. Insertion:

**Sequential Time Complexity:**  $k * O(\log(n)) = O(k * \log(n))$

**Parallel Time Complexity:**

Step 1:  $O(\log(n))$  using  $O(k)$  processors

Step 2:  $O(1)$  using  $O(k)$  processors

Step 3:  $O(1)$  time

Step 4: Atomic operations (promotion, demotion, single rotation, double rotation) takes  $O(1)$  time

Step 3 and step 4 and totally iterates  $O(\log(k))$ .

**Total time Complexity:**  $O(\log(n) + \log(k))$

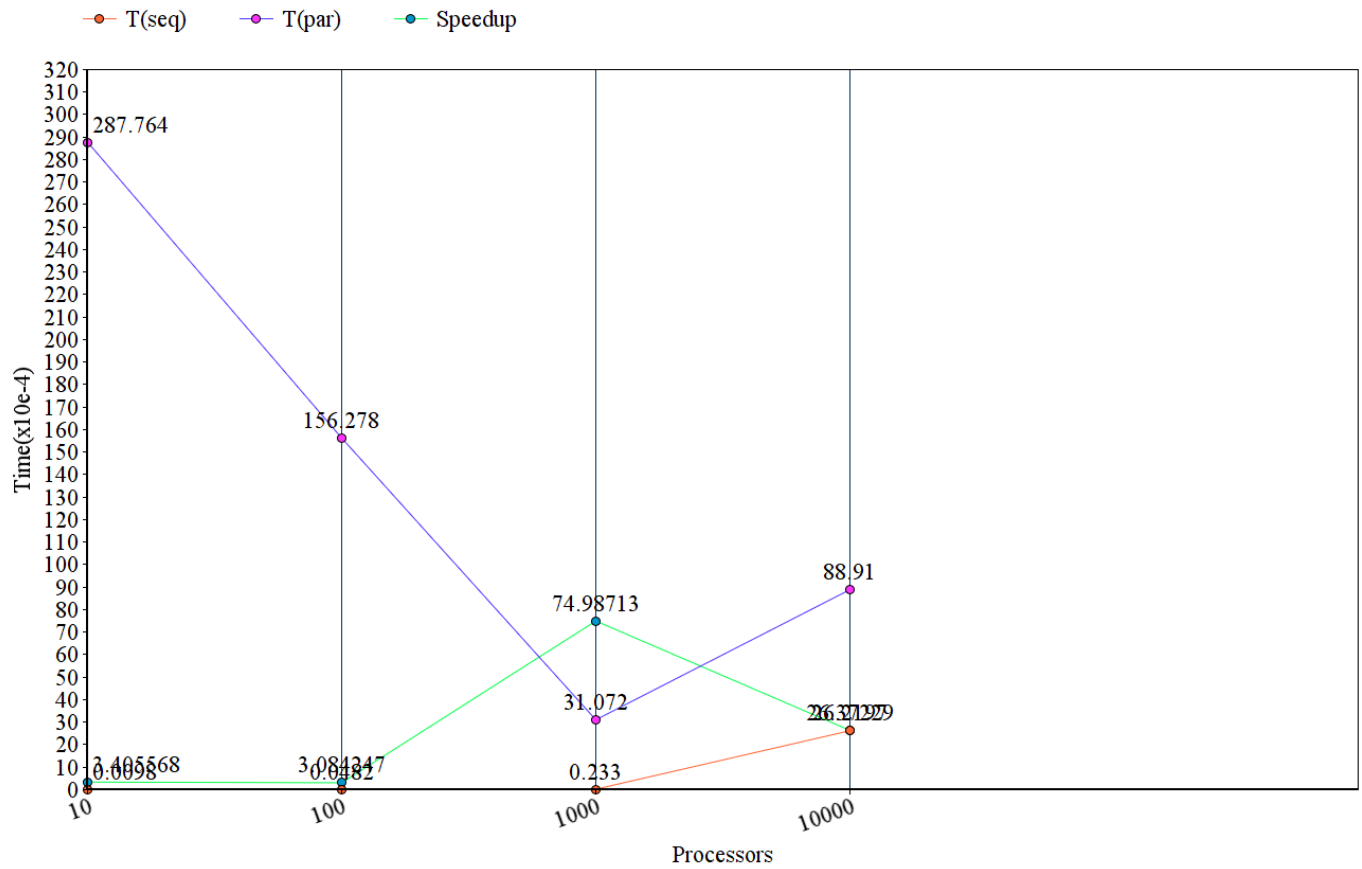
**Work Complexity:**  $O(k * (\log(n) + \log(k)))$ ; So Not work optimal.

## Results:

### A.) Construction:

No. of processors	Sequential Time	Parallel Time	Speedup
10	0.00000098	0.0287764	0.003405568
100	0.00000482	0.0156278	0.003084247
1000	0.0000233	0.0031072	0.07498713
10000	0.0000234476	0.008891	0.2637229
100000	0.00262197	0.0248426	0.105543301
500000	0.00618674	---	---

## Graph between number of processors and Sequential Time, Parallel Time, Speedup:

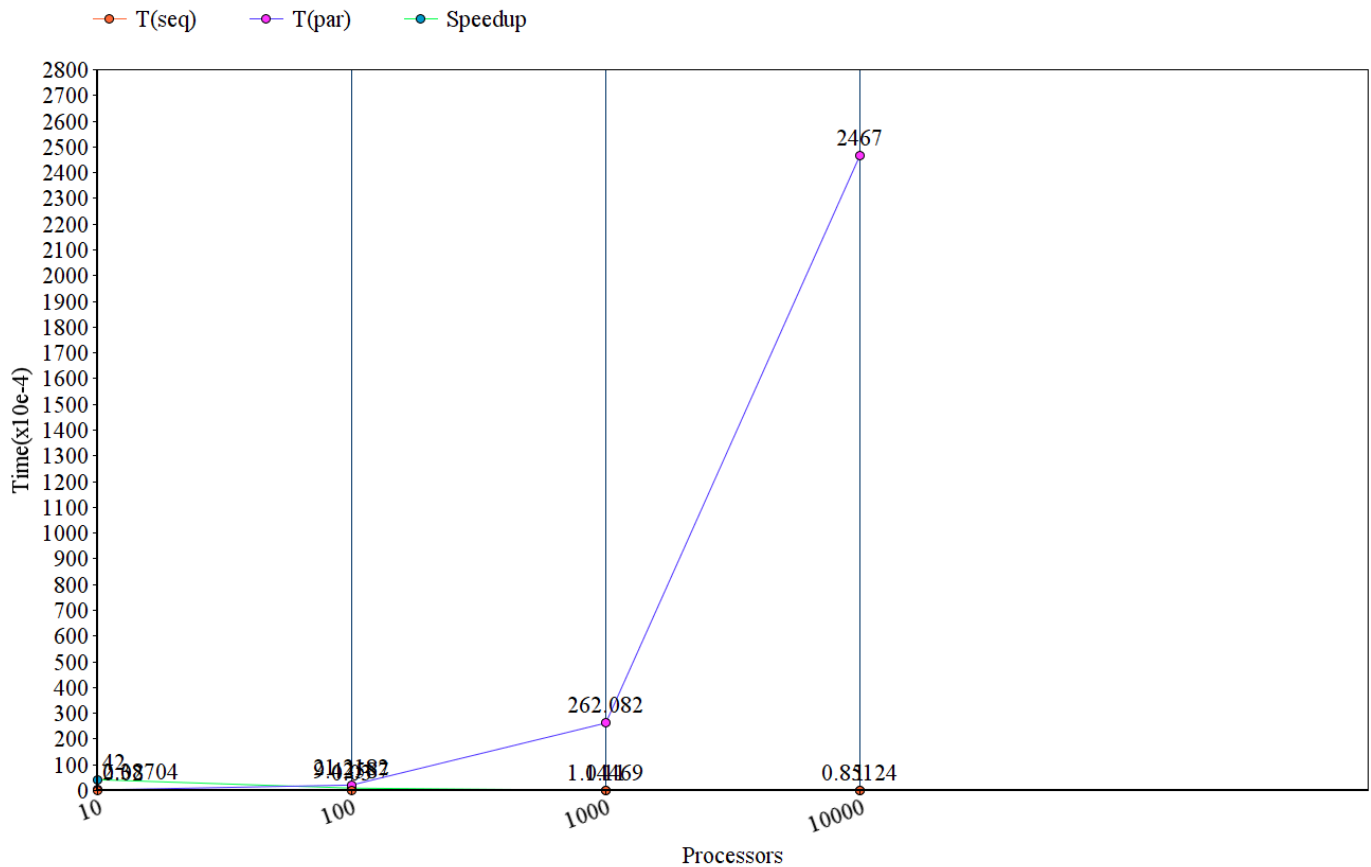




## B.)Search:

No. of processors	Sequential Time	Parallel Time	Speedup
100	0.00003	0.00212182	0.000942587
1000	0.00011	0.0262082	0.00114469
10000	0.001	0.2467	0.0085124

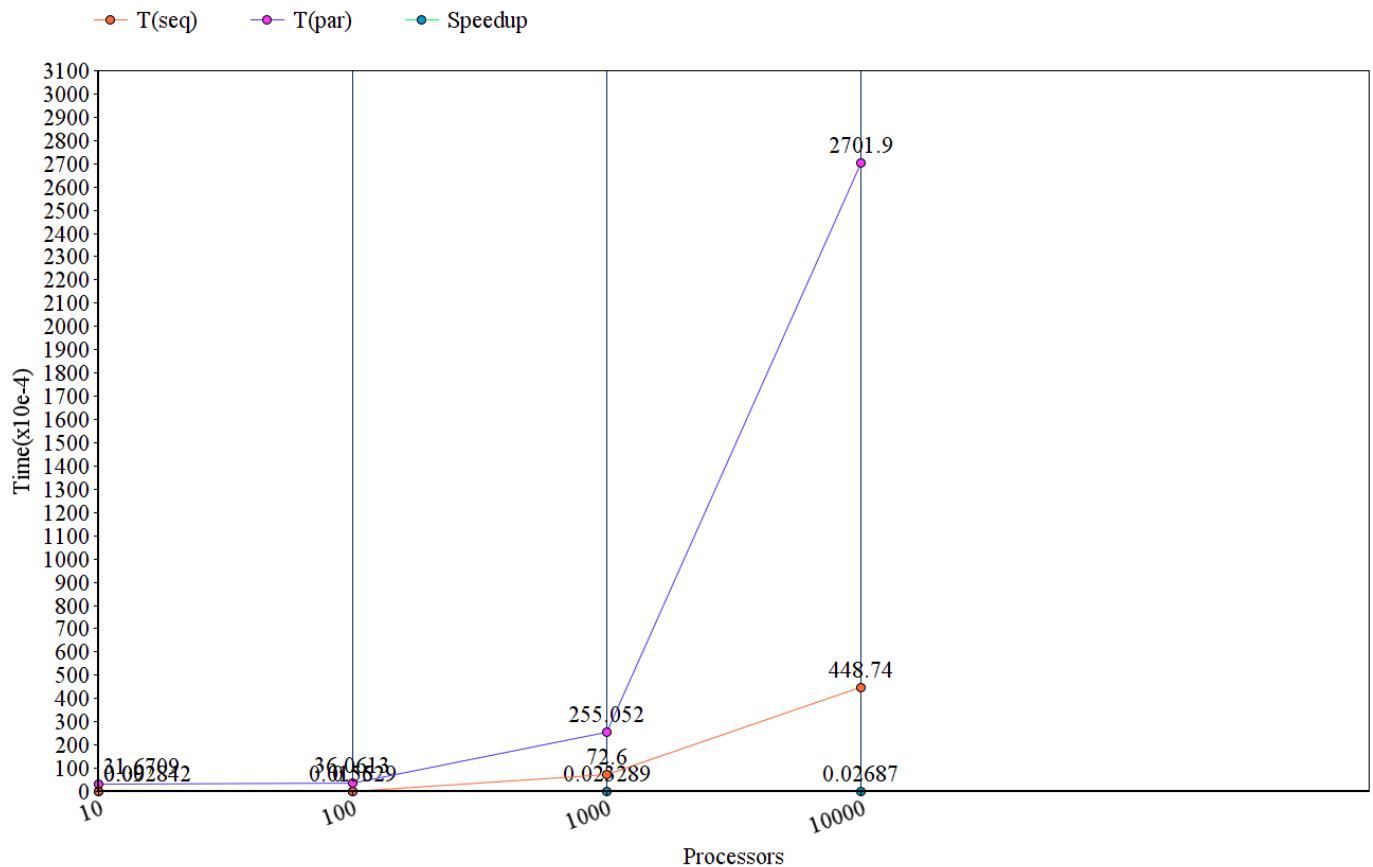
**Graph between number of processors and Sequential Time, Parallel Time, Speedup:**



### C.) Insertion:

No. of processors	Sequential Time	Parallel Time	Speedup
100	0.00056	0.00360613	0.1552911
1000	0.00594	0.0255052	0.232893
10000	0.00726	0.27019	0.026889

Graph between number of processors and Sequential Time, Parallel Time, Speedup



### References:

[Parallel algorithms for red-black trees - Heejin Park, Kunsoo Park.](#)