#### **Deadlock Prevention**

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- When there is a high level of lock contention and an increased likelihood of deadlocks
- ☐ Prevent deadlocks by giving each Xact a priority
- Assign priorities based on timestamps.
  - Lower timestamp indicates higher priority
  - i.e., oldest transaction has the highest priority
  - Higher priority Xacts cannot wait for lower priority Xacts (or vice versa)

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#### Wait-Die

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- □ Suppose Ti tries to lock an item already locked by Tj.
- ☐ If Ti is the older transaction then Ti will wait
- Otherwise Ti is aborted and re-starts later with the same timestamp.
- Lower priority transactions never wait for higher priority transactions.

Deadlock Prevention (cont'd)

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- □ Assume Ti wants a lock that Tj holds. Two policies are possible:
  - Wait-Die: If Ti has higher priority, Ti waits for Tj; otherwise Ti aborts
  - □ Wound-wait: If Ti has higher priority, Tj aborts; otherwise Ti waits
- Both schemes will cause aborts even though deadlock may not have occurred.
- If a transaction re-starts, make sure it has its original timestamp
   WHY?

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### Example: Wait-Die

<u>T1</u> S(A) R(A)

is allowed to wait.

S(B)

<u>T2</u>

R(B)

Abort

X(B)

X(A) T2 is younger, and is aborted, which results in its locks being released. This allows T1 to

released. This allows T1 to proceed.

T1 starts before T2, so

T1 is higher priority

Resume

Suppose Ti tries to lock an item locked by Tj.

If Ti is higher priority (older transaction)
then Tj is aborted and restarts later with the same timestamp;
Otherwise, Ti waits.

T1 S(A) R(A)

X(B)

T1 is older, so T2 is aborted, and that allows T1 to proceed.

T1 starts before T2, so T1 is higher priority

X(B)

Abort

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Wait-Die: Let's consider...

T1 requests A: wait for  $T_2$  or  $T_3$  or both?

(ts = 22)

Note: ts between 20 and 25.

T2

Note: ts between 20 and 25.

T3

(ts = 25)

Wait-Die: Option 1

One option:  $T_1$  waits just for  $T_3$ , transaction holding lock. But when  $T_2$  gets lock,  $T_1$  will have to die!  $T_2$ (ts = 20)

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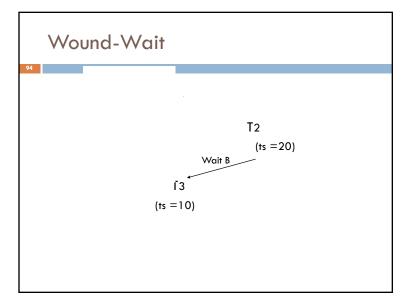
# Wait-Die: Option 2

Another option:  $T_1$  waits for both  $T_2$ ,  $T_3$ .

 $T_1$  allowed to wait iff there is at least one younger Xact waiting for A. But again, when T2 gets lock,  $T_1$  must die!

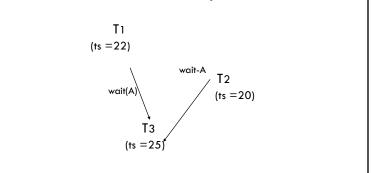


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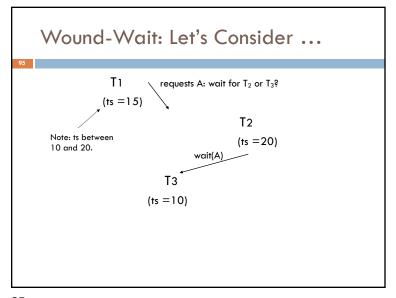


Wait-Die: Option 3

- Yet another option: T<sub>1</sub> preempts T<sub>2</sub> (T<sub>2</sub> is just waiting idle anyway),
- So  $T_1$  only waits for  $T_3$ ;  $T_2$  then waits for  $T_1$
- And lots of WFG work for Deadlock Manager



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## Wound-Wait: Option 1

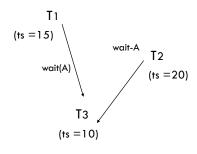
One option:  $T_1$  waits just for  $T_3$ , transaction holding lock. But when  $T_2$  gets lock,  $T_1$  waits for  $T_2$  and wounds  $T_2$ .

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## Wound-Wait: Option 3

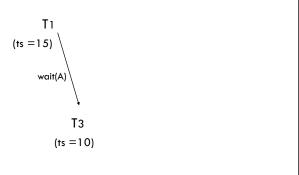
Yet another option:  $T_1$  preempts  $T_2$ , so  $T_1$  only waits for  $T_3$ ;  $T_2$  then waits for  $T_3$  and  $T_1$ ...  $\Longrightarrow$   $T_2$  is spared! Lots of WFG work for Deadlock Mgr (shifting edges)



Wound-Wait: Option 2

Another option:

 $T_1$  waits for both  $T_2$ ,  $T_3 \implies T_2$  wounded right away!



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### Comparing Deadlock Management Schemes

Wait-die and Wound-wait ensure no starvation (unlike detection)

□ Waits-for graph technique only aborts transactions if there really is a deadlock

# Summary

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- There are several lock-based concurrency control schemes (Strict 2PL, 2PL).
- SQL-92 provides different isolation levels that control the degree of concurrency
- □ The lock manager keeps track of the locks issued. Deadlocks can either be prevented or detected.