

Set Operators

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- Union, Intersection and Difference are defined only for **union compatible** relations.
- Two relations are union compatible if they have the same set of attributes and the types (domains) of the attributes are the same.
- E.g., two relations that are not union compatible:
 - ▣ **Student** (sNumber, sName)
 - ▣ **Course** (cNumber, cName)

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Relational Algebra on Bags

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- A **bag** (or **multiset**) is like a set, but an element may appear more than once.
- **Example**: {1,2,1,3} is a bag.
- **Example**: {1,2,3} is also a bag that happens to be a set.

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Union: \cup

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- Consider two bags R_1 and R_2 that are union-compatible. Suppose a tuple t appears in R_1 m times, and in R_2 n times. Then in the union, t appears $m + n$ times.

R_1		R_2		$R_1 \cup R_2$	
A	B	A	B	A	B
1	2	1	2	1	2
1	2			1	2
3	4	3	4	1	2
1	2	5	6	3	4
				3	4
				5	6

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Intersection: \cap

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- Consider two bags R_1 and R_2 that are union-compatible. Suppose a tuple t appears in R_1 m times, and in R_2 n times. Then in the intersection, t appears $\min(m, n)$ times.

R_1		R_2		$R_1 \cap R_2$	
A	B	A	B	A	B
1	2	1	2	1	2
3	4	3	4	3	4
1	2	5	6		

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Difference: -

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- Consider two bags R_1 and R_2 that are union-compatible. Suppose a tuple t appears in R_1 m times, and in R_2 n times. Then in $R_1 - R_2$, t appears $\max(0, m - n)$ times.

R_1		R_2		$R_1 - R_2$	
A	B	A	B	A	B
1	2	1	2	1	2
3	4	3	4		
1	2	5	6		

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Building Complex Expressions

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- Combine operators with parentheses and precedence rules.
- Three notations, just as in arithmetic:
 - Sequences of assignment statements.
 - Expressions with several operators.
 - Expression trees.

Credit: Renee J. Miller

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Sequences of Assignments

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- Create temporary relation names.
- Renaming can be implied by giving relations a list of attributes.
 - $\pi_{A+B \rightarrow C, A \rightarrow A1, A \rightarrow A2}(R)$
- Example:** $R3 := R1 \bowtie_C R2$ can be written:
 - $R4 := R1 \times R2$
 - $R3 := \sigma_C(R4)$

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Expressions in a Single Assignment

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Example: the theta-join $R3 := R1 \bowtie_C R2$ can be written as

- $R3 := \sigma_C(R1 \times R2)$
- Precedence of relational operators: (parentheses supercedes)
 - $[\sigma, \pi, \rho]$ (highest).
 - $[X, \bowtie]$.
 - \cap .
 - $[\cup, -]$

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Expression Trees

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- Leaves are operands --- either variables standing for relations or particular, constant relations.
- Interior nodes are operators, applied to their child or children.

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Example: Tree for a Query

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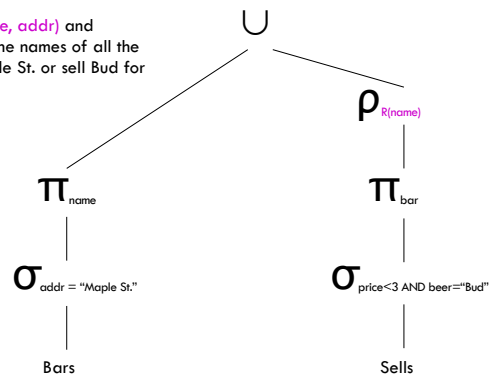
- Using the relations **Bars(name, addr)** and **Sells(bar, beer, price)**, find the names of all the bars that are either on Maple St. or sell Bud for less than \$3.

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As a Tree:

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Using the relations **Bars(name, addr)** and **Sells(bar, beer, price)**, find the names of all the bars that are either on Maple St. or sell Bud for less than \$3.



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Example: Self-Join

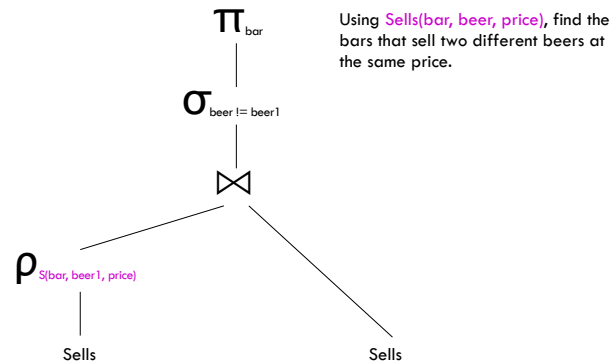
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- Using **Sells(bar, beer, price)**, find the bars that sell two different beers at the same price.
- **Strategy:** by renaming, define a copy of Sells, called **S(bar, beer1, price)**. The natural join of Sells and S consists of quadruples (bar, beer, beer1, price) such that the bar sells both beers at this price.

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The Tree

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Schemas for Results

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- **Union, intersection, and difference:** the schemas of the two operands must be the same, so use that schema for the result.
- **Selection:** schema of the result is the same as the schema of the operand.
- **Projection:** list of attributes tells us the schema.

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Schemas for Results

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- **Product:** schema is the attributes of both relations.
 - ▣ Distinguish two attributes with the same name.
- **Theta-join:** same as product.
- **Natural join:** union of the attributes of the two relations. Keep only one copy of the equated attributes.
- **Renaming:** the operator tells the schema.

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Lecture Example

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The Extended Algebra

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δ = eliminate duplicates from bags.

T = sort tuples.

γ = grouping and aggregation.

Outerjoin : avoids “dangling tuples” = tuples that do not join with anything.

Credit: Renee J. Miller

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Duplicate Elimination

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- $R1 := \delta(R2)$.
- $R1$ consists of one copy of each tuple that appears in $R2$ one or more times.

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Example: Duplicate Elimination

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$R = ($

A	B
1	2
3	4
1	2

$\delta_{(R)} =$

A	B
1	2
3	4

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Sorting

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- $R1 := T_L(R2)$.
 - L is a list of some of the attributes of $R2$.
- $R1$ is the list of tuples of $R2$ sorted first on the value of the first attribute on L , then on the second attribute of L , and so on.
 - Break ties arbitrarily.

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Example: Sorting

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 $R = (\begin{array}{|c|c|} \hline A & B \\ \hline \end{array})$

A	B
1	2
3	4
5	2

 $T_B(R) =$
 $(\begin{array}{|c|c|} \hline A & B \\ \hline \end{array})$

A	B
5	2
1	2
3	4

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Aggregation Operators

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- Aggregation operators are not formally operators of relational algebra.
- Rather, they apply to entire columns of a table and produce a single result.
- The most important examples: SUM, AVG, COUNT, MIN, and MAX.

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Example: Aggregation

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 $R = (\begin{array}{|c|c|} \hline A & B \\ \hline \end{array})$

A	B
1	3
3	4
3	2

SUM(A) = 7
 COUNT(A) = 3
 MAX(B) = 4
 AVG(B) = 3

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Grouping Operator

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- $R1 := \gamma_L(R2)$. L is a list of elements that are either:
 1. Individual (*grouping*) attributes.
 2. $AGG(A)$, where AGG is one of the aggregation operators and A is an attribute.
 - An arrow and a new attribute name renames the component.

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Applying $\gamma_L(R)$

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- Group R according to all the grouping attributes on list L .
 - ▣ That is: form one group for each distinct list of values for those attributes in R .
- Within each group, compute $AGG(A)$ for each aggregation on list L .
- Result has one tuple for each group:
 1. The grouping attributes and
 2. The group's aggregations.

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Example: Grouping/Aggregation

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$R =$

A	B	C
1	2	3
4	5	6
1	2	5

Then, average C
within groups:

A	B	X
1	2	4
4	5	6

$\gamma_{A,B,AVG(C) \rightarrow X}(R) = ??$

First, group R by A and B :

A	B	C
1	2	3
1	2	5
4	5	6

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Recall: Outerjoin

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- Suppose we join $R \bowtie_C S$.
- A tuple of R that has no tuple of S with which it joins is said to be *dangling*.
 - ▣ Similarly for a tuple of S .
- Outerjoin preserves dangling tuples by padding them NULL.

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Example: Outerjoin

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$R =$

A	B
1	2
4	5

$S =$

B	C
2	3
6	7

(1,2) joins with (2,3), but the other two tuples are dangling.

$R \text{ FULL OUTERJOIN } S =$

A	B	C
1	2	3
4	5	NULL
NULL	6	7

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Outer Join – Example

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instructor			teaches	
ID	name	dept_name	ID	course_id
10101	Srinivasan	Comp. Sci.	10101	CS-101
12121	Wu	Finance	12121	FIN-201
15151	Mozart	Music	76766	BIO-101

ID	name	dept_name	course_id
10101	Srinivasan	Comp. Sci.	CS-101
12121	Wu	Finance	FIN-201

ID	name	dept_name	course_id
10101	Srinivasan	Comp. Sci.	CS-101
12121	Wu	Finance	FIN-201
15151	Mozart	Music	NULL

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Outer Join – Example

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instructor			teaches	
ID	name	dept_name	ID	course_id
10101	Srinivasan	Comp. Sci.	10101	CS-101
12121	Wu	Finance	12121	FIN-201
15151	Mozart	Music	76766	BIO-101

ID	name	dept_name	course_id
10101	Srinivasan	Comp. Sci.	CS-101
12121	Wu	Finance	FIN-201
76766	null	null	BIO-101

ID	name	dept_name	course_id
10101	Srinivasan	Comp. Sci.	CS-101
12121	Wu	Finance	FIN-201
15151	Mozart	Music	FIN-201
76766	null	null	BIO-101

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Operations on Bags

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A **bag** = a set with repeated elements

All operations need to be defined carefully on bags

$$\square \{a,b,b,c\} \cup \{a,b,b,b,e,f,f\} = \{a,a,b,b,b,b,c,e,f,f\}$$

- $\square \sigma_C(R)$: preserve the number of occurrences
- $\square \Pi_A(R)$: no duplicate elimination
- \square Cartesian product, join: no duplicate elimination

Important ! Relational Engines work on bags, not sets !

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Why Bags?

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- \square SQL, the most important query language for relational databases, is actually a bag language.
- \square Some operations, like projection, are more efficient on bags than sets.

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Operations on Bags

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- Selection applies to each tuple, so its effect on bags is like its effect on sets.
- Projection also applies to each tuple, we do not eliminate duplicates.
- Products and joins are done on each pair of tuples, so duplicates in bags have no effect on how we operate.

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Example: Bag Selection

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$$R($$

A	B
1	2
5	6
1	2

$$\sigma_{A+B < 5}(R) =$$

A	B
1	2
1	2

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Example: Bag Projection

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$$R($$

A	B
1	2
5	6
1	2

$$\pi_A(R) =$$

A
1
5
1

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Example: Bag Product

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$$R($$

A	B
1	2
5	6
1	2

$$S($$

B	C
3	4
7	8

$$R \times S =$$

A	R.B	S.B	C
1	2	3	4
1	2	7	8
5	6	3	4
5	6	7	8
1	2	3	4
1	2	7	8

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Example: Bag Theta-Join

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R(A, B)		S(B, C)	
1	2	3	4
5	6	7	8
1	2		

$R \bowtie_{R.B < S.B} S =$

A	R.B	S.B	C
1	2	3	4
1	2	7	8
5	6	7	8
1	2	3	4
1	2	7	8

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Bag Union

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- An element appears in the union of two bags the sum of the number of times it appears in each bag.
- Example: $\{1,2,1\} \cup \{1,1,2,3,1\} = \{1,1,1,1,1,2,2,3\}$

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Bag Intersection

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- An element appears in the intersection of two bags the minimum of the number of times it appears in either bag
- Example: $\{1,2,1,1\} \cap \{1,2,1,3\} = \{1,1,2\}$.

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Bag Difference

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- An element appears in the difference $A - B$ of bags as many times as it appears in A, minus the number of times it appears in B.
- Example: $\{1,2,1,1\} - \{1,2,3\} = \{1,1\}$.

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Beware: Bag Laws \neq Set Laws

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- Some, but *not all* algebraic laws that hold for sets also hold for bags.
- **Example:** the commutative law for union ($R \cup S = S \cup R$) *does* hold for bags.
 - ▣ Since addition is commutative, adding the number of times x appears in R and S doesn't depend on the order of R and S .

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Example: A Law That Fails

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- Set union is *idempotent*, meaning that $S \cup S = S$.
- However, for bags, if x appears n times in S , then it appears $2n$ times in $S \cup S$.
- Thus $S \cup S \neq S$ in general.
 - ▣ e.g., $\{1\} \cup \{1\} = \{1,1\} \neq \{1\}$.

What about Intersection?

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Lecture Example

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