

# Divide and Conquer

- Mergesort
- Integer Multiplication
- Maximum Sum Subarray

# Divide-and-Conquer

## Divide-and-conquer.

- Break up problem into several parts.
- Solve each part recursively.
- Combine solutions to sub-problems into overall solution.

## Most common usage.

- Break up problem of size  $n$  into **two** equal parts of size  $\frac{1}{2}n$ .
- Solve two parts recursively.
- Combine two solutions into overall solution in **linear time**.

## Consequence.

- Brute force:  $n^2$ .
- Divide-and-conquer:  $n \log n$ .

# Mergesort

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# Sorting

**Sorting.** Given  $n$  elements, rearrange in ascending order.

## Applications.

- Sort a list of names.
- Organize an MP3 library.
- Find the median.
- Find the closest pair.
- Binary search in a database.
- Find duplicates in a mailing list.
- ...

# Mergesort

## Mergesort.

- Divide array into two halves.
- Recursively sort each half.
- Merge two halves to make sorted whole.

A	L	G	O	R	I	T	H	M	S
---	---	---	---	---	---	---	---	---	---

A	L	G	O	R
---	---	---	---	---

I	T	H	M	S
---	---	---	---	---

divide  $O(1)$

A	G	L	O	R
---	---	---	---	---

H	I	M	S	T
---	---	---	---	---

sort  $2T(n/2)$

A	G	H	I	L	M	O	R	S	T
---	---	---	---	---	---	---	---	---	---

merge  $O(n)$

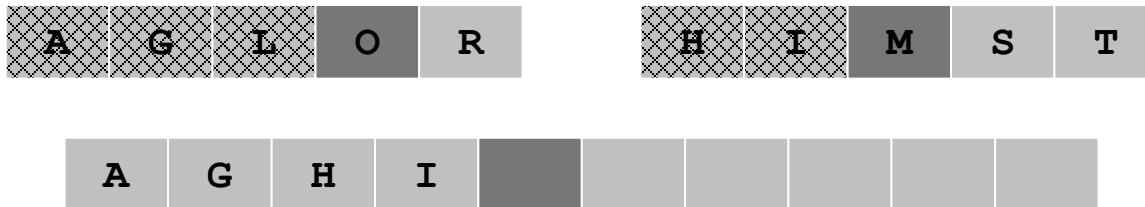
# Merging

**Merging.** Combine two pre-sorted lists into a sorted whole.

**How to merge efficiently?**



- Linear number of comparisons.
- Use temporary array.



**Challenging version.** In-place merge

↑  
using only a constant amount of extra storage

# A Useful Recurrence Relation

Def.  $T(n)$  = number of comparisons to mergesort an input of size  $n$ .

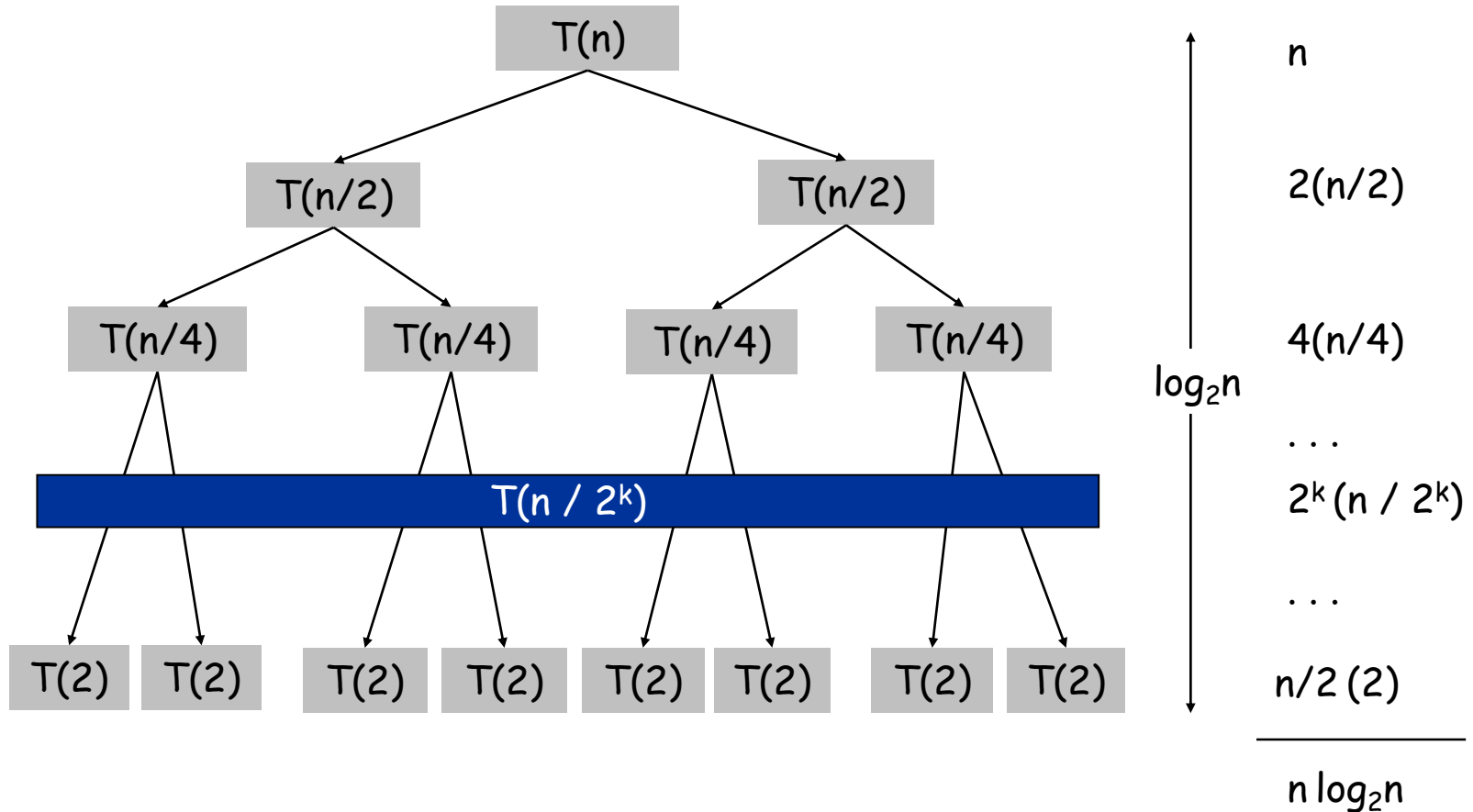
Mergesort recurrence.

$$T(n) \leq \begin{cases} 0 & \text{if } n=1 \\ \underbrace{T(\lceil n/2 \rceil)}_{\text{solve left half}} + \underbrace{T(\lfloor n/2 \rfloor)}_{\text{solve right half}} + \underbrace{n}_{\text{merging}} & \text{otherwise} \end{cases}$$

Solution.  $T(n) = O(n \log_2 n)$ .

# Proof by Recursion Tree

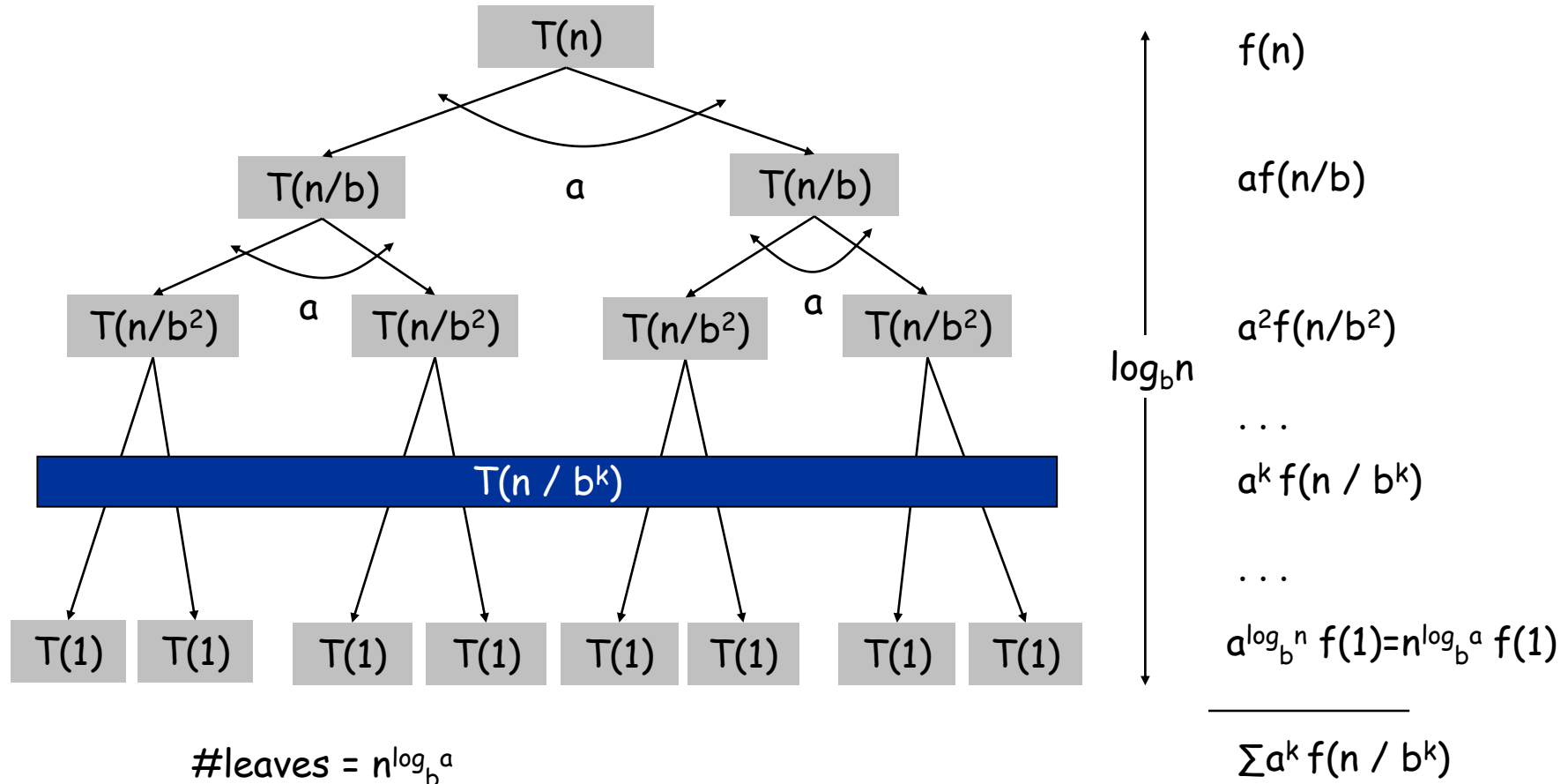
$$T(n) = \begin{cases} 0 & \text{if } n=1 \\ \underbrace{2T(n/2)}_{\text{sorting both halves}} + \underbrace{n}_{\text{merging}} & \text{otherwise} \end{cases}$$





# Recursion Tree

$$T(n) = \begin{cases} 0 & \text{if } n = 1 \\ \underbrace{aT(n/b)}_{\text{sub-problems}} + \underbrace{f(n)}_{\text{merging}} & \text{otherwise} \end{cases}$$



# Master Theorem

$$T(n) = \begin{cases} 0 & \text{if } n = 1 \\ \underbrace{aT(n/b)}_{\text{sub-problems}} + \underbrace{f(n)}_{\text{merging}} & \text{otherwise} \end{cases}$$

You can imagine above as a recursive function which calls itself:  $a$  times, each with an input of size  $n/b$ , and merge their outputs in  $f(n)$  time.

## Fighting between #leaves and $f(n)$

- If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log n)$ .
- If  $f(n)$  polynomially greater than  $n^{\log_b a}$ , then  $T(n) = \Theta(f(n))$
- If  $n^{\log_b a}$  polynomially greater than  $f(n)$ , then  $T(n) = \Theta(n^{\log_b a})$

**Note.** The total input injecting to sub-problems is  $(a/b)n$ . Then if  $a/b$  is smaller, your running time is better.

# Mergesort

What happen if we divide the array into more subproblems?

- We have to find the minimum among a numbers in the merging step.
- So,

$$T(n)=aT(n/a)+an$$

- It is easy to see  $T(n)$  is minimum when  $a=2$

# Integer Multiplication

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# Integer Addition

**Addition.** Given two  $n$ -bit integers  $a$  and  $b$ , compute  $a + b$ .

**Grade-school.**  $\Theta(n)$  bit operations.

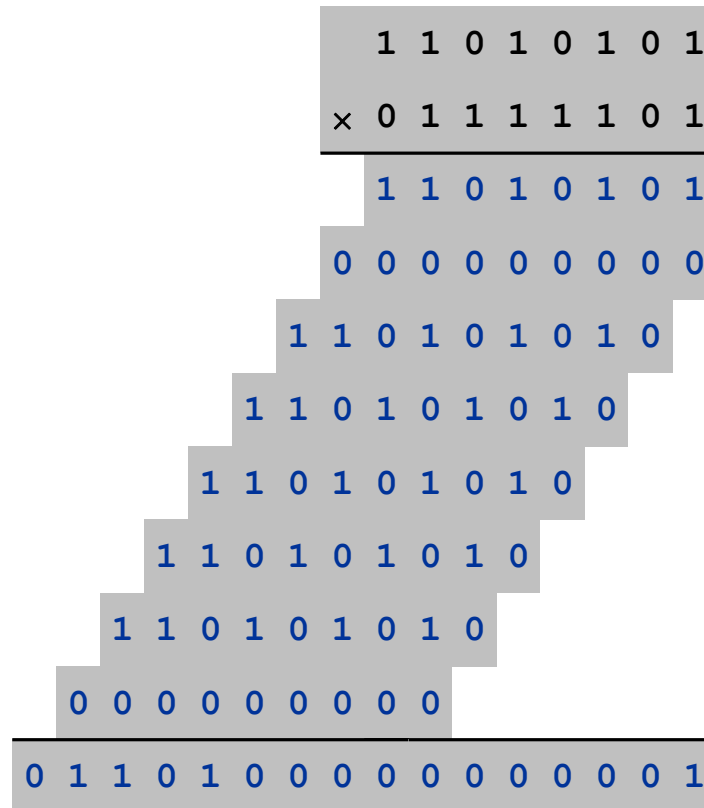
	1	1	1	1	1	1	0	1	
		1	1	0	1	0	1	0	1
+	0	1	1	1	1	1	1	0	1
<hr/>									
	1	0	1	0	1	0	0	1	0

**Remark.** Grade-school addition algorithm is optimal.

# Integer Multiplication

**Multiplication.** Given two  $n$ -bit integers  $a$  and  $b$ , compute  $a \times b$ .

**Grade-school.**  $\Theta(n^2)$  bit operations.



**Q.** Is grade-school multiplication algorithm optimal?

# Divide-and-Conquer Multiplication: Warmup

To multiply two  $n$ -bit integers  $a$  and  $b$ :

- Multiply four  $\frac{1}{2}n$ -bit integers, recursively.
- Add and shift to obtain result.

$$\begin{aligned}a &= 2^{n/2} \cdot a_1 + a_0 \\b &= 2^{n/2} \cdot b_1 + b_0 \\ab &= (2^{n/2} \cdot a_1 + a_0)(2^{n/2} \cdot b_1 + b_0) = 2^n \cdot a_1 b_1 + 2^{n/2} \cdot (a_1 b_0 + a_0 b_1) + a_0 b_0\end{aligned}$$

Ex.  $a = \underbrace{10001}_{a_1} \underbrace{1101}_{a_0} \quad b = \underbrace{11100001}_{b_1} \underbrace{\phantom{00000000}}_{b_0}$

$$T(n) = \underbrace{4T(n/2)}_{\text{recursive calls}} + \underbrace{\Theta(n)}_{\text{add, shift}} \Rightarrow T(n) = \Theta(n^2)$$

# Karatsuba Multiplication

To multiply two  $n$ -bit integers  $a$  and  $b$ :

- Add two  $\frac{1}{2}n$  bit integers.
- Multiply **three**  $\frac{1}{2}n$ -bit integers, recursively.
- Add, subtract, and shift to obtain result.

$$a = 2^{n/2} \cdot a_1 + a_0$$

$$b = 2^{n/2} \cdot b_1 + b_0$$

$$ab = 2^n \cdot a_1 b_1 + 2^{n/2} \cdot (a_1 b_0 + a_0 b_1) + a_0 b_0$$

$$= 2^n \cdot a_1 b_1 + 2^{n/2} \cdot ((a_1 + a_0)(b_1 + b_0) - a_1 b_1 - a_0 b_0) + a_0 b_0$$

1

2

1

3

3



# Karatsuba Multiplication

To multiply two  $n$ -bit integers  $a$  and  $b$ :

- Add two  $\frac{1}{2}n$  bit integers.
- Multiply **three**  $\frac{1}{2}n$ -bit integers, recursively.
- Add, subtract, and shift to obtain result.

$$\begin{aligned} a &= 2^{n/2} \cdot a_1 + a_0 \\ b &= 2^{n/2} \cdot b_1 + b_0 \\ ab &= 2^n \cdot a_1 b_1 + 2^{n/2} \cdot (a_1 b_0 + a_0 b_1) + a_0 b_0 \\ &= 2^n \cdot a_1 b_1 + 2^{n/2} \cdot ((a_1 + a_0)(b_1 + b_0) - a_1 b_1 - a_0 b_0) + a_0 b_0 \end{aligned}$$

(1)                      (2)                      (1)                      (3)                      (3)

$$T(n) \leq \underbrace{T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + T(1 + \lceil n/2 \rceil)}_{\text{recursive calls}} + \underbrace{\Theta(n)}_{\text{add, subtract, shift}} \Rightarrow T(n) = O(n^{\lg 3}) = O(n^{1.585})$$

# Maximum Sum Subarray

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# Maximum Sum Subarray

**Problem:** Given a one dimensional array  $A[1..n]$  of numbers. Find a contiguous subarray with largest sum within  $A$ .

Assume an empty subarray has sum 0.

**Example:**

4	-7	12	5	-2	3	-5	1	5	-8	2	5
---	----	----	---	----	---	----	---	---	----	---	---

4	-7	12	5	-2	3	-5	1	5	-8	2	5
---	----	----	---	----	---	----	---	---	----	---	---

## Algorithm (brute-force)

**Observation:** Let  $S[i] = A[1] + \dots + A[i]$ . We have  $A[i] + \dots + A[j] = S[j] - S[i-1]$

```
Pre-Processing
S[0] = 0
for i = 1 to n do
    S[i] = S[i-1] + A[i]
```

Running time of pre-processing:  $T(n) = O(n)$

```
sol = 0
for i = 1 to n do
    for j = i to n do
        if S[j] - S[i-1] > sol then
            sol = S[j] - S[i-1]
return sol
```

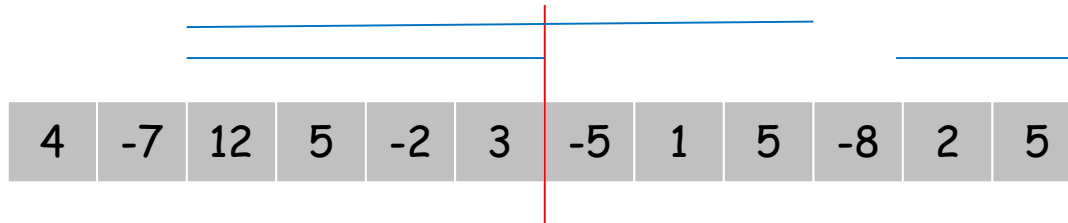
Running time:  $T(n) = O(n^2)$

# Algorithm (divide and conquer)

The general strategy: Divide into 2 equal-size subarrays

Case 1: optimal solution is in one subarray

Case 2: optimal solution crosses the splitting line



```
MCS (A[1..n])
if n = 1 then return max(0, a[1])
sol = max(MCS(A[1..n/2]), MCS(A[n/2+1..n]))
Lsol = 0
for i = n/2 downto 1 do
    if S[n/2]-S[i-1] > Lsol then
        Lsol = S[n/2]-S[i-1]
Rsol = 0
for i = n/2+1 to n do
    if S[i]-S[n/2-1] > solR then
        Rsol = S[i]-S[n/2-1]
return max(sol, Lsol+Rsol)
```

Running time:  $T(n) = 2T\left(\frac{n}{2}\right) + O(n) \rightarrow T(n) = O(n \log n)$

# References

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## References

- Sections 5.1, 5.2, 5.4, and 5.5 of the text book "algorithm design" by Jon Kleinberg and Eva Tardos
- Section 4.1 of the text book "introduction to algorithms" by CLRS, 3<sup>rd</sup> edition.
- The original slides were prepared by Kevin Wayne. The slides are distributed by Pearson Addison-Wesley.