

# **Artificial Intelligence Capstone Project 2**

## **Team name: #8 Potatoes Killer**

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## **I. Introduction**

The objective of this project is to develop a game-playing AI agent for a board game called “Battle Sheep”. In the implementation, we use the MCTS algorithm to help us find the best step and get a score as high as the agent can.

## **II. Algorithm and methods**

The Monte Carlo Tree Search (MCTS) algorithm utilizes the current state information to perform four crucial parts to make the next decision. They are selection, expansion, simulation and backpropagation respectively.

- **Selection:**

Starting from the root, the agent selects the optimal leaf node (The function is called recursively) currently in the MCTS tree with some strategy.

- **Expansion:**

When the leaf node is reached, the agent will expand all the legal moves as the child of that node.

- **Simulation:**

The agent will simulate all the added nodes in the expansion step with random moves until the game is over or the depth limit is reached.

- **Backpropagation:**

Add the score of the simulation result all along from the leaf to the root.

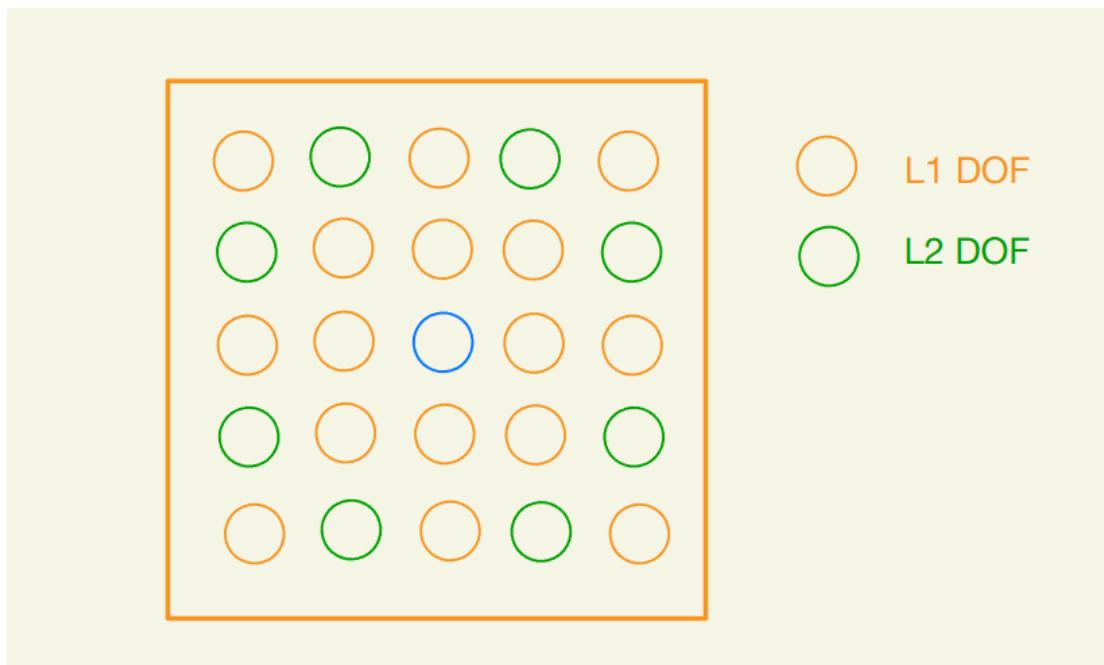
We do the back propagation to update nodes' variables including total visits and score to get a new uct score for the next selection.

- **Initial Position:**

```
328     def InitPos(mapState):  
329         InitPos = [0, 0]  
330         InitPos = calculate_DOF(mapState)  
331         return InitPos
```

InitPos() will get the position that has the most advantage.

First, we define the (L1)DOF(degree of freedom) of a block as the empty blocks in all 8 directions from that block. On top of that, we then define L2\_DOF of a block as the summation of the DOF of all the blocks in its DOF.



The graph above shows the L1\_DOF and L2\_DOF of the blue block. That is, the L1\_DOF of it is 16, and L2\_DOF of it is 24 if all the blocks were available.

Second, the other factor when considering the initial position is the distance between that block and the others' initial position since chances are good that you can build your own kingdom when nobodies are nearby. We simply calculate it by the summation of the Euclidean Distance between others' initial distance.

- **Game Environment:**

Class GameEnvironment will connect our program to the game server, which makes the action made in MCTS can be successfully passed to the server.

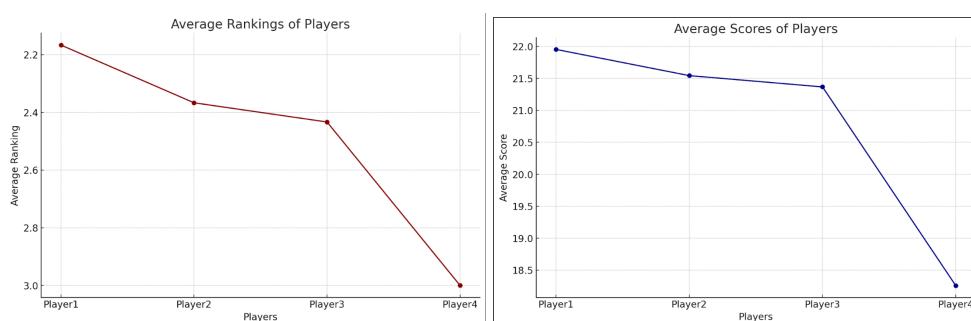
### III. Experiment, Observation and Discussion

#### A. Impact of order(sequence)

- **Game1 : The basic form**

**(4 players, 16 sheep per player, 64 cell playing field)**

Four L2\_DOF players (no distance), 30 games :



100Samples	P1	P2	P3	P4
Avg_Score	21.95	21.54	21.37	18.26
Avg_Rank	2.17	2.37	2.43	3

- **Observation:**

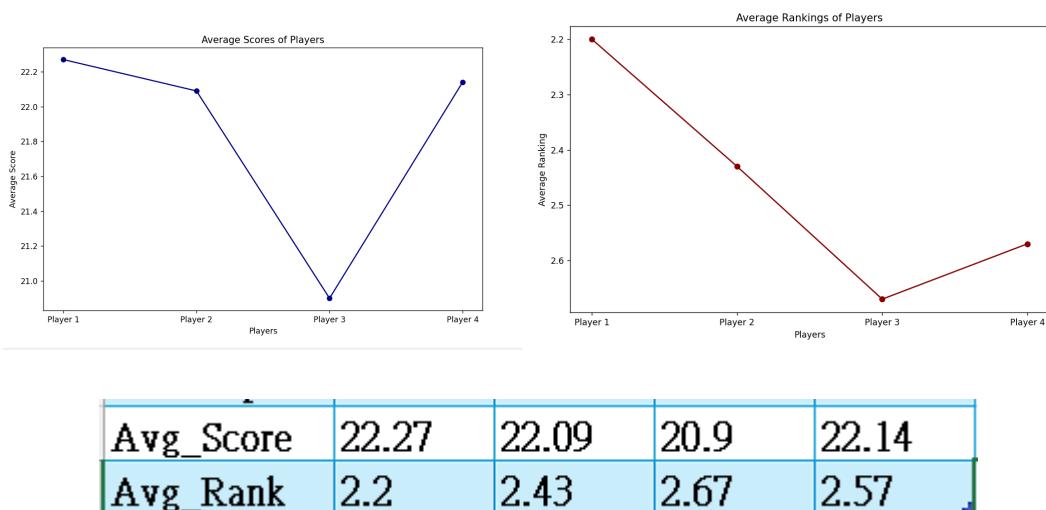
We suppose that because the fourth player has the last select order. In the game, the players in the front can choose the better DOF first. The DOFs of the positions selected by the players decrease due to the position. Thus, we can infer that the low select priority player has the worse performance due to the selection priority difference than other players.

## B. Different methods to select initial position

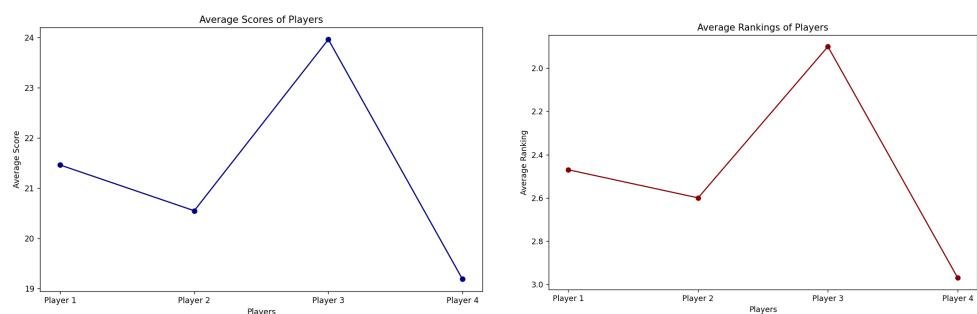
- **Game1 : The basic form**

**(4 players, 16 sheep per player, 64 cell playing field)**

4 players: DOF, DIS, DOF, DOF (DIS as first is skipped):

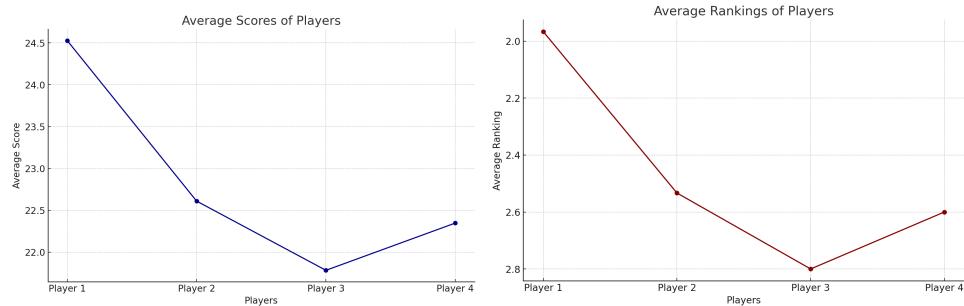


4 players: DOF, DOF, DIS, DOF



100Samples	P1	P2	P3	P4
Avg_Score	21.46	20.55	23.96	19.19
Avg_Rank	2.47	2.6	1.9	2.97

4 players: DOF, DOF, DOF, DIS:



100Samples	P1	P2	P3	P4
Avg_Score	24.53	22.61	21.78	22.35
Avg_Rank	1.97	2.53	2.8	2.6

- **Observation:**

In these three experiments, we placed DIS in different positions to compare with 4 DOFs and see if this new algorithm could improve the scores or rankings of the original algorithm.

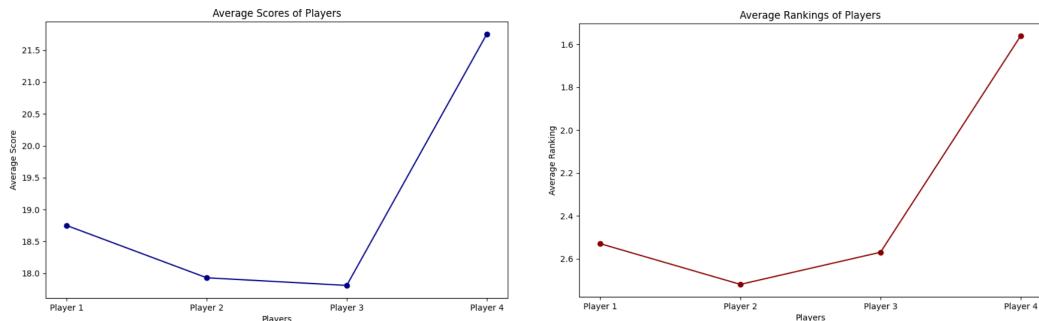
The results show that when DIS is in the second or third position, it performs better than DOF. We believe this is because it obtains enough positional information to compensate for its disadvantages in freedom of choice. In the second position, since it only obtains the position of the first place, this indeed causes DIS to deviate from the best position for freedom. With such a trade-off, DIS achieves a performance slightly inferior to that of DOF.

## C. Impact of distance factor

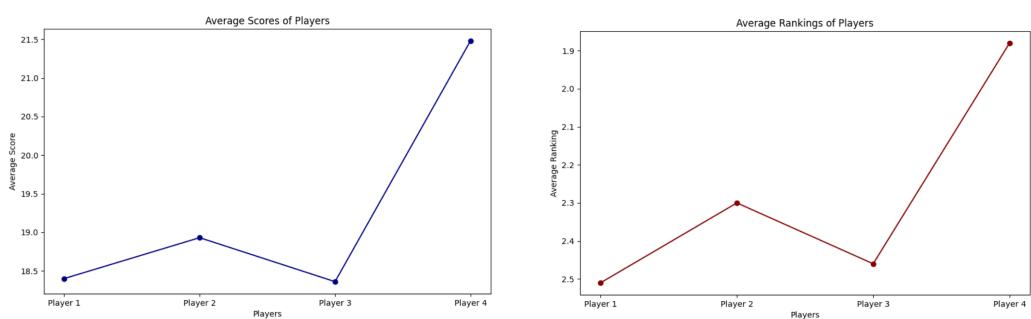
- Game1 : The basic form

(4 players, 16 sheep per player, 64 cell playing field)

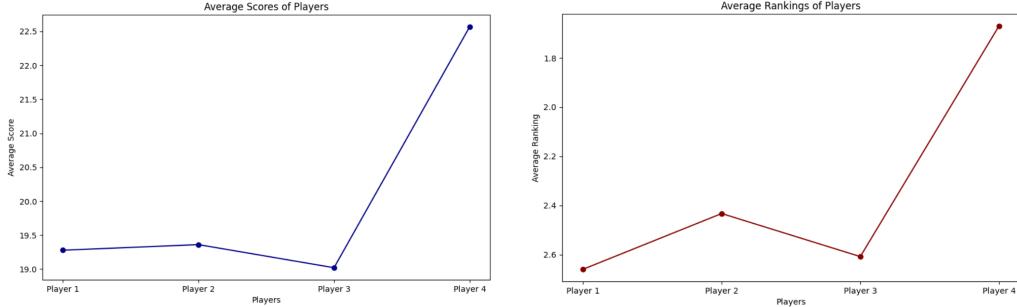
Distance factor: 5



Distance factor: 10



Distance factor: 15



100Samples	P1	P2	P3	P4
Avg_Score	19.28	19.36	19.02	22.57
Avg_Rank	2.66	2.43	2.61	1.67

- **Observation:**

In our experiment, we attempted to find the optimal ratio of the weights for degrees of freedom and distance from enemies when selecting initial positions. A smaller parameter value indicates a greater emphasis on degrees of freedom, while a larger value means more emphasis on distance from enemies.

If the parameter is small, the distance from enemies essentially has no influence on the choice of initial positions. In scenarios where points of high degrees of freedom are clustered, if everyone considers degrees of freedom, their initial positions will be grouped together, which can limit the development of agents. If the parameter is large, the distance from enemies becomes the sole reference, and our agents might choose positions with limited development potential in order to avoid enemies.

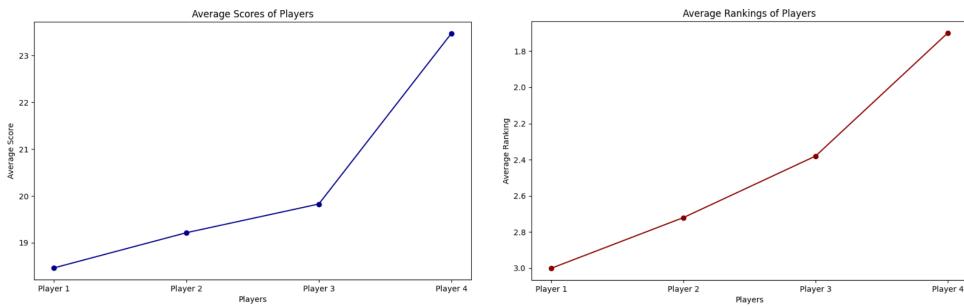
From the results, setting the distance weight to 5 appears to be a good ratio, followed by 15, and lastly 10. This part just involves adjusting the parameters to strike a balance on DOF and distances from enemies.

## D. Iteration times

- Game1 : The basic form

(4 players, 16 sheep per player, 64 cell playing field)

Four L2\_DOF players (no distance), 100 games :



100Samples	P1	P2	P3	P4
Avg_Score	18.46	19.22	19.83	23.47
Avg_Rank	3	2.72	2.38	1.7

- Observation:

In this experiment, we try to find the importance of the number of iterations to our model. More iterations mean we have simulated more endgames, which allows us to predict with more complete and accurate data. When selecting nodes, we have more comprehensive data to evaluate which node to choose next. As expected, more predictions are advantageous, and indeed, the results show that 500 iterations yield the best outcomes.

## IV. Thought

In the beginning, we tried to train a model with DQN to play the game, but it turned out to be a failure due to a couple reasons. First, the sparse reward question. At that time, we did not come up with more little reward steps like the distance between the wrong selection and the block we do have sheep in to help the model learn efficiently. Second, the learning rate and the

exploration rate should be higher in this situation, or the training time will be too long.

During the implementation of MCTS, questions came thick and fast. We found that the agent kept selecting the same node even though some of its children hadn't been visited, which shouldn't happen since we set the score of those to be infinity when simulating. After thoroughly checking, there was a bug in the backpropagation that we didn't trace back to the root and it starts from the leaf in every iteration. It took us lots of time to solve this.

When it comes to the MCTS experiment, We find that the state of the map will not be updated. Once the agent with the automatic code loses, the score will be calculated at that moment, which will cause the score of that agent to be higher in average. We were forced to run the AI\_game.exe and record the score manually over 300 times, which almost drove us crazy.

Despite facing the obstacles above, we still think that this is a fantastic and meaningful experience to try and select different methods to create such an agent. Hope next time we can successfully train a model to achieve better performance!

## V. Appendix

```
190     def mcts(root, playerID, iterations=1):
191         now_node = root
192         for iter in range(iterations):
193             while len(now_node.children):
194                 now_node = select_best_child(now_node)
195                 expand(now_node, playerID)
196                 result, now_node = simulate_random_game(now_node, playerID)
197                 now_node = backpropagate(now_node, result)
```

191: Use now\_node to traverse the MCTS tree.

192~197: In every iteration, the 4 following steps will be executed.

```
135     def select_best_child(node):
136         if len(node.children)==0:
137             return None
138         total_visits = sum(child.visits for child in node.children)
139         best_child = max(node.children, key=lambda child: child.uct_score(total_visits))
140         return best_child
```

138~139 :

Here we use UCT (Upper Confidence bounds applied to Trees) as the evaluation score of the node.

```
53     def uct_score(self, total_visits, exploration_weight=1.44):
54         if self.visits == 0:
55             return float('inf')
56         average_score = self.score / self.visits
57         uct = average_score + exploration_weight * (np.log(total_visits) / self.visits) ** 0.5
58         return uct
```

54~55 :

If a child node hasn't been visited, the uct score will be very large to let the unvisited node have a chance to be picked up, which is an important part for exploration.(exploration\_weight is an important parameter to balance exploitation and exploration.)

```

125     def expand(node, playerID):
126         possible_moves = node.generate_legal_moves(playerID)
127         for move in possible_moves:
128             new_mapStat, new_sheepStat = node.simulate_move(move)
129             node.children.append(MCTSNode(new_mapStat, new_sheepStat, parent=node, move=move))

```

126~129 :

Get all the possible moves from generate\_legal\_moves, and simulate them to get related mapstate and sheep state.

Instantialize those expanded nodes and append them to the current tree.(those children's parent will be the node we pick in selection part)

Find all the possible moves with the generate legal moves function.

```

66     def generate_legal_moves(self, playerID):
67         moves = []
68         for x in range(12):
69             for y in range(12):
70                 if self.mapStat[x][y] == playerID and self.sheepStat[x][y] > 1:
71                     for idx, (dx, dy) in enumerate(all_move_dir):
72                         nx, ny = x + dx, y + dy
73                         if 0 <= nx < 12 and 0 <= ny < 12 and self.mapStat[nx][ny] == 0:
74                             for split in range(1, int(self.sheepStat[x][y])):
75                                 moves.append(((x, y), split, idx + 1 if idx < 4 else idx + 2))
76
77         return moves

```

70:

Iterate all the map's cells, if the player occupies that cell and the player has at least two sheeps on it, that block may be the legal position we can take the action.

71~75:

For every possible position, we check its surrounding cells. If the cell is empty and in the map, we can generate all the legal moves on that cell, and those possible actions will be appended to the move list.

```

79     def simulate_move(self, move):
80         x, y = move[0][0], move[0][1]
81         dx, dy = all_move_dir[move[2]] - 1 if move[2] <= 4 else move[2] - 2
82         nx, ny = x + dx, y + dy
83         while 0 <= nx < 12 and 0 <= ny < 12 and self.mapStat[nx][ny] == 0:
84             nx += dx
85             ny += dy
86             nx -= dx
87             ny -= dy
88             new_mapStat = self.mapStat.copy()
89             new_sheepStat = self.sheepStat.copy()
90             new_mapStat[nx][ny] = new_mapStat[x][y]
91             new_sheepStat[nx][ny] += move[1]
92             new_sheepStat[x][y] -= move[1]
93             return new_mapStat, new_sheepStat

```

80~87:

We get the legal move in that direction. The while-loop in 83~87 finds the farthest legal position in that direction.

88~92:

We compute the updated game state of that move.

```

182     def simulate_random_game(node, playerID):
183         if(len(node.children)==0):
184             return calculate_score(node.mapStat, playerID), node
185         startNode = random.choice(node.children)
186         simulate_tree_root = MCTSNode(startNode.mapStat, startNode.sheepStat, parent=node, move=startNode.move)
187         while not is_game_over(simulate_tree_root.mapStat, simulate_tree_root.sheepStat, playerID):
188
189             legal_moves = simulate_tree_root.generate_legal_moves(playerID)
190             if len(legal_moves)==0:
191                 continue
192             move = random.choice(legal_moves)
193             new_mapStat, new_sheepStat = simulate_tree_root.simulate_move(move)
194             simulate_tree_root.mapStat = new_mapStat
195             simulate_tree_root.sheepStat = new_sheepStat
196             simulate_tree_root.move = move
197
198             for i in range(1,5):
199                 if(i == playerID):
200                     continue
201                 legal_moves = simulate_tree_root.generate_legal_moves(i)
202                 if len(legal_moves)==0:
203                     continue
204                 move = random.choice(legal_moves)
205                 new_mapStat, new_sheepStat = simulate_tree_root.simulate_move(move)
206                 simulate_tree_root.mapStat = new_mapStat
207                 simulate_tree_root.sheepStat = new_sheepStat
208
209         return calculate_score(simulate_tree_root.mapStat, playerID), startNode

```

183:

If the node has no child, we don't need to simulate that node to the final game(because that node is the final game).

185~186:

Choose a random child to simulate, we make a new tree here to do the simulation part. The while-loop we continue the simulation until the end game, which is an iteration.

187~207:

The main part we do the simulation, we will do the simulation on that node based on everyone doing random legal moves.

```
79     def simulate_move(self, move):
80         x, y = move[0][0], move[0][1]
81         dx, dy = all_move_dir[move[2] - 1 if move[2] <= 4 else move[2] - 2]
82         nx, ny = x + dx, y + dy
83         while 0 <= nx < 12 and 0 <= ny < 12 and self.mapstat[nx][ny] == 0:
84             nx += dx
85             ny += dy
86             nx -= dx
87             ny -= dy
88             new_mapStat = self.mapStat.copy()
89             new_sheepStat = self.sheepStat.copy()
90             new_mapStat[nx][ny] = new_mapStat[x][y]
91             new_sheepStat[nx][ny] += move[1]
92             new_sheepStat[x][y] -= move[1]
93             return new_mapStat, new_sheepStat
```

```
95     def explore_region(x, y, mapStat, visited, playerID):
96         if not (0 <= x < 12 and 0 <= y < 12) or visited[x][y] or mapStat[x][y] != playerID:
97             return 0
98         visited[x][y] = True
99         size = 1
100        for dx, dy in findBarrier_dir:
101            nx, ny = x + dx, y + dy
102            size += explore_region(nx, ny, mapStat, visited, playerID)
103        return size
104
105    def calculate_score(mapstat, playerID):
106        visited = [[False] * 12 for _ in range(12)]
107        total_score = 0
108        for x in range(12):
109            for y in range(12):
110                if mapStat[x][y] == playerID and not visited[x][y]:
111                    region_size = explore_region(x, y, mapStat, visited, playerID)
112                    total_score += (region_size ** 1.25)
113        return round(total_score)
```

95~103:

We do DFS to find the area of the connected region.

105~113:

We add up all the connected region's scores to know the total score. We will use the score to update the node's uct score.

```
159     def backpropagate(node, result):
160         while node.parent is not None:
161             node.visits += 1
162             node.score += result
163             node = node.parent
164         return node
```

160~163:

Update node's information, visit times, total score, parent.

Game environment:

```
19     class GameEnvironment:
20         def __init__(self):
21             self.mapStat = None
22             self.sheepStat = None
23             self.id_package = None
24             self.playerID = None
25             self.done = None
26
27         def reset(self):
28             self.id_package, self.playerID, self.mapStat = STcpClient.GetMap()
29             init_pos = InitPos(self.mapStat)
30             STcpClient.SendInitPos(self.id_package, init_pos)
31             return self.id_package, self.playerID, self.mapStat, self.sheepStat
32
33         def step(self, action):
34             STcpClient.SendStep(self.id_package, action)
35
36         def update(self):
37             (self.done, self.id_package, self.mapStat, self.sheepStat) = STcpClient.GetBoard()
38             return
```

20~25:

`__init__()`:records the basic game information, map state, sheep state....

27~31:

reset():actively obtains map initial information for MCTS and triggers the calculation of positions, sending the initial positions to the server.

33~34:

step():sends the best move outputted by our MCTS to the server.

36~37:

update():actively obtains the current map status for MCTS calculation each time it is our turn to move.

```
323     if __name__ == "__main__":
324         env = GameEnvironment()
325
326         env.reset()
327
328         while True:
329
330             env.update()
331             if env.done:
332                 print("Game over or connection lost.")
333                 break
334
335             step = GetStep(env.mapStat, env.sheepStat, env.playerID)
336
337             env.step(step)
```

'env' is an instance of GameEnvironment. 'reset' is triggered on the 'env' instance to obtain initial map information. While-loop continues until the end of the game.

At the start of each round, 'update' is triggered to actively obtain game information, which includes map data, sheep data, and a completion status (done determines whether to end the game loop).

If the game is not over, 'GetStep' is triggered to prompt MCTS to calculate the current best move, which is then sent back to the server using 'step'.

With the obtained map information, an MCTS root node is instantiated. MCTS iterations are performed using this root, and 'select\_best\_step' is triggered to choose the move with the highest UCT score to send as our best move.

```

275     def GetStep(mapStat, sheepStat, playerID):
276         root = MCTSNode(mapStat, sheepStat)
277         mcts(root, playerID, iterations=500)
278         best_child = select_best_step(root)
279         step = [(0, 0), 0, 1] if best_child.move is None else best_child.move
280         return step
157     def select_best_step(node):
158         if len(node.children) == 0:
159             return None
160         total_visits = sum(child.visits for child in node.children)
161         best_child = max(node.children, key=lambda child: child.uct_score_no_inf(total_visits))
162         return best_child
59     def uct_score_no_inf(self, total_visits, exploration_weight=1.44):
60         if self.visits == 0:
61             return 0
62         average_score = self.score / self.visits
63         uct = average_score + exploration_weight * (np.log(total_visits) / self.visits) ** 0.5
64         return uct

```

Here, it's noteworthy that the UCT calculation method triggered here differs from the one we use in 'simulate\_best\_child'. When selecting the actual move we want to make, we aim to choose the move that currently has the highest probability to achieve the highest score(exploitation), not just the most potential(exploration). Thus, moves that have not been explored have a UCT score of 0 to prevent unexplored moves from being selected as our actual move.

Initial Position:

```

264     def calculate_DOF(mapStat):
265         L1_DOF_array = np.zeros((12, 12))
266         L1_CDOF_array = np.zeros((12, 12))
267         for i in range(12):
268             for j in range(12):
269                 if mapStat[i][j] == 0:
270                     L1_DOF = 0
271                     L1_CDOF = 0
272                     for dx, dy in all_move_dir:
273                         nx, ny = i + dx, j + dy
274                         while 0 <= nx < 12 and 0 <= ny < 12 and mapStat[nx][ny] == 0:
275                             L1_DOF += 1
276                             if (dx, dy) in findBarrier_dir:
277                                 L1_CDOF += 1
278                             nx += dx
279                             ny += dy
280                     L1_DOF_array[i][j] = L1_DOF
281                     L1_CDOF_array[i][j] = L1_CDOF

```

272~279: Iterate all the blocks in 8 directions to calculate L1\_DOF

```

283     L2_DOF_array = L1_DOF_array.copy()
284     L2_CDOF_array = L1_CDOF_array.copy()
285     max_L2_DOF = 0
286     max_L2_CDOF = 0
287     for i in range(12):
288         for j in range(12):
289             if mapStat[i][j] == 0 and L1_DOF_array[i][j] != 0:
290                 for dx, dy in all_move_dir:
291                     nx, ny = i + dx, j + dy
292                     while 0 <= nx < 12 and 0 <= ny < 12 and mapStat[nx][ny] == 0:
293                         L2_DOF_array[i][j] += (L1_DOF_array[nx][ny])
294                         if (dx, dy) in findBarrier_dir:
295                             L2_CDOF_array[i][j] += (L1_CDOF_array[nx][ny])
296                         nx += dx
297                         ny += dy

```

293~295: Calculate the L2\_DOF with given L1\_DOF

```

396     for i in range(15):
397         for j in range(15):
398             if mapStat[i][j] != 0 and mapStat[i][j] != -1 and mapStat[i][j] != playerID:
399                 enemy_choice.append((i, j))
400
401     Max_score = 0
402     for i in range(15):
403         for j in range(15):
404             if L2_DOF_array[i][j] != 0:
405                 print(f"enemy_choice:{enemy_choice}")
406                 distance_array[i][j] = 5 * sum(np.sqrt((i - x) ** 2 + (j - y) ** 2) for x, y in enemy_choice)
407                 if any(0 <= i + dm < 15 and 0 <= j + dn < 15 and mapStat[i + dm][j + dn] == -1 for dm, dn in findBarrier_dir):
408                     if distance_array[i][j] + L2_DOF_array[i][j] > Max_score:
409                         Max_score = distance_array[i][j] + L2_DOF_array[i][j]
410                         init_pos = [i, j]
411
412     print(np.round(distance_array).T)
413     print("\n")
414     # print(L1_DOF_array)
415     print(L2_DOF_array.T)
416     print("\n")
417     print(np.round(distance_array + L2_DOF_array).T)
418     print("\n")
419     print(init_pos)
420     return init_pos

```

396~399: Mark initial positions of others

406: The evaluation including distance relationship is

$$\text{dis\_factor} * \text{distance} + \text{L2\_DOF}$$

409: Choose the highest one as initial position

**Thanks for TAs reading! I Hope you have a nice day!**