4.2 n-gram Language Model

Model Information

| | Unigram Model | Bigram Model | Trigram Model |
|--------------------------|---------------|--------------|---------------|
| Corpus Length | 1622905 | 1684434 | 1684433 |
| Unique number of n-grams | 26602 | 510392 | 1116160 |

 $Table\ I-Model\ information$

Model Evaluation – Perplexity Scores

| | Unigram Model | Bigram Model | Trigram Model |
|-----------------------|---------------|--------------|---------------|
| Train Perplexity | 1105.5403 | 77.0735 | 7.8729 |
| Validation Perplexity | 1009.9143 | Infinity | Infinity |
| Test Perplexity | 1015.3158 | Infinity | Infinity |

Table 2 – Unsmoothed model perplexity scores

The above-mentioned perplexity value makes sense here and follows the general intuition that the larger the history the better the model will perform. In the unigram model, since the UNK token was used there the probability was always non-zero allowing for a finite perplexity value to be found.

In the validation and test datasets for the bigram and trigram models, the perplexity was found to be infinite because the probability of certain bigram/trigrams was found to be 0. Since there was no smoothing added in this example, it is valid for the perplexity to be infinite.

To further validate my test results, I added Lidstone Smoothing (with alpha = 0.5) to my model and obtained the following results:

| | Unigram Model | Bigram Model | Trigram Model |
|-----------------------|----------------------|--------------|---------------|
| Train Perplexity | 1106.1765 | 978.8943 | 4020.5838 |
| Validation Perplexity | 1011.2453 | 1251.1889 | 8019.1787 |
| Test Perplexity | 1016.5973 | 1247.5270 | 7991.0045 |

Table 3 – Lidstone smoothed model perplexity scores

The results were a little surprising here. For each type of model, the training dataset generally has a lower perplexity value compared to the validation and test sets. However, one surprising element is that the perplexity tends to increase a lot as we move from unigram to the trigram model. Generally, one expects a trigram model to operate better and thus the perplexity to decrease.

One potential explanation for this could be the bias-variance tradeoff that smoothing introduces. Generally, adding smoothing will increase the perplexity of the training dataset (as it did), however it should reduce the perplexity of the validation and test datasets.

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4.3 - Smoothing

Model Evaluation – Perplexity Scores

| | Train Perplexity | Validation Perplexity | Test Perplexity |
|------------------------|------------------|-----------------------|-----------------|
| Lambda1 = 0.01 | | | |
| Lambda 2 = 0.05 | 8.1494 | 2360.2248 | 2354.1756 |
| Lambda 3 = 0.94 | | | |
| Lambda1 = 0.07 | | | |
| Lambda 2 = 0.08 | 8.8966 | 885.6395 | 884.7960 |
| Lambda 3 =0.85 | | | |
| Lambda1 = 0.06 | | | |
| Lambda 2 = 0.14 | 10.6769 | 978.8043 | 978.3856 |
| Lambda 3 = 0.70 | | | |
| Lambda1 = 0.20 | | | |
| Lambda 2 = 0.20 | 12.1013 | 540.2321 | 540.6785 |
| Lambda 3 =0.60 | | | |
| Lambda1 = 0.20 | | | |
| Lambda 2 = 0.30 | 14.2190 | 554.8653 | 555.6197 |
| Lambda 3 =0.50 | | | |
| Lambda1 = 0.33 | | | |
| Lambda 2 = 0.33 | 20.6060 | 491.8504 | 493.0516 |
| Lambda 3 =0.33 | | | |

Table 4 – Interpolation smoothing model perplexity scores

The table below shows the perplexity for the specified lambda values, in the assignment

| | Train Perplexity | Validation Perplexity | Test Perplexity |
|----------------------------------|------------------|-----------------------|-----------------|
| Lambda1 = 0.1 $Lambda 2 = 0.3$ | 12.0533 | 736.4886 | 736.9305 |
| Lambda 2 = 0.5 Lambda 3 = 0.6 | 12.0555 | 730.4660 | 730.7303 |

Table 5 - Interpolation smoothing model perplexity scores for specific lambda values

If you use half of the training data, would it increase or decrease the perplexity on previously unseen data? Why? Provide empirical experimental evidence if necessary.

Generally, I would assume that reducing the training data would increase the perplexity (make the model worse off) since there is less information and tokens that the model can utilize. After training my model only on ½ the training data I found the following scores using interpolation smoothing:

| | Train Perplexity | Validation Perplexity | Test Perplexity |
|-----------------------|------------------|-----------------------|-----------------|
| Lambda1 = 0.1 | | | |
| Lambda $2 = 0.3$ | Not Calculated | 468.3740 | 471.4108 |
| Lambda 3 = 0.6 | | | |

Table 6 – Experimental perplexity scores after using ½ the training set

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Comparing this to the results found from table 5, the perplexity has decreased (the model is performing better). One reason for the explanation could be now there are a lot more UNK tokens. As a result, the model is more general.

If you convert all tokens that appeared less than 5 times to (a special symbol for out-of-vocabulary tokens), would it increase or decrease the perplexity on the previously unseen data compared to an approach that converts only a fraction of words that appeared just once to? Why? Provide empirical experimental evidence if necessary.

If there are more UNK tokens in the data this would make the model more general, thus reducing the perplexity of the model. After changing the 'UNK Threshold' from 3 to 5, I obtained the following results for the unigram model:

| | Unigram Model |
|-----------------------|---------------|
| Train Perplexity | 909.6217 |
| Validation Perplexity | 853.7755 |
| Test Perplexity | 856.9755 |

Table 7 - Experimental perplexity scores for UNK Threshold of 5

Compared to Table 2, the perplexity scores after changing the 'UNK Threshold' to 5 it can be observed that the perplexity does go down.

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