

DRAFT FORM_NOT FOR SUBMISSION

Application Form for Production Linked Incentive Scheme of Promotion of Domestic Manufacturing of Critical Key Starting Materials (KSMs)/Drug Intermediates (DIs)/Active Pharmaceutical Ingredients (APIs) in India








Applicant Details

Name of the Applicant					
Details of Authorised Signatory					
Name		E-Mail		Phone	
				Designation	
Provide 'Letter of Authorisation' as per format attached in the section 'Undertakings & Certificates'.					
Eligible Product Applied For Refer Clause 7.3 of Scheme Guidelines, separate application along with the application fee is required to be submitted for each eligible product.		Target Segment		Eligible Product	
		Key Fermentation based KSMs/Drug Intermediates		Erythromycin Thiocynate (TIOC)	
Constitution of Business		Please Select from Drop Down		Provide copy of MOA and AOA in case of Company. LLPs may provide copy of LLP Deed and MOA. In case of Partnership Firm, provide copy of partnership agreement and registration deed if the Firm is registered.	
Ownership Pattern as on the date of application		Name of the Shareholder/ Partner		No. of Shares	
		% Shareholding		Capital	
		Promoter & Promoter Group			
		Others than Promoter & Promoter Group			
Provide shareholding pattern of the Company with detail of shareholders having more than 1% shareholding giving clear break-up between shareholding of 'Promoter & Promoter Group' and 'Others'. Companies listed in India may provide latest 'Shareholding Pattern' submitted to Stock Exchange, which should not be older than 3 months from the date of application. Applicant may also submit latest MGT 7 or MGT 9 filed with ROC.					
In case of Partnership Firm & Limited Liability Partnership provide detail for each partner, irrespective of their percentage of share.					
Proprietorship Firm shall give name of proprietor, capital with share mentioned as 100% under the head 'Promoter & Promoter Group'.					
Brief Profile of Business (Give brief in the box and attach detailed profile)					
Date of Incorporation (Please attach copy)		Company/ LLP provide date as per ROC record. Partnership Firm may provide date of partnership coming into existence. Proprietorship concern may give date of starting the business.			
Business Registrations (Please attach copy)		PAN		CIN/ LLPN	
Status of Listing (In case of companies)		Listed Company		Name of Stock Exchange	
		Please Select from Drop Down			
GST Registration (Give detail of all GST registrations and attach copy)		GSTIN		Registered Address	
Registered Office Address					
Corporate Office Address					
Statutory Auditors		Name of the Firm		Firm Registration No.	
Company and LLPs may give detail of Auditors appointed under respective Act. Partnership Firm and Proprietorship Firm may give detail of Independent Chartered Accountant appointed.					
Website					
Credit History		Bankruptcy		RBI Defaulter List	
		Wilful Defaulter List		SEBI Barred List	
		Please Select from Drop Down		Please Select from Drop Down	
		Please Select from Drop Down		Please Select from Drop Down	
Provide 'Undertaking' as per format attached in the section 'Undertakings & Certificates'.					
Please mention CIBIL score and attach a copy of latest report downloaded from CIBIL. In case, the score is not available in CIBIL report, attach copy of report and mention as 'not available' in the box.					
Any Legal case pending against Applicant/Promoters.		Please Select from Drop Down		Please provide details of legal cases pending against the applicant/promoters as per format provided under section Undertaking and Certificates.	
External Credit Rating of Applicant		Credit Rating		Name of Rating Agency	
		Date of Rating		Valid Up to	
Chairman, CEO, Managing Director		Name		E-Mail	
		Phone		DIN	
Provide detail of Directors having executive positions. LLPs to provide detail of 'Designated Partner'. Partnership Firm to provide detail of Managing Partner and other active partners. Proprietor firm may provide detail of proprietor					
Attach a brief profile of the Chairman/ CEO/ Promoter/ Partner/ Proprietor					
Key Managerial Personnel		Name		E-Mail	
		Phone		PAN/ DIN	
Provide detail of 'Key Managerial Personnel' like CEO, CFO, Company Secretary, Plant Head not covered above					

Eligibility Criteria (Refer para 4.1 of the Scheme Guidelines)				
Whether Project Proposed by Applicant is Greenfield Project as per clause 2.17 of the Guidelines	Please Select from Drop Down			
Whether Applicant has been declared as bankrupt, wilful defaulter or reported as fraud by any Bank or Financial Institution or NBFC's - clause 4.1.4 of the Guidelines	Please Select from Drop Down			
Net Worth for Eligibility Criteria (Including Group Companies/ Enterprise, if considered) in INR (Refer Clause 2.24)				
Details of Group Companies/ Enterprise whose Net Worth is being considered (Refer clause 2.18)	(Provide the name of the Group companies/ Business Enterprise whose net-worth has been considered for arriving at the Net Worth for the purpose of the Eligibility Criteria. Also basis for considering the Group Company as per clause 2.18 of the Guidelines may be given under the head 'Relationship with Applicant')			
Name of the Group Company/ Enterprise	Registered at (Location)	Registration No.	Relationship with Applicant	Net-Worth (in INR)
Provide Statutory Auditors' Certificate for Net-Worth as on the Date of Application. If applicant is an existing business, Statutory Auditors' Certificate for Net-Worth should also be supported by latest audited Balance Sheet as per format attached in the section 'Undertaking & Certificates' of the form.				
Only the Net-worth of business enterprises should be considered except in case of 'Sole Proprietorship' applicant. Sole proprietorship concern may furnish the Net-worth of proprietor.				
Partnership Firm may provide net-worth of the Firm only. In case of LLPs, the net-worth of business enterprises falling within the definition of Group Company may be considered for eligibility criteria.				
Proposed Domestic Value Addition [DVA] (%)				
Refer clause 4.1.3. of the Scheme Guidelines. Please provide the proposed DVA % of the eligible product applied for based on the production process. Calculations of DVA should be done as per clause 2.9 of the Scheme Guidelines				
Whether mandatory undertaking referred to in clause 7.6 of the scheme guidelines is being submitted. (Undertaking for Consent of Audit)	Please Select from Drop Down	(Provide mandatory undertaking as per format attached in the section 'Undertaking & Certificates' and attach original copy with the application)		
Whether mandatory undertaking referred to in clause 17.7 of the scheme guidelines is being submitted.(Integrity Pact)	Please Select from Drop Down			
Fee Payment (applicant is required to pay fee as per Appendix C of the Scheme Guidelines for every application. The account details for fee payment is given below)	Please Select from Drop Down			
	Payment Date	Unique Reference No	Bank Name	Amount (₹)
Detail of Fee Payment				
Detail of Bank Account for Fee Deposit :-				

Financial Details (Self Certified)		(₹)	
For FY 2017-18 and FY 2018-19, provide information based on the audited financial statements. For FY 2019-20, financial details may be provide based on provisional financials.			
In case of any entity incorporated after March 31, 2018; financial details for the year in which applicant was not in existence is not required.			
Provide financial statement for last three years FY 2017-18, FY 2018-19 and FY 2019-20. Unaudited financials for FY 2019-20 may be provided, if audited are not available.			
Revenue	2017-18	2018-19	2019-20
Sales from Pharmaceutical Operations - Manufacturing			
Domestic			
Export			
Sales from Pharmaceutical Operations - Trading			
Domestic			
Export			
Sales other than Pharmaceutical Operations			
Domestic			
Export			
Other Income			
Total Revenue	₹ -	₹ -	₹ -
Sales from Pharmaceutical Operations should include revenue from trading/ manufacturing of all products in the pharmaceutical sector. Other Sales may be shown separately			
Total Revenue given above should match with the revenue as per Profit & Loss A/c.			
Profit before Tax (PBT) and Profit after Tax (PAT)	2017-18 (₹)	2018-19 (₹)	2019-20 (₹)
Profit Before Tax			
Profit After Tax			
Capex & Source of Funds			
Provide detail of capex undertaken in the last three years and source of funds for the capex giving separate detail of Equity, Loan and Grant (if any)			
	2017-18	2018-19	2019-20
(i) Capex			
(ii) Source of Funds			
Equity Capital	₹ -	₹ -	₹ -
Share Capital Issued			
- Promoters			
- Indian Govt. & Foreign Govt.			
- Multilateral Agencies			
-Banks & Other Financial institutions			
-Others			
Internal Accruals			
Debt/Loan	₹ -	₹ -	₹ -
Promoters			
Banks & Other Financial institutions			
Multilateral Agencies			
Indian Govt. & Foreign Govt.			
Grant / Any other assistance	₹ -	₹ -	₹ -
Indian Govt.			
Foreign Govt.			
Research and Development			
Whether applicant has any in-house Research and Development Unit	Please Select from Drop Down		
Whether in-house Research and Development Unit of the applicant is recognised by Ministry of Science & Technology	Please Select from Drop Down		
Investment made in Research and Development including Group	2017-18	2018-19	2019-20
Companies (which is capitalised in the books of account (INR)			
Recent achievements of in-house R&D. (Please refer Clause C of point 2.8).	Please Select from Drop Down	Provide complete details of such achievement and recognitions as Annexure.	

Documents Checklist

Undertakings and Certificates	Format	Tick, for which Document is attached	Remarks
Please click on the file attached under the column "Format" for editable format of the certificate/ undertaking and attach original copy with application duly signed by prescribed signatory.			
Please attach a copy with application, attested by Authorised Signatory. Tick the document in Status Box and give remarks for any variation or reason for not available.			
Letter of Authorization (Refer Clause 2.3 of Annexure 1 of the Scheme Guidelines)		<input type="checkbox"/>	
Certificate of Shareholding/ Ownership Pattern (Refer Clause 2.2 of Annexure 1 of the Scheme Guidelines)		<input type="checkbox"/>	
Undertaking for Defaulter's List/Bankruptcy (Refer clause 2.4 of Annexure 1 of the Scheme Guidelines)		<input type="checkbox"/>	
Undertaking for Pending Legal Cases		<input type="checkbox"/>	
Certificate of Net Worth (Refer Clause 2.6 of Annexure 1 of the Scheme Guidelines)		<input type="checkbox"/>	
Undertaking for Consent of Audit (Refer Clause 7.6 of the Scheme Guidelines)		<input type="checkbox"/>	
Integrity Pact (Refer Clause 17.7 of the Scheme Guidelines)		<input type="checkbox"/>	

Other Documents	Format	Tick, for which Document is attached	Remarks
Please attach a copy with application, attested by Authorised Signatory. Tick the document in Status Box and give remarks for any variation or reason for not available.			
Business Profile (Refer clause 2.3 of Annexure 1 of the Scheme Guidelines)		<input type="checkbox"/>	
Memorandum & Articles of Association, Partnership Deed (Refer clause 2.2 of Annexure 1 of the Scheme Guidelines)		<input type="checkbox"/>	
Certificate of Incorporation (Refer clause 2.2 of Annexure 1 of the Scheme Guidelines)		<input type="checkbox"/>	
Copy of PAN (Refer clause 2.3 of Annexure 1 of the Scheme Guidelines)		<input type="checkbox"/>	
Copy of GST Number (Refer clause 2.3 of Annexure 1 of the Scheme Guidelines)		<input type="checkbox"/>	
CIBIL Report of the applicant (Refer clause 2.4 of Annexure 1 of the Scheme Guidelines)		<input type="checkbox"/>	
Copy of latest MGT 7 or MGT 9 filed with ROC.		<input type="checkbox"/>	
Profile of Chairman, CEO, Managing Director, KMP (Refer clause 2.3 of Annexure 1 of the Scheme Guidelines)		<input type="checkbox"/>	
Self Certified copy of Annual Reports including Financial Report for FY 2017-18, FY 2018-19 and FY 2019-20 (Refer clause 2.3 of Annexure 1 of the Scheme Guidelines)		<input type="checkbox"/>	
Detailed Project Report (Refer clause 3.3 of Annexure 1 of the Scheme Guidelines)		<input type="checkbox"/>	

Proposal Details	
Project Details	
Target Segment	Key Fermentation based KSMs/Drug Intermediates
Name of the Eligible Product	Erythromycin Thiocynate (TIOC)
Address of the proposed Manufacturing Facility of Eligible Product applied for	
Whether applicant is already manufacturing any pharmaceutical product at the proposed manufacturing facility (please give details)	
Address of the existing proposed Manufacturing Facility of KSMs/DIs, if any which are proposed to be utilised for the manufacturing of eligible product (Refer clause 7.5 of the scheme guidelines)	
Scheduled Date of Commercial Production	

Detailed Project Report			
The applicant is required to submit a detailed project report of eligible product with techno-economic viability of the project. The report should contain the information on the following sections at minimum: (Refer Clause 3.3 of the scheme guidelines)			
For each item given in the table below (clause 3.3 of the Annexure I of the Guidelines), provide reference to the relevant section or page no. of the Project Report where the same is covered. (select status from Drop Down for information provided or not provided). Project Report may be annexed with the application.			
Key Information	Whether Information Provided	Reference to section or Page Number in DPR	Remarks
Manufacturing process	Please Select from Drop Down		
Proposed Route of Synthesis	Please Select from Drop Down		
Reaction Steps	Please Select from Drop Down		
Raw material used and its source (Indigenous/Imported)	Please Select from Drop Down		
Production Flow Chart	Please Select from Drop Down		
Technology to be used for manufacturing	Please Select from Drop Down		
Use of Green Chemistry/Zero discharge or low polluting technologies with in situ or in-process recoveries;	Please Select from Drop Down		
Action plan for implementation of the proposed project with time schedule for the above activities (Provide major milestones with expected timelines for completion)	Please Select from Drop Down		
Types of pollutants, harmful components of discharged pollutants, emissions and concentrations. Provide regulatory norms and waste treatment measures (Refer clause 3.3 of the scheme guidelines)	Please Select from Drop Down		
Annual consumption of key items (Water, Power and Coal) based on Proposed annual production Capacity (Refer clause 3.3 pt. iv of the scheme guidelines)	Please Select from Drop Down		
Regulatory Treatment - Provide regulatory approvals required, expected timelines and present status(Refer clause 3.3 pt. v of the scheme guidelines)	Please Select from Drop Down		

Projections- Eligible Product

Revenue (₹ in INR)	FY 2020-21	FY 2021-22	FY 2022-23	FY 2023-24	FY 2024-25	FY 2025-26	FY 2026-27	FY 2027-28	FY 2028-29
Export Sales									
Domestic Sales									
Provide revenue projection only for the eligible product applied for. Sales figure should be given without any reference to qty. or price assumption.									
Provide revenue projection starting from the financial year in which project is expected to achieve Commercial Operation based on Scheduled Commercial Operations Date.									

Employment Generation (Nos.)

Cumulative Employee Base								
Provide cumulative employment generation related to the Greenfield Project proposed to be set-up under the Scheme. E.g. in FY 2020-21, applicant expects to engage 50 employee which shall increased by another 50 employee in FY 2021-22 making cumulative employee base of 100 persons, mention 50 for FY 2020-21 and 100 for FY 2021-22.								

Proposed Domestic Value Addition (DVA)			
For definition of DVA and non-originating material and services, refer clause 2.9 and 2.25 of the Guidelines			
Provide estimated DVA, based on the proposed production process, route of synthesis etc. considered in the Detailed Project Report. Applicant may consider the size of batch for input/ output ratio, as may be deemed appropriate.			
Provide the name of the each Raw Material with country of origin being within India or Outside India. For Raw Material proposed to be consumed and originating within India, provide name of major Indian Manufacturers.			
In case a material is procured from local dealer, who has imported the material from outside India, the country of origin of such material will be outside India. Therefore country of origin should be determined based on the country where raw material was manufactured.			
The estimated cost of Raw Material and Expected Sales of Eligible Product may be given without any reference to Qty. to keep the confidentiality of pricing.			
To be signed by Managing Director or Equivalent of the Company/ Designated Partner of Limited Liability Partnership Firm/ Managing Partner or any Active Partner, where there is no managing partner, in case of Partnership Firm/ Proprietor of the Proprietor Firm.			
Key Parameters	Country of Origin	Manufacturers in India	Amount ₹
Key Raw Material	Please Select from Drop Down		
	Please Select from Drop Down		
	Please Select from Drop Down		
Salary Expenses			
Other Expenses			
Services non-originating in India			
Total Cost			
Out of Total cost above			
Non-Originating Raw Material		Total value of all Non-Originating Raw Material included in Total Cost should be specified.	
Non-Originating Services		Total value of all Non-Originating Services included in Total Cost should be specified.	
Total (A)	-		-
Estimated Sales Revenue (B)		Consider estimated sales value the product manufactured from total cost given above.	
Domestic Value Addition % (B-A)/(B)			-

Managing Director/ Designated Partner/ Managing Partner/ Proprietor
Name
Designation

Evaluation Criteria		
Criteria	Weightage	Quote by Applicant
Please Select from Drop Down	Please Select from Drop Down	
Committed Annual Production capacity in Metric Tonnes (in multiple of whole nos. of minimum annual production capacity for each eligible product, as given in Appendix B of the Scheme Guidelines)	35	
Quoted Sale Price of Eligible Product (₹ per kg)	65	

Proposed Investment	(₹ in crore)
Investment Proposed	

Please provide break-up of proposed investment and source of funding into major heads as provided in table given below (Refer clause 3.1.6 of Annexure 1 of the scheme guidelines)	
Major heads of Proposed Investment	(₹ in crore)
Building	
Plant and Machinery (Production)	
Laboratory Equipment and Instruments	
Establishment of Research and Development (R&D) Facility	
R&D Equipment and Instruments	
Effluent Treatment Plant and its Lines	
Solid Waste Management System	
HVAC System	
Water System	
Steam	
Compressed Air	
Chilling System	
Boiler	
Power Generation and Distribution System	
Storage Tanks	
Miscellaneous	
Total	₹ -

Provide break-up of source of funding for proposed investments.			
Source of Fund			(₹)
Particulars	Promoters	Banks/FI	Others
Equity			
Debt/Loan			
Internal Accruals			
Others (Please specify Nature)			