**Annexure 7**

**Proforma for Integrity compliance– Initial Undertaking**

(To be signed by full time Director / CEO / MD of the company /Partners of the firm/Proprietor (as the case may be) duly depicting the Name & designation and submitted on official stationery of the applicant along- with the authorization to do so)

**FORMAT C**

**1.**      Whereas, the applicant namely *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* has submitted an application under Production Linked Incentive Scheme (PLI) for domestic manufacturing of critical Key Starting Materials (KSMs)/ Drug Intermediates (DIs)/Active Pharmaceutical Ingredients (APIs) to Department of Pharmaceuticals (DoP), Government of India, seeking incentives for the application pertaining to manufacturing………… (Eligible Product) at……………(location(s)).

**2.**      Now, therefore, the applicant including its officers / representatives commits and undertakes that he / she will take all measures necessary to prevent corruption. He / She commits to observe the following principles during his / her association / engagement with DoP or its agencies or its consultants engaged with the process of appraisal and verification of application for the approval of application and disbursement of incentives under PLI Scheme.

**2.1.** The PLI applicant will not directly or through any other person or firm, offer, promise or give to any of the DoP's officer(s) or consultant or agency representative (appraisal or/and PMA appointed by DoP to handle the application) involved in the process of dealing with application or to any third person any material or other benefit which he/she is not legally entitled to in order to obtain in exchange any advantage of any kind whatsoever before or during or after the process of the application for grant of approval or disbursement of incentives under PLI.

**2.2.** The PLI applicant will not commit any offence under the relevant Indian Penal Code, 1860/Prevention of Corruption Act, 1988. Further, the applicant will not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the DoP.

**2.3.** The PLI applicant shall disclose the name and address of the duly authorized Agents/Representatives who will be dealing with DoP or its agencies and the remuneration of these agents or representatives shall not include any hidden amount or component to get the work done in undue manner or causing inducement of whatsoever nature whether in cash or kind to influence the normal process or practice of work.

**2.4.** The PLI applicant will disclose any and all payments he/she has made, is committed to or intends to make to agents, brokers or any other intermediaries, other than regular employees or officials of the applicant, in connection with the grant of approval or/and disbursement of incentives.

**2.5.** The applicant will not offer any illicit gratification to obtain unfair advantage.

**2.6.** The applicant will not collude with other parties to impair transparency and fairness.

**2.7.** The applicant will not give any advantage to anyone in exchange for unprofessional behaviour.

**3.**      The applicant declares that no pervious transgressions occurred in the last 3 years with any other Company in any country conforming to the anti-corruption approach or with any other Public Sector Enterprises/Central or State Government or its any instrumentality in lndia.

**4.**      The applicant agrees that if it is found that the applicant has made any incorrect statement on this subject, the application will be closed or rejected and DoP reserve the right to initiate legal action of whatsoever nature. In case if DoP has disbursed the incentives under PLI, the amount disbursed to applicant be recoverable along with interest calculated at 3 years SBI MCLR prevailing on the date of disbursement, compounded annually, besides blacklisting of the applicant and initiation of legal action of whatsoever nature at the discretion of DoP.

**5.** The contents of the above undertaking have been gone through and after understanding the same is being executed / given on………day of …………. (month / year)

*Date Signature*

*(Name & designation with address)*

Full time Director / CEO / MD of the company/ Partner/ Proprietor of the firm