

ACCOUNT TRANSFER FORM
TO TRANSFER YOUR EXISTING
ACCOUNT TO TD AMERITRADE

Account # 569820020
Advisor Code 509820020
Case # _____

PLEASE ATTACH A COPY OF YOUR LATEST STATEMENT.

1

TD AMERITRADE ACCOUNT INFORMATION

Account Title/Registration: Bradley J Soto

Social Security Number/Tax ID: 553455529 Clearing Number: 0188

☐ Individual (Non-Qualified) ☐ Personal Trust ☐ Limited Liability Company ☐ Roth IRA ☐ Qualified Retirement Plan
☐ Joint ☐ Estate ☐ Partnership/Investment Club ☒ SEP IRA ☐ Simple IRA
☐ UTMA/UGMA ☐ Corporate ☐ Traditional IRA/Rollover IRA ☐ Coverdell ESA ☐ Beneficiary IRA
☐ Other: _____

2

INFORMATION ON THE ACCOUNT YOU ARE TRANSFERRING FROM

Account Title/Registration as shown on your statement: Bradley J Soto Account Number: 56285552

Name of Delivering Firm: ADP

Physical Address of Firm (no PO BOX): 2599 Plainfield Avenue, Evans Mills, NY, 13637 Telephone Number (required): (541) 754-1020

☐ Individual (Non-Qualified) ☐ Personal Trust ☐ Limited Liability Company ☐ Roth IRA ☐ Qualified Retirement Plan
☐ Joint ☐ Estate ☐ Partnership/Investment Club ☒ SEP IRA ☐ Simple IRA
☐ UTMA/UGMA ☐ Corporate ☐ Traditional IRA/Rollover IRA ☐ Coverdell ESA ☐ Beneficiary IRA
☐ Other: _____

Has SIMPLE IRA been funded for at least two years?
☐ Yes ☐ No

3

LIST THE ASSETS YOU WANT TO TRANSFER

A. Transfer from an ACAT eligible Brokerage Firm, Bank, Insurance/Annuity Co., Trust Co., or Transfer Agent – Check box for full or partial transfer. For partial transfers, list descriptions of assets and shares. Unless otherwise indicated, TD Ameritrade will transfer in full. Please note – The ACAT system does not allow for liquidation requests. To place trades, please contact your current custodian prior to submitting the transfer request. Most banks, insurance/annuity, and trust companies require original wet-ink signature mailed to TD Ameritrade.

If transferring in Alternative Investments, please obtain a copy of the Transfer In Only Alternative Investment Client Custody Agreement from your Advisor, the terms of which will apply to our custody of your Alternative Investments. No signature is required.

☒ Full Transfer – To transfer entire account, check box and skip to Section 4 (if applicable), then to the Signature Section.

☐ Partial Transfer – List specific security. If bonds are being transferred please supply the Bond CUSIP.

Description of Asset (Partial transfers only)	Quantity (Indicate # of shares or "ALL")

Description of Asset (Partial transfers only)	Quantity (Indicate # of shares or "ALL")

For additional securities, see attached list ☐

