TD Ameritrade Institutional

ACCOUNT TRANSFER FORM

TO TRANSFER YOUR EXISTING ACCOUNT TO TD AMERITRADE

Account # 895478015
Advisor Code 5698 20015
Case # ______

PLEASE ATTACH A COPY OF YOUR LATEST STATEMENT.

1 TD AMERITRADE ACCOUNT INFORMATION

Account Title/Registration: Austin T Numbers

Social Security Number/Tax ID: 385431694

Clearing Number: 0188

☐ Individual (Non-Qualified) ☐ Personal Trust ☐ Limited Liability Company ☐ Roth IRA ☐ Qualified Retirement Plan Employer Plan Type: ______

☐ Joint ☐ Estate ☐ Partnership/Investment Club ☐ SEP IRA ☑ Simple IRA

☐ UTMA/UGMA ☐ Corporate ☐ Traditional IRA/Rollover IRA ☐ Coverdell ESA ☐ Beneficiary IRA

☐ Other: ______

2 INFORMATION ON THE ACCOUNT YOU ARE TRANSFERRING FROM

Account Title/Registration as shown on your statement: Austin T Numbers

Account Number:

Name of Delivering Firm: ADP

Physical Address of Firm *(no PO BOX)*: 1587 Ritlor Avenue, Livonia, MC, 48150

Telephone Number *(required)*:

☐ Individual (Non-Qualified) ☐ Personal Trust ☐ Limited Liability Company ☐ Roth IRA ☐ Qualified Retirement Plan Employer Plan Type: ______

☐ Joint ☐ Estate ☐ Partnership/Investment Club ☐ SEP IRA ☑ Simple IRA

Has SIMPLE IRA been funded for at least two years? ☑ Yes ☐ No

☐ UTMA/UGMA ☐ Corporate ☐ Traditional IRA/Rollover IRA ☐ Coverdell ESA ☐ Beneficiary IRA

☐ Other: ______

3 LIST THE ASSETS YOU WANT TO TRANSFER

A. Transfer from an ACAT eligible Brokerage Firm, Bank, Insurance/Annuity Co., Trust Co., or Transfer Agent – Check box for full or partial transfer. For partial transfers, list descriptions of assets and shares. Unless otherwise indicated, TD Ameritrade will transfer in full. *Please note – The* ***ACAT*** *system does not allow for liquidation requests. To place trades, please contact your current custodian prior to submitting the transfer request. Most banks, insurance/annuity, and trust companies require original wet-ink signature mailed to TD Ameritrade.*

If transferring in Alternative Investments, please obtain a copy of the Transfer In Only Alternative Investment Client Custody Agreement from your Advisor, the terms of which will apply to our custody of your Alternative Investments. No signature is required.

☑ Full Transfer – To transfer entire account, check box and skip to Section 4 (if applicable), then to the Signature Section.

☐ Partial Transfer – List specific security. If bonds are being transferred please supply the Bond CUSIP.

Description of Asset *(Partial transfers only)*	Quantity *(Indicate # of shares or "ALL")*	Description of Asset *(Partial transfers only)*	Quantity *(Indicate # of shares or "ALL")*

For additional securities, see attached list. ☐

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