

ACCOUNT TRANSFER FORM

TO TRANSFER YOUR EXISTING
ACCOUNT TO TD AMERITRADE

Account # 569820014

Advisor Code 509820014

Case # _____

PLEASE ATTACH A COPY OF YOUR LATEST STATEMENT.

1

TD AMERITRADE ACCOUNT INFORMATION

Account Title/Registration: <u>Antonia M Anderson</u>	
Social Security Number/Tax ID: <u>404711495</u>	Clearing Number: <u>0188</u>
<input type="checkbox"/> Individual (Non-Qualified) <input type="checkbox"/> Personal Trust <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> Roth IRA <input type="checkbox"/> Qualified Retirement Plan <input type="checkbox"/> Joint <input type="checkbox"/> Estate <input type="checkbox"/> Partnership/Investment Club <input checked="" type="checkbox"/> SEP IRA <input type="checkbox"/> Simple IRA <input type="checkbox"/> UTMA/UGMA <input type="checkbox"/> Corporate <input type="checkbox"/> Traditional IRA/Rollover IRA <input type="checkbox"/> Coverdell ESA <input type="checkbox"/> Beneficiary IRA <input type="checkbox"/> Other: _____	

2

INFORMATION ON THE ACCOUNT YOU ARE TRANSFERRING FROM

Account Title/Registration as shown on your statement: <u>Antonia M Anderson</u>	
Account Number: <u>88774587</u>	
Name of Delivering Firm: <u>ADP</u>	
Physical Address of Firm (no PO BOX): <u>89 Ash Street, Louisville, Kentucky</u>	Telephone Number (required): <u>(541) 754-3014</u>
<input type="checkbox"/> Individual (Non-Qualified) <input type="checkbox"/> Personal Trust <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> Roth IRA <input type="checkbox"/> Qualified Retirement Plan <input type="checkbox"/> Joint <input type="checkbox"/> Estate <input type="checkbox"/> Partnership/Investment Club <input checked="" type="checkbox"/> SEP IRA <input type="checkbox"/> Simple IRA <input type="checkbox"/> UTMA/UGMA <input type="checkbox"/> Corporate <input type="checkbox"/> Traditional IRA/Rollover IRA <input type="checkbox"/> Coverdell ESA <input type="checkbox"/> Beneficiary IRA <input type="checkbox"/> Other: _____	

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LIST THE ASSETS YOU WANT TO TRANSFER

A. Transfer from an ACAT eligible Brokerage Firm, Bank, Insurance/Annuity Co., Trust Co., or Transfer Agent – Check box for full or partial transfer. For partial transfers, list descriptions of assets and shares. Unless otherwise indicated, TD Ameritrade will transfer in full. Please note – The ACAT system does not allow for liquidation requests. To place trades, please contact your current custodian prior to submitting the transfer request. Most banks, insurance/annuity, and trust companies require original wet-ink signature mailed to TD Ameritrade.

If transferring in Alternative Investments, please obtain a copy of the Transfer In Only Alternative Investment Client Custody Agreement from your Advisor, the terms of which will apply to our custody of your Alternative Investments. No signature is required.

☒ Full Transfer – To transfer entire account, check box and skip to Section 4 (if applicable), then to the Signature Section.

☐ Partial Transfer – List specific security. If bonds are being transferred please supply the Bond CUSIP.

Description of Asset (Partial transfers only)	Quantity (Indicate # of shares or "ALL")

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For additional securities, see attached list. ☐

