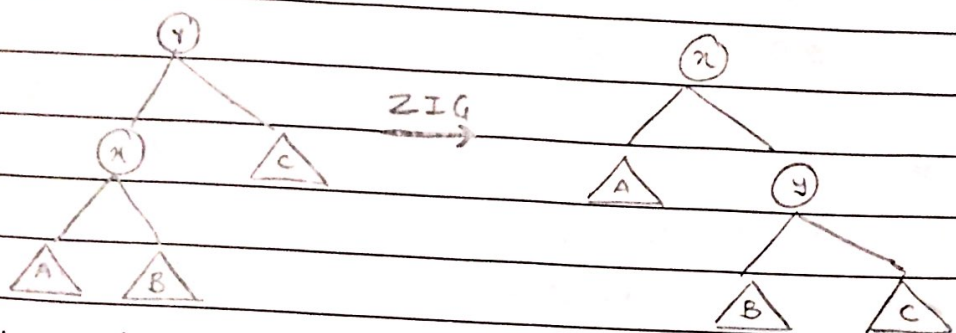


1.



- Let $r(x)$ and $r(y)$ be the ranks of x and y respectively before ZIG operation.
- After ZIG operation $\rightarrow r'(x)$ and $r'(y)$ be the new ranks of the above BST after splaying (x).
- The actual cost of rotation would be one time unit (rotation)

\therefore For this case of Access Lemma would be ;

~~Amortized cost = $O(\log \frac{SC(y)}{SC(x)})$~~

Amortized cost $\leq 1 + r'(x) - r(x)$

\therefore Amortized cost for one rotation would turn out to be ;

$\rightarrow O\left(1 + \log \frac{SC(y)}{SC(x)}\right)$

where $SC(y)$ is the weight of the subtree rooted at 'y' and $SC(x)$ is the weight of the subtree rooted at 'x'. Here, 'y' is the root node.

\therefore For 'R' number of rotations ;

(i) Amortized cost = $O\left(R + \frac{SC(y)}{SC(x)}\right)$ when x is present in the BST

(ii) Amortized cost = $O\left(R + \log \frac{SC(y)}{\min\{SC(x^-), SC(x^+)\}}\right)$... when x is not present

where $x^- \rightarrow$ predecessor of x

$x^+ \rightarrow$ successor of x

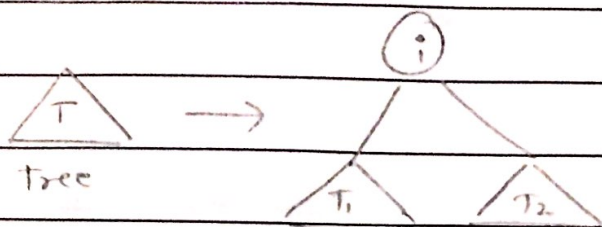
- All these operations have a logarithmic amortized time bound

- Consider ' W ' as total weights of items in the tree and ' w ' be the weight of child nodes, then for R rotations;

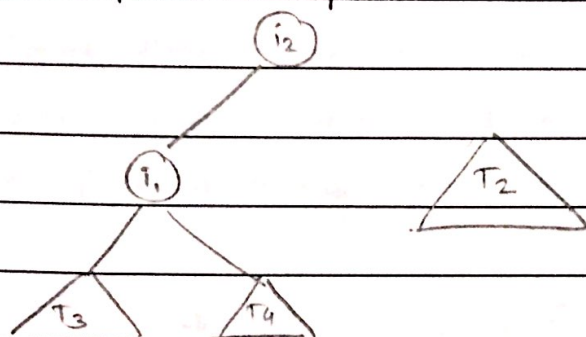
(i) Amortized cost = $O\left(R + \log \frac{W}{w(x)}\right)$ - if x is present

(ii) Amortized cost = $O\left(R + \log \frac{W}{\min\{w(x^-), w(x^+)\}}\right)$ - if x is not present

2. Consider below tree



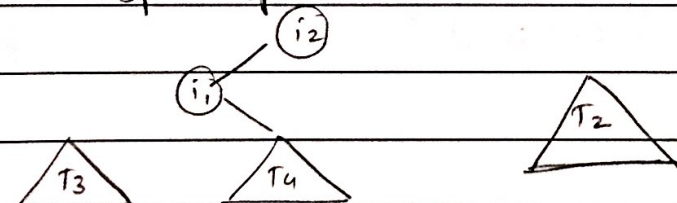
- Split $(i_1, T_1) \rightarrow$ split at node i_1 in T_1



- This split results into All elements in $T_3 <$ All elements in T_4

where node $\{i_1\}$ is root now.

\therefore After split operation tree will be ;



- Since i_1 is the root element, elements in T_4 will be greater.
i.e. T_4 will have all nodes i , such that that

$$i \geq i_1$$

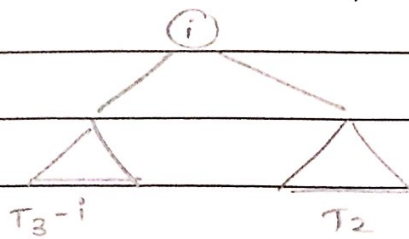
Hence the condition is satisfied

$$i_1 \leq i \leq i_2$$

$$\therefore \text{Amortized Cost} = O(1) + 3 \log \left(\frac{w(T_1)}{w(i_2)} \right)$$

- Now we join (T_3, T_2) - move largest element From T_3 to root and join T_2 as a right child of root. P.T.O.

∴ After join (T_3, T_2) operation.



∴ Amortized cost = $O(1) + 3 \log \left(\frac{W(T_3)}{w(i)} \right)$

∴ Total Amortized cost of cut (i_1, i_2, T) after split $\{i_1\}$, split $\{i_2\}$ and join $\{i\}$ is ;

$$= O(1) + 3 \log \left(\frac{W(T)}{w(i_2)} \right) + O(1) + 3 \log \left(\frac{W(T_1)}{w(i_1)} \right)$$

$$+ O(1) + 3 \log \left(\frac{W(T_3)}{w(i)} \right)$$

$$= O(1) + 3 \log \left(\frac{W(T)}{w(i_2)} \right) + 3 \log \left(\frac{W(T_1)}{w(i_1)} \right) +$$

$$3 \log \left(\frac{W(T_3)}{w(i)} \right)$$

3. (a) Let n be the height of T

- For complete binary tree with height of n , let

$$S(n) = \sum_{\text{internal nodes } x \in T} 3^{-d(x)}$$

$$\therefore S(n) = \sum_{i=1}^n 2^{(i-1)} 3^{-i} \quad \text{--- (1)}$$

So,

$$2 S(n) = \sum_{i=1}^n 2^i \cdot 3^{-i} = 2 \left(1 - \frac{2^n}{3} \right) \leq 2 \quad \text{--- (2)}$$

- From equation (2), we can get that $S(n) \leq 1$ for all n .

\therefore For arbitrary we can get;

$$\sum_{\text{internal nodes } x \in T} 3^{-d(x)} \leq 1$$

which is the pseudo-Kraft inequality.

- And, we know that $\lim_{n \rightarrow \infty} S(n) = 1$

3. (b) To prove amortized cost of accessing item x
 $= O(1 + d(x))$

where $d(x)$ - depth of node x

$$w(x) = 3^{-d(x)} = 1/3^{d(x)}$$

Given $d(\text{root}) = 1$

\therefore Size of the root node $W = \sum_{x \in T} \frac{1}{3^{d(x)}}$

- At depth n , BST has 2^{n-1} nodes.

- Similarly, a splay tree can have almost 2^{i-1} nodes at depth i where i ranges from 1 to ∞

$$\therefore \text{Size of root node } (W) = \sum_{i=1}^{\infty} \frac{2^{i-1}}{3^i} = \frac{1}{3} \sum_{i=1}^{\infty} \frac{2^{i-1}}{3^{i-1}}$$

P.T.O.

$$\therefore W = \frac{1}{3} \left(1 + \frac{2}{3} + \frac{2^2}{3^2} + \frac{2^3}{3^3} \dots \right) = \underline{\underline{1}}$$

- Now, as we know Amortized cost = $O \left(1 + \log_{w(n)} W \right)$

On substitution, we get

$$\text{Amortized cost} = O \left(1 + \log_{3^{-d(n)}} 1 \right)$$

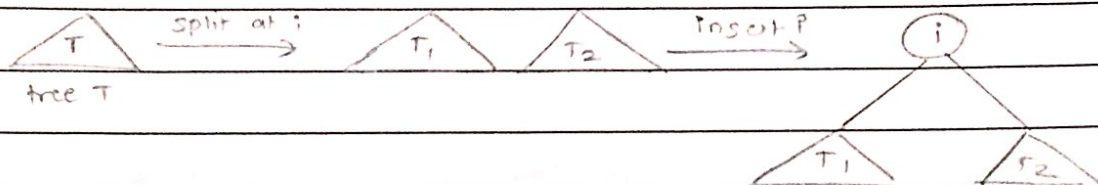
$$= O \left(1 + \log 1 + d(n) \cdot \log 3 \right)$$

$$= O \left(1 + d(n) \log 3 \right)$$

$$= O \left(1 + d(n) \right) \quad \{ \text{since } \log 3 = 0.477 \}$$

- Hence proved.

4. Consider tree T and node i that is to be inserted.



- split is required in order to insert i^{th} item / node

- split (T, i) and make a new tree whose left and right branches are trees T_1 and T_2 respectively

- A node i is added as the root / item to trees T_1 & T_2 (as depicted in the above diag.)

- The Amortized cost of insert operation with reference to the table is $= 3 \log \left(\frac{W - w(i)}{\min \{w(i^-), w(i^+)\}} \right) + \log \left(\frac{W}{w(i)} \right) + O(1)$ — (1)

where W = weight of whole tree T

w = weight of child node / subtree rooted at i^{th}

- Derivation to derive equation (1);

• The normal split of tree at i operation takes

$$3 \log \left(\frac{W - w(i)}{\min \{w(i^-), w(i^+)\}} \right) \quad \text{--- (2)}$$

- $w(i^-), w(i^+)$ are splayed to the root since initially i item was not present. Therefore total weight would be $[W - w(i)]$

- Linking nodes T_1 and T_2 requires $O(1)$ work — ③

- Linking T_1 and T_2 increases the potential, so potential of i also changes as it turns out to be the root node.

$$\text{Total weight} = W(T_1) + W(T_2)$$

$$\therefore \Delta \phi = \log W - \log w(i)$$

$$= \log \frac{W}{w(i)} \quad \text{--- ④}$$

- Combining equations ②, ③ and ④ gives ,

$$\text{Amortized cost} = 3 \log \left(\frac{W - w(i)}{\min \{w(i^-), w(i^+)\}} \right) + \log \left(\frac{W}{w(i)} \right) + O(1)$$