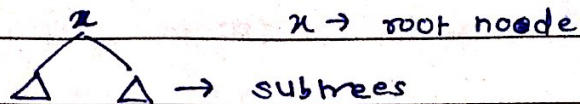


1. Suppose  $x$  is a tree having two subtrees as follows;



- We need to rebuild the subtree if a new node is added / deleted and tree turns out to be imbalanced
- For rebuilding of subtree, we can use divide and conquer algorithm.
- Divide and conquer algorithm puts the middle element from the list of nodes at the root and constructs left and right subtrees recursively
- This results in height difference of tree at most 1 between two existing subtrees.
- Imbalance of subtrees can be measured by ;
$$I(x) = \max \{ 0, | \text{size}(\text{left}(x)) - \text{size}(\text{right}(x)) | - 1 \}$$
- As the imbalance of the rebuilt subtree turns out to be zero and also the remainder of entire tree has 0 imbalance before rebuilding, the entire new tree has imbalance of 0.

$$2. \quad I(x) = \{ | \text{size}(\text{left}(x)) - \text{size}(\text{right}(x)) | \}$$

# For deletion :

① If no rebuilding is needed :

$$\text{Actual cost} = O(\log \text{size}(T)) = O(\text{height}(T))$$

$$\Delta \phi = O(1) \rightarrow \text{as no change in imbalance}$$

$$\therefore \text{Amortized cost} = \Theta(\log \text{size}(T))$$

② If rebuilding is needed :

$$\text{Actual cost} = O(\log n) + \Theta(n)$$

Imbalance after rebuilding could be at most  $\Theta(n)$  instead of zero as original

$$\Delta \phi \leq - \frac{\hat{c} \text{size}(T)}{2} + \Theta(n)$$

$\therefore$  After scaling  $\hat{c}$  ;

$$\text{Amortized cost of deletion} = O(\log \text{size}(T))$$

$$= O(\log n)$$

# For -Insertion :

① If No rebuilding is needed :

Imbalance change of path from root  $\rightarrow$  new node would atmost  $\text{height}(T) \leq \beta \log n$

$$\Delta \phi = O(\beta \log n)$$

$$\therefore \text{Amortized cost} = \text{Actual cost} + \Delta \phi$$

$$= O(\log n)$$

$$= O(\log \text{size}(T))$$

$$= O(\log n)$$

P.T.O.



2. ② If Rebuilding is required:

$$\begin{aligned}\text{Actual cost} &= O(\log n) + \Theta(n) \\ &= \Theta(n) = \Theta(\text{size}(T))\end{aligned}$$

- Imbalance needs to be calculated before insertion

- Suppose insertion takes place in left subtree of  $x$  without loss of generality where  $x$  is the root

$$\therefore \text{height}(\text{left}(x)) \geq \text{height}(\text{right}(x))$$

$$\text{i.e. height}(x) = 1 + \text{height}(\text{left}(x))$$

- As tree was balanced before insertion

$$\therefore \text{height}(\text{left}(x)) \leq \beta \log \text{size}(\text{left}(x))$$

- After insertion, the tree is unbalanced;

$$\therefore \beta \log \text{size}(x) \leq \text{height}(x) \quad \text{--- ①}$$

- As  $x$  is lowest point of imbalance, we have

$$\text{height}(x) = \text{height}(\text{left}(x)) + 1 \leq \beta \log \text{size}(\text{left}(x)) + 1$$

--- ②

Exponentiating ① & ② we get,

$$2^{\beta \log \text{size}(x)} \leq 2^{\beta \log \text{size}(\text{left}(x)) + 1}$$

$\therefore$  After insertion

$$\text{size}(x) < 2^{1/\beta} \text{size}(\text{left}(x))$$

P.T.O.

- Before Insertion

$$\text{size}(n) + 1 < 2^{1/\beta} (\text{size}(\text{left}(n)) + 1)$$

$$\text{size}(n) < 2^{1/\beta} \text{size}(\text{left}(n)) + (2^{1/\beta} - 1)$$

- Before

We know,  $\text{size}(n) = \text{size}(\text{left}(n)) + \text{size}(\text{right}(n)) + 1$

$$\text{size}(\text{right}(n)) = \text{size}(\text{left}(n)) - \text{size}(\text{left}(n)) - 1$$

$$\text{size}(\text{right}(n)) < (2^{1/\beta} - 1) \text{size}(\text{left}(n)) + 2^{1/\beta} - 2$$

$$\text{size}(\text{right}(n)) \leq \text{size}(\text{left}(n)) \left[ \begin{array}{l} \text{As } 2^{1/\beta} - 2 \\ \text{is negative} \end{array} \right]$$

# Before insertion,

$$\therefore \text{Imbalance } I(n) \geq \text{size}(\text{left}(n)) - \text{size}(\text{right}(n))$$

$$I(n) \geq \frac{\text{size}(n)}{2^{1/\beta}} - \left[ \left( 1 - \frac{1}{2^{1/\beta}} \right) \text{size}(n) - 1 \right]$$

$$I(n) \geq (2^{-1/\beta} - 1) \text{size}(n) + 1$$

$$I(n) > (2^{1-1/\beta} - 1) \text{size}(n)$$

# After insertion

$$I(n) = O(\text{size}(n))$$

$$\Delta \Phi = \Phi_{\text{final}} - \Phi_{\text{before}}$$

$$= O(\text{size}(n)) - \left[ 2^{1-1/\beta} - 1 \right] \text{size}(n)$$

$$2. \textcircled{2} \quad \Delta \phi = O(\text{size}(x)) = O(n)$$

$$\therefore \text{Amortized Cost} = \text{Actual cost} + \Delta \phi$$

$$\text{Amortized Cost} = O(n)$$



4. According to Professor Pinocchio ;

- height of Fibonacci heap with  $n$  number of nodes is  $O(\log n)$
- To prove that professor's claim is wrong, we need to create an algorithm of Fibonacci heap which has only one tree with  $(n-1)$  nodes with empty Fibonacci heap (FH). Later one node is being inserted into the heap
- Create Fibonacci Heap of height 1 with the root key as  $R$ . Add elements as,  
( $R-1$ ) - value less than current root's value  
( $R+1$ ) - value greater than current root's value  
( $R-2$ ) - value less twice less than current root's value which is to be deleted  
( $R-2$ ) - remove node with key  $R-2$

# Pseudocode :

Assume number of nodes in the tree is greater than 2

Linear-heap (FH,  $R, n$ ) // empty FH

Linear-heap (FH,  $R+1, n-1$ )

Insert (FH,  $\min(FH) + 1$ )

Insert (FH,  $\min(FH) - 1$ )

Insert (FH,  $\min(FH) - 2$ )

DeleteMin (FH) // delete the node with min key

$A = \min(FH)$ . second child

DecreaseKey (A,  $\min(FH) - 2$ ) // decrease node's value by 2

DeleteMin (FH)

return

④ # Correctness :

- Base Case :

Hypothesis is correct for  $n=1$  as Fibonacci heap contains 1 tree with a linear chain of  $n$  nodes

# Inductive Process :

- Assume that the above statement is true for  $n=k$

- For  $n=k+1$ , the algorithm first creates a linear chain of ' $k$ ' nodes (inductive hypothesis) and then it adds 2 new elements say  $x$  and  $y$

$x$  - key which is less than minimum key

$y$  - key which is ~~to~~ greater than minimum key

- Finally it adds an element  $R$  whose value is smaller than minimum key value

- When  $R$  is removed, then there remains the chain of  $k$  nodes containing  $x$  and  $y$ .

- As degree of  $x$  and  $y$  turns to zero, they are being collaborated. Now  $x$  becomes the root with the degree 1

- Thus, the chain of height 2 and chain of height  $k$  are consolidated.

- By deleting  $y$ , we get linear chain with  $n$  nodes.

- Hence, Fibonacci heap containing one tree with linear chain of  $n$  nodes can be created from a sequence of Fibonacci heap operations.



6. (a) FIB-HEAP-CHANGE-KEY ( $H, x, k$ )

- this operation changes the key of node  $x$  to value  $k$

1. case 1 :  $k \leq x.key$

- When value of  $k$  is less than value of  $x.key$  then in that case we don't need to change anything and ~~rebuilding~~ the subtree
- We can just perform DECREASE-KEY operation
- Therefore, we just need to call FIB-HEAP-DECREASE-KEY ( $H, x, k$ )
- The amortized cost  $\hat{c} = O(1)$

P.T.O.



2. case 2 :  $k = x.key$

→ No need to do any operation when values are equal

∴ Amortized cost  $\hat{c} = c \rightarrow O(1)$

3. case 3 :  $k > x.key$

• When value of  $k$  is greater than  $x.key$  value then we need to update the value of  $x.key$  to value  $k$  First

• After updating value of  $x.key$ , we need to put down the value of  $x.key$  (original) into the subtree until minheap property is achieved

• Basically, we first need to delete the node  $x$  and insert new node with the value equivalent to ' $k$ '

∴ Amortized cost =  $O(\log n) + O(1)$   
 $= O(\log n)$

→ FIB-HEAP-CHANGE-KEY ( $H, x, k$ )

If  $k \leq x.key$  then

FIB-HEAP-DECREASE-KEY ( $H, x, k$ )

Else

FIB-HEAP-DELETE-KEY ( $H, x$ )

FIB-HEAP-INSERT-KEY ( $n, k$ ) //  $n$  = new node

6. (b) FIB-HEAP-PRUNE ( $H, r$ )

- We can delete nodes from leaves, so no rearrangements are necessary, each single node deletion are  $O(1)$

- Ammortized Analysis :

$$\text{let } (D_i) = t(H) + m(H)$$

$$S = \min(r, n[H]) \quad // \text{ number of nodes}$$

so,

deleted

$$(D_i) - (D_{i-1}) = -s$$

$$\therefore \text{ Ammortized cost } = c_i + (D_i) - (D_{i-1})$$

$$= s * O(1) - s$$

$$= \underline{\underline{O(s)}}$$