

$$\textcircled{1} \quad \text{tower}(n) = \begin{cases} 2^{\text{tower}(n-1)} & \text{if } n > 0 \\ 1 & \text{if } n = 0 \end{cases} \quad \text{--- } \textcircled{1}$$

For integers $k \geq 0$ and $j \geq 1$ we define function $A_k(j)$ as:
 ACKERMANN'S FUNCTION :

$$A_k(j) = \begin{cases} j+1 & \text{if } k=0 \\ A_{k-1}^{(j+1)}(j) & \text{if } k \geq 1 \end{cases} \quad \text{--- } \textcircled{2}$$

Suppose $A_3(j) > \text{tower}(j)$; Then by induction we need to prove $A_3(j+1) > \text{tower}(j+1)$. Consider $j=1$

$$A_3(j+1) = A_2^{j+2}(j+1) \quad \text{\{from eqn } \textcircled{2} \text{\}}$$

$$\text{Considering } F^i(x) = \underbrace{F(F(F(\dots F(x))))}_{i \text{ times}}$$

$$= A_2(A_2^{j+1}(j+1))$$

$$> A_2(A_2^{j+1}(j))$$

$$A_2(A_2^{j+1}(j)) = A_2(A_3(j))$$

$$\therefore A_3(j+1) > A_2(A_3(j))$$

\therefore from eqⁿ ① and ②

$$A_3(j+1) > A_2(\text{tower}(j)) \quad \text{--- ③}$$

Based on ACKERMANN'S FUNCTION ;

$$A_2(j) = 2^{j+1}(j+1) - 1$$

$$A_2(j) \leq 2^j(j) - 1 \quad \text{for } (j \geq 1) \quad \text{--- ④}$$

Substituting above in eqⁿ ③ we get ;

$$A_3(j+1) > 2^{\text{tower}(j)} \quad \left\{ \begin{array}{l} \text{Considering } j = \text{tower}(j) \text{ in eq}^n \\ \text{④} \end{array} \right\}$$

\therefore from eqⁿ ① ;

$$A_3(j+1) > \text{tower}(j+1)$$

$$\therefore \text{when } j=1 \Rightarrow A_3(j) > \text{tower}(j)$$

By above statement we can say that our assumption that $A_3(j) > \text{tower}(j)$ is correct. Hence proved.

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② (a) $\phi = \sum \text{nodes } x \text{ depth}(x)$

① Make-Set :

Consider single new node x . So Make set (x) operation will create node x with depth and rank as zero (0)

\therefore Potential Change $\phi_{\text{final}} = 0$

As initially they didn't exist potential change of x

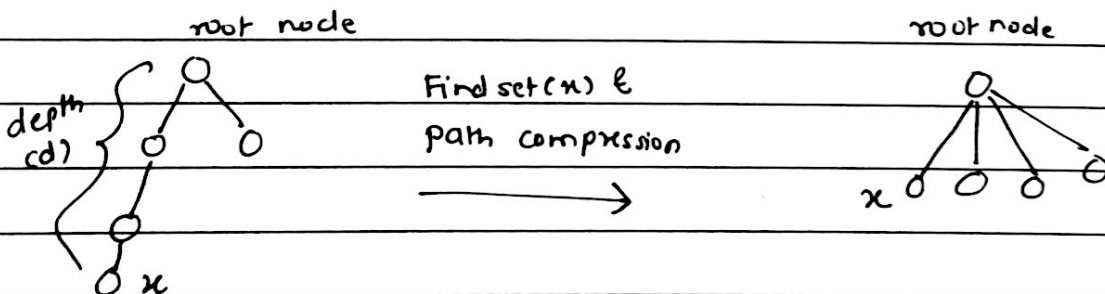
$\therefore \phi_{\text{initial}} = 0$

\therefore Amortized Cost = Actual cost + $\phi_{\text{final}} - \phi_{\text{initial}}$
 $= O(1) + 0$

\therefore Amortized Cost = $O(1)$

② Find-Set :

Find-set (x) operation will return the root of the set with path compression making ~~the~~ the in path node pointing to root directly



As $d = \text{depth of the node}$

\therefore Actual cost = $O(d)$

The depth of all in path nodes will be decreased and set to 1 as all are directed to root node.

② $\Delta\phi = -O(n) O(d) \rightarrow O(n)$ elements will have change in depth

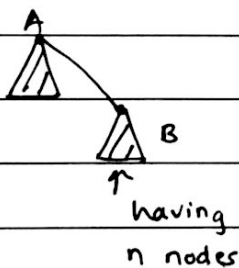
$$\therefore \text{Amortized Cost} = O(d) - O(n) O(d)$$

(iii) Union

For union operation we just need to change the pointers. We need to do Link operation. $\therefore \text{Union}(x, y) = \text{Link}(x, y)$. Considering trees $A, B \rightarrow \text{Link}(A, B) \cong \text{Union}(A, B)$

$\therefore \text{Actual cost} = O(1) \leftarrow \text{just change of pointers}$

- Consider two trees A and B . Suppose we do Link operation on these trees. Then without the loss of generality, A turns out parent for B if we connect tree B to A .



There will be no change in depth of A . As B is the child of A therefore depth of nodes in B will be increased by 1. Therefore for n nodes it will take $O(n)$

$$\therefore \text{Amortized cost} = \text{Actual cost} + \Delta\phi$$

$$= O(1) + O(n) \leftarrow n \text{ nodes in } x \text{ trees.}$$

$$\therefore \text{Amortized cost} = O(n)$$

② (b) $\phi = \sum \text{node } x \text{ height}(x)$

(i) Make set

Consider single new node x . So Make set(x) operation will create node x with height and rank of zero (0).

$$\therefore \phi_{\text{final}} = 0$$

As x didn't exist before

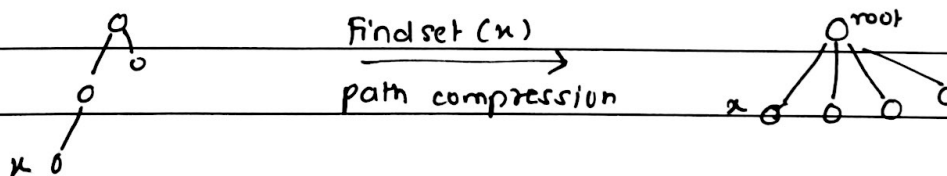
$$\therefore \phi_{\text{initial}} = 0$$

$$\therefore \text{Amortized Cost} = \text{Actual Cost} + \Delta \phi$$

$$\therefore \text{Amortized cost} = 0(1) + 0 = \underline{\underline{0(1)}}$$

(ii) find-set

Find-set(x) operation will return the root of the set with path compression making the in path node pointing to root directly



$h = \text{height of the node}$

$$\therefore \text{Actual cost} = O(h)$$

Findset(x) operation will decrease the height of subtree

$$\textcircled{2} \therefore \Delta \Phi = -O(\log n)$$

$$\begin{aligned} \therefore \text{Amortized cost} &= O(h) - O(\log n) \\ &= O(\log n) \end{aligned}$$

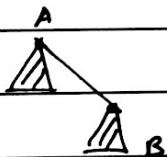
(iii) Union

For union operation we just need to change the pointers.

We need to do Link operation. $\therefore \text{Union}(A, B) = \text{Link}(A, B)$

$\therefore \text{Actual cost} = O(1) \leftarrow \text{just change of pointers}$

- Consider two trees A and B. Suppose we do Link operation on these trees. Then without the loss of generality, A turns out to be parent of B if we connect tree B to A.



\rightarrow considering n nodes with height (h)
the potential difference will be $O(h)$
as height of new root will increase

$$\therefore \text{Amortized cost} = \text{Actual cost} + \Delta \Phi$$

$$\therefore \text{Amortized cost} = O(1) + O(h)$$

③ Ackermann's Function is defined as $A(i, j)$ for $i, j \geq 1$

$$A(1, j) = 2^j \quad \text{for } j \geq 1,$$

$$A(i, 1) = A(i-1, 2) \quad \text{for } i \geq 2,$$

$$A(i, j) = A(i-1, A(i, j-1)) \quad \text{for } i, j \geq 2$$

$$\text{If } m \geq n \rightarrow 1 \leq \alpha(m+n, n) \leq \alpha(m, n)$$

$$\therefore \text{Let } \alpha(m, n) = \min \{ i \geq 1 \mid A(i, \lfloor m/n \rfloor) > \log n \}$$

$\alpha \rightarrow$ Functional inverse of Ackermann's function

$m \rightarrow$ Number of find operations

$n \rightarrow$ Number of make-set operations

- Ackermann's function has explosive growth. So its inverse is added $\rightarrow \alpha$ to slower the growth

$$\text{If } m \alpha(n, n) \leq n \rightarrow \Omega(n) \text{ bound}$$

~~If~~

Halving :

- Except the last and next to last node, we make every other node along the find path point to the node two past itself.

Function Findnode(x)

Define y (local variable)

Assign value of x to y $\{ y = x \}$

do

$p(p(y)) \neq p(y)$ then

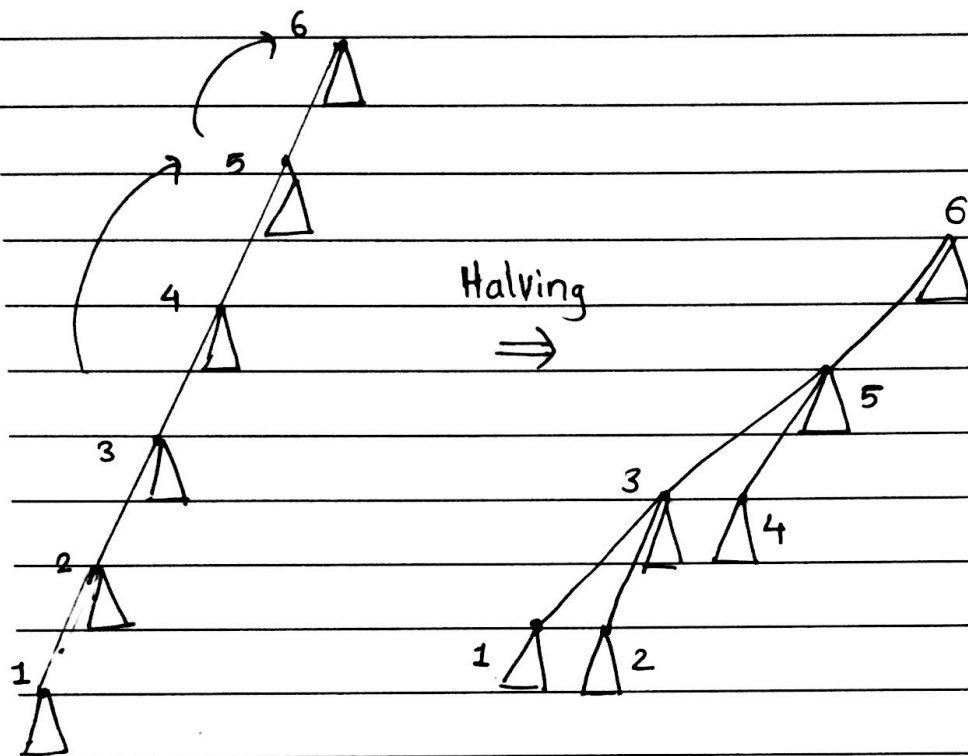
\leftarrow check if node doesn't have grandparent

$y = p(y) = p(p(y)) ;$

return $p(y)$

End Findnode ;

③ Example :



For cases :

① $m \geq n \rightarrow$ Running time will be $\Theta(m \log_{(1+m/n)} n)$

② $m < n \rightarrow O(n \log m)$ upper bound

$\Omega(n + m \log n)$ lower bound

③ A sequence of make-set, link, find operations with rank and path-halving path compression runs in $O(n + m \alpha(m+n, n))$ time

References :-

Tarjan, Robert E. and Jan Van Leeuwen Research Paper on "Worst case analysis of set union algorithms". Journal of the ACM (JACM)