Chapter 3: SQL

# Chapter 3: SQL

- Data Definition
- Basic Query Structure
- Set Operations
- Aggregate Functions
- Null Values
- Nested Subqueries
- Complex Queries
- Views
- Modification of the Database

## **History**

- □ IBM Sequel language developed as part of System R project at the IBM San Jose Research Laboratory
- Renamed Structured Query Language (SQL)
- ANSI and ISO standard SQL:
  - SQL-86
  - SQL-89
  - SQL-92
  - SQL:1999 (language name became Y2K compliant!)
  - SQL:2003
  - SQL:2006
  - SQL:2008
- Commercial systems offer most, if not all, SQL-92 features, plus varying feature sets from later standards and special proprietary features.
  - Not all examples here may work on your particular system.

## **Data Definition Language**

- The set of relations in a database must be specified to the system by means of a data-definition language (DDL)
- Allows the specification of not only a set of relations but also information about each relation, including:
  - The schema for each relation.
  - The domain of values associated with each attribute.
  - Integrity constraints
  - The set of indices to be maintained for each relations.
  - Security and authorization information for each relation.
  - The physical storage structure of each relation on disk.

# Domain Types in SQL

- $\Box$  char(n). Fixed length character string, with user-specified length n.
- **varchar(n).** Variable length character strings, with user-specified maximum length n.
- ☐ int. Integer (a finite subset of the integers that is machine-dependent).
- smallint. Small integer (a machine-dependent subset of the integer domain type).
- **numeric(p,d).** Fixed point number, with user-specified precision of p digits, with d digits to the right of decimal point.
  - numeric(3,1) allows 44.5 to be stored exactly, but neither 444.5 or 0.32 can be stored exactly.
- □ real, double precision. Floating point and double-precision floating point numbers, with machine-dependent precision.
- □ float(n). Floating point number, with user-specified precision of at least *n* digits.
- More are covered in Chapter 4.

#### **Create Table Construct**

An SQL relation is defined using the create table command:

```
create table r (A_1 D_1, A_2 D_2, ..., A_n D_n, (integrity-constraint<sub>1</sub>), ..., (integrity-constraint<sub>k</sub>))
```

- r is the name of the relation
- each  $A_i$  is an attribute name in the schema of relation r
- $D_i$  is the data type of values in the domain of attribute  $A_i$
- Example:

```
create table branch
(branch_name char(15) not null,
branch_city char(30),
assets integer)
```

## Integrity Constraints in Create Table

- not null
- $\Box$  primary key  $(A_1, ..., A_n)$

Example: Declare branch\_name as the primary key for branch.

```
create table branch
(branch_name char(15),
branch_city char(30),
assets integer,
primary key (branch name))
```

primary key declaration on an attribute automatically ensures not null

#### **Drop and Alter Table Constructs**

drop table command: deletes all information about the dropped relation from the database.

#### drop table r

■ alter table command: add attributes to an existing relation:

#### alter table r add A D

where A is the name of the attribute to be added to relation r and D is the domain of A.

- All tuples in the relation are assigned null as the value for the new attribute.
- □ The alter table command can also be used to drop attributes of a relation:

#### alter table r drop A

where A is the name of an attribute of relation r

Dropping of attributes not supported by many databases

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## **Basic Query Structure**

- SQL is based on set and relational operations with certain modifications and enhancements
- A typical SQL query has the form:

select 
$$A_1, A_2, ..., A_n$$
  
from  $r_1, r_2, ..., r_m$   
where  $P$ 

- A<sub>i</sub> represents an attribute
- $r_i$  represents a relation
- P is a predicate.
- This query is equivalent to the relational algebra expression.

$$\prod_{A_1,A_2,...,A_n} (\sigma_P(r_1 \times r_2 \times ... \times r_m))$$

The result of an SQL query is a relation.

#### The select Clause

- ☐ The **select** clause list the attributes desired in the result of a query
  - corresponds to the projection operation of the relational algebra
- Example: find the names of all branches in the *loan* relation:

**select** branch\_name **from** loan

In the relational algebra, the query would be:

 $\prod_{branch\_name} (loan)$ 

- NOTE: SQL names are case insensitive (i.e., you may use upper- or lower-case letters.)
  - E.g. Branch\_Name ≡ BRANCH\_NAME ≡ branch\_name
  - Some people use upper case wherever we use bold font.

# SQL Example 1

#### **CUST**

customer-id	customer-name	customer-street	customer-city
019-28-3746	Smith	North	Rye
182-73-6091	Turner	Putnam	Stamford
192-83-7465	Johnson	Alma	Palo Alto
244-66-8800	Curry	North	Rye
321-12-3123	Jones	Main	Harrison
335-57-7991	Adams	Spring	Pittsfield
336-66-9999	Lindsay	Park	Pittsfield
677-89-9011	Hayes	Main	Harrison
963-96-3963	Williams	Nassau	Princeton

- SELECT customer-id FROM CUST
- ☐ The result on the right will be shown on screen.

#### customer-id

019-28-3746
100 70 (001
182-73-6091
100 00 7465
192-83-7465
244 66 9900
244-66-8800
201 10 2102
321-12-3123
225 57 7001
335-57-7991
226 66 0000
336-66-9999
(77.90.0011
677-89-9011
062 06 2062
963-96-3963
I

## The select Clause (Cont.)

- □ SQL allows duplicates in relations as well as in query results.
- □ To force the elimination of duplicates, insert the keyword distinct after select.
- Find the names of all branches in the *loan* relations, and remove duplicates

**select distinct** branch\_name **from** loan

The keyword all specifies that duplicates not be removed.

select all branch\_name from loan

## SQL example 2

customer-id	customer-name	customer-street	customer-city
019-28-3746	Smith	North	Rye
182-73-6091	Turner	Putnam	Stamford
192-83-7465	Johnson	Alma	Palo Alto
244-66-8800	Curry	North	Rye
321-12-3123	Jones	Main	Harrison
335-57-7991	Adams	Spring	Pittsfield
336-66-9999	Lindsay	Park	Pittsfield
677-89-9011	Hayes	Main	Harrison
963-96-3963	Williams	Nassau	Princeton

Rye Stamford Palo Alto Rye Harrison Pittsfield

Pittsfield

Harrison

Princeton

customer-city

- □ SELECT customer-city FROM CUST
- □ SELECT DISTINCT customer-city FROM CUST

#### customer-city

Rye Stamford Palo Alto

Rye

Harrison

Pittsfield

- Pittsfield -

- Harrison Princeton

#### The select Clause (Cont.)

■ An asterisk in the select clause denotes "all attributes"

select \*
from loan

- □ The select clause can contain arithmetic expressions involving the operation, +, −, \*, and /, and operating on constants or attributes of tuples.
- The query:

**select** *loan\_number, branch\_name, amount* \*100 **from** *loan* 

would return a relation that is the same as the *loan* relation, except that the value of the attribute *amount* is multiplied by 100.

#### The where Clause

- ☐ The where clause specifies conditions that the result must satisfy
  - Corresponds to the selection predicate of the relational algebra.
- ☐ To find all loan number for loans made at the Perryridge branch with loan amounts greater than \$1200.

```
select loan_number
from loan
where branch_name = 'Perryridge' and amount > 1200
```

- Comparison results can be combined using the logical connectives and, or, and not.
- Comparisons can be applied to results of arithmetic expressions.

#### The where Clause (Cont.)

- SQL includes a between comparison operator
- Example: Find the loan number of those loans with loan amounts between \$90,000 and \$100,000 (that is,  $\geq$  \$90,000 and  $\leq$  \$100,000)

select loan\_number
from loan
where amount between 90000 and 100000

#### The from Clause

- ☐ The **from** clause lists the relations involved in the query
  - Corresponds to the Cartesian product operation of the relational algebra.
- ☐ Find the Cartesian product *borrower* × *loan*

select \*
from borrower, loan

#### SQL example 3

CUST

cust-id	name			
1	John			
2	Smith			
3	Joan			

#### ACC

acc-id	cust-id	balance
A1	1	20k
A2	1	5k
A3	2	35k
A4	3	100k

- Write an SQL query to display, for each account, its id and the name of its owner.
- Obviously, we cannot answer this query using only one table.
- We need to do filtering and projection on the cartesian product.
- Answer:
- SELECT ACC.acc-id, CUST.name FROM CUST, ACC WHERE CUST.cust-id = ACC.cust-id
- Let us understand the query step-by-step.

#### CUST

cust-id	name
1	John
2	Smith
3	Joan

#### ACC

1100					
acc-id	cust-id	balance			
A1	1	20k			
A2	1	5k			
A3	2	35k			
Ā4	3	100k			

□ SELECT ACC.acc-id, CUST.name FROM CUST, ACC WHERE

CUST.cust-id = ACC.cust-id

- First, compute the cartesian product.

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CUST.	CUST.	ACC.	ACC.	ACC.
cust-id	name	acc-id	cust-id	balance
1	John	A1	1	20k
1	John	A2	1	5k
1	John	A3	2	35k
1	John	A4	3	100k
2	Smith	A1	1	20k
2	Smith	A2	1	5k
2	Smith	A3	2	35k
2	Smith	A4	3	100k
3	Joan	A1	1	20k
3	Joan	A2	1	5k
3	Joan	A3	2	35k
3	Joan	A4	3	100k

SELECT ACC.acc-id, CUST.name
FROM CUST, ACC
WHERE

CUST.cust-id = ACC.cust-id

Then, on the cartesian product, perform filtering.

CUST.	CUST.	ACC.	ACC.	ACC.
cust-id	name	acc-id	cust-id	balance
1	John	A1	1	20k
1	John	A2	1	5k
1	John	A3	2	35k
1	John	A4	3	100k
2	Smith	A1	1	20k
2	Smith	A2	1	5k
2	Smith	A3	2	35k
2	Smith	A4	3	100k
3	Joan	A1	1	20k
3	Joan	A2	1	5k
3	Joan	A3	2	35k
3	Joan	A4	3	100k



CUST.	CUST.	ACC.	ACC.	ACC.
cust-id	name	acc-id	cust-id	balance
1	John	A1	1	20k
1	John	A2	1	5k
2	Smith	A3	2	35k
3	Joan	A4	3	100k

CUST.	CUST.	ACC.	ACC.	ACC.
	C051.			
cust-id	name	acc-id	cust-id	balance
1	John	A1	1	20k
1	John	A2	1	5k
2	Smith	A3	2	35k
3	Joan	Ā4	3	100k

- SELECT ACC.acc-id, CUST.name FROM CUST, ACC WHERE CUST.cust-id = ACC.cust-id
- Finally, apply projection.
- In general, if a query involves two (or more) relations, we call it a join.



ACC.	CUST.
acc-id	name
A1	John
A2	John
A3	Smith
A4	Joan

CUST.	CUST.	ACC.	ACC.	ACC.
cust-id	name	acc-id	cust-id	balance
1	John	A1	1	20k
1	John	A2	1	5k
2	Smith	A3	2	35k
3	Joan	A4	3	100k

■ SELECT ACC.acc-id, CUST.name FROM CUST, ACC WHERE CUST.cust-id = ACC.cust-id

 ACC.
 CUST.

 acc-id
 name

 A1
 John

 A2
 John

 A3
 Smith

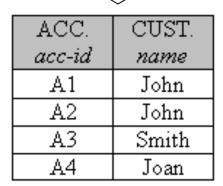
Joan

Α4

- The above query can be simplified as:
- □ SELECT *acc-id*, *name*FROM CUST, ACC
  WHERE CUST.*cust-id* = ACC.*cust-id*
- No ambiguity can arise because CUST doesn't have 'acc-id' and ACC doesn't have 'name'.

CUST.	CUST.	ACC.	ACC.	ACC.
cust-id	name	acc-id	cust-id	balance
1	John	A1	1	20k
1	John	A2	1	5k
2	Smith	A3	2	35k
3	Joan	A4	3	100k

- □ SELECT acc-id, name FROM CUST, ACC WHERE CUST.cust-id = ACC.cust-id
- □ The above query can be further written as: SELECT acc-id, name FROM CUST T1, ACC T2 WHERE T1.cust-id = T2.cust-id



T1 and T2 are used to rename the input tables.

## The Rename Operation

- ☐ The SQL allows renaming relations and attributes using the **as** clause:

  old-name **as** new-name
- ☐ Find the name, loan number and loan amount of all customers; rename the column name *loan\_number* as *loan\_id*.

**select** *customer\_name*, *borrower.loan\_number* **as** *loan\_id*, *amount* **from** *borrower*, *loan* **where** *borrower.loan\_number* = *loan.loan\_number* 

## SQL example 4

customer-id	customer-name	customer-street	customer-city
019-28-3746	Smith	North	Rye
182-73-6091	Turner	Putnam	Stamford
192-83-7465	Johnson	Alma	Palo Alto
244-66-8800	Curry	North	Rye
321-12-3123	Jones	Main	Harrison
335-57-7991	Adams	Spring	Pittsfield
336-66-9999	Lindsay	Park	Pittsfield
677-89-9011	Hayes	Main	Harrison
963-96-3963	Williams	Nassau	Princeton

cid

cid 019-28-3746 182-73-6091 192-83-7465 244-66-8800 321-12-3123 335-57-7991 336-66-9999 677-89-9011 963-96-3963 ■ SELECT customer-id AS cid FROM CUST

☐ Use **AS** in SELECT clause to rename output columns

#### **Tuple Variables**

- Tuple variables are defined in the from clause via the use of the as clause.
- ☐ Find the customer names and their loan numbers for all customers having a loan at some branch.

```
select customer_name, T.loan_number, S.amount

from borrower as T, loan as S

where T.loan_number = S.loan_number
```

Find the names of all branches that have greater assets than some branch located in Brooklyn.

```
select distinct T.branch_name
from branch as T, branch as S
where T.assets > S.assets and S.branch_city = 'Brooklyn'
```

Keyword as is optional and may be omitted

borrower as  $T \equiv borrower T$ 

## String Operations

- SQL includes a string-matching operator for comparisons on character strings. The operator "like" uses patterns that are described using two special characters:
  - percent (%): The % character matches any substring.
  - underscore (\_) The \_ character matches any character.
- ☐ Find the names of all customers whose street includes the substring "Main".

select customer\_name
from customer
where customer\_street like '%Main%'

Match all strings beginning with "ab%cd"

like 'ab\%cd%' escape '\'

- SQL supports a variety of string operations such as
  - concatenation (using "II")
  - converting from upper to lower case (and vice versa)
  - finding string length, extracting substrings, etc.

## Ordering the Display of Tuples

 List in alphabetic order the names of all customers having a loan in Perryridge branch

- We may specify desc for descending order or asc for ascending order, for each attribute; ascending order is the default.
  - Example: order by customer\_name desc

## SQL example 4

- The previous queries do not have any ordering requirements.
- We can request ordered results using 'ORDER BY'.
- □ SELECT \*
  FROM ACC
  WHERE balance > 10000
  ORDER BY balance

SELECT *
FROM ACC
WHERE balance > 10000
ORDER BY balance DESC

#### ACC

acc-id	cust-id	balance
A1	1	20k
A2	1	5k
A3	2	35k
A4	3	100k

acc-id	cust-id	balance
A1	1	20k
A3	2	35k
A4	3	100k

acc-id	cust-id	balance
A4	3	100k
A3	2	35k
A1	1	20k

#### **Duplicates**

- In relations with duplicates, SQL can define how many copies of tuples appear in the result.
- Multiset: set with repetitions
- Multiset versions of some of the relational algebra operators
  - Given multiset relations  $r_1$  and  $r_2$ :
  - 1.  $\sigma_{\theta}(r_1)$ : If there are  $c_1$  copies of tuple  $t_1$  in  $r_1$ , and  $t_1$  satisfies selections  $\sigma_{\theta}$ , then there are  $c_1$  copies of  $t_1$  in  $\sigma_{\theta}(r_1)$ .
  - 2.  $\Pi_A(r_1)$ : For each copy of tuple  $t_1$  in  $r_1$ , there is a copy of tuple  $\Pi_A(t_1)$  in  $\Pi_A(r_1)$  where  $\Pi_A(t_1)$  denotes the projection of the single tuple  $t_1$ .
  - 3.  $r_1 \times r_2$ : If there are  $c_1$  copies of tuple  $t_1$  in  $r_1$  and  $c_2$  copies of tuple  $t_2$  in  $r_2$ , there are  $c_1 \times c_2$  copies of the tuple  $t_1$ .  $t_2$  in  $r_1 \times r_2$

#### Duplicates (Cont.)

Example: Suppose multiset relations  $r_1(A, B)$  and  $r_2(C)$  are as follows:

$$r_1 = \{(1, a), (2, a)\}$$
  $r_2 = \{(2), (3), (3)\}$ 

- Then  $\Pi_B(r_1)$  would be  $\{(a), (a)\}$ , while  $\Pi_B(r_1) \times r_2$  would be  $\{(a, 2), (a, 2), (a, 3), (a, 3), (a, 3), (a, 3)\}$
- SQL duplicate semantics:

**select** 
$$A_{1}, A_{2}, ..., A_{n}$$
 **from**  $r_{1}, r_{2}, ..., r_{m}$  **where**  $P$ 

is equivalent to the *multiset* version of the expression:

$$\prod_{A_1,A_2,...,A_n} (\sigma_P(r_1 \times r_2 \times ... \times r_m))$$

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#### **Set Operations**

- The set operations union, intersect, and except operate on relations and correspond to the relational algebra operations  $\cup$ ,  $\cap$ , -.
- Each of the above operations automatically eliminates duplicates
  - To retain all duplicates use the corresponding multiset versions union all, intersect all and except all.
- $\square$  Suppose a tuple occurs m times in r and n times in s, then, it occurs:
  - m+n times in r union all s
  - min(m, n) times in r intersect all s
  - $\max(0, m-n)$  times in r except all s

# SQL example 5 (intersection)

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cust-id	name
1	John
2	Smith
3	Joan
4	Mike

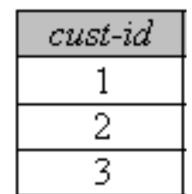
ACC

acc-id	cust-id	balance
A1	1	20k
A2	1	5k
A3	2	35k
A4	3	100k

(SELECT cust-id FROM CUST)

#### **INTERSECT**

(SELECT cust-id FROM ACC)



INTERSECT automatically removes duplicates.

CUST

cust-id	name
1	John
2	Smith
3	Joan
4	Mike

ACC

acc-id	cust-id	balance
A1	1	20k
A2	1	5k
A3	2	35k
A4	3	100k

- □ (SELECT cust-id, name FROM CUST)

  INTERSECT

  (SELECT cust-id FROM ACC)
- The above query is wrong!
- Because the two SELECT clauses return different sets of columns. Hence, intersection cannot be performed.

## SQL example 6 (union)

200		- 200	_	_
7	п	•	•	г.
	ı			
	u		-	L

cust-id	name
1	John
2	Smith
3	Joan
4	Mike

### ACC

acc-id	cust-id	balance
A1	1	20k
A2	1	5k
A3	2	35k
A4	3	100k

□ (SELECT cust-id FROM CUST) UNION (SELECT cust-id FROM ACC)

cust-id	
1	I
2	I
3	
4	I

- UNION automatically removes duplicates.
- As with INTERSECT, the two SELECT queries must return the same columns, too.

## SQL example 7 (except: set difference)

$\alpha$	T1	C	П	Г
u	U	S	J	L

cust-id	name
1	John
2	Smith
3	Joan
4	Mike

ACC

acc-id	cust-id	balance
A1	1	20k
A2	1	5k
A3	2	35k
A4	3	100k

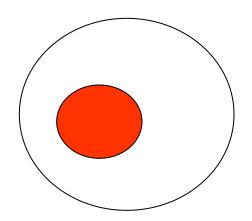
(SELECT cust-id FROM CUST)

### **EXCEPT**

(SELECT *cust-id* FROM ACC)

cust-id
4

- Returns the id that is in CUST but not in ACC.
- EXCEPT removes duplicates.
- The two SELECT must return the same columns.



# SQL example 7 (except: set difference)

200.00		 _	
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١.			ı
•	•	_	

cust-id	name
1	John
2	Smith
3	Joan
4	Mike

ACC

acc-id	cust-id	balance		
A1	1	20k		
A2	1	5k		
A3	2	35k		
A4	3	100k		

- Another example:
- □ (SELECT cust-id FROM ACC) EXCEPT (SELECT cust-id FROM CUST)
- Returns the id that is in ACC but not in CUST.
- ☐ There is no such id. So, the query result is empty.

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- Joined Relations\*\*

## **Aggregate Functions**

■ These functions operate on a collection (a set or multiset) of values of a column of a relation, and return a single value

avg: average value

min: minimum value

max: maximum value

sum: sum of values

count: number of values

Note: An aggregate function cannot be used directly in where clause

# Aggregate Function Example

- Find the total amount of money ever deposited into account A1.
- SELECT SUM(amount) FROM DEPOSIT WHERE acc-id = 'A1'

acc-id	cust-id	amount
A1	1	20k
A2	1	5k
A3	2	35k
A3	3	100k
A1	1	35k

- Find the total number of times that account A1 has been deposited into.
- SELECT COUNT(\*)
  FROM DEPOSIT
  WHERE acc-id = 'A1'

## Aggregate Function Example

- ☐ Find the number of distinct customers that ever deposited into account A1.
- SELECT COUNT(DISTINCT cust-id) FROM DEPOSIT WHERE acc-id = 'A1'

DEPOS	SIT

acc-id	cust-id	amount
A1	1	20k
A2	1	5k
A3	2	35k
A3	3	100k
A1	1	35k

Answer: 1

Repeat the above query with respect to 'A3', the answer is 2.

## Aggregate Functions – Group By

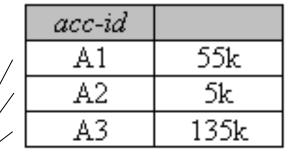
#### DEPOSIT

acc-id	cust-id	amount
A1	1	20k
A2	1	5k
A3	2	35k
A3	3	100k
A1	1	35k

□ SELECT *acc-id*, SUM(*amount*) FROM DEPOSIT **GROUP BY acc-id** 

3 groups

□ SELECT acc-id, cust-id, SUM(amount) FROM DEPOSIT GROUP BY acc-id, cust-id



acc-id cust-id 55k Α1 Α2 5k А3 35k Α3 3 100k

4 groups

# Aggregate Functions – Group By

- In a group-by query, the SELECT clause can involve i) <u>attribute names</u> and ii) aggregate functions
  - attribute name must be some attribute appeared in the GROUP BY clause
  - aggregate function can take any attribute as argument
  - have a single value per group!
- □ For example SELECT acc-id, cust-id, SUM(amount) FROM DEPOSIT GROUP BY acc-id

is wrong, due to the presence of cust-id.

acc-id	cust-id	amount
A1	1	20k
A2	1	5k
A3	2	35k
A3	3	100k
A1	1	35k

- ☐ Find the total amount of money ever deposited into each account, provided that the account has been deposited at least twice.
- SELECT acc-id, SUM(amount)
   FROM DEPOSIT
   GROUP BY acc-id
   HAVING COUNT(\*) >= 2

acc-id	cust-id	amount
A1	1	20k
A2	1	5k
A3	2	35k
A3	3	100k
A1	1	35k

- HAVING applies only to groups, and hence, can be used with GROUP BY only.
  - The having clause is applied after GROUP BY
  - Predicates in the where clause are applied before GROUP BY
- HAVING usually contains only aggregate functions.
- Let us see how the above query is executed.

- SELECT acc-id, SUM(amount)
  FROM DEPOSIT
  GROUP BY acc-id
  HAVING COUNT(\*) >= 2
- First, process GROUP BY.

## DEPOSIT

acc-id	cust-id	amount
A1	1	20k
A2	1	5k
A3	2	35k
A3	3	100k
A1	1	35k

	acc-id	cust-id	amount
GROUP 1 -	A1	1	20k
	A1	1	35k
GROUP 2	A2	1	5k
GROUP 3 -	A3	2	35k
undur 33	A3	3	100k

- SELECT acc-id, SUM(amount)
  FROM DEPOSIT
  GROUP BY acc-id
  HAVING COUNT(\*) >= 2
- Then, process HAVING to eliminate the groups that do not qualify the HAVING condition.

### DEPOSIT

acc-id	cust-id	amount
A1	1	20k
A2	1	5k
A3	2	35k
A3	3	100k
A1	1	35k

	acc-id	cust-id	amount
	A1	1	20k
GROUP 1 -{	A1	1	35k
GROUP 3	A3	2	35k
andur 37	A3	3	100k

- □ SELECT acc-id, SUM(amount)
  - FROM DEPOSIT
    GROUP BY *acc-id*HAVING COUNT(\*) >= 2
- Finally, process SELECT.

acc-id	cust-id	amount
A1	1	20k
A2	1	5k
A3	2	35k
A3	3	100k
A1	1	35k

acc-id	
A1	55k
A3	135k

## A common mistake

- □ Find the total amount of money ever deposited into each account, provided that the account has been deposited at least twice.
- SELECT acc-id, SUM(amount)
   FROM DEPOSIT
   WHERE COUNT(\*) >= 2
   GROUP BY acc-id

acc-id	cust-id	amount
A1	1	20k
A2	1	5k
A3	2	35k
A3	3	100k
A1	1	35k

- The above query is wrong!
- There can be no aggregate function in WHERE.
- Remember: WHERE filters tuples, while an aggregate function applies to a group. So they are incompatible.

## Chapter 3: SQL

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- Nested Subqueries
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## **Null Values**

- It is possible for tuples to have a null value, denoted by *null*, for some of their attributes
- null signifies an unknown value or that a value does not exist.
- ☐ The predicate is null can be used to check for null values.
  - Example: Find all loan number which appear in the *loan* relation with null values for *amount*.

```
select loan_number
from loan
where amount is null
```

- The result of any arithmetic expression involving null is null
  - Example: 5 + null returns null

## Null Values and Three Valued Logic

- Any comparison with *null* returns *unknown* 
  - Example: 5 < null or null <> null or null = null
- Three-valued logic using the truth value unknown:
  - OR: (unknown or true) = true,
     (unknown or false) = unknown
     (unknown or unknown) = unknown
  - AND: (true and unknown) = unknown,
     (false and unknown) = false,
     (unknown and unknown) = unknown
  - NOT: (not unknown) = unknown
  - "P is unknown" evaluates to true if predicate P evaluates to unknown
- Result of where clause predicate is treated as false if it evaluates to unknown

## **Null Values and Aggregates**

Total all loan amounts

select sum (amount) from loan

- Above statement ignores null amounts
- Result is null if there is no non-null amount
- All aggregate operations except count(\*) ignore tuples with null values on the aggregated attributes.

## Chapter 3: SQL

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## **Nested Subqueries**

- SQL provides a mechanism for the nesting of subqueries.
- □ A subquery is a select-from-where expression that is nested within another query.
- A common use of subqueries is to perform tests for set membership, set comparisons, and set cardinality.

## **Example Query**

```
branch (branch_name, branch_city, assets)
customer (customer_name, customer_street, customer_city)
account (account_number, branch_name, balance)
loan (loan_number, branch_name, amount)
depositor (customer_name, account_number)
borrower (customer_name, loan_number)
```

☐ Find all customers who have both an account and a loan at the bank.

Find all customers who have a loan at the bank but do not have an account at the bank

# **Set Comparison**

```
branch (branch_name, branch_city, assets)
customer (customer_name, customer_street, customer_city)
account (account_number, branch_name, balance)
loan (loan_number, branch_name, amount)
depositor (customer_name, account_number)
borrower (customer_name, loan_number)
```

Find all branches that have greater assets than some branch located in Brooklyn.

```
select distinct T.branch_name
from branch as T, branch as S
where T.assets > S.assets and
S.branch_city = 'Brooklyn'
```

Same query using > some clause

```
select branch_name
from branch
where assets > some
(select assets
from branch
where branch_city = 'Brooklyn')
```

## **Definition of Some Clause**

□ F <comp> some  $r \Leftrightarrow \exists t \in r \text{ such that (F <comp> } t)$ Where <comp> can be: <,  $\le$ , >,  $\ge$  =,  $\ne$ 

$$(5 < \mathbf{some} \quad \boxed{0} \\ 5 \\ ) = \mathsf{true}$$

$$(5 < \mathbf{some} \quad \boxed{0} \\ 5 \\ ) = \mathsf{false}$$

$$(5 = \mathbf{some} \quad \boxed{0} \\ 5 \\ ) = \mathsf{true}$$

$$(5 \neq \mathbf{some} \quad \boxed{0} \\ 5 \\ ) = \mathsf{true}$$

$$(5 \neq \mathbf{some} \quad \boxed{0} \\ 5 \\ ) = \mathsf{true}$$

$$(5 \neq \mathbf{some} \quad \boxed{0} \\ 5 \\ ) = \mathsf{true}$$

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$$(5 \neq \mathbf{some} \quad \boxed{0} \\ 5 \\ ) = \mathsf{true}$$

$$(5 \neq \mathbf{some} \quad \boxed{0} \\ 5 \\ ) = \mathsf{true}$$

## **Example Query**

```
branch (branch_name, branch_city, assets)
customer (customer_name, customer_street, customer_city)
account (account_number, branch_name, balance)
loan (loan_number, branch_name, amount)
depositor (customer_name, account_number)
borrower (customer_name, loan_number)
```

☐ Find the names of all branches that have greater assets than all branches located in Brooklyn.

```
select branch_name
    from branch
    where assets > all
        (select assets
        from branch
        where branch_city = 'Brooklyn')
```

## **Definition of all Clause**

```
\square F <comp> all r \Leftrightarrow \forall t \in r (F <comp> t)
        < comp > : <, \le, >, \ge, =, \ne
                      5 ) = false
         (5 < all
          (5 < all
           (5 = all)
                             ) = false
                             ) = true (since 5 \neq 4 and 5 \neq 6)
     (\neq all) \equiv not in
     However, (= all) \neq in
```

## Test for Empty Relations

- ☐ The **exists** construct returns the value **true** if the result of the argument subquery is nonempty.
- $\square$  exists  $r \Leftrightarrow r \neq \emptyset$
- $\square$  not exists  $r \Leftrightarrow r = \emptyset$

# Example (exists)

☐ Find the ids of the accounts whose balances are not the largest.

acc-id	cust-id	balance
A1	1	20 <b>k</b>
A2	1	5k
A3	2	15k
A4	3	100k

ACC

- SELECT acc-id
  FROM ACC T1
  WHERE EXISTS (SELECT \*
  FROM ACC T2
  WHERE T1.balance < T2.balance)
- Note that this nested query is different from the previous nested queries we have seen: It depends on the outside query.
  - T1 in the nested query references the table in the outside query.
- Lets see how it is executed.

## Example (exists)

□ SELECT acc-id
FROM ACC T1
WHERE EXISTS (SELECT \*
FROM ACC T2
WHERE T1.balance < T2.balance)

ACC		
acc-id	cust-id	balance
A1	1	20 <b>k</b>
A2	1	5k
A3	2	15k
A4	3	100k

100

- Lets go over every tuple in T1 one by one. For each tuple, get its balance, and place it at the position of T1. balance to make the nested query complete.
- Specifically, when we are looking at the first tuple in T1, the nested query becomes:
   SELECT \*
   FROM ACC T2
   WHFRF 20k < T2 balance</li>
- Execute it does it return any tuples?
- Yes, so EXISTS evaluates to true, and the acc-id of the tuple in T1 we are looking at is displayed.

# Example (exists)

ACC

SELECT acc-id FROM ACC T1 WHERE EXISTS (SELECT \* FROM ACC T2 WHERE T1.balance < T2.balance)</p>

2100		
acc-id	cust-id	balance
A1	1	20 <b>k</b>
A2	1	5k
A3	2	15k
A4	3	100k

- Repeat the above process for all tuples in T1.
- ☐ The acc-ids of all tuples are displayed, until we come to the last tuple, for which the nested query has the form:

SELECT \*
FROM ACC T2
WHERE 100k < T2.balance

- Execute it does it return any tuples?
- No, so EXISTS evaluates to false, and the acc-id of the last tuple in T1 is not displayed.

Find the id of the account whose balance is the largest.

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acc-id	cust-id	balance
A1	1	20k
A2	1	5k
A3	2	15k
A4	3	100k

■ SELECT acc-id
FROM ACC T1
WHERE NOT EXISTS (SELECT \*

FROM ACC T2
WHERE T1.balance < T2.balance)

- Find the ids of the customers who have deposited into all accounts with balances larger than 15k.
- SELECT DISTINCT cust-id
  FROM DEPOSIT T1
  WHERE NOT EXISTS (
  (SELECT DISTINCT acc-id
  FROM ACC
  WHERE balance > 15000)
  EXCEPT
  (SELECT DISTINCT acc-id
  FROM DEPOSIT T2
  WHERE T1.cust-id = T2.cust-id))

### ACC

acc-id	balance
A1	20k
A2	18k
A3	10k

acc-id	cust-id	amount
A1	1	2k
A1	1	1k
A2	1	1k
A2	2	3k
A3	3	2k
A3	2	5k

- Answer: 1
- What an inscrutable query! Lets understand it step-by-step.

ACC

■ SELECT DISTINCT cust-id FROM DEPOSIT T1 WHERE NOT EXISTS (

(SÉLECT DISTINCT acc-id

FROM ACC

WHERE *balance* > 15000)

EXCEPT

(SELECT DISTINCT acc-id

FROM DEPOSIT T2

WHERE <mark>T1.*cust-id* = T2.*cust-id*))</mark>

The nested query depends on the outside query.

acc-id	balance	
A1	20k	
A2	18k	
A3	10k	

acc-id	cust-id	amount
A1	1	2k
A1	1	1k
A2	1	1k
A2	2	3k
A3	3	2k
A3	2	5k

- So, we look at each tuple in T1, and use its cust-id to complete the nested query.
- Lets start with the first tuple in T1.

ACC

■ SELECT DISTINCT cust-id FROM DEPOSIT T1 WHERE NOT EXISTS (

(SELECT DISTINCT acc-id

FROM ACC

WHERE *balance* > 15000)

**EXCEPT** 

(SELECT DISTINCT acc-id

FROM DEPOSIT T2

WHERE T1.cust-id = T2.cust-id)

- Lets start with the first tuple in T1. The nested query becomes:
  - SELECT DISTINCT acc-id
    FROM ACC
    WHERE balance > 15000)
    EXCEPT
    (SELECT DISTINCT acc-id
    FROM DEPOSIT T2
    WHERE 1 = T2.cust-id))

acc-id	balance	
A1	20 <b>k</b>	
A2	18k	
A3	10k	

#### DEPOSIT

acc-id	cust-id	amount
A1	1	2k
A1	1	1k
A2	1	1k
A2	2	3k
A3	3	2k
A3	2	5k

returns (A1, A2)

returns (A1, A2)

The above query returns empty. So NOT EXIST evaluates to true, and *cust-id* 1 is displayed.

ACC

■ SELECT DISTINCT cust-id FROM DEPOSIT T1 WHERE NOT EXISTS (

(SELECT DISTINCT acc-id

FROM ACC

WHERE *balance* > 15000)

**EXCEPT** 

(SELECT DISTINCT acc-id

FROM DEPOSIT T2

WHERE T1.cust-id = T2.cust-id)

- Lets look at the 4th tuple in T1. The nested query becomes:
  - FROM ACC
    WHERE balance > 15000)
    EXCEPT
    (SELECT DISTINCT acc-id
    FROM DEPOSIT T2
    WHERE 2 = T2.cust-id))

2100		
acc-id	balance	
A1	20 <b>k</b>	
A2	18k	
A3	10k	

#### DEPOSIT

acc-id	cust-id	amount
A1	1	2k
A1	1	1k
A2	1	1k
A2	2	3k
A3	3	2k
A3	2	5k

returns {A1, A2}

returns (A2, A3)

The above query returns {A1}. So NOT EXIST evaluates to false, and *cust-id* 2 is not displayed.

## Test for Absence of Duplicate Tuples

```
branch (branch_name, branch_city, assets)
customer (customer_name, customer_street, customer_city)
account (account_number, branch_name, balance)
loan (loan_number, branch_name, amount)
depositor (customer_name, account_number)
borrower (customer_name, loan_number)
```

- □ The unique construct tests whether a subquery has any duplicate tuples in its result.
- ☐ Find all customers who have at most one account at the Perryridge branch.

```
select T.customer_name
from depositor as T
where unique (
    select R.customer_name
    from account, depositor as R
    where T.customer_name = R.customer_name and
        R.account_number = account.account_number and
        account_branch_name = 'Perryridge')
```

## **Example Query**

```
branch (branch_name, branch_city, assets)
customer (customer_name, customer_street, customer_city)
account (account_number, branch_name, balance)
loan (loan_number, branch_name, amount)
depositor (customer_name, account_number)
borrower (customer_name, loan_number)
```

Find all customers who have at least two accounts at the Perryridge branch.

```
select distinct T.customer_name
from depositor as T
where not unique (
    select R.customer_name
    from account, depositor as R
    where T.customer_name = R.customer_name and
        R.account_number = account.account_number and
        account.branch_name = 'Perryridge')
```

Variable from outer level is known as a correlation variable

## Chapter 3: SQL

- Data Definition
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- □ Complex Queries
- Views
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- Joined Relations\*\*

#### **Derived Relations**

- SQL allows a subquery expression to be used in the from clause
- ☐ Find the average account balance of those branches where the average account balance is greater than \$1200.

```
select branch_name, avg_balance
from (select branch_name, avg (balance)
     from account
     group by branch_name)
     as branch_avg ( branch_name, avg_balance )
where avg_balance > 1200
```

Note that we do not need to use the **having** clause, since we compute the temporary (view) relation *branch\_avg* in the **from** clause, and the attributes of *branch\_avg* can be used directly in the **where** clause.

#### With Clause

- □ The with clause provides a way of defining a temporary view whose definition is available only to the query in which the with clause occurs.
- Find all accounts with the maximum balance

```
with max_balance (value) as
select max (balance)
from account
select account_number
from account, max_balance
where account.balance = max_balance.value
```

## Complex Queries using With Clause

☐ Find all branches where the total account deposit is greater than the average of the total account deposits at all branches.

## Chapter 3: SQL

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### **Views**

- In some cases, it is not desirable for all users to see the entire logical model (that is, all the actual relations stored in the database.)
- Consider a person who needs to know a customer's name, loan number and branch name, but has no need to see the loan amount. This person should see a relation described, in SQL, by

(select customer\_name, borrower.loan\_number, branch\_name from borrower, loan where borrower.loan\_number = loan.loan\_number)

- □ A view provides a mechanism to hide certain data from the view of certain users.
- Any relation that is not of the conceptual model but is made visible to a user as a "virtual relation" is called a **view**.

#### **View Definition**

A view is defined using the create view statement which has the form

#### **create view** *v* **as** < query expression >

- where <query expression> is any legal SQL expression. The view name is represented by *v*.
- Once a view is defined, the view name can be used to refer to the virtual relation that the view generates.
- When a view is created, the query expression is stored in the database
  - The expression is substituted into queries using the view.

## **Example Queries**

A view consisting of branches and their customers

☐ Find all customers of the Perryridge branch

```
select customer_name
from all_customer
where branch_name = 'Perryridge'
```

## Views Defined Using Other Views

- One view may be used in the expression defining another view
- A view relation  $v_1$  is said to *depend directly* on a view relation  $v_2$  if  $v_2$  is used in the expression defining  $v_1$
- A view relation  $v_1$  is said to depend on view relation  $v_2$  if either  $v_1$  depends directly to  $v_2$  or there is a path of dependencies from  $v_1$  to  $v_2$

## Chapter 3: SQL

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#### Modification of the Database – Deletion

Delete all account tuples at the Perryridge branch

delete from account
where branch\_name = 'Perryridge'

Delete all accounts at every branch located in the city 'Needham'.

## **Example Query**

Delete the record of all accounts with balances below the average at the bank.

```
delete from account
    where balance < (select avg (balance)
    from account )</pre>
```

- Problem: as we delete tuples from deposit, the average balance changes
- Solution used in SQL:
  - 1. First, compute avg balance and find all tuples to delete
  - 2. Next, delete all tuples found above (without recomputing **avg** or retesting the tuples)

### Modification of the Database – Insertion

Add a new tuple to account

insert into account
 values ('A-9732', 'Perryridge', 1200)

or equivalently

insert into account (branch\_name, balance, account\_number)
 values ('Perryridge', 1200, 'A-9732')

Add a new tuple to account with balance set to null

insert into account
 values ('A-777', 'Perryridge', null)

### Modification of the Database – Insertion

- Insert tuples on the basis of the result of a query.
- Make each student in the Music department who has earned more than 144 credit hours, an instructor in the Music department, with a salary of \$18,000.

insert into instructor
 select ID, name, dept name, 18000
 from student
 where dept name = 'Music' and tot cred > 144;

- SQL evaluates the select statement first, giving a set of tuples
- Then inserted into the instructor relation.
- Each tuple has an ID, a name, a dept name (Music), and an salary of \$18,000.
- □ The select from where statement is evaluated fully before any of its results are inserted into the relation (otherwise queries like insert into table1 select \* from table1 would cause problems)

## Modification of the Database – Updates

- □ Increase all accounts with balances over \$10,000 by 6%, all other accounts receive 5%.
  - Write two update statements:

```
update account
set balance = balance * 1.06
where balance > 10000
```

**update** *account*  **set** *balance* = *balance* \* 1.05 **where** *balance* ≤ 10000

- The order is important
- Can be done better using the case statement (next slide)

## Case Statement for Conditional Updates

■ Same query as before: Increase all accounts with balances over \$10,000 by 6%, all other accounts receive 5%.

## Update of a View

Create a view of all loan data in the *loan* relation, hiding the *amount* attribute

```
create view loan_branch as
select loan_number, branch_name
from loan
```

Add a new tuple to loan\_branch

```
insert into loan_branch
    values ('L-37', 'Perryridge')
```

This insertion must be represented by the insertion of the tuple

```
('L-37', 'Perryridge', null)
```

into the *loan* relation

## Updates Through Views (Cont.)

- Some updates through views are impossible to appear in the inserted view, for example
  - create view v as select loan\_number, branch\_name, amount from loan where branch\_name = 'Perryridge' insert into v values ('L-99', Downtown', '23')
- Others cannot be translated uniquely
  - insert into all\_customer values ('Perryridge', 'John')
    - All\_customer is derived from relations loan and acount
    - Have to choose *loan* or *account*, and create a new *loan/account* number!
- Most SQL implementations allow updates only on simple views (without aggregates) defined on a single relation

## Chapter 3: SQL

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### Joined Relations

- Join operations take two relations and return as a result another relation.
- □ A join operation is a Cartesian product which requires that tuples in the two relations match (under some condition).
   It also specifies the attributes that are present in the result of the join
- □ The join operations are typically used as subquery expressions in the from clause

## Join operations – Example

#### Relation course

course_id	title	dept_name	credits
	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

#### Relation prereq

course_id	prereg_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101

Observe that

prereq information is missing for CS-315 and course information is missing for CS-437

## Joined Relations

- Join operations take two relations and return as a result another relation.
- These additional operations are typically used as subquery expressions in the from clause
- Join condition defines which tuples in the two relations match, and what attributes are present in the result of the join.
- Join type defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated.

# inner join left outer join right outer join full outer join

# Join Conditions natural on < predicate> using $(A_1, A_1, ..., A_n)$

### **Outer Join**

- An extension of the join operation that avoids loss of information.
- Computes the join and then adds tuples form one relation that does not match tuples in the other relation to the result of the join.
- Uses null values.

## **Left Outer Join**

#### **course natural left outer join** prereq

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101

course_id	title	dept_name	credits	prere_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null

## Right Outer Join

#### course natural right outer join prereq

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101

course_id	title	dept_name	credits	prere_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101

## **Full Outer Join**

#### **course natural full outer join** prereq

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101

course_id	title	dept_name	credits	prere_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101

## Joined Relations in SQL – Examples

course inner join prereq on course.course\_id = prereq.course\_id

course_id	title	dept_name	credits
	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101

course_id	title	dept_name	credits	prereq_id	course_id
BIO-301	Genetics	Biology	4	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190

What is the difference between the above and a natural join?

## Joined Relations in SQL – Examples

course left outer join prereq on course.course\_id = prereq.course\_id

course_id	title	dept_name	credits	prere_id	course_id
BIO-301		Biology			BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190
CS-315	Robotics	Comp. Sci.	3	null	null

## Joined Relations – Examples

course natural right outer join prereq

course_id	title	dept_name	credits	prereg_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101

course full outer join prereq using (course\_id)

course_id	title	dept_name	credits	prereg_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101

# End of Chapter 3