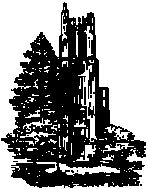


## Wednesday June 29, 2016 Lecture 26



Modeling Computation  
Sections 13.1 – 13.2

1

### Notables

- Test #7 on Thursday
- Try the following problems:
  - Page 856, problems 5, and 17

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### Important theory

- What can a symbol processing computer do?
- What can't it do?
- How can we model sets of strings representing
  - Inputs to an algorithm or program?
  - Outputs of an algorithm or program?
  - An algorithm or program itself?
- Are some sets more difficult to recognize than others?

What are the limits on computing?

Can we build different kinds of  
computers to transcend these limits?

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### Important applications

- Models for programming language syntax.
- Models for defining program input.
- Methods for designing finite state machines, or FSAs.

- a) A recognizer for C++ integers
- b) A vending machine

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### Grammar

- A simple mechanism to describe or generate a *language* (set of strings)
  - Small set of rules can generate an infinite language.
  - Usually, base cases and recursive rules.
- Example languages:
  - Set of C++ keywords
  - Set of all C++ programs
  - Set of all well-formed PIN numbers
  - Set of all possible US telephone numbers
  - Contents of legal input files for a payroll program

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### Introduction

- In the English language, the grammar determines whether a combination of words is a legal sentence.
- Which of the following are *legal* sentences?
  - *The large rabbit hops quickly.*
  - *The frog writes neatly.*
  - *The swims mathematician quickly.*
- Grammars are concerned with the *syntax* (i.e., form) of a sentence, and **NOT** its *semantics* (i.e., meaning).

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## English Grammar

- **sentence:** noun phrase verb phrase.
- **noun phrase:** article adjective noun or article noun
- **verb phrase:** verb adverb or verb
- **article:** *a* or *the*
- **adjective:** *large* or *hungry*;
- **noun:** *rabbit* or *mathematician* or *frog*
- **verb:** *eats* or *hops* or *writes* or *swims*
- **adverb:** *quickly* or *wildly* or *neatly*

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## Example

Use the grammar in generating sentences:

- Sentence
- Noun phrase verb phrase
- Article adjective noun verb phrase
- Article adjective noun verb adverb
- the adjective noun verb adverb
- the large noun verb adverb
- the large rabbit verb adverb
- the large rabbit hops adverb
- the large rabbit hops quickly

Also a sentence: *the large rabbit writes neatly*  
(Even though probably untrue)

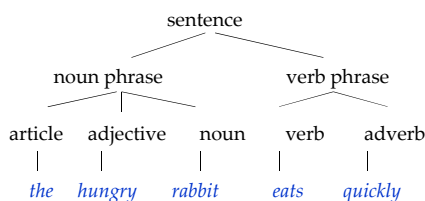
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## Example derivation tree

*the hungry rabbit eats quickly*



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## Alphabets, words & languages

- An **alphabet**, or **vocabulary**,  $V$  is a finite, nonempty set of **symbols**.
- A **word**, or **string**, over  $V$  is a finite sequence of symbols from  $V$ .
- The set of all words over  $V$  is denoted by  $V^*$ .
  - A word of length  $n$ , denoted  $v_1v_2\ldots v_n \in V^*$ , consists of  $n$  symbols,  $n > 0$ .
  - The **empty word**, consisting of 0 symbols, is denoted by  $\lambda \in V^*$ .
- Given words  $v = v_1v_2\ldots v_n$  and  $w = w_1w_2\ldots w_m$  of length  $n$  and  $m$ , the **concatenation** of  $v$  and  $w$ , denoted  **$vw$** , is the word of length  $n+m$  defined by:  $vw = v_1v_2\ldots v_nw_1w_2\ldots w_m$
- By convention:  $\lambda v = v\lambda = v$
- A **language**  $L$  over  $V$  is a subset of  $V^*$ ,  $L \subseteq V^*$ .

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## Example: alphabets, words & languages

- $\{0, 1\}^*$  is the set of binary strings.
- Some example words over the alphabet (vocabulary)  $\{0, 1\}$ :  
 $\lambda$             0000            1
- Some example concatenations of words from  $\{0, 1\}^*$ :  
 $(0000)(1) = 00001$   
 $\lambda(00) = 00$
- Some example languages over the alphabet  $\{0, 1\}$ :  
 $\{v \mid v \in \{0, 1\}^* \text{ and } |v| < 3\} = \{\lambda, 0, 1, 00, 01, 10, 11\}$   
 $\{0^n 1^m \mid n \leq m\}_{m, n \in \mathbb{N}} = \{\lambda, 1, 11, 01, 111, 011, 1111, 0111, 0011, \dots\}$   
 $\{\lambda\}$

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## Grammars and derivations

- A **phrase-structure grammar**, denoted  $G = (V, T, S, P)$ , consists of
  - A vocabulary  $V$
  - A **start symbol**,  $S$ , where  $S \in V$
  - A set  $T$  of **terminal symbols**, where  $T \subseteq V$
  - A finite set  $P$  of **production rules**:
    - $v \rightarrow w$ , where  $v$  and  $w$  are strings over  $V$
    - intuition: we can “rewrite”  $v$  as  $w$  in generating words that belong to a language
- To generate words using  $G$ 
  - Start with the start symbol  $S$
  - Using production rules, “rewrite” it until you have **derived** a string of only terminal symbols
  - The language of  $G$ , denoted  $L(G)$ , is the set of terminal strings that can be derived in this manner.

A vocabulary symbol in the set  $V - T$  is called a **nonterminal symbol**

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### Example of a Grammar

- $G = (V, T, S, P)$ , where
  - $V = \{a, b, A, B, S\}$
  - $S$  is the start symbol
  - $T = \{a, b\}$  is the set of terminal symbols
  - $P = \{S \rightarrow ABa, A \rightarrow BB, B \rightarrow ab, AB \rightarrow b, AB \rightarrow \lambda\}$  are the production rules
- Example *derivations*:
  - $S$

$S \Rightarrow^* abababa$

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### Example of a Grammar

- $G = (V, T, S, P)$ , where
  - $V = \{a, b, A, B, S\}$
  - $S$  is the start symbol
  - $T = \{a, b\}$  is the set of terminal symbols
  - $P = \{S \rightarrow ABa, A \rightarrow BB, B \rightarrow ab, AB \rightarrow b, AB \rightarrow \lambda\}$  are the production rules
- Example *derivations*:
  - $S \Rightarrow ABa \Rightarrow BBBa \Rightarrow abBBa \Rightarrow ababBa \Rightarrow abababa$
  - $S \Rightarrow ABa$
  - $S \Rightarrow ABa$

$S \Rightarrow^* abababa$

$S \Rightarrow^* a$

$S \Rightarrow^* ba$

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### Example of a Grammar

- $G = (V, T, S, P)$ , where
  - $V = \{a, b, A, B, S\}$
  - $S$  is the start symbol
  - $T = \{a, b\}$  is the set of terminal symbols
  - $P = \{S \rightarrow ABa, A \rightarrow BB, B \rightarrow ab, AB \rightarrow b, AB \rightarrow \lambda\}$  are the production rules
- Example *derivations*:
  - $S \Rightarrow ABa \Rightarrow BBBa \Rightarrow abBBa \Rightarrow ababBa \Rightarrow abababa$
  - $S \Rightarrow ABa \Rightarrow ba$
  - $S \Rightarrow ABa \Rightarrow \lambda a = a$
- Defn: The *derives relation*, denoted  $\Rightarrow^*$ , is the transitive closure of the *directly derives* relation, denoted  $\Rightarrow$
- Defn:  $L(G) = \{w \mid w \in T^* \text{ and } S \Rightarrow^* w\}$   
 $= \{a, ba, abababa\}$

$S \Rightarrow^* abababa$

$S \Rightarrow^* a$

$S \Rightarrow^* ba$

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### Exercise:

- Let  $G = (V, T, S, P)$ , where
- The vocabulary is  $V = \{S, A, B, a, b\}$
  - The start symbol is  $S$
  - The set of terminal symbols is  $T = \{a, b\}$
  - $P$  consists of the production rules
    - $S \rightarrow AB$
    - $A \rightarrow aAB$
    - $A \rightarrow \lambda$
    - $B \rightarrow bB$
    - $B \rightarrow b$
  - Show that the following are in  $L(G)$ 
    - $b$        $abb$        $aabbbb$
  - How can you tell that the following are *not* in  $L(G)$ ?
    - $a$        $ba$        $aab$
  - What set of strings is  $L(G)$ ?

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### Exercise

Write grammars that generate the following languages.

- All binary strings of length 5
  - Let  $V = \{S, D, 0, 1\}$ ,  $T = \{0, 1\}$ , start symbol be  $S$ ,  
 $P = \{S \rightarrow DDDDD, D \rightarrow 0, D \rightarrow 1\}$
- All binary strings of length 5 that start with a 1.
  - Let  $V = \{S, D, 0, 1\}$ ,  $T = \{0, 1\}$ , start symbol be  $S$ ,  
 $P = \{S \rightarrow 1DDDD, D \rightarrow 0, D \rightarrow 1\}$
- All binary strings (i.e.,  $\{0, 1\}^*$ )
  - Let  $V = \{S, 0, 1\}$ ,  $T = \{0, 1\}$ , start symbol be  $S$ ,  
 $P = \{S \rightarrow \lambda, S \rightarrow 0S, S \rightarrow 1S\}$
- All binary strings of length 6 that have exactly two 1-bits in the first three bits
  - Let  $V = \{S, A, B, R, 0, 1\}$ ,  $T = \{0, 1\}$ , start symbol be  $S$ ,  
 $P = \{S \rightarrow AB, A \rightarrow 110, A \rightarrow 101, A \rightarrow 011, B \rightarrow RRR, R \rightarrow 0, R \rightarrow 1\}$

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### Types of Grammars

Grammars categorized by their production rules

- **Type 0**: No restriction on production rules
- **Type 1 (context-sensitive)**: Every production rule has the form  $x \rightarrow y$  where either  $|x| \leq |y|$  or  $y = \lambda$
- **Type 2 (context-free)**: Every production rule has the form  $N \rightarrow y$  where  $N \in V - T$  and  $y \in V^*$
- **Type 3 (regular)**: Every production rule has one of the following two forms
  - $N \rightarrow aM$  where  $N, M \in V - T$  and  $a \in T$ , or
  - $N \rightarrow \lambda$  where  $N \in V - T$

Observe: Type 3  $\subset$  Type 2  $\subset$  Type 1  $\subset$  Type 0

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### Example: Regular grammar (Type 3)

$G = (V, T, S, P)$ , where

- Vocabulary  $V = \{S, A, 0, 1\}$
- Terminals  $T = \{0, 1\}$
- Start symbol  $S$
- Production rules:
  - $S \rightarrow 0S$
  - $S \rightarrow 1A$
  - $S \rightarrow \lambda$
  - $A \rightarrow 1A$
  - $A \rightarrow \lambda$

■ Exercise: What is  $L(G)$ ?

$L(G) = \{0^m 1^n \mid m \text{ and } n \text{ are nonnegative integers}\}$

Type 3 (*regular*): Every production rule has one of the following two forms

- 1)  $N \rightarrow a M$ , or
- 2)  $N \rightarrow \lambda$

where  $N, M$  are non-terminal symbols and  $a$  is a terminal symbol

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### Example: Context Free (Type 2)

$G = (V, T, S, P)$ , where

- Alphabet  $V = \{S, 0, 1\}$
- Terminals  $T = \{0, 1\}$
- Start symbol  $S$
- Production  $P$ :
  - $S \rightarrow 0S1$
  - $S \rightarrow \lambda$

■ Exercise: What is  $L(G)$ ?

$L(G) = \{0^n 1^n \mid n \text{ is nonnegative integer}\}$

Every production rule has the form

$$N \rightarrow y$$

where  $N$  is a non-terminal symbol and  $y$  any string over the vocabulary

*Important result of formal language theory: No regular grammar generates this language. (CSE 460)*

Type 3  $\subset$  Type 2

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### Example: Context Sensitive (Type 1)

$G = (V, T, S, P)$ , where

- Alphabet  $V = \{S, A, B, 0, 1, 2\}$
- Terminals  $T = \{0, 1, 2\}$
- Start symbol  $S$
- Production  $P$ :
  - $S \rightarrow 0SAB$
  - $S \rightarrow \lambda$
  - $BA \rightarrow AB$
  - $0A \rightarrow 01$
  - $1A \rightarrow 11$
  - $1B \rightarrow 12$
  - $2B \rightarrow 22$

*Important result of formal language theory: No context free grammar generates this language. (CSE 460)*

Type 2  $\subset$  Type 1

■ Exercise: What is  $L(G)$ ?

$L(G) = \{0^n 1^n 2^n \mid n \text{ is nonnegative integer}\}$

Type 1 (*context-sensitive*): Every production rule has the form

$$x \rightarrow y$$

where either  $|x| \leq |y|$  or  $y = \lambda$

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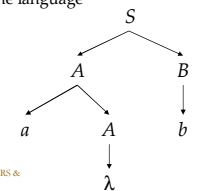
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### Parse Trees/Derivation Trees

■ A derivation produced using a **context-free grammar** can be represented graphically by a **parse tree**, also called a **derivation tree**.

- Root is labeled with the start symbol
- Represent application of a production  $N \rightarrow y$  by a node labeled  $N$  with children labeled by the symbols in  $y$  (in order)
- All leaf nodes are labeled with a terminal or  $\lambda$ ; when concatenated, they yield a word in the language

$$S \Rightarrow AB \Rightarrow aAB \Rightarrow a\lambda B \Rightarrow a\lambda b = ab$$



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### Application: Programming Languages (PLs)

■ Backus-Naur form for describing syntax of a PL

- Enclose nonterminals in angle brackets, e.g.,  $\langle e \rangle$
- Start symbol is on the left-hand-side of the first production rule
- Use  $::=$  in place of  $\rightarrow$
- Abbreviate listing of productions for the same nonterminal:
 
$$\langle e \rangle ::= w \mid x \mid \dots \mid z$$
 is short for multiple productions
 
$$\langle e \rangle ::= w \quad \langle e \rangle ::= x \quad \dots \quad \langle e \rangle ::= z$$

■ Example: Backus-Naur form for identifiers (Algol 60)

```

<ident>      ::= <letter> <letters_or_digits>
<letters_or_digits> ::= <letter> <letters_or_digits>
                                   | <digit> <letters_or_digits>
                                   | λ
<letter>     ::= a | b | c | ... | z
<digit>      ::= 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9
  
```

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### Application: Programming languages

- Regular grammars can describe the **tokens** (keywords, literals, operators, punctuation, etc.) of a programming language
- Example: The previous grammar for identifiers can be “rewritten” as a regular grammar

```

<ident>      ::= a <letters_or_digits> | b <letters_or_digits> | ...
                                   | z <letters_or_digits>
<letters_or_digits> ::= a <letters_or_digits> | b <letters_or_digits> | ...
                                   | z <letters_or_digits>
                                   | 0 <letters_or_digits> | 1 <letters_or_digits> | ...
                                   | 9 <letters_or_digits>
                                   | λ
  
```

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## Application: Programming languages

- Context-free grammar can express much of the syntax of a programming language
- Example: Backus-Naur form for numeric expressions

```

<e> ::= <e> + <e> | <e> - <e> | <e>
<e> ::= <e> * <e> | <e> / <e> | <e>
<e> ::= id | num | (<e>)
  
```

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## Application: Compiler

Given a source program (string of ascii characters), a compiler:

- Tokenizes it using a finite state automaton (coming soon) constructed from a regular grammar
- Constructs a parse tree for the tokenized program using a push down automaton (CSE 460/450) constructed from a context free grammar
- Traverses the parse tree (an abstract version of it, called an *abstract syntax tree*) to generate object code

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## Parsing

- Given a grammar  $G$  with terminal alphabet  $T$  and a word  $w$  over  $T$ , either construct a parse tree generating  $w$  or, if none exists, *rejects*  $w$ 
  - Top-down parser*: start at the root (start symbol,  $S$ ) and work down to the leaves; systematically try productions
    - Applying a production *expands* the nonterminal
    - So it attempts to expand nonterminals to rewrite  $S$  into  $w$
  - Bottom-up parser*: start at the leaves ( $w$ ) and work back to the root; systematically try productions *in reverse*
    - Application of a production in reverse is called a *reduction*
    - So it attempts to reduce  $w$  to the start symbol

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## Example: Top down parsing

- Start at the root (start symbol) and work down to the leaves; systematically try productions.

- Example: Backus-Naur form for expression grammar

```

<e> ::= <e> | <e> + <e>
<e> ::= <e> | <e> * <e>
<e> ::= id | num | (<e>)
  
```

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## Example: Top down parsing

Grammar:

```

<e> ::= <e> | <e> + <e>
<e> ::= <e> | <e> * <e>
<e> ::= id | num | (<e>)
  
```

Try <e> ::= <e>  
Try <e> ::= <e>  
Try <e> ::= id

<e>  
 ↓  
 <e>  
 ↓  
 <e>  
 ↓  
 <e>

Fails: no non-terminals are left to expand.  
 So try again.

Input string: **id** **+** **num** **\*** **id**

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## Example: Top down parsing

Grammar:

```

<e> ::= <e> | <e> + <e>
<e> ::= <e> | <e> * <e>
<e> ::= id | num | (<e>)
  
```

Try <e> ::= <e>  
Try <e> ::= <e>  
~~Try <e> ::= id~~  
Try <e> ::= num

<e>  
 ↓  
 <e>  
 ↓  
 <e>  
 ↓  
 num

Fails: terminals are incompatible.  
 So try again.

Input string: **id** **+** **num** **\*** **id**

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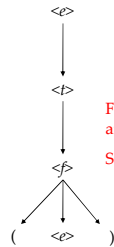
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### Example: Top down parsing

Grammar:

$\langle e \rangle ::= \langle d \rangle \mid \langle e \rangle + \langle d \rangle$   
 $\langle d \rangle ::= \langle f \rangle \mid \langle d \rangle * \langle f \rangle$   
 $\langle f \rangle ::= \text{id} \mid \text{num} \mid ( \langle e \rangle )$

Try  $\langle e \rangle ::= \langle d \rangle$   
 Try  $\langle d \rangle ::= \langle f \rangle$   
~~Try  $\langle f \rangle ::= \text{id}$~~   
~~Try  $\langle f \rangle ::= \text{num}$~~   
 Try  $\langle f \rangle ::= ( \langle e \rangle )$



FAILS: terminals  
are incompatible.  
So try again.

Input string: **id** **+** **num** **\*** **id**

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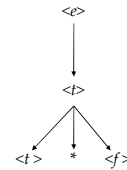
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### Example: Top down parsing

Grammar:

$\langle e \rangle ::= \langle d \rangle \mid \langle e \rangle + \langle d \rangle$   
 $\langle d \rangle ::= \langle f \rangle \mid \langle d \rangle * \langle f \rangle$   
 $\langle f \rangle ::= \text{id} \mid \text{num} \mid ( \langle e \rangle )$

Try  $\langle e \rangle ::= \langle d \rangle$   
~~Try  $\langle d \rangle ::= \langle f \rangle$~~   
~~Try  $\langle f \rangle ::= \text{id}$~~   
~~Try  $\langle f \rangle ::= \text{num}$~~   
~~Try  $\langle f \rangle ::= ( \langle e \rangle )$~~   
 Try  $\langle d \rangle ::= \langle d \rangle * \langle f \rangle$   
 And so on ...



Input string: **id** **+** **num** **\*** **id**

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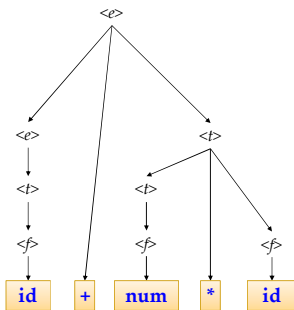
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### Example: Top down parse

Grammar:

$\langle e \rangle ::= \langle d \rangle \mid \langle e \rangle + \langle d \rangle$   
 $\langle d \rangle ::= \langle f \rangle \mid \langle d \rangle * \langle f \rangle$   
 $\langle f \rangle ::= \text{id} \mid \text{num} \mid ( \langle e \rangle )$

Analysis of an "appropriate" grammar (CSE 450) produces a table that drives the expansion process based on the non-terminal to expand and the next input symbol. (No backing up.)



Input string: **id** **+** **num** **\*** **id**

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### Example: Bottom up parsing

- Start at the leaves (input string) and work back to the root (start symbol); systematically try productions in reverse (reductions).

- Example: Backus-Naur form for expression grammar

$\langle e \rangle ::= \langle d \rangle \mid \langle e \rangle + \langle d \rangle$   
 $\langle d \rangle ::= \langle f \rangle \mid \langle d \rangle * \langle f \rangle$   
 $\langle f \rangle ::= \text{id} \mid \text{num} \mid ( \langle e \rangle )$

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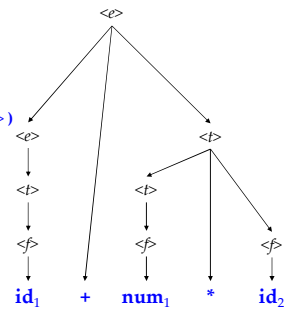
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### Example: Bottom up parsing

- Example: Backus-Naur form for expression grammar

$\langle e \rangle ::= \langle d \rangle \mid \langle e \rangle + \langle d \rangle$   
 $\langle d \rangle ::= \langle f \rangle \mid \langle d \rangle * \langle f \rangle$   
 $\langle f \rangle ::= \text{id} \mid \text{num} \mid ( \langle e \rangle )$

- Bottom up parse of  $\text{id}_1 + \text{num}_1 * \text{id}_2$   
 Analysis of an "appropriate" grammar (CSE 450) produces a FSA (coming soon) that drives the reduction process. Less intuitive, but more "powerful" than top-down parsing.



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### Grammars and Machines

- Each type of grammar corresponds to a *machine*, or *automaton*, that can parse the language of the grammar
- Parsing* is a kind of computation
- Think of these machines as abstract implementations of the algorithms that perform the computations

- Machine types:

- Type 0: Turing Machine
- Type 1: Linear Bounded Automaton
- Type 2: Push Down Automaton (PDA)
- Type 3: Finite State Automaton (FSA)

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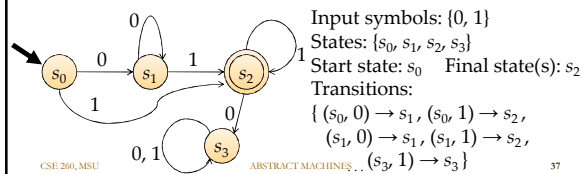
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## Finite State Automaton (FSA)

An abstract machine that *recognizes* languages.

- An alphabet – input symbols
- A finite set of *states* – the FSA's memory
  - *Control* is in exactly one state, called the *current state*.
  - Has a *start state* and one or more *final states*.
- A finite set of *transitions* – indicate how *reading* an input symbol changes the FSA's current state.



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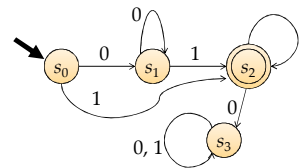
ABSTRACT MACHINES

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## Finite State Automaton (FSA)

How does an FSA recognize (accept) a string  $w$  ?

- Start with "control" in the start state,  $s_0$
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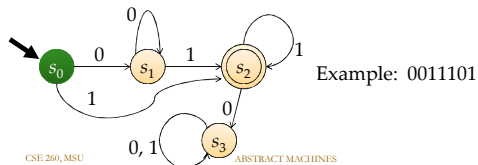
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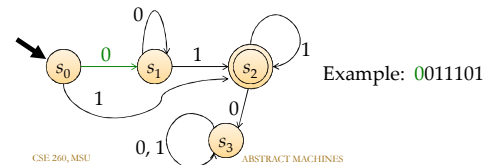
39

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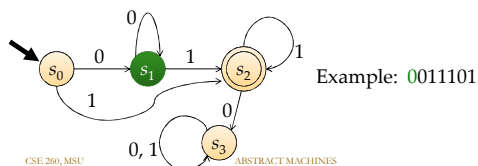
40

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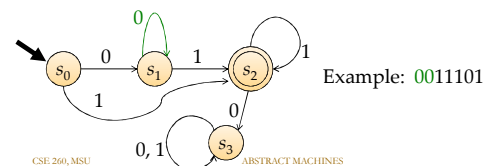
41

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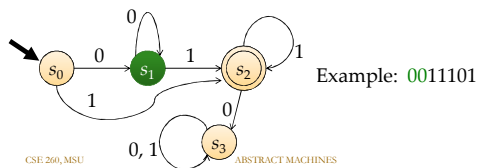
42

### Finite State Automaton (FSA)

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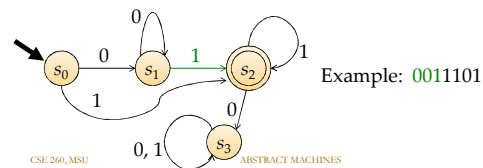


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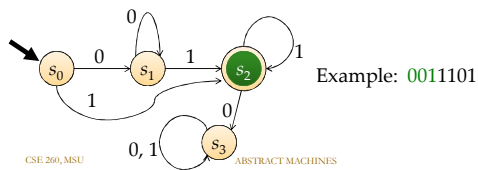


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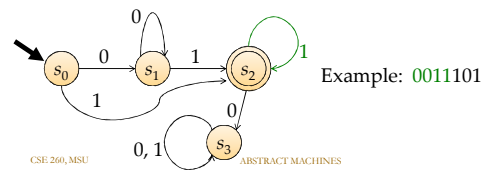


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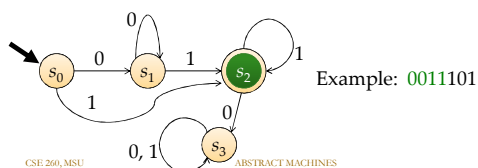


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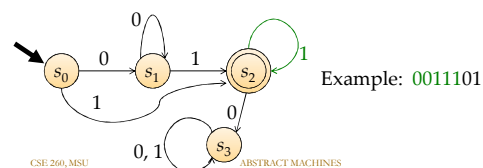


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Example: 00111







### State transition table

- Graphical representation of an FSA is convenient for us to read if the FSA is not too complex
- For computing, use a *state table*

State/ Input	'\$'	'€'	'.'	'0'-'9'
$s_0$	$s_1$	$s_8$	$s_8$	$s_6$
$s_1$	$s_8$	$s_8$	$s_8$	$s_2$
$s_2$	$s_8$	$s_8$	$s_3$	$s_2$
...	...	...	...	...
$s_8$	$s_8$	$s_8$	$s_8$	$s_8$
...	...	...	...	...

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ABSTRACT MACHINES

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### Exercise: C++ identifiers

- In C++, an identifier should begin with a letter, which may be followed by any number of letters, digits or underscores ('\_'). Draw an FSA that recognizes C++ identifiers.
- What is the input alphabet of your FSA?
- What are the final states of your FSA?
- What is the start state of your FSA?
- Create a state transition table for your FSA.

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### Nondeterministic FSA

- The FSA as described in your textbook is *deterministic*, since for each pair (state, input) there is a unique next state.
- A *nondeterministic FSA (NFA)* has a transition function that assigns a *set of states* to a (state, input) pair rather than just one
- Thus, an entry of the state table may list many or no next states

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### Nondeterministic FSA

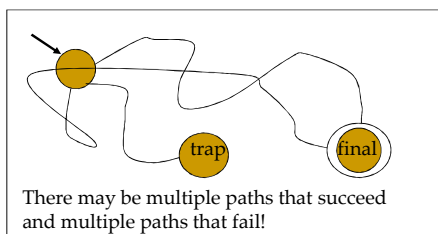
- Also, an NFA may also have multiple start states.
- Nondeterminism in effect means that it may be necessary to follow multiple paths to determine if a word is accepted. (But can often use smaller automaton – space time tradeoff)

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NFA  $M$  recognizes string  $w$  iff, when started in some start state,  $w$  drives the machine to some final state by some path.



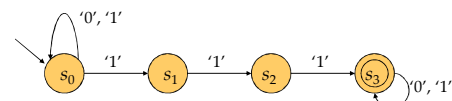
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### NFA: Example

- An NFA that recognizes binary strings containing a block of (at least) three consecutive 1's



State/Input	'0'	'1'
$s_0$	$s_0$	$s_0, s_1$
$s_1$		$s_2$
$s_2$		$s_3$
$s_3$	$s_3$	$s_3$

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## NFAs recognize regular languages

- From a regular grammar,  $G$ , we can construct an NFA  $M$  that accepts exactly the words generated by the grammar – i.e., such that  $L(G) = L(M)$
- Construction:
  - For each nonterminal  $N$  of  $G$ , introduce a state  $s_N$  in addition, introduce a special trap state  $s_{trap}$
  - For each nonterminal,  $N$ , and terminal,  $a$ ,
    - for each production of the form  $N \rightarrow aM$ , introduce a transition from  $s_N$  to  $s_M$  on input  $a$ ;
    - if there is no transition  $N \rightarrow aM$ , introduce a transition from  $s_N$  to  $s_{trap}$  on input  $a$ .
  - For each terminal,  $a$ ,
    - introduce a transition from  $s_{trap}$  to  $s_{trap}$  on input  $a$ .
  - If  $S_0$  is the start symbol of  $G$ , then make  $s_{S_0}$  the start state of  $M$
  - Make  $s_N$  a final state iff there is a production of the form  $N \rightarrow \lambda$

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## Example:

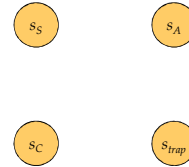
$G = (V, T, S, P)$ , where

- $V = \{S, A, C, 0, 1\}$

- $T = \{0, 1\}$

- $P$  contains the rules:

- $S \rightarrow 0S$
- $S \rightarrow 1A$
- $S \rightarrow 1C$
- $A \rightarrow 1A$
- $A \rightarrow 1C$
- $S \rightarrow \lambda$
- $C \rightarrow \lambda$



For each nonterminal  $N$  of  $G$ , introduce a state  $s_N$  in addition, introduce a special trap state  $s_{trap}$

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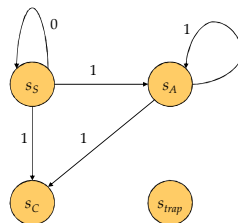
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for each production of the form  $N \rightarrow aM$ , introduce a transition from  $s_N$  to  $s_M$  on input  $a$

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## Example:

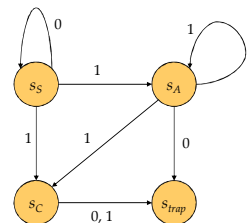
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if there is no transition  $N \rightarrow aM$ , introduce a transition from  $s_N$  to  $s_{trap}$  on input  $a$ .

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## Example:

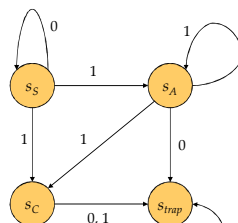
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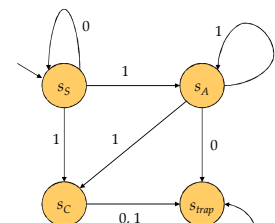
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If  $S_0$  is the start symbol of  $G$ , then make  $s_{S_0}$  the start state of  $M$

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**Example:**

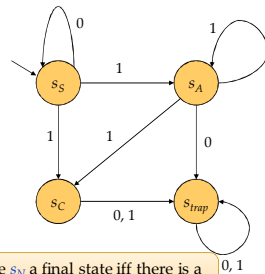
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Make  $s_N$  a final state iff there is a production of the form  $N \rightarrow \lambda$

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**Example:**

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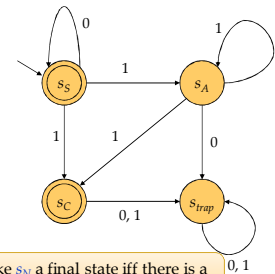
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■  $L(G) = \{0^m 1^n \mid m, n \geq 0\}$



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**Equivalence of NFAs & FSAs**

■ Every NFA is *equivalent* to a (deterministic) FSA, in the sense that the NFA and the FSA recognize the same language.

■ The technique for *determinizing* a NFA is an important computational technique.

■ Idea:

- Sets of states of the NFA become the states of the FSA. (An FSA state “remembers” what states of the NFA could be the current state.)
- Group states of the NFA, as needed, to make the automaton deterministic.

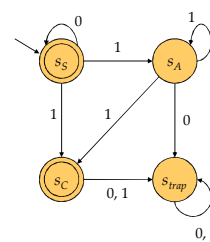
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**Example: Determinizing a NFA**

Given NFA:



To grow the FSA, start off with a copy of the NFA's initial state to serve as the initial state of the FSA.



Because  $s_S$  is a final state of the NFA, make the copy of  $s_S$  a final state of the FSA.

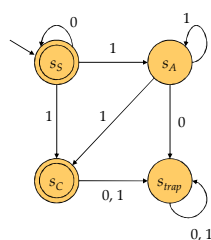
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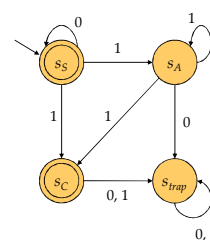
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**Example: Determinizing a NFA**

Given NFA:



Reading 0 takes the NFA from  $s_S$  to (only)  $s_S$ , so add a transition in the FSA from the start state to itself on input 0.



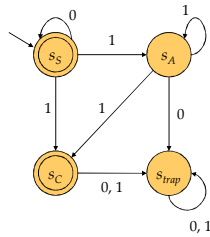
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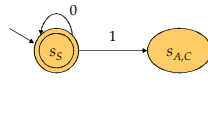
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### Example: Determinizing a NFA

Given ND FSA:



Reading **1** takes the NFA from  $s_S$  to either  $s_A$  or  $s_C$  so add a state  $s_{A,C}$  to the FSA, which “remembers” that the current state of the NFA could be either  $s_A$  or  $s_C$ , and a transition from  $s_S$  to  $s_{A,C}$  on **1**



Because  $s_C$  is a final state of the NFA,  $s_{A,C}$  is a final state of the FSA.

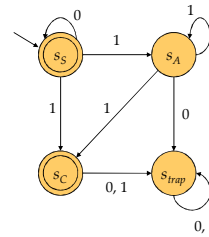
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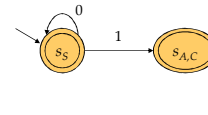
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### Example: Determinizing a NFA

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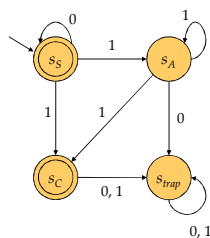
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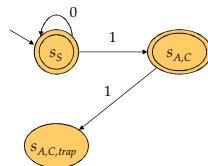
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### Example: Determinizing a NFA

Given ND FSA:



Reading **1** from  $s_A$  or  $s_C$  takes the NFA to  $s_A$  or  $s_C$  or  $s_{trap}$ , so add a state  $s_{A,C,trap}$  to the FSA and a transition from  $s_{A,C}$  to  $s_{A,C,trap}$  on **1**.



Because  $s_C$  is a final state of the NFA,  $s_{A,C,trap}$  is a final state of the FSA.

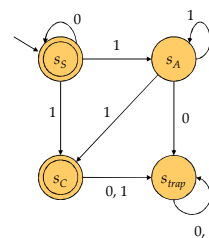
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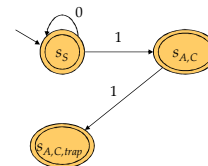
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### Example: Determinizing a NFA

Given ND FSA:



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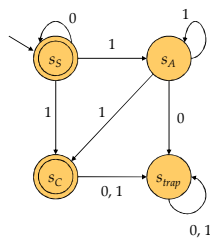
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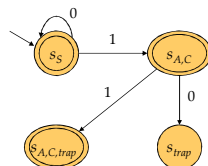
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### Example: Determinizing a NFA

Given ND FSA:



Reading **0** from  $s_A$  or  $s_C$  takes the NFA to (only)  $s_{trap}$ , so add a state  $s_{trap}$  to the FSA and a transition from  $s_{A,C}$  to  $s_{trap}$  on **0**.



$s_{trap}$  is not a final state of the FSA since  $s_{trap}$  is not a final state of the NFA

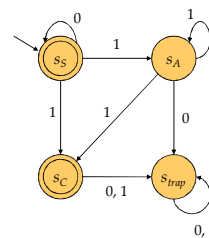
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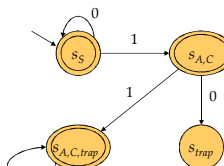
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### Example: Determinizing a NFA

Given NFA:



Reading **1** from  $s_A$  or  $s_C$  or  $s_{trap}$  takes the NFA to  $s_A$  or  $s_C$  or  $s_{trap}$ , so add a transition from  $s_{A,C,trap}$  to  $s_{A,C,trap}$  on **1**.



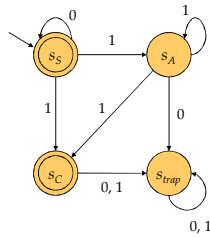
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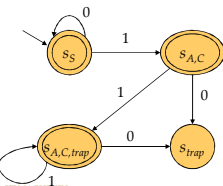
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### Example: Determinizing a NFA

Given NFA:



Reading 0 from  $s_A$  or  $s_C$  or  $s_{trap}$  takes the NFA to (only)  $s_{trap}$ , so add a transition from  $s_{A,C,trap}$  to  $s_{trap}$  on 0.



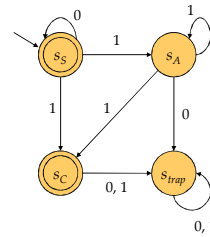
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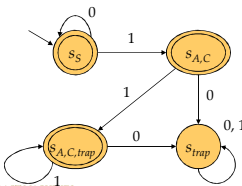
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### Example: Determinizing a NFA

Given NFA:



Reading 0 (or 1) from  $s_{trap}$  takes the NFA to (only)  $s_{trap}$ , so add a transition from  $s_{trap}$  to  $s_{trap}$  on 0 (resp. 1).



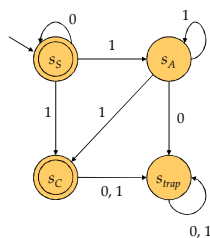
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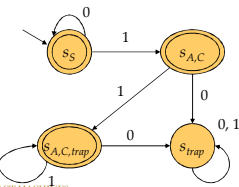
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### Example: Determinizing a NFA

Given NDNFA:



Equivalent FSA is therefore:



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### Key points

- If the NFA has  $n$  states, then the DFSA could have as many as  $2^n$  states.
- All possible combined states are considered.
- Thus, it can be recorded when some path succeeds through some states.

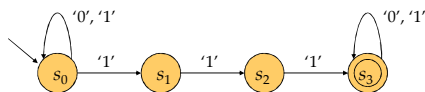
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### Exercise: Determinize the NFA

- A NFA to recognize binary strings containing a block of three consecutive 1's

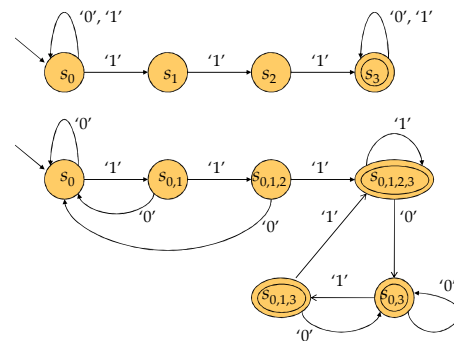


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### Exercise: Determinize the NFA



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### Application: Programming languages

- Recall that the tokens of a programming language can be described using a regular grammar
- Thus, the tokens of a programming language can be recognized by a NFA
- This NFA can be determinized to yield a FSA that recognizes the tokens of the programming language
- A *lexical analyzer generator* (a program that generates a scanner for use by a compiler) essentially implements these algorithms

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### Deterministic v.s. Nondeterministic FSAs

- What are the space/time tradeoffs?

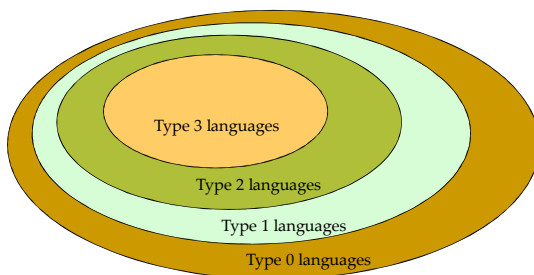
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### Chomsky Hierarchy

The language types form a *strict* hierarchy:



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